

# Script is Maximally Memory-Hard

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**Abstract.** Memory-hard functions (MHFs) are hash algorithms whose evaluation cost is dominated by memory cost. As memory, unlike computation, costs about the same across different platforms, MHFs cannot be evaluated at significantly lower cost on dedicated hardware like ASICs. MHFs have found widespread applications including password hashing, key derivation, and proofs-of-work.

This paper focuses on `script`, a simple candidate MHF designed by Percival, and described in RFC 7914. It has been used within a number of cryptocurrencies (e.g., Litecoin and Dogecoin) and has been an inspiration for Argon2d, one of the winners of the recent password-hashing competition. Despite its popularity, no rigorous lower bounds on its memory complexity are known.

We prove that `script` is *optimally memory hard*, i.e., its cumulative memory complexity (cmc) in the parallel random oracle model is  $\Omega(n^2w)$ , where  $w$  and  $n$  are the output length and number of invocations of the underlying hash function, respectively. High cmc is a strong security target for MHFs introduced by Alwen and Serbinenko (STOC '15) which implies high memory cost even for adversaries who can amortise the cost over many evaluations and evaluate the underlying hash functions many times in parallel. Our proof is the first showing optimal memory hardness for any MHF.

Our result improves both quantitatively and qualitatively upon the recent work by Alwen *et al.* (EUROCRYPT '16) who proved a *weaker* lower bound of  $\Omega(n^2w/\log^2 n)$  for a *restricted* class of adversaries.

**Keywords:** Script, memory-hard functions, password hashing.

## 1 Introduction

Several applications rely on so-called “moderately-hard tasks” that are not infeasible to solve, but whose cost is non-trivial. The cost can for example be the hardware or electricity cost of computation as in proofs of work [DN93, JJ99, DNW05] or time-lock puzzles [RSW96], the cost for disk storage space as in proofs of space [DFKP15], the cost of “human attention” in captchas [vABHL03] or

the cost of memory in memory-bound [DGN03,ABW03] or memory-hard functions [Per09,AS15], the latter being the topic of this work. Applications of such tasks include the prevention of spam [DN93], protection against denial-of-service attacks [JB99], metering client access to web sites [FM97], consensus protocols underlying decentralized cryptocurrencies [Cha11] or password hashing [PHC], which we'll discuss in more detail next.

In the setting of *password hashing*, a user's password (plus a salt and perhaps other system-dependent parameters) is the input to a moderately-hard function  $f$ , and the resulting output is the password hash to be kept in a password file. The hope is that even if the password file is compromised, a brute-force dictionary attack remains costly as it would require an attacker to evaluate  $f$  on every password guess. Traditional approaches for password hashing have focused on iterating a hash function a certain number (typically a few thousands) of times, as for instance in PBKDF2. An advantage for the honest user results from the fact that he or she needs to compute  $f$  only once on the known password, while an attacker is forced to compute  $f$  on a large number of passwords. However, this advantage can be eroded, because in contrast to honest users, who typically use general-purpose hardware, attackers may invest into special-purpose hardware like ASICs (Application Specific Integrated Circuits) and recoup the investment over multiple evaluations. Moreover, such special-purpose hardware may exploit parallelism, pipelining, and amortization in ways that the honest user's single evaluation of  $f$  cannot. Consequently, the adversary's cost per evaluation can be several orders of magnitude lower than that for the honest user.

**MEMORY-HARD FUNCTIONS.** To reduce the disparity between per-evaluation costs of the honest user and a potential attacker, Percival [Per09] suggested measuring cost by the amount of space used by the algorithm multiplied by the amount of time. A *memory-hard function* (MHF), in Percival's definition, is one where this evaluation cost measure is high not only for the honest user's sequential algorithm, but also no parallel algorithm can do much better. In particular, if a parallel algorithm can cut the time to evaluate  $f$  by some factor, it must come at the cost of increase in space by roughly the same factor. Since memory is inherently general-purpose, measuring cost in terms of space provides a reasonably accurate comparison of resources used by different implementations. We stress that memory hardness is a very different notion than that of *memory-bound* functions proposed by Abadi, Burrows, Manasse, and Wobber [ABW03,ABMW05], which maximize the number of memory accesses at unpredictable locations so that the inherent memory-access latency (resulting from frequent cache misses) imposes a lower bound on the *time* needed to evaluate the function which is independent from the actual CPU power. Memory hardness also does not guarantee that a lot of memory will be required, because it allows trading memory for time.

Alwen and Serbinenko [AS15] observed that Percival's notion of cost is not robust to amortization: it may be that an algorithm uses a large amount of memory at its peak, but a much smaller amount on average; pipelining multiple evaluations (by multiple CPUs using shared memory) in such a way that peaks

occur at different times can thus reduce the per-evaluation cost of  $f$ . They propose the notion of *cumulative memory complexity* (abbreviated  $\text{cc}_{\text{mem}}$ ), which is robust to amortization. It is defined as the sum of memory actually used at each point in time (rather than the product of peak memory and time). We will use this notion in our work. The  $\text{cc}_{\text{mem}}$  of a function is defined as the lowest  $\text{cc}_{\text{mem}}$  of all algorithms that evaluate the function.

**BEST-POSSIBLE HARDNESS.** Given the state of computational complexity theory, where we cannot even prove superlinear lower bounds for problems in NP, all  $\text{cc}_{\text{mem}}$  lower-bound results so far necessarily make use of idealized models of computation, like the random oracle model.

Many candidate memory-hard functions (including `script`) can be viewed as a mode of operation for an underlying building block like a cryptographic hash-function  $h$ . Such MHFs come with an evaluation algorithm — which we’ll call “the naïve algorithm” — which makes only sequential access to  $h$ . Note that after  $t$  steps (each involving at most one query to  $h$ ), even a naïve algorithm which stores all  $t$  outputs it received from  $h : \{0, 1\}^* \rightarrow \{0, 1\}^w$  will not use more than  $O(t \cdot w)$  memory; therefore, if the naïve algorithm for an MHF  $f^h$  runs for  $n$  steps total, the  $\text{cc}_{\text{mem}}$  of  $f^h$  will be in  $O(n^2 \cdot w)$ . Thus a lower bound on the  $\text{cc}_{\text{mem}}$  of  $\Omega(n^2 \cdot w)$  is the best we can hope for in any model which captures at least the naïve algorithm.

If the naïve algorithm has the feature that its memory access pattern (addresses read and written) is independent of the input, the MHF is called *data-independent*. A data-independent  $f^h$  can be represented as a directed acyclic graph, with a unique source corresponding to the input, a unique sink corresponding to the final output, and the other nodes indicating intermediary values where the value of a node is computed as a function of the nodes of its parents (using one invocation of  $h$ ). To derive meaningful bounds, we require that this graph has constant in-degree, so computing an intermediate value takes constant time. The evaluation of  $f^h$  can now be cast as a graph pebbling problem [AS15]. Any constant in-degree graph can be pebbled (in the so called parallel black pebbling game) using “cumulative pebbling complexity”  $\text{cc}_{\text{peb}} = O(n^2 / \log n)$ .<sup>4</sup> As any such pebbling implies an evaluation algorithm with  $\text{cc}_{\text{mem}} \approx \text{cc}_{\text{peb}} \cdot w$ , we get an  $O(w \cdot n^2 / \log n)$  upper bound on  $\text{cc}_{\text{mem}}$  for any data-independent MHF [AB16]. On the positive side, [AS15] design a data-independent function with  $\text{cc}_{\text{mem}} = \Omega(w \cdot n^2 / \log^{10}(n))$  in the parallel random oracle model. This calls for the question of whether the lower bound of  $\Omega(w \cdot n^2)$  is achievable at all; the above discussion shows that to achieve this lower bound, it will not be sufficient to only consider data-independent MHFs.

**THE `Script` MHF.** Percival [Per09] proposed a candidate (data-dependent) memory-hard function called `script`.<sup>5</sup> On input  $X$ , the `script`<sup>h</sup> function— where  $h$  is a cryptographic hash function modeled as a random oracle for the lower

<sup>4</sup> Technically, the bound is marginally worse,  $O(n^2 / \log^{1-\epsilon}(n))$  for any  $\epsilon > 0$ .

<sup>5</sup> In fact, what we discuss in the following is Percival’s ROMix construction, which constitutes the core of the actual `script` function. We use the two names interchangeably.

bound proof – computes values  $X_0, X_1, \dots, X_{n-1}, S_0, \dots, S_n$  as defined below, and finally outputs  $S_n$

- $X_0 = X$  and for  $i = 1, \dots, n - 1$  :  $X_i = \mathbf{h}(X_{i-1})$
- $S_0 = \mathbf{h}(X_{n-1})$  and for  $i = 1, \dots, n$  :  $S_i = \mathbf{h}(S_{i-1} \oplus X_{S_{i-1} \bmod n})$

**Script** has found widespread popularity: it is used in proofs-of-work schemes for cryptocurrencies (most notably Litecoin [Cha11], but also Tenebrix or Dogecoin), is described by an RFC [PJ16], and has inspired the design of one of the Password-hashing Competition’s [PHC] winners, Argon2d [BDK16].

An intuitive explanation for why **script** was conjectured to be memory-hard is as follows. View the first portion of **script** as an  $n$ -node line graph, with nodes labeled by  $X_0, \dots, X_{n-1}$ . To compute  $S_{i+1}$ , an algorithm needs  $X_{S_i \bmod n}$ , whose index ( $S_i \bmod n$ ) is random and unknown until  $S_i$  is computed. If the algorithm stores a subset of the  $X$  values of size  $p$  before  $S_i$  is known, then the label of a random node in the line graph will be on average  $n/(2p)$  steps from a stored label, and will therefore take  $n/(2p)$  sequential evaluations of  $\mathbf{h}$  to compute, for a total memory-time cost of  $p \cdot n/(2p) = n/2$ . Since there are  $n$   $S_i$  values to compute, this strategy has  $\mathbf{cc}_{\text{mem}}$  of  $w \cdot n \cdot n/2 = \frac{1}{2}wn^2$ .

This simple argument, however, does not translate easily into a proof. The two main challenges are as follows. First, in general an algorithm computing **script** is not restricted to just store labels of nodes, but can compute and store arbitrary information. Surprisingly,  $f$  for which storing information other than just labels provably decreases  $\mathbf{cc}_{\text{mem}}$  have been constructed in [ACK<sup>+</sup>16a, Appendix A]. Second, an algorithm is not compelled to keep all  $p$  labels in memory after the index  $S_i \bmod n$  is known. In fact, [AS15] show that if one is given the indices  $S_i \bmod n$  in advance, an evaluation algorithm exists which evaluates **script**<sup>h</sup> with  $\mathbf{cc}_{\text{mem}}$  only  $O(w \cdot n^{1.5})$ , because knowing the future indices enables the algorithm to keep or recompute the labels that will be needed in the near future, and delete those that won’t.

**PREVIOUS WORK ON script.** Percival’s original paper [Per09] proposed an analysis of **script**, but his analysis is incorrect, as we point out in Appendix A, in addition to not targeting  $\mathbf{cc}_{\text{mem}}$ . Recent progress toward proving that **script** is memory-hard was made by Alwen et al. [ACK<sup>+</sup>16b]. They lower bound the  $\mathbf{cc}_{\text{mem}}$  of **script** by  $\Omega(w \cdot n^2 / \log^2 n)$ , albeit only for a somewhat restricted class of adversaries (informally, adversaries who can store secret shares of labels, but not more general functions). We’ll compare their work with ours in more detail below.

**OUR RESULTS.** We give the first non-trivial unconditional lower bound on  $\mathbf{cc}_{\text{mem}}$  for **script**<sup>h</sup> in the parallel random oracle model, and our bound already achieves optimal  $\mathbf{cc}_{\text{mem}}$  of  $\Omega(w \cdot n^2)$ .

We’ll give the exact theorem statement and an overview of the proof in Section 3. However, to appreciate the novelty of our results, we note that the only existing proofs to lower bound  $\mathbf{cc}_{\text{mem}}$  of MHFs go through some kind of lower bounds for pebbling.

For *data independent* MHFs [AS15] there is an elegant argument (known as “ex post facto”) stating that a lower bound on the cumulative complexity for the parallel black pebbling game translates directly into a lower bound for  $\text{cc}_{\text{mem}}$ . Thus, the problem is reduced to a purely combinatorial problem of proving a pebbling lower bound on the graph underlying the MHF.

For *data dependent* MHFs no such result, showing that pebbling lower bounds imply  $\text{cc}_{\text{mem}}$  lower bounds for general adversaries, is known.<sup>6</sup> The lower bound on  $\text{cc}_{\text{mem}}$  for `script` from [ACK+16b] was also derived by first proving a lower bound on the pebbling complexity, but for a more powerful pebbling adversary that can use “entangled” pebbles. This lower bound then translated into a lower bound for  $\text{cc}_{\text{mem}}$  for a limited class of adversaries who, apart from labels, can also store “secret shares” of labels. It was conjectured [ACK+16b] that lower bounds for this entangled pebbling game already imply lower bounds on  $\text{cc}_{\text{mem}}$  for *arbitrary* adversaries, and a combinatorial conjecture was stated which, if true, would imply this. Unfortunately the strongest (and simplest) version of this conjecture has already been refuted. A weaker version of the conjecture has been “weakly” refuted, in the sense that, even if it was true, one would lose a factor of at least  $\log(n)$  by going from pebbling to memory lower bounds. (The current state of the conjecture is available on the eprint version of the paper [ACK+16a].)

In this work, in Section 5, we also prove an optimal  $\Omega(n^2)$  lower bound on the parallel cumulative pebbling complexity for a game which abstracts the evaluation of `script`: we consider a path of length  $n$ , and an adversary must pebble  $n$  randomly chosen nodes on this graph, where the  $i$ th challenge node is only revealed once the node of challenge  $i - 1$  is pebbled. This already gives an optimal  $\Omega(n^2 \cdot w)$  lower bound on  $\text{cc}_{\text{mem}}$  for `script` for adversaries who are only allowed to store entire labels, but not any functions thereof. This improves on the  $\Omega(n^2 / \log^2(n))$  lower bound from [ACK+16b], who use a rather coarse potential argument which roughly states that, for any challenge, either we pay a lot for pebbling the next challenge node, or the “quality” of our pebbling configuration decreases. As this quality cannot decrease too many times, at least every  $\log(n)$ ’th challenge will cost  $n / \log(n)$  in cumulative complexity, giving the overall  $\Omega(n^2 / \log^2(n))$  lower bound after  $n$  challenges. In this work we introduce a new technique for analyzing the cumulative pebbling cost where — for every challenge — we take into account the cumulative cost of the pebbling configurations *before* this challenge is revealed. Both the potential argument from [ACK+16b], as well as our new proof, rely on the generalization of the fact that given a configuration with  $p$  pebbles, and a random challenge, with good probability (say at least  $\frac{1}{2}$ ), an adversary also needs also at least (roughly)  $n/p$  steps to pebble the challenge.

As discussed above, pebbling lower bounds are not known to directly imply  $\text{cc}_{\text{mem}}$  lower bounds for data dependent MHFs, so to prove our main result in

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<sup>6</sup> A lower bound on the parallel cumulative pebbling complexity is only known to imply a lower bound on  $\text{cc}_{\text{mem}}$  for a very restricted class of adversaries who are allowed to store only labels, but not any function thereof.

Section 6, we in some sense emulate our proof for the pebbling game directly in the parallel random oracle model. However, there are two problems we will need to overcome. The first is that the adversary’s state is not made of labels (corresponding to pebbles), but could be any function thereof. Still, we will want to show that in order to compute a challenge, an adversary storing any  $p \cdot w$  bits of information about the random oracle, will need to take with good probability (say at least  $\frac{1}{2}$ ) at least (roughly)  $n/p$  steps. We will show this using a careful compression argument in Section 4. The second problem is the fact that in `script` the challenges are not randomly and externally generated, but come from the random oracle.

## 2 Preliminaries

We review basic notation and concepts from the literature on memory-hard functions. We will also define the `script` function as needed further below.

THE PARALLEL-RANDOM ORACLE MODEL. We first define the parallel random-oracle model (pROM), essentially following the treatment from [AS15], with some highlighted differences.

Concretely, we consider an oracle-aided deterministic<sup>7</sup> algorithm  $A$  which runs in rounds, starting with round 1. Let  $h$  denote an oracle with  $w$ -bit outputs. It does not matter for our model whether oracle inputs are restricted in length, but it will be simpler to assume a general upper bound (even very large) on the length of its inputs to make the set of oracles finite.

In general, a *state* is a pair  $(\tau, \mathbf{s})$  where *data*  $\tau$  is a string and  $\mathbf{s}$  is a tuple of strings. In an execution, at the end of round  $i$ , algorithm  $A$  produces as output an *output state*  $\bar{\sigma}_i = (\tau_i, \mathbf{q}_i)$  where  $\mathbf{q}_i = [q_i^1, \dots, q_i^{z_i}]$  is a tuple of *queries* to  $h$ . At the beginning of next round  $i + 1$ , algorithm  $A$  gets as input the corresponding *input state*  $\sigma_i = (\tau_i, \mathbf{h}(\mathbf{q}_i))$  where  $\mathbf{h}(\mathbf{q}_i) = [h(q_i^1), \dots, h(q_i^{z_i})]$  is the tuple of *responses* from  $h$  to the queries  $\mathbf{q}_i$ . In particular, since  $A$  is deterministic, for a given  $h$  the input state  $\sigma_{i+1}$  is a function of the input state  $\sigma_i$ .

The initial input state  $\sigma_0$  is normally empty with length 0 (though in the proof we will also need to consider a non-empty initial input state); an input  $X$  is given together with  $\sigma_0$  in the first round. We require that  $A$  eventually terminates and denote its output by  $A^h(X)$ .

COMPLEXITY MEASURE. For a given execution the complexity measure we are going to be concerned with is the sum of the bit-lengths of the input states. To that make this precise we introduce the following notation. For a string  $x$  we denote its bit-length by  $|x|$ . For state  $\sigma = (\tau, \mathbf{s})$  where  $\mathbf{s} = [s_1, \dots, s_z]$  we denote the bit-length (or size) of  $\sigma$  by  $|\sigma| = |\tau| + \sum_{j=1}^z |s_j|$ . We can now define the *cumulative (memory) complexity* of an execution of algorithm  $A$  on input  $X$

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<sup>7</sup> Considering deterministic algorithms is without loss of generality as we can always fix the randomness of  $A$  to some optimal value.

using oracle  $h$  resulting in *input* states  $\sigma_0, \sigma_1, \dots$  as

$$\text{cc}_{\text{mem}}(A^h(X)) = \sum_{i \geq 0} |\sigma_i|.$$

We will assume without loss of generality that at each round, the query tuple  $\mathbf{q}$  contains at least one query, for otherwise  $A$  can proceed directly to the next round where it issues a query, without increasing its cumulative complexity. In particular, this implies  $|\sigma_i| \geq w$  for  $i > 0$ .

Note that  $\text{cc}_{\text{mem}}$  does not charge anything for computation or memory used within each round itself. We are also allowing inputs to  $h$  to be arbitrary long without extra memory cost — only the output length  $w$  is charged to the cumulative complexity. This only makes our *lower bound* stronger. Note however that this also means that  $\text{cc}_{\text{mem}}$  gives a good *upper bound* only when computation is dominated by the memory cost (as is the case for the naïve evaluation algorithm of `scripth`, which, aside from querying  $h$  sequentially, performs only a few trivial computations, such as exclusive-ors and modular reductions).

**THE `script` MHF.** We will consider the `scripth` function throughout this paper (more specifically, we study its core, ROMix, as defined in [Per09]). Recall that for a hash function  $h : \{0, 1\}^* \rightarrow \{0, 1\}^w$ , `scripth` on input  $X \in \{0, 1\}^w$  and parameter  $n \in \mathbb{N}$  computes values  $X_0, X_1, \dots, X_{n-1}, S_0, \dots, S_n$  and outputs  $S_n$ , where

- $X_0 = X$  and for  $i = 1, \dots, n-1$  :  $X_i = h(X_{i-1})$
- $S_0 = h(X_{n-1})$  and for  $i = 1, \dots, n$  :  $S_i = h(S_{i-1} \oplus X_{S_{i-1} \bmod n})$

We will also define intermediate variables  $T_0, \dots, T_n$  with  $T_0 = X_{n-1}$  and  $T_i = S_{i-1} \oplus X_{S_{i-1} \bmod n}$  for  $1 \leq i \leq n$ , so that  $S_i = h(T_i)$ .

Note that one may not want to restrict  $X$  to  $w$  bits. In this case, one can replace  $X$  with  $h(X)$  in the above construction. For notational simplicity, we will only analyze the  $w$ -bit input case in this paper, but the general analysis is very similar.

**GRAPH AND PEBBLING PRELIMINARIES.** For some of our partial results below, we will adopt the graph-pebbling view on computing candidate MHFs, following [AS15]. A (parallel black) pebbling considers a directed acyclic graph  $G = (V, E)$ . At each time step  $t$  starting with  $t = 0$ , the adversary maintains a subset  $P_t$  of nodes (“pebbles”). A node  $v$  is allowed (but not required) to get a pebble at time  $t$  if there is a pebble on all of its predecessors (i.e., all  $v'$  such that  $(v', v) \in E$ ), or if there was a pebble on  $v$  itself at time  $t - 1$ . Formally, define  $\text{pre}(v)$  to be the set of all predecessors of  $v$ , and for  $U \subseteq V$ , define  $U^+ = \{v \in V : \text{pre}(v) \subseteq U\}$ . Then, at time  $t > 0$ , the set  $P_t$  must be a subset of  $P_{t-1} \cup P_{t-1}^+$ .

We define  $\mathbf{p}_i = |P_i| \geq 1$ . The (parallel) *cumulative pebbling complexity* of a sequence of pebbling configuration  $P_0, P_1, \dots, P_t$  is  $\sum_{i=0}^t \mathbf{p}_i$ . We remark that we modify the pebbling rules slightly from [AS15] by not permitting the adversary to put a pebble on the source for free:  $v_0$  is contained in  $P_0$  and cannot be added to  $P_t$  if it is absent in  $P_{t-1}$  (this change will simplify calculations, and only increase the size of each set by 1).

PEBBLING WITH CHALLENGES. Normally, the goal of pebbling games is to place a pebble on the sink of the graph. Here, we are going to consider pebbling games with  $Q$  challenges on a graph  $G = (V, E)$ , where the adversary proceeds in rounds, and in each round  $i$ , it receives a random challenge  $c_i \in V$  (usually uniform from a subset  $V' \subseteq V$ ), and the goal is to place a pebble on  $c_i$ , which enables the adversary to move to the next round (unless this was the last challenge  $c_Q$ , in which case the game terminates.) For instance, the core of the evaluation of `script` is captured by the *line graph* with vertices  $v_0, \dots, v_{n-1}$  and edges  $(v_i, v_{i+1})$  for  $i = 0, \dots, n-2$ , and we will study this pebbling game in detail below.

### 3 Main Result and Overview

In this section, we state our main result, and give a brief high-level overview of the next sections.

**Theorem 1 (Memory-hardness of `Script`, main theorem).** *For any  $X \in \{0, 1\}^w$  and  $n \geq 2$ , if  $A^h(X, n)$  outputs  $S_n = \text{script}^h(X, n)$  with probability  $\chi$ , where the probability is taken over the choice of the random oracle  $h$ , then with probability (over the choice of  $h$ ) at least  $\chi - .08n^6 \cdot 2^{-w} - 2^{-n/20}$ ,*

$$\text{cc}_{\text{mem}}(A^h(X)) > \frac{1}{25} \cdot n^2 \cdot (w - 4 \log n) .$$

We note that if  $w$  is large enough in terms of  $n$  (say,  $4 \log n \leq w/2$ , which clearly holds for typical values  $w = 256, n = 2^{20}$ ), then  $\text{cc}_{\text{mem}}(A^h(X))$  is in  $\Omega(n^2 w)$ . As discussed in the introduction, this is the best possible bound up to constant factors, as already the (sequential) naïve algorithm for evaluating `script`<sup>h</sup> has  $\text{cc}_{\text{mem}} \in O(w \cdot n^2)$ . We discuss the constants following Theorem 5.

PROOF OUTLINE. The proof consists of three parts outlined below. The first two parts, in fact, will give rise to statements of independent interest, which will then be combined into the proof of our main theorem.

- Section 4: Single-shot time complexity. To start with, we consider a pROM game where the adversary  $A^h(X)$  starts its execution with input  $X$  and an  $M$ -bit state  $\sigma_0$  that can depend *arbitrarily* on  $h$  and  $X$ . Then,  $A^h(X)$  is given a random challenge  $j \in \{0, \dots, n-1\}$  and must return  $X_j = h^j(X)$ .

Clearly,  $\sigma_0$  may contain  $X_j$ , and thus in the best case,  $A$  may answer very quickly, but this should not be true for all challenges if  $M \ll nw$ . We will prove a lower bound on the *expected* time complexity (in the pROM) of answering such a challenge. We will show that with good probability (e.g.,  $\frac{1}{2}$ ) over the choice of  $j$ ,  $A^h(X)$  needs at least (roughly)  $nw/M$  steps.

This validates in particular the intuition that the adversary in this game cannot do much better than an adversary in the corresponding pebbling game on the line graph with vertices  $v_0, v_1, \dots, v_{n-1}$ , where the adversary gets to choose an initial configuration with  $p = M/w$  pebbles, and is then

asked to put a pebble on  $v_j$  for a random  $j \in \{0, 1, \dots, n-1\}$ . Here, one can show that at least  $n/p$  steps are needed with good probability. In fact, this pebbling game is equivalent to a variant of the above pROM game where the adversary only stores random-oracle output labels, and thus our result shows that an adversary cannot do much better than storing whole labels.

- *Section 5: Multi-challenge cumulative pebbling complexity.* In the above scenario, we have only considered the *time* needed to answer a challenge. There is no guarantee, a priori, that the cumulative complexity is also high: An optimal adversary, for instance, stores  $p$  labels corresponding to equidistant pebbles, and then computes the challenge from the closest label, dropping the remainder of the memory contents.

Here, for the randomized pebbling game with  $Q$  challenges on the line graph, we will show a lower bound of  $\Omega(nQ)$  on the cumulative pebbling complexity. Our argument will use in particular a (generalization) of the above single-shot trade-off theorem, i.e., the fact that whenever  $p$  pebbles are placed on the line, at least  $n/p$  steps are needed with good probability to pebble a randomly chosen node. We will use this to lower bound the cumulative complexity *before* each particular challenge is answered. Our proof gives a substantial quantitative improvement over the looser lower bound of [ACK+16b].

- *Section 6: cc<sub>mem</sub> of `script`.* Finally, we lower bound the cumulative memory complexity of `script`<sup>h</sup> as stated in Theorem 1. Unfortunately, this does not follow by a reduction from the pebbling lower bound directly. Indeed, as discussed in the introduction (and as explained in [ACK+16b]), unlike for data-independent MHFs, for data-dependent MHFs like `script` it is an open problem whether one can translate lower bounds on the cumulative *pebbling* complexity to lower bounds for cumulative *memory* complexity. Fortunately, however, through a careful analysis, we will be able to employ the same arguments as in the proof of Section 5 in the pROM directly.

In particular, we will use our result from Section 4 within an argument following the lines to that of Section 5 in the pROM. One particularly delicate technical issue we have to address is the fact that in `script`<sup>h</sup> the challenges are not sampled randomly, but will depend on the random oracle  $h$ , which the adversary can query. We will provide more intuition below in Section 6.

## 4 Time Complexity of Answering a Single Challenge in the Parallel Random Oracle Model

We prove the following theorem, and below discuss briefly how this result can be extended beyond the setting of `script`.

Fix positive integers  $n$ ,  $u$  and  $w$ , a string  $X \in \{0, 1\}^u$ , a finite domain  $\mathcal{D}$  that contains at least  $\{X\} \cup \{0, 1\}^w$ , and let  $\mathcal{R} = \{0, 1\}^w$ . Given a function  $h : \mathcal{D} \rightarrow \mathcal{R}$ , define  $X_i = h^i(X)$ . Let  $A$  be any oracle machine (in the parallel random oracle model as defined in Section 2) that on any input and oracle makes at most  $q-1$  total queries to its oracle. Suppose  $A^h(X, j)$  starts on input state  $\sigma_0$  with the goal of eventually querying  $X_j$  to  $h$ . Let  $t_j$  be the number of the earliest round in

which  $A^h(X, j)$  queries  $X_j$  to  $h$  (with  $t_j = \infty$  if never). We show that  $A$  cannot do much better than if it were doing the following in the corresponding random challenge pebbling game on the line graph: initially placing  $p \approx M/w$  equidistant pebbles, and then pebbling the challenge from the closest pebble preceding it.

**Theorem 2 (Single-Challenge Time Lower Bound).** *There exists a set of random oracles  $\text{good}_h$  such that  $\Pr_{h \in \mathcal{R}^{\mathcal{D}}}[h \notin \text{good}_h] \leq qn^3 2^{-w}$ , and for every  $h \in \text{good}_h$ , the following holds: for every memory size  $M$ , and every input state  $\sigma_0$  of length at most  $M$  bits,*

$$\Pr_{j \leftarrow \{0, \dots, n-1\}} \left[ t_j > \frac{n}{2p} \right] \geq \frac{1}{2},$$

where the probability is taken over only the challenge  $j$  and  $p = \lceil (M+1)/(w - 2 \log n - \log q) + 1 \rceil$ .

We will actually prove a slightly more general result: for any  $0 \leq \text{pr}_{\text{hard}} \leq 1$ ,

$$\Pr_{j \leftarrow \{0, \dots, n-1\}} \left[ t_j > \frac{n(1 - \text{pr}_{\text{hard}})}{p} \right] \geq \text{pr}_{\text{hard}}.$$

*Proof.* Recall that for each  $j$ ,  $A$  performs  $t_j$  rounds of the following process. At round  $k$  read an input state containing oracle responses  $h(\mathbf{q}_{k-1})$  (except for  $k = 1$ , when  $A$  reads  $\sigma_0$ ). Then (after arbitrary computation) produce an output state containing oracle queries  $\mathbf{q}_k$ . We count rounds starting from 1. Consider the sequence of such tuples of queries and responses to and from  $h$ . If the first appearance of  $X_i$  in this sequence is as a query to  $h$  in round  $k$  (that is  $k > 0$  is minimal such that  $X_i \in \mathbf{q}_k$ ), then we assign  $X_i$  position  $\pi_{ij} = k$ . If instead the first appearance of  $X_i$  is as a response from  $h$  to query  $X_{i-1}$  made at round  $k$  (that is  $k > 0$  is minimal such that  $X_{i-1} \in \mathbf{q}_k$ ), then we assign  $X_i$  position  $\pi_{ij} = k + 1/2$ . In all other cases (i.e., if  $X_i$  does not appear in this sequence, or appears only because of a hash collision in response to some query that is not equal to  $X_{i-1}$ ), let  $\pi_{ij} = \infty$ .

Let “best position” correspond to the earliest time, over all  $j$ , that  $X_i$  appears during the computation of  $X_j$ :  $\beta_i := \min_j \pi_{ij}$ ; let “best challenge”  $\text{bestchal}_i$  be  $\text{argmin}_j \pi_{ij}$  (if  $\text{argmin}$  returns a set, pick one element arbitrarily). Let  $i$  be “blue” if  $\beta_i$  is an integer (that is, it was produced “out of the blue” by  $A$  as a query to  $h$ ).

Let  $B = \{i \text{ s.t. } i > 0 \text{ and } i \text{ is blue}\}$  (that is, all the blue indices except  $X_0$ ). In the rest of the proof, we will show that the size of  $B$  cannot exceed  $p - 1$  (for most  $h$ ), where  $p$ , as defined in the theorem statement, is proportional to the memory size  $M$ ; and that the amount of time to answer the challenge is at least its distance from the preceding blue index. Thus, blue indices effectively act like pebbles, and the bounds on the time to reach a random node in the line graph by moving pebbles apply.

**Claim 1** *Given adversary  $A$  and input  $X$ , there exists a predictor algorithm  $\mathcal{P}$  (independent of  $h$ , but with oracle access to it) with the following property: for*

every  $\mathbf{h}$ , every  $M$ , and every length  $M$  input state  $\sigma_0$  of  $A$ , there exists a hint of length  $|B|(2 \log n + \log q)$  such that given  $\sigma_0$  and the hint,  $\mathcal{P}$  outputs every  $X_i$  for  $i \in B$  without querying  $X_{i-1}$  to  $\mathbf{h}$ .

Moreover, if we want fewer elements, we can simply give a shorter hint: there exists a predictor algorithm that similarly outputs  $p$  elements of  $B$  whenever  $p \leq |B|$ , given  $\sigma_0$  and an additional  $p(2 \log n + \log q)$ -bit hint.

Note that the inputs to  $\mathcal{P}$  can vary in size; we assume that the encoding of inputs is such that the size is unambiguous.

*Proof.* We will focus on the first sentence of the claim and address the second sentence at the end.

$\mathcal{P}$  depends on input label  $X = X_0$  and algorithm  $A$  (which are independent of  $\mathbf{h}$ ).  $\mathcal{P}$  will get the state  $\sigma_0$  of  $A$  (which may depend on  $\mathbf{h}$ ) as input, and, for every  $i \in B$ , a hint containing the challenge  $\text{bestchal}_i$  for which  $X_i$  appears earliest, and the sequential order (among all the  $q - 1$  queries  $A$  makes in answering  $\text{bestchal}_i$ ) of the first query to  $X_i$  (using the value  $q$  to indicate that this query never occurs). This hint (which depends on  $\mathbf{h}$ ) will thus consist of a list of  $|B|$  entries, each containing  $i \in B$ ,  $\text{bestchal}_i$ , and  $\log q$  bits identifying the query number, for a total of  $|B|(2 \log n + \log q)$  bits.

$\mathcal{P}$  will build a table containing  $X_i$  for  $i \geq 0$  (initializing  $X_0 = X$ ). To do so,  $\mathcal{P}$  will run  $A$  on every challenge in parallel, one round at a time. After each round  $k$ ,  $\mathcal{P}$  will obtain, from the output states of  $A$ , all the queries  $A$  makes for all the challenges in round  $k$ . Then  $\mathcal{P}$  will fill in some spots in its table and provide answers to these queries as input states for round  $k + 1$  by performing the following three steps:

- Step  $k$ .** put any blue queries into its table (blue queries and their positions in the table can easily be recognized from the hint);
- Step  $k+1/4$ .** answer any query that can be answered using the table (i.e., any query that matches  $X_{i-1}$  in the table for some filled-in positions  $i - 1$  and  $i$ );
- Step  $k+1/2$ .** send remaining queries to  $\mathbf{h}$ , return the answers to  $A$ , and fill in any new spots in the table that can be filled in (i.e., for every query that matches  $X_{i-1}$  in the table for some filled-in position  $i - 1$ , fill in position  $i$  with the answer to that query).

Once every  $X_i$  for  $i \in B$  is in the table,  $\mathcal{P}$  queries  $\mathbf{h}$  to fill in the missing positions in the table, if any, and outputs the prediction that  $\mathbf{h}(X_{i-1}) = X_i$  for  $i \in B$ .

To prove that  $\mathcal{P}$  simulates  $\mathbf{h}$  correctly to  $A$ , it suffices to show that the table contains correct labels. This can be easily argued by induction on  $i$ . Assume all the labels in the table are correct up to now. A new label  $X_i$  enters the table either because it is marked as blue (and thus correct by the hint) or is obtained as an answer from  $\mathbf{h}$  to the query that  $\mathcal{P}$  identified as  $X_{i-1}$  using the table (which is correct by inductive hypothesis).

The above also shows that  $\mathcal{P}$  will not output an incorrect prediction. It remains to show that  $\mathcal{P}$  did not query to  $h$  the value  $X_{i-1}$  for any  $i \in B$ . To prove this, we first show that  $X_i$  is placed into the table no later than step  $\beta_i$  of  $\mathcal{P}$ , by induction on  $\beta_i$ . The base case is  $X_0$ , which is in the table at step 0. If  $\beta_i$  is an integer, then  $i \in B$  and this is true because of step  $\beta_i$  of  $\mathcal{P}$ . If  $\beta_i$  is not an integer, then  $\beta_{i-1} < \beta_i$  (because  $X_{i-1}$  appears as a query at round  $\lfloor \beta_i \rfloor$ ), so at the beginning of step  $\beta_i$  of  $\mathcal{P}$ , by the inductive hypothesis, position  $i-1$  in the table will already contain  $X_{i-1}$ , and thus position  $i$  will get filled in when  $X_{i-1}$  gets queried to  $h$ .

Note also that  $X_i$  cannot be placed into the table earlier than step  $\beta_i$ , so it is placed in the table exactly at step  $\beta_i$  (as long as  $\beta_i \neq \infty$ , in which case it is placed into the table at the end, when  $\mathcal{P}$  fills in the missing positions).

Now suppose, for purposes of contradiction, that  $\mathcal{P}$  queries  $h$  for some value  $X_{i-1}$  for some  $i \in B$ . That can happen only if at the end of some round  $k$ ,  $X_{i-1}$  is queried by  $A$  as part of the output state, but either  $X_{i-1}$  or  $X_i$  are not in the table at that time.

- If  $X_{i-1}$  is not in the table at the beginning of step  $k+1/2$  of  $\mathcal{P}$ , then  $\beta_{i-1} \geq k+1/2$ ; but since  $X_{i-1}$  is being queried at the end of round  $k$ ,  $\beta_{i-1} \leq k$ , which is a contradiction.
- If  $X_i$  is not in the table at the beginning of step  $k+1/2$  of  $\mathcal{P}$ , then  $\beta_i \geq k+1$  (because  $\beta_i$  is an integer); but since  $X_{i-1}$  appears as query in the output state of round  $k$ ,  $\beta_i \leq k+1/2$ , which is also a contradiction.

Thus,  $\mathcal{P}$  always achieves its goal.

For the second sentence of the claim, observe that we can simply give  $\mathcal{P}$  the hint for the  $p$  blue labels with the smallest  $\beta$  values.  $\square$

In the next claim, we show that for every input to  $\mathcal{P}$ , the algorithm  $\mathcal{P}$  cannot be correct for too many oracles  $h$ .

**Claim 2** *Fix an algorithm  $\mathcal{P}$  and fix its input, a positive integer  $p$ , some domain  $\mathcal{D}$ , and range  $\mathcal{R}$ . For  $h : \mathcal{D} \rightarrow \mathcal{R}$ , call  $\mathcal{P}^h$  successful if  $\mathcal{P}$  with oracle access to  $h$  outputs  $p$  distinct values  $x_1, \dots, x_p \in \mathcal{D}$  and  $h(x_1), \dots, h(x_p)$  without querying  $h$  on any of  $x_1, \dots, x_p$ . Then  $\Pr_{h \in \mathcal{R}^{\mathcal{D}}}[\mathcal{P}^h \text{ is successful}] \leq |\mathcal{R}|^{-p}$ .*

*Proof.* Instead of choosing  $h$  all at once, consider the equivalent view of choosing answers to fresh queries of  $\mathcal{P}$  uniformly at random, and then choosing the remainder of the  $h$  uniformly at random after  $\mathcal{P}$  produces its output. Since  $\mathcal{P}$  does not query  $x_1, \dots, x_p$ , the choices of  $h$  on those points will agree with  $y_1, \dots, y_p$  with the probability at most  $|\mathcal{R}|^{-p}$ .  $\square$

Using the previous two claims, we can now bound the number of random oracles for which the size of the blue set is too large. Recall that  $B$  is the blue set minus  $X_0$ .

**Claim 3** *Given adversary  $A$ , there exists a set of random oracles  $\text{good}_h$  such that  $\Pr[h \notin \text{good}_h] \leq qn^3 2^{-w}$ , and for every  $h \in \text{good}_h$ , every  $M$ , and every initial state  $\sigma_0$  of  $A$  of size at most  $M$  bits,  $|B| \leq p-1$ , where  $p = \lceil (M+1)/(w-2\log n - \log q) + 1 \rceil$ .*

*Proof.* The intuition is as follows: if for some  $h$  and some initial input state of length  $M$ ,  $|B| > p - 1$ , then either  $\mathcal{P}$  successfully predicts the output of  $h$  on  $p$  distinct inputs (by Claim 1), or some of the values among  $X_0, \dots, X_{n-1}$  are not distinct. We will define  $\text{bad}_h$  as the set of random oracles for which this can happen, and then bound its size.

Let  $\mathcal{S}$  be the size of the space of all possible random oracles  $h$ . There are at most  $\frac{1}{2}\mathcal{S}n^22^{-w}$  random oracles for which some of the values among  $X_0, \dots, X_{n-1}$  are not distinct; (suppose the first collision pair is  $i, j < n$ , thus  $X_{i-1} \neq X_{j-1}$ , and the probability that  $X_i = X_j$  is  $2^{-w}$ ; then the bound is given by taking union bound over at most  $n^2/2$  pairs of  $(i, j)$ .) Call this set of random oracles *colliding*.

In the next paragraph, we will formally define the set *predictable* as the set of random oracles for which  $\mathcal{P}$  correctly predicts the output on  $p$  distinct inputs given the  $M$ -bit input state of  $A$  and an additional  $p(2 \log n + \log q)$ -bit hint. We will bound the size of *predictable* by bounding it for every possible memory state of  $A$  and every possible hint, and then taking the union bound over all memory states and hints.

Consider a particular input state of length  $M$  for  $A$ ; recall that  $p = \lceil (M + 1)/(w - 2 \log n - \log q) + 1 \rceil$ . Assume  $1 \leq p \leq n - 1$  (otherwise, the statement of Claim 3 is trivially true). Fix a particular value of the hint for  $\mathcal{P}$  for predicting  $p$  elements of  $B$ . (Recall that the hint was previously defined dependent on the random oracle; we are now switching the order of events by fixing the hint first and then seeing for how many random oracles this hint can work.) Since the input to  $\mathcal{P}$  is now fixed, there are most  $\mathcal{S}2^{-pw}$  random oracles for which it can correctly output  $p$  distinct values without querying them, by Claim 2. The set *predictable* consists of all such random oracles, for every value of  $M$  such that  $p \leq n$ , every  $M$ -bit input state  $\sigma_0$ , and every hint.

To count how many random oracles are in *predictable*, first fix  $p$ . Let  $M_p$  be the largest input state length that gives this particular  $p$ . Take all input state lengths that give this  $p$ , all possible input states of those lengths (there are at most  $2^{M_p} + 2^{M_p-1} + \dots + 1 < 2^{M_p+1}$  of them), and all possible hints for extracting  $p$  values (there are at most  $2^{p(2 \log n + \log q)}$  of them). This gives us at most  $\mathcal{S}2^{(M_p+1)+p(2 \log n + \log q - w)}$  random oracles in *predictable*. Since  $(M_p + 1) \leq (p - 1)(w - 2 \log n - \log q)$  by definition of  $p$ , this number does not exceed  $\mathcal{S}2^{(2 \log n + \log q - w)} = \mathcal{S}n^2q2^{-w}$ . Now add up over all possible values of  $p$  (from 2 to  $n$ ), to get  $|\text{predictable}| \leq \mathcal{S}(n - 1)n^2q2^{-w}$ .

Set  $\text{bad}_h = \text{colliding} \cup \text{predictable}$  and let  $\text{good}_h$  be the complement of  $\text{bad}_h$ .  $\square$

**Claim 4** *For every  $i$ ,  $0 \leq i < n$ , the value  $t_i$  is at least  $1 + i - j$ , where  $j = \max\{a \leq i \mid a \text{ is blue}\}$ .*

*Proof.* If  $i$  is blue, we are done, since  $t_i \geq 1$  simply because we start counting rounds from 1.

We will first show that  $\lceil \beta_i - \beta_j \rceil \geq i - j$ . Fix a blue  $j$  and proceed by induction on  $i$  such that  $i > j$  and there are no blue indices greater than  $j$  and less than  $i$ .

For the base case, suppose  $i = j + 1$ . Recall that  $\beta_i$  is not an integer because  $i$  is not blue. Then  $\beta_{i-1} \leq \beta_i - 1/2$ , because  $X_{i-1}$  is present as the query to  $\mathbf{h}$  that produces response  $X_i$  in the sequence of queries that  $A$  makes when responding to the challenge  $\text{bestchal}_i$ , and we are done. For the inductive case, it suffices to show that  $\beta_{i-1} \leq \beta_i - 1$ , which is true by the same argument as for the base case, except that we add that  $\beta_{i-1}$  is also not an integer (since  $i - 1$  is also not blue).

Therefore,  $\lceil \beta_i \rceil \geq i - j + 1$ , because  $\beta_j \geq 1$ . We thus have  $\pi_{ii} = t_i \geq \lceil \beta_i \rceil \geq i - j + 1$ .  $\square$

The number of blue indices (namely,  $|B| + 1$ , because  $X_0$  is blue but not in  $B$ ) is at most  $p$  if  $\mathbf{h} \in \text{good}_{\mathbf{h}}$ . Since at most  $d$  indices are within distance  $d - 1$  of any given blue index, and there are at most  $p$  blue indices, we can plug in  $d = n(1 - \text{pr}_{\text{hard}})/p$  to get

$$\Pr_i \left[ t_i \leq \frac{n(1 - \text{pr}_{\text{hard}})}{p} \right] \leq 1 - \text{pr}_{\text{hard}}.$$

This concludes the proof of Theorem 2.  $\square$

GENERALIZING TO OTHER GRAPHS. In general, every single-source directed acyclic graph  $G$  defines a (data-independent) function whose evaluation on input  $X$  corresponds to labeling  $G$  as follows: The source is labeled with  $X$ , and the label of every node is obtained by hashing the concatenation of the labels of its predecessors. Rather than evaluating this function, one can instead consider a game with challenges, where in each round, the adversary needs to compute the label of a random challenge node from  $G$ . Theorem 2 above can be seen as dealing with the special case where  $G$  is a line graph.

Theorem 2 can be generalized, roughly as follows. We replace  $\log q$  with  $\log q + \log d$  (where  $d$  is the degree of the graph), because identifying blue nodes now requires both the query number and the position within the query. We modify the proof of Claim 1 to account for the fact that the random oracle query resulting in response  $X_i$  is not necessarily  $X_{i-1}$ , but a concatenation of labels. The only conceptually significant change due to this generalization is in Claim 4, whose generalized statement is as follows. For every node  $i$ , define the “limiting depth of  $i$ ” to be the length of a longest possible path that starts at a blue node, goes through no other blue nodes, and ends at  $i$ . The generalized version of Claim 4 states that the amount of time required to query  $X_i$  is at least one plus the limiting depth of node  $i$ .

With this more general claim in place, it follows that

$$\Pr_i [t_i > m] \geq \frac{1}{2},$$

where  $m$  defined as follows. Let  $S$  denote set of blue nodes, and let  $m_S$  denote the median limiting depth of the nodes in  $G$ . We define  $m$  to be the minimum  $m_S$  over all  $S$  such that the origin is in  $S$  and  $|S| = p$ .

Of course, other statistical properties of the distribution of  $t_i$  can also be deduced from this claim if we use another measure instead of the median. Essentially, the generalized theorem would show that the best the adversary can do is place  $p$  pebbles on the graph and use parallel pebbling.

## 5 Cumulative Complexity of Answering Repeated Challenges in the Parallel Pebbling Model

In the previous part, we showed that, in the parallel random oracle model, an adversary with memory (input state) of size  $M$  cannot do much better when answering a random challenge than placing  $p \approx M/w$  pebbles on the graph and pebbling. In this section, we prove a lower bound on the cumulative complexity of *repeated* random challenges in the pebbling model. While the result in this section does not directly apply to the random oracle model for reasons explained in Section 5.1, all of the techniques are used in the proof of our main theorem in Section 6.

**THE SINGLE CHALLENGE PEBBLING GAME.** Consider now the pebbling game for the line graph  $G$  consisting of nodes  $v_0, \dots, v_{n-1}$  and edges  $(v_i, v_{i+1})$  for every  $0 \leq i < n$ . Recall that in this game, at each time step  $t$  starting with  $t = 0$ , the adversary maintains a subset  $P_t$  of nodes (“pebbles”). If there is a pebble on a node at time  $t - 1$ , its successor is allowed (but not required) to get a pebble at time  $t$ . Formally, at time  $t > 0$ , the set  $P_t$  must be a subset of  $P_{t-1} \cup \{v_{i+1} : v_i \in P_{t-1}\}$ . Also recall that we modify the game of [AS15] slightly by not permitting the adversary to put a pebble on the source for free:  $v_0$  is contained in  $P_0$  and cannot be added to  $P_t$  if it is absent in  $P_{t-1}$  (this change simplifies calculations). Let  $p_i = |P_i| \geq 1$ .

We will say that the adversary answers a challenge `chal` (for  $0 \leq \text{chal} < n$ ) in  $t$  steps if  $t > 0$  is the earliest time when  $v_{\text{chal}} \in P_{t-1}$  (note that a pebble needs to be on  $v_{\text{chal}}$  at time  $t - 1$ —think of time  $t$  as the step when the output to the challenge is presented; this convention again simplifies calculations, and intuitively corresponds to the `script` evaluation, in which the “output” step corresponds to querying  $X_{\text{chal}} \oplus S_i$  in order to advance to the next challenge).

It is easy to see that  $t$  is at least one plus the distance between `chal` and the nearest predecessor of `chal` in  $P_0$ . Therefore, for the same reason as in the proof of Theorem 2 (because at most  $n/(2p_0)$  challenges are within  $n/(2p_0) - 1$  distance to a particular node in  $P_0$  and there are  $p_0$  nodes in  $P_0$ ).

$$\Pr_{\text{chal}} \left[ t > \frac{n}{2p_0} \right] \geq \frac{1}{2}.$$

More generally, the following is true for any  $0 \leq \text{pr}_{\text{hard}} \leq 1$ :

**Fact 3**

$$\Pr_{\text{chal}} \left[ t > \frac{c}{p_0} \right] \geq \text{pr}_{\text{hard}} \quad \text{with} \quad c = n(1 - \text{pr}_{\text{hard}}).$$

REPEATED CHALLENGES PEBBLING GAME. We now consider repeated challenges. At time  $s_1 = 0$ , the adversary receives a challenge  $c_1$ ,  $0 \leq c_1 < n$ . The adversary answers this challenge at the earliest moment  $s_2 > s_1$  when  $P_{s_2-1}$  contains  $X_{c_1}$ ; after  $P_{s_2}$  is determined, the adversary receives the next challenge  $c_2$ , and so on, for  $Q$  challenges, until challenge  $c_Q$  is answered at time  $s_{Q+1}$ . We are interested in the cumulative pebbling complexity  $\text{cc}_{\text{peb}} = \sum_{t=0}^{s_{Q+1}} \mathfrak{p}_t$ .

Note that the adversary can adaptively vary the number of pebbles used throughout the game, while Fact 3 above addresses only the number of pebbles used before a challenge is known. Nevertheless, we are able to show the following result.

**Theorem 4 (Cumulative pebbling complexity of repeated challenges game).** *The cumulative pebbling complexity of the repeated challenges pebbling game is with high probability  $\Omega(nQ)$ .*

*More precisely, suppose the adversary never has fewer than  $\mathfrak{p}_0$  pebbles. Then for any  $\epsilon > 0$ , with probability at least  $1 - e^{-2\epsilon^2 Q}$  over the choice of the  $Q$  challenges,*

$$\text{cc}_{\text{peb}} \geq \mathfrak{p}_0 + \frac{n}{2} \cdot Q \cdot \left( \frac{1}{2} - \epsilon \right) \cdot \ln 2.$$

*More generally, we replace the condition that the adversary never has fewer than  $\mathfrak{p}_0$  pebbles with the condition  $\mathfrak{p}_t \geq \mathfrak{p}_{\min}$  for some  $\mathfrak{p}_{\min}$  and every  $t \geq 1$ , we need to replace  $\ln 2$  with*

$$\ln \left( 1 + \left( \frac{\mathfrak{p}_{\min}}{\mathfrak{p}_0} \right)^{\frac{1}{Q(\frac{1}{2} - \epsilon)}} \right).$$

This result improves [ACK<sup>+</sup>16b, Theorem 1] by eliminating the  $\log^2 n$  factor from the cumulative memory complexity of the pebbling game. In Appendix B we discuss the general case of  $\mathfrak{p}_t \geq \mathfrak{p}_{\min}$  and show an attack showing that a bound as the above is necessary (up to constant factors in the exponent).

Our approach is general enough to apply to space-time tradeoffs other than inverse proportionality, to other graphs, and even to some other models of computation that do not deal with pebbling. However, we will explain in Section 5.1 why it cannot be used without modification in the parallel random oracle model and other models where space is measured in bits of memory.

*Proof.* Recall time starts at 0,  $\mathfrak{p}_t$  denotes the number of pebbles at time  $t$ , and  $s_i$  denotes the moment in time when challenge number  $i$  (with  $1 \leq i \leq Q$ ) is issued. Let  $t_i$  denote the amount of time needed to answer challenge number  $i$  (thus,  $s_1 = 0$  and  $s_{i+1} = s_i + t_i$ ; let  $s_{Q+1} = s_Q + t_Q$ ). Let  $\text{cc}(t_1, t_2)$  denote  $\sum_{t=t_1}^{t_2} \mathfrak{p}_t$ .

**The main idea of the proof** The difficulty in the proof is that we cannot use  $t_i$  to infer anything about the number of pebbles used during each step of answering challenge  $i$ . All we know is that the number of pebbles has to be inversely proportional to  $t_i$  immediately before the challenge was issued—but the adversary can then reduce the number of pebbles used once the challenge is

known (for, example by keeping pebbles only on  $v_0$  and on the predecessor of the challenge).

The trick to overcome this difficulty is to consider how many pebbles the adversary has to have in order to answer the next challenge not only immediately before the challenge, but one step, two steps, three steps, etc., earlier.

**Warm-up: starting with a stronger assumption** For a warm-up, consider the case when the pebbles/time tradeoff is guaranteed (rather than probabilistic, as in Fact 3): assume, for now, that in order to answer the next random challenge in time  $t$ , it is necessary to have a state of size  $c/t$  right before the challenge is issued. Now apply this stronger assumption not only to the moment  $s$  in time when the challenge is issued, but also to a moment in time some  $j$  steps earlier. The assumption implies that the number of pebbles needed at time  $s - j$  is at least  $c/(j + t)$  (because the challenge was answered in  $j + t$  steps starting from time  $s - j$ , which would be impossible with a lower number of pebbles even if the challenge had been already known at time  $s - j$ ).

We will use this bound for every challenge number  $i \geq 2$ , and for every  $j = 0$  to  $t_{i-1}$ , i.e., during the entire time the previous challenge is being answered. Thus, cumulative pebbling complexity during the time period of answering challenge  $i - 1$  is at least

$$\begin{aligned} \text{cc}(s_{i-1} + 1, s_i) &\geq \sum_{j=0}^{t_{i-1}-1} \mathfrak{p}_{s_i-j} \geq c \left( \frac{1}{t_i} + \frac{1}{t_i + 1} + \cdots + \frac{1}{t_i + t_{i-1} - 1} \right) \\ &\geq c \int_{t_i}^{t_{i-1}+t_i} \frac{dx}{x} = c(\ln(t_{i-1} + t_i) - \ln t_i). \end{aligned}$$

Then adding these up for each  $i$  between 2 and  $Q$ , we get the cumulative pebbling complexity of

$$\text{cc}(1, s_{Q+1}) \geq c \sum_{i=2}^Q (\ln(t_{i-1} + t_i) - \ln t_i).$$

If all  $t_i$  are equal (which is close to the minimum, as we will show below), this becomes  $c(Q - 1) \cdot \ln 2$ .

**Back to the actual assumption** The proof is made messier by the fact that the bound in the assumption is not absolute. Moreover, the bound does not give the number of pebbles in terms of running time, but rather running time in terms of the number of pebbles (it makes no sense to talk probabilistically of the number of pebbles, because the number of pebbles is determined by the adversary before the challenge is chosen). To overcome this problem, we look at the number of pebbles at all times before  $s_i$  and see which one gives us the best lower bound on  $t_i$ .

Consider a point  $t \leq s_i$  in time. We can apply Fact 3 to the size  $\mathfrak{p}_t$  of the set of pebbles  $P_t$  at time  $t$ , because the  $i$ th challenge is selected at random after the adversary determines  $P_t$ . The  $i$ th challenge will be answered  $t_i + (s_i - t)$  steps after time  $t$ ; thus, with probability at least  $\text{pr}_{\text{hard}}$  over the choice of the

$i$ th challenge,  $t_i + (s_i - t) > c/p_t$ , i.e.,  $t_i > c/p_t - (s_i - t)$ . Let  $r_i$  be a moment in time that gives the best bound on  $t_i$ :

$$r_i = \operatorname{argmax}_{0 \leq t \leq s_i} \left( \frac{c}{p_t} - (s_i - t) \right).$$

Call the  $i$ th challenge “hard” if  $t_i + (s_i - r_i) > c/p_{r_i}$ . We claim that if challenge  $i$  is hard, then the same fact about the number of pebbles  $j$  steps before the challenge as we used in the warm-up proof holds.

**Claim 5** *If challenge  $i$  is hard, then for any  $j$ ,  $0 \leq j \leq s_i$ ,  $p_{s_i-j} > c/(t_i + j)$ .*

*Proof.* Indeed, let  $t = s_i - j$ . Then  $c/p_{s_i-j} - j = c/p_t - (s_i - t) \leq c/p_{r_i} - (s_i - r_i)$  by the choice of  $r_i$ . This value is less than  $t_i$  by definition of a hard challenge. Therefore,  $c/p_{s_i-j} - j < t_i$  and the result is obtained by rearranging the terms.  $\square$

We now claim that with high probability, the number of hard challenges is sufficiently high.

**Claim 6** *For any  $\epsilon > 0$ , with probability at least  $1 - e^{-2\epsilon^2 Q}$ , the number of hard challenges is at least  $H \geq Q(\operatorname{pr}_{\text{hard}} - \epsilon)$ .*

*Proof.* The intuitive idea is to apply Hoeffding’s inequality [Hoe63], because challenges are independent. However, the hardness of challenges is not independent, because it may be (for example) that one particular challenge causes the adversary to slow down for every subsequent challenge. Fortunately, it can only be “worse than independent” for the adversary. Specifically, for any fixing of the first  $i - 1$  challenges  $c_1, \dots, c_{i-1}$ , we can run the adversary up to time  $s_i$ ; at this point, time  $r_i$  is well defined, and we can apply Fact 3 to  $r_i$  to obtain that  $\Pr[c_i \text{ is hard} \mid c_1, \dots, c_{i-1}] \geq \operatorname{pr}_{\text{hard}}$ . This fact allows us to apply the slightly generalized version of Hoeffding’s inequality stated in Claim 7 (setting  $V_i = 1$  if  $c_i$  is hard and  $V_i = 0$  otherwise) to get the desired result.  $\square$

**Claim 7 (Generalized Hoeffding’s inequality)** *If  $V_1, V_2, \dots, V_Q$  are binary random variables such that for any  $i$  ( $0 \leq i < Q$ ) and any values of  $v_1, v_2, \dots, v_i$ ,  $\Pr[V_{i+1} = 1 \mid V_1 = v_1, \dots, V_i = v_i] \geq \rho$ , then for any  $\epsilon > 0$ , with probability at least  $1 - e^{-2\epsilon^2 Q}$ ,  $\sum_{i=1}^Q V_i \geq Q(\rho - \epsilon)$ .*

*Proof.* For  $0 \leq i < Q$ , define the binary random variable  $F_{i+1}$  as follows: for any fixing of  $v_1, \dots, v_i$  such that  $\Pr[V_1 = v_1, \dots, V_i = v_i] > 0$ , let  $F_{i+1} = 1$  with probability  $\rho / \Pr[V_{i+1} = 1 \mid V_1 = v_1, \dots, V_i = v_i]$  and 0 otherwise, independently of  $V_{i+1}, \dots, V_Q$ . Let  $W_{i+1} = V_{i+1} \cdot F_{i+1}$ . Note that  $\Pr[W_{i+1} = 1] = \rho$  regardless of the values of  $V_1, \dots, V_i$ , and thus  $W_{i+1}$  is independent of  $V_1, \dots, V_i$ . Since  $F_1, \dots, F_i$  are correlated only with  $V_1, \dots, V_i$ , we have that  $W_{i+1}$  is independent of  $(V_1, \dots, V_i, F_1, \dots, F_i)$ , and thus independent of  $W_1, \dots, W_i$ . Therefore,  $W_1, \dots, W_Q$  are mutually independent (this standard fact can be shown by induction on the number of variables), and thus  $\sum_{i=1}^Q V_i \geq \sum_{i=1}^Q W_i \geq Q(\rho - \epsilon)$  with probability at least  $1 - e^{-2\epsilon^2 Q}$  by Hoeffding’s inequality.  $\square$

Now assume  $H$  challenges are hard. What remains to show is a purely algebraic statement about the sum of  $\mathbf{p}_i$  values when  $H \geq Q(\text{pr}_{\text{hard}} - \epsilon)$  of challenges satisfy Claim 5.

**Claim 8** *Let  $c$  be a real value. Let  $t_1, \dots, t_Q$  be integers,  $s_1 = 0$ , and  $s_i = s_{i-1} + t_i$  for  $i = 2, \dots, Q+1$ . Let  $\mathbf{p}_0, \dots, \mathbf{p}_Q$  be a sequence of real values with  $\mathbf{p}_t > \mathbf{p}_{\min}$  for every  $t \geq 1$ . Suppose further that there exist at least  $H$  distinct indices  $i$ , with  $1 \leq i \leq Q$  (called “hard indices”) such that for any  $0 \leq j \leq s_i$ ,  $\mathbf{p}_{s_i-j} \geq c/(t_i + j)$ . Then*

$$\sum_{i=1}^{s_{Q+1}} \mathbf{p}_i \geq c \cdot H \cdot \ln \left( 1 + \left( \frac{\mathbf{p}_{\min}}{\mathbf{p}_0} \right)^{\frac{1}{H}} \right)$$

*Proof.* Let  $i_1 < i_2 < \dots < i_H$  be the hard indices. Recall the notation  $\text{cc}(i, j) = \sum_{t=i}^j \mathbf{p}_t$ . Then for  $k \geq 2$ ,

$$\begin{aligned} \text{cc}(s_{i_{k-1}} + 1, s_{i_k}) &\geq \text{cc}(s_{i_k} - t_{i_{k-1}} + 1, s_{i_k}) \\ &= \sum_{j=0}^{t_{i_{k-1}}-1} \mathbf{p}_{s_{i_k}-j} \\ &\geq \sum_{j=0}^{t_{i_{k-1}}-1} \frac{c}{t_{i_k} + j} \geq c \cdot (\ln(t_{i_{k-1}} + t_{i_k}) - \ln t_{i_k}) \end{aligned}$$

(the last inequality follows by the same reasoning as in the warm-up). To bound  $\text{cc}(1, Q+1)$ , we will add up the pebbling complexity during these nonoverlapping time periods for each  $k$  and find the minimum over all sets of values of  $t_{i_k}$ . Unfortunately, the result will decrease as  $t_{i_1}$  decreases and as  $t_{i_H}$  increases, and we have no bounds on these values. To get a better result, we will need to consider special cases of  $k = 2$  (to replace  $t_{i_1}$  with  $c/\mathbf{p}_0$ ) and  $k = H + 1$  (to add another term with  $t_{i_{H+1}} = c/\mathbf{p}_{\min}$ ).

For  $k = 2$ , we will bound  $\text{cc}(1, s_{i_2})$  by noticing that  $s_{i_2} \geq t_{i_1} + s_{i_1} \geq c/\mathbf{p}_0$  (where the second step follows by Claim 5 with  $j = s_{i_1}$ ), and therefore

$$\begin{aligned} \text{cc}(1, s_{i_2}) &\geq \sum_{j=0}^{s_{i_2}-1} \mathbf{p}_{s_{i_2}-j} \\ &\geq c \sum_{j=0}^{s_{i_2}-1} \frac{1}{t_{i_2} + j} \geq c \int_{t_{i_2}}^{s_{i_2}+t_{i_2}} \frac{dx}{x} \\ &= c(\ln(s_{i_2} + t_{i_2}) - \ln t_{i_2}) \geq c \cdot (\ln(c/\mathbf{p}_0 + t_{i_2}) - \ln t_{i_2}). \end{aligned}$$

For  $k = H + 1$ ,

$$\begin{aligned} \text{cc}(s_{i_H} + 1, s_{H+1}) &\geq \mathfrak{p}_{\min} \cdot t_{i_H} \geq c \cdot \left( \frac{1}{c/\mathfrak{p}_{\min}} t_{i_H} \right) \\ &\geq c \cdot \left( \frac{1}{c/\mathfrak{p}_{\min}} + \cdots + \frac{1}{c/\mathfrak{p}_{\min} + t_{i_H} - 1} \right) \\ &\geq c \cdot (\ln(t_{i_h} + c/\mathfrak{p}_{\min}) - \ln c/\mathfrak{p}_{\min}). \end{aligned}$$

Adding these up, we get

$$\begin{aligned} \text{cc}(0, s_{i+1}) &= \mathfrak{p}_0 + \text{cc}(1, s_{i_2}) + \text{cc}(s_{i_2} + 1, s_{i_3}) + \dots \\ &\quad + \text{cc}(s_{i_{H-1}} + 1, s_{i_H}) + \text{cc}(s_{i_H} + 1, s_{i_{H+1}}) \\ &\geq \mathfrak{p}_0 + c \cdot \sum_{i=1}^H (\ln(x_i + x_{i+1}) - \ln x_{i+1}), \end{aligned}$$

where  $x_1 = c/\mathfrak{p}_0$ ,  $x_2 = t_{i_2}$ ,  $x_3 = t_{i_3}$ ,  $\dots$ ,  $x_H = t_{i_H}$ , and  $x_{H+1} = c/\mathfrak{p}_{\min}$ .

To find the minimum of this function, observe that the first derivative with respect to  $x_i$  is  $\frac{1}{x_i + x_{i-1}} + \frac{1}{x_i + x_{i+1}} - \frac{1}{x_i}$ , which, assuming all the  $x_i$ s are positive, is zero at  $x_i = \sqrt{x_{i-1}x_{i+1}}$ , is negative for  $x_i < \sqrt{x_{i-1}x_{i+1}}$ , and is positive for  $x_i > \sqrt{x_{i-1}x_{i+1}}$ . Therefore, the minimum of this function occurs when each  $x_i$ , for  $2 \leq i \leq H$ , is equal to  $\sqrt{x_{i-1}x_{i+1}}$ , or equivalently, when  $x_i = c/(\mathfrak{p}_{\min}^{i-1} \mathfrak{p}_0^{H-i+1})^{1/H}$ . This setting of  $x_i$  gives us  $\ln(x_i + x_{i+1}) - \ln x_{i+1} = \ln(1 + (\mathfrak{p}_{\min}/\mathfrak{p}_0)^{1/H})$ , which gives the desired result.  $\square$

Plugging in  $Q \cdot (\text{pr}_{\text{hard}} - \epsilon)$  for  $H$  and  $\text{pr}_{\text{hard}} = \frac{1}{2}$  concludes the proof of Theorem 4.  $\square$

*Remark 1.* The obvious upper bound on  $\text{cc}_{\text{peb}}$  is  $nQ/2$ . Thus, our lower bound is a factor of  $2/\ln 2$  worse. The factor of  $1/\ln 2$  seems inherent to the approach. On the other hand, the remaining loss of 2 does not seem inherent: it stems from the fact that we plug in  $\text{pr}_{\text{hard}} = \frac{1}{2}$  thus count only half the challenges, as if they are only as hard as the median challenge. It would be possible to improve the multiplicative constant in Theorems 1, 4, and 5 by a factor of up to 2 if we find a way to count all the challenges (perhaps by adding up separately complexity for challenges that satisfy multiple cut-offs, instead of just  $\text{pr}_{\text{hard}} = \frac{1}{2}$ ). The stumbling block in making this work is that we “pay” for the complexity of hard challenges by the amount of memory used during previous challenges, even if they are easy.

## 5.1 Why this proof needs to be modified for the parallel random oracle model

The main idea of the proof above is to apply the space-time tradeoff of Fact 3 to every point in time before the challenge is known, arguing that delaying the receipt of the challenge can only hurt the adversary. While this is true in the

pebbling game (via an easy formal reduction—because getting bits does not help get pebbles), it’s not clear why this should be true in the parallel random oracle game, where space is measured in bits rather than pebbles. A reduction from the adversary  $A$  who does not know the challenge to an adversary  $B$  who does would require  $B$  to store the challenge in memory, run  $A$  until the right moment in time, and then give  $A$  the challenge. This reduction consumes memory of  $B$ —for storing the challenge and keeping track of time. In other words,  $A$  can save on memory by not knowing, and therefore not storing, the challenge. While the amount of memory is small, it has to be accounted for, which makes the formulas even messier. Things get even messier if  $A$  is not required to be 100% correct, because, depending on the exact definition of the round game,  $B$  may not know when to issue the challenge to  $A$ .

Nevertheless, this difficulty can be overcome when challenges come from the random oracle, as they do in `script`. We do so in the next section.

## 6 Main Result: Memory Hardness of `script` in the Parallel Random Oracle Model

We are ready to restate our main theorem, which we now prove extending the techniques from the two previous sections. We state it with a bit more detail than Theorem 1.

Fix positive integers  $n \geq 2$  and  $w$ , a string  $X \in \{0, 1\}^w$ , a finite domain  $\mathcal{D}$  that contains at least  $\{0, 1\}^w$ , and let  $\mathcal{R} = \{0, 1\}^w$ .

**Theorem 5.** *Let  $A$  be any oracle machine (in the parallel random oracle model as defined in Section 2) with input  $X$ . Assume  $A^h(X)$  outputs  $S_n^h = \text{script}^h(X)$  correctly with probability  $\chi$ , where the probability is taken over the choice of  $h : \mathcal{D} \rightarrow \mathcal{R}$ . Then for any  $\epsilon > 0$  and  $q \geq 2$ , with probability (over the choice  $h$ ) at least  $\chi - 2qn^4 \cdot 2^{-w} - e^{-2\epsilon^2 n}$  one of the following two statements holds: either  $A^h(X)$  makes more than  $q$  queries (and thus  $\text{cc}_{\text{mem}}(A^{h^n}) > qw$  by definition) or*

$$\text{cc}_{\text{mem}}(A^h(X)) \geq \frac{\ln 2}{6} \cdot \left(\frac{1}{2} - \epsilon\right) \cdot n^2 \cdot (w - 2 \log n - \log q - 1) .$$

To get the statement of Theorem 1, we set  $\epsilon = 1/7$  and observe that then  $e^{-2\epsilon^2 n} = 2^{-\frac{2n}{49 \ln 2}} < 2^{-n/20}$  and  $\frac{\ln 2}{6}(\frac{1}{2} - \frac{1}{7}) > \frac{1}{25}$ . We also plug in  $q = \min(2, \frac{n^2}{25})$  (and therefore  $\log q \leq 2 \log n - 1$ ), thus removing the “either/or” clause (this setting of  $q$  requires us to manually check that the probability statement is correct when  $q > \frac{n^2}{25}$ , i.e.,  $2 \leq n \leq 7$ —a tedious process that we omit here).

The multiplicative constant of  $\ln(2)(1/2 - \epsilon)/6$ , which becomes  $1/25$  in Theorem 1 (and can be as small as  $\approx 1/18$  if we use a smaller  $\epsilon$ ), is about 9–12 times worse than the constant in the naïve `script` algorithm described on p. 4, which has  $\text{cc}_{\text{mem}}$  of  $n^2 w/2$ . We describe approaches that may improve this gap to a factor of only about  $1/\ln 2 \approx 1.44$  in Remarks 1 and 2. We note that there is also gap between  $w$  in the naïve algorithm and  $(w - 2 \log n - \log q - 1)$  in the

lowerbound, which matters for small  $w$  (as values of 20–30 for  $\log n$  and  $\log q$  are reasonable).

The rest of this section is devoted to the proof of this theorem.

## 6.1 Outline of the Approach

Before proceeding with the proof, we justify our proof strategy by highlighting the challenges of extending Theorem 2 and Theorem 4 to this setting. Theorem 2 applies to a fixed random oracle  $h$  and a random challenge. In fact, the proof relies crucially on the ability to try every challenge for a given oracle. However, in the present proof, once the random oracle is fixed, so is every challenge. Moreover, Theorem 4 crucially relies on the uniformity and independence of each challenge, which is issued only when the previous challenge is answered. In contrast, here, again, once the oracle is fixed, the challenges are fixed, as well. Even if we think of the oracle as being lazily created in response to queries, the challenges implicitly contained in the answers to these queries are not necessarily independent once we condition (as we need to in Theorem 2) on the oracle not being in  $\text{bad}_h$ . We resolve these issues by working with multiple carefully chosen random oracles.

Recall our notation:  $X_0 = X$ ,  $X_1 = h(X_0), \dots, X_{n-1} = h(X_{n-2})$ ;  $T_0 = X_{n-1}$ ,  $S_0 = h(T_0)$ , and for  $i = 1, \dots, n$ ,  $T_i = S_{i-1} \oplus X_{S_{i-1} \bmod n}$  and  $S_i = h(T_i)$ . Because we will need to speak of different random oracles, we will use notation  $X_i^h$ ,  $T_i^h$ , and  $S_i^h$  when the label values are being computed with respect to the random oracle  $h$  (to avoid clutter, we will omit the superscript when the specific instance of the random oracle is clear from the context, or when the values are the same for the specific set of random oracles being discussed). We will denote by  $A^h$  the adversary running with oracle  $h$ . (To simplify notation, we will omit the argument  $X$  to the adversary  $A$  for the remainder of this section, since it is fixed.)

Let  $\text{changeModn}(S, i)$  be the function that keeps the quotient  $\lfloor S/n \rfloor$  but changes the remainder of  $S$  modulo  $n$  to  $i$ . Consider the following process of choosing a random oracle (this process is described more precisely in the following section). Choose uniformly at random an oracle  $h_0$ . Choose uniformly at random challenges  $c_1, \dots, c_n$ , each between 0 and  $n-1$ . Let  $h_1$  be equal to  $h_0$  at every point, except  $h_1(T_0^{h_0}) = \text{changeModn}(S_0^{h_0}, c_1)$ . Similarly, let  $h_2$  be equal to  $h_1$  at every point, except  $h_2(T_1^{h_1}) = \text{changeModn}(S_1^{h_1}, c_2)$ , and so on, until  $h_n$ , which is our final random oracle.

This method of choosing  $h_n$  is close to uniform, and yet explicitly embeds a uniform random challenge. Unless some (rare) bad choices have been made, each challenge has about a  $\frac{1}{2}$  probability of taking a long time to answer, by the same reasoning as in Theorem 2. And since the challenges are independent (explicitly through the choices of  $c_i$  values), we can use the same reasoning as in Theorem 4 to bound the cumulative complexity.

The main technical difficulty that remains is to define exactly what those bad choices are and bound their probability without affecting the independence of the challenges. In particular, there are  $n^n$  possible challenge combinations, and

the probability that all of them yield random oracles that are acceptable (cause no collisions and cannot be predicted) is not high enough. We have to proceed more carefully.

The first insight is that if  $h_{k-1}$  is not predictable (i.e., a predictor  $\mathcal{P}$  with a short input cannot correctly extract many oracle values), and no oracle queries up to  $T_{k-1}$  collide, then  $\Pr_{c_k}[\text{time between queries } T_{k-1}^{h_k} \text{ and } T_k^{h_k} \text{ is high}] \geq \frac{1}{2}$ , by the same reasoning as in Theorem 2 (except predictor needs an extra  $\log q$  bits of hint to know when query  $T_{k-1}$  occurs, so as to substitute the answer to  $T_{k-1}$  with  $\text{changeModn}(S_{k-1}, c_k)$  for every possible  $c_k$ ). This allows us to worry about only  $n$  random oracles avoiding collisions and the set predictable (instead of worrying about  $n^n$  random oracles) to ensure that the time between consecutive challenges is likely to be high.

However, the reasoning in the previous paragraph bounds the time required to answer the challenge  $c_k$  only with respect to oracle  $h_k$ . In order to reason about  $A$  interacting with oracle  $h_n$ , we observe that if for every  $k$ ,  $A_k^h$  asks the queries  $X_0, \dots, X_{n-1} = T_0, T_1, \dots, T_n$  in the correct order, then the computation of  $A^{h_n}$  is the same as the computation of  $A^{h_k}$  until the  $k$ th challenge is answered—i.e., until  $T_k$  is queried. Thus, results about each of the oracles  $h_k$  apply to  $h_n$ .

The rest of the work involves a careful probability analysis to argue that the challenges  $c_1, \dots, c_n$  are almost independent even when conditioned on all the bad events not happening, and to bound the probability of these events.

## 6.2 The Detailed Proof

Recall that we assume that the adversary  $A$  is deterministic without loss of generality (this fact will be used heavily throughout the proof). In particular, the randomness of the experiment consists solely of the random oracle  $A$  is given access to.

Following up on the above high-level overview, we now make precise the definition of  $h_k$ . Let  $h_0$  be a uniformly chosen random oracle. Let  $\text{changeModn}(S, i)$  be a function that keeps the quotient  $\lfloor S/n \rfloor$  but changes the remainder of  $S$  modulo  $n$  to  $i$  if possible: it views  $S$  as an integer in  $[0, 2^w - 1]$ , computes  $S' = \lfloor S/n \rfloor \cdot n + i$ , and outputs  $S'$  (viewed as a  $w$ -bit string) if  $S' < 2^w$ , and  $S$  otherwise (which can happen only if  $n$  is not a power of 2, and even then is very unlikely for a random  $S$ ).

**Definition 1.** Let  $\text{roundingProblem}_k$  be the set of all random oracles  $h$  for which the value of at least one of  $S_0^h, \dots, S_k^h$  is greater than  $\lfloor 2^w/n \rfloor \cdot n - 1$  (i.e., those for which  $\text{changeModn}$  does not work on some  $S$  value up to  $S_k$ ).

**Definition 2.** Let  $\text{colliding}_k^*$  be the set of all  $h$  which there is at least one collision among the values  $\{X_0, X_1^h, X_2^h, \dots, X_{n-2}^h, T_0^h, T_1^h, \dots, T_k^h\}$ . Let  $\text{colliding}_k = \text{roundingProblem}_{\min(k, n-1)} \cup \text{colliding}_k^*$ .

**Definition 3.** For every  $k$  ( $0 \leq k < n$ ), let  $h_{k+1} = h_k$  if  $h_k \in \text{colliding}_k$ ; else, choose  $c_{k+1}$  uniformly at random between 0 and  $n - 1$ , let  $h_{k+1}(T_k^{h_k}) =$

changeModn( $S_k^{\mathbf{h}_k}, c_{k+1}$ ), and let  $\mathbf{h}_{k+1}(x) = \mathbf{h}_k(x)$  for every  $x \neq T_k^{\mathbf{h}_k}$ . (Recall that  $\mathbf{h}_0$  is chosen uniformly.)

Note that this particular way of choosing  $\mathbf{h}_{k+1}$  is designed to ensure that it is uniform, as we will argue in Claim 13.

**THE SINGLE CHALLENGE ARGUMENT.** In the argument in Theorem 2, the predictor issues different challenges to  $A$ . Here, instead, the predictor will run  $A$  with different oracles. Specifically, given  $1 \leq k \leq n$  and a particular oracle  $\mathbf{h}_{k-1} \notin \text{colliding}_{k-1}$ , consider the  $n$  oracles  $\mathbf{h}_{k,j}$  for each  $0 \leq j < n$ , defined to be the same as  $\mathbf{h}_{k-1}$ , except  $\mathbf{h}_{k,j}(T_{k-1}^{\mathbf{h}_{k-1}}) = \text{changeModn}(S_{k-1}^{\mathbf{h}_{k-1}}, j)$  (instead of  $S_{k-1}^{\mathbf{h}_{k-1}}$ ).

Since  $\mathbf{h}_{k-1} \notin \text{colliding}_{k-1}$ ,  $T_{k-1}^{\mathbf{h}_{k-1}}$  is not equal to  $X_i^{\mathbf{h}_{k-1}}$  for any  $0 \leq i < n-1$  and  $T_i^{\mathbf{h}_{k-1}}$  for any  $0 \leq i < k-1$ . Therefore (since  $\mathbf{h}_{k-1}$  and  $\mathbf{h}_{k,j}$  differ only at the point  $T_{k-1}^{\mathbf{h}_{k-1}}$ ), we have  $X_i^{\mathbf{h}_{k-1}} = X_i^{\mathbf{h}_{k,j}}$  for every  $0 \leq i \leq n-1$  and  $T_i^{\mathbf{h}_{k-1}} = T_i^{\mathbf{h}_{k,j}}$  for any  $0 \leq i \leq k-1$ . In particular, the execution of  $A$  with oracle  $\mathbf{h}_{k,j}$  will proceed identically for any  $j$  (and identically to the execution of  $A^{\mathbf{h}_{k-1}}$ ) up to the point when the query  $T_{k-1}$  is first made (if ever). We will therefore omit the superscript on  $T_{k-1}$  for the remainder of this argument.

The observation is that the moment  $T_{k-1}$  is queried is the moment when the predictor argument of Theorem 2 can work, by having the predictor substitute different answers to this query and run  $A$  on these different answers in parallel. However, since Section 5 requires a time/memory tradeoff for every point in time before the challenge is given, we will prove a more general result for any point in time before  $T_{k-1}$  is queried.

We number all the oracle queries that  $A$  makes across all rounds, sequentially. We will only care about the first  $q$  oracle queries that  $A$  makes, for some  $q$  to be set later (because if  $q$  is too large, then  $\text{cc}_{\text{mem}}$  of  $A$  is automatically high). Note that  $q$  here is analogous to  $q-1$  in Theorem 2.

Let  $s_k > 0$  be the round in which  $T_{k-1}$  is first queried, i.e., contained in  $\mathbf{q}_{s_k}$ . For an integer  $r \leq s_k$ , consider the output state  $\bar{\sigma}_r$  of  $A^{\mathbf{h}_{k-1}}$  from round  $r$ . Given  $\bar{\sigma}_r$ , consider  $n$  different continuations of that execution, one for each oracle  $\mathbf{h}_{k,j}$ ,  $0 \leq j < n$ . For each of these continuations, we let  $t_j > 0$  be the smallest value such that such  $r+t_j > s_k$  and the query  $T_k^{\mathbf{h}_{k,j}}$  is contained in  $\mathbf{q}_{r+t_j}$  (if ever before query number  $q+1$ ; else, set  $t_j = \infty$ ). We can thus define  $\pi_{ij}$ ,  $\beta_i$ , and  $\text{bestchal}_i$ , blue nodes, and the set  $B$  the same way as in Theorem 2, by counting the number of rounds after round  $r$  (instead of from 0) and substituting, as appropriate “challenge  $j$ ” with  $\mathbf{h}_{k,j}$  and “query  $X_j$ ” with “query  $X_j$  or  $T_k^{\mathbf{h}_{k,j}}$ ” (note that because  $\mathbf{h}_{k-1} \notin \text{roundingProblem}_{k-1}$ ,  $S_{k-1}^{\mathbf{h}_{k-1}} \bmod n = j$ , and so  $T_k^{\mathbf{h}_{k,j}} = X_j \oplus S_{k-1}^{\mathbf{h}_{k-1}}$ ). (We stop the execution of  $A$  after  $q$  total queries in these definitions.)

We now show that, similarly to Claim 1, we can design a predictor algorithm  $\mathcal{P}$  that predicts every  $X_i^{\mathbf{h}_{k-1}}$  in  $B$  by interacting with  $\mathbf{h}_{k-1}$  but not querying it at the predecessors of points in  $B$ . The difference is that instead of running  $A^{\mathbf{h}_{k-1}}$  on  $\sigma_0$  and giving  $A$  different challenges  $j$ ,  $\mathcal{P}$  will run  $A$  with initial input state  $\sigma_r$ , simulating different oracles  $\mathbf{h}_{k,j}$  (which differ from  $\mathbf{h}_{k-1}$  on only one

point—namely, the output on input  $T_{k-1}$ ).  $\mathcal{P}$  gets, as input,  $\sigma_r$  and the same hint as in Claim 1.  $\mathcal{P}$  also needs an additional hint: an integer between 1 and  $q$  indicating the sequential number (across all queries made in round  $r$  or later) of the first time query  $T_{k-1}$  occurs, in order to know when to reply with  $S_{k-1}^{h_{k-1},j} = \text{changeModn}(S_{k-1}^{h_{k-1}}, j)$  instead of  $S_{k-1}^{h_{k-1}}$  itself. Note that this substitution will require  $\mathcal{P}$  to modify the input state  $\sigma_{s_k}$ . If  $s_k > r$ , then  $\mathcal{P}$  will not only be able to answer with  $S_{k-1}^{h_{k-1},j}$ , but will also see the query  $T_{k-1}$  itself as part of the output state  $\bar{\sigma}_{s_k}$ , and will therefore be able to answer subsequent queries to  $T_{k-1}$  consistently. However, if  $s_k = r$ , then we need to give  $T_{k-1}$  to  $\mathcal{P}$  to ensure subsequent queries to  $T_{k-1}$  are answered consistently. In order to so without lengthening the input of  $\mathcal{P}$ , we note that in such a case we do not need  $S_{k-1}^{h_{k-1}}$  in  $\sigma_r$  (since  $\mathcal{P}$  can obtain it by querying  $h_{k-1}$ ), and so we can take out  $S_{k-1}^{h_{k-1}}$  and replace it with  $T_{k-1}$  ( $\mathcal{P}$  will recognize that this happened by looking at the additional hint that contains the query number for  $T_{k-1}$  and noticing that it is smaller than the number of queries  $z_r$  in round  $r$ ).

There is one more small modification: if  $X_j \in B$  and  $\text{bestchal}_j = j$ , then in order to correctly predict  $X_j$  itself (assuming  $X_j \in B$ ),  $\mathcal{P}$  will need one additional bit of hint, indicating whether  $X_j$  is first queried by itself or as part of the “next round,” i.e., as part of the query  $T_k^{h_{k-1},j} = S_{k-1}^{h_{k-1},j} \oplus X_j$  (in which case  $\mathcal{P}$  will need to xor the query with  $S_{k-1}^{h_{k-1},j}$ , which  $\mathcal{P}$  knows, having produced it when answering the query  $T_{k-1}$ ). Finally, note that that  $\log q$  bits suffice for the query number of  $X_i$  on challenge  $\text{bestchal}_i$ , because it is not the same query number as  $T_{k-1}$ , because  $h_{k-1} \notin \text{colliding}_{k-1}$ , so there are  $q - 1$  possibilities plus the possibility of “never”.

We thus need to give (in addition to  $\sigma_r$ )  $\log q + |B|(1 + 2 \log n + \log q)$  bits of hint to  $\mathcal{P}$ , and  $\mathcal{P}$  is guaranteed to be correct as long as  $h_{k-1} \notin \text{colliding}_{k-1}$ .

Suppose  $\sigma_r$  has  $m_r$  bits. Claim 2 does not change. We modify Claim 3 as follows. We replace  $p$  with a function  $p_r$  of the memory size  $m_r$ , defined as

$$p_r = \lceil (m_r + 1 + \log q) / (w - 2 \log n - \log q - 1) + 1 \rceil \quad (1)$$

(note that it is almost the same as the definition of  $p$ , but accounts for the longer hint). We now redefine **predictable** according to our new definition of  $\mathcal{P}$ ,  $p_r$ , and hint length.

**Definition 4.** *The set **predictable** consists of all random oracles  $h$  for which there exists an input state  $\sigma_r$  of size  $m_r$  (such that  $1 \leq p_r \leq n - 1$ ) and a hint of length  $\log q + p_r(1 + 2 \log n + \log q)$ , given which  $\mathcal{P}$  can correctly output  $p_r$  distinct values from among  $X_1^h, \dots, X_{n-1}^h$  without querying them.*

Finally, we replace  $\text{bad}_h$  with  $\text{colliding}_{k-1} \cup \text{predictable}$ . As long as  $h_{k-1} \notin \text{colliding}_{k-1} \cup \text{predictable}$ , we are guaranteed that  $\Pr_j[t_j > n/(2p_r)] \geq 1/2$ , like in Theorem 2.

The discussion above gives us the following lemma (analogous to Theorem 2).

**Lemma 1.** Fix any any  $k$  ( $1 \leq k \leq n$ ). Assume  $\mathbf{h}_{k-1} \notin \text{colliding}_{k-1} \cup \text{predictable}$ . Let  $s_k > 0$  be the smallest value such that  $T_{k-1}^{\mathbf{h}_{k-1}}$  is among the queries  $\mathbf{q}_{s_k}$  during the computation of  $A^{\mathbf{h}_{k-1}}$ . Let  $r \leq s_k$  and  $m_r$  be the bit-length of the input state  $\sigma_r$  of  $A^{\mathbf{h}_{k-1}}$  in round  $r + 1$ . Let  $t_{k,j,r} > 0$  be such that the first time  $T_k^{\mathbf{h}_{k,j}}$  is queried by  $A^{\mathbf{h}_{k,j}}$  after round  $s_k$  is in round  $r + t_{k,j,r}$  (let  $t_{k,j,r} = \infty$  if such a query does not occur after round  $\sigma_k$  or does not occur among the first  $q$  queries, or if  $T_{k-1}^{\mathbf{h}_{k-1}}$  is never queried). Call  $j$  “hard” for time  $r$  if  $t_{k,j,r} > n/(2p_r)$ , where  $p_r = \lceil (m_r + 1 + \log q)/(w - 2 \log n - \log q - 1) + 1 \rceil$ . We are guaranteed that

$$\Pr_j [j \text{ is hard for time } r] \geq \frac{1}{2}.$$

**HARDNESS OF CHALLENGE  $c_k$ .** We continue with the assumptions of Lemma 1. In order to get an analogue of Claim 5, we need to define what it means for a challenge to be hard. Consider running  $A^{\mathbf{h}_k}$ . Let  $t_k > 0$  be such that  $T_k^{\mathbf{h}_k}$  is queried for the first time in round  $s_k + t_k$  (again, letting  $t_k = \infty$  if this query does not occur among the first  $q$  queries). Find the round  $r_k \leq s_k$  such that bit-length  $m_r$  of the input state  $\sigma_r$  in round  $r_k + 1$  gives us the best bound on  $t_k$  using the equation of Lemma 1 (i.e., set  $r_k = \operatorname{argmax}_{0 \leq r \leq s_k} (n/(2p_r) - (s_k - r))$ , where  $m_r$  denotes the size of the state  $\sigma_r$  at the end of round  $r$ , and  $p_r$  is the function of  $m_r$  defined by Equation 1), and define  $c_k$  to be “hard” if it is hard for time  $r_k$ .

**Definition 5.** A challenge  $c_k$  is hard if for  $r_k = \operatorname{argmax}_{0 \leq r \leq s_k} (n/(2p_r) - (s_k - r))$  we have  $t_{k,c_k,r_k} > n/(2p_r)$ , where  $s_k, t_{k,j,r}$  and  $p_r$  are as defined in Lemma 1.

**THE MULTIPLE CHALLENGES ARGUMENT.** So far, we considered hardness of  $c_k$  during the run of  $A$  with the oracle  $\mathbf{h}_k$ . We now need to address the actual situation, in which  $A$  runs with  $\mathbf{h}_n$ . We need the following claim, which shows that the actual situation is, most of the time, identical. Define  $\text{wrongOrder}_k$  as the set of all random oracles  $\mathbf{h}$  for which the values  $\{T_0^{\mathbf{h}}, T_1^{\mathbf{h}}, \dots, T_k^{\mathbf{h}}\}$  are not queried by  $A^{\mathbf{h}}$  in the same order as they appear in the correct evaluation of `script` (when we look at first-time queries only, and only up to the first  $q$  queries).

**Definition 6.**  $\text{wrongOrder}_k$  consists of all  $\mathbf{h}$  for which there exist  $i_1$  and  $i_2$  such that  $0 \leq i_1 < i_2 \leq k$  and, in the run of  $A^{\mathbf{h}}$ , query  $T_{i_2}^{\mathbf{h}}$  occurs, while query  $T_{i_1}^{\mathbf{h}}$  does not occur before query  $T_{i_2}^{\mathbf{h}}$  occurs.

**Claim 9** If for every  $j$  ( $0 \leq j \leq n$ ),  $\mathbf{h}_j \notin \text{colliding}_j \cup \text{wrongOrder}_j$ , then for every  $k$  and  $i \leq k$ ,  $T_i^{\mathbf{h}_n} = T_i^{\mathbf{h}_k}$ , and the execution of  $A^{\mathbf{h}_n}$  is identical to the execution of  $A^{\mathbf{h}_k}$  until the query  $T_k$  is first made, which (for  $1 \leq k \leq n$ ) happens later than the moment when query  $T_{k-1}^{\mathbf{h}_n} = T_{k-1}^{\mathbf{h}_k}$  is first made.

*Proof.* To prove this claim, we will show, by induction, that for every  $j \geq k$  and  $i \leq k$ ,  $T_i^{\mathbf{h}_k} = T_i^{\mathbf{h}_j}$ , and the execution of  $A^{\mathbf{h}_j}$  is identical to the execution of  $A^{\mathbf{h}_k}$  until the query  $T_k$  is first made.

The base of induction ( $j = k$ ) is simply a tautology.

The inductive step is as follows. Suppose the statement is true for some  $j \geq k$ . We will show it for  $j + 1$ . We already established that if  $h_j \notin \text{colliding}_j$ , then  $T_i^{h_j} = T_i^{h_{j+1}}$  for every  $i \leq j$ , and is therefore equal to  $T_i^{h_k}$  by the inductive hypothesis. Since  $h_j$  and  $h_{j+1}$  differ only in their answer to the query  $T_j^{h_j} = T_j^{h_{j+1}}$ , the execution of  $A^{h_{j+1}}$  proceeds identically to the execution of  $A^{h_j}$  until this query is first made. Since  $h_j \notin \text{wrongOrder}_j$ , this moment is no earlier than when the query  $T_k$  is made; therefore, until the point the query  $T_k$  is first made, the execution of  $A^{h_{j+1}}$  proceeds identically to the execution of  $A^{h_j}$  and thus (by the inductive hypothesis) identically to the execution of  $A^{h_k}$ .

The last part of the claim follows because  $h_n \notin \text{wrongOrder}_n$ .  $\square$

We therefore get the following analogue of Claim 5.

**Claim 10** *Given adversary  $A$ , assume for every  $k$  ( $0 \leq k \leq n$ ),  $h_k \notin \text{colliding}_k \cup \text{wrongOrder}_k$ . Let  $c = n/2$ . If challenge  $i$  is hard (i.e.,  $t_i + (s_i - r_i) > c/p_{r_i}$ ), then, during the run of  $A^{h_n}$ , for any  $0 \leq j \leq s_i$ ,  $p_{s_i-j} \geq c/(t_i + j)$ .*

**Definition 7.** *Let  $E_1$  be the event that there are at least  $H \geq n(\frac{1}{2} - \epsilon)$  hard challenges (as defined in Definition 5). Let  $E_2$  be the event that  $h_k \notin \text{colliding}_k \cup \text{wrongOrder}_k$  (see Definitions 2 and 6) for every  $k$ , and  $A^{h_n}$  queries  $T_n^{h_n}$ . Let  $E_q$  be the event that  $A^{h_n}$  makes no more than  $q$  total queries.*

**Claim 11** *If  $E_1 \cap E_2 \cap E_q$ , then*

$$\sum_{r=1}^{s_{n+1}} p_r \geq \ln 2 \cdot \left(\frac{1}{2} - \epsilon\right) \cdot \frac{1}{2} \cdot n^2.$$

*Proof.* Since  $E_2$  holds, every query  $T_0, \dots, T_n$  gets made, in the correct order. Since  $E_q$  holds, all these queries happen no later than query  $q$ , thus ensuring that Claim 10 applies and each  $t_k$  is finite. Moreover, by definition of  $p_r$  in Equation 1,  $p_r \geq 1$  and  $p_0 = 1$ . Therefore, we can apply Claim 8 to the execution of  $A^{h_n}$  to get the desired result.  $\square$

CONVERTING FROM  $\sum p_r$  TO  $\text{cc}_{\text{mem}}$  Now we need to convert from  $\sum p_r$  to  $\sum m_r$ .

**Claim 12** *For every  $r > 0$ ,*

$$m_r \geq p_r \cdot (w - 2 \log n - \log q - 1)/3.$$

*Proof.* By definition of  $p_r$ , we have that

$$p_r = \left\lceil \frac{m_r + 1 + \log q}{w - 2 \log n - \log q - 1} + 1 \right\rceil \leq \frac{m_r + 1 + \log q}{w - 2 \log n - \log q - 1} + 2,$$

since the ceiling adds at most 1. Therefore,

$$(p_r - 2) \cdot (w - 2 \log n - \log q - 1) \leq m_r + 1 + \log q,$$

(because we can assume  $(w - 2 \log n - \log q - 1) > 0$  — otherwise, Theorem 5 is trivially true) and thus

$$m_r \geq (p_r - 2) \cdot (w - 2 \log n - \log q - 1) - \log q - 1 \quad (2)$$

$$\begin{aligned} &= p_r \cdot (w - 2 \log n - \log q - 1) - 2 \cdot (w - 2 \log n - \log q - 1) - \log q - 1 \\ &= p_r \cdot (w - 2 \log n - \log q - 1) - 2 \cdot (w - 2 \log n - 0.5 \log q - 0.5). \end{aligned} \quad (3)$$

Since  $m_r \geq w$  (see our complexity measure definition in Section 2),  $m_r \geq w - 2 \log n - 0.5 \log q - 0.5$  and therefore we can increase the left-hand side by  $2 \cdot m_r$  and the right-hand side by  $2 \cdot (w - 2 \log n - 0.5 \log q - 0.5)$  and the inequality still holds; therefore

$$3m_r \geq p_r \cdot (w - 2 \log n - \log q - 1).$$

□

**Lemma 2.** *Assuming  $E_1 \cap E_2$  (see Definition 7), for any integer  $q$ , either  $A^{\text{hn}}$  makes more than  $q$  queries (and thus  $\text{cc}_{\text{mem}}(A^{\text{hn}}) > qw$  by definition) or*

$$\text{cc}_{\text{mem}}(A^{\text{hn}}(X)) \geq \frac{\ln 2}{6} \cdot \left(\frac{1}{2} - \epsilon\right) \cdot n^2 \cdot (w - 2 \log n - \log q - 1).$$

*Proof.* We observe that if  $A^{\text{hn}}$  makes no more than  $q$  queries, then  $E_1 \cap E_2 \cap E_q$  hold, and we can combine Claims 11 and 12 to get

$$\begin{aligned} \text{cc}_{\text{mem}}(A^{\text{hn}}(X)) &= \sum_{r=1}^{s_{n+1}} m_r \geq \frac{1}{3} \cdot \sum_{r=1}^{s_{n+1}} p_r \cdot (w - 2 \log n - \log q - 1) \\ &\geq \frac{\ln 2}{3} \cdot \left(\frac{1}{2} - \epsilon\right) \cdot \frac{1}{2} \cdot n^2 \cdot (w - 2 \log n - \log q - 1). \end{aligned}$$

*Remark 2.* We can improve the factor of 3 loss that stems from Claim 12 to nearly 1 in one of two ways. First, we can assume that the adversary uses more memory than the absolute minimum (single  $w$ -bit hash value) required by the model: if we can assume that the adversary stores at least as many bits as  $k$  hash values (i.e.,  $m_r \geq kw$ ), then we can increase both sides of (3) by  $2m_r/k$  instead of the  $2m_r$  used in Claim 12, to obtain  $m_r \geq p_r \cdot (w - 2 \log n - \log q - 1)/(1 + 2/k)$ , which, for reasonable  $k$ , is close to the intuitive relationship  $m_r \approx p_r w$ . Alternatively, we can assume the adversary uses less time than maximal by some constant factor: if  $s_{n+1} \leq \frac{1}{k} \cdot \ln 2 \cdot \left(\frac{1}{2} - \epsilon\right) \cdot \frac{1}{2} \cdot n^2$  (and if  $\log q + 1 <$

$w - 2 \log n - \log q - 1$ ) then we can sum (2) for  $r$  from 1 to  $s_{n+1}$  to get

$$\begin{aligned}
\text{CC}_{\text{mem}}(A^{\text{h}_n}(X)) &= \sum_{r=1}^{s_{n+1}} m_r \\
&\geq \sum_{r=1}^{s_{n+1}} ((p_r - 2) \cdot (w - 2 \log n - \log q - 1) - \log q - 1) \\
&\geq \ln 2 \cdot \left(\frac{1}{2} - \epsilon\right) \cdot \frac{1}{2} \cdot n^2 \cdot (w - 2 \log n - \log q - 1) \\
&\quad - s_{n+1} \cdot (3(w - 2 \log n - \log q - 1)) \\
&\geq \left(1 - \frac{3}{k}\right) \cdot \ln 2 \cdot \left(\frac{1}{2} - \epsilon\right) \cdot \frac{1}{2} \cdot n^2 \cdot (w - 2 \log n - \log q - 1) .
\end{aligned}$$

This concludes the proof of Lemma 2.  $\square$

All that remains is to show a lower bound for the probability of  $(E_1 \cap E_2 \cap E_q) \cup E_q$ , and to argue that  $\text{h}_n$  is uniform, because the statement we are trying to prove is concerned with  $A^{\text{h}}$  for uniform  $\text{h}$  rather than with  $A^{\text{h}_n}$ .

THE UNIFORMITY OF ORACLES  $\{\text{h}_k\}$ . Instead of proving  $\text{h}_n$  is uniform, we prove a stronger result that  $\text{h}_k$  is uniform for every  $k$ , which we will need later, anyway.

**Claim 13** *For every  $k$  ( $0 \leq k \leq n$ ),  $\text{h}_k$  is uniform.*

*Proof.* We will prove this claim by induction.  $\text{h}_0$  is uniform by definition. Assume  $\text{h}_j$  is uniform. Either  $\text{h}_j \in \text{colliding}_j$  or not (see Definition 2). By Definition 3, if  $\text{h}_j \in \text{colliding}_j$ , then  $\text{h}_{j+1} = \text{h}_j \in \text{colliding}_j$ ; else,  $\text{h}_{j+1} \notin \text{colliding}_j$ . Thus, it remains to show that if  $\text{h}_j$  is uniform over the complement of  $\text{colliding}_j$ , then so is  $\text{h}_{j+1}$ . Instead of thinking of  $\text{h}_j$  as being chosen all at once, think of it as being lazily sampled, one output at a time, starting with input  $X_0$  and proceeding to inputs  $X_1^{\text{h}_j}, \dots, X_{n-1}^{\text{h}_j}, T_1^{\text{h}_j}, \dots, T_{j-1}^{\text{h}_j}$ , with outputs being selected uniformly from the set of outputs that do not cause  $\text{h}_j$  to fall into  $\text{colliding}_j$ . Since  $T_j^{\text{h}_j}$  is distinct from all of these inputs (because  $\text{h}_j \notin \text{colliding}_j$ ), the value of  $\text{h}_j(T_j^{\text{h}_j})$  does not affect whether  $\text{h}_j$  is in  $\text{colliding}_j$ , and thus the output  $S_j^{\text{h}_j}$  of  $\text{h}_j$  on  $T_j^{\text{h}_j}$  is uniform in  $\{0, 1, \dots, \lfloor 2^w/n \rfloor \cdot n - 1\}$  and independent of the rest of  $T_j^{\text{h}_j}$ . To produce  $\text{h}_{j+1}$ , we replace  $S_j^{\text{h}_j}$  with  $\text{changeModn}(S_j^{\text{h}_j}, c_{j+1})$  for uniformly random  $c_{j+1}$ , which does not change its distribution.  $\square$

PROBABILITY ANALYSIS. Now we show the lower bound for the probability of  $(E_1 \cap E_2 \cap E_q) \cup E_q$ .

We already defined what it means for a challenge  $c_k$  to be hard (see Definition 5).

**Definition 8.** *Define every challenge  $c_k$  ( $0 \leq c_k < n$ ) to be “bad” if  $\text{h}_{k-1} \in \text{colliding}_{k-1} \cup \text{predictable}$  (see Definitions 2 and 4). We denote by  $E_3$  the event that the number of challenges that are hard or bad is at least  $n(\frac{1}{2} - \epsilon)$ . Let  $E_4$  be the event that for every  $k$  ( $0 \leq k < n$ ),  $\text{h}_k \notin \text{predictable}$  (see Definition 4).*

Note that  $E_2 \cap E_4$  implies that no challenge is bad, and therefore  $E_2 \cap E_3 \cap E_4 \Rightarrow E_1$ : i.e., if there are more than  $n(\frac{1}{2} - \epsilon)$  bad or hard challenges, and no challenge is bad, then there are more than  $n(\frac{1}{2} - \epsilon)$  hard challenges. Thus,  $E_2 \cap E_3 \cap E_4 \Rightarrow E_1 \cap E_2$ . We will give a lower bound of  $\Pr[E_2 \cap E_q \cap E_3 \cap E_4]$ , and thus obtain a lower bound for  $\Pr[E_1 \cap E_2 \cap E_q]$ .

Since  $E_2, E_q, E_3$ , and  $E_4$  depend on the oracles  $h_k$ , we need to understand their distribution; as we have proved before in Claim 13, oracle  $h_k$  is uniform for every  $k$  ( $0 \leq k \leq n$ ).

THE UPPER BOUND ON  $\bar{E}_2 \cap E_q$ .  $E_2$  is the event that  $h_k \notin \text{colliding}_k \cup \text{wrongOrder}_k$  (see Definitions 2 and 6) for every  $k$ , and  $T_n^{h_n}$  is queried by  $A^{h_n}$ . We would like to worry about  $E_2$  not for every oracle  $h_0 \dots h_n$ , but only for  $h_n$  (to save a factor of  $n$  in the union bound). The following claim will help us do that.

**Claim 14** *Given adversary  $A$ , if  $h_k \in \text{colliding}_k \cup \text{wrongOrder}_k$  for some  $k$  ( $0 \leq k < n$ ), then  $h_{k+1} \in \text{colliding}_{k+1} \cup \text{wrongOrder}_{k+1}$ , and therefore  $h_n \in \text{colliding}_n \cup \text{wrongOrder}_n$ .*

*Proof.* If  $h_k \in \text{colliding}_k$ , then  $h_{k+1} = h_k$  and we are done. Else, let  $T_{i_2}^{h_k}$  for  $0 < i_2 \leq k$  be a violation of the correct order: the query  $T_{i_1}^{h_k}$  does not occur before the first occurrence of  $T_{i_2}^{h_k}$  (for some  $i_1 < i_2$ ) when  $A^{h_k}$  is run; moreover, if there are multiple violations of the correct order, pick the one that occurs earliest. Note that  $T_k^{h_k}$  is first queried by  $A^{h_k}$  no earlier than  $T_{i_2}^{h_k}$  is: if  $i_2 = k$ , this statement is a tautology, and if  $i_2 < k$ , this statement is true because else the query of  $T_k^{h_k}$  would be an earlier violation. Since  $h_k \notin \text{colliding}_k$  and  $i_1, i_2 \leq k$ , we have  $T_{i_1}^{h_k} = T_{i_1}^{h_{k+1}}$  and  $T_{i_2}^{h_k} = T_{i_2}^{h_{k+1}}$ . Moreover, since  $T_k^{h_k}$  is first queried by  $A^{h_k}$  no earlier than  $T_{i_2}^{h_k}$ , and the computation of  $A^{h_k}$  and  $A^{h_{k+1}}$  proceeds identically until  $T_k$  is first queried, the same violation of the correct order occurs in the computation of  $A^{h_{k+1}}$ : the value of  $T_{i_1}^{h_{k+1}}$  is not queried before  $T_{i_2}^{h_{k+1}}$  is.  $\square$

Thus, to upper bound  $\Pr[\bar{E}_2]$ , it is enough to bound the probability that a uniformly chosen random oracle ( $h_n$ ) is in  $\text{colliding}_n \cup \text{wrongOrder}_n$ , or  $A_n^{h_n}$  does not query  $T_n^h$ . Recall from Definition 2 that the set  $\text{colliding}_n = \text{colliding}_n^* \cup \text{roundingProblem}_{n-1}$ .

**Claim 15**  $|\text{colliding}_n^*| \leq \mathcal{S} \cdot 1.5n^3 2^{-w}$ .

*Proof.* To obtain an upper bound of  $|\text{colliding}_n^*|$ , we consider three possible cases:

- There is a colliding pair of  $X$  values. Let  $i$  be the smallest, and  $j$  the smallest given  $i$ , such that  $X_i^h = X_j^h$  and  $0 \leq i < j < n$ . By our choice of  $i$  and  $j$ , if  $i > 0$ , then  $X_{i-1}^h \neq X_{j-1}^h$  and

$$h(X_{j-1}^h) = h(X_{i-1}^h) ,$$

and if  $i = 0$ , then

$$h(X_{j-1}^h) = X_0 .$$

For each  $i, j$  and distinct  $X_1, \dots, X_{j-1}$ , we can partition all the oracles for which  $X_1^h = X_1, \dots, X_{j-1}^h = X_{j-1}$  into  $2^w$  equal-size subsets according to  $X_j^h$ , and only one of the subsets will contain oracles that have a collision between  $X_i$  and  $X_j$ . Thus, there are at most  $\mathcal{S}2^{-w}$  such oracles; and there are at most  $n^2/2$  possible pairs  $(i, j)$ .

- There is no collision among the  $X$  values, but there is a collision between  $X$  and a  $T$  value. Let  $i$  be the smallest, and  $j$  be the smallest given  $i$ , such that  $X_i^h = T_j^h$  and  $0 \leq i < n$  and  $0 < j \leq n$ . This means  $X_i = \mathbf{h}(T_{j-1}) \oplus X_{S_{j-1} \bmod n}$ ; thus  $\mathbf{h}(T_{j-1})$  has to be in the set  $\{(X_i \oplus X_\ell)\}_{0 \leq \ell < n}$ . We can thus use the same partitioning argument as before, except now oracles with a collision can be in up to  $n$  of the subsets (one for each value of  $\ell$ ). Thus, for each  $i$  and  $j$ , there are at most  $\mathcal{S}n2^{-w}$  oracles that have a collision between  $X_i$  and  $T_j$ , and there are  $n^2$  pairs  $(i, j)$ .
- There are no collisions among the  $X$  values nor a collision between an  $X$  and a  $T$  value, but there is a collision between two  $T$  values. Let  $i$  be the smallest, and  $j$  be the smallest given  $i$ , such that  $T_i^h = T_j^h$  and  $0 < i < j \leq n$ . This means

$$S_{i-1} \oplus X_{S_{i-1} \bmod n} = S_{j-1} \oplus X_{S_{j-1} \bmod n},$$

i.e.,

$$\mathbf{h}(T_{i-1}^h) \oplus X_{S_{i-1} \bmod n} = \mathbf{h}(T_{j-1}^h) \oplus X_{S_{j-1} \bmod n},$$

and thus  $\mathbf{h}(T_{j-1}^h)$  should be in the set  $\{(\mathbf{h}(T_{i-1}^h) \oplus X_{S_{i-1} \bmod n} \oplus X_\ell)\}_{0 \leq \ell < n}$  in order to satisfy the equality. Using the same argument as in the previous paragraph, we know that for each pair  $(i, j)$ , there are at most  $\mathcal{S}n2^{-w}$  oracles  $\mathbf{h}$  with such a collision. And there can be at most  $n(n-1)/2$  such pairs  $(i, j)$ .

Adding up the three cases,  $|\text{colliding}_n^*| \leq \mathcal{S}(.5n^2 + n^3 + .5n^2(n-1))2^{-w} = \mathcal{S} \cdot 1.5n^3 2^{-w}$ .  $\square$

Second, we bound the number of oracles  $\mathbf{h} \in \text{roundingProblem}_{n-1} \setminus \text{colliding}_n^*$  (Definition 1), which is enough (together with the previous bound on the size of  $\text{colliding}_n^*$ ), to bound the size of  $\text{colliding}_n$ .

**Claim 16**  $|\text{roundingProblem}_{n-1} \setminus \text{colliding}_n^*| \leq \mathcal{S}n(n-1)2^{-w}$ .

*Proof.* For each  $i$ ,  $0 \leq i \leq n-1$ , we will bound the size of  $\text{roundingProblem}_i \setminus \text{roundingProblem}_{i-1} \setminus \text{colliding}_n^*$ . The intuitive idea is that  $\mathbf{h}(T_i)$  can take only  $n-1$  out of equiprobable  $2^w$  values in order for  $\mathbf{h}$  to get into this set. However, to make this idea precise, we need to first fix  $T_i$  that does not collide with anything (otherwise, it is not true that all values are equiprobable). To do so, fix any sequence of  $w$ -bit strings  $X_1, \dots, X_{n-1}, S_0, \dots, S_{i-1}$  and let  $T_i = S_{i-1} \oplus X_{S_{i-1}^h \bmod n}$ . Partition the set of all oracles into subsets  $\mathcal{H}(X_1, \dots, X_{n-1}, S_0, \dots, S_{i-1}) = \{\mathbf{h} \text{ s. t. } X_1^h = X_1, \dots, X_{n-1}^h = X_{n-1}, S_0^h = S_0, \dots, S_{i-1}^h = S_{i-1}\}$ . If  $T_i$  is equal to one of  $X_0, \dots, X_{n-1}, T_1, \dots, T_{i-1}$ , then every element of this subset is in  $\text{colliding}_n^*$ . Else, this subset can further be partitioned into  $2^w$  equally sized subsets depending on  $\mathbf{h}(T_i)$ , and only elements of (at most  $n-1$ ) subsets for which  $\mathbf{h}(T_i) > \lfloor 2^w/n \rfloor \cdot n-1$  can be in  $\text{roundingProblem}_i \setminus \text{roundingProblem}_{i-1}$ . Taking the union bound over all  $i$ , we get the claimed result.  $\square$

**Claim 17** *Given adversary  $A$ , the number of oracles  $h \notin \text{colliding}_n^*$  such that  $E_q$  (see Definition 7) holds and  $A^h$  does not query  $T_n^h$  is no more than  $\mathcal{S}(\Pr[E_q] - \chi_q + 2^{-w})$ , where  $\chi_q$  is the probability (for a uniform  $h$ ) that  $A^h$  is successful and makes no more than  $q$  queries.*

*Proof.* First, we will bound the number of oracles outside of  $\text{colliding}_n^*$  for which  $A$  successfully outputs  $S_n$  without querying  $T_n$ . We use a similar approach to the proof of Claim 16 to make sure  $T_n$  is well-defined and can take any of  $2^w$  equally likely values. Define the subset  $\mathcal{H}(X_1, \dots, X_{n-1}, S_0, \dots, S_{n-1})$  the same way as in Claim 16. If  $T_n$  collides with one of  $X_1, \dots, X_{n-1}, T_1, \dots, T_{n-1}$ , then every element in the subset is  $\text{colliding}_n^*$ . Else, partition this subset further into subsets  $H_\alpha$  according to the answers  $\alpha$  given to queries of  $A$ . What  $A$  does, including whether  $A$  queries  $T_n$ , depends only  $\alpha$ , and if  $A$  does not query  $T_n$ , then  $H_\alpha$  can be partitioned into  $2^w$  equal-size parts according to the value of  $h(T_n)$ . Since the output of  $A$  is determined by  $\alpha$ ,  $A$  can be successful for only one of those parts. Thus, there are at most  $\mathcal{S} \cdot 2^{-w}$  oracles outside of  $\text{colliding}_n^*$  for which  $A$  is successful but does not query  $T_n$ .

In addition, there are  $\mathcal{S} \cdot (\Pr[E_q] - \chi_q)$  oracles for which  $A^h$  makes no more than  $q$  queries but fails. □

Finally, we will bound the number of oracles  $h$  such that  $h \in \text{wrongOrder}_n \setminus \text{colliding}_n^*$  (Definitions 6, 2) and  $E_q$  (Definition 7).

**Claim 18** *Given adversary  $A$ , the number of oracles  $h$  such that  $E_q$  holds and  $h \in \text{wrongOrder}_n \setminus \text{colliding}_n^*$  is no more than  $\mathcal{S}qn^22^{-w}$ .*

*Proof.* Let  $j$ ,  $0 < j \leq n$  be the smallest value for which there exists some  $0 \leq i < j$  such that  $T_i^h$  has not been queried by  $A^h$  by the time  $T_j^h$  is. Note that then  $T_{j-1}^h$  has also not been queried by the time of the  $T_j^h$  query (because either  $j = 1$  or else  $j$  would not be the smallest, since  $j - 1$  also satisfies the condition). In order for any given query  $t$  to be equal to  $T_j^h$ , the value  $S_{j-1}^h = h(T_{j-1}^h)$  needs to at least satisfy  $S_{j-1}^h = t \oplus X_c$  for some  $c$ . However,  $t$  is independent of the value  $S_{j-1}^h$  as long as query  $T_{j-1}^h$  hasn't been by the time  $t$  is made. Thus, for every one of  $q$  possible queries, there are at most  $n$  values  $S_{j-1}^h$  (out of  $2^w$  possible ones) that will make this query equal to  $T_j^h$ . (To formalize this argument, we need to make sure  $T_j^h$  is well-defined and can take  $2^w$  possible values, which we do in exactly the same way as in Claim 17, using the fact that  $h \notin \text{colliding}_n^*$ . We omit this formalization to avoid repetition.)

Taking the union bound over all  $q$  queries and  $n$  possible values of  $j$  gives the desired result. □

From all above,  $\Pr[\bar{E}_2 \cap E_q]$  is upper bounded by

$$\Pr[E_q] - \chi_q + (1.5n^3 + n(n-1) + 1 + qn^2) \cdot 2^{-w} \leq \Pr[E_q] - \chi_q + ((q+1)n^2 + 1.5n^3) \cdot 2^{-w},$$

where  $\chi_q$  is the probability (for a uniform  $h$ ) that  $A^h$  is successful and makes no more than  $q$  queries.

THE UPPER BOUND OF  $\bar{E}_3$ . Recall that according to Definition 8,  $E_3$  is the event that the number of challenges that are hard or bad is at least  $n(\frac{1}{2} - \epsilon)$ .

**Claim 19**  $\Pr[\bar{E}_3] \leq e^{-2\epsilon^2 n}$ .

*Proof.* Recall that a challenge  $c_k$  ( $0 \leq c_k < n$ ) is “bad” if  $\mathbf{h}_{k-1} \in \text{colliding}_{k-1} \cup \text{predictable}$ . Define random variable  $V_k = 0$  if challenge  $c_k$  is hard or bad, and  $V_k = 1$  otherwise. We know that for *any* (particular fixing of the values of)  $\mathbf{h}_0, c_1, \dots, c_{k-1}$ , which defines a particular fixing  $(V_1, \dots, V_{k-1}) = (v_1, \dots, v_{k-1})$ ,

$$\Pr_{c_k}[V_k = 1 | (V_1, \dots, V_{k-1}) = (v_1, \dots, v_{k-1})] \geq \frac{1}{2},$$

because if  $\mathbf{h}_{k-1} \notin \text{colliding}_{k-1} \cup \text{predictable}$ , then Lemma 1 applies, and otherwise  $c_k$  is always bad. Now apply Claim 7.  $\square$

THE UPPER BOUND OF  $\bar{E}_4$ . Recall from Definition 8 that  $E_4$  is the event that for every  $0 \leq k < n$ ,  $\mathbf{h}_k \notin \text{predictable}$ . Recall that  $\text{predictable}$  (Definition 4) is the set of random oracles for which a predictor  $\mathcal{P}$  successfully predicts the output of  $\mathbf{h}$  on  $p_r$  distinct inputs given the  $M$ -bit input state and an additional  $(p_r(2 \log n + \log q + 1) + \log q)$ -bit hint. Using the same technique explained in the proof of Claim 3, we can get a similar bound. Note now that here

$$p_r = \lceil (m_r + 1 + \log q) / (w - 2 \log n - \log q - 1) + 1 \rceil,$$

and following the same lines of the proof and the computation in Claim 3, we get a new bound that for each  $\mathbf{h}_k$ ,

$$\Pr[\mathbf{h}_k \in \text{predictable}] \leq 2(n-1)n^2q \cdot 2^{-w}.$$

Indeed, to see why, note that when  $p$  is fixed, the number of possible input states and hints is at most  $2^{(M_p+1)+\log q+p(2 \log n+\log q+1)}$ ; this gives us at most

$$\mathcal{S} \cdot 2^{(M_p+1+\log q)+p(2 \log n+\log q+1-w)} \leq \mathcal{S} \cdot 2^{2 \log n+\log q+1-w}$$

random oracles in  $\text{predictable}$  since  $(M_p+1+\log q) \leq (p-1)(w-2 \log n-\log q-1)$ . Therefore, by adding up bounds for all possible  $p$  from 1 to  $n-1$ ,  $|\text{predictable}|$  does not exceed  $(n-1)\mathcal{S}2^{2 \log n+\log q+1-w} = \mathcal{S}2(n-1)n^2q2^{-w}$ . Finally, by the union bound over the  $n$  oracles  $\{\mathbf{h}_k\}$  (each uniformly distributed), we get  $\Pr[\bar{E}_4] \leq 2q(n-1)n^3 \cdot 2^{-w}$ .

FINAL WRAP-UP. Recall that  $\chi$  is the probability that  $A^{\mathbf{h}}$  succeeds and  $\chi_q$  is the probability that  $A^{\mathbf{h}}$  succeeds and makes at most  $q$  queries. From all above, we have

$$\begin{aligned} \Pr[E_2 \cap E_q \cap E_3 \cap E_4] &\geq \Pr[E_q] - \Pr[\bar{E}_2 \cap E_q] - \Pr[\bar{E}_3] - \Pr[\bar{E}_4] \\ &\geq \chi_q - ((q+1)n^2 + 1.5n^3 + 2q(n-1)n^3) \cdot 2^{-w} - e^{-2\epsilon^2 n} \\ &\geq \chi_q - 2qn^4 \cdot 2^{-w} + (2q - \frac{q}{n} - \frac{1}{n} - 1.5)n^3 \cdot 2^{-w} - e^{-2\epsilon^2 n} \\ &\geq \chi_q - 2qn^4 \cdot 2^{-w} - e^{-2\epsilon^2 n}, \end{aligned}$$

because if  $n \geq 2$  and  $q \geq 2$ , then  $1/n + 1.5 \leq 2 \leq q$ .

Note that  $\chi_q + \Pr[E_q] \geq \chi$ . Therefore,  $\Pr[(E_2 \cap E_q \cap E_3 \cap E_4) \cup E_q] \geq \chi - 2qn^4 \cdot 2^{-w} - e^{-2\epsilon^2 n}$ . Combining this statement with the result of Lemma 2 and the discussion following Definition 8, we get the result of Theorem 5.

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## A On Percival's Proof

We note that Percival [Per09] claims a weaker result than the one in our main theorem, similar in spirit to our single-shot trade-off theorem (Theorem 2 above), in that it considers only a single random challenge, as well as an overall upper bound on the size of the initial state. Also, the proof technique of [Per09] may, at first, somewhat resemble the one used in Theorem 2, where multiple copies of the adversary are run on all possible challenges. In contrast to both this work and

that of [ACK<sup>+</sup>16b], however, Percival considers adversaries with only a limited amount a parallelism.

Upon closer inspection, however, we have found serious problems with the proof in [Per09]. In more detail, the proof considers an adversary running in two stages. In the preprocessing stage the adversary gets input  $B$  and access to  $h$  and must eventually output an arbitrary state (bit-string)  $\sigma$ . In the second phase  $n$  copies of the adversary are run in parallel. For  $x \in [0, n - 1]$  the  $x^{\text{th}}$  copy is given challenge  $x$ , state  $\sigma$  and access to  $h$ . Its goal is to produce output  $h^x(B)$ . The main issue with the proof stems from the fact that information about  $h$  contained within  $\sigma$  is never explicitly handled. Let us be a bit more concrete.

The proof looks in particular at the set  $\bar{R}_i$  of all  $i \in [n]$  of all values  $U$  for which some copy of the adversary queries  $h(U)$  within the first  $i$  steps. Here, some key aspects remain undefined. For instance, it is unclear whether the initial time step in the second phase is 0 or 1, and consequently, there is also no clear definition of the contents of the set  $\bar{R}_0$ . We briefly discuss now why, no matter how we interpret  $\bar{R}_0$ , the technique does not imply the desired statement.

Suppose we assume that  $\bar{R}_0$  is the set of queries to  $h$  made by the adversary in this first step of the second stage. In particular, for all  $i$ , the set  $\bar{R}_i$  contains only queries to  $h$  made during the second phase of the execution. However this creates a serious problem. At a (crucial) later step in the proof it is claimed that if  $h^{x-1}(B) \notin \bar{R}_{i-1}$ , then the probability that  $h^x(B)$  is queried at the  $i$ -th step is the same as simply guessing  $h^x(B)$  out of the blue (a highly unlikely event). But this statement is now incorrect as it ignores potential information contained in the state  $\sigma$ . For example  $\sigma$  may even contain  $h^x(B)$  explicitly making it trivial to query  $h$  at that point at any time  $i$  regardless of the contents of  $\bar{R}_{i-1}$ .

Suppose instead that we assume the time of the second phase begins at 1 leaving  $\bar{R}_0$  open to interpretation. Setting  $\bar{R}_0 = \emptyset$  leads to the exact same problem as before. So instead, in an attempt to avoid this pitfall, we could let  $\bar{R}_0$  be the set of queries made during the pre-computation stage. Indeed, if  $h^{x-1}(B) \notin \bar{R}_i$  then that means  $h^{x-1}(B)$  was not queried while  $\sigma$  was being prepared and so (whp)  $\sigma$  contains no information about  $h^x(B)$  avoiding the previous problem. Yet here too we run into issues. Consider the following adversary  $\mathcal{A}$ : In the preprocessing stage  $\mathcal{A}$  makes all queries  $h^x(B)$  for  $x \in [0, n - 1]$  and then generates some state  $\sigma$  (what this state really is, and how the adversary proceeds in the second stage is somewhat irrelevant). In particular for this adversary, for all  $i$  the set  $\bar{R}_i$  already contains all relevant queries  $\{h^x(B) : x \in [0, n - 1]\}$ . Most of the remainder of the proof is concerned with upper bounding the expected size of  $\bar{R}_i$ . But in the case of  $\mathcal{A}$  for each  $i$  we now have  $|\bar{R}_i| \geq n$  which contradicts the bounds shown in the proof. Worse, when plugging in this new upper bound into the remaining calculations in the proof we would get that the expected runtime of each instance of  $\mathcal{A}$  in the second phase is at least 0; an uninteresting result. Thus this too can not be the right interpretation. Unfortunately, we were unable to come up with any reasonable interpretation which results in an interesting statement being proven.

In conclusion, we note that the proof can be adapted to the randomized pebbling setting, as considered in [ACK<sup>+</sup>16b]. However, we note that for this setting, [ACK<sup>+</sup>16b] already contains a much simpler proof of such a single-shot trade-off theorem. We also note that Theorem 2 confirms that Percival's statement is in fact true, although using a very different proof technique.

## B An Attack to the Repeated Challenges Pebbling Game.

We discuss more in detail the general bound of Theorem 4. Note first that the term

$$\ln \left( 1 + \left( \frac{\mathbf{p}_{\min}}{\mathbf{p}_0} \right)^{\frac{1}{Q(\frac{1}{2}-\epsilon)}} \right)$$

can be small when both the ratio  $\frac{\mathbf{p}_{\min}}{\mathbf{p}_0}$  and the number of challenges  $Q$  are small. For example, when  $\frac{\mathbf{p}_{\min}}{\mathbf{p}_0} = 1/n$  and  $Q = \log n / \log \log n$ , the above term is less than  $1/\log n$ . (Notice that when the number of challenges  $Q = n$ , the above term has a constant lower bound around  $1/2$ , and the lower bound of  $\mathbf{cc}_{\text{peb}}$  is still  $\Omega(n^2)$ .) We want to discuss here why this phenomenon is inherent, by giving a concrete pebbling strategy when  $\mathbf{p}_0 > \mathbf{p}_{\min}$ .<sup>8</sup> The cumulative pebbling complexity of the attack is no more than

$$\mathbf{p}_0 + nQ \cdot \left( \frac{\mathbf{p}_{\min}}{\mathbf{p}_0} \right)^{1/Q} + \frac{2n}{\mathbf{p}_{\min}} \cdot \frac{1}{\left( \frac{\mathbf{p}_0}{\mathbf{p}_{\min}} \right)^{1/Q} - 1}.$$

Notice that since  $\ln(1+x) \approx x$  when  $x$  is small, when the term  $\left( \frac{\mathbf{p}_{\min}}{\mathbf{p}_0} \right)^{1/Q}$  is small, the cumulative complexity of the attack is not far from the general lower bound we have in Theorem 4.

Roughly speaking, the strategy is as follows: the adversary can prepare a lot of pebbles in the beginning, and then scale down the number of pebbles quickly after she knows the next challenge; by the end of the game, the number of pebbles is reduced down to  $\mathbf{p}_{\min}$ .

More precisely, set the scale parameter  $c = \left( \frac{\mathbf{p}_0}{\mathbf{p}_{\min}} \right)^{1/Q}$ . The attack works as follows: initially, the adversary puts  $\mathbf{p}_0$  equidistant pebbles on nodes

$$\left( 0, \left\lceil \frac{n}{\mathbf{p}_0} \right\rceil, \dots, (\mathbf{p}_0 - 1) \cdot \left\lceil \frac{n}{\mathbf{p}_0} \right\rceil \right).$$

Notice that every node  $0 \leq i < n$  has a nearest pebbled predecessor whose distance to  $i$  is no more than  $n/\mathbf{p}_0$ . After knowing the first challenge, the adversary

<sup>8</sup> Note when  $\mathbf{p}_0 = \mathbf{p}_{\min}$ , we can simply keep pebble at node 0 and move the pebble to the challenge node in less than  $n$  steps after the challenge is given. Since the number of pebbles in every moment is no more than 2, the cumulative pebbling complexity is  $\mathbf{p}_0 + 2nQ$ .

keeps at most  $\lceil \frac{p_0}{c} \rceil + 1$  pebbles and immediately removes all other pebbles. In particular, among the current  $p_0$  pebbles, the adversary keeps pebble  $x_1^*$  which is the nearest pebbled predecessor to the first challenge node; additionally, for every node  $s_i = i \cdot \lceil \frac{cn}{p_0} \rceil$  where  $0 \leq i < \lceil \frac{p_0}{c} \rceil$ , the adversary keeps the pebble which is the nearest pebbled predecessor to  $s_i$ .<sup>9</sup> Then in each step, for every pebble at node  $i$  such that

- $i$  is not the first challenge node.
- For any  $0 \leq j < \lceil \frac{p_0}{c} \rceil$ ,  $i \neq s_j$ .

the adversary generates a pebble at node  $i + 1$ , and removes pebble  $i$  (in parallel).<sup>10</sup> Intuitively, after each step, every pebble is becoming one step closer to their target node. The process ends whenever the first challenge node is pebbled, and every node is pebbled in set

$$\left( 0, \left\lceil \frac{cn}{p_0} \right\rceil, \dots, \left( \left\lceil \frac{p_0}{c} \right\rceil - 1 \right) \cdot \left\lceil \frac{cn}{p_0} \right\rceil \right).$$

Since every node  $0 \leq i < n$  has a nearest pebbled predecessor whose distance is no more than  $n/p_0$ , it is easy to see that the process takes at most  $n/p_0$  steps<sup>11</sup>.

More generally, just before the  $k^{\text{th}}$  challenge is given, pebbles are put on nodes

$$\left( 0, \left\lceil \frac{c^{k-1}n}{p_0} \right\rceil, \dots, \left( \left\lceil \frac{p_0}{c^{k-1}} \right\rceil - 1 \right) \cdot \left\lceil \frac{c^{k-1}n}{p_0} \right\rceil \right).$$

Notice that every node  $0 \leq i < n$  has a nearest pebbled predecessor whose distance to  $i$  is no more than  $\frac{c^{k-1}n}{p_0}$ . After knowing the  $k^{\text{th}}$  challenge, the adversary keeps at most  $\lceil \frac{p_0}{c^k} \rceil + 1$  pebbles and immediately removes all other pebbles. In particular, among the current  $\lceil \frac{p_0}{c^{k-1}} \rceil$  pebbles, the adversary keeps pebble  $x_k^*$  which is the nearest pebbled predecessor to the  $k^{\text{th}}$  challenge node; additionally, for every node  $s_i = i \cdot \lceil \frac{c^k n}{p_0} \rceil$  where  $0 \leq i < \lceil \frac{p_0}{c^k} \rceil$ , the adversary keeps the pebble which is the nearest pebbled predecessor to  $s_i$ . Therefore, with similar argument above, the adversary can use no more than  $\frac{c^{k-1}n}{p_0}$  steps to pebble the  $k^{\text{th}}$  challenge (thus can answer it and receive the next challenge in the next step), and put pebbles on nodes

$$\left( 0, \left\lceil \frac{c^k n}{p_0} \right\rceil, \dots, \left( \left\lceil \frac{p_0}{c^k} \right\rceil - 1 \right) \cdot \left\lceil \frac{c^k n}{p_0} \right\rceil \right).$$

<sup>9</sup> Notice that for different  $s_i < s_j$ , the nearest pebbled predecessors to nodes  $s_i$  and  $s_j$  are different, because  $s_j - s_i \geq n/p_0$  and thus the interval  $[s_i, s_j]$  has at least one pebble.

<sup>10</sup> There is a special case in which the nearest pebbled predecessor of the first challenge node and some node  $s_j$  is identical; in this case, the adversary still generates pebble at node  $i + 1$  even if  $i = s_j$  or  $i$  is the node of the first challenge node.

<sup>11</sup> Note that we remove the redundant pebbles and move the remaining pebbles one step closer to target nodes simultaneously; instead of using one step for removing, and then one step for moving the remaining pebbles.

During the period for pebbling the  $k^{\text{th}}$  challenge node, in every moment, the adversary keeps at most  $\lceil \frac{p_0}{c^k} \rceil + 1$  pebbles, and it takes the adversary at most  $\frac{c^{k-1}n}{p_0}$  steps to pebble the challenge node. Thus we obtain an upper bound of the cumulative complexity during this period

$$\left( \lceil \frac{p_0}{c^k} \rceil + 1 \right) \cdot \frac{c^{k-1}n}{p_0}.$$

Therefore, after  $Q$  challenges, the upper bound of the adversary's cumulative pebbling complexity is

$$\begin{aligned} \text{cc}_{\text{peb}} &\leq p_0 + \sum_{k=1}^Q \left( \lceil \frac{p_0}{c^k} \rceil + 1 \right) \cdot \frac{c^{k-1}n}{p_0} \\ &\leq p_0 + \sum_{k=1}^Q \left( \frac{p_0}{c^k} + 2 \right) \cdot \frac{c^{k-1}n}{p_0} \\ &= p_0 + \frac{nQ}{c} + \frac{2n}{p_0} \cdot \sum_{k=1}^Q c^{k-1} \leq p_0 + \frac{nQ}{c} + \frac{2n}{p_0} \cdot \frac{c^Q}{c-1}, \end{aligned}$$

plugging in  $c = \left( \frac{p_0}{p_{\min}} \right)^{1/Q} > 1$ , we have

$$\text{cc}_{\text{peb}} \leq p_0 + nQ \cdot \left( \frac{p_{\min}}{p_0} \right)^{1/Q} + \frac{2n}{p_{\min}} \cdot \frac{1}{\left( \frac{p_0}{p_{\min}} \right)^{1/Q} - 1}.$$