# General Adversary Structures in Byzantine Agreement and Multi-Party Computation with Active and Omission Corruption

Konstantinos Brazitikos<sup>1</sup> and Vassilis Zikas<sup>2</sup>

<sup>1</sup> University of Edinburgh, U.K. K.Brazitikos@sms.ed.ac.uk <sup>2</sup> Purdue University, U.S.A. vzikas@cs.purdue.edu

**Abstract.** Typical results in multi-party computation (in short, MPC) capture faulty parties by assuming a threshold adversary corrupting parties actively and/or fail-corrupting. These corruption types are, however, inadequate for capturing correct parties that might suffer temporary network failures and/or localized faults—these are particularly relevant for MPC over large, global scale networks. Omission faults and general adversary structures have been proposed as more suitable alternatives. However, to date, there is no characterization of the feasibility landscape combining the above ramifications of fault types and patterns.

In this work we provide a tight characterization of feasibility of MPC in the presence of general adversaries—characterized by an adversary structure—that combine omission and active corruption. To this front we first provide a tight characterization of feasibility for Byzantine agreement (BA), a key tool in MPC protocols—this BA result can be of its own separate significance. Subsequently, we demonstrate that the common techniques employed in the threshold MPC literature to deal with omission corruptions do not work in the general adversary setting, not even for proving bounds that would appear straightforward, e.g., sufficiency of the well known  $Q^3$  condition on omission-only general adversaries. Nevertheless we provide a new protocol that implements general adversary MPC under a surprisingly complex, yet tight as we prove, bound. All our results are for the classical synchronous model of computation.

As a contribution of independent interest, our work puts forth, for the first time, a formal treatment of general-adversary MPC with (active and) omission corruptions in Canetti's universal composition framework.

## 1 Introduction

Multi-party computation (MPC) enables n parties to securely compute a function on their joint input. To capture parties' misbehavior one typically considers a central adversary corrupting parties and using them to attack the protocol. The most common corruption type for such an adversary is *active* corruption—the adversary takes full control of a corrupted party. Security against such an active adversary offers strong guarantees, but allowing the adversary to take full control of corrupted parties is an overkill to capture more benign types of misbehavior or just faults. In fact, this typically yields restrictions both in the feasibility—e.g., tolerable number of corruptions—and in terms of efficiency. As a result, different types of corruption have been investigated to capture such benign faults scenarios.

In the opposite extreme of active corruption, *fail-corruption* (aka fail-crash corruption or fail-stop corruption) allows the adversary to make a party crash (irrevocably) at any point of the protocol he chooses without having knowledge of the party's internal state.<sup>3</sup> Naturally, adversaries with fail-corruption allow for better feasibility and efficiency bounds than active adversaries, but this corruption type is often criticized as too benign. As an example, fail-corruption is too weak for capturing faults caused by temporary issues on

<sup>&</sup>lt;sup>3</sup> In the distributed computing literature, omission-corrupted parties are often considered also semi-honest. This is suitable for classical distributed computing tasks, e.g., Byzantine agreement (see below), where input privacy is a lesser issue. However, since here we are interested in MPC, we will follow the cryptographic convention of considering it separate.

the network of otherwise *correct*—i.e., protocol abiding—parties. This gave raise to the study of the so called *omission-corruption*, which allows the adversary to selectively drop incoming and/or outgoing messages of the corrupted party, but obliviously of the message contents or the party's internal state.

On a different dimension, the two standard ways to capture the adversary's corruption patterns are via threshold and general adversaries. A threshold adversary is specified by the maximum number (threshold) of possible corruptions. This model can, again, be considered overly pessimistic, and therefore restrictive, when one considers situations in which certain combinations of faulty parties are unlikely. The concept of a general adversary (structure) is the alternative, fine-grained way which better captures such a situation: Rather than the maximum number of corruptions, a general adversary structure Z enumerates all possible combinations of corrupted parties, therefore giving more flexibility in describing the adversary's capabilities.

Tight feasibility bounds have been established for both threshold and general adversaries in the context of active corruptions and fail-corruptions, and even their combination (see Section 1.1 below for an overview). However, to our knowledge, omission corruption has not been considered for general adversaries, neither in isolation nor in conjunction with active corruption. Furthermore, all work on omission corruptions or general adversaries uses the property-based security definition of MPC, as opposed to simulation based security which is not only more general but, as we discuss is needed for completing the proofs of these works that rely on composing smartly designed sub-protocols. In a nutshell, our work provides the first characterization of the feasibility landscape of Byzantine agreement (BA)—the core primitive in fault-tolerant distributed computation and standard building block of MPC—and of secure multi-party computation (MPC) for general adversaries that might corrupt some parties actively (i.e., force byzantine faults) and, simultaneously, omission corrupt other parties. Concretely, we prove a tight feasibility bound for both synchronous consensus and broadcast in the perfect (security) setting, i.e., information theoretic security with zero error probability. We then turn to the study of MPC in this model. As we show (see discussion of MPC results in Section 2), translating threshold bounds to this setting is far from trivial—this reaffirms what the complex bounds of Beerliova et al. [BFH<sup>+</sup>08] demonstrate for the active/passive/fail case. Furthermore, existing arguments and techniques from the cryptographic literature are inadequate for proving even what one would consider a simple and intuitive feasibility result. Notwithstanding, we provide a tight feasibility bound for MPC in this setting by developing a new protocol for (publicly) detectable point-to-point secure communication and proving a tight bound for this task. In fact, a look at the complexity in the associated (*tight*) bound (see Eq. 11) serves as a perfect demonstration of the technical challenges associated with devising such a bound, protocol, and associated tightness proof.

Finally, our results are proven secure in a simulation-based composable framework. Although we do not consider this to be our key technical contribution, it is, to our knowledge, a first both for general (mixed) adversary MPC and for MPC with omission corruptions. Our treatment demonstrates the challenges of a composable treatment of omission-faults. Therefore we believe it to be a milestone in the literature which can be of independent interest.

### 1.1 Related Literature

In this section we discuss the related literature, where we focus on synchronous<sup>4</sup> protocols with perfect security, i.e., with zero error probability, which is also the type of protocols we develop here.

Byzantine Agreement (BA). BA comes in two flavors: consensus and broadcast. In consensus, n parties, each with its own input, wish to agree on a joint output, so that pre-agreement is preserved. In broadcast, only one party, the sender, has input, and the goal is to distribute it in a consistent manner to all parties, so that consistency is achieved even if some of the parties are actively corrupted (cf. Section 3.6, 3.7). The seminal results by Lamport, Shostak, and Pease[PSL80,LSP82], showed that Consensus and Broadcast are feasible if and only if at most t parties are byzantine, where t < n/3. Follow up work has extended the above results to various models capturing different types of synchrony, alternative networks, and setup assumptions such as a public key infrastructure.

<sup>&</sup>lt;sup>4</sup> We note that the feasibility questions discussed here have not been considered in any other model, e.g., asynchronous or partially synchronous; we consider this an interesting future direction.

Multi-party computation (MPC). In MPC we have n parties from a set  $\mathcal{P} = \{p_1, \ldots, p_n\}$ , each with a private input  $x_i$  who wish to securely compute a function on their joint input, even in the presence of faulty parties. Faulty parties are captured by assuming a central adversary that corrupts parties and uses them to orchestrate a coordinated attack to break the protocol's security, where the two main security goals are privacy—corrupted parties should learn nothing beyond their prescribed inputs and output, and correctness—the adversary should not be able to affect the output of the computation in any other way than choosing his own inputs independently of that of uncorrupted parties. The typical type of corruption is active. Actively corrupted parties are often referred to as malicious or byzantine and the set containing them is denoted as A.

MPC was introduced by Yao [Yao82] where feasibility of two-party computation was shown. The seminal works of Ben-Or, Goldwasser, and Wigderson [BGW88] gave the first feasibility results for perfect security (that is, information-theoretic with zero error probability) for a threshold adversary. In particular it was shown that t < n/3 is both necessary and sufficient for perfectly secure MPC in the synchronous malicious adversary model.

General adversary structures. General adversaries have also been studied for both BA and MPC. Here, for the case of perfect security, Hirt and Maurer [HM97,HM00] proved that a necessary and sufficient condition, if no setup<sup>5</sup> is assumed, for a general adversary structure—with active corruptions—to be tolerable is that the union of no three sets in the adversary structure  $\mathcal{Z}$  covers the whole player set, a condition which is often referred to as the  $Q^3$  condition:<sup>6</sup>

$$CP_{CONS}^{(A)}(\mathcal{P},\mathcal{Z}) \iff Q_A^3(\mathcal{P},\mathcal{Z}) \iff \forall A_i, A_j, A_k \in \mathcal{Z} : A_i \cup A_j \cup A_k \neq \mathcal{P}.$$
 (1)

The above tight condition holds for perfectly secure BA (both consensus and broadcast) and MPC. This was later extended to the mixed setting adding fail-corruption faults in [AFM99] and a combination of fail-corruption and passive corruption by Beerliova *et al.* [BFH<sup>+</sup>08] (We refer to [Zik10] for a comprehensive survey of the relevant literature).

The results from [BFH<sup>+</sup>08] offer a first demonstration of the unstranslatability of threshold feasibility results to the general adversary setting. Indeed, in the threshold setting, the active/passive/fail (tight) bound, i.e.,  $3t_a + 2t_p + t_f < n$  [FHM98], is a simple combination of the corresponding active-only ( $3t_a < n$ ), passiveonly ( $2t_p < n$ ), and fail-crash-only ( $t_f < n$ ) bounds. On the other hand, in the general adversary setting, the tight (necessary and sufficient) bound is the combination of the following two conditions (each of them is necessary) [BFH<sup>+</sup>08, Theorem 1]:

$$\forall (A_i, E_i, F_i), (A_j, E_j, F_j), (A_k, E_k, F_k) \in \mathcal{Z} : E_i \cup E_j \cup A_k \cup (F_i \cap F_j \cap F_k) \neq \mathcal{P}$$
(2)

and

$$\forall (A_i, E_i, F_i), (A_j, E_j, F_j), (A_k, E_k, F_k) \in \mathcal{Z} : E_i \cup A_j \cup A_k \cup (F_j \cap F_k) \neq \mathcal{P}.$$
(3)

Each of the above triples (A, E, F), so-called adversary *classes*, describes the choice of the adversary specified by this class—namely the parties in A, E, and F, are actively, passively, and fail- corrupted, respectively.

In fact, the inability to translate threshold bounds to general adversaries is further demonstrated by the fact that if one is interested in non-reactive (one-shot) computation of a function, a problem often referred to as *Secure Function Evaluation (SFE)*, then the following *strictly weaker* (and substantially more complex) bound is necessary and sufficient [BFH<sup>+</sup>08, Theorem 2]: The bound from Equation 2 together with the following condition

 $\exists$  an ordering  $(A_1, E_1, F_1), ..., (A_m, E_m, F_m)$  of the maximal classes in  $\mathcal{Z}$  s.t.

$$\forall i, j, k \in \{1, \dots, m\}, i \leqslant k : E_k \cup A_i \cup A_j \cup (F_i \cap F_j) \neq \mathcal{P}.$$
 (4)

 $<sup>^5</sup>$  Note that "no setup" implies that we cannot use cryptographic tools such as digital signatures.

<sup>&</sup>lt;sup>6</sup> Here we denote the classical  $Q^3$  condition as  $Q^3_A$  to explicitly state that it only applies to active corruptions.

The above results demonstrate the untranslatability of threshold to general adversary results in the active/passive/fail setting. As we show in this work, a similar untranslatability—with even more counterintuitive phenomena (see Section 2 for a discussion)—is evident also in our active/omission corruptions setting.

Omission Faults. The first variant of omissions was introduced to the distributed literature by Hadzilacos [Had85], where the notion of send-only omissions was introduced. There, and it was proven that t < n(send-)omission faults are necessary and sufficient for BA. Full (send and receive) omission faults were proposed by Perry and Toueg [PT86], who affirmed the t < n bound for that more general model. A long line of follow-ups investigated the problem. As it can be seen in [AP99], the recovery of crashed components is often considered a built-in feature of the distributed replication systems, meaning that crash failures are treated in essence as omissions, making omissions appear frequently in the literature.

Importantly, in [Had85,PT86], a weaker variant of BA with omissions was considered, where the consistency guarantee was limited to the output of the non-faulty (i.e., uncorrupted/honest) players—meaning that omission-corrupted players were treated as malicious, and were not given any output guarantees. The case where the output of both honest and omission-corrupted players should be guaranteed (whenever possible) was treated by Raynal and Parvedy in [Ray02,PR03] where it was proved that the tight bound on omissions with this requirement becomes t < n/2. We note in passing that this latter, more natural and challenging way is also how we treat omissions in this work.

In the cryptographic literature omission faults (also referred to as omission corruption and denoted by  $\Omega$ ) were first studied by Koo[Koo06] who proved that for a (mixed-corruption) adversary who can corrupt up to  $t_a$  parties actively and omission corrupt up to  $t_{\omega}$  parties,  $3t_a + 2t_{\omega} < n$  is sufficient for Consensus and  $4t_a + 3t_{\omega} < n$  is sufficient for MPC. Follow-up work by Hauser, Maurer, and Zikas [ZHM09] provided the first tight bounds proving that  $3t_a + 2t_{\omega} < n$  is both necessary and sufficient for BA and MPC in the perfect security (synchronous) setting. The results were extended in [Zik10] by adding fail corruption.

More recently, Eldefrawy, Loss, and Terner [ELT22] investigated computational security for the case where send and receive omission faults have different thresholds  $t_s$  and  $t_r$  respectively. This case was also treated in [ZHM09] but for perfect security only. As demonstrated in [ELT22] the shift to computational security carries unexpected complications, which is yet another indication of the challenges associated with omissioncorruption. More concretely, [ELT22] proved that in this setting  $t_s + t_r + 2t_b < n$  is sufficient for MPC—where  $t_b$  is the threshold on byzantine parties. They also proved this bound tight, albeit for a weaker adversary that performs what they termed "spotty" send-corruptions: messages from a send-(omission-)corrupted player in any round are either all delivered or none of them is.

This lower bound was recently improved by Loss and Stern [LS23] to cover a worst-case adversary, i.e., without spotty send-omission corruptions. In fact, this seemingly simple generalization required developing novel techniques to deal with omissions, an additional indication of the challenges related to feasibility in the presence of active and omission corruptions.

We note in passing that although in the threshold case separating omissions to send-omissions and receiveomissions helps to find tight feasibility bounds [ZHM09,ELT22,LS23], this does not appear to be the case in the general adversary setting. Indeed, splitting omissions this way would complicate the description of the adversary structure—one would need two sets in each class to describe just omissions—and we conjecture this would also yield more complex and less intuitive bounds.

#### 1.2 The Model

We consider *n* parties from a party set  $\mathcal{P} = \{p_1, \ldots, p_n\}$ . The parties can communicate via a complete network of bilateral point-to-point secure (i.e., authenticated and private) channels [BGW88]. (We note in passing that our BA protocol does not need privacy and can just rely on standard authenticated channels; however, privacy is necessary for perfectly secure MPC results). We assume synchronous communication as in [LSP82,BGW88,CCD88], i.e., all our protocols advance in rounds; every party is aware of the current round and can send messages to all other parties, where messages sent in any round are delivered to their intended recipients by the beginning of the following round. For simplicity in the exposition, for protocols that build on top of broadcast we assume that each of their round is a broadcast round (i.e., a round where all parties can broadcast a message). This does not affect composition of the total counting of rounds as our broadcast protocol is deterministic and therefore we do not run into the known issues of probabilistic termination [CCGZ16]. Furthermore, to make the protocols description simpler we will assume that each sub-protocol has a dedicated *output round* where the parties do not send any messages to each other, but use messages they have received to compute their (sub-)protocol's output(s). This does add a constant overhead on sequentially composing protocols, but makes for a much cleaner abstraction and does not affect the nature of our results which is targeted to feasibility. In fact, one can easily get rid of this overhead by starting a next sub-protocol already during that output round of the previous one.

Simulation-based (composable) security. We prove our protocols secure using the synchronous adaption of Canetti's UC framework [Can01] put forth by Katz *et al.* [KMTZ13]. We assume the reader has some familiarity with UC, but we make our best effort to keep the technicalities of the framework insulated from the protocol design and functionality description. In the following we discuss the above synchrony framework and how it is utilized here.

In a nutshell, [KMTZ13] proposed a methodology for the design/embedding of synchronous protocols within the (by-default asynchronous) UC framework. In this adaptation, protocols can be designed in a synchronous manner, and [KMTZ13] defines how they can be executed assuming access to a clock functionality, which ensures that (1) all parties get a chance to speak in each round, (2) parties can become aware when the clock round switches. Proving security in such a framework means that the functionalities need to also become round aware; this is taken care of in[KMTZ13] by adding to the functionality dummy rounds which advance once every party has had a chance to ping the functionality in that round. This allows the environment to advance the ideal experiment if it wishes to, similar to what it can do in the real world. To keep the description cleaner, we abstract away this pinging of functionalities as dummy ("do-nothing") rounds in the functionalities we define, and explicitly make the functionality aware of the underlying (broadcast) round.

To make the two-fold contribution of our work (protocol/proofs level vs. model/UC-treatment level) clearer and isolate the techniques used in each of the two contribution types, we use the following methodology in proving our feasibility results: First we state and prove in separate claims key properties that our (sub-)protocols achieve; this is useful for understanding the protocol ideas that go into the construction and how these are used in the security proof. Then, we use these properties in the simulation proof to obtain our end result.

Adversary. We consider a mix of active corruption and omission-corruption characterized by general adversary structures. Concretely, the possible combinations of corruptions are described by a mixed (active/omission) general adversary structure. Such an adversary is a collection  $\mathcal{Z}$  of tuples of the type  $(A_i, \Omega_i) \in \mathcal{P}^2$ , often referred to as *classes*. Intuitively,  $\mathcal{Z}$  is intended to capture all possible scenarios of corrupted parties. In particular, a tuple/class  $(A_i, \Omega_i) \in \mathbb{Z}$ , displays the scenario where all parties in  $A_i$  are actively corrupted and all parties in  $\Omega_i$  are omission-corrupted. We will be using the terminology: "the adversary corrupts (class)  $Z_i = (A_i, \Omega_i) \in \mathbb{Z}$  "to refer to the above scenario and we denote it by using a \* symbol at the exponent. This means for example that the sets  $A_i^{\star}$  and  $\Omega_i^{\star}$  denote the sets of actively corrupted and omission-corrupted players, respectively. Similarly, we refer to an adversary who might corrupt any of the sets in  $\mathcal{Z}$  as a (general) Z-adversary. The set of uncorrupted/honest players will be denoted by  $\mathcal{H}$ . Note that the class  $Z^{\star}$  is not known to the players and appears only in our security analysis. Furthermore an omission or actively corrupted party might be allowed to send or receive all its messages, in which case he is indistinguishable from an uncorrupted party. We refer to such a party as *correct* at a certain point in time if it was allowed to behave this way (correctly) up until this certain point in time. Essentially, an omission-corrupted party stops being correct the moment its first message is blocked. Finally, some of our protocol executions allow omission-corrupted parties to realize that they are corrupted; when this detection occurs, the party understands that it is in the discretion of the adversary whether or not it will be allowed to contributed inputs or receive outputs in the protocol. Therefore, in such cases the parties step out of the computation and inform all their peers about this decision; borrowing the terminology of [ZHM09] we will then say that this party becomes a *zombie*, in contrast to the rest of non-actively-corrupted parties that are considered *alive*.

We will make the following standard conventions on the adversary structure  $\mathcal{Z}$ : (1) For any  $Z_i = (A_i, \Omega_i) \in \mathcal{Z}$ , for every  $A' \subseteq A_i$  and  $\Omega' \subseteq \Omega_i$ :  $Z' = (A', \Omega') \in \mathcal{Z}$ . This captures the intuitive fact that if a set of parties might jointly fail in a certain way, then any subset of them failing is also a possible corruption scenario. This convention allows us to describe  $\mathcal{Z}$  by enumerating only its maximal elements. (2) For any  $Z_i = (A_i, \Omega_i) \in \mathcal{Z}$  we will assume that  $A_i \subseteq \Omega_i$ ; this is simply capturing the fact that active corruption is strictly more severe (as a misbehavior strategy) than omission and can, behave as such.

Finally, we prove our statements here with respect to *static* adversaries, i.e., the set of corrupted parties (and hence the set of possible corruptions) is decided at the beginning of the protocol and cannot depend on the exchanged messages. We note that all properties we prove here will directly hold to the adaptive security setting without changing the respective bounds [CDD<sup>+</sup>01,ACS22]. However, the simulation-based treatment of adaptive security under parallel composition of, e.g., BA primitives is known to have several thorny issues which are beyond the scope of this submission [HZ10,CGZ23].

#### 1.3 Organization of the Paper

The remainder of the paper is organized as follows: Section 2 includes an exposition of our results and an overview of the techniques and related challenges. Section 3 includes the details on our tight feasibility results for BA and Section 4 our tight feasibility for MPC.

### 2 Technical Overview

Before diving into the technical part, it is useful to give an overview of our results and the associated techniques and challenges.

**Byzantine Agreement.** As our first contribution towards our MPC feasibility we prove that the following condition on the adversary structure is necessary and sufficient for perfect synchronous BA, both broadcast and consensus:

$$C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z}) \Longleftrightarrow \forall Z_i, Z_j, Z_k \in \mathcal{Z} : A_i \cup A_j \cup A_k \cup (\Omega_i \cap \Omega_j) \neq \mathcal{P}.$$
(5)

Without loss of generality we provide protocols for binary consensus and broadcast, i.e. the inputs and outputs of the protocol are from the field  $\mathbb{F} = \{0, 1\}$ . This is sufficient for arbitrary valued BA, as we can represent the inputs as bit-strings of appropriate (fixed) length and then we can invoke the bit-Consensus protocol for each of those bits.

Our feasibility result is proven in two stages. First, in Section 3.2 we show how to tackle one of the core challenges of omission-corruption, namely detection of dropped messages. In particular, the biggest thorn with omissions is that a party  $p_j$  who does not receive a message it expects does not know whether this happened because the sender or itself  $(p_j)$  is omission-corrupted. To tackle the above issue, we devise a simple protocol, called *FixReceive*, which allows the receiver to take this decision. We prove (see Lemma 1) that the decision will always be correct as long as the following condition<sup>7</sup> is satisfied

$$C_{FIXR}^{(A,\Omega)}(\mathcal{P},\mathcal{Z}) \Longleftrightarrow \forall Z_i, Z_j \in \mathcal{Z} : \Omega_i \cup \Omega_j \neq \mathcal{P}, \tag{6}$$

which is also proven necessary for the above task in Lemma 3.2. One can view *FixReceive* as a way to lift the underlying communication network from a plain one to one with detection. When this detection is successful and a player discovers that he suffers from omissions, he steps down—becomes a zombie—for the rest of the protocol and sends a special message to let others know.

The underlying idea of *FixReceive* is simple, and similar to the corresponding protocol from [ZHM09]: the sender sends to all parties, who relay to the receiver; then the receiver tries to "fit" the received messages into the corruption pattern. However, in the threshold case, this "fitting" is rather straightforward. This

<sup>&</sup>lt;sup>7</sup> Due to our assumption from earlier, the condition can also be written as  $A_i \cup \Omega_j \neq \mathcal{P}$ .

is in contrast to the general-adversary case, where the right condition (and proof) is more involved. Yet, the above simplicity of *FixReceive* stems from the fact that it makes the transmitted message public to the adversary. This makes it suitable for BA but insufficient for MPC (see below) where we need detection on top of private communication. Looking ahead, this combination turns out to be particularly challenging and the private version of *FixReceive* (which we will call detectable secure message transmission) will be one of the core contributions of our paper.

Let us return to our overview of our BA feasibility result: Having added *FixReceive* to our arsenal, we can now use this to improve the communication properties that are disrupted by omission corruptions. (This can be seen as "lifting" the underlying communication network by adding (partial) corruption awareness/detection.) In particular, having improved the detection ability of communicating parties as above, we proceed to our BA construction. For this, we use the phase-king approach of Berman, Garay, and Perry [BGP89]–which was previously adapted to general adversary structures (with fail-corruption instead of omissions) by Altmann, Fitzi, and Maurer [AFM99]. Concretely, we gradually build protocols with stronger guarantees, from *Weak Consensus* (Section 3.3), to *Graded Consensus* (Section 3.4), to *King Consensus* (Section 3.5), and then iterate through different parties as kings to achieve the consistency and validity conditions of consensus (see Theorem 2).

The above similarity in the structure of our protocol to that from [AFM99] might mislead the reader to believe that the search for the tight BA bound is straightforward given the above result. This is, however, far from true. To demonstrate this, it is useful to discuss the main challenge in shifting from a combination of active corruptions and fail-corruptions (for which we know tight bound both for BA [AFM99] and for MPC  $[BFH^+08]$ ) to active and omission corruptions for which nothing is known in the general-adversary setting: The main issue lies in the ability of an omission-corrupting adversary to create confusion by selectively dropping messages to some and not other parties and in some specific rounds. For instance, a standard method in the fail-corruption literature to limit the effect of fail-crashes is to embed a heartbeat after each step (or in selective protocol rounds) that allows parties to detect whether or not some party has already crashed. This approach does not work with omission corruptions, as a party might drop messages during the protocol round, but send all messages in the heartbeat procedure as if nothing happened. Thus one needs to come up with ways to counter the ability of the adversary to create such confusions. The challenge of our above protocol design is to come up with protocols that either allow for public detection of an omission-corrupted party not sending messages, or make the party aware that it is omission-corrupted—in the latter case, this party can put itself in a crashed position (a possibility which the adversary would anyway have by blocking all communication to/from that party) to allow the other parties to complete the protocol.

Having derived a consensus protocol as above, we then turn to broadcast. Interestingly, the standard reduction of broadcast to consensus—i.e. have the sender send his input to everyone and run consensus on the received values—does not work here. The reason is that a send-omission corrupted sender  $p_s$  might fail to send his input to some but not all non-actively corrupted parties, in which case consensus might end up flipping his input, which violates our requirement on the output with a non-actively corrupted sender.

We fix this by using an additional round of consensus: To guarantee that an omission-corrupted  $p_s$  never broadcasts a wrong value (but he may broadcast  $\perp$  in case he is incorrect) we extend the above generic protocol as follows: after running consensus on the received bit, we have  $p_s$  send a confirmation bit to every player, i.e. a bit b = 1 with the meaning that  $p_s$  agrees with his output of the consensus or b = 0 otherwise. The players then invoke consensus on the received bit to make sure that they have a consistent view on the confirmation-bit and based on that they accept the output of the generic broadcast protocol only if b = 1. In the opposite case, they output  $\perp$ . This ensures that if they output anything, it will be the correct bit.

Finally, we prove the tightness of  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  for BA by means of a delicate player simulation argument (see Lemma 5).

**Multi-Party Computation.** Having proven a tight characterization of BA in our model, we turn to multiparty computation (MPC). Here we first observe that translating bounds from the threshold literature, or even the existing general adversary literature (i.e., without omission-corruptions) simply does not work. In fact, there is a number of ways that we demonstrate such a translation fails. For example, it is known that in the case of active-only general adversary structures, MPC is feasible if and only if the  $Q_A^3(\mathcal{P}, \mathcal{Z})$  condition (Eq. 1) holds [HM97]. Hence, in search of a feasibility result, one might be tempted to assume that since active corruption is more severe than omission-corruption, the natural adaptation of the above condition to the omission-only setting, i.e. the condition  $Q_{\Omega}^{3}$ 

$$CP_{CONS}^{(\Omega)}(\mathcal{P},\mathcal{Z}) \Longleftrightarrow \forall \Omega_i, \Omega_j, \Omega_k \in \mathcal{Z} : \Omega_i \cup \Omega_j \cup \Omega_k \neq \mathcal{P},$$
(7)

would be sufficient for MPC. This however is not necessarily the case, as MPC protocols for active corruptions entirely give up the inputs and outputs of actively corrupted parties, something which we cannot do for omission corruption.

Similarly, drawing intuition from existing impossibility results can derail the search for lower bounds. Indeed, the general rule is that general-adversary impossibility results translate to threshold (though, not always in a trivial manner) but not the other way. Intuitively, the underlying reason is that the asymmetry of general adversary structures allows solutions which could never exist in a threshold setting. This untranslatability becomes ever more prominent when considering omission-corruptions (combined with active), and makes finding the tight condition on general structures for this case a far more challenging task than in the threshold case (in fact, it is challenging even given a tight threshold condition).

As an example, for active/passive adversaries, the tight condition  $3t_a + 2t_p < n$  [FHM98] was "translated" in [FHM99] to the general adversary setting as:

$$\forall (A_1, E_1), (A_2, E_2), (A_3, E_3) \in \mathcal{Z} : E_1 \cup E_2 \cup A_1 \cup A_2 \cup A_3 \neq \mathcal{P}, \tag{8}$$

(where sets A and E in the above bound correspond to actively and passively corrupted parties, respectively). But an analogous translation for active/omission adversaries of the tight threshold  $3t_a + 2t_\omega < n$  [ZHM09] as

$$\forall (A_1, \Omega_1), (A_2, \Omega_2), (A_3, \Omega_3) \in \mathcal{Z} : \Omega_1 \cup \Omega_2 \cup A_1 \cup A_2 \cup A_3 \neq \mathcal{P}, \tag{9}$$

does *not* yield a bound necessary for MPC. In fact, in Section A of the appendix we describe a structure that violates (an even more restrictive version of) the above condition but still allows for an MPC protocol.

In the same spirit, as we show in Section 4.1, common techniques used in the threshold MPC literature to recover from corruptions, such as *player elimination* [BHR07], cannot be applied here either. A standard example of player elimination is used in the case of MPC with (threshold) byzantine corruptions with t < n/3. The idea is that if some  $p_i$  blames another  $p_j$ , then, as long as both  $p_i$  and  $p_j$  have had the chance to share their inputs, we can simply eliminate both of them and continue the computation with the remaining parties—and send  $p_i$  and  $p_j$  their outputs at the end; the t < n/3 condition will then ensure that in the n' = n - 2 remaining parties set, the number t' of maximum active corruptions will still satisfy t' < n'/3. In fact, this technique was used in [ZHM09] to prove the first, and only to date, tight condition on MPC with active and omission corruption. However, as we show, player elimination is inapplicable in our general-adversary active/omission setting. In particular, we show that natural candidates for feasibility bounds conditions are not preserved by player elimination. (see Section 4.1 Table 3 ). This situation calls for new protocols/techniques beyond what is used in the threshold or previous general adversary literature.

To overcome this, we devise a novel protocol that aims at facilitating detectable (i.e., which might abort with the identity of a corrupted party) perfectly secure (private and authenticated) message transmission introduced in [DDWY93] (in short, DetSMT) between any two parties. Looking ahead, this will allow to neutralize the effect of omissions in MPC.

The challenge in devising and proving security of the new detectable SMT primitive is evident by the new associated condition  $C_{SMT}^{(A,\Omega)}(\mathcal{P},\mathcal{Z},p_s,p_r)$ , which in combination with  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  gives us the condition that is proven to be tight for DetSMT with sender  $p_s$  and receiver  $p_r$ . The  $C_{SMT}^{(A,\Omega)}(\mathcal{P},\mathcal{Z},p_s,p_r)$  states that for any three  $Z_i, Z_j, Z_k \in \mathcal{Z}$ :

if 
$$(p_s \in \Omega_i \cap \Omega_j \land p_r \in \Omega_k)$$
 OR  $(p_r \in \Omega_i \cap \Omega_j \land p_s \in \Omega_k)$   
then  $A_i \cup A_j \cup \Omega_k \cup (\Omega_i \cap \Omega_j) \neq \mathcal{P}.$  (10)

The sufficiency of the above condition for detectable SMT and its necessity for (detectable) SMT (hence also for MPC) are proven in Section 4.2 (Lemmas 6 and 7, respectively).

Finally, we put everything together to prove our last main theorem (Theorem 4) of MPC feasibility under the same combination of conditions (where the  $C_{SMT}^{(A,\Omega)}(\mathcal{P},\mathcal{Z},p_s,p_r)$  needs to hold true for all pairs  $p_s, p_r \in \mathcal{P}$ ). More concretely, we prove that perfectly secure MPC against a general adversary with mixed active and omission corruptions is feasible if and only if the condition  $C_{MPC}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  holds, where

$$C_{MPC}^{(A,\Omega)}(\mathcal{P},\mathcal{Z}) \quad \Leftrightarrow \quad C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z}) \quad \land \quad \forall p_s, p_r \in \mathcal{P} : \ C_{SMT}^{(A,\Omega)}(\mathcal{P},\mathcal{Z},p_s,p_r).$$
(11)

The necessity of the above condition follows from the fact that both SMT and broadcast are special cases of MPC. In fact, since both the above are non-reactive functionalities, our impossibilities (along with the feasibility discussed below) imply that  $C_{MPC}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  is tight for both (reactive) MPC and for SFE (i.e., non-reactive MPC.) Thus our results prove that unlike the active/passive/fail general adversary model where [BFH<sup>+</sup>08] proved a separation between the (tight feasibility bounds) for MPC and SFE, such a separation does not exist in the active/omission setting.

For the sufficiency we use the following idea: We modify the general adversary protocol from [BFH<sup>+</sup>08] by first projecting it to the active-corruption-only case (recall that [BFH<sup>+</sup>08] works for a mixed active/passive/fail adversary) and then doing the following:

- All point-to-point communication between any two parties  $p_i$  and  $p_j$  is done by the above detectable SMT.
- All broadcasts are implemented by our detectable broadcast.
- All sub-protocols in [BFH<sup>+</sup>08] for computing individual circuit gates (input, addition, multiplication, and output gates) are turned to detectable counterparts, i.e., they might abort and make the identity of a corrupted party public.
- Importantly, instead of computing the actual circuit, our MPC computes a verifiable secret sharing of the circuit's output—we prove that such a robustly reconstructible sharing is feasible under our conditions. The reason for this is that before its last reconstruction round, the MPC from [BFH<sup>+</sup>08] leaks no information to the adversary. By switching the computation's output to a secret sharing instead of actual circuit value, we ensure that no matter if or when the protocol aborts, it will leak no information on any of the non-actively corrupted player's inputs.

The above construction gives a detectable MPC protocol which either computes a verifiable secret sharing of the output of the intended circuit, or it aborts without leaking any information to the adversary while exposing a corrupted party. Such a protocol can be bootstrapped to a fully secure MPC (with guaranteed output delivery) by standard techniques: Whenever it aborts, remove the detected (corrupted) party from the player set and re-start the computation—this can be repeated at most n times as each abort exposes a new corruption. Once the protocol succeeds, use the reconstruction protocol of the verifiable secret sharing to publicly reconstruct the outputs. We note in passing that the above only computes MPC with a public output, but it can be tuned to allow for private outputs using standard techniques: every party inputs in addition to its actual output a random key which is used to blind—by one-time-pad encryption—the announced public output so that only this party can recover the plaintext [LP09].

*UC Treatment.* Last but not least, as discussed above, all our proofs are in the (synchronous) UC framework, which we view as a contribution in its own sense. Although we do not consider this to be our core technical contribution, to our knowledge, this is the first time that a general adversary protocol is proven secure in such a composable manner. In particular, existing MPC protocols for general adversaries [HM97,BFH<sup>+</sup>08,ZHM09] also follow a modular design approach—i.e., they design sub-protocols for each type of MPC gate (input/sharing, addition, multiplication, output/reconstruction)—and prove the security of each underlying sub-protocols separately in a property-based manner, i.e., prove the correctness and privacy of each of these sub-protocols. They then argue that these sub-protocols can be combined in the main MPC protocol. Although we believe this last statement to be true, an actual proof would require a composable treatment of the whole construction, an approach which we take for the first time in this work.

In fact, to our knowledge even without considering general adversaries, no work has considered (active and) omission corruptions in UC. As it is evident by our functionalities, embedding omission corruptions in UC requires new design choices for the relevant functionalities.

Because the core novelty of our results is in the protocol constructions and proofs, to eliminate the technical burden put upon the reader in extracting the ideas from the simulation, we have employed a special proof structure: First we prove properties that our protocols have, akin to the traditional propertybased approach used in the general adversary literature; subsequently, we describe our simulator and use the above properties, along with additional arguments wherever necessary, to argue perfect indistinguishability of real and ideal world.

### **3** Byzantine Agreement with Active and Omission Corruption

### 3.1 Security Conditions

In this section we present our first major result, a tight BA condition. Our results cover the case of mixed active and omission-corruption under perfect security (i.e., zero error probability).

**Theorem 1.** In the model with both active and omission-corruption if no setup is assumed a set  $\mathcal{P}$  of players can perfectly  $\mathcal{Z}$ -securely realize Consensus or Broadcast if and only if the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  holds where,

$$C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z}) \Longleftrightarrow \forall Z_i, Z_j, Z_k \in \mathcal{Z} : A_i \cup A_j \cup A_k \cup (\Omega_i \cap \Omega_j) \neq \mathcal{P}.$$
 (12)

The proof of the theorem is in 3.7 and the condition is proven to be both sufficient and necessary for both flavors of BA, i.e. Consensus and Broadcast. The theorem follows after all the necessary tools are created (namely the primitives FixReceive, Weak, Graded and King Consensus).

#### 3.2 Detection of Omission-Failures

Functionality F<sub>FR</sub>(P, Z, p<sub>i</sub>, p<sub>j</sub>, x)
The sender p<sub>i</sub> ∈ P has input x and the intended receiver is p<sub>j</sub>. The adversary corrupts Z<sup>\*</sup> = (A<sup>\*</sup>, Ω<sup>\*</sup>).
Initially, set the output value m<sub>out</sub> to ⊥.
In round ρ = 1:
Upon receiving a message (input, sid, x) from the sender p<sub>i</sub> (or the adversary, if the sender is actively corrupted), set m<sub>out</sub> = x and send (leakage, sid, p<sub>i</sub>, l(x) = x) to the adversary.
In round ρ = 2:
Upon receiving (adv-omit, sid, ⊥) from the adversary, if p<sub>i</sub> ∈ Ω<sup>\*</sup>, set m<sub>out</sub> =⊥.
Upon receiving (inform omission, p<sub>j</sub>) from the adversary, if p<sub>j</sub> ∈ Ω<sup>\*</sup>, set m<sub>out</sub> =⊥ and output (omission, p<sub>j</sub>) to p<sub>j</sub>.
Otherwise, discard the message.
In round ρ = 3 :
Upon receiving (fetch-output, sid) from p<sub>j</sub>, send (output, sid, m<sub>out</sub>) to p<sub>j</sub> and (fetch-output, sid, p<sub>j</sub>) to the adversary.

In this section we start by tackling the main problem of omission-corruption, namely the fact that detecting such a corruption is not trivial. Indeed, when a player  $p_j$  does not receive a message she was expecting (because the channels are synchronous), and receives the default value  $\perp$  she cannot be certain if the sender  $p_i$  is *actively corrupted* and did not send a message, if the sender is *omission-corrupted* and his message was blocked or if  $p_j$  herself is omission-corrupted and was not able to receive the message (or a combination of the above).

Our first goal is to implement a functionality  $\mathcal{F}_{FR}$  in order to reinforce our communication network and render it able to detect omission-failures. Effectively, this functionality guarantees that either the adversary

lets the message of the sender  $p_i$  reach its recipient  $p_j$  or it becomes known to everyone (first to  $p_j$  herself and then she will make it public) that  $p_j$  is omission-corrupted, forcing her to become a zombie.

If  $p_j$  becomes a zombie via the *FixReceive* protocol, she stops participating in any upcoming computations. Also, she notifies all other players about that by sending a special message (she can send it at every round to make sure that everyone receives it) saying that she "is out". Those properties are captured by the functionality  $\mathcal{F}_{FR}$  fully described above. For our functionalities we follow the template of [CCGZ16] for canonical synchronous functionalities. The functionality proceeds in this way. Initially  $\mathcal{F}_{FR}$  sets the output value equal to  $\perp$  and then waits for input from  $p_i$ . This input is made known to the adversary through the leakage function l(x). On the second round the adversary has the following choices. i) If  $p_i \in \Omega^*$  (the sender is omission-corrupted), the adversary can drop the input message and turn it to  $\perp$  or let it be recorded as normal. ii) If  $p_i \in \Omega^*$  the adversary can either inform  $p_i$  of his omission status or let her receive the recorded message  $m_{out}$ .

As such, we can see that if  $p_i$  remained alive then she outputs a value  $m_{out}$ , which will be either  $p_i$ 's input or  $\perp$ . Additionally, if  $p_i$  is correct we are granted that it is the former case.

Our protocol which realizes this functionality does the following in more detail. When  $p_i$  wants to send a message x to  $p_i$ , he sends x to all  $p_k \in \mathcal{P}$  to leverage all parties. Then, every  $p_k$  who received the message forwards it to  $p_i$ . If  $p_k$  did not receive a message (he denotes that by the symbol  $\perp$ ) he sends a special message "n/v"  $\notin \mathbb{F}$  to  $p_i$ , to let her know that no value was received.

After that,  $p_i$  should have received a message from all  $p_k \in \mathcal{P}$ . If from some player she did not, she denotes that by the default character  $\perp$ . At that point, if there is no way according to the adversary structure  $\mathcal{Z}$  that the  $\perp$  symbols she received were sent by players that could be omission-corrupted or actively corrupted she becomes a zombie. In other words, if there is some player who sent  $\perp$  but could never be corrupted, then it is clear for  $p_i$  that she has a problem in receiving messages.

In the opposite case, if there exists a value x' which was sent to  $p_j$  by people that could not be actively corrupted, it would mean that this value cannot be an erroneous one being pushed by the adversary. As such,  $p_i$  can be certain that this is the message that  $p_i$  sent, and she outputs this value x'.

Otherwise, in the case where no such value exists, meaning that  $p_i$  was not consistent with the messages he sent or he was blocked,  $p_i$  outputs  $\perp$  to indicate that  $p_i$  is not correct. The protocol FixReceive  $(\mathcal{P}, \mathcal{Z}, p_i, p_i, x)$ starts with the sender sending x to everyone. In the second round all parties forward their messages to the receiver, who tries to figure out what the message is. A notation that we will be using often is  $P_j^{(\perp)}$  to denote the set of players that sent to  $p_j$  the value  $\perp$  and similarly  $P_i^{(x)}, P_i^{("n/v")}$ . The procedure that the protocol follows is along these lines.

- 1. For each  $p_k \in \mathcal{P}$ ,  $p_i$  sends x to  $p_k$ . The value received is denoted by  $p_k$  as  $x_k$   $(x_k = \perp \text{ if no value was})$ received).
- 2. Each  $p_k \in \mathcal{P}$  sends  $p_j$  a message  $x'_k$ , where  $x'_k = x_k$  if  $x_k \neq \perp$  and  $x'_k = "\mathsf{n}/\mathsf{v}"$  otherwise. The value received from  $p_k$  is denoted by  $p_j$  as  $x_k^{(j)}$  ( $x_k^{(j)} = \perp$  if no value was received). 3. Output: The receiver performs the following checks to determine the correct value to output, based on
- - the classes of the adversary structure that justify the received messages. (a) If there exists no  $Z = (A, \Omega) \in \mathbb{Z} : P_j^{(\perp)} \subseteq (A \cup \Omega)$ , where  $P_j^{(\perp)} := \{p_k : x_k^{(j)} = \perp\}$  then  $p_j$  becomes a zombie and outputs (omission,  $p_j$ ).
  - (b) If there exists no  $Z = (A, \Omega) \in \mathbb{Z}$ :  $P_i^{("n/v")} \subseteq (A \cup \Omega)$ , then  $p_i$  outputs  $\perp$  (the sender is omissioncorrupted).
  - (c) Otherwise,  $p_j$  considers all justifying (for any x) classes  ${}^{8}Z_{m}$ .
  - (d) If there are no justifying classes (for either x or  $\overline{x}$ ) with  $p_j \notin \Omega_m$  then  $p_j$  becomes a zombie and outputs (omission,  $p_j$ ).
  - (e) If there exists unique x' and  $Z_m : A_m \supseteq P_j^{(\overline{x})}$  and  $A_m \not\supseteq P_j^{(x)}$ , for  $\overline{x} \neq x'$  then  $p_j$  outputs x'.
  - (f) Otherwise  $p_i$  outputs  $\perp$ .

<sup>&</sup>lt;sup>8</sup> A class  $Z_m = (A_m, \Omega_m)$  is justifying for x if  $(\Omega_m \supseteq P_j^{(\perp)} \text{ or } p_j \in \Omega_m)$  AND  $(\Omega_m \cup \supseteq P_j^{("n/v")} \text{ or } p_i \in \Omega_m)$  AND  $A_m \supseteq P_i^{(\overline{x})}$  AND  $A_m \not\supseteq P_i^{(x)}$ , for all  $\overline{x} \neq x$ .

**Lemma 1.** If the condition  $C_{FIXR}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  (see Eq. 6) holds, the protocol FixReceive perfectly  $\mathcal{Z}$ -securely realizes the functionality  $\mathcal{F}_{FR}$ .

*Proof.* We are going to give a simulation-based proof, meaning that we are going to devise a simulator and prove that it creates an ideal world execution that is indistinguishable from a real world execution of our protocol. To this direction we first prove the following useful lemma about the input/output properties of the protocol.

**Claim 1.** If the condition  $C_{FIXR}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  (see Eq. 6) holds, the protocol FixReceive has the following properties: If  $p_j$  is alive at the end of the protocol then  $p_j$  outputs a value x', where  $x' \in \{x, \bot\}$ , unless  $p_i \in A^*$ , and x' = x if  $p_i$  is correct until the end of the protocol. Moreover,  $p_j$  might become a zombie only if  $p_j$  is omission-corrupted.

*Proof.* According to the protocol,  $p_j$  becomes a zombie when for every justifying  $Z = (A, \Omega) \in \mathbb{Z}$  we have that  $P_j^{(\perp)} \notin A \cup \Omega$  (or when there are no justifying classes with  $p_j$  not being omission-corrupted) and in no other case. This would mean that there is no class in the adversary structure that would explain the  $\perp$  that  $p_j$  receives, unless she is omission-corrupted and the adversary is blocking messages that are addressed to her. As a result, we can be certain that  $p_j \in \Omega^*$ , indeed.

Now, if  $p_j$  is not actively corrupted and is alive at the end of the protocol, we will show that she will output a value  $x' \in \{x, \bot\}$ . Specifically, according to the claim, if  $p_j \in \mathcal{P} \setminus A^*$  and the sender  $p_i$  is correct until the end of the protocol,  $p_j$  will output x' = x.

Let us assume, towards contradiction that the choice of x' is not unique and that there is another candidate value  $\overline{x} \neq x$ . From the protocol for the value x we have that there exists<sup>9</sup> a justifying for x class  $Z_{\ell}$  with

$$(\Omega_{\ell} \cup A_{\ell} \supseteq P_j^{(\perp)} \text{ or } p_j \in \Omega_{\ell}) \text{ such that } A_{\ell} \supseteq P_j^{(\overline{x})} \text{ for all } \overline{x} \neq x.$$
(13)

Now, if for all justifying classes  $Z_{\ell}$  it was true that  $p_j \in \Omega_{\ell}$  then  $p_j$  would become a zombie. But since  $p_j$  stayed alive, there exists  $Z_m : p_j \notin \Omega_m$ , hence  $\Omega_m \cup A_m \supseteq P_j^{(\perp)}$  and  $A_m \supseteq P_j^{(\overline{x})}$ . Additionally, if we assume that  $p_i$  is correct we get that the "n/v" values must be coming from players that are indeed at least omission-corrupted. Thus,  $\Omega_m \cup A_m \supseteq P_j^{("n/v")}$ .

Similarly, for  $\overline{x}$  we have that there exists  $Z_q$  such that

$$(\Omega_q \cup A_q \supseteq P_j^{(\perp)} \text{ or } p_j \in \Omega_q) \text{ such that } A_q \supseteq P_j^{(x)}, \text{ since } x \neq \overline{x}.$$
 (14)

Now, according to the values that  $p_j$  received we can write the whole player set as

$$\mathcal{P} = P_j^{(x)} \cup P_j^{(\overline{x})} \cup P_j^{(\perp)} \cup P_j^{(-n/\mathbf{v}'')}$$
  
$$\subseteq A_q \quad \cup A_m \quad \cup \Omega_m,$$
(15)

which is a contradiction to the condition  $C_{FIXR}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  because it states that  $A_q \cup \Omega_m \not\supseteq \mathcal{P}$  for any two classes q, m. As  $A_m \subseteq \Omega_m$  gives us the above expression, we reach a contradiction. This proves that the choice for x' is unique when  $p_i$  is correct.

Finally, if  $p_i \in \Omega^*, p_i \notin A^*$  the claim states that if  $p_j$  remains alive he will output  $x' \in \{x, \bot\}$ . Indeed, since for any two k, m from  $C_{FIXR}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  we have that  $\Omega_k \cup \Omega_m \not\supseteq \mathcal{P}$  we can be certain that even if  $P_j^{(``n/v'')} \subseteq \Omega_k$  and  $P_j^{(\bot)} \subseteq \Omega_m$  there will still exist a  $p_h \in \mathcal{P}$  who will receive successfully the value x from  $p_i$  and forward it to  $p_j$ . Otherwise, if  $p_j$  would only receive the wrong value  $\overline{x}$  it would mean that all parties

who received x are in  $P_i^{(\perp)}$ . This gives us

$$\mathcal{P} = P_j^{(``n/v")} \cup P_j^{(\perp)} \cup P_j^{(\overline{x})} \subseteq \Omega_k \cup \Omega_m \cup A_m \subseteq \Omega_k \cup \Omega_m, \tag{16}$$

<sup>&</sup>lt;sup>9</sup> The actual class  $Z^*$  is always a justifying class. Also, since  $p_i$  is correct, the correct value x will reach all correct players in  $\mathcal{P}$  and then  $p_i$ . So the above class always exists.

which contradicts  $C_{FIXR}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$ .

Now, if the adversary chose to send another value  $\overline{x}$  to  $p_j$  and there existed justifying classes  $Z_m, Z_k$  for both x and  $\overline{x}$  then  $p_j$  would output  $\bot$ , since none of them is unique. Still, there is no way that  $p_j$  could output a wrong value  $\overline{x} \neq x$  if  $p_i \notin A^*$ .

Given that claim, we can now continue our simulation proof. Let  $\mathcal{A}$  be an adversary attacking the protocol. We define a simulator  $\mathcal{S}$  (an efficient algorithm) that interacts with the functionality  $\mathcal{F}_{FR}$  and creates the same effect as the real world adversary  $\mathcal{A}$ , i.e. makes the environment's/distinguisher's view in the ideal experiment identically distributed as the one in the real experiment with adversary  $\mathcal{A}$ . A description of a respective simulator for broadcast can be found in [Zik10], on which we base the core of our simulator. We note here that our protocol is deterministic and thus the simulator in all cases learns all inputs to the protocol (this happens in general in the next protocols as well, here only  $p_i$  has input), so it is easy to perfectly simulate all the messages sent by honest players. This means that the only thing that one needs to verify is that the output of the protocol is identically distributed in the ideal and real world. To do this we make use of the Claim 1 stated above. Our simulator is built in the following way.

Description of the Simulator. Firstly, the simulator invokes  $\mathcal{A}$ . Given the corrupted class  $Z^*$ , the adversary  $\mathcal{A}$  controls the corrupted parties  $p_c \in A^*$  and which messages of any player  $p_m \in \Omega^*$  are blocked. The simulator simulates all players taking part in the computation and corrupts the same parties as  $\mathcal{A}$ . He initiates an interaction where he uses  $\mathcal{A}$  in a straight-line black-box manner, simulating the protocol messages towards  $\mathcal{A}$  and receiving from  $\mathcal{A}$  the messages and/or actions (e.g., drop a message) of corrupted parties. In more detail:

During the first round of the functionality, the simulator S learns the input value x through the leakage function l(x) and the functionality sets  $m_{out} = x$ . In the real world the adversary learns x from the corrupted parties that receive it. (the case where no parties are actively corrupted at all is trivial and omitted).

- If the sender is honest, during the second round, we have two cases.
  - If  $p_j$  is honest, then  $p_j$  in the ideal world remains alive, and receives the output  $m_{out}$  that was recorder by  $\mathcal{F}_{FR}$ , which as we see in Claim 1 is the same as what happens in the protocol in this case.
  - If the receiver  $p_j$  is in  $\Omega^*$  and the adversary decides to block more messages than what can be justified, i.e. for any  $Z \in \mathcal{Z}$  we have that  $P_j^{(\perp)} \not\subseteq (A \cup \Omega)$ , then  $p_j$  becomes a zombie. The simulator observers that. To create the same effect,  $\mathcal{S}$  sends (inform omission,  $p_j$ ) to the functionality, so  $p_j$  becomes a zombie and the output she gets is  $m_{out} = \bot$ , as in the real world.
  - In the opposite case, if  $p_j$  does not become a zombie, then from Claim 1 we are granted that  $p_j$  will output the correct value x' = x. As the simulator observes that  $p_j$  remained alive, in the ideal world the functionality receives (fetch-output) from  $p_j$  and outputs  $m_{out} = x$ , creating the same effect again as above, when  $p_j$  was honest.
  - If  $p_j$  is actively corrupted, she is controlled by  $\mathcal{A}$  and in both worlds outputs the value that  $\mathcal{A}$  selects. The adversary learns no additional information in both worlds, except from the input value, which he already knew.
- If the sender  $p_i$  is in  $A^*$ , in the real world the adversary  $\mathcal{A}$  has full control over what the receiver  $p_j$  will get as output x'. During the first round of the real world execution, all parties receive some  $x_k$  (could be that  $x_k = \bot$ ) and  $\mathcal{A}$  already knows the value x'. During round 2 the real world adversary  $\mathcal{A}$  decides to deliver a value to  $p_j$  or in the case that  $p_j \in \Omega^*$  make him a zombie. The simulator observes this and if  $p_j$  outputs x',  $\mathcal{S}$  sends (adv-input, sid, x') to  $\mathcal{F}_{FR}$ , making  $\mathcal{F}_{FR}$  set  $m_{out} = x'$ . So  $p_j$  outputs the same value in both worlds.

If  $p_j$  became a zombie (he can only become a zombie if he is omission-corrupted according to Claim 1) then S observes this and sends the message (inform omission,  $p_j$ ) to  $\mathcal{F}_{FR}$ , creating the same effect in both worlds.

• If the sender  $p_i$  is in  $\Omega^*$ , then the adversary can block sent messages from  $p_i$ . This would make some  $p_k \in \mathcal{P}$  send "n/v" to  $p_j$ .

- If  $p_j$  outputs  $\perp$  during round 2 according to the protocol because she was not able to determine the correct value for x, the simulator observers that. Then, in the ideal world the simulator sends (adv-omit, sid,  $\perp$ ) during round 2 and the functionality sets  $m_{out} = \perp$ . So, when the receiver sends (fetch-output) she receives  $\perp$ , as per in the real world. From Claim 1 we are granted that  $p_j$  would output  $\perp$  only if the sender is not correct.
- If  $p_j$  is able to output a value x', again from the above Claim, we are certain that this was the input value of  $p_i$ . Then the simulator lets the functionality deliver  $m_{out}$  upon receiving (fetch-output) from  $p_j$  and the output is the same in both worlds.

As a result the view of the adversary and the outputs of the honest parties<sup>10</sup> in the real world are distributed identically as the respective view and outputs in the ideal world, making the two executions indistinguishable for any environment/distinguisher.

Next, we will show that this bound is actually tight, meaning that the  $C_{FIXR}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  condition is also necessary for FixReceive.

Claim. If the condition  $C_{FIXR}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  (see Eq. 6) does not hold, then no protocol can satisfy the properties of the *FixReceive* protocol stated in Claim 1, as well.

*Proof.* Assuming that the condition  $C_{FIXR}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  does not hold we get that  $\exists Z_1 = (A_1, \Omega_1), Z_2 = (A_2, \Omega_2) \in \mathcal{Z}$  such that

$$\Omega_1 \cup \Omega_2 = \mathcal{P} \tag{17}$$

which means that the whole player set is covered by the two corruptible sets. Here, without loss of generality, we can assume that  $\Omega_1 \cap \Omega_2 = \emptyset$ . If not, we can reduce

the sets to the case above by considering a strictly weaker adversary structure and still the condition holds. Now, we will use a player-simulation argument. If we create a contradiction by considering only two players,  $p_1, p_2$ , and show that the condition is broken there, then it is trivial that the proof is complete. For that reason, we consider an adversary structure  $\mathcal{Z}$  as seen in the table. Towards contradiction, we will have the player  $p_1$  send a message to player  $p_2$  and we will examine two cases.

Scenario 1: The adversary chooses the corruptible set  $Z_1$  with  $A = \emptyset$ ,  $\Omega = \{p_1\}$ , where  $p_1$  is omission-corrupted and  $p_2$  is honest. As such, the adversary can make  $p_1$ send nothing to  $p_2$  when he was instructed to send his input x to him. That would make  $p_2$  receive the empty value  $\bot$ , as is the case when the recipient receives nothing. As a result,  $p_2$  should output  $\bot$ , denoting that he did not receive a value from  $p_1$ .  $\begin{array}{c|c} p_1 & p_2 \\ \hline Z_1 & \omega \\ \hline Z_2 & \omega \end{array}$ 

**Table 1.** Either  $p_1$  or  $p_2$  is omission corrupted in classes  $Z_1, Z_2$  respectively.

Scenario 2: The adversary chooses the corruptible set  $Z_2$ , with  $A = \emptyset$ ,  $\Omega = \{p_2\}$ , where  $p_2$  is omissioncorrupted and the sender  $p_1$  is honest. If in that case the adversary blocks all messages addressed to  $p_2$  then he should become a zombie and step down from the computation process, because he cannot predict the value he ought to output, since  $p_1$  is honest.

However, both scenarios are indistinguishable for  $p_2$  and we have used the same set up. As a result, the output should be the same in both cases, which gives us a contradiction. We note that as an the actively corrupted party could behave exactly like an omission-corrupted one, the same proof would hold true for  $A_1 \cup \Omega_2 = \mathcal{P}$ , as well.

### 3.3 Weak Consensus

<sup>&</sup>lt;sup>10</sup> Even though this is a multiparty protocol, only  $p_i$  and  $p_j$  have effective input/output so for simplicity we only mention that input/output can assume that all other parties output  $\perp$ .

**Functionality**  $\mathcal{F}_{WC}(\mathcal{P}, \mathcal{Z}, \vec{x} = (x_1, \dots, x_n))$ The adversary corrupts  $Z^* = (A^*, \Omega^*)$ . - Initially, set the input values  $x_1, \ldots, x_n$ , the output values  $y_1, \ldots, y_n$  to  $\perp$ . - In round  $\rho = 1$ : • Upon receiving a message (input, sid,  $v_i$ ) from any  $p_i \in \mathcal{P}$  (or the adversary, if the player is actively corrupted), set  $x_i = v_i$  and send (leakage, sid,  $p_i, v_i$ ) to the adversary. - In round  $\rho = 2$  and 3: Do nothing. - In round  $\rho = 4$ ,  $\mathcal{F}_{WC}$  sets  $(y_1, \ldots, y_n) = (v_t, \ldots, v_t)$ , where  $v_t$  is the input value of the first honest party: • Upon receiving (adv-omit, sid,  $p_i, \perp$ ) from the adversary, if  $p_i \in \Omega^*$ , set  $x_i = \perp$ . • Upon receiving (set  $y, (v_1, \ldots, v_n)$ ) from the adversary, where all  $v_i \in \{v, "n/v"\}$ , if the inputs of all (alive)  $p_i \in \mathcal{P} \setminus A^*$  are not the same value v then set  $(y_1,\ldots,y_n)=(v_1,\ldots,v_n).$ Otherwise, ignore. • Upon receiving (inform omission,  $p_j$ ) from the adversary, if  $p_j \in \Omega^*$ , set  $y_j = \perp$  and output (omission,  $p_j$ ) to Upon receiving (fetch-output, sid) from  $p_i \in \mathcal{P}$ , send (output, sid,  $y_i$ ) to  $p_i$  and (fetch-output, sid,  $p_i$ ) to the adversary.

By use of Fix Receive, we will now establish an initial, basic form of consensus, called Weak Consensus. For this, we require the following properties. *Persistency:* If all alive, not actively-corrupted parties start with the same input x then all of them should output y = x. *Consistency:* Additionally, there cannot be disagreement between them. To do this, we allow them to output a special character "n/v" (no value) if they are unsure. So, all of them can output either the common value y or "n/v". However, no two correct players should have contradicting values. Our functionality  $\mathcal{F}_{WC}$  above captures those requirements.

Initially, it sets everything to  $\perp$  and then receives input from the players. Again, the adversary learns those values, as in FixReceive. Afterwards, once FixReceive is concluded, the adversary is allowed to affect the output. However, he is bound by the consistency and persistency properties we mentioned. As such, he can only set the outputs to either v or "n/v", if there is no pre-agreement on the inputs. The only other action he can perform is to make players in  $\Omega^*$  become zombies, by informing them of their omission status.

Now, our WeakConsensus is realized as follows, using (as do all follow-up protocols) FixReceive for partyto-party communication. First, we have every player send his input  $x_i$  to all players (using FixReceive). Then, each player looks at all the possible classes of the adversary structure for the following: 1) If there exists one  $Z = (A, \Omega)$  which gives him a value  $x \in \mathbb{F}$  such that this value was sent to  $p_j$  by some players who are not corrupted and 2) the values he received which are different from both x and  $\perp$  can be justified by the set of actively corrupted players, meaning that a malicious player sent the disagreeing value. Additionally, all  $\perp$ values should be coming from  $p_k \in \Omega$ , because FixReceive gives us the guarantee that an alive player only outputs  $\perp$  if the sender is not correct. If that is the case, the player adopts this value x as his output. Otherwise, he cannot be certain and outputs the message "n/v". The protocol follows.

**Protocol** WeakConsensus( $\mathcal{P}, \mathcal{Z}, \vec{x} = (x_1, \dots, x_n)$ )

 $\begin{array}{l} - \mbox{ In round } \rho = 1; \mbox{ Each } p_i \in \mathcal{P} \mbox{ sends } x_i, \mbox{ by invocation of } FixReceive, \mbox{ to every } p_j \in \mathcal{P}, \mbox{ who denotes the set of players who sent him 0 as } P_j^{(0)}, \mbox{ respectively } P_j^{(1)} \mbox{ for 1 and those who he did not receive a value from as } P_j^{(\perp)}. \\ - \mbox{ In round } \rho = 2 \mbox{ and 3: Do nothing (waiting for $FixReceive to conclude).} \\ - \mbox{ In round } \rho = 4; \mbox{ Each } p_j \mbox{ outputs } y_j, \mbox{ according to the following.} \\ y_j =: \left\{ \begin{array}{l} 0 \mbox{ if } \exists (A, \Omega) \in \mathcal{Z} \mbox{ s.t. } \mathcal{P} \setminus \left( P_j^{(0)} \cup P_j^{(\perp)} \right) \subseteq A \wedge P_j^{(\perp)} \subseteq \Omega \wedge \mathcal{H} \subseteq P_j^{(0)} \\ 1 \mbox{ if } \exists (A, \Omega) \in \mathcal{Z} \mbox{ s.t. } \mathcal{P} \setminus \left( P_j^{(1)} \cup P_j^{(\perp)} \right) \subseteq A \wedge P_j^{(\perp)} \subseteq \Omega \wedge \mathcal{H} \subseteq P_j^{(1)} \\ \mbox{ "n/v" otherwise} \end{array} \right. \\ \mbox{ If some } p_z \in \Omega^* \mbox{ became zombie he outputs (omission, } p_z). \end{array} \right.$ 

**Lemma 2.** If the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  (see Eq. 12) holds, the protocol WeakConsensus perfectly  $\mathcal{Z}$ -securely realizes the functionality  $\mathcal{F}_{WC}$ .

*Proof.* Instead of proving that protocol *WeakConsensus* securely realizes the functionality  $\mathcal{F}_{WC}$ , we will do it for the hybrid protocol  $\mathbf{Hyb}_{WC}$  which instead of using protocol *FixReceive*, it makes ideal calls to functionality  $\mathcal{F}_{FR}$  internally (by ideal call to  $\mathcal{F}_{FR}$  we mean an invocation of  $\mathcal{F}_{FR}$  as in the ideal world). From there, the statement of the lemma follows using the composition lemma of Canetti [Can01]. Before we give our simulation-based proof, we need to state the following useful claim about the input/output properties of the protocol.

**Claim 2.** If the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  (see Eq. 12) holds, the protocol WeakConsensus has the following properties: (weak consistency) There exists some  $y \in \mathbb{F}$  such that every (alive)  $p_j \in \mathcal{P} \setminus A^*$  outputs  $y_j \in \{y, \text{``n/v''}\}$ . (persistency) If every  $p_i \in \mathcal{P} \setminus A^*$  who is alive at the beginning of WeakConsensus has the same input x, then all alive players at the end of the protocol output y = x.

*Proof.* First, we begin by observing that the choice of  $p_j$  for  $y_j$  is unique, meaning that there is no way that he could select both 0 and 1 as his output.

To prove that, assume towards contradiction that there exist  $(A_0, \Omega_0), (A_1, \Omega_1) \in \mathbb{Z}$  s.t.

$$\mathcal{P} \setminus (P_j^{(0)} \cup P_j^{(\perp)}) \subseteq A_0 \text{ and } \mathcal{P} \setminus (P_j^{(1)} \cup P_j^{(\perp)}) \subseteq A_1,$$

as well as  $P_j^{(\perp)} \subseteq \Omega_0$  and  $P_j^{(\perp)} \subseteq \Omega_1$ . This gives us that  $P_j^{(1)} \subseteq A_0$  and  $P_j^{(0)} \subseteq A_1$  together with  $P_j^{(\perp)} \subseteq \Omega_0 \cap \Omega_1$ , which leads us to

$$\mathcal{P} = P_j^{(0)} \cup P_j^{(1)} \cup P_j^{(\perp)}$$
  
$$\subseteq A_1 \cup A_0 \cup (\Omega_0 \cap \Omega_1), \tag{18}$$

which is covered by  $A_k \cup A_1 \cup A_0 \cup (\Omega_0 \cap \Omega_1)$  for some k and contradicts the  $C_{BA}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  condition. Hence, the selection of  $y_j$  is unique.

(Weak consistency) Now, let us assume towards contradiction, that there exist (alive) players  $p_k, p_m \in \mathcal{P} \setminus A^*$  with outputs  $y_k = y, y_m = y'$ , respectively, and  $y \neq y'$ . Without loss of generality due to the observation above, we can assume that  $y_k = 0$  and  $y_m = 1$ . We define the sets  $P_k^{(0)}, P_k^{(1)}$  and  $P_k^{(\perp)}$  for  $p_k$  and similarly the sets  $P_m^{(0)}, P_m^{(1)}, P_m^{(\perp)}$  for  $p_m$ . Because both  $p_k, p_m \notin A^*$  are alive and follow the protocol *WeakConsensus* we get that

$$P_k^{(1)} \subseteq A_k \text{ and } P_k^{(\perp)} \subseteq \Omega_k, \text{ as well as } P_m^{(0)} \subseteq A_m \text{ and } P_m^{(\perp)} \subseteq \Omega_m,$$
 (19)

from where we can easily deduce that

$$P_k^{(\perp)} \cap P_m^{(\perp)} \subseteq \Omega_k \cap \Omega_m,\tag{20}$$

and then we can write

$$\mathcal{P} = P_k^{(\perp)} \cup P_k^{(1)} \cup P_k^{(0)} \tag{21}$$

$$= \left(P_k^{(\perp)} \cap \mathcal{P}\right) \cup P_k^{(1)} \cup \left(P_k^{(0)} \cap \mathcal{P}\right)$$
(22)

$$= \left( P_k^{(\perp)} \cap \left( P_m^{(\perp)} \cup P_m^{(0)} \cup P_m^{(1)} \right) \right) \cup P_k^{(1)} \cup \left( P_k^{(0)} \cap \left( P_m^{(\perp)} \cup P_m^{(0)} \cup P_m^{(1)} \right) \right)$$
(23)

$$= \left( \left( P_k^{(\perp)} \cap P_m^{(\perp)} \right) \cup \left( P_k^{(\perp)} \cap P_m^{(0)} \right) \cup \left( P_k^{(\perp)} \cap P_m^{(1)} \right) \right) \cup P_k^{(1)} \cup$$
(24)

$$\cup \left( \left( P_k^{(0)} \cap P_m^{(\perp)} \right) \cup \left( P_k^{(0)} \cap P_m^{(0)} \right) \cup \left( P_k^{(0)} \cap P_m^{(1)} \right) \right)$$
(25)

$$\subseteq (\Omega_k \cap \Omega_m) \cup A_m \cup A_k \cup A_k \tag{26}$$

$$\cup A_m \cup A_m \cup A^* \tag{27}$$

$$=A_k \cup A_m \cup A^* \cup (\Omega_k \cap \Omega_m), \tag{28}$$

where the inequalities on (26) follow along the lines of

- i.  $P_k^{(\perp)} \cap P_m^{(\perp)} \subseteq \Omega_k \cap \Omega_m$  from relation (20),
- ii. brackets involving  $P_k^{(1)}$  and  $P_m^{(0)}$  come from (19),
- iii. for the case where the message to one player is  $\perp$  we know that this message was blocked from reaching its recipient. If it was not, and the sender was following the protocol, he would have sent the same message to  $p_k$  and  $p_m$ , giving us the above inequalities for the sets from (19), again. Otherwise, he would not be following the protocol and he would belong in  $A^*$ ,

giving us the final relation, which contradicts the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$ . (persistency) If all non-actively corrupted players  $p_k \in \mathcal{P} \setminus A^*$  who are alive at the beginning of *Weak*-Consensus have the same input x, without loss of generality we can assume that x = 0. The case where x = 1 is clearly symmetrical. Then for all receivers  $p_j \in \mathcal{P} \setminus A^*$  who are alive, we have that  $P_i^{(\perp)} \subseteq \Omega^*$  and  $P_i^{(1)} \subseteq A^{\star}$ , according to Claim 1. This means that the condition for  $y_k = 0$  at WeakConsensus Protocol is satisfied. Hence all non-actively corrupted players who are still alive output x = 0, which is unique by the weak consistency property. 

Continuing with the proof of Lemma 2, a simulator for the security proof can be created similarly to the one for *FixReceive*. Since our protocols for the whole BA section are deterministic and do not involve randomness, once the simulator learns the inputs of the players and what players are becoming zombies, he can perfectly simulate the view of the environment in the real and ideal world execution. Due to space limitations we omit the rest of the simulators of this section. 

#### **3.4** Graded Consensus



The next step is *GradedConsensus*. Leveraging Weak Consensus to get a stronger type of agreement. the players here also output a bit grade  $q_i$  reflecting their certainty in their output. This is similar to the Gradecast primitive in [FM88]. This is a graded version of persistence—i.e., if the players who are not actively corrupted have pre-agreed on a value x, then we get that they all output x with grade g = 1 (graded persistency). Additionally, it ensures that if any non-actively corrupt party outputs  $y_i = y$  with  $g_i = 1$ , then every non-actively corrupt alive party  $p_j$  outputs  $y_j = y$  (graded consistency). In the opposite case, where the player is not certain about his output value, his grade of confidence is  $g_i = 0$ .

Our functionality  $\mathcal{F}_{GC}$  above captures those properties. At its core it works in a similar manner to  $\mathcal{F}_{WC}$ . The difference here is that if there exists pre-agreement then all grades are set to 1 and outputs to the same value and the adversary is not allowed to change them. Else, if some grade is  $g_i = 1$ , then all outputs have to be the same, but the adversary can select the other grades. Otherwise, with all grades 0, the outputs are allowed to be selected by the adversary.

In more detail, the protocol first calls the *WeakConsensus* protocol in order to reach an initial step of agreement. Then all the players exchange the outputs they received, by invocation of *FixReceive*. After that, each player collects that information and decides whether to output  $y_j = 0$  or  $y_j = 1$ . If it can be seen from the adversary structure that non-actively corrupted players have sent the value 1, then  $y_j = 1$  (as in this case every non-actively corrupted player would have output  $x'_i \in \{y_j, \text{``n/v''}\}$ , according to the previous Claim). Otherwise, if she only received 0 and "n/v" from non-actively corrupted, she sets her output (by default) to  $y_j = 0$ .

Next, we have to determine the grade. If at least all non-actively corrupted players have sent to  $p_j$  either the same message as her output  $y_j$  or  $\perp$  (from the players in  $\Omega$ ) and at least all uncorrupted players have definitely sent  $y_j$ , then  $p_j$  sets her grade of confidence in the fact that all uncorrupted players have the same output as  $g_j = 1$ . Otherwise, she sets  $g_j = 0$ , showing that there is no agreement from her point of view, yet. The protocol follows. We only list rounds  $\rho = 1, 5, 8$  because in the rest of the rounds the players are waiting for *WeakConsensus* and *FixReceive* to give their output.

**Protocol** GradedConsensus( $\mathcal{P}, \mathcal{Z}, \vec{x} = (x_1, \dots, x_n)$ )

1. In round  $\rho = 1$ : Invoke *WeakConsensus*  $(\mathcal{P}, \mathcal{Z}, \vec{x})$ ; Player  $p_i$  denotes his output by  $x'_i$ .

2. In round  $\rho = 5$ : Each  $p_i \in \mathcal{P}$  sends  $x'_i$ , by invocation of *FixReceive*, to every  $p_j \in \mathcal{P}$ , who denotes the received value by  $x_j^{(i)}$ .

3. In round  $\rho = 8$ : Each  $p_j$  outputs  $(y_j, g_j)$  as follows:  $y_j =: \begin{cases} 0 & \text{if } \exists (A, \Omega) \in \mathcal{Z} \text{ s.t. } \mathcal{P} \setminus \left( P_j^{(0)} \cup P_j^{(``n/v")} \cup P_j^{(\perp)} \right) \subseteq A \land \left( P_j^{(\perp)} \subseteq \Omega \right) \\ 1 & \text{otherwise} \end{cases}$   $g_j =: \begin{cases} 1 & \text{if } \exists (A, \Omega) \in \mathcal{Z} \text{ s.t. } \mathcal{P} \setminus \left( P_j^{(y_j)} \cup P_j^{(\perp)} \right) \subseteq A \\ 0 & \text{otherwise} \end{cases}$ If some  $p_z \in \Omega^*$  became zombie he outputs (omission,  $p_z$ ).

**Lemma 3.** If the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  (see Eq. 12) holds, the protocol GradedConsensus perfectly  $\mathcal{Z}$ -securely realizes the functionality  $\mathcal{F}_{GC}$ .

The claim stating the input/output properties of the protocol follows.

Claim. If the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  (see Eq. 12) holds, the protocol *GradedConsensus* has the following properties: (graded consistency) If some  $p_i \in \mathcal{P} \setminus A^*$  outputs  $(y_i, g_i) = (y, 1)$  for some  $y \in \mathbb{F}$ , then every (alive)  $p_j \in \mathcal{P} \setminus A^*$  outputs  $y_j = y$  with some  $g_j \in \{0, 1\}$ . (graded persistency) If every  $p_i \in \mathcal{P} \setminus A^*$  who is alive at the beginning of *GradedConsensus* has input  $x_i = x$ , then every (alive)  $p_j \in \mathcal{P} \setminus A^*$  outputs  $(y_j, g_j) = (x, 1)$ .

*Proof.* To begin with, we will show that for any player  $p_j \in \mathcal{P} \setminus A^*$ ,  $y_j = 1$  only when  $\exists Z = (A, \Omega) \in \mathcal{Z}$  s.t.

$$\mathcal{P} \setminus \left( P_j^{(1)} \cup P_j^{(``\mathsf{n/v''})} \cup P_j^{(\bot)} \right) \subseteq A \land \left( P_j^{(\bot)} \subseteq A \cup \Omega \right).$$

In order to do that, let us consider under which case a player  $p_j$  would not output  $y_j = 0$ . This would only happen when the first condition for  $y_j = 0$  would not hold true, i.e.  $\forall Z = (A, \Omega) \in \mathcal{Z}$  we would have that

$$\mathcal{P} \setminus \left( P_j^{(0)} \cup P_j^{("\mathsf{n/v"})} \cup P_j^{(\perp)} \right) \notin A \text{ OR } \left( P_j^{(\perp)} \notin A \cup \Omega \right)$$

which means that one of the two statements (either the first or the second) will always hold. As such, we can select any special  $Z = (A, \Omega)$  with  $A \supseteq A^*$  and  $\Omega \supseteq \Omega^*$  of  $Z^* = (A^*, \Omega^*)$  for which we know that the second argument is false, as we know that  $P_j^{(\perp)} \subseteq A^* \cup \Omega^*$ . We are allowed to make that selection because the corruptible set  $Z^*$  that the adversary eventually chooses belong in Z and can be used for our analysis, despite being unknown to the players. As a result, for that Z the first argument has to hold true, i.e.  $\exists p_k \in \mathcal{P}$  with

$$p_k \notin P_j^{(0)} \cup P_j^{(``n/v")} \cup P_j^{(\perp)}, \text{ s.t. } p_k \notin A^\star,$$

which means that  $p_k$  is not actively corrupted. After the invocation of WeakConsensus  $p_k$ 's output was  $x'_k = 1$  and consequently he sent this value 1 to  $p_j$ . By the Weak Consistency property though, this would mean that *all* non-actively corrupted players would output either 1 or "n/v", as well, and none of them would output 0. This leads us to

$$P_j^{(1)} \cup P_j^{(\text{``n/v'')}} \cup P_j^{(\perp)} \supseteq \mathcal{P} \setminus A,$$
(29)

which, together with the earlier result of  $P_i^{(\perp)} \subseteq A \cup \Omega$  can be written as

$$\mathcal{P} \setminus \left( P_j^{(1)} \cup P_j^{(``n/v'')} \cup P_j^{(\perp)} \right) \subseteq A \land \left( P_j^{(\perp)} \subseteq A \cup \Omega \right),$$

completing our argument.

Now we can move on to the proof of the two properties.

(Graded consistency) Let us assume, towards contradiction, that there exist (alive)  $p_k, p_m \in \mathcal{P} \setminus A^*$  with  $(y_k, g_k) = (0, 1)$  and  $(y_m, g_m) = (1, g_m)$ , where  $g_m \in \{0, 1\}$ , their respective outputs. We define the sets  $P_k^{(0)} = \{p_i : x_k^{(i)} = 0\}, P_k^{(1)} = \{p_i : x_k^{(i)} = 1\}, P_k^{(\perp)} = \{p_i : x_k^{(i)} = \perp\}$  and  $P_k^{(``n/v")} = \{p_i : x_k^{(i)} = ``n/v"\}$  for  $p_k$  and the sets  $P_m^{(0)}, P_m^{(1)}, P_m^{(\perp)}, P_m^{(``n/v")}$  for  $p_m$  in the same manner. As both  $p_k$  and  $p_m$  are not malicious and they follow the protocol we get that there exist sets  $(A_k, \Omega_k)$  and  $(A_m, \Omega_m)$  for which we have that

$$\mathcal{P} \setminus \left( P_k^{(0)} \cup P_k^{(\perp)} \right) \subseteq A_k \tag{30}$$

$$\mathcal{P} \setminus \left( P_m^{(1)} \cup P_m^{(``n/v")} \cup P_m^{(\perp)} \right) \subseteq A_m, \tag{31}$$

due to the fact that  $g_k = 1$  and  $y_m = 1$ . This can be written as

$$P_k^{(1)} \cup P_k^{(``n/v'')} \subseteq A_k \tag{32}$$

$$P_m^{(0)} \subseteq A_m,\tag{33}$$

as those are the only possible sets that  $\mathcal P$  can be divided into. Now, we are ready to write that

$$\mathcal{P} = P_{k}^{(0)} \cup P_{k}^{(\perp)} \cup P_{k}^{(1)} \cup P_{k}^{(^{n}/v^{"})}$$

$$= \{P_{k}^{(0)} \cap \mathcal{P}\} \cup \{P_{k}^{(\perp)} \cap \mathcal{P}\} \cup P_{k}^{(1)} \cup P_{k}^{(^{^{n}/v^{"}})}$$

$$= \left\{ \underline{[P_{k}^{(0)} \cap P_{m}^{(0)}]} \cup [P_{k}^{(0)} \cap P_{m}^{(1)}] \cup [P_{k}^{(0)} \cap P_{m}^{(^{^{n}/v^{"}})}] \cup \underline{[P_{k}^{(0)} \cap P_{m}^{(\perp)}]} \right\} \cup$$

$$\cup \left\{ \underline{[P_{k}^{(\perp)} \cap P_{m}^{(0)}]} \cup \underline{[P_{k}^{(\perp)} \cap P_{m}^{(1)}]} \cup \underline{[P_{k}^{(\perp)} \cap P_{m}^{(^{^{n}/v^{"}})}]} \cup \underline{[P_{k}^{(\perp)} \cap P_{m}^{(\perp)}]} \cup \underline{[P_{k}^{(\perp)} \cap P_{m}^{(\perp)}]} \right\} \cup$$

$$\cup \underbrace{[P_{k}^{(1)} \cup P_{k}^{(^{^{n}/v^{"}})}]}_{\subseteq A_{k}}$$

$$\subseteq \underline{A_{m}} \quad \cup \quad A^{*} \quad \cup \quad A_{k} \\ \cup \quad A_{m} \quad \cup \quad A_{k} \quad \cup \quad A_{k} \quad \cup \quad (\Omega_{k} \cap \Omega_{m}) \quad \cup A_{k}$$

$$= A_{k} \cup A_{m} \cup A^{*} \cup (\Omega_{k} \cap \Omega_{m}),$$

$$(34)$$

where we have used the relations (32),(33) from above and the fact that if  $p_i \in P_k^{(\alpha)} \cap P_m^{(\beta)}$  with different exponents  $\alpha \neq \beta$  it means that  $p_i$  has sent different messages to the players  $p_k, p_m$ , i.e. he is actively corrupted.

Also, when a player  $p_k$  receives the value  $\perp$  from a player  $p_i$  we know that this message was blocked from reaching its recipient. If it was not, and the sender was following the protocol, he would have sent the same message to  $p_k$  and  $p_m$ , giving us the above inequalities for the sets from (33). Otherwise, he would not be following the protocol and he would belong in  $A^*$ , in which case we get the same result. This is the reasoning used in the blue underlined brackets.

This final result we reached contradicts the assumption of the  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  condition, giving us the desired result.

(Graded persistency) If we assume that every  $p_i \in \mathcal{P} \setminus A^*$  who is alive at the beginning of *GradedConsensus* has input  $x_i = 0$ , we can use the Weak persistency property that we have established to deduce that every non-actively corrupted  $p_i$  (who is still alive) outputs  $x'_i = 0$  in Step 1 (the case of pre-agreement on 1 can be handled symmetrically).

Hence, for every  $p_i$  we have that  $\mathcal{P} \setminus (P_i^{(0)} \cup P_i^{(\perp)}) \subseteq A^*$ , and  $P_i^{(\perp)} \subseteq A^* \cup \Omega^*$  which means that  $p_i$  outputs 0 with grade 1, i.e.  $(y_i, g_i) = (0, 1)$ . The uniqueness of this decision follows from the graded consistency property and this concludes our proof. 

#### 3.5King Consensus

The last step towards Consensus is *KingConsensus*. Here, we select one player and give him the special role of (phase) king. As before, if the players had pre-agreement on their inputs x, they all must output the same value x (persistency). On top of that, if the king is correct, the players will reach agreement, no matter what (king consistency).

The functionality  $\mathcal{F}_{KC}$  that captures that is described above It keeps the persistency if it is already established. Otherwise, it could allow the adversary to change the output to a specific value v for all, subject to the king being correct. Else, the adversary is allowed to select the outputs for all players.

The protocol realizing it first uses *GradedConsensus* and then has the king send his output to all players. All players certain for their output keep their value, whereas all those who are uncertain adopt the value of the king. This way, using graded consistency for the grades and persistency we make sure that if the king is correct until the end of the protocol then all non-actively corrupted players will agree on the same output. The protocol follows.

**Protocol** KingConsensus( $\mathcal{P}, \mathcal{Z}, p_k, \vec{x} = (x_1, \dots, x_n)$ )

1. In round  $\rho = 1$ : Invoke *GradedConsensus*  $(\mathcal{P}, \mathcal{Z}, \vec{x})$ ;  $p_i$  denotes his output by  $(x'_i, g_i)$ . 2. In round  $\rho = 9$ : The king  $p_k$  sends  $x'_k$  to every  $p_j \in \mathcal{P}$  by invocation of *FixReceive*. 3. In round  $\rho = 12$ : Each  $p_j \in \mathcal{P}$  outputs  $y_j := \begin{cases} x'_j & \text{if } (g_j = 1) \text{ or } (p_k \text{ sent } x'_k \notin \mathbb{F}) \\ x'_k & \text{otherwise} \end{cases}$ 

If some  $p_z \in \Omega^*$  became zombie he outputs (omission, p

**Lemma 4.** If the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  (see Eq. 12) holds, the protocol KingConsensus perfectly  $\mathcal{Z}$ -securely realizes the functionality  $\mathcal{F}_{KC}$ .

The claim stating the input/output properties of the protocol follows.

**Claim 3.** If the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  (see Eq. 12) holds, the protocol KingConsensus has the following properties: (king consistency) If the king  $p_k$  is correct, then every  $p_j \in \mathcal{P} \setminus A^*$  outputs  $y_j = y$ . (persistency) If every  $p_i \in \mathcal{P} \setminus A^*$  who is alive at the beginning of KingConsensus has input  $x_i = x$  then every (alive)  $p_i$ outputs  $y_i = x$ .

*Proof.* (Persistency) When the non-actively corrupt players who are alive pre-agree on some y then, by the graded persistency property, they all output y with grade 1 and do not change it.

(King consistency) When the king  $p_k$  is correct, he sends the same  $x'_k$  to every player. We consider two cases: (i) If some  $p_i \in \mathcal{P} \setminus A^*$  outputs some  $x'_i$  with grade  $g_j = 1$ , then by graded consistency, all alive and correct players output the same  $x'_i$ , including the king  $(x'_k = x'_i)$ , who then sends this same value to everyone. (ii) If all players have grade 0 then they all output the value they received from the king, the same  $x'_k$  for all, completing our proof.

#### 3.6 Consensus

- **Functionality**  $\mathcal{F}_{CS}(\mathcal{P}, \mathcal{Z}, \vec{x} = (x_1, \dots, x_n))$ The adversary corrupts  $Z^* = (A^*, \Omega^*).$ 

- Initially, set the input values  $x_1, \ldots, x_n$ , the output values  $y_1, \ldots, y_n$  to  $\perp$ .
- In round  $\rho = 1$ :
  - Upon receiving a message (input, sid,  $v_i$ ) from  $p_i \in \mathcal{P}$  (or the adversary, if the player is actively corrupted), set  $x_i = v_i$  and send (leakage, sid,  $p_i, v_i$ ) to the adversary.
- In round  $\rho = 2$  up to 12n do nothing.
- In round ρ = 12n + 1, F<sub>CS</sub> sets (y<sub>1</sub>,..., y<sub>n</sub>) = (v<sub>t</sub>,..., v<sub>t</sub>), where v<sub>t</sub> is the input value of the first honest party:
  If ∀ alive p<sub>i</sub> ∈ P \ A<sup>\*</sup> the functionality has recorded the same x<sub>i</sub> = x then ignore all messages (set y, (v<sub>1</sub>,..., v<sub>n</sub>)).
  - Else, upon receiving (set y,  $(v_1, \ldots, v_n)$ ) from the adversary, if  $v_j = \bot$  only for some  $p_j \in \Omega^*$  and  $v_i = v_k$  for any two  $p_i, p_k \notin A^*$ , set  $(y_1, \ldots, y_n) = (v_1, \ldots, v_n)$ . Otherwise, ignore all (set y) messages.
  - If  $y_j = \perp$  for some  $p_j \in \Omega^*$ , output (omission,  $p_j$ ) to  $p_j$ .
  - Upon receiving (fetch-output, sid) from  $p_i \in \mathcal{P}$ , send (output, sid,  $y_i$ ) to  $p_i$  and (fetch-output, sid,  $p_i$ ) to the adversary.

Finally, we are now ready to present our Consensus primitive. The end goal of the parties is to terminate with the same output y. On top of that, if there was pre-agreement on input x, the common output should be y = x. Our functionality  $\mathcal{F}_{CS}$ , described above allows the adversary to change the common output value only if there was no pre-agreement. Also, he is able to make a player in  $\Omega^*$  zombie. All other messages are ignored.

The way that the  $\mathcal{F}_{CS}$  is realized by the protocol *Consensus* is by repeatedly calling the *KingConsensus* protocol. We use as inputs the outputs of the previous iteration and each time the king is a different player, in turn for all players until we reach an honest one. Since every player becomes a king, we can be certain, as long as not all players are corrupted (which is not allowed by our security condition) that at least one king will be correct and, hence, we will achieve consistency on the output value. This point will be reached even sooner if the non-actively corrupted players have pre-agreed on a value x. What is more, once this agreement is achieved, by the persistency property of *KingConsensus*, we can be certain that it will not change, no matter what the king sends (in case he is not correct) thus the agreement will be maintained.

To be more formal, below is the property-based definition of Consensus for our relevant corruption types. A protocol perfectly  $\mathcal{Z}$ -securely realizes Consensus among the players in  $\mathcal{P}$  if it satisfies the following properties in the presence of a  $\mathcal{Z}$ -adversary:

- (consistency) Every non-actively corrupted  $p_i \in \mathcal{P}$  who is alive at the end of the protocol outputs the same value y.
- (persistency) Assuming that every non-actively corrupted  $p_i \in \mathcal{P}$  who is alive at the beginning of the protocol has input x, the output is y = x.
- (termination) For every non-actively corrupted  $p_i \in \mathcal{P}$  the protocol terminates after a finite number of rounds.

The description of our *Consensus* protocol follows.

**Protocol** Consensus( $\mathcal{P}, \mathcal{Z}, \vec{x} = (x_1, \dots, x_n)$ ) 1. In round  $\rho = 1$ : Each  $p_i \in \mathcal{P}$  sets  $x'_i := x_i$ . Then, for  $k = 1, \dots, n$  the following steps are run: (a) Invoke KingConsensus( $\mathcal{P}, \mathcal{Z}, p_k, (x'_1, \dots, x'_n)$ ); each  $p_j$  denotes his output by  $z_j^{(k)}$ . (b) Each  $p_i \in \mathcal{P}$  sets  $x'_i := z_i^{(k)}$ . 2. In round  $\rho = 12n + 1$ : Each  $p_j \in \mathcal{P}$  outputs  $y_j := x'_j$ .

If some  $p_z \in \Omega^*$  became zombie he outputs (omission,  $p_z$ ).

**Theorem 2.** If the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  (see Eq. 12) holds, the protocol Consensus perfectly  $\mathcal{Z}$ -securely realizes the Consensus functionality  $\mathcal{F}_{CS}$ .

*Proof.* The proof of this theorem follows from the established protocols above. If  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  holds true we are granted that there exists an uncorrupted player in  $\mathcal{P}$ , as  $\mathcal{P} \setminus (A_i \cup A_j \cup A_k \cup (\Omega_j \cap \Omega_k)) \neq \emptyset$ , for all i, j, k selections of the three sets.

Then, applying both properties of *KingConsensus* in succession creates and then maintains the agreement on the output for all iterations. This post-agreement can be achieved earlier still, if there is pre-agreement between the non-actively corrupted players on their values.

Finally, for the termination property, we are certain that the protocol steps (a) and (b) will be run for exactly n times, with each step being guaranteed to terminate. At this point, at least one honest player will have successfully become king, after which point the pre-agreement and the eventual reaching of a common output is reached according to the properties of *KingConsensus*.

#### 3.7 Broadcast

adversary.



In this section we describe our Broadcast functionality  $\mathcal{F}_{BC}$  above. The idea is similar to the Consensus functionality, with the difference that only the sender p has an input x. Additionally, if he remains alive, all alive players will get the same output value y = x. The adversary can only make players  $p_j \in \Omega^*$  become zombie and nothing more to alter the outputs. An honest p always broadcasts the correct value and the output of broadcast is  $\perp$  only if  $p \in \Omega^*$ .

The formal property-based definition of *Broadcast* is as follows. A protocol perfectly  $\mathcal{Z}$ -securely realizes Broadcast with sender a player p whose input is x among the players in  $\mathcal{P}$  if it satisfies the following properties in the presence of a  $\mathcal{Z}$ -adversary:

- (consistency) Every non-actively corrupted  $p_i \in \mathcal{P}$  who is alive at the end of the protocol outputs the same value y.

- (validity) Assuming that the sender p is not actively corrupted, the common output y satisfies  $y \in \{x, \bot\}$ . Specifically, y = x if p is alive and correct until the end of the protocol and  $y = \bot$  if p has become a zombie.
- (termination) For every non-actively corrupted  $p_i \in \mathcal{P}$  the protocol terminates after a finite number of rounds.

Note that, as *Broadcast* invokes *Consensus*, the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  is needed for this protocol, as well. We describe below our protocol for broadcast that realizes the functionality  $\mathcal{F}_{BC}$ .

**Protocol**  $Broadcast(\mathcal{P}, \mathcal{Z}, p, x)$ 

- 1. In round  $\rho = 1$ : The sender p sends x to every  $p_j \in \mathcal{P}$  using *FixReceive*, who denotes the received value by  $x_j$ . (If  $p_j$  received  $\perp$  he sets  $x_j = 0$ ).
- 2. In round  $\rho = 4$ : The players invoke  $Consensus(\mathcal{P}, \mathcal{Z}, (x_1, \ldots, x_n))$  on the received values. We denote  $p_j$ 's output as  $y_j$ .
- 3. In round  $\rho = 12n + 4$ : The sender p sends a confirmation bit b to every  $p_i \in \mathcal{P}$  using *FixReceive*, where b = 1 if the output of p after *Consensus* equals x and b = 0 otherwise;  $p_i$  denotes the received bit by  $b_i$ . (If  $p_i$  received  $\perp$  he sets  $b_i = 0$ ).
- 4. In round  $\rho = 12n + 7$ : Invoke  $Consensus(\mathcal{P}, \mathcal{Z}, (b_1, \ldots, b_n))$ .
- 5. In round  $\rho = 24n + 7$ : For each  $p_i \in \mathcal{P}$ , if  $p_i$ 's output after *Consensus* is 1, he outputs  $y_i$ , otherwise he outputs  $\perp$ .

If some  $p_z \in \Omega^*$  became zombie he outputs (omission,  $p_z$ ).

**Theorem 3.** If the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  (see Eq. 12) holds, the protocol Broadcast perfectly  $\mathcal{Z}$ -securely realizes the functionality  $\mathcal{F}_{BC}$ .

The claim stating the input/output properties of the protocol follows.

Claim. If the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  (see Eq. 12) holds, the protocol *Broadcast* has the following properties: (consistency) All (alive)  $p_j \in \mathcal{P} \setminus A^*$  output the (same) value  $y_j = y$ . (validity) When  $p \in \mathcal{P} \setminus A^*$  we get that  $y \in \{x, \bot\}$ . Specifically, y = x when p is still correct (even if he is omission-corrupted) and he is alive at the end of the protocol, and  $y = \bot$  when p has become a zombie during the protocol.

The proof of the Claim can be derived from Consensus above, as a case study is enough to see that consensus grants us the desired properties we need for broadcast, as well. Something to note here is the addition of steps 3 and 4, which would initially seem surplus. The reason is that during Consensus, if there is no pre-agreement, the adversary is allowed to select which value will be output and agreed upon. This can be seen in  $\mathcal{F}_{WC}$  and  $\mathcal{F}_{GC}$  and it has already been mentioned in 2. More specifically, when the sender sends his bit to everyone, if the sender is omission-corrupted it could be the case that some non-actively corrupted parties do not receive his value. Then, according to step 1, they would set their input to 0. Hence, the second stage, i.e. Consensus, could start without pre-agreement on the correct value of the sender, if his initial x was equal to 1.

For this reason, we need the extra confirmation step of the bit send by the sender and the consensus run on this bit in order to make sure that the output of Broadcast is the correct one, by having the sender agree. Aside from those extra steps which we justified, the standard method of sending the senders bit to everyone and then running consensus on the received values gives us the desired properties for broadcast.

Necessity of Conditions for Byzantine Agreement. Next, we will show that the  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  condition is also necessary for Broadcast. A strawman approach would be the following: Altman, Fitzi, and Maurer [AFM99] proved that the following condition, denoted by  $C_{AFM}(\mathcal{P},\mathcal{Z})$ , is necessary and sufficient for BA with general active/fail adversary structures, i.e., where the adversary characterized by a class Z = (A, F) can actively corrupt every party in A and fail-crash every party in F:

$$C_{AFM}(\mathcal{P},\mathcal{Z}) \Longleftrightarrow \forall Z_i, Z_j, Z_k \in \mathcal{Z} : A_i \cup A_j \cup A_k \cup (F_i \cap F_j \cap F_k) \neq \mathcal{P}.$$
(35)

Since  $C_{AFM}(\mathcal{P}, \mathcal{Z})$  is necessary for active fail corruption, and fail-corruption is strictly weaker than omission one might be tempted to use the above as a way to prove impossibility in our case, too. However,  $C_{AFM}(\mathcal{P}, \mathcal{Z})$ is less strict than  $C_{BA}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$ , i.e., there are structures –indeed, the structure in the counter-example of Lemma 5 is one– satisfying  $C_{AFM}(\mathcal{P}, \mathcal{Z})$  but not  $C_{BA}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$ .

Hence the above approach cannot work, which calls for a completely new impossibility proof. The following lemma shows the impossibility that arises when  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  is violated. The proof exploits adversarial strategies which create an ambiguity in the view of the players, which prevents them from deciding which corruptible class the adversary has actually chosen, contradicting correctness.

**Lemma 5.** If the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  does not hold, then the properties of the Broadcast protocol stated in Theorem 3 cannot hold, as well.

*Proof.* Assuming that the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  (see Eq. 12) does not hold and that we have secure broadcast, i.e. the properties of the broadcast protocol hold true we will reach a contradiction. This means that  $\exists Z_1, Z_2, Z_3 \in \mathcal{Z}$  such that

$$A_1 \cup A_2 \cup A_3 \cup (\Omega_2 \cap \Omega_3) = \mathcal{P},$$

which means that the whole player set is covered by these three corruptible sets. Here, without loss of generality, we can assume that the sets are not overlapping. If not, we can reduce the sets to the case above by considering a strictly weaker adversary structure and still the condition holds.

Now, we will use a player-simulation argument. If we create a contradiction by considering four players,  $p_1, p_2, p_3, p_4$ , assuming that they can securely communicate and show that the condition together with the properties cannot hold there, then it is trivial that the proof is complete. For that reason, we consider an adversary structure  $\mathcal{Z}$ as seen in Table 2. Here, for  $Z_1, Z_2, Z_3 \in \mathcal{Z}$  we have that  $A_1 \cup A_2 \cup A_3 \cup (\Omega_2 \cap \Omega_3) = \mathcal{P}$ . We assume that  $p_1$  is the designated sender and we want all other players to output the same value, according to the broadcast property. We consider the following scenarios. In all cases, communication from  $p_1$  to  $p_4$  is cut entirely.

Scenario 1: The adversary chooses to corrupt  $Z_1$  (Fig. 1).

This means that  $p_1$  is actively corrupted and all other players are honest. The adversary invokes the program  $\pi_1$  that  $p_1$  would normally run but for two different inputs, 0 and 1, and he connects the first program with  $p_2$  and the second one with  $p_3$ , respectively. This means that  $p_2$  believes that the sender has input 0,  $p_3$  believes that the second rule is the second one with

that the sender has input 1 and  $p_4$  does not have any direct information from the sender. Scenario 2: The adversary chooses to corrupt  $Z_2$ . Furthermore, the sender  $p_1$  has input 1 (Fig. 2).

In that case,  $p_2$  is actively corrupted and  $p_4$  is omission-corrupted. The program  $\pi_1$  is invoked by the adversary and it is run with input 0. Then, he connects that program with  $p_2$ . At the same time  $p_1$  is normally communicating his input 1 to  $p_3$  but his communication with  $p_4$  is blocked. This means that  $p_2$  believes that the sender has input 0,  $p_3$  believes that the sender has input 1 and  $p_4$  does not have any direct information from the sender.

Because the sender is not actively corrupted and is correct until the end of the protocol, due to validity, all players should output 1 with overwhelming probability.

Scenario 3: The adversary chooses to corrupt  $Z_3$ . Furthermore, the sender  $p_1$  has input 0 (Fig. 3).

In that case,  $p_3$  is actively corrupted and  $p_4$  is omission-corrupted, as before. The program  $\pi_1$  is invoked by the adversary and it is run with input 1. Then, he connects that program with  $p_3$ . At the same time  $p_1$  is normally communicating his input 0 to  $p_2$  but his communication with  $p_4$  is blocked. This means that  $p_2$ believes that the sender has input 0,  $p_3$  believes that the sender has input 1 and  $p_4$  does not have any direct information from the sender.

Because the sender is not actively corrupted and is correct until the end of the protocol, due to validity, all players should output 0 with overwhelming probability.

For the players the three scenarios are indistinguishable. Hence, they should output the same value in all cases, since all scenarios have the same set up, meaning that the distribution of the outputs should be identical.

**Table 2.** The classes  $Z_1, Z_2, Z_3$  with  $A_1 \cup A_2 \cup A_3 \cup (\Omega_2 \cap \Omega_3) = \mathcal{P}.$ 



Fig. 1.  $p_1$  is actively corrupted. Fig. 2.  $p_2$  is actively,  $p_4$  is omissioncorrupted. Fig. 3.  $p_3$  is actively,  $p_4$  is omissioncorrupted.

Fig. 4. The scenarios 1, 2, 3 are indistinguishable for the non-actively corrupted players.

As the result is overwhelmingly different in all cases, this leads us to a contradiction.  $\Box$ 

This proves that the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  consists a tight feasibility bound, meaning that the desired properties hold true if and only if our condition holds.

In essence, this proof was done for the necessity of the *Broadcast* protocol. We claim that this is enough for the necessity of the *Consensus* protocol, as well. Indeed, if there existed a consensus protocol that would work while the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  does not hold, we could use *this* consensus protocol in the same manner we have done earlier in order to build a new broadcast protocol. This in turn would break our impossibility result, giving us a contradiction.

Hence, the necessity of the bound for Consensus is covered as well.

### 4 Multi-Party Computation

In this section we extend our study to multi-party computation. As a first step, in Section 4.1 we discuss the challenges of such an extension, and show that existing techniques from the threshold literature either do not work, or yield counter-intuitive results. There we discuss and prove the ineffectiveness of player elimination, a technique frequently used in the general adversary literature.

This motivates us to introduce a new condition  $C_{SMT}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$ , which together with  $C_{BA}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  enables us to create a Secure Message Transmission primitive in Section 4.2. This allows any two given parties to exchange securely a message *s* and, specifically, the protocol either aborts while detecting a corrupted party or it provides an alive receiver with the correct message of a sender, effectively creating a publicly detectable private message functionality. In other words, either the message is delivered (keeping its privacy) or it can be publicly detected which player failed/is corrupted.

With that idea we practically overcome the problem of omission corruptions and, thus, we could use any MPC protocol for active corruption in general adversaries to accomplish the rest of our task. We present the necessary tools and building blocks to do that in Section 4.3.

Next, we tackle one of the final problems, namely securely computing the gates in Section 4.4, with multiplication being the main difficulty while addition is pretty straight forward. There we present their functionalities and how we can implement them in our setting.

Finally, after establishing that, we will be able to provide a tight characterization of the perfectly secure MPC landscape (in terms of both feasibility and impossibility) in the remainder of the section. We compose

all of our blocks and tools together in Section 4.5 to present our full MPC protocol and in Section 4.5 we prove that our conditions are also necessary, i.e. tight.

As a side note, we remind here that our MPC assumes that the parties can broadcast messages (elements from an appropriate arithmetic field  $\mathbb{F}$ ). As we can easily see, our MPC condition  $C_{MPC}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  implies  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  which means that we can use *Broadcast* for this purpose<sup>11</sup>.

#### 4.1 The ineffectiveness of player elimination

Dealing with omission corruptions can be quite difficult. So far, the only known way to accomplish that in information-theoretic MPC [ZHM09] is via *player elimination* (*cf.* Section 2). Recall that, player elimination is used in the threshold adversaries setting, and the idea is that if a set of parties with sufficiently many faulty parties is publicly identified—i.e., so that deleting all the detected parties does not destroy the corruption threshold—then under the condition that all the detected parties have had their chance to share their input, we can eliminate all of them, and have the remaining parties complete the computation (and later inform the eliminated parties of their outputs).

In the following we show that player elimination cannot be used in the general adversary setting, even for conditions that would seem natural to allow it. This will motivate us to focus on what we term *strong player elimination*, where if a set of parties is detected, we have a guarantee that they are *all* corrupted.

In more detail, we will provide a counter-example to using player elimination in the general adversary setting with omissions. Surprisingly, this specific example would normally work in the threshold model. Here, we show that a player set that respects the simple security condition

$$\forall Z_i, Z_j \in \mathcal{Z} : \Omega_i \cup \Omega_j \neq \mathcal{P}$$

fails to do so once we remove a set of two publicly detected players, where the guarantee is that at least one of them is (omission) corrupted. Let us assume that the adversary structure  $\mathcal{Z}$  is the following, as presented in Table 3:

 $Z_1 = \Omega_1 = \{p_1, p_3\}, Z_2 = \Omega_2 = \{p_1, p_4\}, Z_3 = \Omega_3 = \{p_2\}.$ 

Assuming that the players  $p_1, p_2$  are the pair that has the disagreement, meaning that one of them is corrupted, if the weak player elimination was true, we could eliminate both of them and obtain the structure  $\mathcal{Z}$ :  $Z_1 = \Omega_1 = \{p_3\}, Z_2 = \Omega_2 = \{p_4\},$ 

 $Z_3 = \Omega_3 = \{\emptyset\}$ . However, the residual player set consists only of  $p_3, p_4$ , meaning that  $\Omega_1 \cup \Omega_2 = \mathcal{P}$ , which proves our argument that weak player elimination is not working with general adversaries, because the security condition is not preserved.

We note in passing that it is straight-forward to extend the above simple counter-example to other natural conditions on the adversary structure, e.g.,  $\forall Z_i, Z_j, Z_k \in \mathcal{Z} : \Omega_i \cup \Omega_j \cup \Omega_k \neq \mathcal{P}$  by adding another party that helps the three sets cover the player set. In fact, it is unclear which condition, if any, would allow us to use this technique.

On the other hand, due to the symmetric nature of omission corruption (where a faulty sender or receiver can have the same effect) it is unclear how one can derive a protocol with the stronger detection guarantees, which would allow for strong player elimination. To tackle this problem we came up with a new protocol idea which manages to establish a Secure Message Transmission primitive under the new condition  $C_{SMT}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$ . In the following we prove that this condition together with  $C_{BA}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$ , is both sufficient and necessary for MPC giving us a tight bound for the adversary structure.

### 4.2 Detectable Secure Message Transmission

26

	$p_1$	$p_2$	$p_3$	$p_4$
$Z_1$	ω		$\omega$	
$Z_2$	$\omega$			ω
$Z_3$		ω		

**Table 3.** The classes  $Z_1, Z_2, Z_3$  that show the ineffectiveness of player elimination for general adversaries.

<sup>&</sup>lt;sup>11</sup> As discussed in the introduction, we can trivially turn binary *Broadcast* to a string *Broadcast* by invoking it for each bit of the string.

Functionality  $\mathcal{F}_{detSMT}(\mathcal{P}, \mathcal{Z}, P_s, P_r, s)$ 

The adversary corrupts  $Z^* = (A^*, \Omega^*)$ .

- In round  $\rho = 0$ : Initially, set the output value  $m_{out}$  to  $\perp$ . Upon receiving a message (input, sid, s) from the sender  $P_s$  (or the adversary, if the sender is actively corrupted), set  $m_{out} = s$  and send (leakage, sid,  $P_s, l(s) = |s|$ ) to the adversary.
- In rounds  $\rho = 1$  to 7: Do nothing.
- At any point in time:
  - Upon receiving (adv-omit-output,  $P_r$ ) from the adversary, if  $P_r \in \Omega^*$ , set  $m_{out} = \bot$  and output (omission,  $P_r$ ) to  $P_r$ .
  - Upon receiving (inform omission,  $p_b$ ) from the adversary for some  $p_b \in \mathcal{P}$ , if  $p_b \in \Omega^*$ , output (omission,  $p_b$ ) to  $p_b$  and abort with  $B = \{p_b\}$ .
  - Otherwise, discard the message.
- In round  $\rho = 8$ : Upon receiving (fetch-output, sid) from  $P_r$ , send (output, sid,  $m_{out}$ ) to  $P_r$  and (fetch-output, sid,  $P_r$ ) to the adversary.

The first step towards our MPC protocol is to enable any pair of parties with a sender  $P_s$  and a receiver  $P_r$  to exchange a message s securely, i.e. with the privacy and correctness of the message preserved. Furthermore, we want to accomplish that in a publicly detectable way, meaning that the protocol either succeeds or it aborts having detected a corrupted party.

The functionality that captures our goal is presented above. It starts by taking some input s from the designated sender. The adversary can input any value his chooses if the sender is actively corrupted.

Then this value is forwarded to  $P_r$ . Meanwhile, it allows the adversary to affect the output if the sender or receiver are corrupted by in a detectable way. Also, he could cause an abort, but at the cost of making publicly known the identity of a corrupted party.

Our protocol that realizes this functionality works in a way that resembles *FixReceive*, as discussed in Section 3.2; The sender sends to all players and at the end all players forward the message to the receiver. However, the difference is that now we have a reliable broadcast primitive and the players can use it complain if they do not receive a message they were expecting. Also, we also ensure that the privacy of the message is maintained, in contrast to *FixReceive*, which was done in public communication. This is done by the use of a secret sharing scheme. The sharing is characterized by the *sharing specification* S, according to which the shares of the message to be kept secret are distributed to the players, effectively stopping the adversary from holding all shares. In our case we will be using a sum sharing, i.e. the secret value s is split in summands  $s_1, \ldots, s_m$  with  $\sum_{i=1}^m s_i = s$ , where m is the size of the sharing specification |S|.

For each player  $p_j$  we call the vector of summands in his possession  $\langle s \rangle_j = (s_{j_1}, \ldots, s_{j_k})$  as  $p_j$ 's share of s. The complete vector of all shares is denoted as  $\langle s \rangle = (\langle s \rangle_1, \ldots, \langle s \rangle_n)$  and is called a sharing of s. The vector of summands of s is denoted as  $[s] = (s_1, \ldots, s_m)$  and their sum is equal to s. We, also, say that such a sharing  $\langle s \rangle$  is a consistent sharing of s according to  $(\mathcal{P}, \mathcal{S})$ , if for each  $S_k \in \mathcal{S}$  all (correct) players in  $S_k$  have the same view on  $s_k$  and  $s = \sum_{k=1}^m s_k$ .

Our selection for S will be the natural sharing specification  $S_Z$  associated with Z, i.e.  $(S_1, \ldots, S_m) = (\mathcal{P} \setminus A_1, \ldots, \mathcal{P} \setminus A_m)$ , where m = |Z|, so that for each corruptible class  $Z_i$  all the players not included in  $A_i$  for that class will receive the share  $s_i$ . This way the adversary never obtains all summands.

Using that secret sharing scheme,  $P_s$  creates a sharing of his message s and sends each part  $s_q$  to the complement  $S_q$  of  $A_q$ . This process is done for each q = 1, ..., m. Then the players who did not receive it can complain through broadcast. Additionally, an extra round of cross checking and relay is added, during which all parties in  $S_q$  send to one another the values they received from  $P_s$ . Again, complaints are raised and the players try to see which classes of the adversary structure fit their view.

Finally, once the complaints are over, all players send their vector of received values to the designated receiver of the message,  $P_r$ .

To make the counting of the rounds easier, we will assume that all rounds are *Broadcast rounds* (1 BCR). This means that we give enough time from now on to what we consider a (BC) round, to allow the parties to perform the Broadcast protocol. This assumption sacrifices peer-to-peer rounds during which nothing is

done <sup>12</sup> while the parties wait for *Broadcast* to conclude, but this does not affect our study as we are in the perfect security model. Looking ahead, once our SMT primitive is established, we will only use those two "channels" to send messages, i.e. every message will be either a Broadcast message or a SMT message. As a result, we will start counting our rounds similarly, either as Broadcast rounds (1 BCR) or SMT rounds (1 STR).

## **Protocol** $DetSMT(\mathcal{P}, \mathcal{Z}, \mathcal{S}, P_s, P_r, s)$

- In round  $\rho = 0$ : The sender  $P_s$  creates a random sharing  $[s] = (s_1, s_2, \dots, s_m)$  for s according to the sharing specification S by randomly selecting  $s_2, \dots, s_m$  and setting  $s_1 = s \sum_{i=2}^m s_i$ , where m = |S|. If a player fails to share his input, a default value is used.
- Perform the following phases (in parallel) for  $q = 1, \ldots, m$ :

1<sup>st</sup> Phase (spread). In round  $\rho = 1$ :  $P_s$  sends  $s_q$  to every  $p_k \in S_q \cup \{P_r\}$ . Each  $p_k$  denotes the received summand as  $s_q^{(k)}$  ( $s_q^{(k)} = \text{``n/v''}$  if  $p_k$  received none or an invalid value for  $s_q$ ).

- $2^{nd}$  Phase (complaints). In round  $\rho = 2$ : All players broadcast a special message OK if they received the messages they were anticipating or a complaint NOK if a message was dropped.
  - We denote by  $P^{(OK)}$  and  $P^{(NOK)}$  the sets of players who broadcast OK and NOK respectively.
  - If there exists some  $Z_k = (A_k, \Omega_k) \in \mathbb{Z}$  such that  $\Omega_k \supseteq P^{(NOK)}$  then proceed to the next phase.
  - Else the protocol aborts with the set  $B = \{P_s\}$  (i.e.  $P_s \in \Omega^*$ ).
- $3^{rd}$  Phase (cross-check and relay). Let  $S_q = \{p_{q_1}, p_{q_2}, \dots, p_{q_\lambda}\}.$ 
  - In round  $\rho = 3$ : Every  $p_{q_i} \in S_q$  sends the value  $s_q^{(q_i)}$  to every  $p_{q_j} \in S_q \cup \{P_r\}$ , who denotes the received value as  $s_q^{(q_i,q_j)}$ .
  - In round  $\rho = 4$ : For each  $p_k$ , all players broadcast a special message  $OK_k$  if they received the message  $s_q^{(k)}$  they were anticipating from  $p_k$  or a complaint  $NOK_k$  if the message was dropped. We denote by  $P_k^{(OK)}$  and  $P_k^{(NOK)}$  the sets of players who broadcast  $OK_k$  and  $NOK_k$ , respectively.
  - If at any point some  $p_{q_i} \in S_q \cup \{P_r\}$  receives contradicting values  $s_q^{(q_j,q_i)}, s_q^{(q_\ell,q_i)}$  with  $s_q^{(q_j,q_i)} \neq \bot$  and  $s_q^{(q_\ell,q_i)} \notin \{s_q^{(q_j,q_i)}, \bot\}$  for  $s_q$ , then  $p_{q_i}$  broadcasts CONTRAST.
  - If any  $p_{q_i} \in S_q$  broadcasts CONTRAST or for some  $p_{q_j} \in S_q \cup \{P_s\}$  we have that:  $p_{q_j} \notin \Omega_q \land P_{q_j}^{(NOK)} \notin \Omega_q$  then  $P_s$  broadcasts  $s_q$  and  $P_r$  adopts this value (the class  $S_q$  is not justifying the complaints).
  - Else all parties consider the classes

 $\mathcal{Z}_q := \{Z_j \in \mathcal{Z} \text{ s.t. } \forall \ p_{q_i} \in S_q \cup \{P_s\} : (p_{q_i} \in \Omega_j \lor P_{q_i}^{(NOK)} \subseteq \Omega_j)\}. \text{ If for some party } p_{q_i} \in S_q \text{ for all } Z_j = (A_j, \Omega_j) \in \mathcal{Z}_q \text{ we have } p_{q_i} \in \Omega_j, \text{ then the protocol aborts with } B = \{p_{q_i}\}. \text{ If } Z_q \notin \mathcal{Z}_q \text{ then } P_s \text{ broadcasts } s_q \text{ and } P_r \text{ adopts this value.}$ 

If there exists no  $Z_k \in \mathbb{Z}_q$  such that the corresponding  $\Omega_k$  covers  $P^{(NOK)}$  then the protocol aborts with  $B = \{P_s\}$ .

- 4<sup>th</sup> Phase (output of  $P_r$  for each  $S_q \in S$ ). In round  $\rho = 5$ : Each player  $p_k \in S_q$  sends its unique received value  $s_q^{(k)}$  over all  $s_q^{(q_i,k)}$  (or " $\mathsf{n}/\mathsf{v}$ " if  $p_k$  has received no value for  $s_q$ ) to  $P_r$ .
  - If there exists no  $Z = (A, \Omega) \in \mathbb{Z}_q : P_r^{(\perp)} \subseteq \Omega$ , where  $P_r^{(\perp)} := \{p_k : s_q^{(k)} = \perp\}$ , then  $P_r$  becomes a zombie and outputs (omission,  $P_r, \perp$ ).
  - If  $P_r$  receives contradicting values  $s_q^{(q_j)} \neq \perp$  and  $s_q^{(q_\ell)} \notin \{s_q^{(q_j)}, \perp\}$  for  $s_q$ , then  $P_r$  broadcasts CONTRAST. Upon that, the sender  $P_s$  broadcasts  $s_q$  and  $P_r$  adopts this value (in rounds  $\rho = 6, 7$ ).
  - If  $P_r$  has received no (valid) value at all for  $s_q$  from any  $p_k \in S_q$  OR if for all  $Z_j \in \mathcal{Z}_j$  we have that  $A_j \supseteq P_r^{(s_q)}$  then  $P_r$  broadcasts a special message REQUEST, upon which the sender  $P_s$  broadcasts  $s_q$  and  $P_r$  adopts this value (in rounds  $\rho = 6, 7$ ).
  - Else,  $P_r$  sets  $s_q^{(r)}$  as the unique value in the set  $\{s_q^{(q_1,r)}, s_q^{(q_2,r)}, \ldots, s_q^{(q_\lambda,r)}\}$  of received values (and wait until round 7 finishes).
- In round  $\rho = 8$ : Finally, if there exists some justifying for all q class  $Z_j = (A_j, \Omega_j) \in \mathcal{Z}_j$  such that for every  $S_q \in \mathcal{S}$  we have some unique  $s_q^{(r)} \in \mathbb{F}$  for q = 1, ..., m, then  $P_r$  outputs  $s = \sum_{q=1}^m s_q^{(r)}$ ; else  $P_r$  outputs s = 0 ( $P_s$  is actively corrupted).

<sup>&</sup>lt;sup>12</sup> This creates a linear in the number of parties overhead of rounds.

- **Protocol-Wide Detection:** The following procedure is followed if at any point in the protocol a party broadcasts an invalid value or  $\perp$ : If any player  $p_f$  fails to broadcast a valid message when expected to do so, then the protocol immediately aborts with  $B = \{p_f\}$  (if there is more than one such players, then take the one with the smallest index.).

**Lemma 6.** If the condition  $C_{MPC}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  (see Eq. 11) holds, the protocol DetSMT perfectly  $\mathcal{Z}$ -securely realizes the functionality  $\mathcal{F}_{detSMT}$ .

*Proof (Sketch).* First we need to state the claim about the input/output properties of the protocol.

**Claim 4.** If the condition  $C_{MPC}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  (see Eq. 11) holds, the protocol DetSMT satisfies the following. Either the protocol aborts with some set B of corrupted parties or it terminates with the properties: a) If the receiver  $P_r$  is alive at the end of the protocol then he outputs a value  $s_p \in \mathbb{F}$  where  $s_p = s$  unless  $P_s \in A^*$ . b) Also,  $P_r$  might become a zombie only if he is omission-corrupted. c) Furthermore, no information on s is leaked to the adversary.

*Proof.* First claim: If the protocol aborts, the identified set B contains only omission-corrupted parties. There are three cases where the protocol aborts. The first case is if the check during phase 2 fails and the protocol aborts with  $B = \{P_s\}$ . This means that there is no class  $Z_k$  such that all complaints are coming from players in  $\Omega_k$ . Towards contradiction, we assume that the sender is correct. This implies that all the messages that  $P_s$  sends are correctly received by all correct parties, hence all complaints, i.e. all players in  $P^{(NOK)}$  are in  $\Omega^*$ , giving us that  $P^{(NOK)} \subseteq \Omega^*$ . However, this contradicts the fact that no  $\Omega_k$  covers  $P^{(NOK)}$ , meaning that  $P_s$  is indeed in  $\Omega^*$ .

The second case is at the end of phase 3, where the protocol aborts with  $B = \{p_{q_i}\}$  if some party  $p_{q_i}$  belongs in  $\Omega_j$  for all  $Z_j \in \mathbb{Z}_q$ . Since  $\mathbb{Z}_q$  contains all classes that justify the view of the players and the chosen class  $Z^*$  is also justifying that view (in reality it is causing it), we get that  $Z^* \in \mathbb{Z}_q$ . Thus  $p_{q_i} \in \Omega^*$ .

The third and final case that that protocol aborts is with a set  $B = \{p_f\}$  from the protocol-wide detection. In that case,  $p_f$  failed to broadcast a valid message. By the properties of Broadcast, this directly implies that  $p_f \in \Omega^*$ .

Second Claim: A player (specifically  $P_r$ ) becomes zombie only if he is omission-corrupted. According to the protocol, the only instance of a player becoming a zombie is at phase 4 when  $P_r$  checks the  $\perp$  he received. If there exists no class from the justifying set  $Z_j$  that covers  $P_r^{(\perp)}$ , meaning that the set of players that did not send a value to  $P_r$  is not covered by  $\Omega$ , we can deduce that  $P_r$  received a  $\perp$  due to his own fault, hence he is not correct. As a result,  $P_r$  becomes a zombie only if he is omission-corrupted.

Third Claim: If is  $P_r$  is alive and  $P_r$  outputs some  $y \neq \perp$  then y = s (unless  $P_s \in \Omega^*$ ).

Towards contradiction, let us assume that for some set  $S_q$ , the receiver  $P_r$  receives and adopts some  $\hat{s_q} \neq s_q$ (by definition of the protocol this means that through the protocol for this  $S_q$  and the corresponding share  $s_q$  the receiver  $P_r$  has only received  $\hat{s_q}$  and not the correct summand  $s_q$  at any round. Otherwise, he would have CONTRASTed). In this case we will show that the player set should be split as shown in Figure 5, which is essentially similar to the counterexample for  $C_{SMT}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  of Lemma 7. Specifically,  $P_s$  will belong in a set  $V_{s_q}$  and  $P_r$  in a set  $V_{\neg s_q}$  with all communication cut between them, i.e. no message is received from a channel between a player in  $V_{s_q}$  and a player in  $V_{\neg s_q}$ . Similarly for the sets M and Q. This will then lead us to a contradiction of  $C_{SMT}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$ . Let us define the sets  $V_{s_q}$  as the set of (non-actively corrupted) parties in  $S_q$  that at some point saw  $s_q$ 

Let us define the sets  $V_{s_q}$  as the set of (non-actively corrupted) parties in  $S_q$  that at some point saw  $s_q$ and never something different and  $V_{\neg s_q}$  the rest of the non-actively corrupted parties in  $S_q$ . Formally, we write:

 $V_{s_q} := \{p_k : p_k \in S_q \setminus A^{\star}\}$  where  $p_k$  that at some point saw  $s_q$  and never something different and  $V_{\neg s_q} := \{p_k : p_k \in S_q \setminus A^{\star}\}$  and  $p_k$  never saw  $s_q$  throughout the protocol (either saw  $\hat{s_q}$  or no value for  $s_q$ ). Since no CONTRAST was broadcast at any point, it means that no  $p_k \in S_q \setminus A^{\star}$  received both  $s_q$  and  $\hat{s_q}$ ,



**Fig. 5.** An SMT execution with sender in  $V_{s_q}$  and receiver in  $V_{\neg s_q}$ , where any direct channel between them is blocked. No message is received from a channel between a player in  $V_{s_q}$  and a player in  $V_{\neg s_q}$ . Same for sets M and Q. All parties in K possess a value  $s_q$  and parties is L a value  $\hat{s_q}$ .

i.e.  $V_{s_q} \cap V_{\neg s_q} = \emptyset$ . By definition we also have that  $P_s \in V_{s_q}$  and  $P_r \in V_{\neg s_q}$ . Also, it is easy to see that every communication between those two sets is blocked, because in the opposite case some player able to communicate with the other set would have broadcast CONTRAST. In particular, we note that  $P_r$  must have received  $\perp$  from all players in  $V_{s_q}$ . To explain this situation we must have either  $V_{s_q} \subseteq \Omega^*$  or  $V_{\neg s_q} \subseteq \Omega^*$ . The other two sets that exist in the player set are the set  $Q = A_q$  of all parties not participating in this iteration for q by definition and finally the set M of actively corrupted parties. In the specific case that we are studying, all communication from Q to  $V_{s_q}, V_{\neg s_q}$  is blocked.

From here we deduce that there are only two types of justifying classes, which are public and known to all due to the public nature of the complaints.

- The justifying class of type 1) are the  $Z_k$  with  $\Omega_k$  such that it covers the set  $V_{\neg s_q}$  and  $P_r$  (i.e.  $\Omega_k \supseteq V_{\neg s_q}$ ).
- The justifying class of type 2) are the  $Z_m$  with  $\Omega_m$  such that it covers the set  $V_{s_q}$  and  $P_s$  (i.e.  $\Omega_m \supseteq V_{s_q}$ ).

Those classes can be divided further in two whether their respective A is the set  $Q = A_q$  or no. Let us denote the former as type i) and the latter as type ii).

Only those types of classes survive the elimination of impossible classes during the protocol and are considered justifying.

Now we observe the following.

- If no type 1) class exists then  $P_s$  becomes a zombie.
- If no type 2) class exists then  $P_r$  becomes a zombie, because  $V_{s_q}$  is the set of players that  $P_r$  received  $\perp$  from.
- If no type i) class exists then  $P_s$  broadcasts  $s_q$  (it would mean that  $Z_q$  is not justifying, hence the protocol would stop and broadcast  $s_q$  at the end of phase 3).

Hence we have the following surviving types of classes and only those, conditioned on the fact that no broadcast of  $s_q$  occurred.

 $\begin{array}{l} Z_{k_i} \in \mathcal{Z}_q := \{A_k = A_q \wedge V_{s_q} \subseteq \Omega_k\} \text{ of type 1.i}), \\ Z_{m_i} \in \mathcal{Z}_q := \{A_m = A_q \wedge V_{\neg s_q} \subseteq \Omega_m\} \text{ of type 2.i}), \text{ and} \\ Z_m, Z_k \in \mathcal{Z}_q \text{ of type 1,2 respectively.} \end{array}$ 

Now, with those surviving classes we must try to explain the view of the players in the case that we study. In particular, since a wrong value  $\hat{s_q}$  was created and forwarded to  $V_{\neg s_q}$ , it means that there exists a class  $Z_b = (A_b, \Omega_b)$  that is either  $Z_{k_{ii}}$  or  $Z_{m_{ii}}$  of either type 1 or 2 with  $A_b = M$ . Those are the only two classes that we know (in the analysis of the protocol, the players do not know that) that can cause the case we are studying. This means that some  $p_c \in M$  either created the value  $\hat{s_q}$  and relayed it to some  $p_\ell$  who then forwarded it to  $P_r$ , or that  $p_c$  directly forwarded it to  $P_r$ . In other words, for any  $s_q^{(i,j)} = \hat{s_q}$  that  $P_r$  received we have that either  $p_i \in M \subseteq A^*$  or  $p_j \in M \subseteq A^*$  (we only care for the case where  $P_s$  is not malicious, so any  $\hat{s_q}$  messages are not his creation). At this point, using a player simulation argument, those sets  $V_{s_q}, M, V_{\neg s_q}$  together with  $A_q$  create the same player set and corruptible classes as in the counter example in 4.2.

Let us deal with the first case, i.e. the adversary actually controls some  $Z_b = (A_b, \Omega_b)$  that is of type 1),  $Z_{k_{ii}}$ . This gives us that

$$\Omega_b \supseteq V_{\neg s_a} \text{ and } A_b \supseteq M, \tag{36}$$

which dictates that only type i) class available would be some  $Z_q = (A_q, \Omega_q)$  of type 1 with

$$\Omega_q \supseteq V_{\neg s_q} \text{ and } P_r \in \Omega_q, \tag{37}$$

Otherwise, if there existed some type 2.*i*) class, we would have that  $\Omega_q \supseteq V_{s_q}$  and this would imply

$$A_q \cup \Omega_q \cup A_b \cup \Omega_b \supseteq A_q \cup V_{s_q} \cup M \cup V_{\neg s_q} = \mathcal{P}, \tag{38}$$

which contradicts  $C_{FIXR}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$ . Finally, in order to complete the problematic scenario which would confuse the receiver, we know that a type 2 class  $Z_{\ell}$  must exist, with  $\Omega_{\ell} \supseteq V_{s_q}$ .

Since we cannot allow any two  $\Omega \cup A$ 's to cover the whole player set (would contradict  $C_{FIXR}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$ ),  $A_\ell$  cannot cover M due to the way that  $Z_q$  is built. So this case ends up containing the following three classes:  $Z_b$  with  $\Omega_b \supseteq V_{\neg s_q}$ ,  $A_b \supseteq M$  and  $P_r \in \Omega_b$ .

$$\begin{split} &Z_q \text{ with } \Omega_q \supseteq V_{\neg s_q}, A_q \text{ and } P_r \in \Omega_q \\ &Z_\ell \text{ with } \Omega_\ell \supseteq V_{s_q} \text{ and } P_s \in \Omega_\ell. \end{split}$$

However, this contradicts the condition  $C_{SMT}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$ , due to

$$\Omega_{\ell} \cup A_{b} \cup A_{q} \cup (\Omega_{b} \cap \Omega_{q}) \supseteq 
V_{s} \cup M \cup A_{q} \cup (V_{\neg s}) = \mathcal{P},$$
(39)

with  $P_r \in \Omega_b$ ,  $P_r \in \Omega_q$  and  $P_s \in \Omega_\ell$ , proving that this case cannot be occurring. The second and final case with  $Z_b$  being of type 2.*i*) is completely symmetrical and can be omitted.

From this contradiction we deduce that our claim is true, meaning that if  $P_r$  is alive and  $P_r$  outputs some  $y \neq \perp$  then y = s (unless  $P_s \in \Omega^*$ ).

Fourth Claim: No information is leaked to the adversary. Moving to proof of privacy, we will show that no information on s is leaked to the adversary. By construction of the natural sharing specification  $S = (\mathcal{P} \setminus A_1, \ldots, \mathcal{P} \setminus A_m)$  and the fact that each  $s_q$  is sent to the players in  $S_q$  and not  $A_q$ , we see that there exists one class  $Z^* = Z_c$  such that the adversary does not obtain the summand  $s_c$  in the spread phase.

There are four operations that convey information on  $s_q$  (indeed, all other communication consists of broadcasting complaint messages): (1) parties in  $S_q$  sending  $s_q$  to each other for consistency checks in Phase 2; (2),(3),(4) sender  $P_s$  broadcasting  $s_q$  as a result of a CONTRAST complaint in Phase 3 and 4, or due to  $Z_q \notin Z_q$  in Phase 3 or, finally, due to a REQUEST from  $P_r$  in Phase 4.

In case (1) no information is conveyed as the messages are between parties of  $S_q$ . This means that if any party in  $S_q$  is actively corrupted the adversary already knows  $s_q$ , otherwise he does not get to see any of these messages.

For cases (2), (3) and (4), we argue that privacy is kept even after some  $s_q$  is broadcast from  $P_s$  according to the protocol. Indeed, we will show that  $P_s$  broadcasts  $s_q$  only when the adversary already knows it, so no information is leaked.

In case (2) a player in  $S_q \cup \{P_r\}$  receives contrasting values during Phase 3 or 4. If the sender was correct, then all values for  $s_q$  would be the same for all players. This means that since some  $p_k$  reached CONTRAST, either the sender is actively corrupted or some actively corrupted party in  $S_q$  relayed the contrasting value or  $p_k$  is actively corrupted. In all cases, the adversary has learned the summand  $s_q$  already, so broadcasting it does not compromise the privacy of s.

Case (3) occurs when the value of  $s_q$  is broadcast because  $Z_q$  is not in the set  $\mathcal{Z}_q$  of justifying classes for  $s_q$ . Since by definition  $Z^* \in \mathcal{Z}_q^{13}$ , this directly implies that  $Z_q \neq Z^*$ . Hence, the adversary already knows  $s_q$ .

<sup>&</sup>lt;sup>13</sup> Remember that  $Z_q$  is the set of classes that justify the view of the parties and  $Z_q$  has created this view, so it obviously justifies them.

Case (4) is when  $P_r$  will broadcast REQUEST during the  $P_r$  output Phase 4, if no value at all is received. In that scenario, all the messages from the players in  $P^{(OK)}$  (who have received a value) towards  $P_r$  must have been blocked. We will prove that this means that  $Z_q$  cannot be the class that the adversary selected, meaning that  $P_s$  can safely broadcast  $s_q$ . Let us assume towards contradiction that  $Z_q = Z^*$  (i.e.  $A_q = A^*$ ) and that  $P_r$  remains alive and gets no value at all. The sender  $P_s$  is either correct or incorrect.

If  $P_s$  is correct, then  $P^{(NOK)} \subseteq \Omega^*$  and together with  $A_q = A^*$  we get that no  $\Omega_m$  can cover  $P^{(OK)}$ , in order not to violate  $C_{FIXR}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$ , stating that for any two  $k, m : \Omega_k \cup \Omega_m \not\supseteq \mathcal{P}$ . This means that some honest party exists in  $P^{(OK)}$  and after phase 3 is completed all parties that are not omission-corrupted will get some value and will forward it to  $P_r$ , who either receives it or becomes a zombie (since  $\Omega_m \not\supseteq P^{(OK)}$  for all  $\Omega_m$ ).

If  $P_s$  is not correct, then from the check during phase 2 we know that there exists some  $Z_k$  such that  $\Omega_k \supseteq P^{(NOK)}$  (otherwise the protocol aborts, having detected  $P_s$ ). Given that  $A_q = A^*$ , from  $C_{FIXR}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  we get that  $\Omega^* \not\supseteq P^{(OK)}$ , meaning that some honest party receives the correct value from  $P_s$ . Due to the relay and cross-check, at this point we are essentially back in the previous case, where the "sender" is correct, as this honest party will forward  $s_q$  to all other parties in  $S_q \cup \{P_s, P_r\}$ . This grants us that again  $P_r$  will either receive some value or become zombie. As a conclusion, if  $Z_q = Z^*$  we are certain that an alive  $P_r$  will always receive some value. By this, we are allowed to safely ask for the broadcast of  $s_q$  if for some q we have that  $P_r$  received no value at all.

Now we are ready to give a sketch of the simulation proof. The simulator observes the real world execution of the protocol. If the protocol aborts, according to the properties of Claim 4, we are guaranteed that it does so while identifying some corrupted party  $p_c \in B$  (sender included). As such, the simulator can create the same effect in the ideal world by sending (inform omission,  $p_c$ ) to the functionality.

If the receiver becomes zombie from the same claim we are granted that he is indeed omission-corrupted. As a result, the simulator sends (adv-omit-output,  $P_r$ ) to the functionality to create the same effect.

If the receiver remains alive we know from the claim that he will output a value  $s_p$ , where  $s_p = s$  unless  $P_s \in A^*$ . We will show that this is indistinguishable from the ideal world. To do it, we break down the simulation for each  $S_q \in S$ , where  $s_q$  is sent to  $S_q$  and  $\sum_{q=1}^m s_q = s$ .

- If the sender is correct we have two cases:
  - If the adversary controls no party in  $S_q \cup \{P_r\}$  the simulator just runs the protocol, as the adversary learns no information about  $s_q$ .
  - If the adversary controls some party in  $S_q \cup \{P_r\}$  the simulator samples a uniformly random  $r_q$  for  $s_q$  and forwards it to the adversary. This way the  $r_q$  variables follow the same distribution with the  $s_q$ .
- If the sender is actively corrupted, the simulator must use the value for  $s_q$  that the real adversary used, in order to make the two executions indistinguishable. Once the simulator learns this  $s_q$  value, he can send (input, sid,  $s_q$ ) to the functionality to set the value of the summand to the desired one (he can only do that because  $P_s$  is actively corrupted).
- If the receiver is actively corrupted, the simulator makes certain that the sum of all  $s_q$  is equal to s by setting the last value that he has to sample accordingly.

Aside from that, everything is deterministic with no randomness affecting the protocol and there is no private input that the simulator needs to extract. As a result, the simulator can simply run the protocol.

We will examine the following two cases, namely if the adversary controls some party  $p_c \in S_q$  or not.

In the first case where the adversary has no such control and does not learn  $s_q$ , the simulator just replicates the broadcast of complaints as he observes them in the real world. This is sufficient because the adversary sees nothing except from the public complaints, which he can choose.

In the second case where the adversary already knows  $s_q$ , our argument about the real world execution of the protocol and the ideal world being indistinguishable by any environment is based on the fact that the adversary only learns a fraction of the summands and not all of them. Specifically, initially the simulator observes if a party  $p_b$  becomes zombie in the real world. From the properties of Claim 4 we know that  $p_b$  is indeed omission-corrupted which allows the simulator to send (inform omission,  $p_b$ ) to the functionality, creating the same effect. Then, the simulator samples a uniformly random value and forwards it to the adversary for each summand that the adversary receives in the real world. Since they are random and the adversary does not have access to all of them by construction of the adversary structure, the effect is the same as in the ideal world, where uniformly random values are handed to the adversary.

To complete the argument that the two worlds are indistinguishable, the simulator also takes advantage of the fact that the complaints are public and the actions of the real world adversary can be detected according to Claim 4, together with the fact that each summand is uniformly random and independent of the rest, subject to their sum being set. From the same claim we also get that an alive  $P_r$  will output the correct value  $s_q$  in the real world if the protocol does not abort. In the same manner, the functionality delivers the correct  $s_q$  to an alive  $P_r$  in the ideal world. As a result, the simulator is able to send the appropriate messages to  $\mathcal{F}_{detSMT}$  and the adversary to create the same view in the ideal world and in the real world execution of the protocol.

Necessity of SMT condition. In this section, we prove that the condition  $C_{SMT}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z}, p_1, p_2)$  is actually necessary for the  $\mathcal{F}_{DetSMT}$  functionality between a sender  $p_1$  and a receiver  $p_2$ , making our result tight (both sufficient and necessary). To show the impossibility of SMT when the condition does not hold, we distinguish the following two cases. In the first case the sender is omission-corrupted and the receiver is honest (should output "n/v"). In the second case the sender is honest and the receiver is omission-corrupted (should output  $\perp$  – become a zombie). Note that consistently with our previous functionalities (and the natural MPC functionality), the above two scenarios have distinct outputs.

Indeed, in an MPC evaluation, a correct receiver should never output  $\perp$  when the sender is correct; and if the receiver is omission-corrupted and correct (but not actively corrupted), then he should never output a value other than the one that the sender intended to send (or a special value in case it is clear the sender failed to send his value). More formally, this allows to explicitly distinguish the scenario  $p_1 \in \Omega^*, p_2 \in \mathcal{H}$  with output "n/v" from the scenario  $p_1 \in \mathcal{H}, p_2 \in \Omega^*$ with output  $\perp$  from  $p_2$ .



**Lemma 7.** If the condition  $C_{SMT}^{(A,\Omega)}(\mathcal{P},\mathcal{Z},p_1,p_2)$  does not hold, then the functionality  $\mathcal{F}_{DetSMT}(\mathcal{P},\mathcal{Z},p_1,p_2,m)$  cannot be securely realized.

*Proof.* Let us assume towards contradiction that there exist classes  $Z_1, Z_2, Z_3$  with

$$A_1 \cup A_2 \cup \Omega_3 \cup (\Omega_1 \cap \Omega_2) = \mathcal{P} \quad \text{AND} \quad p_1 \in \Omega_1 \cap \Omega_2 \land p_2 \in \Omega_3, \tag{40}$$

then we will prove that we cannot perform a secure message transmission from  $p_1$  to  $p_2$ .

We focus on the smallest instance violating this condition, consisting of 4 players  $p_1, p_2, p_3, p_4$ , with the following adversary structure:  $(A_1, \Omega_1) = (\{p_3\}, \{p_1, p_3\}), (A_2, \Omega_2) = (\{p_4\}, \{p_1, p_4\})$ , and finally  $(A_3, \Omega_3) = (\emptyset, \{p_2\})$ , as shown in Table 4. Using a standard player simulation argument [HM97], the proof can be directly extended to the general *n*-party case. In fact, we will prove that a restriction of the above adversary is not tolerable, which implies that the above is also not tolerable. This restriction is as seen in the Figure 6):

Note that in all three classes the adversary controls one of  $p_1$  or  $p_2$ , hence the adversary can always block the communication between  $p_1$  and  $p_2$  through their direct channel, meaning that all communication between them has to rely on  $p_3$  or  $p_4$ .

To prove our impossibility, let us assume that  $p_1$  wants to send some message  $m \in \mathbb{F}(\neq \text{``n/v''})$  to  $p_2$  and let's assume that there exists a protocol  $\Pi$  that securely accomplishes that. We consider the following three scenarios:



**Table 4.**  $p_1$  wants to securely send a message to  $p_2$ .



Fig. 6. Impossibility of SMT with sender in K and receiver in L, when there exists no direct channel between them.

- Scenario 1: The adversary corrupts  $Z_1$ . This means that communication between  $p_1$  and  $p_2$  is blocked because the sender is omission-corrupted. Also,  $p_3$  is actively corrupted, so the adversary has full control over what messages  $p_3$  sends to  $p_2$ . Since  $p_2$  is honest, he should output either the correct value m or "n/v" to indicate that  $p_1$  is faulty.
- Scenario 2: The adversary corrupts  $Z_2$ . As before, communication between  $p_1$  and  $p_2$  due to  $p_1$ 's fault. Also,  $p_4$  is actively corrupted, so the adversary has full control over what messages  $p_4$  sends to  $p_2$ . Since  $p_2$  is honest, he should output either the correct value m or "n/v" to indicate that  $p_1$  is faulty.
- Scenario 3: The adversary corrupts  $Z_3$ . This time, communication between  $p_1$  and  $p_2$  due to  $p_2$ 's fault, as only  $p_2$  is (omission) corrupted. Since the sender is correct,  $p_2$  should output either the correct value m or  $\perp$  to indicate that the problem lies with the him, the receiver.

Since the adversary can perfectly replicate an honest execution of the protocol by his actively corrupted party, all three scenarios are perfectly indistinguishable from the point of view of  $p_2$ .

As a result, it is necessary that the output of  $p_2$  in all three scenarios is the same value, in common in all three cases, meaning that  $p_2$  should output v = m.

Let us now focus on the first 2 scenarios and see how the necessity of the output being v = m creates a problem. In the first scenario, the adversary has full control over  $p_3$  and this enables him to create any transcript that he desires and gives him full information on all messages that go through  $p_3$ . As a protocol that securely realizes SMT should leak no information to the adversary, we deduce that any possible transcript of the message history between  $p_3$  and  $p_2$ , denoted as  $T_{3,2}$ , should contain no information at all about m. In a similar way,  $T_{4,2}$  should also be completely independent of m.

This leads us to the following observation. For any given m' and for every  $T_{4,2}$ , there exists some  $T'_{3,2}$ such that  $\Pi_2(T'_{3,2}, T_{4,2}) = m'$ , i.e.  $p_2$  outputs m' given those transcripts. To see why this is true, if for any given m' and  $T_{4,2}$ , there existed no  $T'_{3,2}$  such that  $\Pi(T'_{3,2}, T_{4,2}) = m'$ , then the adversary could try all possible combinations of transcripts and conclude that  $m \neq m'$ . This means that this transcript  $T_{4,2}$  would leak information about the message, specifically that it is not equal to m'.

Now, to complete our argument, let us assume that we are in the first scenario, where the adversary controls  $p_3$ , and  $p_1$  wants to send m to  $p_2$ . Let the transcript of  $p_4$  be some  $T_{4,2}$ , generated honestly by following  $\Pi$ . In the case where the adversary replaces the program of  $p_3$  with a protocol that generates random messages towards  $p_2$ , there is the chance (which could be negligible, but still strictly positive) that the transcript  $T'_{3,2}$  is generated.

This means that  $p_2$  will output  $\Pi_2(T'_{3,2}, T_{4,2}) = m'$ , which is the wrong value, not the one that  $p_1$  intended to send, contradicting our assumption that there exists some  $\Pi$  that securely realizes  $\mathcal{F}_{DetSMT}$ .

### 4.3 Building Blocks and Tools for MPC

Having established the DetSMT primitive to replace the network of point-to-point channels for the communication, we will now carry on with the construction of an MPC protocol by following the classic idea of creating a secure MPC protocol in the presence of a general adversary using only active corruption. Given any arithmetic circuit C—recall that this is a complete model of computation—the protocol evaluates the circuit in a gate-by-gate fashion, where the invariant is that the inputs and outputs of each gate of C are kept secret shared, see below, so that no information leaks to the adversary. Importantly, the protocols that process each gate, which we construct, might abort; however, when this happens: (1) no information leaks to the adversary, and (2) a corrupted party p is identified. This means that we can exclude p, and reset the computation without it. As we prove, the relevant sufficient condition,  $C_{MPC}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$ , is preserved when eliminating such a corrupted party, which will ensure security in the reduced setting. As soon as an iteration of the above processing of the gates of C terminates without an abort–which is bound to happen after at most n resets—we invoke a reconstruction protocol to have every party (still alive) receive the output. We note that without loss of generality, we assume that the function which is computed by C has one public output. Using standard techniques, we can use a protocol for any such function to compute functions with multiple and/or private outputs [LP09].

In the following, we start by describing and proving the security of sub-protocols that are used as building blocks and then describe how these can be stitched together in an MPC protocol.

**Heartbeat.** A very important part of our results is based on the fact that if the adversary blocks enough messages addressed to a player to make him reach a wrong conclusion, the player could be able to perceive this loss of messages. Then, he could step down from the calculation by becoming a zombie, as he is (omission) corrupted. The functionality  $\mathcal{F}_{Hb}$  is taking as input by the player a bit b = 1 indicating that he is alive. The adversary is able make a player in  $\Omega^*$  aware of his omission status, effectively setting b = 0. Then this value is communicated to all parties. The functionality is provided in detail below.

### Functionality $\mathcal{F}_{Hb}(\mathcal{P}, \mathcal{Z}, z, P_s)$

The adversary corrupts  $Z^* = (A^*, \Omega^*).$ 

- In round  $\rho = 0$ :
  - Initially, set the input value z and the output values  $y_1, \ldots, y_n$  to  $\perp$ .
  - Upon receiving a message (input, sid, v) from  $P_s$  (or the adversary, if the player is actively corrupted), set  $z = v, v \in \{0, 1\}$ , and send (leakage, sid,  $P_s, v$ ) to the adversary.
  - Upon receiving (inform omission,  $P_s$ ) from the adversary, if  $P_s \in \Omega^*$ , set z = 0 and output (omission,  $P_s$ ) to  $P_s$ .
- In round  $\rho = 1BCR$ :
  - If z = 1,  $\mathcal{F}_{Hb}$  sets  $(y_1, \dots, y_n) = (1, \dots, 1)$ . Otherwise, it sets  $(y_1, \dots, y_n) = (0, \dots, 0)$ .
  - Upon receiving (fetch-output, sid) from some  $p_i \in \mathcal{P}$ , send (output, sid,  $y_i$ ) to all  $p_i$  and (fetch-output, sid,  $p_i$ ) to the adversary.

We implement this through the broadcast of the bit b by the player. If b = 1 then all agree that the player is alive. Otherwise, if a player fails to broadcast this bit to other players or broadcasts b = 0 it becomes apparent to all that he is a zombie, as he is corrupted. According to the output of broadcast everyone agrees whether p is alive or not.

It should be noted that omission-corrupted players who have not yet detected their problem can learn that they are zombies from the output of the consensus protocol.

**Protocol** 
$$Heartbeat(\mathcal{P}, \mathcal{Z}, p)$$

- In round  $\rho = 0$ : If p is alive (not a zombie), p sends a bit b = 1 to every  $p_j \in \mathcal{P}$ , by invoking  $Broadcast(\mathcal{P}, \mathcal{Z}, p, b)$ .
- In round  $\rho = 1BCR$ : Every  $p_j \in \mathcal{P}$  sets  $b_j := 1$  if the output of *Broadcast* was a 1-bit and  $b_j := 0$  otherwise. Every  $p_j \in \mathcal{P}$  outputs "alive" when  $b_j = 1$  and "zombie" otherwise. Additionally, if the output is 0, p becomes a zombie.

**Lemma 8.** If the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  (see Eq. 12) holds, the protocol Heartbeat perfectly  $\mathcal{Z}$ -securely realizes the functionality  $\mathcal{F}_{Hb}$ .

*Proof.* The essence of the functionality above is a broadcast of an "alive indicator" bit. As such, the proof of the lemma is less involved compared to the previous lemmas and we will only include a sketch of the intuition of the simulator. This will follow after we state and prove the corresponding properties of the protocol in the claim below.

Claim. If the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  (see Eq. 12) holds, the protocol *Heartbeat* has the following properties: (consistency) All (correct) players agree on the output of the protocol. (correctness) If p has become a zombie before the invocation of *Heartbeat*, then every (correct) player learns it and outputs "zombie". Finally, a player p might become a zombie only if he is omission-corrupted.

*Proof.* To begin with, the consistency is granted directly from the consistency property of broadcast 3.7 on the values b.

Next, for the correctness, it is clear that if a player has noticed before the invocation of *Heartbeat* that he is omission-corrupted, then he will follow the protocol instructions and broadcast the value b = 0 to all players (or equivalently when it is time for him to carry out the protocol he will not send anything.) Again, from the validity property of broadcast, we directly get the required correctness.

Finally, we will prove that if  $p_c$  is not omission-corrupted, the output of the protocol will be "alive". This part is straight forward, as a sender who is not omission-corrupted is certain to succeed in the invocation of Broadcast. Since  $p_c$  is correct, when he broadcasts b = 1 all correct players  $p_j$  will output  $b_j = 1$ , due to the properties of the broadcast, hence, the output of the protocol is 1 for "alive".

Carrying on with the simulation proof, we provide a sketch of the simulator below: A simulator that would make a real-world execution of the protocol indistinguishable from the ideal world would be described as below.

- If the prescribed player  $P_s$  that invokes *Heartbeat* is correct, the simulator does nothing.
- If  $P_s$  is omission-corrupted and not correct, the simulator observes the real world execution. If  $P_s$  fails to broadcast a value or broadcasts b = 0, then the simulator sends (inform omission,  $P_s$ ) to the functionality.
- If  $P_s$  is actively corrupted, the simulator observers the real world execution and sets the respective value (0 or 1) to the functionality.

By the properties of Claim 4.3 stated above together with the properties granted in the hybrid  $\mathbf{Hyb}_{BC}$  world from the ideal Broadcast, we get that a correct sender is always able to successfully communicate his alive bit b = 1 to all other parties, who all agree that  $P_s$  is "alive".

In the opposite case, we have that an omission-corrupted sender that is not correct will either successfully broadcast b = 0 according to the protocol or he will fail to broadcast a value, which is interpreted by others as "zombie". In both cases, the simulator observes that and sends the corresponding message to the functionality, setting z = 0. As a result the functionality sets  $y_i = 0$  for all i and all players will agree on the same output "zombie" for  $P_s$ .

### Secret Sharing.

# - **Functionality** $\mathcal{F}_{share}(\mathcal{P}, \mathcal{Z}, \mathcal{S}, p_d, s)$ -

The adversary corrupts  $Z^* = (A^*, \Omega^*)$ .

- In round  $\rho = 0$ :

- Initially, set the input value s and the output values  $y_1, \ldots, y_n$  to  $\perp$ .
- Upon receiving a message (input, sid, v) from  $p_d$  (or the adversary, if the player is actively corrupted), set s = v.

- (Sharing phase): If  $p_d \notin A^*$ , for all  $S_k \in \mathcal{S}$  (where  $|\mathcal{S}| = m$ ) sample uniformly random  $s_1, \ldots, s_m$  such that  $\sum_{k=1}^m s_k = s$ . Then for all  $s_k$  such that  $S_k \cap A^* \neq \emptyset$ , send  $s_k$  to the adversary. Otherwise, if  $p_d \in A^*$ , upon receiving (set rd-sum,  $(v_1, \ldots, v_m)$ ) from the adversary, set  $s_1, \ldots, s_m = v_1, \ldots, v_m$ .
- At any point in time:
  - Upon receiving (inform omission,  $p_d$ ) from the adversary, if  $p_d \in \Omega^*$ , set  $s = \bot$ , output (omission,  $p_d$ ) to  $p_d$  and abort with  $B = \{p_d\}$ .
  - Upon receiving (inform omission,  $p_j$ ) for some  $p_j \in \mathcal{P}$  from the adversary, if  $p_j \in \Omega^*$  output (omission,  $p_j$ ) to  $p_j$  and abort with  $B = \{p_j\}$ . Otherwise ignore.
- In round  $\rho = 1STR$ : Upon receiving (fetch-share, sid) from some  $p_i \in S_k$ , where  $k \in \{1, \ldots, m\}$  send (share, sid,  $s_k$ ) to all  $p_i \in S_k$  and (fetch-output, sid,  $p_i$ ) to the adversary.

A very important primitive that is essential in keeping the privacy of the players' input is Secret Sharing. Having split the message s in random summands  $s_k$  using a sum share, we can then send each one of them through *DetSMT* to the corresponding set  $S_k$ . This idea was first developed in [ISN89] and has since been used in many MPC protocols.

The functionality that we want to instantiate is presented in detail above. To give a brief description, it takes as input a value s that needs to be kept secret. Then, uniformly random shares  $s_1, s_2, \ldots, s_m$  where  $m = |\mathcal{S}|$ , are created such that  $s = \sum_{k=1}^{m} s_k$ . Each one of those  $s_k$  is sent to the respective set  $S_k$  (which is the complement of  $A_k$ ). This way no matter which class  $A^*$  the adversary corrupts, there exists a share  $s^*$  of the set  $S^* = \mathcal{P} \setminus A^*$  that the adversary does not obtain. Hence the privacy of s is preserved.

In the case where the dealer is actively corrupted, the adversary is allowed to select the shares of s. If the dealer  $p_d$  is omission-corrupted, the adversary selects if the Sharing will succeed as normal or if it will abort and  $p_d$  will be identified as omission-corrupted.

What makes our implementation simple at this point is the existence of the SMT channel. Instead of sending the messages using the existing network of point-to-point channels, our protocol sends them by invocation of the Protocol DetSMT we built earlier. This grants us the detectability and privacy properties directly. Finally, the players invoke a Heartbeat to communicate to all if someone became a zombie.

- **Protocol** Share( $\mathcal{P}, \mathcal{Z}, \mathcal{S}, p_d, s$ )
- In round  $\rho = 0$ : The dealer  $p_d$  chooses the summands  $s_2, \ldots, s_m$  randomly, where  $m = |\mathcal{S}|$  and sets  $s_1 := s \sum_{k=2}^m s_k$ .
- (Sharing phase): For each set  $S_k$  where  $k \in \{1, \ldots, m\}$ , the dealer  $p_d$  sends  $s_k$  by invocation of the protocol  $DetSMT(\mathcal{P}, \mathcal{Z}, p_d, p_i, s_k)$  to all players  $p_i \in S_k$ .
- At any point in time:
  - If any of the invoked sub-protocols aborts with *B*, *Share* also aborts with *B*.
  - If  $p_d$  broadcasts  $\perp$  at any point then Share aborts with  $B = \{p_d\}$ .
- In round  $\rho = 1STR$ : All players invoke the protocol *Heartbeat* to communicate if someone has become a zombie. If a player  $p_z$  is detected as a zombie the protocol aborts with  $B = \{p_z\}$ .

**Lemma 9.** If the condition  $C_{MPC}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  (see Eq. 11) holds and additionally we have that for all  $Z_i, Z_j \in \mathcal{Z}$ and for all  $S_k \in \mathcal{S} : A_i \cup A_j \cup (\Omega_i \cap \Omega_j) \not\supseteq S_k$ , the protocol Share perfectly  $\mathcal{Z}$ -securely realizes the functionality  $\mathcal{F}_{share}$ .

*Proof.* Before providing the simulation proof, we state below the input/output properties of the protocol, necessary for the lemma above.

Claim. If the condition  $C_{MPC}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  (see Eq. 11) holds and additionally we have that for all  $Z_i, Z_j \in \mathcal{Z}$  and for all  $S_k \in \mathcal{S} : A_i \cup A_j \cup (\Omega_i \cap \Omega_j) \not\supseteq S_k$ , the protocol *Share* has the following properties: (correctness) Share either outputs a consistent sharing  $\langle \hat{s} \rangle$  of some  $\hat{s}$ , where  $\hat{s} = s$  unless the dealer  $p_d$  is actively corrupted, or it aborts with a set *B* of corrupted parties. (secrecy) No information on *s* leaks to the adversary.

*Proof.* First of all, we should point out that the second condition stating that each  $S_k$  is not being covered by the union of two actively corrupted and the intersection of their respective omission-corrupted sets is

implied by the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  of  $C_{MPC}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  when we use the natural sharing specification  $\mathcal{S}_{\mathcal{Z}}$ . This becomes apparent when we consider the BA condition, keeping in mind that for each  $S_k$  it holds  $S_k = \mathcal{P} \setminus A_k.$ 

For the correctness property, we can see that if the dealer  $p_d$  is correct and the recipients  $p_i$  of the summand  $s_k$ are alive, then from the DetSMT protocol (Claim 4) we are granted the correctness of the value  $s_k$  received. In the opposite case where the dealer  $p_d$  is not correct, if he broadcasts  $\perp$  or another player becomes a zombie and causes a sub-protocol to abort, then the protocol aborts with B. Otherwise, if  $p_d$  is incorrect and no protocol aborts and he broadcasts the correct values, then *Share* works correctly (by the guarantees of DetSMT) and outputs a consistent sharing of  $\langle \hat{s} \rangle$ .

After that, the secrecy part is directly inherited from the properties of DetSMT and the fact that all  $s_k$ are random, subject to their sum being equal to s. From there, the natural sharing specification  $\mathcal{S}$  ensures that the adversary never learns all of the summands  $s_k$ , giving us privacy on s. 

Now we can continue with the simulation proof of the lemma above. Indeed, we can create a simulator that makes an ideal execution of  $\mathcal{F}_{share}$  indistinguishable from a real world execution of *Share* in the hybrid world where invocations of the *DetSMT* protocol are replaced by ideal calls to  $\mathcal{F}_{DetSMT}$ , as follows.

• If  $p_d$  is correct and not actively corrupted, the simulator does nothing.

• If  $p_d$  is incorrect (omission-corrupted), the simulator observes if any of the calls to  $\mathcal{F}_{DetSMT}$  fails or if  $p_d$ becomes a zombie. In that case, the simulator sends (inform omission,  $p_d$ ) to  $\mathcal{F}_{share}$ .

• If  $p_d$  is actively corrupted, the real world adversary sends his input  $s_k$  for every k to  $\mathcal{F}_{DetSMT}$  so the simulator is handed all of these values. Then the simulator sends (set rd-sum,  $(s_1, \ldots, s_m)$ ) to  $\mathcal{F}_{share}$  as  $p_d$ 's input and computes  $s = \sum_{k=1}^{m} s_k$  as the input value.

• The simulator observes the real world execution. If at any point a (sub)-protocol aborts with some B, the simulator sends (inform omission,  $p_z$ ) to  $\mathcal{F}_{share}$  for the corresponding player  $p_z \in \Omega^*$ .

• Besides that, the simulator sends to the adversary whatever the functionality outputs.

As in both worlds the adversary (when the dealer is not actively corrupted) receives uniformly random shares but not all of them, the view of the adversary in the real world is identically distributed to the view in the ideal world, making the two executions indistinguishable. 

#### Announce and Reconstruct.

- **Functionality**  $\mathcal{F}_{ann}(\mathcal{P}, \mathcal{Z}, S_k, s_k)$ The adversary corrupts  $Z^* = (A^*, \Omega^*)$ .

- In round  $\rho = 0$ :
  - Initially, set the input values  $x_1, x_2, \ldots, x_n$  and the output values  $y_1, y_2, \ldots, y_n$  to  $\perp$ .
  - Upon receiving a message (input, sid,  $v_i$ ) from some  $p_i \in S_k$  (or the adversary, if the player is actively corrupted), set  $x_i = v_i$  and send (leakage, sid,  $p_i, l(x_i) = x_i$ ) to the adversary.
- In round  $\rho = 1BCR$ :
  - Set  $y_1, y_2, \ldots = x_1, x_2, \ldots$ , where the number of values is equal to the number of players in  $S_k$ .
  - Upon receiving (fetch-share, sid) from some  $p_i \in S_k$ , send (share, sid,  $(y_1, y_2 \dots))$  to all  $p_i \in S_k$  and (fetch-output, sid,  $p_i$ ,  $(y_1, y_2...)$ ) to the adversary.
- At any point in time: Upon receiving (inform omission,  $p_i$ ) from the adversary, if  $p_i \in \Omega^*$ , output (omission,  $p_i$ ) to  $p_i$ .

The functionalities  $\mathcal{F}_{ann}$  and  $\mathcal{F}_{recn}$  for the Announce and Reconstruct primitives are given above. The protocols Announce and Reconstruct are closely related as the latter is essentially built on the former. The first one is used to publicly announce the value of a specific summand (using *Broadcast*) and the second one to publicly reconstruct a sharing of a value (using *PublicAnnounce* for all summands), respectively. Both of those protocols are robust, meaning that if our condition holds true and the sharing of the values was successful, those protocols cannot abort and they always succeed.

**Protocol** PublicAnnounce( $\mathcal{P}, \mathcal{Z}, S_k, s_k$ )

- In round  $\rho = 0$ : Every  $p_i \in S_k$  publishes his value for  $s_k$ , denoted as  $s_k^{(i)}$ , by using  $Broadcast(\mathcal{P}, \mathcal{Z}, p_i, s_k)$ .
- In round  $\rho = 1BCR$ : Every  $p_j \in \mathcal{P}$ , using the broadcast values, determines the set  $V \subseteq \mathbb{F}$  of values that are "explainable" by some adversary class in  $\mathcal{Z}$ , i.e. set  $V := \{v = s_k^{(i)}\}$  if there exists  $Z = (A, \Omega) \in \mathcal{Z}$  such that  $\{m_i \in S_{k-1}, e_{k-1}^{(i)}\} \in \mathcal{A}$
- $\{p_i \in S_k : s_k^{(i)} = \bot\} \subseteq \Omega \text{ and } \{p_i \in S_k : s_k^{(i)} \notin \{v, \bot\}\} \subseteq A.$ • If  $p_j$  cannot determine such a set, he becomes a zombie  $(p_j \in \Omega^*)$ .
- Every  $p_i \in \mathcal{P}$  outputs  $v \in V$  if |V| = 1. Otherwise, output a default value v = 0.

**Lemma 10.** If the condition  $C_{MPC}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  (see Eq. 11) holds, assuming that  $s_k$  is a summand of a consistent sharing of a value s, the protocol PublicAnnounce perfectly  $\mathcal{Z}$ -securely realizes the functionality  $\mathcal{F}_{ann}$ .

*Proof.* Before providing the sketch of the simulation proof, we state the claim for the input/output properties of the protocol below.

Claim. If the condition  $C_{MPC}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  (see Eq. 11) holds and additionally we have that for all  $Z_i, Z_j \in \mathcal{Z}$  and for all  $S_k \in \mathcal{S} : A_i \cup A_j \cup (\Omega_i \cap \Omega_j) \not\supseteq S_k$ , assuming that  $s_k$  is a summand of a consistent sharing of a value s, the protocol PublicAnnounce publicly announces the value of the summand  $s_k$ .

*Proof.* For the proof of the claim, we will examine the possible cases for |V|. Since we assume a consistent sharing that successful and it was done with respect to the natural sharing specification  $S_{\mathcal{Z}}$ , a correct summand  $s_k$  will be given to at least one honest party. This is because no  $S_k$  can be covered by some  $\Omega_i$ . Indeed, assuming towards contradiction that this was the case, we would have that

$$\Omega_i \supseteq S_k \implies \Omega_i \supseteq \mathcal{P} \setminus A_k \implies \Omega_i \cup A_k \supseteq \mathcal{P}, \tag{41}$$

by the definition of  $S_k$ , contradicting  $C_{FIXR}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  and therefore  $C_{MPC}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$ . Hence, for each  $p_j$  the set V contains this summand. This happens because the check for the values in the set V is obviously satisfied by  $s_k$  and the honest party that has  $s_k$  will correctly broadcast it. If |V| = 0, according to the above it means that  $p_j$  has no access to the outputs of Broadcast and to the right value  $s_k$  and he becomes a zombie.

If |V| = 1 the protocol publicly announces the value of  $s_k$  correctly, as there exists only one value which is explainable and the correct value  $s_k$  is guaranteed to be in V.

If  $|V| \ge 2$  we will show that our security condition could not hold. Since  $|V| \ge 2$  there exist  $v_1, v_2$  with  $v_1 \ne v_2$  both satisfying the condition for belonging in V, where only one of them could be the correct one (because the and the protocol aborts. Let us assume that  $v_1$  is the correct one. This means that there exist  $Z_1 = (A_1, \Omega_1), Z_2 = (A_2, \Omega_2)$ , with  $A_1 \subseteq A^*, \Omega_1 \subseteq \Omega^*$  such that all  $p_i \in S_k$  with  $s_k^{(i)} = \bot$  satisfy both  $p_i \in \Omega_1 \subseteq \Omega^*$  and  $p_i \in \Omega_2$ . Additionally,  $\{p_i \in S_k : s_k^{(i)} \notin \{v_1, \bot\}\} \subseteq A_1 \subseteq A^*$  and  $\{p_i \in S_k : s_k^{(i)} \notin \{v_2, \bot\}\} \subseteq A_2$ . Putting all these together we get that all values from players in  $S_k$  are covered by the above sets or more formally

$$A^* \cup A_2 \cup (\Omega^* \cap \Omega_2) \supseteq S_k, \tag{42}$$

which violates the assumption  $A_i \cup A_j \cup (\Omega_i \cap \Omega_j) \not\supseteq S_k$  of the claim, a contradiction. This means that assuming that the sharing of s was wrong, meaning that s was shared inconsistently by some actively-corrupted party.

As such, the parties can output a default value v = 0 for the summand  $s_k$  without compromising the correctness of the output.

Now we are ready to give the description of the simulator. As per the previous proofs, we are working in the  $\mathbf{Hyb}_{BC}$  Hybrid world were parties can make ideal calls to  $\mathcal{F}_{BC}$ . Since the adversary is deterministic and does not use any randomness, the only thing that the simulator needs to know before being able to perfectly replicate a real world execution of the protocol is what omission corrupted players are blocked from using  $\mathcal{F}_{BC}$  and which/how actively corrupted parties deviate from following the protocol. In our case, the protocol is just a call of  $\mathcal{F}_{BC}$  by each party in  $S_k$ , which makes the simulation more simple.

- The simulator observes if some party  $p_f$  broadcasts  $\perp$  or fails to broadcast in the real world execution. Then, he sends the corresponding message (inform omission,  $p_f$ ) to  $\mathcal{F}_{ann}$ .
- The simulator observes the broadcast values  $v_c$  of every actively corrupted party  $p_c$  and sends to  $\mathcal{F}_{ann}$ the message (input, sid,  $v_c$ ) to set the corresponding inputs.
- The simulator outputs to the real world adversary externally whatever output was generated by the program of the adversary that the simulator run internally.

As a result, the simulator can create a view for the real world adversary that is indistinguishable from the ideal world, hence proving the lemma. 

Since PublicAnnounce is robust and does not abort, it becomes apparent that Reconstruct is also robust and if the protocols called up to that point have succeeded, it correctly reconstructs the desired value s from its summands that are announced one by one.

Functionality 
$$\mathcal{F}_{recn}(\mathcal{P}, \mathcal{Z}, S, \langle s \rangle)$$
  
The adversary corrupts  $Z^* = (A^*, \Omega^*)$ .  
– In round  $\rho = 0$ :

- Initially, set the input values  $\{x_1, \ldots, x_m\}$ , where  $x_i = \{x_{i_1}, \ldots, x_{i_\ell}\}$ , and the output values  $s, y_1, \ldots, y_m$
- Upon receiving a message (input, sid,  $\langle s \rangle_i$ ) with  $p_i$ 's share  $\langle s \rangle_i = (s_{i1}, s_{i2}, \ldots, s_{i\ell})$  from some  $p_i \in S_k$  (or the adversary, if the player is actively corrupted), set  $x_k = v_i$  and send (leakage, sid,  $p_i, l(x_k) = x_k$ ) to the adversary.
- In round  $\rho = 1BCR$ :

  - Set y<sub>1</sub>,..., y<sub>m</sub> = x<sub>1</sub>,..., x<sub>m</sub> and s = ∑<sub>k=1</sub><sup>m</sup> s<sub>k</sub>.
    Upon receiving (fetch-share, sid) from some p<sub>i</sub> ∈ P, send (share, sid, (s, y<sub>1</sub>,..., y<sub>m</sub>)) to all p<sub>i</sub> and (fetch-output, sid,  $p_i, (y_1, y_2...)$ ) to the adversary.
- At any point in time: Upon receiving (inform omission,  $p_i$ ) from the adversary, if  $p_i \in \Omega^*$ , output (omission,  $p_i$ ) to  $p_i$ .

**Protocol** Reconstruct( $\mathcal{P}, \mathcal{Z}, \mathcal{S}, \langle s \rangle$ )

- In round  $\rho = 0$ : For every  $S_k \in \mathcal{S}$ , protocol PublicAnnounce( $\mathcal{P}, \mathcal{Z}, S_k, s_k$ ) is invoked (can be done in parallel) to announce to all players the correct summand  $s_k$ .
- In round  $\rho = 1BCR$ : Every  $p_i \in \mathcal{P}$  locally computes  $s = \sum_{k=1}^{|\mathcal{S}|} s_k$  and outputs s.

**Lemma 11.** If the condition  $C_{MPC}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  (see Eq. 11) holds, assuming that  $s_k$  is a summand of the correct sharing of a value s, the protocol Reconstruct perfectly Z-securely realizes the functionality  $\mathcal{F}_{recn}$ .

*Proof.* Following is the claim for the input/output properties of the protocol that we will need for the proof of the lemma.

Claim. If the condition  $C_{MPC}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  (Eq. 11) holds and additionally we have that for all  $Z_i, Z_j \in \mathcal{Z}$  and for all  $S_k \in \mathcal{S}$ :  $A_i \cup A_j \cup (\Omega_i \cap \Omega_j) \not\supseteq S_k$ , assuming that  $s_k$  is a summand of a consistent sharing of a value s, the protocol Reconstruct publicly reconstructs s.

*Proof.* If the conditions of the claim hold true, we are granted that the properties of *PublicAnnounce* from Claim 4.3 are also true. This means that no protocol PublicAnnounce aborts since they are robust and for each  $S_k$  we have that the summand  $s_k$  is correctly publicly announced. After that, all players  $p_i$  who are alive hold in their possession all summands  $s_k, k = 1, \ldots, |\mathcal{S}|$  and due to the Broadcast properties, all of them agree on those values. At this point, all that remains for each  $p_j$  is to locally compute  $s := \sum_{k=1}^{|S|} s_k$  in order to obtain the correct value for s. 

To continue our simulation proof, we can use the  $\mathbf{Hyb}_{ann}$  Hybrid world. This gives us that each ideal call of  $\mathcal{F}_{ann}$  outputs the same value for  $s_k$  to all alive parties. The adversary cannot cause the protocol to abort and cannot block any messages, because the protocol is only calling  $\mathcal{F}_{ann}$  and then locally computing the sum of the outputs. As a result, the simulator needs only to run internally the program of the real world adversary and output to the actual adversary externally whatever the program outputs. This creates an identical view between any real world and ideal world execution for the adversary. 

#### 4.4Computing the gates

Addition. The first type of gate that we need to implement is the Addition gate. At each such gate, given the sharing  $\langle s \rangle$  and  $\langle t \rangle$  of s, t the players need to compute a sharing of their sum s + t. The simplest way to create this new sharing is to have each party  $p_i$  locally compute the sum of his shares of the two values and set  $\langle s+t\rangle_j = \langle s\rangle_j + \langle t\rangle_j$ . This is way we create a sharing that is random (as the sum of two random summands), hides the value of s + t as it did for s, t and is consistent, as long as the initial sharings were consistent.

Functionality 
$$\mathcal{F}_{add}(\mathcal{P}, \mathcal{Z}, \mathcal{S}, \langle s \rangle, \langle t \rangle)$$

- In round  $\rho = 0$ :
  - Initially, for every p<sub>j</sub> ∈ P set the input vectors ⟨s⟩<sub>j</sub> and ⟨t⟩<sub>j</sub>, as well as the output vector ⟨x⟩<sub>j</sub> to ⊥.<sup>a</sup>
    Upon receiving ⟨s⟩<sub>j</sub> and ⟨t⟩<sub>j</sub> from p<sub>j</sub>, set ⟨x⟩<sub>j</sub> = ⟨s⟩<sub>j</sub> + ⟨t⟩<sub>j</sub>.
    Upon receiving (fetch-output, sid) from p<sub>j</sub> ∈ P, send (output, sid, ⟨x⟩<sub>j</sub>) to p<sub>j</sub>.

<sup>*a*</sup> For each  $p_j$  we have that  $\langle s \rangle_j := (s_{j_1}, \ldots, s_{j_\ell})$  is  $p_j$ 's share, consisting of the summands of s held by  $p_j$ .

### **Protocol** $Add(\mathcal{P}, \mathcal{Z}, \mathcal{S}, \langle s \rangle, \langle t \rangle)$

In round  $\rho = 0$ :

- Input: A sharing  $\langle s \rangle$  of the value s and  $\langle t \rangle$  of the value t, previously shared to the parties. Every party  $p_j \in \mathcal{P}$  holds his shares  $\langle s \rangle_j$  and  $\langle t \rangle_j$ .
- Each party  $p_j$  in  $\mathcal{P}$  (locally) computes his share of the sum s + t as the sum of his respective shares of s and t.

Formally, set  $\langle x \rangle_j = \langle s \rangle_j + \langle t \rangle_j$ , i.e. add for each  $S_k$  add the component of s with the respective component of t.

Output: a sharing of s + t, where each party  $p_i \in \mathcal{P}$  outputs his share  $\langle x \rangle_j = \langle s + t \rangle_j.$ 

**Lemma 12.** If the condition  $C_{MPC}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  (see Eq. 11) holds, the protocol Add perfectly  $\mathcal{Z}$ -securely realizes the functionality  $\mathcal{F}_{add}$ .

*Proof.* The proof for this specific protocol is trivial as no information is exchanged with other parties. Each player locally performs the calculation that the trusted party would perform in the ideal world. Hence, the protocol Add perfectly  $\mathcal{Z}$ -securely realizes the functionality  $\mathcal{F}_{add}$ . 

### Multiplication.

Functionality 
$$\mathcal{F}_{mult}(\mathcal{P}, \mathcal{Z}, \mathcal{S}, \langle s \rangle, \langle t \rangle)$$
  
The adversary corrupts  $Z^* = (A^*, \Omega^*)$ .

- Initially, set the input<sup>8</sup> values  $\{s_1, \ldots, s_m\}$  and  $\{t_1, \ldots, t_m\}$ , where  $s_i = \{s_{i_1}, s_{i_2}, \ldots\}$ , and the output values  $[y] = (y_1, \ldots, y_m), [st] = (s_1t_1, s_1t_2, \ldots, s_mt_m) \text{ and } \langle y \rangle = (\langle y \rangle_1, \langle y \rangle_2, \ldots, \langle y \rangle_n) \text{ to } \perp.$
- In round  $\rho = 0$ :
  - Upon receiving a message (input,  $sid_{v}$ ) from  $p_k$  (or the adversary, if the player is actively corrupted) with a vector  $v = (v_{k_1}, v_{k_2}, \ldots)$  containing  $p_k$ 's share of s, set the respective  $s_{\ell_k} = v_{k_\ell}$ . Similarly, receive input for t.
  - If  $s_{\ell i} = s_{\ell j} = v$  for every two  $p_i, p_j \in S_\ell \setminus A^*$  then  $\mathcal{F}_{mult}$  sets  $s_\ell = v$ . Otherwise adopt  $s_{\ell i}$  from first honest  $p_i$ . Similarly for t. Then  $\mathcal{F}_{mult}$  sets  $x_{\ell,q} := s_\ell \cdot t_q$ .
  - In round  $\rho = 1STR$ : For all  $(S_k, S_\ell) \in \mathcal{S} \times \mathcal{S}$  repeat the following:
    - 1. If  $(S_k \cap S_\ell) \cap A^* = \emptyset$ , for all  $q \in \{1, \ldots, m\}$  with  $Z_q = (A_q, \Omega_q) \in \mathcal{Z}$  such that  $S_q \cap A^* \neq \emptyset$ , sample uniformly random  $v_1, \ldots, v_m$  summing up to  $x_{k,\ell}$  and forward  $v_q$  to the adversary. Repeat for all  $p_r \in S_k \cap S_\ell$ .
  - Then  $\mathcal{F}_{mult}$  sets  $[x_{k,\ell}] = (v_1, \ldots, v_m)$ . 2. Else, if  $(S_k \cap S_\ell) \cap A^* \neq \emptyset$ , additionally to the previous step, upon receiving (adv-share,  $(z_1, \ldots, z_m)$ ) from the adversary check the following:

If  $\sum_{i=1}^{m} z_i = x_{k,\ell}$ , then set  $[x_{k,\ell}] = (z_1, \dots, z_m)$ . Else if their sum is not  $x_{k,\ell}$ , publicly announce  $s_k$  as  $s_{kg}$  and  $t_\ell$  as  $t_{\ell g}$  from the share of the first honest player  $p_q$  in  $S_k \cap S_\ell$  and set

 $[x_{k,\ell}] := [s_k t_\ell] = (s_{kq} \cdot t_{\ell q}, 0, \dots, 0)$  as the way that  $x_{k,\ell} = s_k t_\ell$  is split into m summands. - Phase 3: Do nothing.

- In round  $\rho = 1STR + 3BCR$ : For the output  $[y] = (y_1, \dots, y_m) \mathcal{F}_{mult}$  sets
  - $y_1 = \left[\sum_{k,\ell=1}^m x_{k,\ell}\right]_1, \dots, y_m = \left[\sum_{k,\ell=1}^m x_{k,\ell}\right]_m \text{ (for each } y_q \text{ we sum the } q\text{-th summand of all } x_{k,\ell}\text{).}$  For all  $k = 1, \dots, m$ , upon receiving (fetch-output, sid) from  $p_j \in S_k$ , send (output, sid,  $y_k$ ) to  $p_j$  and
  - (fetch-output, sid,  $p_i$ ) to the adversary.
- Upon receiving (inform omission,  $p_j$ ) from the adversary for some  $p_j \in \mathcal{P}$ , if  $p_j \in \Omega^*$ , output (omission,  $p_j$ ) to  $p_j$  and abort with  $B = p_j$ .

Our next goal is to to securely compute a sharing of the product of two shared values. Its properties are that, as long as our conditions hold, given two consistent sharings  $\langle s \rangle, \langle t \rangle$  it securely creates a consistent sharing of  $\langle s \cdot t \rangle$ , or it aborts after detecting a set B of incorrect/corrupted players. Those properties are captured by the functionality  $\mathcal{F}_{mult}$  above.

Initially, the functionality receives input in the form of sharings, where each player  $p_i$  inputs his shares  $\langle s \rangle_i$  and  $\langle t \rangle_i$  for s and t, respectively. The adversary can select the shares for the player he controls. After that, the functionality checks whether the input of all non-actively corrupted parties for every summand  $s_k$  is the same, i.e. checks whether the sharing is consistent. If it is,  $s_k$  is fixed to this value (similarly for every  $t_{\ell}$ ). Otherwise, the values of the first honest player are adopted. Then, the product  $x_{k,\ell}$  of any two summands  $s_k, t_\ell$  is calculated. Next each such product needs to be shared to all parties according to  $\mathcal{S}$ . This is performed by all players holding  $x_{k,\ell}$ . Once the sharing of all those products is completed, all parties can locally add their shares of  $x_{k,\ell}$  over all combinations of  $k, \ell$  to obtain a share of the final product y = st.

We note that if the adversary controls a party that can compute  $x_{k,\ell}$ , he is able to select how this product is shared, i.e. how it is split into summands  $[x_{k,\ell}] = (z_1, \ldots, z_m)$  and importantly, he can impose this choice to the honest players, subject to the summands adding up to  $x_{k,\ell}$ . This was observed and dealt with in detail in the work of Asharov, Lindell and Rabin [ALR11]. Alternatively, the adversary is able to completely deviate from creating a sharing of the correct value and select summands that do not add up to  $x_{k,\ell}$ , but in this scenario the functionality detects that and adopts the values for  $s_k$  and  $t_\ell$  from an honest player. Then, a default sharing of  $x_{k,\ell} = s_k t_\ell$  is created as  $[x_{k,\ell}] = (s_k t_\ell, 0, \dots, 0)$ . To argue that there always exists a non-actively corrupted player having both  $s_k$  and  $t_\ell$  we can transform our security condition from Broadcast as follows.

$$\begin{split} C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z}) & \Longleftrightarrow A_k \cup A_\ell \cup A_m \cup (\Omega_k \cap \Omega_\ell) \not\supseteq \mathcal{P} \\ & A_k \cup A_\ell \not\supseteq \mathcal{P} \setminus (A_m \cup (\Omega_k \cap \Omega_\ell)) \\ & \mathcal{P} \setminus (A_k \cup A_\ell) \not\subseteq A_m \cup (\Omega_k \cap \Omega_\ell) \\ & (\mathcal{P} \setminus A_k) \cap (\mathcal{P} \setminus A_\ell) \not\subseteq A_m \cup (\Omega_k \cap \Omega_\ell) \\ & (S_k \cap S_\ell) \not\subseteq A_m \cup (\Omega_k \cap \Omega_\ell), \end{split}$$

which gives us that  $(S_k \cap S_\ell)$  cannot be covered by  $A_m \cup (\Omega_k \cap \Omega_\ell)$ , and by extension (since that holds for any m) cannot be covered by  $A^*$ . Since there always exists an non-actively corrupted party in  $S_k \cap S_\ell$ , the adversary cannot tamper with the value of  $x_{k,\ell}$  and in this case both  $s_k$  and  $t_\ell$  are publicly announced to all players so that all adopt the correct values.

If at some point the adversary decides to make a player aware of his omission status, the player is informed and publicly steps down, while the functionality aborts having detected a corrupted party.

Our implementation of that functionality is protocol *Mult* and it is based on the respective protocols of [Mau02,BFH<sup>+</sup>08]. The idea of the protocol is the following: As s and t are shared according to  $\mathcal{S}$ , we can use the summands  $s_1, \ldots, s_{|S|}$  and  $t_1, \ldots, t_{|S|}$  to compute the product st as the sum of the products of all those  $s_i, t_j$ , i.e.

$$st := \sum_{k=1}^{|\mathcal{S}|} \sum_{\ell=1}^{|\mathcal{S}|} s_k t_\ell = \sum_{k,\ell=1}^{|\mathcal{S}|} s_k t_\ell.$$
(43)

Each term  $x_{k,\ell} = s_k t_\ell$  is shared by every player in  $S_k \cap S_\ell$ . After that the players try to see if they agree on the shared summands, by computing and reconstructing all the differences of the  $x_{k,\ell}$  shared. If they do not agree, either the sharing was not consistent (due to the adversary inputting wrong values earlier on) or the adversary controls some party in  $S_k \cap S_\ell$ . In either case, it is safe to publicly announce both  $s_k$  and  $t_\ell$ so that everyone agrees on the value of those summands and adopt a default sharing for their product  $x_{k,\ell}$ .

After doing this for all combinations of  $k, \ell$ , the players compute the sum of the shared terms  $x_{k,\ell}$ , which results in a sharing of st, as desired.

## **Protocol** $Mult(\mathcal{P}, \mathcal{Z}, \mathcal{S}, \langle s \rangle, \langle t \rangle)$

For every  $(S_k, S_\ell) \in \mathcal{S} \times \mathcal{S}$ , the following steps are executed: i) In round  $\rho = 0$ : Every  $p_i \in (S_k \cap S_\ell)$  computes the products  $x_{k,\ell} := s_k t_\ell$  and invokes  $Share(\mathcal{P}, \mathcal{Z}, \mathcal{S}, p_i, x_{k,\ell})$ ; denote the resulting sharing as  $\left\langle x_{k,\ell}^{(i)} \right\rangle$ . ii) In round  $\rho = 1STR$ : Let  $p_i$  denote the player with the smallest index in  $(S_k \cap S_\ell)$ . For every  $p_j \in (S_k \cap S_\ell)$ , the difference  $\left\langle x_{k,\ell}^{(j)} \right\rangle - \left\langle x_{k,\ell}^{(i)} \right\rangle$  is computed and is reconstructed by invoking the protocol *Reconstruct*. iii) In round  $\rho = 1STR + 1BCR$ : If all differences are 0, then the sharing  $\left\langle x_{k,\ell}^{(i)} \right\rangle$  of  $p_i$  is adopted as sharing of  $x_{k,\ell}$ , i.e.,  $\left\langle x_{k,\ell} \right\rangle := \left\langle x_{k,\ell}^{(i)} \right\rangle$ . Otherwise (i.e., some difference is non-zero), Public Announce is invoked to have both  $s_k$  and  $t_\ell$ announced, and a default sharing  $\langle x_{k,\ell} \rangle$  of  $x_{k,\ell} = s_k t_\ell$  is created (e.g., the first summand is set to  $x_{k,\ell}$  and the other summands are set to 0). - In round  $\rho = 1STR + 2BCR$ : All players invoke the protocol *Heartbeat* for all to see if someone has become a zombie. If a player  $p_z$  is detected as a zombie, *Mult* aborts with  $B = \{p_z\}$ . - In round  $\rho = 1STR + 3BCR$ : • Each player in  $\mathcal{P}$  (locally) computes his share of the product  $s \cdot t$  as the sum of his shares of all terms  $x_{k,\ell}$ . **Lemma 13.** If the condition  $C_{MPC}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  (see Eq. 11) holds,  $\langle s \rangle$  and  $\langle t \rangle$  are consistent sharings according to  $\mathcal{S}$  and the following properties hold: for all  $Z_i = (A_i, \Omega_i), Z_j = (A_j, \Omega_j) \in \mathcal{Z}$  and  $S_k \in \mathcal{S} : A_i \cup A_j \cup \mathcal{S}_j$  $(\Omega_i \cap \Omega_j) \not\supseteq S_k$ , as well as for all  $S_k, S_\ell \in \mathcal{S}$  and for all  $Z_i = (A_i, \Omega_i) \in \mathcal{Z} : S_k \cap S_\ell \not\subseteq A_i$ , the protocol Mult perfectly Z-securely realizes the functionality  $\mathcal{F}_{mult}$ .

*Proof.* Instead of proving that protocol *Mult* securely realizes the functionality  $\mathcal{F}_{mult}$ , we will do it for the hybrid protocol Hyb<sub>mult</sub> which instead of using protocol Share and PublicAnnounce, it makes ideal calls to the functionalities  $\mathcal{F}_{share}$  and  $\mathcal{F}_{ann}$  internally. From there, the statement of the lemma follows using the composition theorem of [Can01]. Before we give our simulation-based proof, we need to state the following useful claim about the input/output properties of the protocol.

Claim. If the condition  $C_{MPC}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  (see Eq. 11) holds,  $\langle s \rangle$  and  $\langle t \rangle$  are consistent sharings according to  $\mathcal{S}$  and the following properties hold: for all  $Z_i = (A_i, \Omega_i), Z_j = (A_j, \Omega_j) \in \mathcal{Z}$  and  $S_k \in \mathcal{S} : A_i \cup A_j \cup (\Omega_i \cap \Omega_j) \not\supseteq S_k$ , as well as for all  $S_k, S_\ell \in \mathcal{S}$  and for all  $Z_i = (A_i, \Omega_i) \in \mathcal{Z} : S_k \cap S_\ell \not\subseteq A_i$  the protocol  $Mult(\mathcal{P}, \mathcal{Z}, \mathcal{S}, \langle s \rangle, \langle t \rangle)$ has the following properties: (correctness) It either outputs a sharing of st according to S or it aborts with a non-empty set B of incorrect players. (secrecy) No information leaks to the adversary.

*Proof.* To begin with, by selecting the  $\mathcal{S}_{\mathcal{Z}}$  as our sharing specification we get that both other conditions are implied by  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$ . We have already proven that for the first one in Claim 4.3. We will show that the second condition is implied, as well.

For all k by definition we have  $S_k = \mathcal{P} \setminus A_k$ , so we get that  $S_k \cap S_\ell = \mathcal{P} \setminus (A_k \cup A_\ell)$ . Now it is easy to see that  $S_k \cap S_\ell \notin A_i$ , for all  $A_i$  is equivalent to  $\mathcal{P} \setminus (A_k \cup A_\ell) \notin A_i$ , which eventually can be written as

 $A_i \cup A_k \cup A_\ell \neq \mathcal{P},$ 

which is easily implied by  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$ . For proving the correctness we will show that for each pair  $s_k, t_\ell$  there exist a player who is not actively corrupted that has access to both values. Indeed, the condition stating that for all  $k, \ell, i$  we have  $S_k \cap S_\ell \not\subseteq A_i$ ensures that any pair  $s_k, t_\ell$  is known to at least one player who is not actively corrupted. As a result, if no invocation of *Share* aborts and all differences are zero, then the shared values are correct and we have a successful sharing of all  $x_{k,\ell} = s_k t_{\ell}$ . Since the product st is defined as

$$st := \sum_{q=1}^{m} \sum_{r=1}^{m} s_q t_r,$$

when  $\langle s \rangle = (s_1, \ldots, s_m)$  and  $\langle t \rangle = (t_1, \ldots, t_m)$  (i.e.  $s = \sum_{q=1}^m s_q$  and  $t = \sum_{r=1}^m t_r$ ) each player is able to locally compute a sharing of the product st according to S by adding the values of the sharing of  $x_{k,\ell}$  that he possesses.

For the secrecy of the protocol, from the secrecy of Claim 4.3 we get that no information is leaked from an invocation of Share.

Next, the reconstruction of the differences  $\langle x_{k,\ell}^{(j)} \rangle - \langle x_{k,\ell}^{(i)} \rangle$  either outputs 0 and no information is leaked, or it does not output 0, meaning that the adversary controls a (actively corrupted) player  $p_b \in S_k \cap S_\ell$  and he already has knowledge of  $s_k, t_\ell$ .

Furthermore, PublicAnnounce is invoked to announce the summands  $s_k, t_\ell$  only when there are two players in  $S_k \cap S_\ell$  who contradict each other. This, again, means that the adversary is actively corrupting one of them and has already access to the values to be announced. Hence, no information is leaked to the adversary, completing our proof. 

Now we can continue our simulation proof. Assuming that the sharings of s and t are consistent, according to the properties of the Claim 4.4 that we proved above, we know that the protocol Mult either aborts while detecting a corrupted player or it outputs a consistent sharing. As a result, if in the real world the protocol aborts at any time with a public set B of corrupted parties, then the simulator observes this and can create the same effect in the ideal world by sending the corresponding (inform omission) message to  $\mathcal{F}_{mult}$ .

The different cases that can occur with consistent sharings for s and t are the following two:

Case 1: If  $(S_k \cap S_\ell) \cap A^* = \emptyset$ . This case is the easier one because the adversary does not participate actively in the step 4.4 so it is trivially simulated (i.e. the simulator does nothing). Indeed, only players in  $(S_k \cap S_\ell)$ create and share their values, while the adversary does not control a player in  $S_k \cap S_\ell$  and does not know  $x_{k,\ell} = s_k t_\ell$ . Hence, in the real world the adversary observes only the output of the corrupted parties after *Share* (or rather  $\mathcal{F}_{share}$  when we are in the Hybrid world) is invoked by all parties in  $S_k \cap S_\ell$ , i.e. he obtains a part of a sharing of  $x_{k,\ell}$  (only the shares that corrupted parties receive). After that, each party locally (with no communication involved) computes its share of  $\langle x_{k,\ell}^{(i)} \rangle - \langle x_{k,\ell}^{(j)} \rangle$ . Afterwards, the adversary learns the reconstruction of those differences for every *j*. However, this reveals no information as the reconstructed differences should all be 0 for a consistent sharing, since the security condition holds and they are created by non actively corrupted parties (i.e. all  $p_q$  in  $S_k \cap S_\ell$  agree on the value of the summands  $s_k$  and  $t_\ell$ , thus they agree on  $x_{k,\ell}$  as well). Furthermore, what is reconstructed are differences of two random summands  $x_{k,\ell}^{(i)}$  and

 $x_{k,\ell}^{(j)}$ , none of which the adversary knows. So he does not obtain extra information.

Also, according to the properties of  $\mathcal{F}_{share}$ , all the shares that the adversary receives about those reconstructed summands should be indistinguishable from random, due to the adversary being able to only see a fraction of the summands.

Furthermore, during steps 2,3 and 4 no information (except from the alive status) is exchanged between parties. Now, the players have a sharing of  $x_{k,\ell}$  for all  $k, \ell$  according to the sharing of some  $p_i$ . For the output, at step 4 all parties calculate their share of st as the sum of the local shares of each  $x_{k,\ell}$  that they received, creating a sharing of st across all parties. Each summand of  $x_{k,\ell}$  was uniformly random, thus the sum of those summands for every player creates a uniformly random summand for the sharing of st. The adversary only gets the values that actively corrupted parties have access to and not the whole product.

Respectively, in the ideal world all players will input the same value  $v_s$  for  $s_k$  and  $v_t$  for  $t_\ell$ , since the sharings are consistent. Hence, the functionality will set  $s_k = v_s$  and  $t_\ell = v_t$  and then, it will compute and set  $x_{k,\ell} := s_k \cdot t_\ell$ . Next, the adversary receives his shares (that are as many as in the real world) from randomly sampled values  $v_1, \ldots, v_m$  summing up to  $x_{k,\ell}$ . Obviously, no information is leaked here as the adversary does not receive all of the  $v_i$ s.

Finally, the functionality outputs a sharing of y = st in the form of  $[y] = (y_1, \ldots, y_m)$  for the summands  $y_q$ , where for each  $q = 1, \ldots, m$  it sums all the random summands of  $x_{k,\ell}$  at the q-th position, i.e.  $[y]_q = y_q = \sum_{k,\ell=1}^m [x_{k,\ell}]_q$ .

As the sum of uniformly random values, this is a uniformly random sharing of st, as required.

Thus, in both worlds, the messages that the adversary receives follow the same uniform distribution.

Case 2: If the adversary controls a player in  $S_k \cap S_\ell$ , in the real world he is able to wait until all  $x_{i,j}$  except for the last one have been established and then select the summands that he will share for  $x_{k,\ell}$  such that each of them defines the respective summand of  $s_k t_\ell$  (all but one) to a value of his choice. Indeed, if the corrupted party is the first player in  $S_k \cap S_\ell$  it could be the case that his shares are the ones adopted by all other players. This could create a big security problem and open up the possibility for an attack. However, the adversary is still bound to have the summands add up to the correct value, since otherwise the reconstructed differences will be non-zero. Fortunately, the simulator can observe the shares that the adversary chooses and then he is able to create the same effect in the ideal world by sending (adv-share,  $(z_1, \ldots, z_m)$ ) to  $\mathcal{F}_{mult}$ , so the two worlds can not be distinguished at that point, either.

Alternatively, the adversary can also actively alter the value of the sharing of the difference  $\langle x_{k,\ell}^{(i)} \rangle - \langle x_{k,\ell}^{(j)} \rangle$  by using arbitrary values as his summands. Still, the only effect that this will have is to create a non-zero difference between the sharing that the adversary created and the sharing that some non actively corrupted party created (since not all players can be actively corrupted, this party always exists).

When the simulator observes this he can create the same effect in the ideal world by sending (adv-share,  $(z_1, \ldots, z_m)$ ) for  $z_i$  that don't sum up to the correct  $x_{k,\ell}$ .

Back in the real world, as a result of the differences not being 0, the values of  $s_k$  and  $t_\ell$  will be reconstructed publicly and all parties will adopt the same value, hence the same value for  $x_{k,\ell} = s_k t_\ell$ . The adversary already knows them so no information is leaked. The same occurs in the ideal world, where the values for  $s_k, t_\ell$  are adopted from the first hones player.

Then a sharing of  $x_{k,\ell}$  is assumed as  $[x_{k,\ell}] := [s_k t_\ell] = (s_{kg} \cdot t_{\ell g}, 0, \dots, 0)$ . From then on, the same procedure as in case 1 follows and the output stage is reached.

Since in both worlds the outputs of honest parties and the view of the adversary are following the same distribution, the two worlds cannot be distinguished by any environment/distinguisher, making our protocol secure.  $\hfill\square$ 

#### 4.5 The MPC protocol

We next proceed to the construction of our MPC protocol, which securely realizes the functionality  $\mathcal{F}_{MPC}$ . The function to be computed will be represented by a circuit  $\mathcal{C}$ . Our protocol will compute the desired circuit on the inputs of the players. If none of the sub-protocols aborts, the protocol will succeed and give the correct output. In the opposite case, where the adversary has misbehaved and caused a protocol to abort we will identify a set B of corrupted parties. Then we will restart the computation of the protocol from the beginning with a smaller structure, setting  $\mathcal{P} := \mathcal{P} \setminus B$ , using strong player elimination (see 4.1), as the players in B are all problematic. Importantly, this action preserves the monotonicity of the condition, namely that the MPC condition is also true in the new updated adversary structure. We should also point out that even in the case of such an abortion no information about the players' input is leaked to the adversary. This is because all calculations are done with sharings of the inputs, hence the actual values are hidden. The only time where a value is actually revealed is after the *Reconstruct* protocol. However, our *Reconstruct* protocol is robust, meaning that it cannot abort and if the protocol has reached this point, it is guaranteed to succeed. Additionally, after having identified some corrupted players, we no longer care for all the adversary classes  $Z = (A, \Omega)$  that we initially had. Instead, we are only interested in adversary classes Z which actually contain the identified players in their  $\Omega$  set. For writing convenience we will introduce the following notation, where we will write  $\hat{\mathcal{Z}}|^{B \subseteq \Omega}$  to denote the restriction of the adversary structure  $\mathcal{Z}$  to contain only the classes  $Z = (A, \Omega)$  where  $B \subseteq \Omega$ . In plain words, we consider the restriction of the adversary structure where only classes that assume the set B to be omission-corrupted are preserved.

Here, we should take a moment to discuss the issue of removing players from our player set. Indeed, we will showcase the difference between the classic (weak) player elimination and our proposed strong variant. Our main concern is to make sure that after every such removal of a set B, no information is leaked to the adversary and, additionally, given that the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  was true for the initial structure and player set, then it will hold true for the new updated player set, as well. The argument is as follows. We know that by definition  $B \subseteq \Omega$  holds for all  $\Omega$  in  $\mathcal{Z}|_{B\subseteq\Omega}^{B\subseteq\Omega}$ . Since the adversary has made a choice of the class  $Z^* = (A^*, \Omega^*)$  that she will corrupt and since for the set of corrupted players that we are going to discard it holds that  $B \subseteq \Omega^*$  (because the players in B are indeed corrupted), for all  $Z_i, Z_j \in \mathcal{Z}|_{B\subseteq\Omega}^{B\subseteq\Omega}$  we have that  $B \subseteq \Omega_i \cap \Omega_j$ . Furthermore, for all relevant classes  $Z \in \mathcal{Z}|_{B\subseteq\Omega}$  the element (player) p that makes the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  hold true does not belong to  $A_i \cup A_j \cup A_k \cup (\Omega_i \cap \Omega_j)$  before removing B. This means that it does not belong in  $\Omega_i \cap \Omega_j$ , and since  $B \subseteq \Omega_i \cap \Omega_j$  we can safely remove B and the element p will still exist for all classes, making the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  hold true for the updated  $\mathcal{P}$  and  $\mathcal{Z}$ . This ensures us that we can safely remove any corrupted players and still get the condition to hold with our new player set and adversary structure.

As a result, we can restart the MPC protocol for as many times necessary, updating  $\mathcal{P}$  and  $\mathcal{Z}$  each time it aborts and re-running it again with a smaller set, until it succeeds. Since the player set is decreasing every time we repeat that and since the sets  $A, \Omega$  are finite we can be certain that we will reach a point where this loop will terminate and the invocation to MPC will succeed (after no more than *n* iterations). At this point, all alive players will have received a sharing of the output, and given that the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  holds true, this will be a valid sharing of the actual output. This means that it can be reconstructed and provide to the players the intended output. As before, we use the natural sharing specification  $S_{\mathcal{Z}}$  associated with  $\mathcal{Z}$ , setting  $S_{\mathcal{Z}} = (\mathcal{P} \setminus A_1, \ldots, \mathcal{P} \setminus A_m)$ , to make sure that at least one summand of the sharing will not be available to the adversary and to guarantee a private reconstruction of the sharing.

### Functionality $\mathcal{F}_{MPC}(\mathcal{P}, \mathcal{Z}, \mathcal{S}, \vec{x} = (x_1, \dots, x_n))$

Each  $p_i \in \mathcal{P}$  has input  $x_i$ . The function to be computed is denoted as  $f(\cdot)$ . The adversary can decide which of the omission-corrupted players receive output from the functionality after receiving the outputs of actively corrupted players. The adversary corrupts  $Z^* = (A^*, \Omega^*)$ .

- Input stage:
  - Set the input values  $x_1, \ldots, x_n$  and the output values  $y_1, \ldots, y_n$  to  $\perp$ .
  - Upon receiving a message (input, sid, v) from  $p_i$  (or the adversary, if the player is actively corrupted), set  $x_i = v$  and send (leakage, sid,  $p_i, |v|$ ) to the adversary.
- At any point in time: Upon receiving (inform omission,  $p_j$ ) from the adversary, if  $p_j \in \Omega^*$  set  $x_j$  to a default value (e.g. 0) and output (omission,  $p_j$ ) to  $p_j$ .
- Computation stage: For every  $p_j \in \Omega^*$  that received (omission,  $p_j$ ) in the step above set  $y_j = \bot$ .
- Output stage:  $\mathcal{F}_{MPC}$  computes  $f(x_1, \ldots, x_n) = (y_1, \ldots, y_n)$ .

Upon receiving (fetch-output, sid) from  $p_i \in \mathcal{P}$  send (output, sid,  $y_i$ ) to  $p_i$  and (fetch-output, sid,  $p_i$ ) to the adversary.

Moving on to the description of the protocol, it involves three stages, the input, the computation and the output stage.

For the input stage, we have all players share their inputs according to the sharing specification S. This is done to make sure that the inputs remain private, while still being able to perform computations with them. In the case that a player fails to share her input, e.g. if she is corrupted and the adversary blocks her messages, all players adopt a default pre-agreed sharing for her input value. For the evaluation stage, the procedure is the following. Depending on the gate of C that we want to evaluate, the players do the following. If they need to evaluate an addition gate, each player locally computes the sum of his shares of the two values, so the output is a sharing of the sum.

If they need to evaluate a multiplication gate for two values s, t, the players invoke the protocol  $Mult(\mathcal{P}, \mathcal{Z}, \mathcal{S}, \langle s \rangle, \langle t \rangle)$  for the sharings  $\langle s \rangle, \langle t \rangle$  and the output is a sharing  $\langle st \rangle$  of the product.

If they need to evaluate a random gate, each player sends a random value as input and the output is a sharing of the sum of those values. Lastly, for the output stage where the players want to eventually get the actual value of the output v, they invoke the protocol  $Reconstruct(\mathcal{P}, \mathcal{Z}, \mathcal{S}, \langle v \rangle)$  in order to publicly robustly reconstruct one by one the summands of v and after that each one gets the desired value by summing all summands.

### **Protocol** $MPC(\mathcal{P}, \mathcal{Z}, \mathcal{S}, \mathcal{C}, \vec{x} = (x_1, \dots, x_n))$

Input stage:

- For every input gate in C,  $Share(\mathcal{P}, \mathcal{Z}, \mathcal{S}, p_i, x_i)$  is invoked to have the input player  $p_i$  share his input  $x_i$  according to  $\mathcal{S}$  (or the adversary, if the player is actively corrupted). If a player fails to share his input, a default value is adopted by all players.
- If the protocol Share (or any sub-protocol) aborts during some input gate with a set B of corrupted players, set  $\mathcal{P} := \mathcal{P} \setminus B$  and  $\mathcal{Z} := \mathcal{Z}|_{B \subseteq \Omega}^{B \subseteq \Omega}$  and restart the Input Stage.
- Computation stage: The gates in  $\mathcal{C}$  are evaluated as follows:
  - Addition gate: Every  $p_i \in \mathcal{P}$  locally computes the sum of his respective shares.
  - Multiplication gate: To multiply (shared) values s and t, invoke  $Mult(\mathcal{P}, \mathcal{Z}, \mathcal{S}, \langle s \rangle, \langle t \rangle)$  to compute a sharing  $\langle st \rangle$  of the product according to  $\mathcal{S}$ .
  - If the protocol *Mult* (or any sub-protocol) aborts during the computation stage with a set *B* of corrupted players, set  $\mathcal{P} := \mathcal{P} \setminus B$  and  $\mathcal{Z} := \mathcal{Z}|^{B \subseteq \Omega}$  and restart from the Input Stage.
- Output stage: Invoke  $Reconstruct(\mathcal{P}, \mathcal{Z}, \mathcal{S}, \langle y \rangle)$  for the sharing  $\langle y \rangle$  of the public output.

**Theorem 4.** If the condition  $C_{MPC}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  (see Eq. 11) holds, the protocol MPC perfectly  $\mathcal{Z}$ -securely realizes the functionality  $\mathcal{F}_{MPC}$ .

*Proof.* To begin with, we formally state the input/output properties of our protocol below. Then we will carry on with the simulation proof.

Let  $\mathcal{C}$  be an arithmetic circuit where each non-byzantine party  $p_i$  has input  $x_i$ . For omission-corrupted but non-byzantine parties  $p_j \in \Omega^*$  the adversary decides independently of the inputs of non-byzantine parties if the correct input  $x_j$  will be used and  $p_j$  will receive the correct output or a default value  $\perp$  will be used for  $p_j$ 's input and their output will be  $\perp$ . For every byzantine  $p_k$  the adversary chooses some  $x''_k$  as input independently of the inputs of non-byzantine parties.

**Theorem 5.** If the condition  $C_{MPC}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  (see Eq. 11) holds, then the protocol MPC securely computes  $\mathcal{C}$  on inputs  $x_i$  from every  $p_i \notin A^*$  (except from those  $p_j \in \Omega^*$  that the adversary decided to set  $x_j = \bot$ ). In particular, the protocol satisfies the following properties:

(Correctness): Every uncorrupted  $p_i$  receives his correct output from the above evaluation and every omission but non-byzantine party receives either his correct output or "n/v". (Privacy): The protocols leaks no information to the adversary, i.e., the adversary learns nothing beyond what he can compute from the specified inputs and outputs of the byzantine parties. (Termination): The protocol terminates with the above outputs after a finite number of rounds.

*Proof.* Since the condition  $C_{MPC}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  holds, all the protocols invoked inside the protocol MPC are guaranteed to be secure, i.e. they securely realize the respective functionalities.

As a result, we only need to prove the properties of Protocol MPC in the hybrid world where every invocation of some underlying protocol is replaced by an ideal call of the respective functionality. After that, we get the desired result from the composition theorem from [Can00]. As each stage consists of calls to functionalities that we have already proven correct and terminating, the *termination* of MPC is a derivative of that. Also, the maximum number of times that our protocol may restart due to an abort of some sub-protocol is less than n and additionally the Output stage is robust (i.e. never aborts).

The privacy property is proven by the fact that Share (or rather  $\mathcal{F}_{share}$ ), as well as Addition and Multiplication gates leak no information. Also, the outcome of those protocols/gates is a sharing of the intended output, rather than the actual computed value, so no information is leaked about the values and consequently the input values remain hidden. If there is an abort during the Computation stagethen the protocol restarts at the Input Stage, where input shares are calculated anew and this keeps the privacy of the inputs of the players. On top of that, as mentioned above, *Reconstruct* is robust and does not abort, meaning that once the output stage is reached the adversary cannot disrupt the conclusion of the protocol and all parties receive their outputs. This would be either the correct value or the value  $\perp$  if the adversary decides to exclude this omission-corrupted party from the computation.

Along the same lines, for the *correctness* proof we have proven that  $\mathcal{F}_{share}$  (*Share*) either creates a consistent sharing of the input (for non-actively corrupted dealers) or aborts. Given that, during the computation stage (if no protocol aborts) the gates are guaranteed to compute the correct result, by their corresponding correctness properties. If some party  $p_z \in \Omega^*$  is detected as zombie and after the underlying Heartbeat the sub-protocol aborts with  $B = \{p_z\}$ , the player is removed from the player set,  $\mathcal{P}$  is updated and the computation is restarted from the beginning, with new shares for the inputs.

Finally, if no sub-protocol aborts and the Output stage is reached, assuming that the sharings up to this point are correct, we are guaranteed by Announce and Reconstruct through  $\mathcal{F}_{ann}$  and  $\mathcal{F}_{recn}$  that the correct output is robustly recreated towards all players (except from omission-corrupted players, if the adversary decides to completely block them, but make them aware of their status). This completes the proof of the theorem's properties.

Moving on to sketch proof of Theorem 4 below we provide a description of the simulator. Once more, we will use the hybrid world where every invocation of a sub-protocol is replaced with an ideal call to the ideal functionalities that the protocol realizes. The environment gives input to the honest parties and the simulator begins his execution. The adversary is trying to distinguish if he is interacting with the simulator in the ideal world or with the real world protocol and the parties.

At the Input stage, the adversary needs to provide his input to the *Share* protocol, but since we are in the hybrid world, we instead gives his input to the simulator. This enables the simulator to call the share functionality  $\mathcal{F}_{share}$  with that input and at the same time run internally the real world adversary in a protocol execution with that input. Whatever output this gives to the simulator he can use it to interact externally with the functionality and create an execution in the ideal world indistinguishable from the hybrid world.

By this, the share functionality  $\mathcal{F}_{share}$  is able to create a sharing of the inputs of all players (honest ones are provided by the environment). Up to this point, the simulator observes the real world. If the adversary makes some party  $p_j \in \Omega^*$  realize that it is omission-corrupted,  $p_j$  let's anyone know that during the Heartbeat stage of the *Share* protocol. Then, the sub-protocol would abort and *MPC* would restart while removing  $p_j$  from  $\mathcal{P}$ .

At the same time, the simulator sends (inform omission,  $p_j$ ) to  $\mathcal{F}_{MPC}$  to set  $p_j$ 's input to the default value and his output to  $\perp$ , creating the same effect.

Since the distribution of the sharings is the same in the real and ideal world, this means that the view of the adversary is identically distributed in real and ideal world, up to this point. Due to that, the adversary cannot distinguish between the two and proceeds with the execution.

Next, we have the Computation stage. The addition gates are trivial due to being locally computed. For the Multiplication gates the simulator is calling the functionality  $\mathcal{F}_{mult}$  with inputs the shared values that have been already computed. According to the observation made in [ALR11], the adversary is able to select the summands of the shares that he possesses. The adversary sends those to the simulator who sets the corresponding summands in  $\mathcal{F}_{mult}$ . Then, the functionality outputs a sharing of the desired product, identically distributed with the real world sharing of the output, which is given to the adversary.

Again, the simulator observes any abort with some  $B = \{p_j\}$  and sends the corresponding (inform omission,  $p_j$ ) to  $\mathcal{F}_{MPC}$ , defaulting  $p_j$ 's input. This will result in the functionality performing the computation with this default value and outputting  $\perp$  to  $p_j$ .

Once every gate is computed, at the Output stage the sharing of the final output needs to be reconstructed. At this point,  $\mathcal{F}_{recn}$  is called by the simulator with inputs the shared values in order to robustly reconstruct the output. By the properties of  $\mathcal{F}_{recn}$  we know that in the hybrid world the correct output value is reconstructed towards all parties from the sharing of the values computed.

In the ideal world, the functionality  $\mathcal{F}_{MPC}$  computes the desired function on the inputs of the alive parties and the input that the adversary has provided for the parties that he controls, while for zombie parties the default value is used.

After that, the functionality just sends to each honest party and to each omission-corrupted party  $p_i$  that is still alive the corresponding output value  $y_i$ . By the properties of the *MPC* protocol stated above, we get that the view of the adversary is indistinguishable between the real and the ideal execution, completing our proof.

Necessity of condition for MPC Finally, in this last section we show that the condition  $C_{MPC}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  is necessary to securely achieve MPC.

**Lemma 14.** If  $C_{MPC}^{(A,\Omega)}(\mathcal{P},\mathcal{Z})$  is violated, then there exist n-party functions which cannot be securely evaluated while tolerating (corruptions caused by) a  $\mathcal{Z}$ -adversary.

*Proof.* (sketch) As we have already proven in 3.7 the condition  $C_{BA}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  is necessary for broadcast. Also, in Section 4.2 we proved that the condition  $C_{SMT}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z}, p_i, p_j)$  is necessary to securely exchange a message between a sender  $p_i$  and  $p_j$ . As our condition  $C_{MPC}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  considers all such pairs of players, and due to the fact that MPC implies both Broadcast and SMT, we get that our condition  $C_{MPC}^{(A,\Omega)}(\mathcal{P}, \mathcal{Z})$  is necessary for MPC.

### 5 Conclusion and Open Problems

We put forth the study of Byzantine agreement (BA) and multi-party computation (MPC) in the presence of (an adversary causing) omission and byzantine faults, (who is) described by a general adversary structure. We provided a complete characterization for BA tolerating such an adversary. We also provide a full characterization of MPC in this model, where we showed that existing techniques fall short in providing feasibility results. Notwithstanding, by introducing a new condition for Secure Message Transmission we were able to prove the first tight characterization for MPC in this setting. The above results makes an important step forward in understanding the relevant landscape and opens the floor to important questions that have long been resolved in the threshold adversary setting, e.g., allowing setup, error probability, and computationally bounded adversaries.

### Acknowledgments

The authors would like to thank Giorgos Panagiotakos for discussions in the early stages of this work. The research was done in part while Konstantinos Brazitikos was visiting Purdue University and while Vassilis Zikas was at the University of Edinburgh. Konstantinos Brazitikos was supported in part by IOHK and Sunday Group. Vassilis Zikas was supported in part by Sunday Group, IOHK, NSF grants no. 2055599 & 2001096, and BSF grant no. 41000926.

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### Appendix

### A A Counter-Intuitive Feasibility Result

As discussed in Section 2, translating the threshold active/omission result from [ZHM09] (i.e., tight feasibility of  $3t_a + 2t_{\omega} < n$  for MPC) to the general adversary setting following the translation by [FHM99] of the threshold result in [FHM98] would yield the following condition:

$$\forall (A_1, \Omega_1), (A_2, \Omega_2), (A_3, \Omega_3) \in \mathcal{Z} : \Omega_1 \cup \Omega_2 \cup A_1 \cup A_2 \cup A_3 \neq \mathcal{P}.$$

$$(44)$$

In the following we show that this condition is not necessary for MPC by providing a feasibility result for an adversary structure satisfying:

$$\exists (A_1, \Omega_1), (A_2, \Omega_2), (A_3, \Omega_3) \in \mathcal{Z} : A_1 \cup A_2 \cup \Omega_3 = \mathcal{P},$$

$$\tag{45}$$

which violates Equation 44 in one of the strongest possible manners—and one would intuitively expect to make MPC or SFE impossible.

Consider the following setting as seen in Table 5, where we have three players  $p_1$ ,  $p_2$ ,  $p_3$  and three corruptible classes:  $Z_1 = (A_1, \Omega_1), Z_2 = (A_2, \Omega_2), Z_3 = (A_3, \Omega_3)$  with

•  $A_1 = \Omega_1 = \{p_1\},\$ 

• 
$$A_2 = \Omega_2 = \{p_2\}$$
, and

•  $A_2 = \{p_2\}, a_3$ •  $A_3 = \emptyset, \Omega_3 = \{p_3\}$ 

In other words, the adversary can either actively or omission-corrupt *exactly one* of  $p_1$  or  $p_2$ , or omission corrupt  $p_3$ . One can easily verify that the above adversary structure satisfies the condition in Equation 45. Nonetheless, in the following we describe a perfectly secure protocol that tolerates the above adversary.

**Table 5.** The classes  $Z_1, Z_2, Z_3$  that cover the whole player set but still allow for secure SFE.

First, given our tight broadcast bounds, we know that this adversary allows for parties to broadcast. Now, assuming broadcast, we show a very simple SFE protocol which explores the asymmetry of general adversaries but would be rendered impossible in the threshold setting. Throughout the protocol, if a party becomes zombie in a broadcast, then he broadcasts  $\perp$  in any subsequent round. To make the

protocol easier to follow we include some comments *in italics* (starting with #) that outline the (rather straight-forward) security arguments of the protocol. As with our feasibility result, for simplicity we assume that all rounds in the protocol below are broadcast rounds (but not all of them use the broadcast primitive necessarily).

- Round 1.  $p_1$  and  $p_2$  send their inputs to  $p_3$ .

# This does not violate privacy as  $p_3$  is either honest or omission corrupted.

- Round 2. If  $p_3$  does not receive a value from  $p_i$  (for  $i \in \{1, 2\}$ ) he broadcasts a complaint including the set of parties that he did not receive input from.
- Round 3.a) If complaints are raised from  $p_3$  for both messages of  $p_1$  and  $p_2$ , or  $p_3$  broadcast  $\perp$ , then  $p_3$  is excluded and the computation restarts with  $p_1$  as a trusted party.

 $\# p_3$  must be omission corrupted so both  $p_1$  and  $p_2$  are honest and they will not change their inputs.

- Round 3.b). If a complaint is raised from  $p_3$  for the message of only  $p_1$  (resp.  $p_2$ ) then restart the computation with  $p_2$  (resp.  $p_1$ ) as a trusted party.

# No information on the inputs has leaked, and the trusted party has to be honest given the dispute between the other two.

- Round 3.c) If no complaint is raised, then  $p_3$  securely computes the desired function and broadcasts the value.

# If the broadcast succeeds without  $p_3$  being detected then it must be the correct input. (Note that if  $p_1$  or  $p_2$  does not receive a value in this broadcast, then he must be actively corrupted).

It is not hard to verify that the above very simple protocol is a secure SFE protocol tolerating an adversary violating the above conditions (Equations 44 and 45).

Remark 1 (On MPC vs. SFE). Given the above feasibility for SFE under a condition, which one would have intuitively expected to make (reactive) MPC impossible, one might be tempted to assume that a similar separation between MPC and SFE as in  $[BFH^+08]$  (which was shown for the active/passive/fail case) appears also in our (active/omission) case. As our results demonstrate, this is not true—since the necessity proof of our tight MPC condition also includes SFE. Indeed, that proof relies on impossibilities for Broadcast and SMT, both of which are non-reactive functionalities. This is yet another example of how an attempt to extract patterns from the general adversary literature and apply them to our active/omissions setting can derail the quest for a tight condition, which, in turn, demonstrates the challenges of our work.