# DeepFold: Efficient Multilinear Polynomial Commitment from Reed-Solomon Code and Its Application to Zero-knowledge Proofs

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#### Abstract

This work presents DeepFold, a novel multilinear polynomial commitment scheme (PCS) based on Reed-Solomon code that offers optimal prover time and a more concise proof size. For the first time, DeepFold adapts the FRI-based multilinear PCS to the list decoding radius setting, requiring significantly fewer query repetitions and thereby achieving a  $3 \times$  reduction in proof size compared to BaseFold (Crypto'24), while preserving its advantages in prover time. Compared with PolyFRIM (USENIX Security'24), DeepFold achieves a  $2 \times$  improvement in prover time, verifier time, and proof size. Another contribution of this work is a batch evaluation scheme, which enables the FRI-based multilinear PCS to handle polynomials encoded from inputs of arbitrary length without additional padding overhead.

Our scheme has broad applications in zk-SNARKs, since PCS is a key component in modern zk-SNARK constructions. For example, when replacing the PCS component of Virgo (S&P'20) with DeepFold, our scheme achieves a  $2.5 \times$ faster prover time when proving the knowledge of a Merkle tree with 256 leaves, while maintaining the similar proof size. When replacing the PCS component of HyperPlonk (Eurocrypt'23) with DeepFold, our scheme has about  $3.6 \times$  faster prover time. Additionally, when applying our arbitrary length input commitment to verifiable matrix multiplications for matrices of size  $1200 \times 768$  and  $768 \times 2304$ , which are actual use cases in GPT-2 model, the performance showcases a  $2.4 \times$ reduction in prover time compared to previous approaches.

### 1 Introduction

Polynomial Commitment Scheme (PCS) [5, 26] is a powerful cryptographic primitive that allows a prover to commit to a  $\mu$ -variate polynomial f defined over a field  $\mathbb{F}$  with a degree bound of d using a short commitment. Given  $\vec{x} \in \mathbb{F}^{\mu}$ , the prover can create a proof to convince the verifier that  $f(\vec{x}) = y$  for some  $y \in \mathbb{F}$ . PCS has broad applications like verifiable secret sharing [36, 47], proofs of retrievability [25], and data availability sampling [24]. A PCS is *succinct* if both the proof size and the verifier time are sublinear in the polynomial's size  $n = (d+1)^{\mu}$ . Succinct PCS is an integral building block of *zero-knowledge succinct non-interactive argument* of knowledge (zk-SNARK) [18, 20, 21, 37], which are particularly useful in various domains, including but not limited to cryptocurrencies [15, 35], blockchain rollup [29, 43], AI regulations [30, 46], and anonymous credentials [34]. A succinct PCS can be integrated with a *Polynomial Interactive Oracle Proof* (PIOP) [9] to construct zk-SNARK.

The concept and initial construction of univariate PCS (namely,  $\mu = 1$ ) originated from the pioneering work by Kate, Zaverucha, and Goldberg [26] (hereafter, KZG). With the use of pairing groups, one major merit of KZG is its constant verifier time and proof size in the evaluation of a univariate polynomial. However, a long-standing issue that has been widely criticized with KZG is its reliance on a trusted setup to generate certain parameters, which often raises security concerns. Another disadvantage of KZG is the high computational overhead of the pairing group operations for the prover. Therefore, there have been numerous studies [5,22,44] focusing on creating PCS based on error-correcting codes (ECC), which is transparent and only relies on lightweight hash functions. Among these schemes, the Fast Reed-Solomon Interactive Oracle Proof of Proximity (FRI) [5] is a compelling construction for univariate PCS based on Reed-Solomon (RS) codes. FRI is praised for its efficient prover without relying on pairing group operations, as well as its transparent setup. The verifier time and the proof size are poly-logarithmic with respect to the polynomial size. Consequently, it has become an appealing univariate PCS choice for practical applications [6,8,23].

FRI-based Multilinear PCS. Recent years, multivariate polynomials ( $\mu > 1$ ), especially multilinear polynomials where the degree in each variable is at most one, have been widely applied in constructing efficient SNARKs [18,21,37]. Given its transparency and efficiency, an interesting topic is to use the technique of FRI to construct a PCS for multivariate polynomials, especially multilinear polynomials. However, adapting the (univariate) FRI for multivariate polynomials is non-trivial,

because RS code is originally compatible with the univariate ones. Several works, such as Virgo [48], PolyFRIM [49], and BaseFold [45], have aimed to achieve this transition. Nonetheless, these schemes always result in a significant increase in prover time or proof size when compared to the univariate FRI protocol, which is not optimal. Therefore, it remains an open question to achieve a multilinear PCS based on FRI with optimal prover time and compact proof size.

FRI query number. When constructing PCS based on FRI techniques, an important metric is the *query number*, which determines the final proof size. FRI considers the setting where a verifier is given only oracle access to a vector  $\vec{v}$ . With the help of an untrusted prover, the verifier needs to distinguish, by querying  $\vec{v}$  at a few locations *repeatedly*, whether  $\vec{v}$  is a valid RS codeword or whether  $\vec{v}$  is far from all codewords in relative Hamming distance. This is called a RS code interactive oracle proof of proximity (IOPP) [9]. In the original univariate FRI, the query number is restricted by a limitation known as the unique decoding radius. Several works [10, 12, 27] have managed to overcome this limitation and reduce the query number. For example, for an RS code with a code rate of  $\frac{1}{8}$ , under the unique decoding radius setting, to achieve 100-bit security, the query number exceeds 120, whereas in DEEP-FRI [10], the number is reduced to only 34, resulting in approximately  $3 \times$  smaller concrete proof size for FRI-based univariate PCS.

Recently, BaseFold [45] discovered a special property of FRI and invoked FRI for a purpose other than RS code IOPP, achieving multilinear PCS with competitive prover time. Its remarkable prover efficiency has made it appealing to numerous industrial projects [19, 38]. However, this unconventional usage of FRI renders previous optimizations for lowering the query number of RS IOPP invalid. Consequently, the query number in BaseFold has reverted to the same level as in the original univariate FRI, resulting in a significant increase in proof size. Concretely, when instantiating BaseFold for a multilinear polynomial with  $\mu = 22$  variables, the proof size is 619 KB, whereas the proof size for DEEP-FRI is only about 200 KB for a univariate polynomial degree with  $2^{22}$ . Therefore, it is of significant importance to reduce the proof size of BaseFold while maintaining its prover efficiency.

Arbitrary length input. Another significant drawback of FRIbased PCS is the overhead when encoding inputs of arbitrary length. For instance, when applying a PCS to encode a vector with  $2^k + 2^{k/2}$  inputs for some  $k \ge 1$ , we need to pad the vector to size  $2^{k+1}$  to fit a (k+1)-variate multilinear polynomial. If one chooses to commit to the polynomial using a multilinear variant of KZG (mKZG), the overhead from padding is negligible, as it can skip those zero terms directly. However, when using FRI-based PCS, the RS encoding causes the entire  $2^{k+1}$ -sized vector to become non-zero, thereby resulting in nearly double the prover time. This situation is less studied in the literature, but we find it common in real-world scenarios, which often involve inputs of random sizes. The above issue becomes even more significant for verifiable matrix multiplications [40], because committing to a matrix requires padding in two dimensions, potentially leading to up to  $4 \times$  overhead. We find this problem very common in *zero-knowledge machine learning* (zkML) [39], which aims to make ML inference verifiable. For example, in GPT-2 [33], the attention matrix is of size 768  $\times$  2304. When handling verifiable matrix multiplication with such matrices, there is always a need for an efficient PCS to commit to and evaluate a multilinear polynomial encoded from these "arbitrary" matrices. Therefore, these challenges motivate us to develop a new technique to efficiently handle inputs of arbitrary length.

In summary, we propose two open research questions for improving FRI-based multilinear polynomial commitments:

1. Can we build a FRI-based multilinear PCS with optimal efficiency, and push the proof size boundary even lower compared to the state-of-the-art [45]?

2. Can we develop an efficient technique to commit arbitrarysize inputs using FRI without any overhead?

### **1.1 Contributions**

We give affirmative answers to the above questions. Our contributions can be summarized as follows:

• DeepFold: A multilinear PCS with optimal prover time and smaller proof size. Our main contribution is DeepFold, an innovative multilinear PCS with  $O(n \log n)$  commit time and O(n) evaluating time, where *n* is the size of the polynomial. The verifier time and the proof size are both  $O(s_L \cdot \log^2 n)$ , where  $s_L$  is the query number under the *list decoding* setting, overcoming *unique decoding* limitation. Concretely, when the code rate is set to  $\frac{1}{8}$ , the query number  $s_L$  is about 34, while the query number in prior work BaseFold [45],  $s_U$ , exceeds 100 due to the unique decoding limitation. This translates to more than  $3 \times$  optimization in proof size. Using FS transformation, DeepFold can be made non-interactive [13].

• Efficient evaluation for PCS encoding arbitrary length inputs from batching FRI. For the first time in the literature, we study the problem of committing to multilinear polynomials encoding inputs of arbitrary length based on the FRI technique. Instead of padding and committing the inputs as a whole vector, we divide the inputs into several parts and commit them using multiple multilinear polynomials with different numbers of variables. To ensure that this transformation does not significantly increase the overhead of the evaluation, a novel batching evaluation technique is developed, enabling evaluations for different polynomials with varying sizes at one time. This batching technique is also leveraged to non-trivially make DeepFold zero-knowledge.

• **Implementation, applications and evaluations.** We have fully implemented our schemes and applied them to two practical cases: (i) constructing general zk-SNARKs [18,42], and

Scheme	Transparent	Assumption	Commit	Evaluate	Verify	Proof Size
mKZG [32]	no	q-SDH	$O(n)\mathbb{G}_B$	$O(n)\mathbb{G}_B$	$O(\log n)\mathbb{G}_B$	$O(\log n)$
DARK [17]	yes/no	Strong RSA	$O(n)\mathbb{G}_U$	$O(n\log n)\mathbb{G}_U$	$O(\log n)\mathbb{G}_U$	$O(\log n)$
Bulletproofs [16]	yes	DLog	$O(n)\mathbb{G}_P$	$O(n)\mathbb{G}_P$	$O(n)\mathbb{G}_P$	$O(\log n)$
Hyrax [41]	yes	DLog	$O(n)\mathbb{G}_P$	$O(n)\mathbb{F} + O(\sqrt{n})\mathbb{G}_P$	$O(\sqrt{n})\mathbb{G}_P$	$O(\sqrt{n})$
Brakedown [22]	yes	$O(n)\mathbb{H}$		$O(n)\mathbb{F}$	$O(\sqrt{n})\mathbb{H}$	$O(\lambda\sqrt{n})$
Orion [44]	yes	$O(n)\mathbb{H}$		$O(n)\mathbb{F}$	$O(s_O \log^2 n)\mathbb{F}$	$O(s_O \log^2 n), 3124 \text{ KB}$
Virgo [ <mark>48</mark> ]	yes	$O(n\log n)\mathbb{F} + O(n)\mathbb{H}$		$O(n\log n)\mathbb{F} + O(n)\mathbb{H}$	$O(s_L \log^2 n)\mathbb{H}$	$O(s_L \log^2 n), 228 \text{ KB}$
PolyFRIM [49]	yes	$O(n\log n)\mathbb{F} + O(n)\mathbb{H}$		$O(n)\mathbb{H}$	$O(s_L \log^2 n)\mathbb{H}$	$O(s_L \log^2 n)$ , 394 KB
Basefold [45]	yes	$O(n\log n)\mathbb{F} + O(n)\mathbb{H}$		$O(n)\mathbb{H}$	$O(s_U \log^2 n)\mathbb{H}$	$O(s_U \log^2 n), 619 \text{ KB}$
DeepFold	yes	$O(n\log n)\mathbb{F}$	$+O(n)\mathbb{H}$	$O(n)\mathbb{H}$	$O(s_L \log^2 n)\mathbb{H}$	$O(s_L \log^2 n), 208 \text{ KB}$

Table 1: Complexities comparison of  $\mu$ -variate multilinear polynomial commitments to achieve  $\lambda$ -bit security.

For  $\mathbb{G}_i$ , where  $i \in \{B, U, P\}$ , it denotes a group with a Bilinear map, of Unknown order, or of known Prime order; For  $s_i$ , where  $i \in \{O, L, U\}$ , it denotes the query number needed for Orion, List decoding, or Unique decoding setting to guarantee security;

 $\mathbb{F}$  is a field with a large multiplicative coset.  $\mathbb{H}$  denotes a hash function.  $n = 2^{\mu}$  denotes the polynomial size;

The PCS listed without assumptions relies solely on random oracle (RO) [28], which is plausibly post-quantum secure.

(ii) verifiable matrix multiplications [40]. Our codebase is ready for open-source evaluation<sup>1</sup>. We collected experimental data and demonstrated the competitive performance of our designs. Compared to Virgo [48], DeepFold achieves more than  $3 \times$  faster prover time for a 22-variate multilinear polynomial. When compared to PolyFRIM [49], our proposal exhibits a  $2 \times$  improvement in prover time, proof size, and verifier time. Furthermore, DeepFold outperforms BaseFold [45] by  $3 \times$  in terms of proof size and verifier time, while maintaining similar prover time. When combining DeepFold with the HyperPlonk PIOP [18], the proof system is  $3.6 \times$  faster than HyperPlonk + mKZG [32]. When integrated with Libra [42], the result is  $2.5 \times$  faster than Libra + Virgo. Finally, we utilized our arbitrary-length input commitment to assist with verifiable matrix multiplication with dimensions  $768 \times 2304$ , an actual use case in GPT-2 [33]. Experiments showcase a  $2.4 \times$  improvement in prover time compared to naive approaches.

### 1.2 Related Work

**Multilinear PCS.** In Table 1, we summarize the properties of related schemes for a comparison. We list the concrete proof sizes for all schemes with a complexity of  $O(s \log^2 n)$ , where  $n = 2^{22}$  and *s* is chosen differently by each scheme to ensure soundness. For all schemes based on RS code, we set the code rate to  $\frac{1}{8}$ , the same as the default setting in Plonky2 [2].

The (univariate) KZG scheme can be adapted to the multilinear case [32] with logarithmic verifier time and proof size. However, mKZG also inherits the issues of trusted setup and expensive group operations. Bulletproofs [16] does not require a trusted setup and relies solely on the Discrete Log (DLog) assumption. The problem is that verification takes O(n) group exponentiations, making it often impractical for real-world applications. Hyrax [41] generalizes Bulletproofs by trading off proof size to improve verifier time, but an intrinsic limitation is that the complexity product of the proof size and the verifier time cannot be smaller than O(n). DARK [17] achieves logarithmic proof size and verifier time but relies on strong RSA and adaptive root assumptions. It is transparent only if class groups are used, which are known for slower group operations.

From another path, PCS based on error-correcting codes (ECC) relies solely on lightweight hash functions and is therefore transparent. Another advantage is that they avoid expensive group operations. There are two families of ECC-based PCS known for efficient prover performance and acceptable verifier time and proof size: (i) FRI-based schemes and (ii) tensor code-based schemes. The latter family of constructions is derived from the concepts introduced in Ligero [3] and has been recently enhanced in Brakedown [22] and Orion [44]. Without relying on proof recursion, Brakedown [22] achieves linear-time prover but suffers from a relatively slow verifier and a large proof size of  $O(\lambda\sqrt{n})$ , where  $\lambda$  is security parameter. On the other hand, Orion [44] reduces the proof size to  $O(s_O \log^2 n)$  through proof composition with Virgo, where  $s_{O}$  represents the query number. However,  $s_{O}$  exceeds 1500, resulting in a large concrete proof size. In contrast, DeepFold and other FRI-based multilinear PCS [45, 48, 49] also yield a poly-logarithmic proof size and verifier time, but the concrete proof size is much smaller, as elaborated below.

FRI-based PCS. FRI-based PCS is originally designed for univariate polynomials [5]. The correctness relies on the fact that if f(z) = y for a univariate polynomial f(x) of degree n, then  $\frac{f(x)-y}{x-z}$  is a polynomial of degree (n-1). This quotient operation is inherently univariate, therefore obstructing the

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generalization of FRI to multivariate polynomials.

To overcome this limitation, Virgo [48] treats a multilinear polynomial evaluation as a univariate sumcheck protocol [8] and employs a GKR protocol [21] for FFT delegation, resulting in a prover overhead of  $O(n \log n)$ . PolyFRIM [49] adopts the idea of Gemini [14] by committing to additional log n polynomials to achieve O(n) prover time. However, this approach runs FRI nearly twice, concretely doubling the overhead for prover, verifier and proof size. Recently, BaseFold [45] discovered that the last scalar sent by the prover in FRI is a random evaluation of the committed polynomial. It combines FRI with a multivariate sumcheck to achieve the most efficient FRI-based PCS. However, FRI is used in an unconventional way in [45], which restricts the scenario of BaseFold to only unique decoding settings, resulting in more query numbers and a larger proof size. This is precisely the problem we aim to address in this work.

FRI **query number.** The soundness of FRI relies on sufficient repetition of queries, the number of which is determined by the *distance preservation bound*. A larger bound allows for fewer queries, resulting in a smaller proof size. A series of studies [7,10,12] have been conducted to improve the distance preservation bound, thereby enhancing the efficiency of FRI.

In the original FRI paper [5], the bound is defined by the unique decoding radius  $\frac{1-\rho}{2}$ , where  $\rho$  is the code rate. Ben-Sasson et al. [12] successfully surpassed this unique decoding limit by pushing the bound to  $J_{\varepsilon}(J_{\varepsilon}(\Delta V))$ , which is approximately  $1 - \sqrt[4]{\rho}$  for RS codes. Later, Ben-Sasson et al. [10] further proposed the "one-and-a-half" Johnson function bound, increasing the bound to about  $1 - \sqrt[3]{\rho}$ . Moreover, in the same paper, they introduced the Domain Extending for Eliminating Pretenders (DEEP) [10] technique, which effectively raises the bound to any *list decoding radius* by making lightweight adaptations to the protocol. This bound is theoretically optimal for FRI. Under a widely accepted conjecture on RS codes [11] (cf. Section 2.2), this bound could potentially be pushed to  $1 - \rho + \varepsilon$ . In [7], Ben-Sasson et al. proved a bound of  $1 - \sqrt{\rho}$  for Reed-Solomon codes without using the DEEP technique. We will further elaborate on the distance preservation bound and the DEEP technique in subsequent sections.

Recently, Arnon et al. [4] proposed STIR to achieve fewer queries than DEEP-FRI, which can be used to construct univariate PCS. However, leveraging STIR to construct efficient multilinear PCS remains an open problem.

#### 2 Preliminaries

**Notation.** We use  $\lambda$  to denote the security parameter. We use  $\varepsilon$  to denote any real number larger than 0. For  $n \in \mathbb{N}$ , let [n] be the set  $\{1, \dots, n\}$ . negl $(\lambda)$  is used to denote a *negligible* function. A probability of  $1 - \text{negl}(\lambda)$  is said to be *overwhelming*. We use  $\mathbb{F}$  to denote a field such that  $\log(|\mathbb{F}|) = \Omega(\lambda)$ . For a vector  $\vec{v} = (v_1, \dots, v_n)$ , let  $\vec{v}_{[i;j]}$  denote the sub-vector

 $(v_i, \dots, v_j)$ , and let  $\vec{v}_{[i:]}$  denote  $(v_i, \dots, v_n)$ . For a function  $f: A \to B$ , and  $D = \{d_1, \dots, d_n\} \subseteq A$ , let  $f|_D$  denote the vector of evaluations  $(f(d_1), \dots, f(d_n))$ . Let  $\mathbb{F}^{\leq d}[X_1, \dots, X_{\mu}]$  denote the set of all  $\mu$ -variate polynomials with a degree bound d. We use  $\otimes$  to denote a tensor product, and  $\langle \cdot, \cdot \rangle$  to denote an inner product.

**Merkle tree.** Merkle tree is a vector commitment scheme with linear prover time and logarithmic verifier time and proof size, with respect to the vector size. A Merkle tree consists of three algorithms.  $rt \leftarrow MT.Commit(\vec{v})$  outputs the Merkle tree root rt for vector  $\vec{v}$ .  $(\{v_i\}_{i\in I}, path) \leftarrow MT.Open(I, \vec{v})$ takes as input the query location set I and outputs the values  $\{v_i\}_{i\in I}$  and verification path *path*. Verification is done via MT.Verify $(rt, I, \{v_i\}_{i\in I}, path)$ . We use collision-resistant and non-invertible hash functions to instantiate a Merkle tree.

**Lemma 1** (Multilinear extension). A multilinear polynomial is a multivariate polynomial in which the degree of each variable is at most one. For every function  $f : \{0,1\}^{\mu} \to \mathbb{F}$ , there is a unique multilinear polynomial  $\tilde{f} \in \mathbb{F}^{\leq 1}[X_1, \dots, X_{\mu}]$  such that  $\tilde{f}(\vec{b}) = f(\vec{b})$  for all  $\vec{b} \in \{0,1\}^{\mu}$ . We call  $\tilde{f}$  the multilinear extension of f, and  $\tilde{f}$  can be expressed as

$$\tilde{f}(\vec{X}) = \sum_{\vec{b} \in \{0,1\}^{\mu}} f(\vec{b}) \cdot \tilde{eq}(\vec{b}, \vec{X})$$

where  $\tilde{eq}(\vec{b}, \vec{X}) = \prod_{i=1}^{\mu} \left( \vec{b}[i] \vec{X}[i] + (1 - \vec{b}[i])(1 - \vec{X}[i]) \right).$ 

**Lemma 2** (Schwartz-Zippel Lemma). Let  $f \in \mathbb{F}[X_1, \dots, X_{\mu}]$ be a non-zero polynomial of total degree d over field  $\mathbb{F}$ . Let Sbe any finite subset of  $\mathbb{F}$ , and let  $r_1, \dots, r_{\mu}$  be  $\mu$  field elements selected independently and uniformly from S. Then

$$\Pr\left[f(r_1,\cdots,r_{\mu})=0\right] \leq \frac{d}{|S|}$$

**Lemma 3** (Twin Polynomials). For  $\mu$ -variate multilinear polynomial  $\tilde{f}(X_1, \dots, X_{\mu})$ , we denote univariate polynomial  $f(X) \in \mathbb{F}^{<2^{\mu}}[X]$  which shares the same coefficients with  $\tilde{f}$ . More precisely, there exists a coefficient vector  $\vec{f}$  of  $2^{\mu}$  length such that: for each  $(x_1, \dots, x_{\mu}) \in \mathbb{F}^{\mu}$ ,

$$\tilde{f}(x_1,\cdots,x_\mu) = \langle \vec{f},(1,x_1)\otimes\cdots\otimes(1,x_\mu)\rangle$$

and for each  $x \in \mathbb{F}$ ,  $f(x) = \langle \vec{f}, (1, x, x^2, \dots, x^{2^{\mu}-1}) \rangle$ . We call  $\tilde{f}$  and f are twin polynomials of each other.

**Interactive Argument of Knowledge.** An interactive argument for an NP relation  $\mathcal{R}$  is a triple of algorithms  $(\mathcal{G}, \mathcal{P}, \mathcal{V})$ .  $\mathcal{G}$  is a setup algorithm.  $\mathcal{P}$  tries to convince  $\mathcal{V}$  that there exists a witness *w* such that  $(x, w) \in \mathcal{R}$  for a statement *x* through a multi-round communication. To qualify as an interactive Argument of Knowledge (AoK), we require that *w* can be efficiently extractable by an extractor  $\mathcal{E}$ .

**Definition 1** (Interactive Argument of Knowledge (AoK)).  $(\mathcal{G}, \mathcal{P}, \mathcal{V})$  *is an interactive argument of knowledge for an NP language*  $\mathcal{L}_{\mathcal{R}}$  *if the following holds:* 

- **Completeness.** For every  $pp \leftarrow \mathcal{G}(1^{\lambda})$ , every  $x \in \mathcal{L}_{\mathcal{R}}$  and  $(x,w) \in \mathcal{R}$ ,  $\Pr[\langle \mathcal{P}(w), \mathcal{V} \rangle (pp,x) = accept] = 1$ .
- Argument of Knowledge. For any PPT prover  $\mathcal{P}^*$ ,  $pp \leftarrow \mathcal{G}(1^{\lambda})$  and x,  $\exists$  PPT extractor  $\mathcal{E}$  s.t.  $\Pr[\langle \mathcal{P}^*, \mathcal{V} \rangle (pp, x) = accept, (x, w) \notin \mathcal{R} | w \leftarrow \mathcal{E}(pp, x) ] \leq negl(\lambda).$

We say an interactive AoK is **succinct** if the running time of  $\mathcal{V}$  and the total communication cost between  $\mathcal{P}$  and  $\mathcal{V}$  are poly $(\lambda, |x|, \log |w|)$ .

### 2.1 Polynomial Commitment

**Definition 2** (Multilinear Polynomial Commitment Scheme). *A multilinear polynomial commitment scheme* PC *consists of a tuple of algorithms* (Setup, Commit, Open, Eval):

- Setup(1<sup>λ</sup>, μ) → pp. It takes the security parameter λ and μ ∈ ℕ (i.e., the number of variables in a polynomial) and outputs the public parameter pp.
- Commit(pp, f̃) → (C, D). It takes a μ-variate multilinear polynomial f̃ and outputs a commitment C along with some auxiliary message D (e.g., some randomness).
- OpenPoly(pp, C, f, D) → b. It takes the commitment C, the multilinear polynomial f, and the auxiliary message D to verify the commitment and outputs a bit b ∈ {0,1}.
- Eval(pp, C, z, y, µ, f, D) → b. It is an interactive AoK between a PPT prover P and a verifier V. It takes z as the evaluation point, y as the result, and outputs b = ⟨P(f, D), V⟩(pp, C, z, y, µ). The algorithm only outputs b = 1 if it satisfies f(z) = y.

The scheme should satisfy *Completeness*, *Binding*, and *(Knowledge) Soundness*. The formal definition is presented in Appendix A.

**Batch evaluations.** This work considers a special case where the prover needs to evaluate multiple polynomials (possibly with different numbers of variables) at different points, which is referred to as *batch evaluation*. More precisely, let  $\{\mu_i, \tilde{f}_i, C_i\}_{i=1}^n$  represent *n* different ( $\mu_i$ -variate) multilinear polynomials along with their commitments. An algorithm BatchEval(pp,  $\{C_i, \bar{z}_i, y_i, \mu_i, \tilde{f}_i, \mathcal{D}_i\}_{i=1}^n$ )  $\rightarrow b$  is an interactive AoK if it outputs b = 1 only when  $\{\tilde{f}_i(\bar{z}_i) = y_i\}_{i=1}^n$  is satisfied.

#### 2.2 Linear Codes and Reed-Solomon Code

**Distance.** For two vectors  $\vec{u}, \vec{v} \in \mathbb{F}^n$ , we use  $\operatorname{Ham}(\vec{u}, \vec{v}) := \Pr_{i \in [n]}(\vec{u}[i] \neq \vec{v}[i])$  to denote their relative Hamming distance. For vector  $\vec{u}$  and a vector set V, we use  $\operatorname{Ham}(\vec{u}, V) := \min_{\vec{v} \in V} \{\operatorname{Ham}(\vec{u}, \vec{v})\}$  to denote their distance. When  $\operatorname{Ham}(\vec{u}, \vec{v}) \leq \delta$ , we call  $\vec{u}$  is  $\delta$ -close with  $\vec{v}$ . When  $\operatorname{Ham}(\vec{u}, \vec{v}) \geq \delta$ , we call  $\vec{u}$  is  $\delta$ -far from  $\vec{v}$ .

**Linear codes.** Linear codes are a type of error-correcting codes, where the codewords form a linear-space.

**Definition 3** (Linear Code). An  $(n,k,\delta)$ -linear errorcorrecting code  $E : \mathbb{F}^k \to \mathbb{F}^n$  is an injective mapping and  $E(\mathbb{F}^k)$  is a linear subspace of  $\mathbb{F}^n$ , such that:  $\operatorname{Ham}(u,v)$  of different codewords  $u, v \in \mathbb{F}^n$  is at least  $\delta$ . A codeword is a vector in this linear subspace. The code rate of a  $(n,k,\delta)$ -linear code is  $\rho = \frac{k}{n}$ .

**Reed-Solomon codes.** RS codes are a type of linear code where messages are encoded by a polynomial of degree at most k - 1, determined by the *k* entries of the message. The polynomial is then evaluated at *n* points to obtain the codeword.

**Definition 4** (RS Code). *A*  $[\mathbb{F}, L, \rho]$  RS *Code is defined as follows:* 

$$\mathsf{RS}[\mathbb{F}, L, \rho] = \{p|_L : p \in \mathbb{F}[X], \deg(p) \le \rho|L|\}$$

It is usually denoted as  $RS[L, \rho]$  when there is no ambiguity. In practice and in FRI, *L* is usually a multiplicative subgroup of  $\mathbb{F}$  with a size that is a power of 2.

**Definition 5** (List Size and List Decodability for RS Codes). For  $\vec{u} \in \mathbb{F}^n$ , a code  $V \subset \mathbb{F}^n$ , and distance parameter  $\delta \in [0, 1]$ , let  $\text{List}(\vec{u}, V, \delta) = B(\vec{u}, \delta) \cap V$ , where  $B(\vec{u}, \delta)$  is the Hamming ball of relative radius  $\delta$  centered at  $\vec{u}$ . The code V is said to be  $(\delta, L)$ -list-decodable if  $|\text{List}(\vec{u}, V, \delta)| \leq L$  for all  $\vec{u} \in \mathbb{F}^n$ .

**Definition 6** (Unique and List Decoding Radius).  $\delta$  such that *V* is  $(\delta, 1)$ -list-decodable is called the unique decoding radius.  $\delta$  such that *V* is  $(\delta, poly(|n|))$ -list-decodable is called the list decoding radius.

According to the known limitation [11], there is an optimistic and widely applied conjecture regarding the list decodability of RS codes [10], on which our PCS will be based. Note that many RS code-based PCS, like DEEP-FRI [10] and RedShift [27], all rely on this conjecture.

**Conjecture 1** (List Decodability for RS Codes). For every  $\rho > 0$ , there is a constant  $C_{\rho}$  such that for every RS code V of length n and rate  $\rho$ , V is list-decodable from  $1 - \rho - \varepsilon$  fraction errors with list size  $(\frac{|L|}{\varepsilon})^{C_{\rho}}$ . That is:

$$\mathcal{L}(\mathbb{F}, L, d = \rho | L |, 1 - \rho - \varepsilon) \le \left(\frac{|L|}{\varepsilon}\right)^{C_{l}}$$

We summarize the relationship between  $\delta$  and the decodability of RS codes in the following graph [10]. In other words, when  $\delta$  is a unique decoding radius ( $\delta \leq \frac{1-\rho}{2}$ ), for any  $\vec{v}$ , there is at most one codeword that is  $\delta$ -close to  $\vec{v}$ . When  $\delta$  is a list decoding radius ( $\delta \in (\frac{1-\rho}{2}, 1-\rho)$ ), where  $[1-\sqrt{\rho}, 1-\rho)$  is conjectured), for any  $\vec{v}$ , there might be more than one (but not too many) codewords that are  $\delta$ -close to  $\vec{v}$ .

**Correlated agreements.** The *correlated agreement* principle [7] (Figure 1) states that if a random linear combination of two given vectors  $u, u^*$  is  $\delta$ -close ( $\delta \le \Delta^*$ , where  $\Delta^* \in [0, 1]$ 



is called the *distance preservation bound*) to a RS code with non-negligible probability, then each of the two vectors is  $\delta$ -close to some codeword ( $v, v^*$  respectively) on *the same set of locations*. The advancement of the distance preservation bound has undergone extensive research, as discussed in Section 1.2, where the optimal bound  $\Delta^* = 1 - \rho - \varepsilon$  is derived from DEEP-FRI [10].



Equal to some codewords on these locations

Figure 1: Illustration of correlated agreement principle.

# **3** Technical Overview

Our main contribution in this paper is the reduction of the number of queries in BaseFold [45], resulting in a significantly smaller proof size. We first provide a quick review of BaseFold and the FRI technique behind it (and our construction), followed by an outline of challenges we address in this work and the core techniques we introduce.

#### 3.1 Warmup: FRI and BaseFold

Recall that an RS-IOPP is designed to convince a verifier that a given vector  $\vec{v}$  is close to some codeword, where the verifier is given only oracle access to  $\vec{v}$ . FRI is a specifically designed RS-IOPP, enabling the prover  $\mathcal{P}$  to convince the verifier  $\mathcal{V}$ that the committed vector  $\vec{v}$  is  $\Delta$ -close to RS[ $\mathbb{F}, L_0, \rho$ ], where  $L_0$  is a multiplicative subgroup of  $\mathbb{F}$ . To avoid confusion, here we use  $\Delta \in [0, 1]$  to denote the maximum acceptable relative Hamming distance in the FRI protocol, while  $\delta =$ Ham( $\vec{v}$ , RS[ $\mathbb{F}, L_0, \rho$ ]) denotes the actual distance between  $\vec{v}$ and the RS code.

**The FRI protocol.** For any honest prover,  $\vec{v}$  should equal  $f^{(0)}|_{L_0} \in \mathsf{RS}[\mathbb{F}, L_0, \rho]$ , where  $f(X) = f^{(0)}(X)$  denotes a univariate polynomial whose degree is less than  $2^{\mu} := \rho |L_0|$ . Define  $L_{i+1} = \{x^2 : x \in L_i\}$ . The FRI protocol proceeds in two phases: the commit phase and the query phase. We provide an overview below and include the full protocol in Appendix B for a more detailed understanding.

<u>Commit phase</u>. The commit phase consists of  $\mu$  rounds. In the *i*-th round,  $\mathcal{P}$  folds the polynomial  $f^{(i-1)}$  into  $f^{(i)}$ , reducing

its length by half. Specifically,  $f^{(i-1)}(X)$  can be expressed as a combination of two half-degree polynomials,  $f_E^{(i)}$  and  $f_Q^{(i)}$ :

$$f^{(i-1)}(X) = f_E^{(i)}(X^2) + X \cdot f_O^{(i)}(X^2)$$
(1)

Given a random challenge  $r_i \in \mathbb{F}$  from  $\mathcal{V}$ , a new polynomial  $f^{(i)}$  is computed as a random linear combination of  $f_E^{(i)}, f_O^{(i)}$ :

$$f^{(i)}(X) = f_E^{(i)}(X) + r_i \cdot f_O^{(i)}(X)$$
(2)

 $\mathcal{P}$  then sends a Merkle commitment of the vector  $\vec{v}^{(i)} = f^{(i)}|_{L_i}$  to  $\mathcal{V}$ . Since the degree is halved in each round, in the final round,  $f^{(\mu)}$  should be a scalar if  $\mathcal{P}$  is honest.

<u>Query phase</u>. At the end of the commit phase,  $\mathcal{V}$  queries each of the  $\mu$  committed vectors at random locations. These openings are used to verify the consistency of the folding performed by  $\mathcal{P}$  in each round during the commit phase. To maintain the soundness of the protocol, the query process should be repeated multiple times, denoted by the query repetition count *s*.

The soundness of the FRI protocol can be derived from Theorem 1 [7]. Intuitively, the correlated agreement principle (Section 2.2) ensures that the random linear combination (Equation 2) does not decrease the distance between  $\vec{v}^{(i)}$  and its corresponding RS code when reducing  $\vec{v}^{(i-1)}$  to  $\vec{v}^{(i)}$ . Therefore, the folding steps between  $f^{(i-1)}$  and  $f^{(i)}$  are valid, making the protocol result acceptable for  $\mathcal{V}$ . Moreover, according to Theorem 1, to achieve  $\lambda$ -bit security, the query repetition count *s* must satisfy  $(1 - \Delta)^s < 2^{-\lambda}$  if  $\Delta < \Delta^*$ .

**Theorem 1.** For any  $\Delta < \Delta^*$ , where  $\Delta^*$  is the distance preservation bound, if  $\delta = \text{Ham}(\vec{v}, \text{RS}[\mathbb{F}, L_0, \rho]) \ge \Delta$ , with overwhelming probability, after the commit phase, at least  $\Delta$ -proportional locations on  $L_0$  allows  $\mathcal{V}$  to find an error.

**Recap of** BaseFold. Let  $\tilde{f}(X_1, \ldots, X_{\mu})$  denote a  $\mu$ -variate multilinear polynomial, which is the twin polynomial of the univariate polynomial f. BaseFold [45] makes a key observation that for honest prover, the last round polynomial  $f^{(\mu)}$  in FRI is actually a scalar equal to  $\tilde{f}(r_1, \ldots, r_{\mu})$ , where  $r_1, \ldots, r_{\mu}$  are the random challenges from  $\mathcal{V}$ . Moreover, [45] proves this property holds even for malicious provers when  $\Delta$  is a *unique decoding radius* (cf. Section 2.2).

Leveraging this observation, [45] provides an efficient construction of multilinear PCS. The commitment of  $\tilde{f}$  is set as the Merkle commitment of the vector  $\vec{v} = f^{(0)}|_{L_0}$ . To validate the evaluation of  $\tilde{f}(\vec{z})$ , where  $\vec{z}$  is a given evaluation point, [45] introduces an elegant approach that runs an FRI protocol and a classic sumcheck protocol [31] in parallel. Specifically,  $\mathcal{P}$  and  $\mathcal{V}$  run both a  $\mu$ -round FRI protocol and a sumcheck protocol simultaneously, sharing the same random challenges sampled by  $\mathcal{V}$ . The intuition underlying this approach is: (i) The sumcheck protocol ensures the correctness of  $\tilde{f}(\vec{z}) = \sum_{\vec{b} \in \{0,1\}^{\mu}} \tilde{f}(\vec{b}) \cdot \tilde{eq}(\vec{b}, \vec{z})$ , reducing the correctness of  $\tilde{f}(\vec{z})$  to a random evaluation  $\tilde{f}(\vec{r})$ , and (ii) With the aforementioned observation, the FRI protocol on f should precisely provide a correctness  $f^{(\mu)}$ , which exactly equals  $\tilde{f}(\vec{r})$ .

**Limitation of excessive queries.** In [45], one major issue we identify is the relatively large proof size, which is due to the chosen large *s* in the FRI protocol. To understand why such a large *s* is needed in BaseFold, recall that to achieve  $\lambda$ -bit security for the soundness of FRI, the query repetition count *s* must satisfy  $(1 - \Delta)^s < 2^{-\lambda}$  (cf. Theorem 1). Since the proof size is proportional to *s*, it is crucial to seek a larger  $\Delta$  to reduce the proof size. Because [45] operates *only* in the unique decoding radius setting, the largest  $\Delta$  can be chosen is  $\frac{1-\rho}{2}$  (Section 2.2). Concretely, if  $\rho = \frac{1}{8}$ , then to achieve 100-bit security, the query count must exceed 120, which translates to a proof size of over 600 KB.

#### 3.2 Overview of DeepFold

DeepFold aims to reduce *s* in BaseFold, thereby decreasing the proof size. One may consider the most straightforward approach is to transition directly to the *list decoding radius* setting, where the limit of  $\Delta$  can be pushed to  $1 - \rho - \varepsilon$  under Conjecture 1.

**Problem of transition.** However, we argue that this direct transition can not be *simply* applied to BaseFold. Recall that in BaseFold, FRI is actually used in a "specific" manner: instead of a Reed-Solomon IOPP, FRI is further used to provide the correctness of  $f^{(\mu)}$ . Originally, FRI only requires that the given vector  $\vec{v}$  is close to some RS code, without specifying in the *i*-th round *which codeword* the committed vector  $\vec{v}^{(i)}$  should be close to. Therefore,  $\vec{v}^{(i)}$  could be  $\Delta$ -close to multiple RS codewords, including those irrelevant to the original vector  $\vec{v}$ . This "gap" precisely implies why BaseFold works smoothly *only* under the unique radius setting: for Equation 2, in the *i*-th round, there is at most one  $f^{(i)}$  that satisfies the distance requirement (Def. 6), and thus, in the end,  $f^{(\mu)}$  is uniquely determined, even for a malicious prover.

Yet, when transitioning to the list decoding radius setting where  $\Delta > \frac{1-\rho}{2}$ , multiple codewords are decodable from a given vector (Def. 6). Therefore, for Equation 2, in each round, a malicious prover may have the potential to derive a different  $f^{(i)'}$  to pass the check. As a result, the final scalar  $f^{(\mu)'}$  could be an incorrect value. In Figure 2, we provide an illustration of this problem. A malicious prover may rely on the random combination of some "garbage" values (the blue and yellow positions) to derive an incorrect proximity while still satisfying the  $\Delta$ -close correlated agreements.

We found that there is actually no security guarantee against this type of attack. Therefore, it remains a technical problem to ensure the correctness of  $f^{(\mu)}$  for a malicious prover: we must develop a method to make sure that in the list decoding radius setting, the vector  $\vec{v}^{(i)}$  committed in the *i*-th round is *not*  $\Delta$ -close to any codeword other than  $f^{(i)}$ , where  $f^{(i)}$  is the twin polynomial of  $\tilde{f}(r_1, \cdots, r_i, X_{i+1}, \cdots, X_{\mu})$ .



Figure 2: Illustration of the problem when transitioning to the list decoding setting: In the *i*-th round, the vector  $\vec{v}^{(i)}$  may be close to multiple codewords.

Idea 1: Using DEEP for transition. Our idea is that in each *i*-th round of FRI, let  $\mathcal{V}$  query another evaluation outside  $L_i$ , which could help in binding the unique polynomial  $f^{(i)}$ . This idea is inspired by the *Domain Extending for Eliminating Pretenders* (DEEP) technique introduced in DEEP-FRI [10]. Although not originally intended for this purpose, we found the DEEP technique can be adapted to solve our problem.

Specifically, in each *i*-th round,  $\mathcal{V}$  makes two additional random queries,  $f^{(i-1)}(\pm \alpha_i)$  (which implies  $f^{(i)}(\alpha_i^2)$ ), where  $\alpha_i$  is a challenge from  $\mathcal{V}$ , just as in [10]. The intuition behind this is: In the list decoding radius setting, there may be more than one but *not too many* codewords within the radius  $\Delta$ . Since  $\alpha_i$  is chosen from the entire field  $\mathbb{F}$ , with overwhelming probability, there would not be two polynomials in List( $\vec{v}^{(i)}, \text{RS}, \Delta$ ) that evaluate the same on  $\alpha_i^2$ . Thus,  $f^{(i)}(\alpha_i^2)$  is sufficient to bind the vector to the unique polynomial  $f^{(i)} \in \text{List}(\vec{v}^{(i)}, \text{RS}, \Delta)$ , thereby avoiding the aforementioned problem. To ensure the correctness of this approach, we provided a security proof in Theorem 4.

Idea 2: Using DEEP without quotient. Now, the challenge comes in enabling  $\mathcal{V}$  to validate the correctness of  $f^{(i)}(\alpha_i^2)$  in each *i*-th round, which remains a non-trivial technical problem. We note that in DEEP-FRI [10], the authors also attempted to address a similar issue. Since their target is a univariate PCS, the authors combine the validation of  $f^{(i)}(\alpha_i^2)$  with the original folding formula (Equation 2). Specifically, in the *i*-th round of the FRI commit phase, the folding from  $f^{(i-1)}$  to  $f^{(i)}$  is adapted according to a new formula:

$$f^{(i)}(X) = \frac{(f_E^{(i)}(X) + r_i \cdot f_O^{(i)}(X)) - (f_E^{(i)}(\alpha_i^2) + r_i \cdot f_O^{(i)}(\alpha_i^2))}{X - \alpha_i^2} \quad (3)$$

where  $r_i$  is the original round challenge and  $\alpha_i$  is the extra query point. In this way, the validation of each  $f^{(i)}(\alpha_{i-1}^2)$  is cleverly reduced to the IOPP of  $f^{(i)}$  in the following rounds. This technique is known as the *quotient* in [10].

Nonetheless, we find that this technique is not applicable in our context. The reason is that the folding from Equation 3 hinders the aforementioned important property, as the last scalar in FRI,  $f^{(\mu)}$ , no longer equals  $\tilde{f}(\vec{r})$ . This makes the construction of a multilinear PCS extremely challenging.

Therefore, another technical contribution of our work is a new method to ensure the correctness of these extra evaluations on  $\{\alpha_i\}$ . From a high-level perspective, instead of making quotients like in [10], in DeepFold, the evaluations  $\{f(\alpha_i^2)\}$  are *deeply folded*. Suppose in the *i*-th round,  $\mathcal{V}$ needs to check the correctness of  $f^{(i-1)}(\pm \alpha_i)$ . Let  $\tilde{f}^{(i)} :=$  $\tilde{f}(r_1, \dots, r_i, X_{i+1}, \dots, X_{\mu})$  denote the twin of  $f^{(i)}$ . Leveraging the relationship between twin polynomials, we derive that  $f^{(i-1)}(\pm \alpha_i) = \tilde{f}^{(i-1)}(\pm \alpha_i, \alpha_i^2, \dots, \alpha_i^{2^{\mu-i}})$ , from which  $\mathcal{V}$  can extrapolate  $f^{(i)}(\alpha_i^2) = \tilde{f}^{(i)}(\alpha_i^2, \dots, \alpha_i^{2^{\mu-i}})$ . In the *j*-th round (j > i),  $\mathcal{P}$  additionally sends  $f^{(j-1)}(-\alpha_i^{2^{j-i}})$ , after which the correctness of the  $f^{(i-1)}(\pm \alpha_i)$  can be reduced to  $f^{(j)}(\alpha_i^{2^{j-i+1}})$ . Finally, all the checks on  $f^{(i-1)}(\pm \alpha_i)$  converge to the same point  $f^{(\mu)} = \tilde{f}(r_1, \dots, r_{\mu})$ , which is precisely provided by FRI. Furthermore, in the Eval procedure of DeepFold, the evaluation  $\tilde{f}(\vec{z})$  can also be validated in a similar manner.

Until now, we have successfully made the transition to the list decoding radius setting, where the maximum distance requirement  $\Delta$  can be set to approximately  $1 - \rho - \varepsilon$ , and the query repetition count *s* is reduced to around 34 times. Our experiments suggest that this improves the proof size of BaseFold by  $3 \times$  under the same conditions as before.

Arbitrary input length via batching. We introduce a technique to help with committing and evaluating multilinear polynomials encoded from inputs of arbitrary length. This can be achieved from a batched DeepFold for multiple polynomials of different sizes. For example, for a vector  $\vec{v}$  of length  $2^{2n} + 2^n$ , we need to encode  $\vec{v}$  as a multilinear polynomial  $\tilde{f}$ with 2n + 1 variables. However, a naive approach to handling  $\tilde{f}$  would result in doubled prover overhead. Instead, we treat the inputs as two multilinear polynomials,  $\tilde{f}_1$  and  $\tilde{f}_2$ , with 2nand n variables, respectively, such that:

$$\tilde{f}(X_0, \dots, X_{2n}) = (1 - X_0) \cdot \tilde{f}_1(X_1, \dots, X_{2n}) + X_0 \cdot \prod_{i=1}^n (1 - X_i) \cdot \tilde{f}_2(X_{n+1}, \dots, X_{2n})$$

One way to evaluate  $\tilde{f}(z_0, ..., z_{2n})$  is for  $\mathcal{P}$  to simultaneously open  $y_1 = \tilde{f}_1(z_1, ..., z_{2n})$  and  $y_2 = \tilde{f}_2(z_{n+1}, ..., z_{2n})$ , but this would require two proofs, nearly doubling the proof size.

To address this, we present an efficient batch evaluation algorithm building upon the techniques applied in DeepFold. When proving  $y_1$  and  $y_2$ , the idea is to first reduce the evaluation of  $y_1$  to  $\tilde{f}_1(r_1, \ldots, r_n, z_{n+1}, \ldots, z_{2n})$  through *n* rounds of interactions. Then, in the last *n* rounds, the prover incorporates  $\tilde{f}_1(r_1, \ldots, r_n, z_{n+1}, \ldots, z_{2n})$  and  $\tilde{f}_2(z_{n+1}, \ldots, z_{2n})$  through random linear combinations, allowing the validation to be done simultaneously. As a result, the batch evaluation protocol achieves optimal prover complexity, which is linearly proportional to the total length of all polynomials. Furthermore, the proof size and verifier time remain almost the same as the cost of evaluating  $\tilde{f}_1$  independently. This idea can be extended to accommodate more polynomials, even when the evaluation points differ for each polynomial. Finally, we leverage the batching technique to construct a zero-knowledge version of DeepFold, which is also an interesting contribution, as achieving zero-knowledge for FRI-based PCS remains non-trivial.

# 4 DeepFold: Multilinear Polynomial Commitment based on Reed-Solomon Code

In this section, we present a novel multilinear PCS, which we call DeepFold. The high-level idea is to expand the proximity bound to the entire list decoding radius setting, thereby substantially reducing the number of queries needed and improving the proof size of BaseFold [45].

## 4.1 DeepFold Multilinear PCS

Let  $\tilde{f}$  denote the  $\mu$ -variate multilinear polynomial, and let  $f = f^{(0)}$  denote its univariate twin polynomial. As discussed in the overview, DeepFold also utilizes FRI as a subroutine to provide  $f^{(\mu)}$  and thereby validate the correctness of  $\tilde{f}(\vec{r})$ , where  $\vec{r}$  is the round challenges in the protocol. The transition to list decoding radius setting reduces proof size but introduces several challenges, which we summarize as follows:

1. The PCS may lose its binding property as there could be multiple codewords  $\Delta$ -close to the committed vector  $\vec{v}$ .

2. In each *i*-th round of the FRI protocol, there could be multiple codewords close to the vector  $\vec{v}^{(i)}$ . A malicious prover may choose the codeword arbitrarily, thereby providing an incorrect  $f^{(\mu)}$ .

3. The quotient technique from [10] cannot be applied in our context, as it ruins the nice structure of twin polynomials.

We claim that DeepFold addresses the above challenges. Below, we first present the protocol:

- Setup(1<sup>λ</sup>, μ) → pp. It takes the security parameter λ and the number of variables μ, and outputs the public parameter pp = {F, L<sub>0</sub>, s}, where s is the query repetition number satisfying (1 − Δ)<sup>s</sup> ≤ 2<sup>−λ</sup>. In our setting, Δ can be set as 1 − ρ − ε, where ρ is the code rate.
- Commit(pp, *f̃*) → (*C*, *D*). It takes pp and *f̃*, and outputs the commitment *C* = ⟨*rt*<sub>0</sub>, α, *c*⟩ according to the following:
  1: Let *v* = *f*<sup>(0)</sup>|<sub>L<sub>0</sub></sub>, *P* sends MT.Commit(*ṽ*) → *rt*<sub>0</sub> to *V*.
  2: *V* sends α <sup>\$</sup> ∉ 𝔅 to *P*.
  3: *P* computes *c* := *f*<sup>(0)</sup>(α) and sends *c* to *V*.
- OpenPoly(pp, C, f̃, D) → b: P opens the commitment C and sends the Merkle tree of ṽ to V. V then checks (i) the Merkle path using MT.Verify, (ii) f<sup>(0)</sup>(α) = c, and (iii) Ham(ṽ, f<sup>(0)</sup>|<sub>L0</sub>) < Δ.</li>
- Eval(pp,  $C, \vec{z}, y, \mu; \tilde{f}, \mathcal{D}) \to b$ . Given the evaluation point  $\vec{z} = (z_1, \cdots, z_{\mu}), y = \tilde{f}(\vec{z}), \tilde{f}, \mathcal{P}$  and  $\mathcal{V}$  run the following:

- 1: Let  $A_0 := \{ \vec{z}, \vec{\alpha} \}$ , and  $\vec{\alpha} = (\alpha^{2^0}, \alpha^{2^1}, \cdots, \alpha^{2^{\mu-1}})$ .
- 2: For each round *i*, where  $i \in [\mu]$ ,
  - a.  $\mathcal{V}$  sends  $\alpha_i \stackrel{\$}{\leftarrow} \mathbb{F}$  to  $\mathcal{P}$ . Let  $A_{i-1} := \{A_{i-1}, \vec{\alpha}_i\}$ , where  $\vec{\alpha}_i = (\alpha_i^{2^0}, \alpha_i^{2^1}, \cdots, \alpha_i^{2^{\mu-i}})$ . b. If  $i = \mu, \mathcal{P}$  linear function  $g(X) := \tilde{f}(r_1, ..., r_{i-1}, X)$
  - b. If  $i = \mu$ ,  $\mathcal{P}$  linear function  $g(X) := \hat{f}(r_1, ..., r_{i-1}, X)$ to  $\mathcal{V}$ . Otherwise, let  $A_i := \emptyset$ , for each  $\vec{w} \in A_{i-1}$ ,  $\mathcal{P}$ sends  $g_{\vec{w}_{[2:]}}(X) := \tilde{f}(r_1, ..., r_{i-1}, X, \vec{w}_{[2:]})$  to  $\mathcal{V}$ . Let  $A_i := \{A_i, \vec{w}_{[2:]}\}.$
  - c.  $\mathcal{V}$  sends  $r_i \stackrel{\$}{\leftarrow} \mathbb{F}$  to  $\mathcal{P}$ .
  - d.  $\mathcal{P}$  computes  $f^{(i)}(X) = f^{(i)}_E(X) + r_i \cdot f^{(i)}_O(X)$ , where  $f^{(i)}_E(X), f^{(i)}_O(X)$  satisfy that:

$$f^{(i-1)}(X) = f_E^{(i)}(X^2) + X \cdot f_O^{(i)}(X^2)$$

- e. Let v
  <sup>(i)</sup> = f<sup>(i)</sup>|<sub>Li</sub>. If i = μ, 𝒫 sends a scalar f<sup>(μ)</sup> ∈ ℝ to 𝒱. Otherwise, 𝒫 sends MT.Commit(v
  <sup>(i)</sup>) → rt<sub>i</sub>.
- 3:  $\mathcal{V}$  checks  $g_{\vec{z}_{[2:]}}(z_1) = y$ ,  $g_{\vec{\alpha}_{[2:]}}(\alpha) = c$ ,  $g(r_{\mu}) = f^{(\mu)}$ . For each round *i*, where  $i \in [\mu]$ ,
  - a. For each  $\vec{w} \in A_{i-1}$ , if  $i < \mu$ ,  $\mathcal{V}$  checks  $g_{\vec{w}}(r_i) = g_{\vec{w}_{[2:]}}(w_1)$ ; otherwise,  $\mathcal{V}$  checks  $g_{\vec{w}}(r_i) = g(w_1)$ .
- 4: Repeat the following query process *s* times:
  - a.  $\mathcal{V}$  sends  $\beta_0 \xleftarrow{\$} L_0$  to  $\mathcal{P}$ . For  $i \in [\mu]$ , define  $\beta_i := \beta_{i-1}^2$ .
  - b. For  $i \in [\mu]$ ,  $\mathcal{P}$  opens  $f^{(i-1)}(\beta_{i-1})$  and  $f^{(i-1)}(-\beta_{i-1})$  using MT.Open.
  - c.  $\mathcal{V}$  checks the results using MT.Verify.
  - d. For  $i \in [\mu]$ ,  $\mathcal{V}$  checks the following triple are on a common line.

$$\left(\beta_{i-1}, f^{(i-1)}(\beta_{i-1})\right), \left(-\beta_{i-1}, f^{(i-1)}(-\beta_{i-1})\right), \left(r_i, f^{(i)}(\beta_i)\right)$$

5: If all above checks pass,  $\mathcal{V}$  outputs 1; 0 otherwise.

The commit and evaluation algorithms can be made noninteractive through a Fiat-Shamir heuristic transformation, as the protocol is essentially public-coin. Now, we provide some discussions on the critical steps to address the aforementioned challenges. First, to ensure binding to a unique polynomial, we add a random query  $f^{(0)}(\alpha)$  into the commitment. As demonstrated in Theorem 4, this extra evaluation is sufficient to maintain the binding property. Similarly, we add one more evaluation  $f^{(i)}(\alpha_i)$  in each round to ensure that only one codeword is decodable from the committed vector, which ensures the correctness of  $f^{(\mu)}$ . Finally, we propose a new method to validate the above evaluations: For each evaluation  $\tilde{z}$  and  $\{f^{(i)}(\alpha_i)\}$ , the interactions in Step 2-b and Step 3-a reduce the check to the next round, finally converging at  $\tilde{f}(r_1, ..., r_{\mu})$ . Since the value is provided by  $\tilde{f}^{(\mu)}$ , this concludes the check.

**Complexity analysis.** We present the complexity analysis for DeepFold. For simplicity, we consider the code rate  $\rho$  as constant, therefore  $O(L_0) = O(n)$ .

<u>Commit.</u> During the commitment,  $\mathcal{P}$  is initially tasked with calculating the RS code of the polynomial coefficient vector, which requires an FFT, leading to a time complexity of

 $O(n \log n)$  field operations. Besides this,  $\mathcal{P}$  computes O(n) hashes to obtain the Merkle commitment.

<u>Evaluate.</u> In the *i*-th round,  $\mathcal{P}$  computes  $f^{(i)}|_{L_i}$  and its Merkle tree from  $f^{(i-1)}|_{L_{i-1}}$  with a time complexity of  $O(L_i)$ , so the total complexity to compute all Merkle commitments is also O(n).  $\mathcal{P}$  also needs to compute i + O(1) evaluations on each  $\tilde{f}^{(i)}$ . Each evaluation requires  $O(2^{\mu-i})$  time. So the total time complexity to compute all these evaluations is  $\sum_{i=0}^{\mu-1} (i + O(1)) \times O(2^{\mu-i}) = O(2^{\mu}) = O(n)$ . Thus, the total prover overhead is O(n) field operations and O(n) hashes.

<u>Proof size</u>. In addition to the Merkle paths equivalent to traditional FRI, which include a proof size of  $O(s \cdot \log^2 n)$ , there are an additional i + O(1) field elements to be sent in the *i*-th round, so the total communication overhead is  $\sum_{i=0}^{\mu-1} (i+O(1)) = O(\mu^2) = O(\log^2 n)$ . Thus, the total proof size is  $O(s \cdot \log^2 n)$ .

<u>Verify.</u> Similar with the analysis of proof size, the verifier complexity includes a traditional FRI verification plus a  $O(\log^2 n)$  overhead, so the total verifier complexity is  $O(s \cdot \log^2 n)$ .

**Comparison with** BaseFold. The prover asymptotic complexity of DeepFold is identical to that of BaseFold. The query number *s* should satisfy  $(1 - \Delta)^s < 2^{-\lambda}$  (Theorem 1), where  $\Delta$  is the maximum acceptable distance of the FRI protocol. DeepFold operates under the list decoding radius setting, where  $\Delta$  can be set at approximately  $1 - \rho - \varepsilon$ , while BaseFold only works under the unique decoding radius setting, where  $\Delta$  can at most be set at  $\frac{1-\rho}{2}$ . Therefore, the query repetition number *s* of DeepFold is smaller. The practical performance results in Section 7 show that our scheme substantially reduces proof size and verifier time.

**Security analysis.** Due to the space limitation, we provide the analysis of completeness, binding, and soundness for DeepFold in Appendix C.

# 5 Batching Evaluation on Different-size Polynomials

A traditional method for batch evaluation of univariate polynomials involves  $\mathcal{P}$  randomly combining all polynomials and then evaluating the combined result. However, it remains unknown how to apply this technique to multilinear polynomials of different sizes. A naive approach is for  $\mathcal{P}$  to pad the shorter polynomials to match the largest one, which is costly. To address this, in this section, we introduce a technique to efficiently batch evaluate multiple multilinear polynomials of different sizes without any overhead from padding.

#### 5.1 Batch Evaluation with DeepFold

The key insight is that in the evaluation of DeepFold, a multilinear polynomial is split and randomly folded into a smaller one, as described in Step 2-d. Thus, when handling multiple multilinear polynomials of different sizes, we can intuitively combine them randomly when the larger polynomial is folded to the same size as the smaller one. Specifically, for example, when batch evaluating two multilinear polynomials,  $\tilde{f}_0$  with  $\mu$  variates and  $\tilde{f}_1$  with  $\ell$  variates ( $\ell < \mu$ ),  $\tilde{f}_0$  is first folded into  $f_0^{(\mu-\ell)}$  in the ( $\mu - \ell$ )-th round. Now the two polynomials can be incorporated together since they have the same size. In all subsequent *i*-th rounds, we handle the univariate polynomial  $f_0^{(i)} + \gamma_i \cdot f_1^{(i)}$ , which effectively reduces the overhead compared to separately dealing with each polynomial.

Now, we present DeepFold.BatchEval, which batch evaluates  $\mu$  multilinear polynomials, each with a different number of variables. Note that the polynomials are evaluated at the same point  $\vec{z}$ , specifically,  $f_i$  is evaluated on  $\vec{z}_{[i+1:]}$ . We highlight the modifications to DeepFold.Eval in orange.

BatchEval(pp,  $\{C_i, y_i, \mu_i, \tilde{f}_i, \mathcal{D}_i\}_{i=0}^{\mu}, \vec{z}\} \to b$ : We assume  $\tilde{f}_i$  $(i \in [0, \mu - 1])$  is a  $(\mu - i)$ -variate multilinear polynomial. Given the evaluation point  $\vec{z}, y_i = \tilde{f}_i(\vec{z}_{[i+1:]}), \{\tilde{f}_i\}, \mathcal{P}$  and  $\mathcal{V}$  run the following:

- 1: Let  $A_0 := \{\vec{z}, \vec{\alpha}\}$ , and  $\vec{\alpha} = (\alpha^{2^0}, \alpha^{2^1}, \cdots, \alpha^{2^{\mu-1}})$ . For  $i \in [\mu-1]$ , let  $c_{\vec{z}_{[i+1:]}} := y_i, c_{\vec{\alpha}_{[i+1:]}} := c_i$ .
- 2: For each round *i*, where  $i \in [\mu]$ ,
  - a.  $\mathcal{V}$  sends  $\alpha_i \stackrel{\$}{\underset{i}{\overset{\$}{=}}} \mathbb{F}$  to  $\mathcal{P}$ . Let  $A_{i-1} := \{A_{i-1}, \vec{\alpha}_i\}$ , where  $\vec{\alpha}_i = (\alpha_i^{2^0}, \alpha_i^{2^1}, \cdots, \alpha_i^{2^{\mu-i}})$ .
  - b. If  $i = \mu$ ,  $\mathcal{P}$  sends  $g(X) := \tilde{f}(r_1, ..., r_{i-1}, X)$  to  $\mathcal{V}$ . Otherwise, let  $A_i := \emptyset$ , for each  $\vec{w} \in A_{i-1}$ ,  $\mathcal{P}$  sends  $g_{\vec{w}_{[2:]}}(X) := \tilde{f}^{(i-1)}(X, \vec{w}_{[2:]})$  to  $\mathcal{V}$ . Let  $A_i \leftarrow \{A_i, \vec{w}_{[2:]}\}$ . For  $j \in [i, \mu - 1]$ ,  $\mathcal{P}$  sends  $c_{\vec{w}} := f_j(\alpha_i^{2^{j-i+1}})$  to  $\mathcal{V}$ , where  $\vec{w} := (\alpha_i^{2^{j-i+1}}, \cdots, \alpha_i^{2^{\mu-i}})$ .
  - c.  $\mathcal{V}$  sends  $r_i, \gamma_i \stackrel{\$}{\leftarrow} \mathbb{F}$  to  $\mathcal{P}$ .
  - d.  $\mathscr{P}$  computes  $f^{(i)}(X) = f_E^{(i)}(X) + r_i \cdot f_O^{(i)}(X) + \gamma_i \cdot f_i(X)$ , where  $f_E^{(i)}(X), f_O^{(i)}(X)$  satisfy that:

$$f^{(i-1)}(X) = f_E^{(i)}(X^2) + X \cdot f_O^{(i)}(X^2)$$

- e. Let  $\vec{v}^{(i)} = f^{(i)}|_{L_i}$ . If  $i = \mu$ ,  $\mathscr{P}$  sends a scalar  $f^{(\mu)} \in \mathbb{F}$  to  $\mathscr{V}$ . Otherwise,  $\mathscr{P}$  sends MT.Commit $(\vec{v}^{(i)}) \rightarrow rt_i$ .
- 3:  $\mathcal{V}$  checks  $g_{\vec{z}_{[2:]}}(z_1) = y_1, g_{\vec{\alpha}_{[2:]}}(\alpha) = c_1, g(r_{\mu}) = f^{(\mu)}$ . For each round *i*, where  $i \in [\mu]$ ,
  - a. For each  $\vec{w} \in A_{i-1}$ , if  $i < \mu$ ,  $\mathcal{V}$  checks  $g_{\vec{w}}(r_i) + \gamma_i \cdot c_{\vec{w}_{[2:]}} = g_{\vec{w}_{[2:]}}(w_1)$ ; otherwise,  $\mathcal{V}$  checks  $g_{\vec{w}}(r_i) = g(w_1)$ .
- 4: Repeat the following query process *s* times:
  - a.  $\mathcal{V}$  sends  $\beta_0 \stackrel{\$}{\leftarrow} L_0$  to  $\mathcal{P}$ . For  $i \in [\mu]$ , define  $\beta_i := \beta_{i-1}^2$ .
  - b. For  $i \in [\mu]$ ,  $\mathcal{P}$  opens  $f^{(i-1)}(\pm \beta_{i-1})$  and  $f_i(\beta_i)$  using MT.Open.
  - c.  $\mathcal{V}$  checks the results using MT.Verify.

d. For  $i \in [\mu]$ ,  $\mathcal{V}$  checks the following triple are on a common line.

$$\left( \begin{array}{c} \left( \beta_{i}, f^{(i)}(\beta_{i}) \right), \left( -\beta_{i}, f^{(i)}(-\beta_{i}) \right) \\ r_{i+1}, f^{(j+1)}(\beta_{j+1}) - \gamma_{i+1} \cdot f_{j+1}(\beta_{j+1}) \end{array} \right)$$

5: If all above checks pass,  $\mathcal{V}$  outputs 1; 0 otherwise.

**Complexity analysis.** It is easy to observe that the batch evaluation protocol also has linear prover time and poly-logarithmic verifier time and proof size. It outperforms the naive approach of evaluating each polynomial separately, which results in a proof size of  $\sum_{i=1}^{\mu} O(i^2) = O(\log^3 n)$ .

**Soundness.** The soundness error of DeepFold.BatchEval is  $\varepsilon = \text{poly}(|L_0|)/|\mathbb{F}| + (1 - \Delta)^s$ , derived from the following:

**Theorem 2.** If the verifier outputs 1 with probability greater than  $poly(|L_0|)/|\mathbb{F}| + (1-\Delta)^s$ , there exist multilinear polynomials  $\{\tilde{p}_i(X_{i+1}, \dots, X_\mu)\}_{i=0}^{\mu-1}$  such that  $\tilde{p}_i(\vec{z}_{[i+1:\mu]}) = y_i$ ,  $\tilde{p}_i(\vec{\alpha}_{[i+1:\mu]}) = c_i$  and  $Ham(f^{(i)}|_{L_i}, p_i|_{L_i}) < \Delta$  for  $i \in [0, \mu - 1]$ . Let  $\tilde{p}^{(0)} = \tilde{p}_0$ , and there exist polynomials  $\tilde{p}^{(i)}$  such that

$$\tilde{p}^{(i)}(X_{[i+1:\mu]}) = \tilde{p}^{(i-1)}(r_i, X_{[i+1:\mu]}) + \gamma_i \cdot \tilde{p}_i(X_{[i+1:\mu]}) \quad (4)$$

for  $i \in [\mu]$ , where  $\text{Ham}(f^{(i)}|_{L_i}, p^{(i)}|_{L_i}) < \Delta \text{ for } i \in [0, \mu]$ .

We refer to the derivation of  $p^{(i)}$  from  $p^{(i-1)}$  and  $p_i$  in Equation 4 as the **folding-batch** of  $p^{(i-1)}$  and  $p_i$ .

*Proof.* The proof is provided in Appendix D. The key to address the problem posed by the list decoding radius is Lemma 7, which shows that an additional evaluation can uniquely determine the polynomial within the list decoding radius.  $\Box$ 

**Batch evaluation on different points.** Our technique can be extended to batch evaluation on different points, namely,  $y_i = \tilde{f}_i(\vec{z}_i)$ , where  $\{\vec{z}_i\}$  are unrelated to each other. The idea is to first run a batched sumcheck [31] protocol to reduce each evaluation to  $\tilde{f}_i(\vec{r}_{[i+1:]})$ , where  $\vec{r}$  is the shared random challenge from  $\mathcal{V}$ . We can now apply the above protocol directly to  $\{\tilde{f}_i(\vec{r}_{[i+1:]})\}$ . We provide the batch sumcheck protocol and the related ideas in Appendix E.

# 5.2 Achieving Zero-knowledge for DeepFold

With the batch evaluation technique at hand, we delve into the zero-knowledge property of DeepFold. Zero-knowledge requires that the PCS does not expose any information about the underlying  $\tilde{f}$ . However, the current DeepFold protocol does expose certain information to  $\mathcal{V}$  during specific stages: (i)  $\mathcal{V}$  can inquire about  $f^{(i)}$  at some point in each round, and (ii)  $\mathcal{V}$  has access to  $f^{(i)}|_{L_i}$  at certain locations during the query phase. A traditional approach is to mask f with some random polynomials [42]. However, this does not help conceal certain parts of the commitment, such as the evaluation  $c := \tilde{f}^{(0)}(\alpha)$ and the leaves of the Merkle trees. To mitigate this,  $\mathcal{P}$  can employ a technique by augmenting the  $\mu$ -variate multilinear polynomial  $\tilde{f}$  into a  $\mu$  + 1-variate polynomial with  $2^{\mu}$  additional random coefficients, denoted as  $\tilde{f}_{ext}$ , such that  $\tilde{f}_{ext}(\vec{z}||0) = \tilde{f}(\vec{z})$ . Then, for each  $x \in \mathbb{F} \setminus \{0\}$ ,  $f_{ext}(x)$  would be a random value, masked by the random coefficients, where  $f_{ext}$  is the twin polynomial of  $\tilde{f}_{ext}$ . Nonetheless, this augmentation is still not enough. Intuitively, during the evaluation,  $\mathcal{P}$  will send  $\tilde{f}_{ext}(r_1, \cdots, r_{\mu}, X)$  in the last round. By replacing X with zero,  $\mathcal{V}$  can obtain the evaluation of  $\tilde{f}(r_1, \cdots, r_{\mu})$ , which essentially leaks some information. In fact, there is also leakage in the last few rounds of the evaluation, as  $\mathcal{V}$  can open s points on  $f_{ext}^{(i)}|_{L_i}$ , which is sufficient for  $\mathcal{V}$  to interpolate the entire polynomial.  $\mathcal{V}$  can immediately infer some information about  $\tilde{f}$  from the first half of  $f_{ext}^{(i)}$ 's coefficients, as they are not masked by randomness.

To address this, we propose that  $\mathcal{P}$  use the batching technique from Section 5.1 to open  $\tilde{f}_{ext}$  together with another random polynomial, denoted by  $\tilde{g}$ . Since the leakage occurs only in the last few rounds,  $\tilde{g}$  can be much smaller than  $\tilde{f}_{ext}$ , hiding  $\tilde{f}_{ext}$  in the last  $\ell$  rounds. Thus, this modification does not significantly affect efficiency. We summarize our construction by making a slight modification to DeepFold and obtain zkDeepFold, which is formalized as follows:

- Commit(pp,  $\tilde{f}$ )  $\rightarrow$  ( $\mathcal{C}$ ,  $\mathcal{D}$ ): Let  $\vec{f}$  denote the coefficients of  $\tilde{f}$ .  $\mathcal{P}$  samples  $\vec{r} \stackrel{\$}{\leftarrow} \mathbb{F}^{2^{\mu}}$ . Let  $\tilde{f}_{ext}$  denote a ( $\mu$  + 1)variable multilinear polynomial whose coefficient vector is  $\vec{f}_{ext}$ , where  $\vec{f}_{ext} = \vec{f} || \vec{r}$ . Let  $\tilde{g}$  denote a random  $\ell$ -variable multilinear polynomial, such that  $2^{\ell} > s \cdot \mu^2$ .  $\mathcal{P}$  invokes DeepFold.Commit to commit to  $\tilde{f}_{ext}$  and  $\tilde{g}$ .
- Eval(pp, C, z, y, µ, f, D) → b: P, V run the BatchEval protocol for y = f<sub>ext</sub>(z||0) and g(z<sub>µ-ℓ+2:</sub>||0).

**Theorem 3.** zkDeepFold *is a zero-knowledge polynomial commitment scheme.* 

*Proof.* The definition of zero-knowledge and the construction of the simulator are presented in Appendix F.  $\Box$ 

# 6 Applications to Zero-knowledge Proofs

In this section, we discuss several applications of our novel multilinear polynomial commitment scheme. Our techniques can be effectively combined with these practical applications, resulting in significant improvements in actual efficiency.

#### 6.1 zk-SNARK Systems

Modern zk-SNARKs [18, 42] often adopt a recipe by combining a multilinear PCS with a PIOP, such as Libra [42] and HyperPlonk [18], to obtain a prover-efficient proof system. For example, in Libra [42], the prover  $\mathcal{P}$  encodes the witness  $\vec{f}$  in the input layer of a layered arithmetic circuit as a multilinear polynomial  $\tilde{f}$ .  $\mathcal{P}$  then commits to  $\tilde{f}$  using a multilinear PCS and later evaluates  $\tilde{f}$  at a random point  $\vec{u}$ . In the Hyper-Plonk PIOP [18], the prover must commit to the entire witness in the arithmetic circuit and later evaluate it at different random points. Originally, the multilinear PCS was instantiated by schemes like mKZG [32] and Virgo [48], which either suffer from a trusted setup or evaluation inefficiency.

DeepFold serve as a substitution for instantiating the multilinear PCS. Due to its transparency, concise proof size, and prover efficiency, combining the PIOPs with DeepFold can immediately optimize the current proof systems of Libra and HyperPlonk. We provide an experimental benchmark of this instantiation against other PCS in Section 7.2.

#### 6.2 Verifiable Matrix Multiplications

In [40], Thaler proposes a method to prove the correctness of an  $n \times n$  matrix multiplication with only  $O(n^2)$  complexity, which is even lower than the computation of the multiplication itself. Let  $A \in \mathbb{F}^{m \times n}$ ,  $B \in \mathbb{F}^{n \times p}$ , and  $C \in \mathbb{F}^{m \times p}$ . To prove that  $A \times B = C$ , the prover  $\mathcal{P}$  first encodes the matrices into multilinear polynomials  $\tilde{A}(\vec{x}, \vec{y})$ ,  $\tilde{B}(\vec{y}, \vec{z})$ , and  $\tilde{C}(\vec{x}, \vec{z})$ , where  $2^{|\vec{x}|} = m$ ,  $2^{|\vec{y}|} = n$ , and  $2^{|\vec{z}|} = p$ . Given random challenges  $r_1 \in \mathbb{F}^{|\vec{x}|}$  and  $r_2 \in \mathbb{F}^{|\vec{z}|}$  selected by  $\mathcal{V}, \mathcal{P}$  only needs to invoke a sumcheck argument to prove that  $\sum_{\vec{y} \in \{0,1\}^{\log n}} \tilde{A}(r_1, \vec{y}) \cdot \tilde{B}(\vec{y}, r_2) = \tilde{C}(r_1, r_2)$ . During the argument, the prover needs to evaluate the polynomials  $\tilde{A}$  and  $\tilde{B}$  at some challenge points. To make the argument succinct, one can combine this argument with a multilinear PCS, which can be effectively instantiated by DeepFold.

One problem is that, in practice, the dimensions of the involved matrices are not always powers of 2. For example, in machine learning applications like GPT-2 [33], there is a need multiplying an attention matrix of size 768 × 2304. This task of arbitrary size matrix multiplication is important for zkML [39]. Naively, one could pad the matrix to the nearest size where the dimensions are all powers of 2, i.e.,  $1024 \times 4096$ . However, this would result in more than 60% of the matrix being zero, leading to a significant waste when committing to and evaluating it with DeepFold. Our batching technique from Section 5 can be applied effectively: we can commit to 4 smaller matrices with dimensions  $512 \times 2048$ ,  $256 \times 2048$ ,  $512 \times 256$ , and  $256 \times 256$ , respectively, defined as  $\tilde{A}_1, \tilde{A}_2, \tilde{A}_3$ , and  $\tilde{A}_4$ . When evaluating  $\tilde{A}(\vec{x}, \vec{z})$ ,  $\mathcal{P}$  can alternatively batch evaluate

$$y_1 = \tilde{A}_1(\vec{x}[1..9], \vec{z}[1..11]), \quad y_2 = \tilde{A}_2(\vec{x}[1..8], \vec{z}[1..11])$$
  
$$y_3 = \tilde{A}_3(\vec{x}[1..9], \vec{z}[1..8]), \quad y_4 = \tilde{A}_4(\vec{x}[1..8], \vec{z}[1..8])$$

and then let  $\mathcal{V}$  compute  $\tilde{A}(\vec{x}, \vec{y})$  from  $y_1, y_2, y_3$ , and  $y_4$ . In Section 7.3, our experiments show that this technique significantly reduces both the proving cost and the proof size.

#### 7 Experimental Evaluation

We implemented DeepFold in Rust with approximately 1,500 lines of code, and the two applications, zk-SNARKs and verifiable matrix multiplication, with 4,000 lines.



Figure 3: Performance comparison for multilinear PCS.

**Experiment setup.** All experiments were conducted on an Intel(R) Xeon(R) Platinum 8460Y+ with a speed of 2 GHz. The server had 190GB of RAM and operated with Ubuntu 22.04 LTS. All reported figures are the averages from 10 executions. No parallelization was employed in our experiments.

### 7.1 Performance for DeepFold

To demonstrate the efficiency of DeepFold, we first compared the concrete performance of DeepFold against other multilinear PCS, namely mKZG [32], Hyrax [41], BaseFold [45], Virgo [48], PolyFRIM [49] and Orion [44]. The security parameter for all schemes is set to  $\lambda = 100$ -bits. The number of variables  $\mu$  in the multilinear polynomials ranges from 12 to 22. For RS-based schemes (Virgo, PolyFRIM, BaseFold and DeepFold), we use the field  $\mathbb{F}_{p^2}$ , where  $p = 2^{61} - 1$ , with a multiplicative coset of size up to  $2^{60}$ . The hash function employed is blake3. mKZG and Hyrax operate on elliptic curves, using a 256-bits prime field  $\mathbb{F}_p$ . For RS code-based schemes, we set the code rate to  $\rho = \frac{1}{8}$ , as in the default setting of Plonky2 [2]. We also list a more efficient version of DeepFold by setting the code rate to  $\rho = \frac{1}{2}$ . The results are presented in Figure 3, including prover time (for both commitment and evaluation), verifier time, and proof size.

We observe that when  $\mu = 22$  and  $\Delta = 1 - \rho - \varepsilon$ , the prover time for DeepFold is only 16 seconds, which is  $3 \times$  faster than Virgo and Hyrax, and  $5 \times$  faster than mKZG. The proof size of DeepFold is 208 KB, while the proof size of BaseFold is 619 KB. This optimization is a result of the reduced query complexity in DeepFold (s = 34) compared to BaseFold (s = 120). Besides this, we note that even when setting  $\Delta = 1 - \sqrt{\rho} - \varepsilon$ without relying on Conjecture 1, the proof size of DeepFold is still 372 KB, about  $1.7 \times$  smaller than BaseFold. Compared with PolyFRIM, DeepFold achieves  $2 \times$  better prover time, verifier time, and proof size. This improvement is because PolyFRIM essentially runs FRI twice.

We also observe that when setting the code rate to  $\rho = \frac{1}{2}$ , the prover time of DeepFold is only 3 second, almost equivalent to Orion, but the proof size is  $7.5 \times$  smaller. This result

is a bit surprising, as DeepFold requires  $O(n \log n)$  time complexity for the commitment algorithm, while Orion is known for its linear prover time. However, we note that the concrete prover of DeepFold is still better than Orion.

#### 7.2 Performance for zk-SNARKs

In this section, we present the concrete efficiency of using DeepFold to construct zk-SNARKs. We replace the PCS in open-source implementation [1] of Virgo [48] to benchmark Libra + DeepFold. We also implement the HyperPlonk PIOP and compile it with DeepFold to compare against mKZG [32] and BaseFold [45].

We evaluate zk-SNARKs using a Merkle tree circuit with the following setup:  $\mathcal{P}$  convinces  $\mathcal{V}$  that it knows the leaves of a Merkle tree that are consistent with a public Merkle root, where the hash function applied is SHA-256. The circuit consists of 2M - 1 SHA-256 hashes for a Merkle tree with Mleaves. In our report, the number of leaves ranges from 2 to 256. The circuit size of each SHA-256 circuit is roughly  $2^{18}$ gates, and the total size of the largest Merkle tree (M = 256) is around  $2^{26}$  gates. Figure 4 illustrates the performance.

When proving a Merkle tree with 256 leaves, Libra + DeepFold takes only 33 seconds, which is  $2.5 \times$  faster than Virgo, while the verifier time is 10 ms, and the proof size is 235 KB, slightly better than Virgo. For HyperPlonk, it takes 826 seconds to prove a Merkle tree with 256 leaves when compiled with DeepFold, compared to 2967 seconds with mKZG, showcasing a  $3.6 \times$  optimization. In the same setting, the proof size with DeepFold is about 310 KB, while BaseFold requires 1050 KB, demonstrating a  $3.3 \times$  optimization. These observations confirm that our new multilinear PCS significantly enhances zk-SNARK performance compared to existing schemes.

# 7.3 Performance for Verifiable MatMult

We use the case of verifiable matrix multiplications to demonstrate the advantage of our batching technique. The bench-



Figure 4: Performance of zk-SNARKs, when proving the knowledge of a Merkle tree



Figure 5: Performance of verifiable matrix multiplications

mark is run on the multiplication between a matrix of size  $n \times 768$  and another of size  $768 \times 2304$ . This is the same setting as the GPT-2 attention matrix. The size *n* varies from 150 to 1200, representing the length of input token vectors. We compared the following three approaches for verifiable matrix multiplication with DeepFold:

- Naive padding: In this approach,  $\mathcal{P}$  pads the rows and columns of the matrices to the nearest power of two with zeros. For example, for a matrix of size  $150 \times 768$ ,  $\mathcal{P}$  adds 256 zero columns and 106 zero rows, transforming it into a  $256 \times 1024$  matrix. Similarly, for the second matrix,  $\mathcal{P}$  performs the same padding operation. Consequently,  $\mathcal{P}$  needs to prove the validity of matrix multiplication for matrices of sizes  $256 \times 1024$  and  $1024 \times 4096$ , which requires multiplicar PCSs with sizes  $\log_2(256) + \log_2(1024) = 18$  and  $\log_2(1024) + \log_2(4096) = 22$ , respectively.
- Naive opening: P splits each matrix into at most four submatrices. For example, for a matrix of size 150 × 768, P splits it into 128 × 512, 128 × 256, 22 × 512, and 22 × 256, and adds 10 zero rows to the last two sub-matrices, converting them into 32 × 512 and 32 × 256, respectively. In this case, P requires multilinear PCSs of size 16, 15, 14 and 13. When opening, P needs to generate proofs for these multilinear polynomials separately.
- DeepFold **batching**:  $\mathcal{P}$  splits each matrix into at most four sub-matrices as in the naive opening. The only difference is when opening those multilinear polynomials, we use batch evaluation (Section 5) on polynomials of different sizes.

Figure 5 demonstrates that the DeepFold batching technique outperforms other methods in all aspects. Specifically, the batching technique achieves approximately  $2.4 \times$  better prover time compared to naive-padding, and around  $3 \times$  better verifier time and proof size compared to naive-opening. This indicates that the DeepFold batching technique takes advantage of both the faster prover time of naive-opening and the smaller proof size of naive-padding.

# 8 Conclusion

This paper presents DeepFold, an efficient and concise multilinear polynomial commitment constructed from RS codes. DeepFold is transparent and relies solely on lightweight hash functions. The core idea is to generalize [45] to the list decoding radius setting. The main challenge is that the correlated agreement principle does not hold in this scenario. To address this problem, we incorporate an idea from [10] by adding an extra evaluation in each round and inventing a new method to validate the correctness of these evaluations.

**Future works.** One future direction is integrating DeepFold with industrial projects like Binius [19] and Jolt zkVM [38]. Since experiments demonstrate concrete efficiency improvements for DeepFold compared to existing multilinear PCS, we anticipate these benefits will help with real-world applications. Recently, STIR [4] proposed a method to further reduce the query repetition number in RS-IOPP. Incorporating this approach could potentially enhance the efficiency of DeepFold, making it another promising avenue for future work.

# **Discussion: Research Ethics**

Our research focuses on enhancing the prover time and proof size of existing polynomial commitment schemes. Our method enables the prover to convincingly demonstrate the evaluation of a committed multilinear polynomial at a specific point. We strictly adhere to ethical guidelines, avoiding deceptive practices, unauthorized disclosures, live system experiments, or any actions that could compromise the well-being of our team. Consequently, our work aims to reduce the proof size of previous state-of-the-art schemes while preserving their efficient prover time.

## **Discussion: Open Science Policy**

Our study adheres to open science principles and fully supports artifact evaluation by guaranteeing the availability, functionality, and reproducibility of our work. Currently, we are reorganizing our code to make it more accessible for artifact evaluators. We are committed to submitting our work for artifact evaluation.

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#### **A** Definition of Polynomial Commitment

The PCS satisfies *completeness* if for any multilinear polynomial  $\tilde{f}_i \in \mathbb{F}[X_1, \dots, X_{\mu_i}]$  and any point  $\vec{z}_i \in \mathbb{F}^{\mu_i}$ , the following probability is 1:

$$\Pr \begin{bmatrix} \mathsf{pp} \leftarrow \mathsf{Setup}(1^{\lambda}, \mu) \\ (\mathcal{C}, \mathcal{D}) \leftarrow \mathsf{Commit}(\mathsf{pp}, \tilde{f}) \end{bmatrix} : \mathsf{Eval}(\mathsf{pp}, \mathcal{C}, \vec{z}, \tilde{f}(\vec{z}); \tilde{f}, \mathcal{D}) = 1 \end{bmatrix}$$

It is *binding* if for any  $\mu \in \mathbb{N}$  and PPT adversary  $\mathcal{A}$ ,

$$\Pr \begin{bmatrix} b_0 = b_1 = 1 \land \\ \tilde{f}_0 \neq \tilde{f}_1 \\ b_0 \leftarrow \mathsf{OpenPoly}(\mathsf{pp}, \mathcal{C}, \tilde{f}_0, \mathcal{D}_1) \\ b_1 \leftarrow \mathsf{OpenPoly}(\mathsf{pp}, \mathcal{C}, \tilde{f}_1, \mathcal{D}_1) \end{bmatrix} \leq \mathsf{negl}(\lambda)$$

The scheme satisfies (*knowledge*) soundness if Eval is an argument (of knowledge) for the relation  $\mathcal{R}_{Eval,pp}$  defined as follows:

$$\left\{ (x = \{\mathcal{C}, \vec{z}, y\}); w = \{\tilde{f}, \mathcal{D}\} : \frac{\tilde{f}(\vec{z}) = y}{\mathsf{OpenPoly}(\mathsf{pp}, \mathcal{C}, \tilde{f}, \mathcal{D}) = 1} \right\}$$

# **B** FRI Protocol

We provide the full protocol of FRI in Protocol 1.

### C Security Proofs for DeepFold

**Completeness.** The completeness follows directly from the construction of DeepFold.

**Binding.** The binding property of DeepFold comes from the following theorem.

**Theorem 4.** For any committed vector  $\vec{v}$  of length n and a random point  $\alpha \in \mathbb{F}$ , the probability that there exist two different polynomials  $p_1$  and  $p_2$  with bounded degree, such that  $\operatorname{Ham}(p_1|_{L_0}, \vec{v}), \operatorname{Ham}(p_2|_{L_0}, \vec{v}) < 1 - \rho - \varepsilon$  and  $p_1(\alpha) = p_2(\alpha)$ , is negligible.

*Proof.* According to Conjecture 1, define  $\mathcal{L} = \text{List}(\vec{v}, 1 - \rho - \varepsilon)$ , where  $|\mathcal{L}| \leq \text{poly}(n)$ . Thus, the probability that there exist such polynomials  $p_1, p_2 \in \mathcal{L}$  is less than  $n \cdot {\binom{|\mathcal{L}|}{2}}/|\mathbb{F}|$ . The numerator represents the union bound of equal evaluations for all pairs of polynomials in  $\mathcal{L}$ .

Soundness. The soundness relies on the following theorem.

**Protocol 1** The FRI protocol for  $f^{(0)}|_{L_0} \in \mathsf{RS}[\mathbb{F}, L_0, \rho]$ 

**Inputs:**  $(\mathbb{F}, L_0, \rho, f^{(0)})$ .  $\mathcal{P}$  holds a univariate polynomial  $f^{(0)}(X)$  with degree less than  $\rho|L_0|$ . We assume  $\rho|L_0| = 2^{\mu}$  for a positive integer  $\mu$ .

1: Let  $\vec{v} = f^{(0)}|_{L_0}$ ,  $\mathcal{P}$  sends  $\mathsf{MT.Commit}(\vec{v}) \to rt_0$  to  $\mathcal{V}$ .

2: **for** i = 1 to  $\mu$  **do** 

3: 
$$\mathscr{P}$$
 decomposes  $f^{(i-1)}(X) = f_E^{(i)}(X^2) + X \cdot f_O^{(i)}(X^2)$ .  
4:  $\mathscr{V}$  sends  $r_i \stackrel{\$}{\leftarrow} \mathbb{F}$  to  $\mathscr{P}$ .

5:  $\mathcal{P}$  computes  $f^{(i)}(X) = f^{(i)}_E(X) + r_i \cdot f^{(i)}_O(X)$ . Let  $\vec{v}^{(i)} = f^{(i)}_{L_i}$ . If  $i = \mu, \mathcal{P}$  sends a scalar  $f^{(\mu)} \in \mathbb{F}$  to  $\mathcal{V}$ . Otherwise,  $\mathcal{P}$  sends MT. Commit $(\vec{v}^{(i)}) \to rt_i$ .

6: end for

7: for i = 1 to  $s = O(\lambda)$  do

- 8:  $\mathcal{V}$  sends  $\beta_0 \stackrel{\$}{\leftarrow} L_0$  to  $\mathcal{P}$ . For  $j \in [\mu]$ , define  $\beta_j = \beta_{j-1}^2$ .
- 9: For  $j \in \mu$ ],  $\mathcal{P}$  opens  $f^{(j-1)}(\pm \beta_{j-1})$  using MT.Open.
- 10:  $\mathcal{V}$  checks the correctness using MT.Verify.
- 11: For  $j \in [\mu]$ ,  $\mathcal{V}$  checks the following triple are on a common line.

$$\left(\beta_{j-1}, f^{(j-1)}(\beta_{j-1})\right), \left(-\beta_{j-1}, f^{(j-1)}(-\beta_{j-1})\right), \left(r_j, f^{(j)}(\beta_j)\right)$$

12: end for

13: If all above checks pass,  $\mathcal{V}$  outputs 1; 0 otherwise.

**Theorem 5.** If the verifier outputs 1 with probability greater than  $poly(|L_0|)/|\mathbb{F}| + (1-\Delta)^s$ , there exists a  $\mu$ -variate multilinear polynomial p such that  $\tilde{p}(\vec{x}) = y$ ,  $p(\alpha) = c$ , and  $\text{Ham}(f^{(i)}|_{L_i}, p^{(i)}|_{L_i}) < \Delta$  for  $i \in [\mu]$ , where  $p^{(i)}(\vec{X}_{[i+1:\mu]}) :=$  $\tilde{p}(\vec{r}_{[1:i]}, \vec{X}_{[i+1:\mu]})$ .

*Proof.* This theorem is a special case of Theorem 2, which is deferred to the next section.  $\Box$ 

The theorem indicates that in each round, the committed vector approximates the honest folding of a unique polynomial. When i = 0, this implies the soundness of DeepFold, as presented in the following theorem:

**Theorem 6.** DeepFold.Eval is an argument of knowledge for relation  $\mathcal{R}_{\mathsf{Eval},pp}$ , where the soundness error  $\varepsilon = \mathsf{poly}(|L_0|)/|\mathbb{F}| + (1-\Delta)^s$ .

### **D Proof of Theorem 2**

First of all, we clarify some notions that have different meaning with previous section, because of the case of malicious prover. The committed vector in the *i*-th round could be represented by mapping  $f^{(i)} : L_i \to \mathbb{F}$ . Similarly,  $f_i : L_i \to \mathbb{F}$  denotes the vector representing the commitment of polynomial  $\tilde{f}_i. f_E^{(i+1)}, f_O^{(i+1)}: L_{i+1} \to \mathbb{F}$  is defined as

$$f_E^{(i+1)}(x^2) = \frac{f^{(i)}(x) + f^{(i)}(-x)}{2}$$
$$f_O^{(i+1)}(x^2) = \frac{f^{(i)}(x) - f^{(i)}(-x)}{2x}$$

for each  $x \in L_i$ . To demonstrate round-by-round soundness, we need to consider malicious case such that  $f^{(i)}$  isn't equal to  $f_E^{(i)} + r_i f_O^{(i)} + \gamma_i f_i$ .

We prove the theorem via mathematical induction. When  $\mu = 1$ , this theorem holds straightforwardly. If the theorem holds for  $\mu = t$ , we will prove it also holds for  $\mu = t + 1$ .

Since the protocol from the second round to the last can be viewed as a *t*-variate polynomial batch evaluation, so  $f^{(1)}$ must approximate some  $g^{(1)}|_{L_1}$  of degree  $2^t$ , and for each  $i \in [2,\mu]$ ,  $f_i$  must approximate some  $g_i|_{L_i}$  polynomial of degree  $2^{\mu-i}$  satisfying specific evaluations based on induction assumption. For each  $i \in [1, \mu - 1]$ ,  $g^{(i+1)}$  is defined as the folding-batch of  $g^{(i)}$  and  $g_{i+1}$ . Then  $f^{(i)}$  is close to  $g^{(i)}|_{L_i}$ .

Before further proof, we first define following notions.

- $RS'_i = \{p(X) \in \mathsf{RS}[L_i, \rho] \land \forall \vec{w} \in A_i, \tilde{p}(\vec{v}) \text{ equals to the specific value}\}.$  From induction assumption, for each  $i \in [\mu], g^{(i)} \in RS'_i.$
- $I_i = \{x \in L_i | f^{(i)}(x) = g^{(i)}(x)\}$  denotes the vanishing set of  $f^{(i)} g^{(i)}|_{L_i}$ .
- $\{ker_i\}_{i\in[0,\mu]}$  is a family of mappings defined recursively. Let  $ker_0(S) = S$  for  $S \subset L_0$ , and  $ker_i(S) = ker_{i-1}(\{x \in L_{i-1} | x^2 \in S\})$  for  $S \subset L_{i-1}$ . Intuitively speaking,  $ker_i(S)$  can be viewed as the "preimages" of *S* in  $L_0$  under the *i*-iteration of square function.
- $\{err_i\}_{i\in[0,\mu]}$  is a family of sets defined recursively. Let  $err_0 = \phi$  and  $err_i = err_{i-1} \cup ker_i(\{x \in L_i | f_E^{(i)}(x) + r_i \cdot f_O^{(i)}(x) + \gamma_i \cdot f_i(x) \neq f^{(i)}(x)\})$ . Intuitively speaking, the latter component of  $err_i$  can be viewed as the "preimages" of points which expose "evaluation errors", and therefore,  $err_i$  is the set of all points exposing "evaluation errors" until the *i*-th round.

• 
$$\mathcal{E}_i: S \mapsto \frac{|\ker_i(S) \cap err_i|}{|L_0|} + \left(1 - \frac{|S|}{|L_i|}\right)$$
 for  $S \subseteq L_i$ .

Intuitively speaking, the former component of  $\mathcal{E}_i(I_i)$  can be viewed as the ratio of current "erronous" points, while the latter one is the ratio of the potential "erronous" points. Therefore, this mapping denotes a total ratio of the number of "erronous" points. In the last round, all errors are exposed, so  $\mathcal{E}_{\mu}(I_{\mu})$  means the proportion in  $L_0$  that  $\mathcal{V}$  can find an error. We will next prove if induction assumption doesn't hold, it has  $\mathcal{E}_{\mu}(I_{\mu}) \geq 1 - \rho - \varepsilon$  with overwhelming probability.

We first prove following useful lemmas:

**Lemma 4.** For any  $S_1 \subseteq S_2 \subseteq L_i$ ,  $\mathcal{E}_i(S_1) \geq \mathcal{E}_i(S_2)$ .

Proof. By definition,

$$\begin{split} & \mathcal{E}_i(S_1) - \mathcal{E}_i(S_2) \\ &= \frac{(|S_2| - |S_1|)}{|L_i|} - \frac{(|\ker_i(S_2) \cap err_i| - |\ker_i(S_1) \cap err_i|)}{|L_0|} \\ &= |S_2 \setminus S_1| / |L_i| - |(\ker_i(S_2) \setminus \ker_i(S_1)) \cap err_i| / |\ker_i(L_i)| \\ &= \frac{|\ker_i(S_2 \setminus S_1)|}{|\ker(L_i)|} - \frac{|\ker_i(S_2 \setminus S_1) \cap err_i|}{|\ker_i(L_i)|} \ge 0 \end{split}$$

**Lemma 5.** For every  $i \in [\mu]$ ,  $\theta \in [0, 1]$ , if  $Ham(f_E^{(i)} + r_i \cdot f_O^{(i)} +$  $\gamma_i \cdot f_i, g^{(i)}|_{L_i} \ge \theta$ , then for each  $f^{(i)}$ , there has  $\mathcal{E}_i(I_i) \ge \theta$ .

*Proof.* Let  $f \leftarrow f_E^{(i)} + r_i \cdot f_O^{(i)} + \gamma_i \cdot f_i$ . Define set  $B = I_i \cup \{x \in I_i \cup \{x \in I_i\}\}$  $L_i | f(x) \neq f^{(i)}(x) \}$ . Define polynomial  $f' : L_i \to \mathbb{F}$  such that  $f'(x) = g^{(i)}(x)$  when  $x \in B$  and f'(x) = f(x) when  $x \notin B$ .

For all  $x \in B$ , if  $f(x) \neq f'(x)$ , there has  $f(x) \neq g^{(i)}(x)$ . Besides, for all  $x \notin B$ ,  $f(x) = g^{(i)}(x)$ . Thus, the number of inconsistency points in B is not less than the Hamming distance between  $f|_{L_i}$  and  $f'|_{L_i}$ , i.e.,  $|\ker_i(B) \cap err_i|/|L_0| \ge$  $\Delta(f|_{L_i}, f'|_{L_i}).$ 

Also, as  $f'(x) = g^{(i)}(x)$  holds for all  $x \in B$ , there has 1 - $|B|/|L_i| \geq \operatorname{Ham}(f'|_{L_i}, g^{(i)}|_{L_i})$ . Thus,  $\mathcal{E}_i(B) \geq \operatorname{Ham}(f, f') +$  $\operatorname{Ham}(f',g^{(i)}|_{L_i}) \geq \operatorname{Ham}(f,g^{(i)}|_{L_i}) \geq \theta$ . As  $I_i \subseteq B, \mathcal{E}_i(I_i) \geq$  $\mathcal{E}_i(B) \geq \theta$ . 

Next, we will prove the following two statements: 1). The induction assumption holds for  $\mu = t + 1$  or  $\mathcal{E}_1(I_1) \ge 1 - \varepsilon - \rho$ and 2). For each  $i \in [\mu - 1]$ , with overwhelming probability  $\mathcal{E}_{i+1}(I_{i+1}) \geq \mathcal{E}_i(I_i).$ 

 $g^{(0)}$ . **Lemma 6.** If there exist no polynomials satisfying the claimed evaluations and  $\operatorname{Ham}(g^{(0)}|_{L_0}, f^{(0)}), \operatorname{Ham}(g_1|_{L_1}, f_1) < 1 - \rho - \varepsilon$ and  $g^{(1)}$  equals the folding batch of  $g^{(0)}$  and  $g_1$ , there has  $\mathcal{E}_1(I_1) \geq 1 - \rho - \varepsilon$  with overwhelming probability.

Now we discuss the two cases in the first round. Case 1: For random r,  $\alpha$ , if  $f_E^{(1)} + r_1 \cdot f_O^{(1)} + \gamma_1 \cdot f_1$  approximates some polynomial in  $RS'_1$  with negligible probability, there has  $\mathcal{E}_1(I_1) \ge 1 - \rho - \varepsilon$  with overwhelming probability from lemma 5, given that  $g^{(1)} \in RS'_1$ .

Case 2: If  $f_E^{(1)} + r_1 \cdot f_O^{(1)} + \gamma_1 \cdot f_1$  approximates some polynomial in  $RS'_1$  with non-negligible probability, from the DEEP theorem,  $f_E^{(1)}, f_O^{(1)}, f_1$  should approximate  $p_E^{(1)}, p_O^{(1)}, p_1$  satisfying claimed evaluations on  $\alpha_1^2$  and  $\vec{z}_{[2:]}$  respectively. The following lemma shows that  $f_E^{(1)} + r_1 \cdot f_O^{(1)} + \gamma_1 \cdot f_1$  cannot approximate any polynomial in  $RS'_1$  other than  $p_E^{(1)}$  +  $r_1 \cdot p_O^{(1)} + \gamma_1 \cdot p_1$  with non-negligible probability. Thus, if  $g^{(1)} \neq p_E^{(1)} + r_1 \cdot p_O^{(1)} + \gamma_1 \cdot p_1$ , there has  $E_1(I_1) \ge 1 - \rho - \epsilon$ .

**Lemma 7.** Let  $f = f_E^{(1)} + r_1 \cdot f_O^{(1)} + \gamma_1 \cdot f_1$ . For a ran-dom  $\alpha \in \mathbb{F}$ , if there are  $p, p' \in \mathsf{RS}[L_1, \rho]$  such that  $\max(\operatorname{Ham}(p, f), \operatorname{Ham}(p', f)) < 1 - \rho - \varepsilon$ , and that both  $p(\alpha^2), p'(\alpha^2)$  equal to the combination of claimed  $f(\alpha^2)$ , there holds p = p'.

*Proof.* Since  $\max(\operatorname{Ham}(p, f), \operatorname{Ham}(p', f)) < 1 - \rho - \varepsilon$ , we have  $p, p' \in \text{List}(f, 1 - \rho - \varepsilon)$ , and  $p(\alpha_1^2) = p'(\alpha_1^2)$ . Because of the randomness of  $\alpha_1$ , according to Theorem 4, the probability that  $p \neq p'$  is negligible.

The statement 1 follows from the analysis of the two cases. We now prove statement 2.

Define  $g_E^{(i)}, g_O^{(i)}$  such that  $g^{(i-1)}(X)$  can be decomposed into  $g_E^{(i)}(X^2) + X \cdot g_O^{(i)}(X^2)$ . There has  $g^{(i)} = g_E^{(i)} + r_i \cdot g_O^{(i)} + \gamma_i \cdot g_i$ . Define  $B' = \{x \in L_{i+1} | f_E^{(i+1)}(x) = g_E^{(i+1)}(x) \land f_O^{(i+1)}(x) =$  $g_O^{(i+1)}(x) \wedge f_{i+1}(x) = g_{i+1}(x)$ }. By properties of random linear combination, with probability at least  $1 - |L_{i+1}|/|\mathbb{F}|$ , the following equality holds

$$\mathsf{Ham}\left(f_E^{(i+1)} + r_{i+1}f_O^{(i+1)} + \gamma_{i+1}f_{i+1}, g^{(i+1)}\right) = 1 - \frac{|B'|}{|L_{i+1}|}$$

Let  $B = \{x \in L_i : x^2 \in B'\}$ . By definition,  $B \subseteq I_i$ . Define set  $C = I_{i+1} \cup B'$ . To prove that  $\mathcal{E}_{i+1}(I_{i+1}) \geq \mathcal{E}_i(I_i)$ , it suffices to prove  $\mathcal{E}_{i+1}(C) \geq \mathcal{E}_i(B)$  according to Lemma 4 as  $B \subseteq I_i$  and  $I_{i+1} \subseteq C$ .

By the definition of B', for every  $x \in I_{i+1} \setminus B'$ ,

$$f^{(i+1)}(x) = g^{(i+1)}(x) \neq f_E^{(i+1)}(x) + r_{i+1} \cdot f_O^{(i+1)}(x) + \gamma_{i+1} \cdot f_{i+1}(x)$$

Therefore,  $\ker_{i+1}(I_{i+1} \setminus B') = \ker_{i+1}(C \setminus B') \subseteq err_{i+1}$ . Define sets  $M = \ker_i(B) \cap err_i$  and  $N = \ker_{i+1}(C \setminus B')$ . As  $err_i \subseteq$  $err_{i+1}$ , we have

$$M \cup N \subseteq err_i \cup \ker_{i+1}(C \setminus B') \subseteq err_{i+1}.$$
 (5)

Besides, as  $B' \subseteq C$  and  $\ker_i(B) = \ker_{i+1}(B')$ ,

$$M \cup N = (\ker_{i+1}(B') \cap err_i) \cup N \subseteq \ker_{i+1}(C).$$
(6)

By Equations (5) and (6),

$$M \cup N \subseteq \ker_{i+1}(C) \cap err_{i+1}.$$
(7)

Since  $\ker_i(B) = \ker_{i+1}(B')$ , there has

$$M \cap N \subseteq \ker_{i+1}(B') \cap \ker_{i+1}(C \setminus B') = \emptyset.$$
(8)

According to Equation (8), Equation (7) can be rewritten as

 $|\ker_{i+1}(C) \cap err_{i+1}| \ge |\ker_{i+1}(C \setminus B')| + |\ker_i(B) \cap err_i|.$ (9)

Substituting

$$\frac{|\ker_{i+1}(C \setminus B')|}{|L_0|} = \frac{|C \setminus B'|}{|L_{i+1}|} = \frac{|C| - |B'|}{|L_{i+1}|} = \frac{|C|}{|L_{i+1}|} - \frac{|B|}{|L_i|}$$

in Equation (9), the following relation holds

$$\frac{|\ker_{i+1}(C) \cap err_{i+1}|}{|L_0|} - \frac{|C|}{|L_{i+1}|} \ge \frac{|\ker_i(B) \cap err_i|}{|L_0|} - \frac{|B|}{|L_i|}.$$

Therefore,  $\varepsilon_{i+1}(C) \ge \varepsilon_i(B)$ .

# E Batch Sumcheck for Different Variable Polynomials

We present the batch sum-check algorithm for different variable polynomials, which would be a subprotocol of batch opening different points on multiple polynomials.

For simplicity, we consider the following condition without loss of generalization. Let  $\{\hat{f}_i\}_{i=0}^{\mu-1}$  be a series of multivariate polynomial, where  $\hat{f}_i(X_{i+1}, \dots, X_{\mu})$  is  $(\mu - i)$ -variable.  $\mathcal{P}$  is supposed to prove for each  $i \in [0, \mu - 1]$ ,  $\sum_{\vec{b} \in \{0,1\}^{\mu-i}} \hat{f}_i(\vec{b}) = y_i$ .

After receiving  $\{y_i\}$ ,  $\mathcal{V}$  sends a random challenge  $r \in \mathbb{F}$ . The prover can run multivariate sumcheck on the following polynomial to prove all these sums.

$$\sum_{\vec{b} \in \{0,1\}^{\mu}} \left( \sum_{i=0}^{\mu-1} r^i \cdot \hat{f}_i(\vec{b}_{[i+1:]}) \right) = \sum_{i=0}^{\mu-1} (2r)^i y_i$$

In our case, each  $\hat{f}_i$  is product of two multilinear polynomials, denoted as  $\tilde{f}_i$  and  $\tilde{g}_i$ . In the *j*-th round, the prover needs to send  $a_{j,t}$  for  $t = \{0, 1, 2\}$ , where

$$\begin{aligned} a_{j,t} &= \sum_{\vec{b} \in \{0,1\}^{\mu-j}} \left( \sum_{i=0}^{j-1} r^i \left( \tilde{f}_i \cdot \tilde{g}_i \right) (r_{[i+1,j-1]}, t, \vec{b}) \\ &+ \sum_{i=j}^{\mu-1} r^i \cdot \left( \tilde{f}_i \cdot \tilde{g}_i \right) (\vec{b}_{[i-j:]}) \right) \\ &= \sum_{\vec{b} \in \{0,1\}^{\mu-j}} \sum_{i=0}^{j-1} r^i \left( \tilde{f}_i \cdot \tilde{g}_i \right) (r_{[i+1,j-1]}, t, \vec{b}) \\ &+ \sum_{i=j}^{\mu-1} r^i \cdot 2^{i-j} \cdot y_i \end{aligned}$$

which can be computed in  $O(k_j \cdot 2^{\mu-j})$ , where  $k_j$  is the total number of polynomials with variable number equal or larger than  $\mu - j + 1$ . Thus, the total prover complexity is  $\sum_{j=1}^{\mu} O(k_j \cdot 2^{\mu-j}) = O(\sum_{j=1}^{\mu} k_j \cdot 2^{\mu-j+1} - \sum_{j=1}^{\mu} k_{j-1} \cdot 2^{\mu-j+1}) = \sum_{j=1}^{\mu} O((k_j - k_{j-1}) \cdot 2^{\mu-j+1})$ , equivalent to the total length of all polynomials.

Invoking batch sumcheck for following polynomials can reduce the evaluations of different polynomials  $\tilde{f}_i$  on different points, together with different  $\alpha_i$  in commitment to the evaluations of them on a single random point  $\vec{r}$ 

$$\sum_{\vec{b} \in \{0,1\}^{\mu-i}} \tilde{f}_i(\vec{b}) \cdot \tilde{eq}(\vec{b}, \vec{z}^{(i)}) = y_i$$
$$\sum_{\vec{b} \in \{0,1\}^{\mu-i}} \tilde{f}_i(\vec{b}) \cdot \tilde{eq}\left(\vec{b}, (\alpha_i, \alpha_i^2, \cdots, \alpha_i^{2^{\mu-i-1}})\right) = c_i$$

#### F Proof of Zero-knowledge

A PC is considered to be **zero-knowledge** if there is a PPT simulator  $S = (S_1, S_2)$  such that for all multilinear polynomial  $\tilde{f}$ , PPT non-uniform adversary  $\mathcal{A}$ :

$$\Pr\left[b=1 \middle| \begin{array}{c} \mathcal{C} \leftarrow Commit(pp,\tilde{f}) \\ \vec{z} \leftarrow \mathcal{A}(\mathcal{C}, pp) \\ (y,tr) \leftarrow \langle \mathcal{P}(\tilde{f}), \mathcal{V} \rangle(\vec{z}) \\ b \leftarrow \mathcal{A}(\mathcal{C}, y, \vec{z}, tr) \end{array} \right] = \\ \Pr\left[b=1 \middle| \begin{array}{c} \mathcal{C} \leftarrow \mathcal{S}_1(pp) \\ \vec{z} \leftarrow \mathcal{A}(\mathcal{C}, pp) \\ (y,tr) \leftarrow \mathcal{S}_2(\tilde{f}(\vec{z}), \vec{z}) \\ b \leftarrow \mathcal{A}(\mathcal{C}, y, \vec{z}, tr) \end{array} \right]$$

A simulator S can be constructed as following:

- 1. Generate a random  $(\mu + 1)$ -variate multilinear polynomial  $\tilde{f}_r$ , a random  $(\mu \ell + 2)$ -variate multilinear polynomial  $\tilde{g}$ , and use them to simulate zkDeepFold.Commit to generate the commitment  $C = \langle rt_0, \alpha_0, c_0, rt_1, \alpha_1, c_1 \rangle$ .
- On receiving query point z
   *z* and let y = f
   *(z)*, S then proceeds a sum-check protocol on Σ<sub>*b*∈*H*µ+1</sub> *h*(*b*) = y + r ⋅ c<sub>0</sub> + r<sup>2</sup> ⋅ c<sub>1</sub><sup>2</sup>, where *h*(*x*) is defined as

$$\tilde{f}_r(\vec{x})(\tilde{eq}(\vec{z}_{ext},\vec{x}) + r \cdot \tilde{eq}(\vec{\alpha}_0,\vec{x})) + r^2 \tilde{g}(\vec{x}_{[\ell:]}) \cdot \tilde{eq}(\vec{\alpha}_1,\vec{x}_{[\ell:]})$$

with  $\vec{z}_{ext} = \vec{z}||0, \vec{\alpha}_0 = (\alpha_0^{2^0}, \alpha_0^{2^1}, \cdots, \alpha_0^{2^{\mu}}), \vec{\alpha}_1 = (\alpha_1, \alpha_1^2, \cdots, \alpha_1^{2^{\mu-\ell+1}})$ . Assume the random number used in the sumcheck is  $\vec{z}'$ , then the final claim is the value of  $\hat{h}(\vec{z}')$ .

- 3. *S* sends a set of randoms  $y_1, y_2 \in \mathbb{F}$  representing  $\tilde{f}_r(\vec{z}')$ ,  $\tilde{g}(\vec{z}'_{[\ell]})$ , which satisfy the sum-check condition.
- 4. Given *s* queries, *S* interpolates multilinear polynomials  $f^{(0)}, g^{(\ell-1)}$  such that  $\tilde{f}^{(0)}(\vec{z}') = y_1, \tilde{g}^{(\ell-1)}(\vec{z}'_{[\ell:]}) = y_2$ , and that  $f^{(0)}, g^{(\ell-1)}$  match  $f_r, g$  on all *s* queries.
- 5. S uses  $f^{(0)}$  and  $g^{(\ell-1)}$  to finish the rest of batch evaluation.

Next, we will show the real transcript and the simulator transcript are indistinguishable. In step 2,  $\mathcal{V}$  receives as the real transcript in the *i*-th ( $i \leq \mu$ ) round

$$\sum_{i+1,\cdots,b_{\mu+1}\in\{0,1\}}\hat{h}(z'_1,\cdots,z'_{i-1},X,b_{i+1},\cdots,b_{\mu+1})$$

h

When  $b_{\mu+1} = 1$ , the evaluation of  $\hat{h}$  would be uniformly random since the evaluation of  $\tilde{f}_{ext}$  is random, so the real transcript is uniformly random. When  $i = \mu + 1$ ,  $\mathcal{V}$  receives  $\hat{h}(z'_1, \dots, z'_{\mu}, X)$ , which is also uniformly random because of  $\tilde{g}$ 's randomness.

The evaluation of  $\tilde{f}_{ext}(\vec{z}')$ , i.e.  $y_1$  sent at step 4 should be a random number because when  $z'_{\mu+1} \neq 0$  (the probability is negligible) the evaluation of  $\tilde{f}_{ext}(\vec{z}')$  is random. When it comes to step 5, all the scalars received by  $\mathcal{V}$  in first  $\ell$  round are evaluations on  $\tilde{f}_{ext}$ , and  $\mathcal{V}$  cannot get any message of  $\tilde{f}$  from them. After  $\ell$  rounds, since  $f^{(i)}$  is masked by  $\tilde{g}$ ,  $\mathcal{V}$ cannot distinguish it with the transcript of S.