

A Constructive View of Homomorphic Encryption and Authenticator

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Abstract. Homomorphic Encryption (HE) is a cutting-edge cryptographic technique that enables computations on encrypted data to be mirrored on the original data. This has quickly attracted substantial interest from the research community due to its extensive practical applications, such as in cloud computing and privacy-preserving machine learning.

In addition to confidentiality, the importance of authenticity has emerged to ensure data integrity during transmission and evaluation. To address authenticity, various primitives have been developed including Homomorphic Authenticator (HA). Corresponding security notions have also been introduced by extending the existing notions to their homomorphic versions.

Despite these advancements, formalizing the security of HE and HA remains challenging due to the novelty of these primitives and complexity of application scenarios involving message evaluation. It is inclusive which definitions in this zoo of notions are insufficient or overly complex. Moreover, HE and HA are designed to be combined to construct a secure communication channel that ensures both confidentiality and authenticity. However, the security of such compositions is not always clear when game-based notions are used to formalize security.

To bridge this gap, we conduct a constructive analysis through the lens of composable security. This method enables us to examine the security properties of each primitive in isolation and to more effectively evaluate their security when integrated into a larger system. We introduce the concepts of a confidential channel and an authenticated channel to specify the security requirements for HE and HA, respectively. We make a comparison with existing game-based notions to determine whether they adequately capture the intended security objectives.

We then analyze whether the composition of HE and HA constructs a Homomorphic Authenticated Encryption (HAE) that provides both confidentiality and authenticity in presence of message evaluation. Specifically, we examine a serial composition of HE and HA, corresponding to Encrypt-then-MAC (EtM) composition for constructing classical AE.

Keywords: Homomorphic Encryption · Homomorphic Authenticator · Composable Security · Constructive Cryptography · Provable Security

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1 Introduction

1.1 Background and Motivation

Homomorphic Encryption (HE), first introduced by Rivest and Adleman as “privacy homomorphism” in [RAD⁺78], enables computations on encrypted data, producing results that can be directly translated back to the original data. This capability opens up significant opportunities for real-world applications, such as privacy-preserving machine learning [BP23], cloud computing [ZLL14], and multiparty computation (MPC) [BDOZ11]. Over the years, various HE schemes [C⁺09, BGV12, CGGI20] have been developed to improve efficiency and support a broader range of operations, moving towards full homomorphism.

In addition to ensuring confidentiality, the cryptographic community has increasingly focused on maintaining authenticity within a homomorphic framework. Since adversaries may modify messages before or after evaluation to produce false results, it is crucial to address this vulnerability. Agrawal and Boneh proposed homomorphic MACs in [AB09], and Johnson introduced homomorphic signatures in [JMSW02], approaching the problem from symmetric and public-key cryptography perspectives, respectively. Further research has aimed to provide authenticity for HE through verifiable control of the evaluation algorithm using techniques like SNARKs [Via23]. Various notions have also been proposed to address different adversarial scenarios that may arise during message evaluation.

However, game-based proof framework by Bellare and Rogaway [BR06] may not be the most effective tool for formalizing security in this context. The complication of defining a

game or adversarial behavior increases with the presence of message evaluation. Moreover, these primitives are intended for use in large, complex systems, yet the composition property of these primitives in such systems remains unclear with game-based notions, as noted by Maurer in [Mau11]. To bridge this gap, our goal is to present an analysis of HE and Homomorphic Authenticator (HA) from a constructive perspective, capturing the inherent security of HE and HA while facilitating future research on the security of large-scale systems incorporating these primitives. This approach particularly allows us to offer insights into the construction of Homomorphic Authenticated Encryption (HAE) through the composition of HE and HA.

1.2 Related Work

CONFIDENTIALITY. The primary security requirement of homomorphic encryption (HE) is *confidentiality*, characterized by the IND-CPA notion, as described by Gentry in [C⁺09]. In addition to basic confidentiality, Gentry also explored *circular security* in [C⁺09], where an adversary can access a “cycle of keys,” meaning a key is encrypted under itself or another key, encapsulating security involving the *bootstrapping* operation. Further confidentiality requirements include *circuit privacy*, which ensures that an adversary cannot distinguish between the evaluated ciphertext and the encryption of the evaluated plaintext. Moreover, Li et al. introduced the concept of IND-CPA^D in [LM21], specifically for approximate HE schemes like CKKS [CKKS17], demonstrating its equivalence to IND-CPA for HE schemes with exact decryption.

AUTHENTICITY. For authenticity, Agrawal and Boneh proposed the concept of homomorphic MAC in [AB09], identifying two types of forgeries. Gennaro and Wichs further formalized this security by introducing the concept of a labeled program in [GW13], later termed HomoUF-CMA security in [CF13]. Based on this, in [JY14], Joo and Yun further formalized the notions of plaintext integrity INT-PTXT and ciphertext integrity INT-CTXT within the homomorphic setting by also taking the honest execution of the evaluation algorithm into consideration.

CCA-LIKE SECURITY. Additionally, there are studies focused on constructing CCA-secure HE schemes that also consider authenticity. Prabhakaran and Mike Rosulek introduced HCCA in [PR08], capturing the idea that a scheme may be homomorphic for specific functions but must remain non-malleable with respect to all other operations. Joo and Yun first introduced *homomorphic authenticated encryption* (HAE) in [JY14] and defined IND-CCA security for HAE. They further demonstrated that the implication from IND-CPA plus INT-CTXT to IND-CCA holds in the homomorphic context. Akavia et al. introduced FuncCPA in [AGHV22], an intermediate notion between CPA and CCA2, to capture security for FHE schemes against attacks in the context of client-aided outsourcing. Manulis and Nguyen introduced the vCCA notion in [MN24], which is strictly stronger than CCA1, to address schemes where modifying the challenge ciphertext using homomorphism before querying it to its decryption oracle is detectable under certain conditions.

1.3 Contribution

In this work, we present a constructive analysis of homomorphic encryption (HE) and homomorphic authenticators (HA). From a composable perspective, our study not only elucidates the natural security objectives that HE and HA schemes should achieve but also evaluates their security composability within larger systems.

We treat HE and HA as symmetric primitives in a classical setting where a client outsources computation to a server, with an adversary positioned between them. We demonstrate that a confidential channel can be constructed using HE, and an authenticated

channel can be created using HA from an initially insecure communication channel where an adversary can observe and inject messages into the channel.

Specifically, in the confidential channel, an adversary can only view the length of transmitted messages but can inject its own messages into the channel at will. This ensures that no message content is disclosed to the adversary during transmission or evaluation. Conversely, in the authenticated channel, an adversary can see all transmitted content but can only relay or delete messages between the client and server. This ensures that any results from the server remain unmodified by the adversary. We compare our construction of these channels to the existing game-based notions established for HE and HA, assessing whether they meet the desired security requirements.

We proceed to examine the serial composition of these channels to construct a secure channel that ensures both security and authentication, aligning with the Encrypt-then-MAC (EtM) composition method used in constructing Authenticated Encryption (AE) as outlined in [BN08]. This allows us to formally show that homomorphic authenticated encryption (HAE) can also be constructed through the generic composition of Homomorphic Encryption (HE) and Homomorphic Authentication (HA).

2 Preliminaries

2.1 Notation

We introduce the following notations that will be used throughout the paper. Let $\mathbb{N} = \{1, 2, \dots\}$ denote the set of natural numbers. For each $n \in \mathbb{N}$, we define the set $[n] := \{1, \dots, n\}$. Given a set S , we use the notation $S^{\geq n} := \bigcup_{i \geq n} S^i$ to denote the set of all non-empty sequences of length at least n over S , and we define $S^+ := S^{\geq 1}$. Let $x = (x_1, \dots, x_\ell) \in S^+$ with $\ell \in \mathbb{N}$ be a sequence. We denote the length of x by $|x| := \ell$. For $y = (y_1, \dots, y_{\ell'}) \in S'$ with $\ell' \in \mathbb{N}$, we define the concatenation of x and y as $x||y = (x_1, \dots, x_\ell, y_1, \dots, y_{\ell'})$. When $S = \{0, 1\}$, we refer to such sequences as bit strings. Let $i \in \{0, 1, \dots\}$, we denote the ℓ -bit string representation of i as $[i]_\ell$. We let notation $S[a..b]$ represent the substring of S that includes indices ranging from a to b . We use ε to denote empty string where $|\varepsilon| = 0$.

Let S be a finite set. We define the notation $x \leftarrow S$ to represent the selection of a value from the set S uniformly at random, which we then assign to the variable x . For an algorithm \mathcal{A} , we use the notation $y \leftarrow \mathcal{A}^{O_1, O_2, \dots}$ to denote running \mathcal{A} given access to oracles O_1, O_2, \dots , and then assigning of the output of \mathcal{A} to y . We use $y \leftarrow \mathcal{A}$ to indicate that y is the output of a probabilistic algorithm \mathcal{A} .

2.2 Game-Based Proof

We follow the code-based game-playing framework of Bellare and Rogaway [BR06]. This framework employs a game G composed of an *Initialization* procedure (INIT), a *Finalization* procedure (FINALIZE), and a set of oracle procedures, whose number varies depending on the specific game. An adversary \mathcal{A} interacts with these oracles, receiving responses to its queries through return statements specified in the oracle codes.

A game G begins with the INIT procedure, followed by the adversary's interaction with the oracles. After making a series of oracle queries, the adversary halts and produces an *adversary output*. Subsequently, the FINALIZE procedure is executed to generate a *game output*. If no explicit finalization procedure is defined, the *adversary output* is considered the *game output*. We denote $\Pr[\mathcal{A}^{\text{INIT}, O_1, O_2, \dots} \Rightarrow b]$ as the probability that the adversary \mathcal{A} outputs a value b after the INIT procedure and queries to oracles O_1, O_2, \dots . We denote $\Pr[G(\mathcal{A}) \Rightarrow b]$ as the probability that game G outputs b when adversary \mathcal{A} plays game G . For simplicity, we define $\Pr[G(\mathcal{A})] := \Pr[G(\mathcal{A}) \Rightarrow 0]$. To simplify notation, we

interchangeably use $\Delta_{\mathcal{A}}(O_L; O_R)$ and

$$\Delta_{\mathcal{A}} \begin{pmatrix} O_L \\ O_R \end{pmatrix} := \Pr[\mathcal{A}^{O_L} \Rightarrow 0] - \Pr[\mathcal{A}^{O_R} \Rightarrow 0]$$

to denote \mathcal{A} 's advantage in distinguishing between the oracles O_L and O_R .

We let $\mathbf{Adv}_{\Pi}^{\mathbf{X}}(\mathcal{A}_x)$ denote adversary \mathcal{A}_x 's advantage in breaking security notion \mathbf{X} of a scheme Π . We say security notion \mathbf{X} implies security notion \mathbf{Y} , denoted $\mathbf{X} \rightarrow \mathbf{Y}$, if $\mathbf{Adv}_{\Pi}^{\mathbf{Y}}(\mathcal{A}_y) \leq c \cdot \mathbf{Adv}_{\Pi}^{\mathbf{X}}(\mathcal{A}_x)$ for some constant $c > 0$.

3 Homomorphic Encryption and Authenticator

3.1 Labeled Program

Following the definitions presented in [GW13] by Gennaro and Wichs, we introduce the concept of a *labeled program*. This syntax helps in specifying which data is encrypted/authenticated and which data a program P should process, thereby simplifying our discussion.

Definition 1 (Labeled Program). A labeled program is a tuple $P = (f, \lambda_1, \dots, \lambda_n)$ where $f : \mathcal{M}^n \rightarrow \mathcal{M}$ is a *circuit*, and λ_i for $i \in [n]$ are *labels* for the inputs to f . The program P evaluates the circuit f on the inputs (m_1, \dots, m_n) associated with the labels $(\lambda_1, \dots, \lambda_n)$, outputting $m_{\star} = f(m_1, \dots, m_n) \in \mathcal{M}$.

Given labeled programs P_1, \dots, P_t and a circuit $g : \mathcal{M}^t \rightarrow \mathcal{M}$, a *composed program* $P_{\star} = g(P_1, \dots, P_t)$ evaluates the circuit g using the outputs of P_1, \dots, P_t .

For an input label λ and a canonical identity function g_{id} , the *identity program* with label λ is denoted as $I_{\lambda} = (g_{id}, \lambda)$. Any program $P = (f, \lambda_1, \dots, \lambda_n)$ can be expressed as a composed program of n identity programs i.e., $P = f(I_{\lambda_1}, \dots, I_{\lambda_n})$.

Next, we define when a program is *well-defined*. Informally, a program P is well-defined with respect to a set \mathcal{Q} either if all its labels are in \mathcal{Q} , or if there exists a label not in \mathcal{Q} , the inputs associated with these labels are ignored by f , and the evaluation remains unaffected.

Definition 2 (Well-Definedness). Let $\mathcal{Q} \subseteq \mathcal{L} \times \mathcal{M}$ be a set, and let $P = (f, \lambda_1, \dots, \lambda_n)$ be a labeled program. Consider the following conditions:

1. For every $\lambda_i \in P$, there exists $(\lambda_i, m_i) \in \mathcal{Q}$ for some message $m_i \in \mathcal{M}$.
2. If there exists $\lambda_j \in P$ such that $(\lambda_j, m_j) \notin \mathcal{Q}$ for all $m_j \in \mathcal{M}$, then

$$f(\{m_i\}_{(\lambda_i, m_i) \in \mathcal{Q}}) = f(\{m_i\}_{(\lambda_i, m_i) \in \mathcal{Q}} \cup \{m_j\}_{(\lambda_j, m_j) \notin \mathcal{Q}})$$

for all $m_j \in \mathcal{M}$.

We say that P is well-defined with respect to \mathcal{Q} if either condition 1 or 2 is satisfied, denoted by $\omega_{\mathcal{Q}}(P) = 1$.

3.2 Syntax

We first present the definition of a homomorphic encryption (HE) scheme in Definition 3. Note that we define an HE scheme as a symmetric primitive.

Definition 3 (Homomorphic Encryption). A homomorphic encryption scheme with secret key space \mathcal{SK} , evaluation key space \mathcal{EK} , circuit space \mathcal{F} , message space \mathcal{M} and ciphertext space \mathcal{C} , is a tuple $\mathbf{HE} = (\mathbf{Enc}, \mathbf{Dec}, \mathbf{Eval})$ of the following probabilistic polynomial time (PPT) algorithms:

- $\text{Enc} : \mathcal{SK} \times \mathcal{M} \rightarrow \mathcal{C}$ encrypt a message m with a secret key sk and outputs a ciphertext $c \leftarrow \text{HE.Enc}_{sk}(m)$.
- $\text{Dec} : \mathcal{SK} \times \mathcal{C} \rightarrow \mathcal{M}$ decrypts a ciphertext c and outputs the corresponding plaintext $m \leftarrow \text{HE.Dec}_{sk}(c)$.
- $\text{Eval} : \mathcal{EK} \times \mathcal{F} \times \mathcal{C}^n \rightarrow \mathcal{C}$ evaluates a vector of ciphertext $\langle c_1, \dots, c_n \rangle$ over a circuit f and outputs the evaluated ciphertext $c_\star \leftarrow \text{HE.Eval}_{ek}^f(\langle c_1, \dots, c_n \rangle)$.

We define the following correctness for an HE scheme:

- *Encryption Correctness*: For all $m \in \mathcal{M}$, it has

$$\Pr[\text{HE.Dec}_{sk}(c) = m \mid (sk, ek) \leftarrow \mathcal{SK} \times \mathcal{EK}, m = \text{HE.Enc}_{sk}(m)] = 1.$$

- *Evaluation Correctness*: Fix any pair of keys $(sk, ek) \in \mathcal{SK} \times \mathcal{EK}$. Fix any circuit $f : \mathcal{M}^t \rightarrow \mathcal{M} \in \mathcal{F}$ and any tuple of message/ciphertext $\{(m_i, c_i)\}_{i=1}^t$ such that $c_i = \text{HE.Enc}_{sk}(m_i)$. If $m_\star = f(m_1, \dots, m_t)$, and $c_\star = \text{HE.Eval}_{ek}^f(\langle c_1, \dots, c_n \rangle)$, then it holds that $\text{HE.Dec}_{sk}(c_\star) = m_\star$.

Definition 4 (Homomorphic Authenticator). A homomorphic authenticator (HA) with secret key space \mathcal{SK} , evaluation key space \mathcal{EK} , label space \mathcal{L} , circuit space \mathcal{F} , program space \mathcal{P} , message space \mathcal{M} , and tag space \mathcal{T} , is a tuple $\text{HA} = (\text{Tag}, \text{Vfy}, \text{Eval})$ of the following PPT algorithms:

- $\text{Tag} : \mathcal{SK} \times \mathcal{L} \times \mathcal{M} \rightarrow \mathcal{T}$ produces a tag $\tau \leftarrow \text{HA.Tag}_{sk}^\lambda(m)$ that authenticates m under the label λ .
- $\text{Vfy} : \mathcal{SK} \times \mathcal{P} \times \mathcal{M} \times \mathcal{T} \rightarrow \{0, 1\}$ checks if τ authenticates that m is the evaluation on previously authenticated labeled data over the program P and returns $b \leftarrow \text{HA.Vfy}_{sk}^P(m, \tau) \in \{0, 1\}$.
- $\text{Eval} : \mathcal{EK} \times \mathcal{F} \times \mathcal{T}^n \rightarrow \mathcal{T}$ evaluates a vector of tags $\langle \tau_1, \dots, \tau_n \rangle$ over a circuit f and produces a tag $\tau_\star \leftarrow \text{HA.Eval}_{ek}^f(\langle \tau_1, \dots, \tau_n \rangle)$.

We define the following correctness for an HA scheme:

- *Authentication Correctness*: For any message-label tuple $(\lambda, m) \in \mathcal{L} \times \mathcal{M}$, it has

$$\Pr[\text{HA.Vfy}_{sk}^{I_\lambda}(m, \tau) = 1 \mid (sk, ek) \leftarrow \mathcal{SK} \times \mathcal{EK}, \tau = \text{HA.Tag}_{sk}^\lambda(m)] = 1$$

where I_λ is the identity program with respect to λ .

- *Evaluation Correctness*: Fix any pair of keys $(sk, ek) \in \mathcal{SK} \times \mathcal{EK}$. Fix any circuit $f : \mathcal{M}^t \rightarrow \mathcal{M} \in \mathcal{F}$ and any tuple of message/program/tag $\{(m_i, P_i, \tau_i)\}_{i=1}^n$ such that $\text{HA.Vfy}_{sk}^{P_i}(m_i, \tau_i) = 1$. If $m_\star = f(m_1, \dots, m_n)$, $P_\star = f(P_1, \dots, P_n)$, and $\tau_\star = \text{HA.Eval}_{ek}^g(\langle \tau_1, \dots, \tau_n \rangle)$, then it holds that $\text{HA.Vfy}_{sk}^{P_\star}(m_\star, \tau_\star) = 1$.

4 Constructive Cryptography

4.1 Framework

In this work, we utilize the composable framework of *constructive cryptography* (CC) introduced by Maurer in [Mau11]. CC allows us to make statements about the construction of one resource from another. A *resource* \mathbf{R} is a system with *interfaces* through which it interacts with its environment, typically assigned to specific parties. For our purposes, we consider three interfaces for all resources: a client \mathbf{A} , a server \mathbf{B} , and an adversary \mathbf{E} positioned between them.

Security is modeled by the advantage of a distinguisher \mathbf{D} in distinguishing between two resources \mathbf{R} and \mathbf{S} , expressed as:

$$\Delta^{\mathbf{D}}(\mathbf{R}, \mathbf{S}) = \Pr[\mathbf{DR} \Rightarrow 1] - \Pr[\mathbf{DS} \Rightarrow 1]$$

where $\Pr[\mathbf{DR} \Rightarrow 1]$ represents the probability that \mathbf{D} outputs 1 when connected to the resource \mathbf{R} . Specifically, \mathbf{DR} is a random experiment where \mathbf{D} repeatedly interacts with one of the interfaces \mathbf{A} , \mathbf{B} , or \mathbf{E} , observes the responses, and then decides on its output bit. In this work, we model communication channels between the client and the server using resources.

A *converter* cvt is a system connected to a resource's interfaces, modifying inputs and outputs at that interface and thus converting the resource into another resource. We denote this as $\text{cvt}^{\mathbf{A}}\mathbf{R}$ when a converter is attached to the interface \mathbf{A} of a resource \mathbf{R} . A converter has an *inner interface* in connected to a resource and an *outer interface* out which becomes the new connection point of the converted resource towards the environment.

Definition 5 (Construction). Let \mathbf{R} and \mathbf{S} be resources, and let $\perp^{\mathbf{E}}$ be a converter representing when the adversary \mathbf{E} performs no attack. Let sim be a simulator converter. A protocol, defined as a pair of converters $(\text{cvt}_1, \text{cvt}_2)$, securely constructs a resource \mathbf{R} from a resource \mathbf{S} if:

$$\Delta^{\mathbf{D}}(\text{cvt}_1^{\mathbf{A}}\text{cvt}_2^{\mathbf{B}}\perp^{\mathbf{E}}\mathbf{R}, \perp^{\mathbf{E}}\mathbf{S}) \leq \varepsilon(\mathbf{D}) \quad (\text{Availability})$$

and

$$\Delta^{\mathbf{D}}(\text{cvt}_1^{\mathbf{A}}\text{cvt}_2^{\mathbf{B}}\mathbf{R}, \text{sim}^{\mathbf{E}}\mathbf{S}) \leq \varepsilon(\mathbf{D}) \quad (\text{Security})$$

where $\varepsilon(\mathbf{D}) \in [-1, 1]$ represents the distinguisher \mathbf{D} 's advantage in distinguishing between the two environments. We denote this construction as $\mathbf{R} \xrightarrow{(\text{cvt}_1, \text{cvt}_2, \varepsilon)} \mathbf{S}$.

Given several constructions, we can define composability with respect to these constructions, specifically serial and parallel composability.

Theorem 1 ([Mau11, Theorem 1]). *The following composability properties hold for two constructions:*

1. (Serial Composability)

$$\mathbf{R} \xrightarrow{(\text{cvt}_1, \text{cvt}_2, \varepsilon)} \mathbf{S} \wedge \mathbf{S} \xrightarrow{(\text{cvt}'_1, \text{cvt}'_2, \varepsilon')} \mathbf{T} \implies \mathbf{R} \xrightarrow{(\text{cvt}_1 \circ \text{cvt}'_1, \text{cvt}_2 \circ \text{cvt}'_2, \varepsilon + \varepsilon')} \mathbf{T}$$

2. (Parallel Composability)

$$\mathbf{R} \xrightarrow{(\text{cvt}_1, \text{cvt}_2, \varepsilon)} \mathbf{S} \wedge \mathbf{R}' \xrightarrow{(\text{cvt}'_1, \text{cvt}'_2, \varepsilon')} \mathbf{S}' \implies \mathbf{R} \parallel \mathbf{R}' \xrightarrow{(\text{cvt}_1 \parallel \text{cvt}'_1, \text{cvt}_2 \parallel \text{cvt}'_2, \varepsilon + \varepsilon')} \mathbf{S} \parallel \mathbf{S}'$$

3. (Identity Composability)

$$\mathbf{R} \xrightarrow{(\text{id}, \text{id}, 0)} \mathbf{R}$$

4.2 Converters & Insecure Channel

INSECURE CHANNEL. We consider a classical setting where HE and HA are used. In this setup, a client wishes to outsource computations to a server without revealing the data to be processed and the evaluated result from the server (to a third party). We assume the communication between the client and the server takes place over an insecure channel **INS**, as shown in Figure 1. Here, \mathbf{A} represents the client and \mathbf{B} represents the server. Within this insecure channel, an adversary \mathbf{E} can intercept all messages between \mathbf{A} and \mathbf{B} . Moreover, \mathbf{E} can inject its own messages to “replace” those sent by \mathbf{A} and \mathbf{B} .

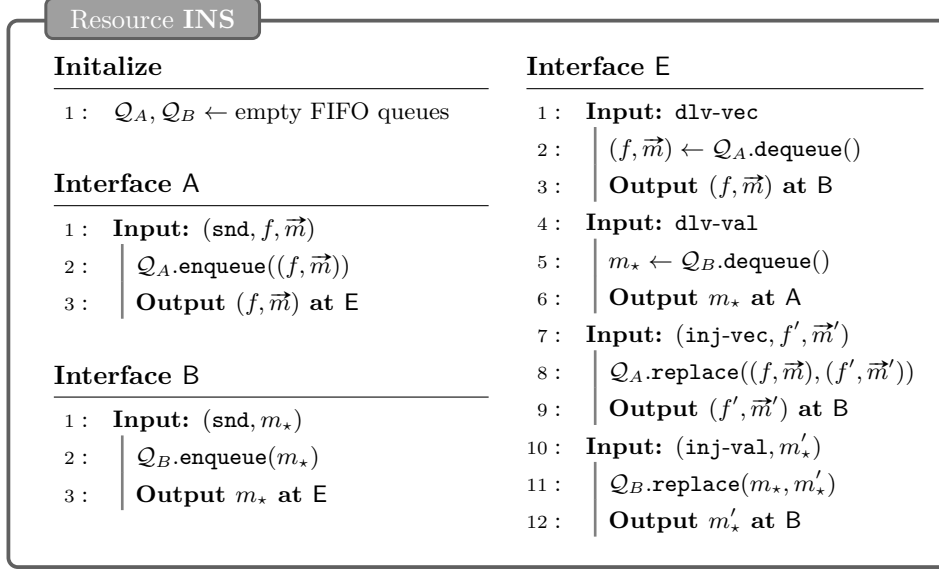


Figure 1: An Insecure Channel (INS) Resource. We use $Q.\text{replace}(m, m')$ to represent m is first dequeued from a queue Q then m' is enqueued to Q .

In this work, we assume that the channel interfaces are invoked in the sequence “A \Rightarrow E \Rightarrow B”. Specifically, A first sends a message to E, then E sends a message to B, followed by B sending a message back to E, and finally, E sends a message back to A. We refer to this entire sequence as one *round* of communication. This simplifies our analysis, thus we do not address stateful security issues related to out-of-sync ciphertext delivery. In the following discussion, we will demonstrate how to construct a secure channel from this insecure one, ensuring both confidentiality and authenticity by combining HE and HA.

KEY RESOURCE. In Figure 2, we introduce $\mathbf{KEY}_{S\mathcal{K} \times \mathcal{EK}}$ as a resource that distributes the secret key sk to the client and the (public) evaluation key ek to the server and the adversary E. We assume that $\mathbf{KEY}_{S\mathcal{K} \times \mathcal{EK}}$ is both confidential and authenticated, meaning the secret key sk is not known by the adversary E, and the evaluation key ek cannot be replaced by it. For notation simplicity, we use \mathbf{KEY} to represent this key resource.

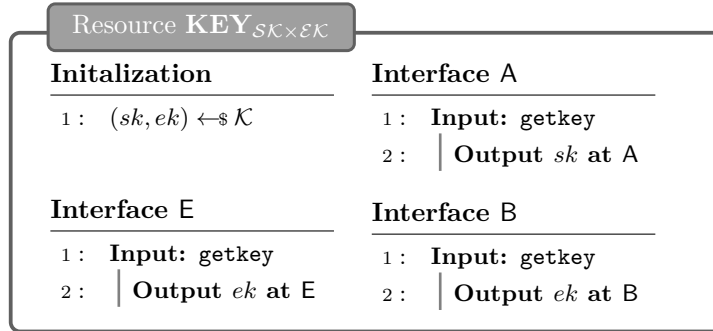


Figure 2: A key resource \mathbf{KEY} that distributes the secret key sk to the client A and the evaluation (public) key ek to the server B and the adversary E.

CONVERTERS WITH HE AND HA. We introduce client converters cli_{he} and cli_{ha} , and server converters srv_{he} and srv_{ha} using homomorphic encryption HE and homomorphic

authenticator HA respectively, as depicted in Figures 3 and 4.

- *Converters cli_{he} and srv_{he}* : In the client converter cli_{he} , the outer interface **out** accepts a command **snd** to encrypt a vector of messages \vec{m} to a vector of ciphertext \vec{c} where $c_i = \text{HE.Enc}_{sk}(m_i)$ for $i \in [n]$. The converter then sends a circuit f and \vec{c} to the channel **INS**. The inner interface **in** receives the evaluated ciphertext c_* from **INS**, decrypts it to obtain m_* , and outputs m_* at interface **A** via its outer interface **out**.

In the server converter srv_{he} , a circuit f and a ciphertext vector \vec{c} are received from **INS** at its inner interface **in**. The converter evaluates $c_* = \text{HE.Eval}_{ek}^f(\vec{c})$, and outputs \vec{c} to its interface **out**. The interface **out** then outputs a command **snd** to the interface **B** to output c_* to channel **INS**.

- *Converters cli_{ha} and srv_{ha}* : In the client converter, cli_{ha} , the outer interface of accepts a command **snd** to authenticate a vector of messages \vec{m} with tags $\vec{\tau}$ under the labels $\vec{\lambda}$ such that $\tau_i = \text{HA.Tag}_{sk}^{\lambda_i}(m_i)$ for $i \in [n]$. We assume that each λ_i does not repeat. The inner interface **in** of cli_{ha} receives $(f, \vec{\lambda}, m_*, \tau_*)$ from **INS**, parses $P = (f, \vec{\lambda})$ as a labeled program and verifies if τ_* authenticates m_* as the output of P . If valid, m_* is outputted at the interface **A** via outer interface **out**. Otherwise, \perp is outputted to indicated invalidity.

In the server converter srv_{ha} , a circuit f , a message vector \vec{m} , a tag vector $\vec{\tau}$, and a label vector $\vec{\lambda}$ are received from **INS** at the interface **in**. The converter evaluates the message $m_* = f(\vec{m})$, and the tag $\tau_* = \text{HA.Eval}_{ek}^f(\vec{\tau})$, and outputs the result to its outer interface **out**. The interface **out** then outputs a command **snd** to interface **B** to output $(f, \vec{\lambda}, m_*, \tau_*)$ to channel **INS**.

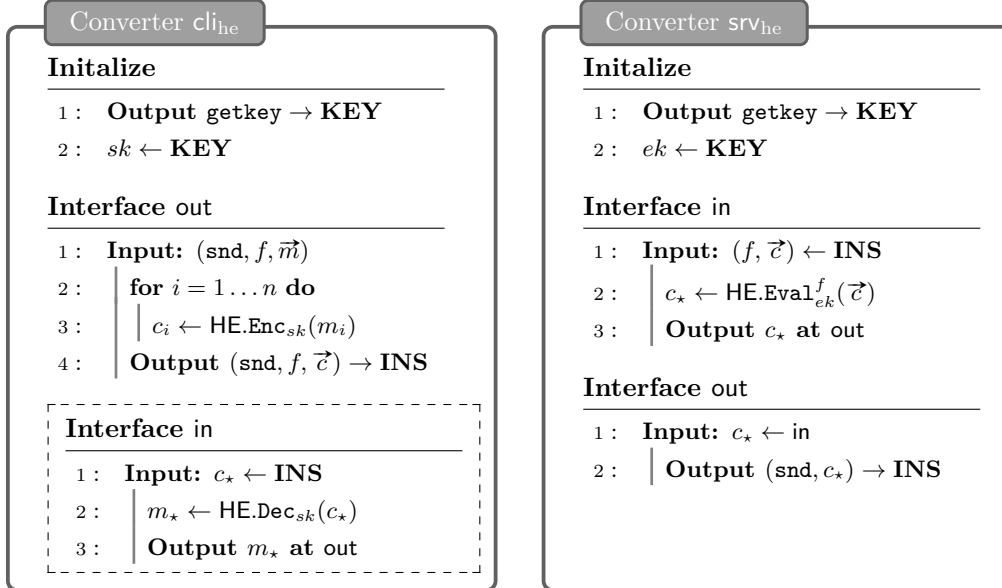


Figure 3: Converters cli_{he} and srv_{he} for a client and a server using a homomorphic encryption scheme HE. For this work, we assume the dot-boxed part of cli_{he} is not executed.

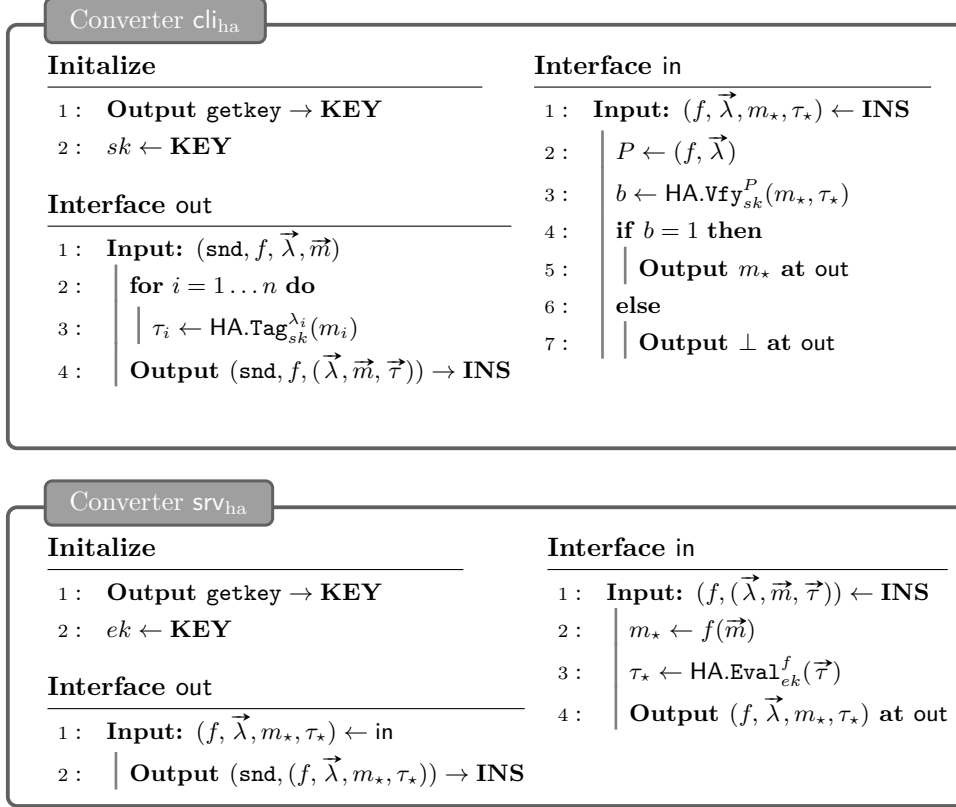


Figure 4: Converters cli_{ha} and srv_{ha} for a client and a server using a homomorphic authenticator HA.

5 Confidentiality

5.1 Indistinguishability with IND-CPA

We define the IND-CPA security for HE as *real-or-random* security i.e., indistinguishability between the encryption of queried plaintext, and a random bitstring, following the definition in [Shr04]. Consider the IND-CPA game in Figure 5, we then define the advantage in Definition 6.

Definition 6 (IND-CPA Advantage).

$$\text{Adv}_{\text{HE}}^{\text{IND-CPA}}(\mathcal{A}) = \Pr[\text{G}_{\text{HE}}^{\text{IND-CPA-0}}(\mathcal{A})] - \Pr[\text{G}_{\text{HE}}^{\text{IND-CPA-1}}(\mathcal{A})]$$

In [JY14], the notion of IND-CPA is defined by granting the adversary access to an oracle that performs honest encryption and a challenge oracle that implements left-or-right encryption. This method is syntactically complex and deviates from the standard security definitions used in many other works. Therefore, we redefine the security notion as real-or-random (RoR) security, which is stronger than left-or-right (LoR) security, as noted by Rogaway in [Rog11]. In this framework, the adversary's task is to distinguish between two scenarios: one where real encryption is returned and another where a random bitstring is returned, starting from the first query to ENC. This notion allows us to consider two types of indistinguishability:

- *Encryption Indistinguishability:* This notion ensures that the encryption of each

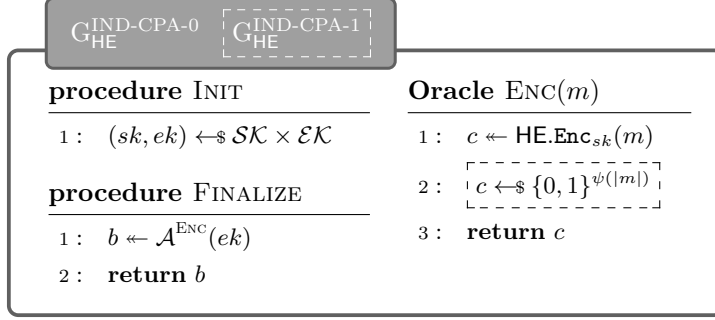


Figure 5: IND-CPA game for a homomorphic encryption scheme HE. We assume the randomness (or noise) $\varepsilon \leftarrow_{\$} \chi$ is sampled by the scheme following a distribution χ instead of queried by the adversary. The dot-boxed part is exclusive to $G_{\text{HE}}^{\text{IND-CPA-1}}$.

message is indistinguishable from a random bitstring, as determined by the behavior of the oracle ENC.

- *Strong Homomorphism:* This notion extends security to indistinguishability between encryption of evaluated plaintexts and the evaluation of ciphertexts. Specifically, $c_i \leftarrow \text{ENC}(m_i)$ for $i \in [n]$ can be either real encryption or a random bitstring, and the adversary \mathcal{A} can freely evaluate $c_{\star} = \text{HE.Eval}_{ek}^f(\langle c_1, \dots, c_n \rangle)$. With this notion, we require that c_{\star} is indistinguishable from $c'_{\star} \leftarrow \text{ENC}(m_{\star})$ made in another query where $m_{\star} = f(m_1, \dots, m_n)$. This ensures the adversary cannot distinguish between these two worlds by querying ENC with m_{\star} , thus ensuring the indistinguishability between $c_{\star} = \text{HE.Eval}_{ek}^f(\vec{c})$ and $c'_{\star} = \text{HE.Enc}_{sk}(m_{\star})$.

Remark 1 (Comments on RoR Security). In the current literature, IND-CPA security is primarily defined using LoR security. However, we argue that RoR security is attainable by some HE schemes and should be preferred over LoR security since it is stronger and it is also easier to adapt this notion to simulation-based proof. For instance, Halevi demonstrated in [Hal17, Lemma 7] that a GSW-like leveled HE scheme achieves this security. Additionally, other schemes such as FHEW [DM15], TFHE [CGGI20], and FINAL [BIP⁺22] are also capable of achieving this, provided they do not have certain structures (e.g., ciphertext modulus) that could inadvertently reveal the computation's progress through the ciphertext's format.

5.2 Construction for Confidential Channel

To clarify our objective in terms of confidentiality, we illustrate a confidential channel, denoted as **CONF**, in Figure 6. In the **CONF** channel, the client A inputs a vector of messages $\langle m_1, \dots, m_n \rangle$ and a circuit f into the channel. The server B sends an evaluated message $m_{\star} = f(m_1, \dots, m_n)$ back to A. During this process, the adversary E can observe only the lengths of the messages $|m_1|, \dots, |m_n|$, the length $|m_{\star}|$, and the circuit f . The adversary E might choose to relay $(f, \langle m_1, \dots, m_n \rangle)$ from A to B, or inject its own messages $(f', \langle m'_1, \dots, m'_n \rangle)$ into the channel and send them to B. The adversary may also relay the message m_{\star} from B to A, or inject its message m'_{\star} and send it to A.

Remark 2 (Comment on channel CONF). In channel **CONF**, we assume that the server B can view and evaluate the messages $\langle m_1, \dots, m_n \rangle$ using the circuit f . However, since we consider homomorphic encryption (HE) as a symmetric-key primitive in this context, B should not actually be able to see these messages to prevent the server from accessing the information. Therefore, in Lines 1 to 4 of Figure 6, we only describe the behavior of B evaluating the circuit. In **CONF**, any adversarial actions are attributed to the adversary

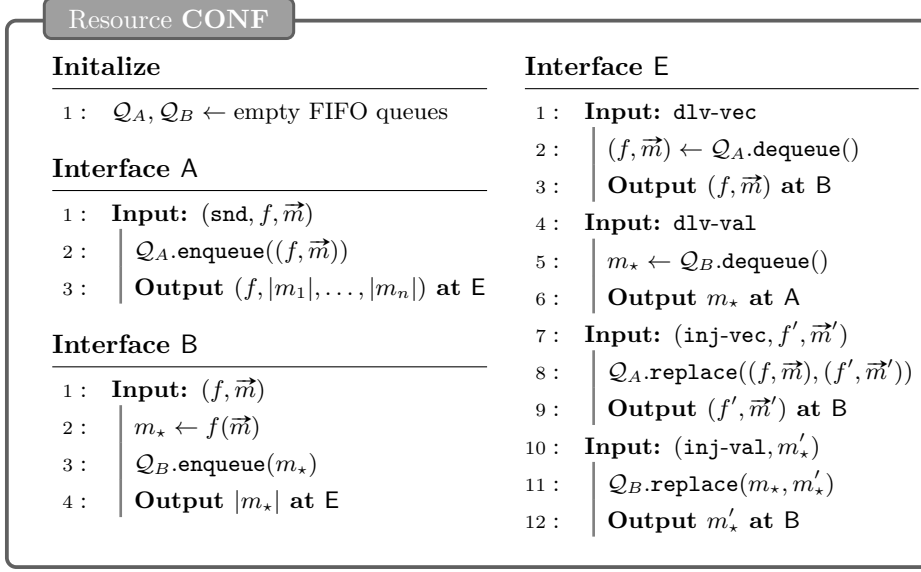


Figure 6: A Confidential Channel (CONF) Resource. We use $\mathcal{Q}.\text{replace}(m, m')$ to represent m is first dequeued from a queue \mathcal{Q} then m' is enqueued to \mathcal{Q} .

E. Indeed, if we can construct this channel with a protocol such that the adversary E can only observe the message length, then we can categorize any party from whom we conceal information as being such an adversary.

In Theorem 2, we show the equivalence between this channel CONF and IND-CPA security. We temporarily assume no operation is executed at the interface in of the converter cli_{he} i.e., no decryption is done upon receiving the evaluated ciphertext c_\star from the channel INS, since we only consider encryption confidentiality with this channel.

Theorem 2. *The protocol $(\text{cli}_{\text{he}}, \text{srv}_{\text{he}})$ constructs resource CONF from $\text{INS}||\text{KEY}$ with respect to (dlv, dlv) and simulator sim as defined in Figure 7. More specifically, for any distinguisher \mathbf{D} and for any adversary \mathcal{A} ,*

$$\Delta^{\mathbf{D}} \left(\text{cli}_{\text{he}}^{\mathbf{A}} \text{srv}_{\text{he}}^{\mathbf{B}} \text{dlv}^{\mathbf{E}} \text{INS} || \text{KEY}, \text{dlv}^{\mathbf{E}} \text{CONF} || \text{KEY} \right) = 0 \quad (1)$$

and

$$\Delta^{\mathbf{D}} \left(\text{cli}_{\text{he}}^{\mathbf{A}} \text{srv}_{\text{he}}^{\mathbf{B}} \text{INS} || \text{KEY}, \text{sim}^{\mathbf{E}} \text{CONF} || \text{KEY} \right) \leq \text{Adv}_{\text{HE}}^{\text{IND-CPA}}(\mathcal{A}) \quad (2)$$

Proof. We begin by proving the security condition (2) by analyzing the input-output behaviors of both systems involved.

- *On input (snd, f, \vec{m}) at interface A:* In the system $\text{cli}_{\text{he}}^{\mathbf{A}} \text{srv}_{\text{he}}^{\mathbf{B}} \text{INS} || \text{KEY}$, the converter cli_{he} encrypts to get $c_i = \text{HE.Enc}_{sk}(m_i)$ for $i \in [n]$. The converter then outputs (snd, f, \vec{c}) for $\vec{c} = \langle c_1, \dots, c_n \rangle$ at A, sending (f, \vec{c}) to the channel INS. Thus, (f, \vec{c}) is delivered at E.

In the system $\text{sim}^{\mathbf{E}} \text{CONF} || \text{KEY}$, the message lengths ℓ_1, \dots, ℓ_n are delivered at E. The simulator sim then samples a vector of bitstrings $\vec{c} = \langle c_1, \dots, c_n \rangle$ such that $|c_i| = \psi(\ell_i)$ for $i \in [n]$, where $\psi : \mathbb{N} \rightarrow \mathbb{N}$ maps the plaintext length to ciphertext length. Then (f, \vec{c}) is added to a simulated client queue \mathcal{Q}_{cli} , and outputted to E via the interface out of sim .

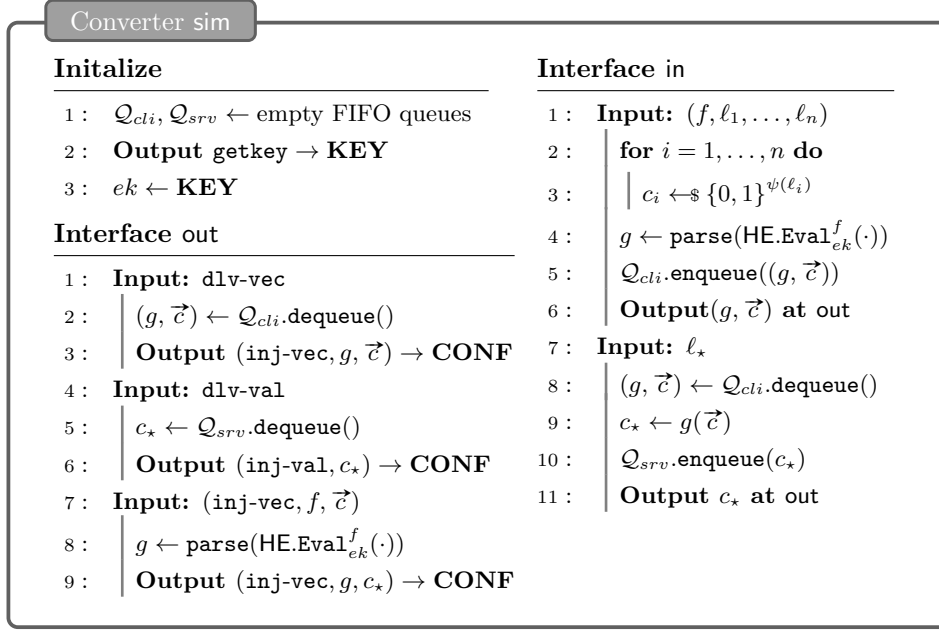


Figure 7: A Simulator Converter sim attached to the resource **CONF**.

- *On input (snd, m_*) or (f, \vec{m}) at interface B:* In the system $cli_{he}^A srv_{he}^B INS || \mathbf{KEY}$, when (f, \vec{c}) is received from **INS**, the converter srv_{he} evaluates $c_* = \text{HE.Eval}_{ek}^f(\vec{c})$, and outputs (snd, c_*) at **B**, sending c_* to **INS**. Then c_* is delivered at **E**.

In the system $sim^E \mathbf{CONF} || \mathbf{KEY}$, upon receiving the length of the evaluated message ℓ_* , the simulator sim first extracts (f, \vec{c}) from the simulated client queue \mathcal{Q}_{cli} , where \vec{c} is generated at the interface in of sim upon receiving ℓ_1, \dots, ℓ_n in previous step. The simulator parses the evaluation algorithm $\text{HE.Eval}_{ek}^f(\cdot)$ as another circuit $g : \mathcal{EK} \times \mathcal{C}^n \rightarrow \mathcal{C}$, and then evaluates $c_* = g(\vec{c})$. The simulator then adds c_* to a simulated server queue \mathcal{Q}_{srv} , and outputs c_* at **E**.

- *On input dl v -vec at interface E:* In the system $cli_{he}^A srv_{he}^B INS || \mathbf{KEY}$, the tuple (f, \vec{c}) is simply extracted from the client queue \mathcal{Q}_A and delivered at interface **B**.

In the system $sim^E \mathbf{CONF} || \mathbf{KEY}$, this process is simulated by extracting (g, \vec{c}) from the simulated client queue \mathcal{Q}_{cli} , where \vec{c} is a vector of random bitstrings sampled at the simulator sim's interface in and g is the parsed circuit from $\text{HE.Eval}_{ek}^f(\cdot)$. Then sim outputs (inj-vec, g, \vec{c}) at **E** to deliver (g, \vec{c}) at interface **B**.

- *On input dl v -val at interface E:* In the system $cli_{he}^A srv_{he}^B INS || \mathbf{KEY}$, the element c_* is extracted from the server queue \mathcal{Q}_B and delivered at interface **A**. This c_* is the evaluated result outputted by the converter srv_{he} i.e., $c_* = \text{HE.Eval}_{ek}^f(\vec{c})$, where (f, \vec{c}) from the queue \mathcal{Q}_A .

In the system $sim^E \mathbf{CONF} || \mathbf{KEY}$, this process is simulated by extracting c_* from the simulated server queue \mathcal{Q}_{srv} where $c_* = g(\vec{c}) = \text{HE.Eval}_{ek}^f(\vec{c})$ for (g, \vec{c}) from the simulated client queue \mathcal{Q}_{cli} . Then sim outputs (inj-val, c_*) at **E** to deliver c_* at interface **A**.

- *On input inj-vec:* In the system $cli_{he}^A srv_{he}^B INS || \mathbf{KEY}$, the interface **E** outputs (f, \vec{c}) at interface **B**. Subsequently, the converter srv_{he} performs the evaluation $\text{HE.Eval}_{ek}^f(\vec{c})$.

In the system $\text{sim}^{\text{ECONF}}\|\text{KEY}$, the simulator translates $\text{HE.Eval}_{ek}^f(\cdot)$ into a circuit g . Then, (g, \vec{c}) is delivered to B , where B computes $g(\vec{c}) = \text{HE.Eval}_{ek}^f(\vec{c})$.

Note that in this case, sim provides a perfect simulation.

- *On input inj-val*: In both systems, any message injected by the adversary E is directly forwarded to the interfaces A . In this case, sim provides a perfect simulation.

Observe that the input-output behaviors of the two system differs on the inputs (snd, f, \vec{m}) , (snd, m_*) , dlv-vec , and dlv-val . Specifically, on inputs (snd, f, \vec{m}) and dlv-vec , the two systems differ on $c_i \leftarrow \text{HE.Enc}_{sk}(m_i)$ and $c'_i \leftarrow \{0, 1\}^{\psi(|m_i|)}$ for $i \in [n]$. On inputs (snd, m_*) and dlv-val , the two systems differ on $c_* \leftarrow \text{HE.Eval}_{ek}^f(\vec{c})$ and $c'_* \leftarrow \text{HE.Eval}_{ek}^f(\vec{c}')$. This exactly describes our definition of IND-CPA game in Figure 5. Then if there is a distinguisher D that distinguishes between these two systems, then we can use it to construct an IND-CPA adversary \mathcal{A} by forwarding queries to get $c_i \leftarrow \text{Enc}(m_i)$ for $i \in [n]$ and running the evaluation on circuit f . Thus, we have that Condition (2) holds.

We now establish the availability condition (1). In system $\text{cli}_{\text{he}}^{\text{A}} \text{srv}_{\text{he}}^{\text{B}} \text{dlv}^{\text{E}} \text{INS}\|\text{KEY}$, when the converter dlv is connected to interface E , any output from the channel INS triggers the inputs dlv-vec and dlv-val . Notably, any (f, \vec{c}) input into INS from cli_{he} is promptly delivered to srv_{he} . Likewise, any c_* input into INS from srv_{he} is immediately conveyed to cli_{he} . Consequently, if the i -th input at interface A is (snd, f, \vec{m}) , then the i -th output back at interface A is $m_* = f(m_1, m_2, \dots, m_n)$, as ensured by the correctness of the HE scheme defined in Definition 3. It is also evident that the same input-output behavior applies to the system $\text{dlv}^{\text{E}} \text{CONF}\|\text{KEY}$. \square

6 Authenticity

6.1 Unforgeability as EUF-CMA

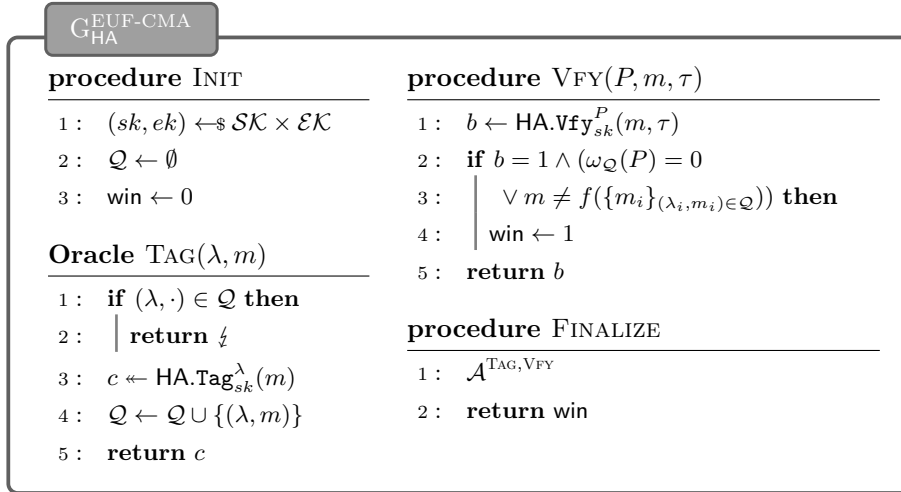


Figure 8: EUF-CMA game for a homomorphic authenticator scheme HA. We use $\omega_{\mathcal{Q}}(P)$ to represent whether the labeled program P is well-defined with respect to \mathcal{Q} . We let $(\lambda, \cdot) \in \mathcal{Q}$ denote if λ has been used in a previous query.

We follow [GW13, CF13] to formalize the unforgeability of an HA scheme with EUF-CMA notion. We illustrate the EUF-CMA game in a homomorphic context in

Figure 8 and define the EUF-CMA advantage in Definition 7. Compared with the game for classical MAC scheme, we introduce an additional requirement (or rather an assumption) in Line 1 such that no label is used for authenticating more than one message. Notably, as indicated in [CF13], such assumption is implicitly present in the HA construction by Gennaro Wicks in [GW13], as well as in all previous works on homomorphic signatures.

We allow the adversary to adaptively make query to a tag oracle TAG and a verification oracle VFY. In Line 2 – 3 of oracle VFY, we specify the winning condition for the game. In addition to a valid tag, we require that either the program is not well-defined with respect to \mathcal{Q} , that is, there is a label that has not been used for authentication, or m is not the correct evaluation of the messages that have been authenticated via oracle TAG. Otherwise, the adversary trivially wins the game.

Definition 7 (EUF-CMA Advantage).

$$\text{Adv}_{\text{HA}}^{\text{EUF-CMA}}(\mathcal{A}) = \Pr[\text{G}_{\text{HA}}^{\text{EUF-CMA}}(\mathcal{A}) \Rightarrow 1]$$

6.2 Construction for Authenticated Channel

We then define a authenticated channel **AUTH** as illustrated in Figure 9. In the channel **AUTH**, the client A inputs into the channel a vector of messages $\langle m_1, \dots, m_n \rangle$ and a circuit f . The server B computes $m_\star = f(m_1, \dots, m_n)$ and sends m_\star back to A. The adversary E sees the message content m_1, \dots, m_n and m_\star , and the circuit f . The adversary E can only deliver $(f, \langle m_1, \dots, m_n \rangle)$ from A to B, and deliver m_\star from B to A.

Similarly as in Remark 2, we use interface B to describe the behavior that the circuit is evaluated on the server side. We assume the server honestly evaluate the circuit, and any adversarial behavior is attributed to E. In **AUTH**, we only allow the adversary to deliver the honestly evaluated message, which express the security goal with **AUTH**.

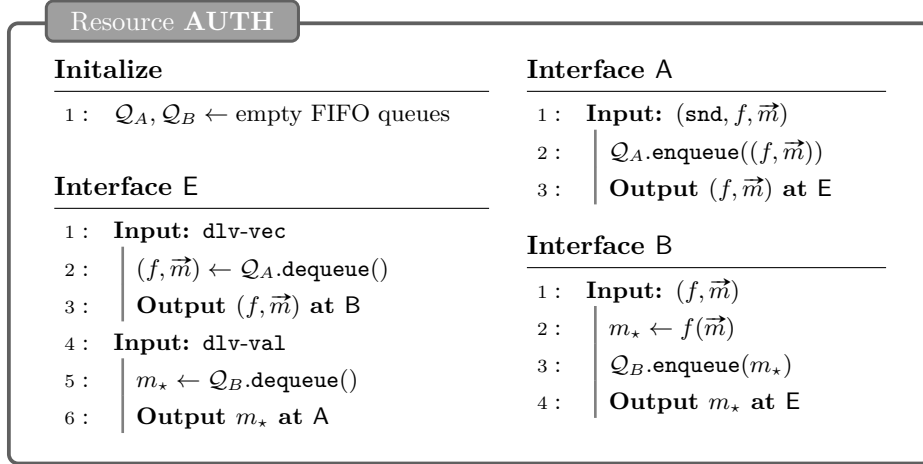


Figure 9: A Authenticated Channel (**AUTH**) Resource.

Theorem 3. *The protocol $(\text{cli}_{\text{ha}}, \text{srv}_{\text{ha}})$ constructs resource **AUTH** from $\text{INS} \parallel \text{KEY}$ with respect to (dlv, dlv) and a simulator sim as defined in Figure 10. More specifically, for any distinguisher \mathbf{D} and any adversary \mathcal{A} ,*

$$\Delta^{\mathbf{D}} \left(\text{cli}_{\text{ha}}^{\text{A}} \text{srv}_{\text{ha}}^{\text{B}} \text{dlv}^{\text{E}} \text{INS} \parallel \text{KEY}, \text{dlv}^{\text{E}} \text{AUTH} \right) = 0 \quad (3)$$

and

$$\Delta^{\mathbf{D}} \left(\text{cli}_{\text{ha}}^{\text{A}} \text{srv}_{\text{ha}}^{\text{B}} \text{INS} \parallel \text{KEY}, \text{sim}^{\text{E}} \text{AUTH} \right) \geq \text{Adv}_{\text{HA}}^{\text{EUF-CMA}}(\mathcal{A}) \quad (4)$$

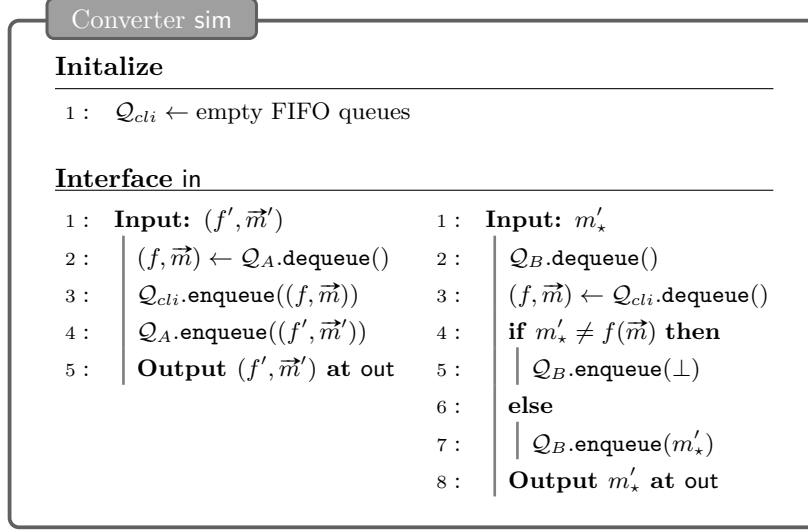


Figure 10: A Simulator Converter sim attached to interface E of AUTH.

Remark 3. We first explain the intuition of the simulator in Figure 10. At the in interface of sim, we consider the tuple (f', \vec{m}') and m'_* as either originating from A and B, or being injected by the adversary E in INS. If (f', \vec{m}') comes from A, it holds that $(f, \vec{m}) = (f', \vec{m}')$, where (f, \vec{m}) is the input provided by A to the channel. Similarly, $m_* = m'_*$ if m'_* is from B. Otherwise, (f', \vec{m}') and m'_* are messages injected by E.

Proof. We begin by proving the security condition (4) by analyzing the input-output behaviors of both systems involved. Note that in the system $\text{cli}_{\text{ha}}^A \text{srv}_{\text{ha}}^B \text{INS} \parallel \text{KEY}$, the tuples $(\vec{\lambda}, \vec{m}, \vec{\tau})$ and $(f, \vec{\lambda}, m_*, \tau_*)$ are transmitted as messages since the protocol $(\text{cli}_{\text{ha}}, \text{srv}_{\text{ha}})$ is attached. However, in the system $\text{sim}^E \text{AUTH}$, we can only focus on the messages \vec{m} and m_* . We analyze the indistinguishability of the two systems from the perspective of m_* since the goal of AUTH is to ensure the honest evaluated of the circuit f .

- *On input (snd, f, \vec{m}) at interface A:* In the system $\text{cli}_{\text{ha}}^A \text{srv}_{\text{ha}}^B \text{INS} \parallel \text{KEY}$, the converter cli_{ha} generates tags $\tau_i = \text{HA.Tag}_{sk}^{\lambda_i}(m_i)$ for $i \in [n]$ with unique labels λ_i . The converter then outputs $(\text{snd}, f, (\vec{\lambda}, \vec{m}, \vec{\tau}))$ at A, sending $(f, (\vec{\lambda}, \vec{m}, \vec{\tau}))$ to the channel INS, which delivers $(f, (\vec{\lambda}, \vec{m}, \vec{\tau}))$ to E.

In the system $\text{sim}^E \text{AUTH}$, it is trivial to see that the simulator sim also outputs the same circuit-message tuple (f, \vec{m}) at interface E.

- *On input (snd, m_*) or (f, \vec{m}) at interface B:* In the system $\text{srv}_{\text{ha}}^A \text{srv}_{\text{ha}}^B \text{INS} \parallel \text{KEY}$, the converter srv_{ha} evaluates $m_* = f(\vec{m})$ and $\tau_* = \text{HA.Eval}_{ek}^f(\vec{\tau})$ upon receiving $(f, (\vec{\lambda}, \vec{m}, \vec{\tau}))$ at in. It then outputs $(\text{snd}, (f, \vec{\lambda}, m_*, \tau_*))$ at B to send $(f, \vec{\lambda}, m_*, \tau_*)$ to INS, which delivers them to E.

In the system $\text{sim}^E \text{AUTH}$, the interface B computes $m_* = f(\vec{m})$ upon receiving (f, \vec{m}) . The simulator sim then directly outputs m_* at E.

- *On input (inj-vec, f', \vec{m}') at interface E (of INS):* In the system $\text{cli}_{\text{ha}}^A \text{srv}_{\text{ha}}^B \text{INS} \parallel \text{KEY}$, the tuple $(f, (\vec{\lambda}, \vec{m}, \vec{\tau}))$ from A is replaced with $(f', (\vec{\lambda}', \vec{m}', \vec{\tau}'))$ from E in the client queue Q_A , and delivered to B.

In the system $\text{sim}^E \text{AUTH}$, the simulator receives the tuple (f', \vec{m}') injected by E at its interface in. The simulator dequeues (f, \vec{m}) , which is the tuple sent by A, from

\mathcal{Q}_A . It then enqueues (f', \vec{m}') from E into \mathcal{Q}_A , and outputs `dlv-vec` at E to deliver (f', \vec{m}') to B .

- *On input `(inj-val, m'_*)` at interface E (of **INS**):* In the system $\text{cli}_{\text{ha}}^A \text{srv}_{\text{ha}}^B \text{INS} \parallel \text{KEY}$, the tuple $(f, \vec{\lambda}, m_*, \tau_*)$ from B is replaced with $(f', \vec{\lambda}', m'_*, \tau'_*)$ from E in the server queue \mathcal{Q}_B , and delivered to A . Depending on whether τ_* authenticates m_* as the output of the program $P = (f', \vec{\lambda}')$, the converter cli_{ha} outputs m'_* or \perp at A .

In the system $\text{sim}^E \text{AUTH}$, the simulator receives m'_* injected by in at its interface E . The simulator dequeues (f, \vec{m}) , which is the original tuple sent by A , from \mathcal{Q}_{cli} , and checks if $m'_* = f(\vec{m})$. If true, it enqueues m'_* into \mathcal{Q}_B , otherwise, it enqueues \perp . The simulator then outputs `dlv-val` at E to deliver m'_* or \perp to A .

- *On input `dlv-vec` at interface E :* In the system $\text{cli}_{\text{ha}}^A \text{srv}_{\text{ha}}^B \text{INS} \parallel \text{KEY}$, the tuple $(f, (\vec{\lambda}, \vec{m}, \vec{\tau}))$ is extracted from the client queue \mathcal{Q}_A and delivered to B .

In the system $\text{sim}^E \text{AUTH}$, the content of \mathcal{Q}_A remains unchanged since the same tuple is first dequeued then enqueued to \mathcal{Q}_A . Thus when the simulator outputs `dlv-val` at E , the same tuple (f, \vec{m}) enqueued into \mathcal{Q}_A at interface A is extracted and delivered at interface B .

- *On input `dlv-val` at interface E :* In the system $\text{cli}_{\text{ha}}^A \text{srv}_{\text{ha}}^B \text{INS} \parallel \text{KEY}$, the tuple $(f, \vec{\lambda}, m_*, \tau_*)$ is extracted from the server queue \mathcal{Q}_B and delivered to A . Depending whether τ_* authenticates m_* with respect to the program $P = (f, \vec{\lambda})$, the converter cli_{ha} outputs m_* or \perp at interface A .

In the system $\text{sim}^E \text{AUTH}$, the tuple (f, \vec{m}) that is sent by A is first extracted from the queue \mathcal{Q}_{cli} . Then depending on whether $m_* = f(\vec{m})$ or not, the message m_* or \perp is outputted at interface A .

First, we analyze the output at interface A of the system $\text{sim}^E \text{AUTH}$. Let (f, \vec{m}) be the message input by A , and let $m_* = f(\vec{m})$. In $\text{sim}^E \text{AUTH}$, any injected tuple (f', \vec{m}') where $m_* \neq f'(\vec{m}')$, or any injected message $m'_* \neq f(\vec{m})$, results in \perp at interface A .

In the system $\text{cli}_{\text{ha}}^A \text{srv}_{\text{ha}}^B \text{INS} \parallel \text{KEY}$, the output is either m_* or \perp , depending on whether τ_* authenticates m_* as the output of a labeled program $P = (f, \vec{\lambda})$. Let $(f, (\vec{\lambda}, \vec{m}, \vec{\tau}))$ be the message input by A , and let $m_* = f(\vec{m})$. We observe that this system differs from $\text{sim}^E \text{AUTH}$ when an evaluated message $m'_* \neq m_*$ is output at interface A instead of \perp . We assume the adversary E does not attempt to inject $m'_* = f(\vec{m})$ with an invalid τ'_* , as this is trivial to accomplish. Now we can list the behaviors at interface E as the following cases:

- (1) **Case 1** $(\text{inj-vec}, f', (\vec{\lambda}, \vec{m}, \vec{\tau}))$ then `dlv-val`: The adversary E injects a different circuit $f' \neq f$ such that $m'_* = f'(\vec{m}) \neq m_*$. The converter srv_{ha} evaluates $m'_* = f'(\vec{m})$ and $\tau'_* = \text{HA.Eval}_{ek}^{f'}(\vec{\tau})$, which produces a valid tag τ'_* . Consequently, the converter cli_{ha} outputs m'_* at A instead of \perp .
- (2) **Case 2** $(\text{inj-vec}, f, (\vec{\lambda}', \vec{m}', \vec{\tau}'))$ then `dlv-val`: The adversary's injection is not well-defined with respect to $\{(\lambda_i, m_i)\}_{\lambda_i \in \vec{\lambda}, m_i \in \vec{m}}$, which corresponds to the condition in Line 2 of oracle VFY in Figure 8. Specifically, the adversary must inject $\vec{m}' \neq \vec{m}$ such that $f(\vec{m}') \neq f(\vec{m}) = m_*$, resulting in a message $m' \in \vec{m}'$ but $m' \notin \vec{m}$. The adversary must forge a tag τ' for m' under a new label $\lambda' \notin \vec{\lambda}$. The converter srv_{ha} honestly evaluates $m'_* = f(\vec{m}')$ and $\tau'_* = \text{HA.Eval}_{ek}^f(\vec{\tau}')$, which produces a valid tag τ'_* . Consequently, a message $m'_* \neq m_*$ is outputted at interface A .
- (3) **Case 3** $(\text{inj-vec}, f', (\vec{\lambda}', \vec{m}', \vec{\tau}'))$ then `dlv-val`: This behavior can be viewed as a combination of Case 1 and Case 2. Thus, if the adversary makes a successful injection

in either Case 1 or Case 2 (resulting in $m'_\star \neq m_\star$ being outputted at interface A), then it can make a successful injection in this case as well.

- (4) **Case 4** *dlv-vec then inj-val*, $(f', \vec{\lambda}, m'_\star, \tau'_\star)$: In the previous step, the tuple $(f, (\vec{\lambda}, \vec{m}, \vec{\tau}))$ is outputted at interface E. The adversary can then change to a different circuit, evaluating $m'_\star = f'(\vec{m})$ and $\tau'_\star = \text{HA.Eval}_{ek}^{f'}(\vec{\tau})$. Since τ'_\star is a valid tag, the converter cli_{ha} outputs $m'_\star \neq m_\star$ at A instead of \perp .
- (5) **Case 5** *dlv-vec then inj-val*, $(f, \vec{\lambda}', m'_\star, \tau'_\star)$: In this case, E does not inject a new circuit. Instead, E injects a different $m'_\star \neq f(\vec{m}) = m_\star$. This corresponds to the condition in Line 3 of oracle VFY in Figure 8. Note that the adversary may or may not choose to inject $\vec{\lambda}' = \vec{\lambda}$, as the winning condition is defined with an OR statement. Thus it is sufficient for the adversary to inject an $m'_\star \neq m_\star$ and forge a valid tag τ'_\star .
- (6) **Case 6** *inj-vec then inj-val*: Similarly, this behavior can be viewed as a combination of Cases 1 or 2. Thus, if the adversary makes a successful injection in Cases 1 and 2, then it can make a successful injection in this case as well.

From this, we can observe that the two system differs if the adversary E injects a different circuit, or the adversary injects a (vector of) message with a (vector of) valid tag, which concludes the condition (4).

We now establish the availability condition (3). In system $\text{cli}_{\text{ha}}^A \text{srv}_{\text{ha}}^B \text{dlv}^E \text{INS} \parallel \text{KEY}$, when the converter *dlv* is connected to interface E, any output from the channel **INS** triggers the inputs *dlv-vec* and *dlv-val*. Notably, any $(f, \vec{m}, \vec{\tau})$ input into **INS** from cli_{ha} is promptly delivered to srv_{ha} . Likewise, any (m_\star, τ_\star) input into **INS** from srv_{ha} is immediately conveyed to cli_{ha} with $\tau_\star = \text{HA.Eval}_{ek}^f(\vec{\tau})$. Consequently, if the i -th input at interface A is (snd, f, \vec{m}) , then the i -th output back at interface A is $m_\star = f(m_1, m_2, \dots, m_n)$ since τ_\star authenticates m_\star thus m_\star is outputted at the interface A instead of \perp , as ensured by the correctness of the HE scheme defined in Definition 4. It is also evident that the same input-output behavior applies to the system $\text{dlv}^E \text{AUTH}$. \square

DISCUSSION ON INTEGRITY NOTIONS. From Theorem 3, we can observe that the **AUTH** channel capture a stronger security that includes both EUF-CMA and *circuit integrity*. Existing integrity notions, including EUF-CMA shown in Figure 8, INT-PTXT (which is the same EUF-CMA) and INT-CTXT as outlined in [JY14], generally focus on whether an adversary can forge a tag or a valid ciphertext relative to a circuit of their choosing.

Nonetheless, the core aim of integrity should be to ensure the *correct evaluation* of the message. Given a pair $(\vec{m}, \vec{\tau})$ and a circuit f , an adversary might not even need to forge anything; instead, they could simply choose a different circuit f' and perform an honest evaluation to produce a deceptive result. This underscores that merely ensuring unforgeability is insufficient. It is crucial to guarantee that the correct evaluation is achieved.

7 Security Composition

Given a confidential channel and an authenticated channel, in accordance with *serial composability* as per Theorem 1, we should be able to construct a secure channel ensuring both confidentiality and authenticity, as depicted in Figure 11. In the channel **SEC**, the client A inputs a vector of messages $\langle m_1, \dots, m_n \rangle$ and a circuit f into the channel. The server B computes $m_\star = f(m_1, \dots, m_n)$ and returns m_\star to A. The adversary E observes the lengths of messages $|m_1|, \dots, |m_n|, |m_\star|$, and the circuit f . E can only intercept $(f, \langle m_1, \dots, m_n \rangle)$ from A to B, and intercept m_\star from B to A.

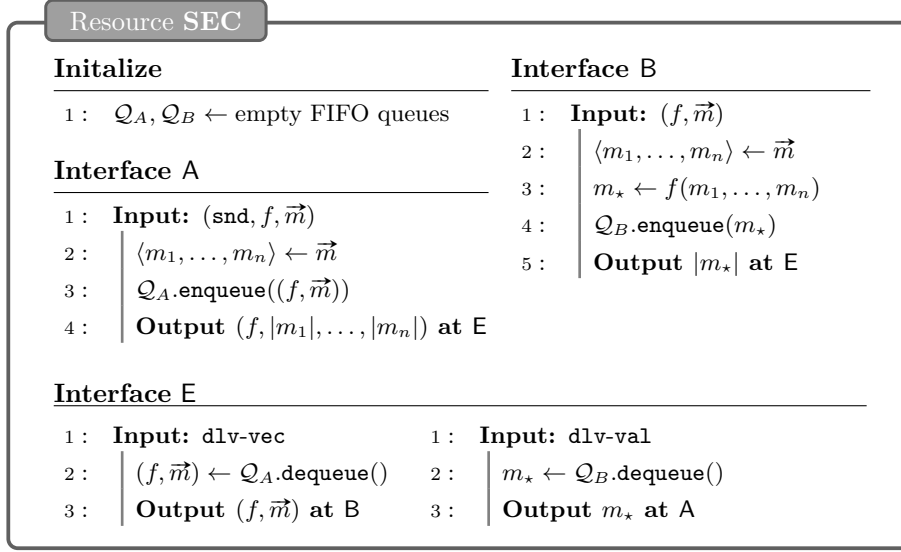


Figure 11: A secure channel resource **SEC**.

We revisit the Encrypt-then-MAC composition (EtM) as in [BN00]. We slightly modify that converter cli_{he} as in Figure such that the evaluation algorithm $\text{HE.Eval}_{ek}^f(\cdot)$ is converted to another circuit $g: \mathcal{C} \rightarrow \mathcal{C}$. Then (snd, g, \vec{c}) is outputted to channel **AUTH**.

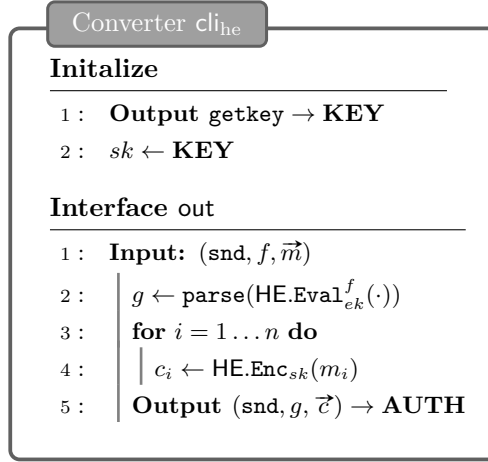


Figure 12: Converters cli_{he} attached to the resource **AUTH** for a client using a homomorphic encryption scheme HE.

Theorem 4. *The protocol $(\text{cli}_{\text{ha}}, \text{id})$ as defined in Figure 12 constructs resource **SEC** from **AUTH||KEY** with respect to (dlv, dlv) and a simulator sim as defined in Figure 13. More specifically, for any distinguisher **D** and any adversary \mathcal{A} ,*

$$\Delta^{\text{D}} \left(\text{cli}_{\text{ha}}^{\text{A}} \text{id}^{\text{B}} \text{dlv}^{\text{E}} \text{AUTH} \parallel \text{KEY}, \text{dlv}^{\text{E}} \text{SEC} \parallel \text{KEY} \right) = 0 \quad (5)$$

and

$$\Delta^{\text{D}} \left(\text{cli}_{\text{ha}}^{\text{A}} \text{id}^{\text{B}} \text{AUTH} \parallel \text{KEY}, \text{sim}^{\text{E}} \text{SEC} \parallel \text{KEY} \right) \leq \text{Adv}_{\text{HE}}^{\text{IND-CPA}}(\mathcal{A}) \quad (6)$$

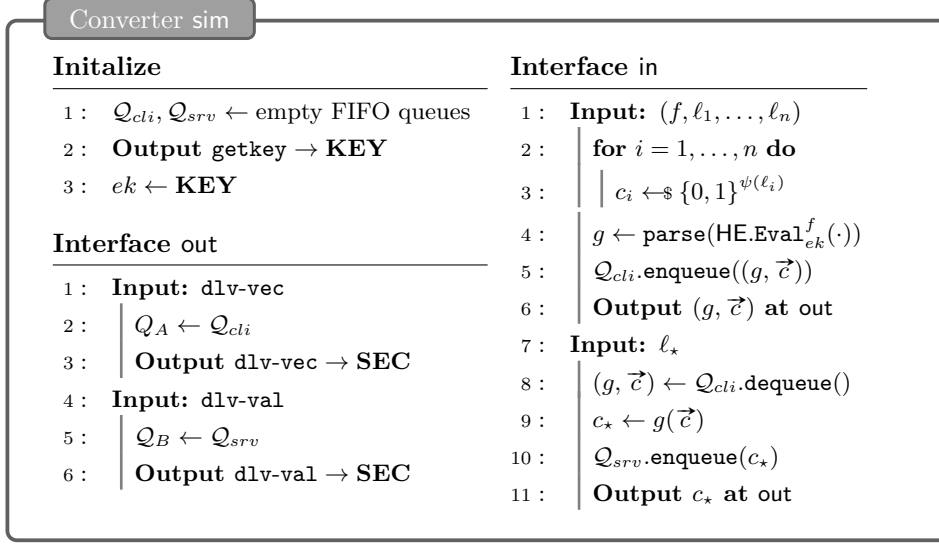


Figure 13: A Simulator Converter sim attached to interface E of SEC.

Proof. We begin by proving the security condition (6) by analyzing the input-output behaviors of both systems involved.

- *On input (snd, f, \vec{m}) at interface A:* In the system $\text{cli}_{\text{he}}^{\text{A}} \text{id}_{\text{he}}^{\text{B}} \text{AUTH} \parallel \text{KEY}$, the converter cli_{he} encrypts to get $c_i = \text{HE.Enc}_{sk}(m_i)$ for $i \in [n]$, and parse the evaluation algorithm HE.Eval as a circuit g . Then the converter outputs (snd, g, \vec{c}) at A, sending (g, \vec{c}) to the channel **AUTH**. Thus, (g, \vec{c}) is delivered at E.

In the system $\text{sim}^{\text{E}} \text{SEC} \parallel \text{KEY}$, the message lengths ℓ_1, \dots, ℓ_n are delivered at E. The simulator converter **sim** then samples a vector of bitstrings \vec{c} such that $|c_i| = \psi(\ell_i)$ for $i \in [n]$, where $\psi : \mathbb{N} \rightarrow \mathbb{N}$ maps the plaintext length to ciphertext length. The simulator similarly parse the evaluation algorithm HE.Eval as a circuit g . Then (g, \vec{c}) is added to a simulated client queue \mathcal{Q}_{cli} , and outputted to E via the interface out of **sim**.

- *On input (f, \vec{m}) at interface B:* In the system $\text{cli}_{\text{he}}^{\text{A}} \text{id}_{\text{he}}^{\text{B}} \text{AUTH} \parallel \text{KEY}$, when (g, \vec{c}) is received from **AUTH**, the converter id follows the exactly the same that B does, i.e., evaluating $c_* = g(\vec{c})$, and outputting c_* at E.

In the system $\text{sim}^{\text{E}} \text{SEC} \parallel \text{KEY}$, upon receiving the length of the evaluated message ℓ_* , the simulator **sim** first extracts (g, \vec{c}) generated at the interface in from the client queue \mathcal{Q}_{cli} , and evaluates $c_* = g(\vec{c})$. Then c_* is added to a server queue \mathcal{Q}_{srv} , and outputs c_* at E.

- *On input dl v -vec at interface E:* In the system $\text{cli}_{\text{he}}^{\text{A}} \text{id}_{\text{he}}^{\text{B}} \text{AUTH} \parallel \text{KEY}$, the tuple (g, \vec{c}) is extracted from the client queue \mathcal{Q}_A and delivered at interface **B**.

In the system $\text{sim}^{\text{E}} \text{SEC} \parallel \text{KEY}$, the simulated client queue \mathcal{Q}_{cli} contains (g, \vec{c}) where \vec{c} is a vector of random bitstrings sampled at the simulator **sim**'s interface in. Then the simulator copies the simulated client queue \mathcal{Q}_{cli} to the actual client queue \mathcal{Q}_A . Then the simulator outputs dl v -vec at interface E to deliver the tuple (g, \vec{c}) at interface **B**.

- *On input dl v -val at interface E:* In the system $\text{cli}_{\text{ha}}^{\text{A}} \text{id}_{\text{ha}}^{\text{B}} \text{AUTH} \parallel \text{KEY}$, the element c_* is extracted from the server queue \mathcal{Q}_B and delivered at interface A. This c_* is the

evaluated result outputted by the converter srv_{he} i.e., $c_\star = g(\vec{c})$, where (g, \vec{c}) from the queue Q_A .

In the system $\text{sim}^{\text{E}}\text{SEC}||\text{KEY}$, the simulated server queue Q_{srv} contains c_\star where $c_\star = g(\vec{c})$ for (g, \vec{c}) from the simulated client queue Q_{cli} . Then the simulator outputs dlv-val at interface **E** to deliver c_\star at interface **A**.

Observe that the input-output behaviors of the two system differs by the value of \vec{c} and c_\star . Similar to proof of Theorem 2, if there is a distinguisher **D** that distinguishes between the two systems, then we can construct an IND-CPA adversary from **D**.

We now establish the availability condition (5). In system $\text{cli}_{\text{he}}^{\text{A}}\text{id}_{\text{B}}^{\text{B}}\text{dlv}^{\text{E}}\text{AUTH}||\text{KEY}$, when the converter dlv is connected to interface **E**, any output from the channel **INS** triggers the inputs dlv-vec and dlv-val . Notably, any (g, \vec{c}) input into **AUTH** from cli_{he} is promptly delivered to **B**. Likewise, any c_\star input into **AUTH** from **B** is immediately conveyed to cli_{he} . Consequently, if the i -th input at interface **A** is (snd, f, \vec{m}) , then the i -th output back at interface **A** is $m_\star = f(m_1, m_2, \dots, m_n)$, as ensured by the correctness of the HE scheme defined in Definition 3. It is also evident that the same input-output behavior applies to the system $\text{dlv}^{\text{E}}\text{SEC}||\text{KEY}$. \square

Remark 4 (Ciphertext Integrity). Note that in Line 2 of the converter cli_{he} in Figure 12, the converter parses the evaluation algorithm HE.Eval as another circuit $g : \mathcal{EK} \times \mathcal{C}^n \rightarrow \mathcal{C}$. We know that the channel **AUTH** guarantees the the honest evaluation of g . This mean this composition gurantees $c_\star = \text{HE.Eval}_{ek}^f(\vec{c})$ where (f, \vec{c}) is sent from the client, capturing the homomorphic INT-CTXT security as discussed in [JY14].

8 Future Works

8.1 Analysis in Public-Key Setting:

In this work, we study Homomorphic Encryption (HE) and Homomorphic Authentication (HA) as symmetric primitives, which are more often used in real-world applications like cloud computing. Initially in [C⁺09], HE is defined as a public-key primitive where encryption is performed using a public key. However, it is essential to also examine these primitives within a public-key framework to gain insights into constructing *Homomorphic Secure Communication* (HSC) in both symmetric and public-key settings. This research specifically investigates the integration of HE and HA. In a public-key context, this should involve examining the combination of HE and Homomorphic Signature (HS) instead.

Specifically, in Section 6.2, we define the interface **B**, representing the server, to consistently compute $f(\vec{m})$ regardless of whether the input originates from the client **A** or an adversary **E**, since the server **B** cannot verify the authenticity of \vec{m} . Conversely, with HS, the authenticity of messages \vec{m} can be publicly verified. Thus, when a converter cli_{hs} is attached, the interface **B** should be capable of rejecting evaluations of messages that do not originate from **A**.

Furthermore, several studies, including [Via23, MN24], have explored the integration of HE with *succinct non-interactive argument of knowledge* (SNARK) to verifiably control the evaluation algorithm. The construction presented in [MN24] follows the Naor-Yung double encryption paradigm, aiming to build a CCA2-secure [BDJR97] FHE. It is worth investigating whether this construction has achieved, or can be extended to achieve, IND-CCA3 security [Shr04], which is equivalent to AE security. At a high level, the channel **AUTH** should be constructible with a SNARK from **INS**, and attaching the protocol $(\text{cli}_{\text{he}}, \text{srv}_{\text{he}})$ should similarly enable the construction of a secure channel.

8.2 Distribution of Circuit and Labels

In channel **INS**, the circuit f is part of the communication between the client A and the server B. Alternatively, f may be pre-shared between the client and the server, thus not included in the message transmission. Consequently, we should consider the channels **INS'**, **CONF'**, **AUTH'**, and **SEC'**, where only \vec{m} and m_\star are transmitted. To address this, we introduce an additional resource **CIRC**, which ensures both confidentiality and authenticity, to distribute the circuit f at interfaces A and B, similar to the resource **KEY** depicted in Figure 2. For confidentiality, if we have

$$\mathbf{INS}' \parallel \mathbf{KEY} \parallel \mathbf{CIRC} \xrightarrow{(\text{cli}_{\text{he}}, \text{srv}_{\text{he}})} \mathbf{CONF}' \parallel \mathbf{KEY} \parallel \mathbf{CIRC},$$

then the scheme **HE** should achieve *circuit privacy* as introduced in [C⁺09].

For authenticity, note that the channel **AUTH'** is equivalent to the channel **AUTH** defined in Figure 9, as the adversary can only deliver the circuit f to the server. In this scenario, we need to consider a different protocol, $(\text{cli}_{\text{he}}, \text{srv}_{\text{he}})$, and a simulator, sim , since the adversary E can no longer inject a different circuit f' into the channel **INS**. Then the channel **AUTH'** should capture the equivalent security as EUF-CMA.

Additionally, observe that in the protocol $(\text{cli}_{\text{ha}}, \text{srv}_{\text{ha}})$, we transmit $\vec{\lambda}$ as part of the message. The purpose of this setting is to align with the EUF-CMA game. However, in practice, the labels $\vec{\lambda}$ do not need to be transmitted, similar to the circuit. This is because the server does not require $\vec{\lambda}$ for evaluation, and the client can verify by retrieving the labels locally. Such a protocol attached to **AUTH** captures a slightly weaker notion than EUF-CMA but is still practical in real-world applications.

8.3 Circuit Integrity

As illustrated in Figure 10, the system $\text{sim}^{\text{E}}\mathbf{AUTH}$ ensures circuit integrity by guaranteeing the correctness of the evaluated result. We refer to this form of security as *Type-I Circuit Security*. However, there is a special case where a different circuit $f'(\vec{m}') = f(\vec{m})$. For example, if $\vec{m}' = (\vec{m}, \vec{0})$ and f' is obtained from f by adding extra **add** gates, or if $\vec{m}' = (\vec{m}, \vec{1})$ and f' is derived from f by adding extra **mult** gates. According to [CF13, JY14], such a forgery with adversary-chosen circuit f is not considered a win since a tag $\tau_\star = \text{HE.Eval}_{ek}^f(\vec{\tau})$ that authenticates m_\star as output of $P = (f, \vec{\lambda})$ may also authenticate \vec{m}' as the output of $P = (f, \vec{\lambda}')$.

In this case, an adversary might inject an alternative circuit (f', \vec{m}') to produce a correctly evaluated result m_\star for the server. Although the result remains correct, the computation could be more complex and time-consuming, potentially increasing the client's costs if computation time is correlated with cost. Therefore, ensuring that the *exact same* circuit is evaluated, particularly when the circuit has not been pre-shared, is also important. We refer to this security property as *Type-II Circuit Security*.

In [GW13], Gennaro and Wichs discussed the construction of a hash tree g^H for a circuit g , which is essentially a *Merkle Tree* that reflects the circuit's structure but replaces internal gates with a *collision-resistant* hash function H . The inputs to g^H are derived from applying a *keyed* PRF f_K on the labels $\lambda_i \in \vec{\lambda}$. This method intuitively provides Type-II circuit integrity, as adding inputs or gates would necessitate access to the key K to generate valid inputs for g^H . Nonetheless, an adversary could still modify the gates within the circuit (e.g. changing an **add** gate to **mult** gate), violating Type-I circuit integrity (as shown in Case 1 of the proof of Theorem 3). Loftus in [LMSV12] suggests viewing the message space as a *ring* $(\mathcal{M}, +, \times)$ and similarly for the ciphertext space as a ring $(\mathcal{C}, \oplus, \otimes)$. These operators should also be hashed when constructing the Merkle Tree. We leave it as future work to improve the approach and present a formal analysis on it.

9 Conclusion

In this study, we revisited homomorphic encryption (HE) and homomorphic authenticators (HA) from a constructive perspective. This approach enabled us to identify the fundamental security goals that HE and HA should achieve without relying on complex game-based notions. By comparing these notions with our security channels, we demonstrated whether the game-based notions achieved the desired security or not.

Additionally, we analyzed the serial composition of HE and HA, corresponding to the Encrypt-then-MAC (EtM) composition. This analysis allowed us to formally demonstrate that EtM, as a generic composition, can also be used to construct homomorphic authenticated encryption (HAE) in the presence of message evaluation.

Our work highlights the importance of composable security in the design of cryptographic primitives. By treating HE and HA as building blocks, we illustrated how secure communication channels can be constructed, a process that is less clear with game-based notions. We also provide insights into future work, including analysis in the public-key setting with the composition of homomorphic encryption and homomorphic signatures (HS), aiming to construct homomorphic secure communication (HSC) in both symmetric and public-key settings.

In conclusion, this research bridges the gap between existing formalism on the composition property of homomorphic cryptographic primitives, offering insights into future work on constructing homomorphic secure communication through the composition of these primitives.

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