Extreme Algebraic Attacks

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Abstract. When designing filter functions in Linear Feedback Shift Registers (LFSR) based stream ciphers, algebraic criteria of Boolean functions such as the Algebraic Immunity (AI) become key characteristics because they guarantee the security of ciphers against the powerful algebraic attacks. In this article, we investigate a generalization of the algebraic attacks proposed by Courtois and Meier on filtered LFSR twenty years ago. We consider how the standard algebraic attack can be generalized beyond filtered LFSR to stream ciphers applying a Boolean filter function to an updated state. Depending on the updating process, we can use different sets of annihilators than the ones used in the standard algebraic attack; it leads to a generalization of the concept of algebraic immunity, and more efficient attacks. To illustrate these strategies, we focus on one of these generalizations and introduce a new notion called Extreme Algebraic Immunity (EAI).

We perform a theoretic study of the EAI criterion and explore its relation to other algebraic criteria. We prove the upper bound of the EAI of an \(n\)-variable Boolean function and further show that the EAI can be lower bounded by the AI restricted to a subset, as defined by Carlet, Méaux and Rotella at FSE 2017. We also exhibit functions with EAI guaranteed to be lower than the AI, in particular we highlight a pathological case of functions with optimal algebraic immunity and EAI only \(n/4\). As applications, we determine the EAI of filter functions of some existing stream ciphers and discuss how extreme algebraic attacks using EAI could apply to some ciphers.

Our generalized algebraic attack does not give a better complexity than Courtois and Meier’s result on the existing stream ciphers. However, we see this work as a study to avoid weaknesses in the construction of future stream cipher designs.

Keywords: Algebraic Immunity · Annihilators · Boolean functions · Stream ciphers

1 Introduction

1.1 The algebraic attack of Courtois and Meier

Twenty years ago, at Eurocrypt 2003 Courtois and Meier [CM03] presented an algebraic attack on filtered Linear Feedback Shift Registers (LFSR), that broke two stream ciphers Toyocrypt and LILI-128 [SDGM00]. The attack impulsed a change in stream cipher designs, showing that using a filter function with a high degree is not sufficient to prevent attacks. More precisely, the attack showed that even using as filter a Boolean function of maximal degree, say \(n\), an adversary can always create an algebraic system of equations of degree at most \(\lceil n/2 \rceil\) in the key variables (in a known plaintext/ciphertext attack model).

We recall the principle of this attack to show its generalization. First we give some necessary notations for filtered LFSRs. The LFSR is applied to a binary key (alone or concatenated with an Initial Value) that we denote by \(x\), hence the state of the LFSR at time \(i\) can be written as \(L^{(i)}(x)\) where \(L\) is the linear transformation induced by the LFSR updating process. At time \(i\), the filter function is applied to the LFSR state to give the \(i\)-th bit of the keystream: \(s_i = f(L^{(i)}(x))\).
If we denote by $d$ the degree of $f$, since $L$ is linear, each $s_i$ can be written as an equation of degree at most $d$ in the key variables (composing $x$). The first attack considering the algebraic properties of $f$ consists in trying to solve this algebraic system of degree $d$. There are many advanced approaches to solve algebraic systems over $\mathbb{F}_2$, such as Gröbner bases algorithms e.g. [Fau99, Fau02] or XL-algorithms [Cou03a], but for simplicity of exposition we will recall the one based on linearization. The linearization approach treats each monomial of degree higher than one as an separate variable, and then solves the linear system newly obtained. Since there are at most $D = \sum_{j=0}^{d} \binom{n}{j} = D_n^d$ monomials of degree up to $d$ in $n$ variables, the complexity of this attack can be estimated by $O(D^\omega)$ where we denote by $\omega$ the exponent for linear algebra.

The algebraic attack proposed by Courtois and Meier [CM03] improves this complexity by not considering (the degree of) $f$, but the one of its products by low degree functions. It corresponds to use Boolean functions $g$ and $h$ of low degree such that $f \cdot g = h$. From the keystream, the adversary can derive equations of the form $s_i \cdot g(L(i)(x)) = h(L(i)(x))$, which are of degree at most $e = \max(\deg(g), \deg(h))$. In [CM03], the authors prove that for any function $f$ there exist functions $g$ and $h$ such that $e \leq \lceil n/2 \rceil$, and $e \leq d$. This result directly leads to a linearization attack with complexity $O(E^\omega)$ where $E = \sum_{j=0}^{e} \binom{n}{j} = D_n^e$, giving an attack that surpasses the one just considers the degree in most of the cases.

It has been shown later that finding low degree functions $g$ and $h$ is equivalent to finding low degree annihilators\(^1\) of $f$ or $f+1$. The minimal value $e$ (relatively to the function $f$) is in fact the minimal degree of a non null function $g$ annihilating $f$ or $f+1$. Thereafter, $e$ has been known as the notion of algebraic immunity [MPC04] of a Boolean function, and this parameter is the one used to bound the complexity of the algebraic attack.

\subsection*{1.2 Generalizing the attack}

We show how to generalize this attack to a larger family of stream ciphers. Instead of considering a filtered LFSR, we generalize to any binary stream cipher design defined by an updating process and a (Boolean) filter function $f$. We still denote $x$ the initial state (key of the cipher), and denote by $U(i)(x)$ the state at time $i$, obtained by applying the updating process $U$ times. The keystream bit $s_i$ is obtained by applying $f$ to $U(i)(x)$. The updating process is the first part to define the attack generalization. It is a linear update $L$ for the case of filtered LFSR, but can be quadratic for stream ciphers using Nonlinear Feedback Shift Registers (NFSR) or more complex.

The second part consists in determining subsets of monomials that appear in the Algebraic Normal Form (ANF, the representation as a multivariate polynomial over $\mathbb{F}_2$) of the annihilators of $f$ or $f+1$. For $u \in \mathbb{F}_2^n$, we denote $x^u$ the monomial defined by $x^u = \prod_{j \in [n]} x_j^{u_j} = \prod_{j \in \text{supp}(u)} x_j$, here $[n]$ denotes the set of integers from 1 to $n$ both included if we use $[n]$. Thereafter the sets of monomials we consider are denoted by subsets $S \subseteq \mathbb{F}_2^n$, and we focus on sets containing all the monomials appearing in the ANF of an annihilator.

Following these notations, let $g$ be an annihilator of $f$, $m \in \mathbb{N}$ be the keystream size, for $i \in [m]$ when $s_i = 1$ we define $S_i$ as the set of monomials in the ANF of $g(U(i)(x))$. We define $S_I$ as the union of the $S_i$ for $i \in I$. When $|I| \geq |S_I|$, there are fewer monomials than equations given by the keystream, then we can apply the aforementioned linearization technique and solve the linear system to obtain the value of each monomial and then the key value. As for the algebraic attack described above, if the system is not too redundant, it gives an attack with time complexity $O(|S_I|^{\omega})$.

In order to further improve the efficiency of algebraic attacks, several approaches are proposed, leading to variants of algebraic attacks. One approach is to consider an annihilator $h$ of $f+1$, to use equations when $s_i = 0$. Another approach is to take advantage of multiple linearly independent annihilators instead of one to produce more equations. For all these variants, the crucial point is the size of the union of sets where the monomials in the ANF of the updated annihilators belong to. The validity of the attack resides in the fact that the support of the ANF of the annihilators should

\(^1\)We say that $g$ is an annihilator of $f$ if $\forall x \in \mathbb{F}_2^n$, $f(x) \cdot g(x) = 0$. 

We investigate the algebraic attack given by the generalization of Courtois and Meier’s attack to with the complexity of the algebraic attack. We call this particular attack Extreme Algebraic Attack (EAA) and related criterion on Boolean functions the Extreme Algebraic Immunity (EAI) since it relies on the subsets of elements with extreme Hamming weight.

### 1.3 Avoiding weaknesses in future designs of stream ciphers

Before entering in the core of the article we emphasize that, up to our knowledge, the attack generalization does not give an attack with better complexity than previously published on a public stream cipher, differently from the attack from Courtois and Meier in 2003. Consequently, we see this work as a study to avoid weaknesses in the construction of future stream cipher designs. The abstraction of the updating function and focus on other sets of monomials than the ones of low degree comes from the specificity of FLIP [MJSC16] stream cipher and Goldreich’s pseudorandom generator [Gol00]. For these designs the updating process is a shuffling, keeping stable all sets of fixed Hamming weight.

Generalized algebraic attacks is a topic of growing importance due to the increasing number of new symmetric schemes created for efficient advanced cryptographic protocols. For example, for Fully Homomorphic Encryption (FHE) protocol, it will be more efficient on the client-side to combine the FHE protocol with a symmetric encryption scheme [NLV11]. To comply with operations that are efficient when performed homomorphically, dedicated symmetric ciphers with simpler algebraic structure than standard ones have been designed, such as [ARS+15, MJSC16, DEG+18, MCJS19b, CHK+21, HKL+22, AMT22, CHMS22, DGH+23]. Despite considerable efforts have been done on the homomorphic evaluation of standard symmetric schemes [GHS12, CLT14, CCF+16] and very recently [BCBS23, BOS23, TCBS23], the efficiency is still not reaching the one of dedicated ciphers [CHK+21, CDPP22, DGH+23].

Symmetric ciphers with simpler algebraic structure have also been introduced to enhance protocols with multiparty computation e.g. [ARS+15, AGR+16, AGP+19, GLR+20, GÖS+W23, DKR+22, KHS+23], zero knowledge proofs such as [GKR+21, GHR+23, BBC+23], and to be easier to mask to prevent side channel attacks [MMMS23]. Most of these ciphers are designed to be more efficient over a particular group $\mathbb{Z}_q$, and called Arithmetization Oriented (AO). Since more and more applications for AO symmetric ciphers are exhibited, designing efficient AO symmetric ciphers is a topic of growing interest, which challenges how simple can be the algebraic structure (for efficiency) while allowing sufficient security. Attacks of such kind as algebraic attacks, higher-order differential attacks and degree evaluations, have showed the vulnerability of such AO symmetric primitives [ACG+19, BBLP22, BCD+20, EGL+20, GAH+23, BCP23, Bar23, BBVY21, LMSI22, LM23, ZWY+23].

### 1.4 Our contributions

We investigate the algebraic attack given by the generalization of Courtois and Meier’s attack to other sets of monomials than the one of low degree. We focus on the notion of extreme algebraic immunity, given by the sets of monomials of low (between 0 and $d$) and high (between $n - d$ and $n$) degree.

More precisely, in Section 3 we define properly the notion of extreme algebraic immunity and
the set of annihilators to take in consideration for the data complexity of the attack. We describe an
algorithm to compute the EAI of a Boolean function, and in the main theorem we prove an upper
bound on the EAI. We also compare this upper bound to the one of the algebraic immunity (that is
\( \lfloor n/2 \rfloor \)) which shows that for most Boolean functions the complexity of the EAA is lower than
the one of the AA.

Then, in Section 4 and Section 5 we study cases where we can show upper bounds (respectively
lower bounds) on the EAI of particular functions. In the first section we exhibit functions with EAI
guaranteed to be lower than the AI. We highlight a pathological case of functions with optimal
algebraic immunity and EAI only \( n/4 \). In Section 5 we show that the EAI can be lower bounded
by the algebraic immunity restricted to a subset, as defined in [CMR17]. We generalize the result
of [CMR17] on the algebraic immunity on a slice, it allows us to derive a lower bound on the EAI
of functions obtained by direct sums. Additionally we exhibit a construction where the EAI and
the AI of a function are the same.

Finally, in Section 6 we discuss the potential applications of the EAA. We study the value of
the EAI for some functions in the literature, together with the dimension of annihilators that can be
used. We also review symmetric primitives that triggered this attack generalization, and explain
why it cannot apply directly. We conclude the paper in Section 7.

1.5 Related works

Other attacks relying on algebraic properties have been exhibited on filtered LFSR after Courtois
and Meier’s attack, such as the Fast Algebraic Attack (FAA) [Cou03b] and probabilistic algebraic
attack [CM03, BP05b]. The FAA considers functions \( g \) and \( h \) such that \( f \cdot g = h \) but with \( h \) of
higher degree than in the AA, using other techniques to cancel the high degree monomials by
summing particular keystream bits. The attack relies on the relations given by the linear updating
process of the LFSR, we did not found a direct relationship between the associated criterion (fast
algebraic immunity) and EAI, nor works generalizing the FAA to other updating processes.

In probabilistic algebraic attacks, the attack considers a function not annihilating \( f \) on all
inputs, but on most of it. In this case, there are more functions satisfying these constraints, but the
algebraic system to solve is then a noisy system, where the equations are true with probability \( 1 - \beta \)
where \( \beta \) denotes the fraction of inputs where the product \( f \cdot g \) is nonzero. The same relaxation of
the annihilators is possible for EAA, directly giving probabilistic extreme algebraic attacks, we
did not explore further this direction since we are not aware of concrete cryptanalyses using these
approaches.

2 Preliminaries

Notations. We use \( [n] \) to denote the set of integers from 1 to \( n \) both included, and + instead of
\( \oplus \) for the addition over \( \mathbb{F}_2 \). For an element \( v \in \mathbb{F}_2^n \) we denote \( w_H(v) \) its Hamming weight
\( w_H(v) = \# \{ i \in [n] \mid v_i = 1 \} \).

We highlight particular subsets of \( \mathbb{F}_2^n \). \( E_{k,n} \) denotes the set \( \{ v \in \mathbb{F}_2^n \mid w_H(v) = k \} \), also referred
as a slice of the Boolean hyper-cube. We use \( P_{k_1,k_2,n} \) to refer to a portion of the hyper-cube, the set
\( P_{k_1,k_2,n} = \bigcup_{k=k_1}^{k_2} E_{k,n} = \{ v \in \mathbb{F}_2^n \mid k_1 \leq w_H(v) \leq k_2 \} \). For these two notations we drop the \( n \)
part when there is no ambiguity. We use \( D_n^d \) to denote the quantity \( \sum_{i=0}^{d} \binom{n}{i} \), which is the cardinal
part of \( P_{0,d,n} \).

We use capital letters to denote matrices, such as \( M \). For matrices \( A \in \mathbb{F}_2^{d_1 \times c_1} \), \( B \in \mathbb{F}_2^{d_2 \times c_2} \)
and \( C \in \mathbb{F}_2^{d_3 \times c_3} \), we denote the concatenation of columns of \( A \), \( B \) as \( (A|B) \in \mathbb{F}_2^{d_1 \times (c_1 + c_2)} \), and
the concatenation of rows of \( A \), \( C \) as \( (A/C) \in \mathbb{F}_2^{(d_1 + d_2) \times c_1} \).
2.1 Boolean Functions, definitions and cryptographic criteria

In this part we provide definitions on Boolean functions and their cryptographic parameters, we refer to e.g. [Car21] for more details.

**Definition 1** (Boolean Function). A Boolean function $f$ with $n$ variables is a function from $\mathbb{F}_2^n$ to $\mathbb{F}_2$. The set of all Boolean functions in $n$ variables will be denoted $B_n$.

**Definition 2** (Algebraic Normal Form (ANF) and degree). We call Algebraic Normal Form of a Boolean function $f$ its $n$-variable polynomial representation over $\mathbb{F}_2$ (i.e. belonging to $\mathbb{F}_2[x_1, \ldots, x_n]/(x_1^2 + x_1, \ldots, x_n^2 + x_n)$):

$$f(x) = \sum_{I \subseteq [n]} a_I \left( \prod_{i \in I} x_i \right) = \sum_{I \subseteq [n]} a_I x^I,$$

where $a_I \in \mathbb{F}_2$.

- The algebraic degree of $f$ equals the global degree of its ANF: $\deg(f) = \max_{I \subseteq [n]} |I|$ (with the convention that $\deg(0) = 0$).
- Any term $\prod_{i \in I} x_i$ in such an ANF is called a monomial and its degree equals $|I|$.

We introduce the following notations to denote sets of functions with monomials of specific degrees only.

**Definition 3** (Function sets $F_d$ and $F_{d,n-d}$). Let $n, d \in \mathbb{N}$ such that $d \leq n$ we denote $F_d$ and $F_{d,n-d}$ the sets of Boolean functions having the following properties on their ANF coefficients $(a_I)_{I \subseteq [n]}$:

$$F_d = \{ f \in B_n, \exists J \in P_{1,d,n} \mid a_J = 1 \text{ and } \forall K \in P_{d+1,n,n} a_K = 0 \},$$

and for $d \leq n/2$:

$$F_{d,n-d} = \{ f \in B_n, \exists J \in P_{1,d,n} \mid a_J = 1 \text{ and } \forall K \in P_{d+1,n-d,n} a_K = 0 \}.$$

$F_d$ denotes the set of (non constant) functions of algebraic degree at most $d$. $F_{d,n-d}$ denotes the set of non constant functions with a non null part of degree at most $d$, no monomials of degree between $d$ and $n - d - 1$ and potentially monomials of degree between $n - d$ and $n$.

The following properties hold:

- for $d \leq n/2$, $F_d \subseteq F_{d,n-d}$.
- $|F_d| = 2^{D_d^2} - 2$ and for $d < n/2$, $|F_{d,n-d}| = (2^{D_d^2} - 2) \cdot 2^{D_{d+1}^2} = 2^{D_d^2} - 2^{D_{d+1}^2} + 1$.

**Definition 4** (Algebraic Immunity [MPC04]). The algebraic immunity of a Boolean function $f \in B_n$, denoted as $AI(f)$, is defined as:

$$AI(f) = \min_{g \neq 0} \{ \deg(g) \mid fg = 0 \text{ or } (f+1)g = 0 \},$$

where $\deg(g)$ is the algebraic degree of $g$. The function $g$ is called an annihilator of $f$ (or $f + 1$). Additionally we denote $AN(f) = \min_{g \neq 0} \{ \deg(g) \mid fg = 0 \}$, and $DAN(f)$ the dimension of the annihilators of $f$ of degree at most $AI(f)$.

We recall the generalization of algebraic immunity studied in [CMR17], named restricted algebraic immunity.

**Definition 5** (Restricted Algebraic Immunity). Let $n \in \mathbb{N}^*$ and $S \subseteq \mathbb{F}_2^n$, the algebraic immunity of a Boolean function $f \in B_n$ restricted to the subset $S$, denoted as $Al_S(f)$, is defined as:

$$Al_S(f) = \min_{g \neq 0 \text{ over } S} \{ \deg(g) \mid fg = 0 \text{ or } (f+1)g = 0 \},$$

where $\deg(g)$ is the algebraic degree of $g$ over $S$, that is the minimal degree over the functions coinciding with $g$ over $S$. 
In [CMR17] the restricted AI is studied principally for the slices, i.e. the subsets $E_{k,n}$. In this paper we will focus on results relative to the subsets $P_{0,d,n}$.

**Definition 6** (Reed Muller code). The Reed Muller code $RM(r, n)$ is the binary code of length $2^n$ whose codewords are the evaluations of all Boolean functions of algebraic degree at most $r$ in $n$ variables on the $2^n$ entries.

We denote $M_{r,n}$ its generator matrix of size $\sum_{i=0}^{r} \binom{n}{i} \times 2^n$ whose term at row indexed by $u \in P_{0,r,n}$ and at column indexed by $x \in \mathbb{F}_2^n$ is given by $x^u = \prod_{i=1}^{n} x_i^{u_i}$.

For a set $S \subseteq \mathbb{F}_2^n$ we denote $M_{r,n}(S)$ the matrix obtained by keeping only the columns of $M_{r,n}$ whose indexes are in $S$.

We recall a property that will be used later one in the article.

**Property 1** (Reed Muller code’s property). Let $r, n \in \mathbb{N}$, such that $n > 0$ and $r \leq n$, the dimension of $RM(r, n)$ is $D^r_n$.

The algebraic immunity of a function can be determined by considering Reed Muller codes, as shown in [CM03]. The main idea consists is the following: the generator matrix of $RM(r, n)$ is split in two parts, one with the columns with entries corresponding to the support of an $n$-variable function $f$, and the other corresponding to the co-support of $f$. Accordingly, the first matrix generates the (evaluations of the) functions $f g$ for all $g$ with degree at most $r$, and the second matrix generates the products $(f + 1) g$. The rank of one of the two matrices to be lower than the dimension of $RM(r, n)$ is equivalent to have a not null annihilator of degree at most $r$. Accordingly, the algebraic immunity of $f$ is the smallest $r$ such that $\text{rank}(M_{r,n}) \neq \text{rank}(M_{r,n}(\text{supp}(f)))$ or $\text{rank}(M_{r,n}) \neq \text{rank}(M_{r,n}(\text{supp}(f + 1)))$.

We recall the secondary construction of Boolean functions called direct sum, it will be used to build examples of functions with particular parameters in the article.

**Definition 7** (Direct Sum). Let $f$ be a Boolean function of $n$ variables and $g$ a Boolean function of $m$ variables, $f$ and $g$ depending on distinct variables, the direct sum $\psi$ of $f$ and $g$ is defined by:

$\psi(x, y) = f(x) + g(y)$, where $x \in \mathbb{F}_2^n$ and $y \in \mathbb{F}_2^m$.

### 2.2 Symmetric Boolean functions

Symmetric Boolean functions are Boolean functions such that changing the order of the (binary) input does not change the output. Their cryptographic parameters and properties have been studied in multiple works such as [Car04, CV05, BP05a, DMS06, QLF07, SM07, QFLW09, CL11], and more recently [TLD16, CM19, CZGC19, Méa19, Méa21, CM22].

**Definition 8** (Symmetric Functions). Let $n \in \mathbb{N}^*$, the Boolean symmetric functions are the functions which are constant on each $E_{k,n}$ for $k \in [0, n]$. We focus on 2 families of symmetric functions:

- Elementary symmetric functions. Let $k \in [0, n]$, the elementary symmetric function of degree $k$ in $n$ variables, denoted $\sigma_{k,n}$, is the function whose ANF contains all monomials of degree $k$ and no monomial of other degrees. When $n$ is unambiguous from the context we denote $\sigma_{k,n}$ as $\sigma_k$.

- Threshold Functions. Let $d \in [0, n]$, the threshold function of threshold $d$ is defined as:

$$\forall x \in \mathbb{F}_2^n, \quad T_{d,n}(x) = \begin{cases} 
0 & \text{if } w_H(x) < d, \\
1 & \text{otherwise}.
\end{cases}$$

We will provide examples using threshold functions, we recall here some properties on elementary symmetric and threshold functions necessary for the proofs later on.
Property 2. Let $n \in \mathbb{N}^*$ and $1 \leq d \leq n$ the following properties hold on symmetric functions:

1. Simplified representation.

The $n$-variable elementary symmetric functions form a basis of the $n$ variable functions, we refer to the Simplified Algebraic Normal Form (SANF) for the polynomial representation of a symmetric function as the sum of elementary symmetric functions: $f = \sum_{i=0}^{n} \lambda_i \sigma_i$, where $\lambda_i \in \mathbb{F}_2$.

2. Product of elementary symmetric functions, e.g. [BP05a] Lemma 1.

Let $a, b \in \mathbb{N}$, $\sigma_a \sigma_b = \sigma_c$ where $c = \text{bin}(a) \cup \text{bin}(b)$ where $\text{bin}(\cdot)$ represents the binary decomposition.

3. Algebraic immunity e.g. [CM22], Proposition 3.

$\text{AI}(T_{d,n}) = \min(d, n - d + 1)$, $\text{AN}(T_{d,n}) = n - d + 1$, $\text{AN}(1 + T_{d,n}) = d$.

4. SANF structure [Méa19]:

- The SANF is periodic with period $D = 2^{\lceil \log(d) \rceil}$; $\forall i \in [n] \lambda_i = \lambda_i \mod D$, where $\mod D$ in this context denotes the integer between 1 and $D$ in such congruence class.
- The elements in the SANF $\mod D$ belongs to an interval: $\lambda_i = 1 \Rightarrow i \mod D \in [d, D]$.
- The border of the intervals are in the SANF: $\forall i \in [n]$ such that $i = d \mod D$ or $i = D \mod D$, $\lambda_i = 1$.

3 Extreme algebraic immunity

In this section we define the extreme algebraic immunity, this criterion is designed for the case where the set defined by the union of monomials of degree from 0 to $d$ and from $n - d$ to $n$ is kept invariant by the updating process.

First, we define the criterion of EAI of a Boolean function, and the associated set of annihilators to take into consideration for the (data) attack complexity. Then, we exhibit the relationship between EAI and (punctured) Reed Muller codes. Finally, we prove the maximum value that the EAI can reach in the main theorem of the section, and discuss its impact on the attack compared to the standard algebraic immunity.

Definition 9 (Extreme Algebraic Immunity). The extreme algebraic immunity of a Boolean function $f \in \mathbb{B}_n^*$, denoted as $\text{EAI}(f)$, is defined as:

$$\text{EAI}(f) = \min_{1 \leq d \leq n/2} \{ \exists g \in \mathcal{F}_{d,n-d} \mid gf = 0 \text{ or } (f+1)g = 0 \}.$$ 

The EAI criterion generalizes the one of AI, instead of considering the smallest $d$ such that $f$ (or $f+1$) admits an annihilator in $\mathcal{F}_d$ it considers the smallest $d$ such that $\mathcal{F}_{d,n-d}$ contains an annihilator.

Note that, by definition of $\mathcal{F}_{d,n-d}$, such annihilator have a degree at most $d$ part which is not null. The reason to consider such annihilators, rather than the ones having only monomials of degree at least $n - d$ is to prevent to mount an attack with equations allowing to recover only the value of the high degree monomials, and not the variables. For example, the function $\prod_{i=1}^{n} x_i$ annihilates all functions not null in $1_n$, that is half of $\mathcal{B}_n$.

For the data complexity of the extreme algebraic attack the number of annihilators of $f$ or $f+1$ inside $\mathcal{F}_{d,n-d}$ is important since as for the algebraic attack, linearly independent annihilator can be used to produce more than one equation per keystream bit. Similarly to the DAN for the algebraic attack, we consider the cardinal of the set of annihilators of $f$ that can be used for the attack.
Theorem 1. Let $f$ be a function in $\mathbb{B}_n$ non constant. We denote $\text{DEAN}(f)$ the cardinal of the sets annihilators of $f$ from $\mathcal{F}_{\text{EAI}}(f)$. 

In Definition 10, the annihilators considered have at least one monomial in the part degree at least $d$, since annihilators that would be null on this part lead to equations allowing to recover only the high degree monomials, as noted previously. We consider this set rather than all linear combinations obtained from these annihilators since the linear combinations can be null of the low degree part.

In the following proposition we exhibit the relationship between extreme algebraic immunity and (punctured) Reed-Muller codes. It generalizes the result of Courtois and Meier on the algebraic immunity. Thereafter we prove an upper bound on the EAI of any function in the main theorem of this section.

**Proposition 1.** Let $n \in \mathbb{N}^*$ and $f \in \mathbb{B}_n$ non constant. We denote $S = M_{n,n}((\text{supp}(f)))$, $C = M_{n,n}((\text{supp}(f+1)))$ and $S^i$ (respectively $C^i$) the sub-matrix of $S$ (respectively $C$) formed by the rows indexed by the monomials from degree $i$ to $j$.

Then, $\text{EAI}(f)$ is the smallest $d$ such that either $\text{rank}(S^d/S^{n-d}) < D^d_0 + \text{rank}(S^{n-d})$ or $\text{rank}(C^d/C^{n-d}) < D^d_0 + \text{rank}(C^{n-d})$.

**Proof.** We prove the statement by showing that $f$ (respectively $(f + 1)$) has an annihilator in $\mathcal{F}_{d,n-d}$ if and only if $\text{rank}(S^d/S^{n-d}) < D^d_0 + \text{rank}(S^{n-d})$ (respectively $\text{rank}(C^d/C^{n-d}) < D^d_0 + \text{rank}(C^{n-d})$). Without loss of generality we consider the case of $f$ having such annihilator. Assume $f$ admits an annihilator $g \in \mathcal{F}_{d,n-d}$, then $g$ can be written as $g_1 + g_2$ with $g_1$ containing monomials with degree belonging to $[d]$ and $g_2$ containing the ones of degree at least $n-d$, and $g_1$ is not null. $(g_1 + g_2)f = 0$ therefore $g_1f = g_2f$, and we consider the two cases $g_1f = 0$ and $g_1f \neq 0$:

- If $g_1f = 0$, then a non null linear combination of the products of $f$ by the monomials of degree at most $d$ is giving the null function. That is, a non null linear combination of the rows of $S^d_0$ gives $0_{2^n}$, therefore $\text{rank}(S^d_0/S^{n-d}) < \sum_{i=0}^{d} \binom{n}{i} = D^d_0$. Hence $\text{rank}(S^d_0/S^{n-d}) < \sum_{i=0}^{d} \binom{n}{i} = D^d_0 + \text{rank}(S^{n-d})$.

- If $g_1f \neq 0$, then a (non null) linear combination of the products of $f$ by the monomials of degree at most $d$ equals a (non null) linear combinations of the products of $f$ by monomials of degree at least $n-d$. That is $\text{rank}(S^d_0/S^{n-d}) < \text{rank}(S^d_0) + \text{rank}(S^{n-d})$. Since $\text{rank}(S^d_0) \leq D^d_0$, it gives the final result.

For the reverse implication, if $\text{rank}(S^d_0/S^{n-d}) < D^d_0 + \text{rank}(S^{n-d})$, then either $\text{rank}(S^d_0) < D^d_0$ or $\text{rank}(S^d_0) = D^d_0$ and there is at least a non null element belonging to the span of both matrices. In the first case it implies that $f$ has an annihilator in $\mathcal{F}_{d,n-d}$ and therefore in $\mathcal{F}_{d,n-d}$. The second case implies that a linear combination of the products of $f$ by monomials of degree at least $n-d$ give the same function as another non null combination of products of $f$ by monomials of degree at most $d$. Therefore, $f$ admits an annihilator in $\mathcal{F}_{d,n-d}$. \qed

**Theorem 1.** Let $n \in \mathbb{N}$, $n \geq 2$ and $f \in \mathbb{B}_n$, then:

$$\text{EAI}(f) \leq \min \left( d \mid D^d_0 > \frac{1}{3} \cdot 2^n \right).$$

**Proof.** In this proof, first using the notations from Proposition 1 we show that $\text{rank}(S^{n-d}_0/C^{n-d})$ is $D^d_0$ and then we use it to determine a value of $d$ such that the equalities $\text{rank}(S^d_0/S^{n-d}) = D^d_0$ and $\text{rank}(S^d_0/C^{n-d}) = D^d_0$ are not both possible.

First, we show that for $d \in [0, n]$ $\text{rank}(S^{n-d}_0/C^{n-d}) = D^d_0$. Since $S$ and $C$ are defined by the support and co-support of $f$, permuting the columns of $S^{n-d}_0$, $C^{n-d}_0$ we obtain $M^{n-d}_0$ the sub-matrix of $M_{n,n}$ restricted to the rows corresponding to degree at least $n-d$. Since $\text{RM}(n,n)$
has length $2^n$ and dimension $2^n$ (Property 1), $M_{n-d}^n$ has rank $D_d^n$. Thereafter we use the following fact:
\[ R = \max\{\text{rank}(S_{n-d}^n), \text{rank}(C_{n-d}^n)\} = \left\lfloor \frac{D_d^n}{2} \right\rfloor + r, \]
where $r$ is a positive integer.

Then, we derive conditions on $d$ such that one of the two equalities cannot be satisfied anymore. Since $S$ has $|\text{supp}(f)|$ columns (i.e. $S \in \mathbb{F}_2^{2^n \times |\text{supp}(f)|}$) and $C$ has $|\text{supp}(f) + 1|$ columns (i.e. $C \in \mathbb{F}_2^{2^n \times |\text{supp}(f) + 1|}$), the rank of sub-matrices obtained by these matrices is upper bounded by these quantities. Abstracting which matrix corresponds to $C \in \mathbb{F}_2^{n \times 2}$ has length $n$, and dimension $2^n$.

Then, we consider two possibilities:

- **a)** The biggest support is the one where the $D_d^n$ last rows of $S_{n-d}^n$ have rank $R$, i.e. $R = \text{rank}(S_{n-d}^n)$, which is depicted in Figure 1a. In this case both equalities are possible only if:
  \[ D_d^n + R \leq 2^{n-1} + u, \text{and} \ 2D_d^n - R \leq 2^{n-1} - u. \]
  Equation (1) implies:
  \[ \frac{3}{2}D_d^n + r \leq 2^{n-1} + u, \text{and} \ \frac{3}{2}D_d^n - r \leq 2^{n-1} - u. \]
  That is:
  \[ \frac{3}{2}D_d^n \leq 2^{n-1} + (u - r), \text{and} \ \frac{3}{2}D_d^n \leq 2^{n-1} - (u - r). \]
  Therefore:
  \[ \frac{3}{2}D_d^n \leq 2^{n-1} - |u - r|, \]
  where $| \cdot |$ denotes the absolute value.

- **b)** The smallest support is the one where the $D_d^n$ last rows of $C_{n-d}^n$ have rank $R$, i.e. $R = \text{rank}(C_{n-d}^n)$, which is depicted in Figure 1b. In this case both equalities are possible only if:
  \[ D_d^n + R \leq 2^{n-1} - u, \text{and} \ 2D_d^n - R \leq 2^{n-1} + u. \]
  Equation (1) implies:
  \[ \frac{3}{2}D_d^n + r \leq 2^{n-1} - u, \text{and} \ \frac{3}{2}D_d^n - r \leq 2^{n-1} + u. \]
That is:
\[ \frac{3}{2}D_d^n \leq 2^{n-1} - u - r, \text{ and } \frac{3}{2}D_d^n \leq 2^{n-1} + u + r. \]

Therefore:
\[ \frac{3}{2}D_d^n \leq 2^{n-1} - |u + r|. \quad (3) \]

Since both \( u \) and \( r \) are positive integers, when \( d \) is such that \( D_d^n \geq \frac{1}{2} \cdot 2^n \) neither of Equations 2 and 3 holds. Thereafter, either
\[ D_d^n + \text{rank}(S_{n-d}^n) > \text{rank}(S) \geq \text{rank}(S_d^n/S_{n-d}^n) \]
or
\[ D_d^n + \text{rank}(C_{n-d}^n) > \text{rank}(C) \geq \text{rank}(C_d^n/C_{n-d}^n) \]
holds. Using Proposition 1, we can conclude \( \text{EAI}(f) \leq d \).

\[ \square \]

Remark 1. Note that the algebraic immunity is upper bounded by \( \lceil n/2 \rceil \) as shown in [CM03]. Using the approach displayed in the proof of Theorem 1 it corresponds to the smallest \( d \) such that \( D_d^n > 2^{n-1} - u \). Since \( u \) is positive (null for balanced functions), the bound on the AI is the smallest \( d \) such that \( D_d^n > \frac{2n}{3} \). It is to compare with \( \frac{2n}{3} \) for EAI, thereafter the upper bound on the EAI is smaller than the one on AI for all odd \( n \) greater than 1 and even \( n \) greater than 4.

The theorem shows that the EAI upper bound is smaller than the AI one, since for each function such that \( 2D_{\text{EAI}(f)} < D_{\text{AI}(f)} \) the EAA has a better time complexity than the standard AA we can expect the EAA to be more efficient for many functions. We also remark that if other sets than \( P_{1,d,n} \cup P_{n-d,n,n} \) are considered, for example with less slices in the range \( [n-d,n] \), Proposition 1 and Theorem 1 can also be adapted since the same arguments can be applied to other punctured Reed Muller codes.

4 Functions such that \( \text{EAI} \neq \text{AI} \)

As a preliminary remark, let us denote by \( e_n \) the bound from Theorem 1, then we obtain that for any function \( f \) such as \( \text{AI}(f) \geq e_n \) it holds \( \text{EAI}(f) < \text{AI}(f) \). This is the case for all functions with optimal algebraic immunity, and in general for an overwhelming part of \( B_n \), since most functions have AI larger than \( \frac{2n}{3} - \sqrt{\frac{n}{3} \ln \left( \frac{n}{2\ln(2)} \right)} \) for all \( a < 1 \) when \( n \) tends to infinity, as shown by Didier [Did06]. In the next proposition we give a different example of constructions such that \( \text{EAI} \neq \text{AI} \).

Proposition 2. Let \( n, t \in \mathbb{N}^*, t \leq n/3, \) and \( g \in B_n \) non constant such that \( \deg(g) < t \) then the following holds on \( f = g + T_{n-t,n} \):
\[ \text{EAI}(f) \leq t, \text{ and } \text{AI}(f) \geq t + 1. \]

Proof. First, since \( g \) has degree lower than \( t \) and is not constant, and \( T_{n-t,n} \) has only monomials of degree at most \( n - t \) (Property 2 Item 4), \( f \) belongs to \( F_{t,n-t} \). Accordingly, \( 1 + f \) also belongs to \( F_{t,n-t} \) and since \( 1 + f \) annihilates \( f \) it guarantees \( \text{EAI}(f) \leq t \).

Then, we show that \( \text{AI}(f) \geq t + 1 \). We show it by contradiction. Let us assume that there exists \( h \) non null of degree at most \( t \) such that \( h(e + g + T_{n-t,n}) = 0 \), where \( e \in \{0, 1\} \). Since \( g \) has degree lower than \( t \leq n/3 \) the product \( h(e + g) \) has degree lower than \( 2n/3 \). Using Property 2 Item 3, \( T_{n-t,n} \) has no annihilator of degree lower than \( t + 1 \), therefore the product \( h(T_{n-t,n}) \) contains terms of degree at least \( 2n/3 \). Therefore, \( h(e + g + T_{n-t,n}) = 0 \) is impossible leading to a contradiction. It allows us to conclude \( \text{AI}(f) \geq t + 1 \).

\[ \square \]
The gap between EAI and AI can be bigger than in the previous example, we illustrate it in the following proposition. It allows us to exhibit functions supposed to be safe against algebraic attacks, that should not be used in contexts were the extreme algebraic attack can apply.

**Proposition 3.** Let $m \in \mathbb{N}^*$ and $k \in \mathbb{N}$ such that $k < 2^{m-1}$, then the threshold function $T_{2^m,2^m+2k}$ is such that:

$$\text{EAI}(T_{2^m,2^m+2k}) = k, \text{ and } \text{AI}(T_{2^m,2^m+2k}) = 2k + 1.$$  

Furthermore,

$$\text{EAI}(T_{2^m,2^m+2k+1}) = k + 1, \text{ and } \text{AI}(T_{2^m,2^m+2k+1}) = 2k + 2.$$  

**Proof.** First, we obtain the AI of these functions using Property 2 Item 3: $\text{AI}(T_{2^m,2^m+2k}) = \min(2^m, 2^m+2k-2^m+1) = 2k+1$ and $\text{AI}(T_{2^m,2^m+2k+1}) = \min(2^m, 2^m+2k+1-2^m+1) = 2k$ since $k < 2^{m-1}$.

Then, we prove the value of EAI for $f = T_{2^m,2^m+2k}$. We begin by showing that $\text{EAI}(f) \leq k$. Using Property 2 Item 4 we obtain $f = \sigma_{2^m,2^m+2k}$. The function $g = \sigma_{k,2^m+2k} + \sigma_{2^m+k,2^m+2k}$ annihilates $f$: $fg = \sigma_{2m+k,2m+2k} + \sigma_{2m+k,2m+2k} = 0$ using Property 2 Item 2. Since $g$ belongs to $F_{2^m,2^m+2k}$, it gives $\text{EAI}(f) \leq k$. To prove the other part, $\text{EAI}(f) > k - 1$, for any function $h$ non null of degree $d$ lower than $k$, we obtain that the product $hf$ has degree lower than $2^m + k$ and is not null since $\text{AI}(f) = 2k + 1$ (note that the same arguments apply with $1 + f$). The product of $f$ with any function with monomials of degree between $2^m + 2k - d$ and $2^m + 2k$ is null or with monomials of degree greater than or equal to $2^m + 2k - d > 2^m + k$. Thereafter, no element of $F_{d,n-d}$ annihilates $f$ (nor $1 + f$), therefore $\text{EAI}(f) > k - 1$, allowing to conclude $\text{EAI}(f) = k$.

Finally, we prove the value of EAI for $f = T_{2^m,2^m+2k}$. Using similar arguments as above for $T_{2^m,2^m+2k}$, we can exhibit annihilators of $f$ inside $F_{(k+1),n-(k+1)}$ such as $\sigma_{k,2^m+2k+1} + \sigma_{2^m+k,2^m+2k+1}$ and $\sigma_{k+1,2^m+2k+1} + \sigma_{2^m+k+1,2^m+2k+1}$. Moreover, there are no annihilators in $F_{n-k,n}$, since functions of degree at most $k$ give non null products of degree at most $2^m + k$ and product with functions with monomials in the range of degree $[2^m + k + 1, 2^m + 2k + 1]$ give products null of with monomials of degree at least $2^m + 2k + 1 - k = 2^m + k + 1$. It allows to conclude, $\text{EAI}(f) = k + 1$.

\[\square\]

Note that for such functions the EAI is (around) twice lower than the algebraic immunity. In particular, some functions from this family are example where the EAA is devastating, the functions $T_{2^m,2^m+1-1}$ have optimal AI, i.e. $(n+1)/2$, but their EAI is only $n/4$.

## 5 Upper bound on EAI, and functions such that EAI = AI

In this part we study upper bounds on the EAI and exhibit cases where the EAI is equal to the AI. First, we show that the EAI is greater than the AI restricted to the slices of low Hamming weight. Then, we generalize a result of [CMR17] related to the algebraic immunity restricted to one slice. We prove in Theorem 2 that for functions obtained by direct sum, the restricted AI can be upper bounded by the AI of one component function minus the degree of the other component function. Finally, we use these results to exhibit cases where the EAI is at least AI plus one, or equal to AI.

**Proposition 4.** Let $n \in \mathbb{N}^*$, and $f$ an $n$-variable Boolean function, then:

$$\forall k \in \mathbb{N}^*, k < \frac{n}{2}, \text{ EAI}(f) \geq \text{AI}_{P_{0,k,n}}(f).$$

**Proof.** We denote $\text{AI}_{P_{0,k,n}}(f) = t$, using Definition 5 it means that $f$ or $f + 1$ admits an annihilator of degree $t$ over $P_{0,k,n}$ which is not null over $P_{0,k,n}$, and this property does not hold for integers lower than $t$. Note that in the particular case of the set $P_{0,k,n}$, the sub-matrix of $M_{k,n}$ obtained
by taking the columns corresponding to \( P_{0,k,n} \) is upper triangular with ones on the diagonal, then invertible, therefore there are no not null function of degree at most \( k \) null over \( P_{0,k,n} \). Accordingly, using the matrix representation, \( \text{AI}_{P_{0,k,n}}(f) = t \) implies that:

\[
\text{rank}(M_{t-1,n}(\text{supp}_f \cap P_{0,k,n})) = \text{rank}(M_{t-1,n}(\text{supp}_f \cap P_{0,k,n})) = \sum_{i=0}^{t-1} \binom{n}{i} = D_{t-1}^n.
\]

Since the rank of the matrix \( M_{t-1,n}(\text{supp}_f \cap P_{0,k,n}) \) is already the maximal and it gives the rank of \( M_{t-1,n}(\text{supp}_f) \), and the same argument on \( M_{t-1,n}(\text{supp}_{f+1} \cap P_{0,k,n}) \) leads to

\[
\text{rank}(M_{t-1,n}(\text{supp}_{f+1})) = D_{t-1}^n.
\]

We denote \( S = M_{n,n}(\text{supp}_f) \), \( C = M_{n,n}(\text{supp}_{f+1}) \) and \( S_0^n \) (respectively \( C_0^n \)) the sub-matrix of \( S \) (respectively \( C \)) formed by the rows indexed by the monomials from degree \( i \) to \( j \). Then, in the previous paragraph we showed \( \text{rank}(S_0^{t-1}) = \text{rank}(C_0^{t-1}) = D_{t-1}^n \) where the rank comes from the \( P_{0,k,n} \) part, and in the following we show that \( \text{rank}(S_0^{n-k} \cap \text{supp}_f \cap P_{0,k,n}) = 0_{2^n} = \text{rank}(C_0^{n-k} \cap \text{supp}_f \cap P_{0,k,n}) \). By construction \( S_0^{n-k} \) is a reordering of the last \( D_{n-k}^n \) rows of \( M_{n,n} \) which corresponds to the monomials of degree at least \( n-k \), therefore being null on all elements of Hamming weight lower than \( n-k \), a fortiori on \( P_{0,k,n} \) since \( k < n/2 \). Then, all elements in the span of \( S_0^{n-k} \) (respectively \( C_0^{n-k} \)) are null on \( \text{supp}_f \cap P_{0,k,n} \) whereas only the null vector has this property in the span of \( S_0^{t-1} \) (respectively \( C_0^{t-1} \)).

Finally, since \( S_0^{n-t} \) is a sub-matrix of \( S_0^{n-k} \), we obtain \( \text{rank}(S_0^{n-t}) = D_{n-t}^n \) and \( \text{rank}(S_0^{n-t-n}) \), and the same result relatively to \( C \). Therefore, using Proposition 1 we can conclude \( \text{EAI}(f) \geq t \) hence \( \text{EAI}(f) \geq \text{AI}_{P_{0,k,n}}(f) \).

In the case of functions obtained by direct sum, Theorem 1 of [CMR17] gives an upper bound on the algebraic immunity restricted to a slice depending on the (standard) algebraic immunity of one of the two functions and the degree of the second one. We generalize this result, it allows to derive an upper bound on the AI restricted to \( P_{0,k,n} \) of \( f \) depending on the AI of \( f \) and the degree of \( g \). Combining it with the bound of Proposition 4, it gives an upper bound on the EAI of a direct sum, and it allows to determine functions such that the AI and EAI have the same value.

**Theorem 2.** Let \( n, m \in \mathbb{N} \), and \( S \subseteq \mathbb{F}_2^{n+m} \), if for all elements \( (a, b) \in S \) with \( a \in \mathbb{F}_2^n \) and \( b \in \mathbb{F}_2^m \), there exists a vectorial Boolean function \( L : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^m \) satisfying the following properties:

- the \( m \) coordinate functions of \( L \) are affine,
- \( L(a) = b \),
- \( \forall x \in \mathbb{F}_2^n (x, L(x)) \in S \),

then for all functions \( f \in B_n \), \( g \in B_m \) and their direct sum \( \psi \) the following holds:

\[
\text{AI}_S(\psi) \geq \text{AI}(f) - \text{deg}(g).
\]

**Proof.** Let \( h(x, y) \) be a non-null annihilator of \( \psi \) over \( S \) of degree \( \text{AI}_S(\psi) \), then there exists \( (a, b) \in S \) such that \( h(a, b) = 1 \). Assuming the existence of \( L \) satisfying the three requirements, \( h(x, L(x)) \) is an \( n \)-variable Boolean function annihilator of \( f(x) + g(L(x)) \) since \( x, L(x) \in S \) and \( h \) annihilates \( \psi \) over \( S \). Moreover, the function \( h(x, L(x)) \) is not null since \( h(a, L(a)) = h(a, b) = 1 \).

If \( g(b) = 0 \), then \( h(x, L(x))(1 + g(L(x))) \) is a non null annihilator of \( f \), which gives:

\[
\text{AN}(f) \leq \text{deg}(h) + \text{deg}(L(g)).
\]

Since \( h(x, y) \) is a non null annihilator of \( \psi \) over \( S \) we get \( \text{deg}(h(x, L(x))) \leq \text{AI}_S(\psi) \), and since all coordinate functions of \( L \) are affine \( \text{deg}(L(g)) \leq \text{deg}(g) \), it implies \( \text{AN}(f) \leq \text{AI}_S(\psi) + \text{deg}(g) \).
If \( g(b) = 1 \), then \( h(x, L(x))g(L(x)) \) is a non null annihilator of \( 1 + f \), which gives \( \text{AN}(f) \leq \deg(b) + \deg(L(g)) \). Following the same arguments, \( \text{AN}(f + 1) \leq \text{AI}_S(\psi) + \deg(g) \), and combining the two cases for \( g(b) \) we obtain: \( \text{AI}(f) \leq \text{AI}_S(\psi) + \deg(g) \).

This result has been derived assuming \( h(x, y) \) be a non-null annihilator of \( \psi \) over \( S \) of degree \( \text{AI}_S(\psi) \), when it is not the case it implies the existence of \( h(x, y) \) a non-null annihilator of \( 1 + \psi \) over \( S \) of degree \( \text{AI}_S(\psi) \) by definition of \( \text{AI}_S \). Accordingly, the same reasoning applies with \( 1 + \psi \), \( f + 1 \) and \( g \), therefore we can conclude \( \text{AI}(f) \leq \text{AI}_S(\psi) + \deg(g) \) or equivalently:

\[
\text{AI}_S(\psi) \geq \text{AI}(f) - \deg(g).
\]

\( \square \)

In particular the result of [CMR17] consists in the case where \( n \leq k \leq m \) and \( S = \mathbb{F}_{k,n+m} \).

Up to permutations of the variables, \( L \) is chosen to give the complement of \( a \) on the first \( n \) bits, 1 on \( k - n \) remaining bits and 0 on the others. Such \( L \) maps \( a \) to \( b \), all elements of \( \mathbb{F}_2^2 \) to elements of Hamming weight \( w_H(a) + n - w_H(a) + k - n = k \) and each coordinate function is affine.

**Corollary 1.** Let \( k, n, m \in \mathbb{N}, n \leq m, n \leq k \leq n + m \) and \( S = \mathbb{F}_{0,k,n+m} \), for all functions \( f \in B_n, g \in B_m \) and their direct sum \( \psi \) the following holds:

\[
\text{AI}_S(\psi) \geq \text{AI}(f) - \deg(g).
\]

**Proof.** Using Theorem 2, it is sufficient to show for \((a, b) \in S \) the existence of \( L \) satisfying the requirements. Up to permutations of the variables, the \( w_H(a) = r \) first bits of \( a \) are equal to one and the \( n - r \) others to zero, the \( w_H(a, b) = r \) first bits of \( b \) are equal to one and the \( m - s \) others to zero.

If \( s \leq n - r \) we define \( L \) as \( L(x_1, \ldots, x_n) = (1 + x_{r+1}, \ldots, 1 + x_{r+s}, 0_{n-s}) \). It satisfies \( L(a) = b \), for all element \( x \in \mathbb{F}_2 \) the vector \((x, L(x)) \) has Hamming weight at most \( n \) then \((x, L(x)) \in S \), and all coordinate functions of \( L \) are affine, therefore \( L \) complies with the requirements of the theorem.

If \( s > n - r \) we define \( L \) as \( L(x_1, \ldots, x_n) = (1 + x_{r+1}, \ldots, 1 + x_n, 1_{s-n+r}, 0_{m-s}) \). It satisfies \( L(a) = b \), for all element \( x \in \mathbb{F}_2 \) the vector \((x, L(x)) \) has Hamming weight at most \( n + s - n + r = s + r = w_H((a, b)) \) then \((x, L(x)) \in S \) since all elements of Hamming \( w_H((a, b)) \) belong to \( S \), and all coordinate functions of \( L \) are affine, therefore \( L \) complies with the requirements of the theorem.

\( \square \)

**Proposition 5.** Let \( n, m \in \mathbb{N}^*, m > n \), and \( f \) an \( n \)-variable Boolean functions. We denote \( \psi \in B_{n+m} \) the function defined for all \((x, y) \) with \( x \in \mathbb{F}_2^2, y \in \mathbb{F}_2^m \) as \( \psi(x, y) = f(x) \). The following holds:

\[
\text{AI}(\psi) = \text{EAI}(\psi).
\]

**Proof.** First we apply Corollary 1 with \( f \), the null function in \( m \) variables as \( g \) and \( S = \mathbb{F}_{0,n,n+m} \), it gives:

\[
\text{AI}_{n,n,n+m}(\psi) \geq \text{AI}(f).
\]

Then, using Proposition 4 for \( k = n \) we obtain:

\[
\text{EAI}(\psi) \geq \text{AI}_{n,n,n+m}(\psi).
\]

Since the algebraic immunity is an affine equivalent notion \( \text{AI}(\psi) = \text{AI}(f) \) therefore \( \text{EAI}(\psi) \geq \text{AI}(\psi) \). Finally, by definition of the EAI, \( \text{EAI}(\psi) \leq \text{AI}(\psi) \), which allows us to conclude:

\[
\text{EAI}(\psi) = \text{AI}(\psi).
\]

\( \square \)
From Corollary 1 we can deduce that all functions that are direct sum of an \( n \)-variable Boolean function \( f \) and the sum of \( m \) variables such that \( n \leq m \) are such that \( \text{EAI}(f) \geq \text{AI}(f) - 1 \). The Xor-Threshold functions used to instantiate FiLIP [MCJS19b, HMR20] belong to this category, therefore the extreme algebraic attack would lead to no improvement or low improvement of the algebraic attack on these specific functions.

Proposition 5 shows that for all functions with more than half variables with no influence (variables such that changing their values never changes the output), the EAI and the AI are equal. There are examples of functions using less than half of the (key/seed) variables with all instances of the cipher FiLIP, and with the local pseudorandom generator of Goldreich [Go00].

Note that these results can be generalized to other variants of the algebraic immunity than the EAI. Indeed, Proposition 4 bounds the EAI based on the algebraic properties of the function only on the set formed by the slices of small Hamming weight, then the same arguments apply when we consider other set of monomials including the same slices. For example, the reasoning applies if we consider the set given by \( P_{1,d,n} \) and only a subpart of \( P_{n-d,n,n} \). Similarly, the generality of Theorem 2 can be used to derive results on variations of the AI for direct sums, as in Corollary 1 for case of EAI.

6 Applications of the EAA and functions in the literature

In this section, we investigate potential applications of the EAA. Firstly, following the proof strategy for Theorem 1, we determine the EAI of two ciphers GEA-1/2 and LILI-128. More specifically, in Subsection 6.1 for the filter function of GEA-1/2, and in Subsection 6.2 for the filter function of LILI-128, we respectively compute the exact value of the EAI, and find all the corresponding annihilators and the linearly independent ones among them which might be used for further attacks. Next, in Subsection 6.3, we review existing symmetric primitives (such as FLIP, FiLIP and variants) that triggered EAA, and we explain the detailed reason why EAA cannot apply directly.

6.1 GEA-1 and GEA-2

GPRS (General Packet Radio Service) is a mobile data standard that was widely deployed in the early 2000s. To protect against eavesdropping GPRS between the phone and the base station, two proprietary stream ciphers GEA-1 and GEA-2 were initially designed and used for this purpose. GEA-1 is built from three linear feedback shift registers over \( \mathbb{F}_2 \), together with a non-linear filter function \( f : \mathbb{F}_2^7 \rightarrow \mathbb{F}_2 \), which is a Boolean function on seven variables of degree 4. The first public analysis of GEA-1 was proposed by Beierle et al. [BDL+21] as a key recovery attack utilizing the weakness of the initialization function. Without such weakness in the initialization as in GEA-1, the authors also presented key recovery attacks on GEA-2. The attacks on GEA-1/2 was further improved/complemented by Amzaleg and Dinur [AD22].

As said, our focus is not on the initialization function, but only on the component of the key generation function \( f \). We take the specification of \( f = f(x_1, x_2, \ldots, x_7) \) from [BDL+21] and give it in algebraic normal form as follows:

\[
\begin{align*}
&x_1x_3x_6x_7 + x_1x_4x_6x_7 + x_1x_2x_6x_7 + x_2x_3x_6x_7 + x_1x_3x_4x_7 + x_2x_4x_3x_7 + x_2x_4x_6x_7 + \\
&x_1x_3x_5 + x_1x_3x_4 + x_1x_2x_4 + x_1x_3x_7 + x_1x_2x_5 + x_1x_2x_7 + x_2x_3x_7 + x_3x_6x_7 + x_1x_4x_6 + \\
&x_2x_3x_7 + x_2x_3x_6 + x_1x_4 + x_1x_6 + x_2x_4 + x_2x_6 + x_2x_7 + x_1x_3 + x_2 + x_3x_4 + x_3x_6 + \\
&x_3x_7 + x_5x_6 + x_6x_7 + x_3 + x_4 + x_6.
\end{align*}
\]

The cipher GEA-2 is a simple extension of GEA-1. A fourth register of length 29, is added to the system together with an instance of \( f \). In this paper we only focus on the filter function \( f \), and it is the same for GEA-1 and GEA-2, so we can call them uniformly as GEA.

According to the definitions of AI and EAI, for the filter function of GEA we have \( \text{AI}(f) = \)
EAI\((f) = 3\). In addition, we found \(\mathcal{D}\text{EAN}(f) = 64\) usable annihilators\(^2\), and 48 linearly independent ones. We give one example in the following:

\[
x_1x_2x_4x_5x_6x_7 + x_1x_2x_3x_4x_5 + x_1x_2x_3x_5x_7 + x_1x_2x_4x_6x_7 + x_1x_3x_4x_6x_7 + x_1x_4x_6x_7 + x_1x_2x_3 + x_1x_2x_4 + x_1x_3x_5 + x_1x_4x_5 + x_1x_2x_7 + x_1x_5x_7 + x_1x_2 + x_1x_3 + x_1x_4 + x_1x_5 + x_1x_7 + x_1.
\]

6.2 LILI-128

LILI-128 is a candidate stream cipher submitted to the NESSIE project. It uses two LFSRs, \(\text{LFSR}_c\) and \(\text{LFSR}_d\). \(\text{LFSR}_c\) has an internal state of 39 bits and is clocked once for each output bit. \(\text{LFSR}_d\) has an internal state of 89 bits and is clocked 1 to 4 times, depending on two bits in \(\text{LFSR}_c\). During key setup phase a 128 = 39 + 89-bit cryptovariable is directly loaded into these two registers. If we use \(u_0, u_1, \ldots, u_{88}\) to denote the individual bits of \(\text{LFSR}_d\), then the ten bits from \(\text{LFSR}_d\) are fed to a highly nonlinear function, \(f_d : \mathbb{F}_2^{10} \to \mathbb{F}_2\) to generate one output bit \(z(t)\) as

\[
z(t) = f_d(u_0, u_1, u_3, u_7, u_{12}, u_{20}, u_{30}, u_{44}, u_{65}, u_{80}).
\]

The ten-variable Boolean function \(f_d(x_1, x_2, \cdots, x_{10})\) has the following ANF:

\[
x_2 + x_3 + x_4 + x_5 + x_6x_7 + x_1x_8 + x_2x_8 + x_1x_9 + x_3x_9 + x_4x_{10} + x_6x_{10} + x_3x_7x_9 + x_4x_7x_9 + x_6x_7x_9 + x_3x_3x_9 + x_6x_8x_9 + x_4x_7x_{10} + x_5x_7x_{10} + x_6x_7x_{10} + x_3x_8x_{10} + x_4x_8x_{10} + x_2x_3x_{10} + x_3x_3x_{10} + x_4x_9x_{10} + x_5x_9x_{10} + x_3x_7x_8x_{10} + x_5x_7x_8x_{10} + x_2x_7x_9x_{10} + x_4x_7x_9x_{10} + x_6x_7x_9x_{10} + x_1x_8x_9x_{10} + x_3x_8x_9x_{10} + x_5x_8x_9x_{10} + x_4x_9x_9x_{10} + x_6x_9x_9x_{10} + x_5x_6x_7x_9 + x_2x_7x_8x_9 + x_4x_7x_8x_9 + x_3x_7x_9x_{10} + x_5x_6x_7x_9x_{10} + x_4x_6x_7x_8x_9 + x_5x_6x_7x_8x_{10}.
\]

According to definitions of AI and EAI, for the filter function of LILI-128 we obtain \(\text{AI}(f) = \text{EAI}(f) = 4\). In addition, we found 264 annihilators, and \(\mathcal{D}\text{EAN}(f) = 151\) of them having degree up to 4 in the lower part set of \(\mathcal{F}_d\). We give one example in the following:

\(^2\)We have discarded the annihilators with null part in the set of high degree \(\mathcal{F}_{n-d}\) since they are not interesting for the attacks.
Extreme Algebraic Attacks

In this part we discuss the motivation of the attack, that is, symmetric primitives where other subsets of monomials than the ones of low degree can be kept stable by the updating process. We explain why a direct application of the extreme algebraic attack is not possible for these already published designs, and suggest attack modifications. First we recall the paradigms of the stream ciphers FLIP [MJSC16] and FiLIP [MCJS19b] since we consider (modifications of) these schemes.

The Filter Permutator (FP) paradigm is a stream cipher paradigm introduced in [MJSC16] in the context of hybrid homomorphic encryption [NLV11], designed to be efficiently evaluated homomorphically. The filter permutator paradigm is depicted in Figure 2 on the left side. For each bit of keystream the binary key is permuted by a wire-cross permutation publicly derived from a pseudorandom generator and then a Boolean function called filter is applied on this permuted key to give the keystream bit. The improved filter permutator, introduced in [MCJS19b] modifies the FP paradigm by using only a subpart of the key for each keystream bit and adding a random vector to the input of the filter function.

The attack based on the extreme algebraic immunity adapts differently to FLIP, FiLIP and variations of these schemes, as we detail in the following:

**FLIP.** For FLIP stream ciphers, the inputs of the Boolean function \( f \) are always the variables \( x_i \) of the secret key \( K \), only permuted by a wire-cross permutation. Accordingly, the product \( f \cdot g \) with \( g \in \mathcal{F}_{d,n-d} \) an annihilator of \( f \) gives equations with monomials in the variables \( x_i \) in \( \mathcal{F}_{d,n-d} \), and the wire-cross permutations stabilize the set \( P_{0,d,n} \cup P_{n-d,n,n} \). Instead of the usual algebraic attack, the extreme algebraic attack can directly be used. It is the only context we found in open literature where the attack applies.

Nevertheless, the EAA does not give an attack with better complexity than already known, nor contradict the 2^{128} security claim for two reasons. First, the instances of FLIP (called FLIP functions in [MJSC16]) are direct sums of monomials, they correspond to functions with AI far
from the maximum of $\lceil n/2 \rceil$. In this case the AI of the function comes only from the part of low degree (the ANF contains only elements of low degree), thereafter the EAI can be bounded from the AI restricted on the slices of low Hamming weight, following Proposition 4. It results in cases where $\text{AI}(f) = \text{EAI}(f)$, where the EAA has a worse time complexity. Then, since the Hamming weight is constant and known for the keys of FLIP instances, the attacks using the properties of the filter function on the particular slice of Hamming weight $n/2$ from [CMR17] are more adapted.

**FLIP with whitening.** We consider a variant of FLIP where a whitening is added before the application of the filter, this alternative would be sufficient to avoid the filter to be evaluated on inputs of Hamming weight $n/2$ only. We explore two possible strategies to apply the EAA.

First, we consider the $n$ key bits and their complements as $2n$ binary variables, in this case the adversary obtains a system in $2n$ variables. This choice is motivated by the fact that $P_{n-d,n,n}$ is not stable when constants are added: the affine mapping $x_i \mapsto x_i + 1$ can generate monomials of lower degree. But as for FLIP, the attack generalization leads to improvements only for filter functions such that the EAI would be different from the AI. If we write the filter function as a $2n$-variable function in the $2n$ key variables, it corresponds to a direct sum of the initial filter and the null function in $n$ variables, therefore a function such that the EAI equals the AI by Proposition 5.

The other strategy consists in considering only the $n$ original variables, in this case a variation of the EAA is possible. Each time the Hamming weight of the whitening is at most $t$, an annihilator from $F_{d,n-d}$ gives equations with monomials belonging to $P_{0,d,n} \cup P_{n-d-t+n,n}$. Then, it is interesting for functions having annihilators with a part of degree at most $d$ and potentially a part of degree even higher than $n-d$ (which would result in more monomials). Indeed, on a monomial of degree $d$, the mapping $x \mapsto x + a$ with $a \in \mathbb{F}_2^n$ of Hamming weight $t \leq d$ can generate monomials of any degree between $d-t$ and $d$. For example on the monomial $\prod_{i=1}^{d} x_i$, the mapping $x \mapsto x + 1_d$ gives the sum of all monomials of degree between 0 and $d$ in the variables $x_1$ to $x_d$.

**FLIP with a large register, and local PseudoRandom Generators (PRG).** We consider an alternative of FLIP where the key register is larger than the number of variables of the filter function. This setting also corresponds to the local variant of Goldreich’s PRG [Gol00] where the seed’s size is a parameter $n$ and the number of variables of the function (called predicate) is a constant. We refer to the survey of Applebaum [App13] for local PRGs and to [AL16, CDM+18, YGJL22, Üna23] for recent cryptanalyses.

In this context $f$ has $n$ variables but the register has size $N > n$, that is, the output is independent of a large number of variables. It is also the case of Goldreich’s PRG with a constant locality, where $N >> n$. The EAA applies to this context, nevertheless the filter function corresponds to the direct sum of $f$ in $n$ variables and the null function in $N - n$ variables, which is the case of Corollary 1, so the attack does not lead to a better complexity than the standard
algebraic attack.

We can conceive a variant of Goldreich’s PRG with an anti-local property (which would go against the motivation of the first design and the following lines of works), where each output bit depends on all or almost all inputs. In this case, the EAI will be a criterion to consider for the security, since it gives a better attack than the one based on the AI, and predicates satisfying the requirements of [AL16] will not be immune to the extreme algebraic attack. Nevertheless, we are not aware of contexts where such anti-local PRG would be interesting.

FiLIP. FiLIP uses both a large key register and a whitening, which limits the impact of EAA as explained above. Furthermore, the different filters considered so far are direct sum of monomials [MCJS19b] and functions obtained as the direct sum of a linear function and a threshold function [MCJS19a, HMR20]. As for FLIP instances, the first family of function is such that $AI = EAI$, and for the second family, the direct sum with a linear function corresponds to a case covered by Corollary 1 resulting in a difference between EAI and AI of at most 1.

Beyond variants of FLIP and FiLIP, we discuss variations of the extreme algebraic attack that could lead to new cryptanalyses.

**Adaptation to filtered LFSR.** In the context of a filtered LFSR, due to the linear update of the variables, the monomials of degree at most $d$ stay in $P_{0.d,n}$, but the high degree part is not stable. Each affine mapping $x_i \mapsto \epsilon + \sum_{j \in J} x_j$ can give monomials of lower degree. An attack strategy consists in selecting only the keystream bits such that the associated linear updates are only a permutation of the variables of the initial state, in this case an annihilator from $F_{d,n-d}$ gives equations only in monomials from $P_{0,d,n}$ and $P_{n-d,n,n}$. These cases being extremely rare (if the LFSR has maximal period, only $n!$ over $2^n$ are permutations of the initial variables), therefore the adversary should also take into consideration the cases where the linear update does not reduces too much the degree of the monomials from the $P_{n-d,n,n}$ part. Considering $n$ linear (not affine) mappings, the degree can degrade at most from the maximum occurrence of one variable, that we denote by $\ell$, in this case, the monomials created belong to $P_{n-d-\ell,n,n}$. For example, the attack could be interesting for a function having $AI(f) > d$ and an annihilator in $F_{d,n-d}$ where only the monomial of degree $n$ appears in the $P_{n-d,n,n}$ part.

**Adaptation to filtered NonLinear Feedback Shift Register (NLFSR).** In this context, the update is not linear so the degree of the equations increases, and the same happens for the monomials of the annihilators. A variant of the attack could be over the monomials of high degree only, since the degree increases quickly, and the one of the $P_{n-d,n,n}$ part decreases less. A particular study could be performed to verify if the low degree monomials disappear in specific cases.

### 7 Conclusion

In this article we propose the new notion of extreme algebraic immunity, to illustrate and study potential generalizations of the algebraic attack presented by Courtois and Meier’s twenty years ago. We perform a theoretic study of the EAI criterion and explore its relation to other algebraic criteria. Our generalized algebraic attack does not give a better complexity than Courtois and Meier’s attacks on the public stream ciphers, but it can help to understand better the strength of the standard algebraic attack and avoid weaknesses in the construction of future stream cipher designs.

As for future works, it might be interesting to determine if variations of the EAA can be applied to new stream ciphers adapted to advanced applications such as fully homomorphic encryption, multiparty computation or zero knowledge. Another direction we can investigate is probabilistic EAA. Similarly to probabilistic AA mentioned in related work, the high level idea is to find functions annihilating the filter function in most inputs but not all, this degree of freedom could give a bigger number of exploitable equations in some cases.
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