ARMISTICE: Micro-Architectural Leakage Modelling for Masked Software Formal Verification

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Abstract—Side channel attacks are powerful attacks for retrieving secret data by exploiting physical measurements such as power consumption or electromagnetic emissions. Masking is a popular countermeasure as it can be proven secure against an attacker model. In practice, software masked implementations suffer from a security reduction due to a mismatch between the considered leakage sources in the security proof and the real ones, which depend on the micro-architecture. We present the model of a system comprising an Arm Cortex-M3 obtained from its RTL description and test-vectors, as well as a model of the memory of a STM32F1 board, built exclusively using test-vectors. Based on these models, we propose ARMISTICE, a framework for formally verifying the absence of leakage in first-order masked implementations taking into account the modelled micro-architectural sources of leakage. We show that ARMISTICE enables to pinpoint vulnerable instructions in real world masked implementations and helps design masked software implementations which are actually secure.

Index Terms—Side Channel Attacks, Masking, Verification, Micro-architectural Leakage

I. INTRODUCTION

Side Channel Attacks (SCA) exploit physical measurements, like power consumption or electromagnetic (EM) emissions, during the execution of an application to recover secret data. They constitute a powerful class of attacks, allowing to break software and hardware implementations of cryptographic algorithms otherwise proven secure at the algorithmic level.

Introduced in the early 2000s [1], masking countermeasures at order $d$ aim at encoding a secret data into $d+1$ parts called shares, such that any combination of less than $d+1$ shares is statistically independent from the secret. This theoretically prevents SCA, as the power consumption and EM emissions are directly linked to the values manipulated by the program. A masking countermeasure can be proven secure against an attacker model, e.g. an attacker able to probe $t$ measurements per execution, which leads to the notion of $t$-probing security (or probing security at order $t$) [1], [2]. Proofs are conducted at a given abstraction level (i.e. algorithmic, source code or assembly code) and are based on a given leakage model. The latter is typically either the value-based leakage model, in which the leakages are the values of intermediate computations; or the transition-based leakage model, in which the leakages are combinations between two consecutive values in some elements, such as variables at algorithmic level or registers at assembly level.

Masked software implementations come with challenges of their own before they eventually translate into leakage-free executions. First, implementing a masking scheme at software level that avoids unmasking secrets is a complex task and detecting such unmasking by hand is not trivial. As a consequence, some verification techniques and tools have been recently proposed to help designers detect flaws in their implementations [2]–[4]. Proofs are most often conducted at source or algorithmic level, but we argue that they should also be carried out on the compiled code for two main reasons. To start with, compilers perform code transformations, from simplifications of the expressions to the reordering or removal of some instructions, possibly harming or deconstructing the carefully added masking scheme along the way. Then, proofs require the knowledge of the sources of leakage, and are then limited to what is visible at the chosen abstraction level. The lowering of the source code into assembly code introduces data transfers between memory and CPU registers manipulated by instructions, and the leakage relative to successive writes into the same architectural register and consecutive memory accesses are most often not related to the same source-level variable. Some recent work propose some compilation approaches for removing such issues [5], [6]. There also exist some tools for verifying masked assembly code [7], [8]. However, analysis at the ISA level is still not sufficient: masked software implementations suffer from a security reduction due to the mismatch between the considered sources of leakage and the real ones, which depend on the target micro-architecture and may not be visible at the ISA level [9], [10]. For example, some remnant effects may appear, or some internal resources, like pipeline registers or buses, can lead to unmasking.

One solution to circumvent these issues is to apply yet higher-order masking which dramatically impacts performance and code size with no security guarantee: Moos et al. show that a first order attack may still be mounted in this case [11].

Most often, the solution relies on manual and iterative code patching until the patched code seems free of leakage, which is a very long process. The ROSITA tool offers an automated solution but it only identifies leaking patterns and patches them [12], without explaining the leakage sources and leaking data. A similar approach is to build secure gadgets and to compose them, potentially automatically [13]. This also requires cleaning gadgets for cleaning the micro-architecture, in order to avoid any interaction between computational gadgets. This is only a work-around though and it results in a costly
implementation in terms of performance and size. Precisely pinpointing leaking data as well as the reasons why they leak is invaluable information for a designer. A better understanding of the micro-architectural leakages is a pre-requisite for building verification tools pinpointing vulnerable instructions, and consequently for designing secure and efficient masked software implementations.

Power trace simulators, based on a power model built from experimental measurements, speed-up the leakage analysis but remove the ability to explain the precise source of the leakages. ROSITA [12] is able to detect leaking patterns using such a trace simulator for the Cortex-M0, but cannot output any link to the secret manipulated by the application. MAPS [14] only takes into account internal registers at the ALU entrance and architectural registers, which has been shown to be incomplete [15]. MIRACLE [16] is a set of test vectors to reverse-engineer sources of leakage, but there is no way to infer a clear description of the micro-architecture and the sources of leakage from the results alone. There is thus a gap to fill between formal verification tools, which do take into account internal registers at the ALU entrance and architectural registers, and power simulators, which do not provide strong guarantees nor information on the observed leakages. There is thus a gap to fill for designing secure and efficient masked software implementations.

Last, but not least, we present a model of the Cortex-M3 obtained by studying the RTL available through the Arm Academic Access1 (AAA) program. We also give meaningful and additional details to some recent papers (e.g. [15], [16]) that studied the same processor. We believe it will help in the current trend to detect and model leakages considering micro-architectural effects.

We present an analysis of the leakage sources with the help of dedicated test vectors. We present an in-depth study of the leakage sources for memory accesses, shedding some light in an area that has so far not been studied much.

In this section, we focus on the former and see what can be found with them. Test Value Leakage Assessment [17] (TVLA) is probably the most popular one, which has two variants: specific and non-specific. For both variants, two sets of traces are compared. In the non-specific case, one set is generated with a fixed (secret) data, and the other with random (secret) data. A t-test analysis on these sets allows to detect any possible leakage without any assumption on the leakage model, i.e. which part of the computation is leaking in which part of the implementation. The non-specific t-test requires to use a specific randomly-interleaved procedure in order to avoid false-positive results [18]. In the specific t-test, the traces are split into two sets according to the leakage model (e.g. hamming weight) of a known intermediate value. The t-test is not the only statistical tool available to practitioners though: the Pearson correlation [19] is another popular tool.

Let us consider the first-order probing secure masking scheme from Ishai, Sahai and Wagner (ISW) [1] and apply it to a software boolean AND computation. This isw_and, shown in C language in Listing 1, takes as inputs two secret values a and b, each split across two shares a0 and a1 (resp. b0 and b1) and produces as result a secret value c, which corresponds to a & b, split across 2 shares c0 and c1, without ever exposing any secret value. One can notice that the secret values a, b and c do not appear in the listing, and that we have had to resort to tricks like the enforce macro to force the compiler not to optimize (and unmask) the secret values.

The paper is organized as follows: Section II experimentally motivates this paper; Section III presents the closest related work; the modelling of the Arm Cortex-M3 and memory using the RTL description of the processor core and test-vectors is given in Section IV; Section V and Section VI present respectively the ARMISTICE framework and experimental results before concluding in Section VII.

II. Motivating example

In this section, we first give a very brief overview of leakage assessment methodologies, then investigate how a first-order boolean masked algorithm example fares.

While real attacks can be attempted on a device, this is not so practical when assessing the device’s immunity to side channel leakages. Practitioners have thus proposed several leakage assessment methods: some based on statistical analysis [17], some based on formal methods [2], [3], [7].

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Listing 1 can be compiled, with size optimizations for example, for Arm’s Cortex-M3 processor, a common processor in embedded devices. As our implementation is masked using the ISW scheme, one could expect that the secret values $a$, $b$, and $c$ do not appear, which can be verified with a quick glance at the assembly code in Listing 2.

With power traces captured on a STM32F1 target board using the experimental setup from Section IV-B1, a Pearson correlation using $a = a_0 \oplus a_1$, $b = a_2 \oplus b_1$, and $c = c_0 \oplus c_1$ as intermediate values reveals, as shown in Figure 1, that all secret values are leaked during the execution of the ISW AND function. Some of the peaks can be explained, e.g. the two consecutive $\texttt{add}$ instructions (lines 10-11) are likely to leak the transition of the data written to memory. The Pearson correlation metric is used in this example because it makes it can be modelled and used with a formal analysis tool to provide clear explanations for these leakages.

Despite the apparent simplicity of Listing 1, most other peaks do not have a clear explanation from the literature, motivating the work described in this article: understanding the Cortex-M3 micro-architecture in enough details so that it can be modelled and used with a formal analysis tool to provide clear explanations for these leakages.

III. RELATED WORK

Power trace simulators using a model inferred from experimental measurements intrinsically take into account micro-architectural leakage. ELMO [20] pioneered such trace simulators with a power model of the Arm Cortex-M0 for a subset of the ISA composed of the most frequent instructions in cryptographic implementations. As for MAPS [14], it targets the Arm Cortex-M3 and focuses on the potential leakage due to internal registers at the entrance of the ALU. Recently, ELMO has been extended into ELMO* in order to better model register or memory reuse as well as interaction between non consecutive instructions [12].

MIRACLE [16] is an infrastructure proposing several test vectors for highlighting micro-architectural leakages due to interactions between operands of instructions or memory accesses, or to speculative execution. While this work shows that the leakage varies between architectures and even implementations of the same processor core, it does not explain how to use test vectors to understand or model the leakage sources. Gao et al. [15] go one step further by reverse-engineering some micro-architectural leakage features of an Arm Cortex-M3 processor running 16-bit instructions only. Using test vectors and their recently proposed collapsed F-test [21], they deduce a model of the different components of the Arm Cortex-M3. Barenghi et al. explore how to infer the likely structure of different Arm’s Cortex-A and Cortex-M pipelines, using a framework of microbenchmarks and the CPI metric (Clock cycles Per Instruction) [22], [23]. They highlight the potential side channel leakages resulting from the pipeline structures and some architectural choices. In this paper, as we have access to the RTL of the Cortex-M3 processor, we don’t have to use a blackbox approach; we can go much deeper in the modelling of the leakage sources, like considering both the 16-bit and 32-bit variants of the instruction set and give clear explanations of the leakages found on the Cortex-M3 and reported by those related work.

In order to remove the micro-architectural leakage, the ROSITA tool [12] automates leaking pattern replacement detected by using ELMO* and non specific t-tests. The iterative process is able to remove most leakages from three implementations but fails for one implementation. The modelling may be incomplete, or, as ELMO* cannot help pinpointing the source of leakage, the replacement patterns are not fully secure. In addition, these patterns may not be as small as they could be with a better leakage source understanding. FENL is an ISA extension enabling the cleaning of in-core resources that may be the source of leakage [24]. This requires dedicated HW support and does not help for existing processors. However, it is an appealing solution as it offers developers means to avoid in-core leakage at a lower cost than using tediously designed cleaning gadgets.

Finally, two recent work target the verification of masked software on a low-level processor description. Barthe et al. propose a Domain Specific Language for modelling assembly implementations and specifying fine-grained leakage models [25]. The semantics of assembly instructions can then be enriched with the leakage effects of the instruction. In particular, different leakage effects due to some internal registers or some buffers in memory can be made explicit. They propose the scVerif tool as a front end of MaskVerif [2], [3] for formally verifying masked assembly gadgets and implementations. scVerif enables to build micro-architectural leakage aware gadgets, be they masking gadgets or cleaning gadgets. Such gadgets can then be used in an automatic composition in order to reduce the time required to implement secure solutions [13]. The proposed approach enables to take into account the interactions inside the pipeline or due to memory access during the formal verification. However, it relies on the knowledge of the micro-architectural source of leakage and requires the explicit modelling of leaking components.
(e.g. internal registers or memory buffers). The papers do not present how the modelling of the Arm Cortex-M0+ targeted in the experiments was carried out. As shown in our paper, the decoding stage of the Arm Cortex-M3 processor induces different leakage effects depending on the binary encoding of the instruction, thus requiring as many instruction specifications as the number of encodings per instruction. Moreover, the user would have to explicitly the instruction encoding in his implementation expressed in the scVerif’s input language. A verification using the binary code as we propose seems more appropriate for avoiding putting the burden on the user. Finally, as scVerif executes the instructions atomically, it cannot model the effects of the forwarding mechanism.

COCO [26] is an approach for formally verifying if a masked software would securely be executed on a CPU. Leaksages are searched for at gate-level and authors show several weaknesses in the IBEX core related to the register file, ALU and LSU units that can be removed with small changes. COCO requires the analysed masked implementation to satisfy two constraints: 1) shares related to a same secret must not be processed by consecutive instructions 2) registers and memory locations which contain a share must not be overwritten with the second share. If these constraints may be sufficient for the IBEX core simple micro-architecture, they are not sufficient for the Cortex-M3 in which non consecutive instructions may write into a same micro-architectural register. Finding such cases is possible with our approach which searches at software level for weaknesses due to micro-architectural sources of leakage. COCO is likely to be able to also pinpoint such a case but it is not shown in the paper.

IV. Cortex-M3 Modelling

Building an accurate model of a processor core for leakage evaluation requires to know its design in details. In this section, we present the modelling of the Arm Cortex-M3 data path, along with an experimental investigation based on small test vectors aiming at highlighting the components which may be a source of leakage. We also discuss leakage profile from off-core components, i.e. memory related components.

A. Abstract Model

As we have access to the Cortex-M3 RTL description through AAA, we go for a white-box approach to model the processor micro-architecture, which involved Verilog source files eye-ball analysis and Verilog simulations.

1) Under the Processor’s Hood: The Cortex-M3 is a 3-stage pipeline processor comprising a Fetch, a Decode and one or two Execute stages depending on the instruction. As the Fetch stage does not manipulate data, we do not consider it further in the context of this paper.

The main components found in the Cortex-M3 core, depicted in Figure 2, are:

- A register file (RF), containing twelve general purpose registers (GPR) as well as a stack pointer register (SP), a link register (LR) and the program counter (PC). It has two read ports, named port A and port B, and one write port, named port D.

- An arithmetic logic unit (ALU), to perform actual computations on data stored in micro-architectural registers RegA, RegB and RegImm. As most ALU instructions allow shifting the second operand, a barrel shifter (BS) can optionally be used on RegB’s output to the ALU.

- An address generation unit (AGU), with a dedicated adder (named Addr Adder in Figure 2), to compute the address used for accessing memory, from two micro-architectural registers RegAddr1 and RegAddr2, containing respectively the base address and the offset.

- A load / store unit (LSU), to deal with data sent to or received from memory, like extracting the relevant part of the received data. One should note that the memory is accessed over the AHB-Lite bus, which is pipelined with an address-phase followed by a data-phase one cycle later.

2) How Instructions Use the Micro-architecture: We only consider in this description simple instructions typically used in cryptographic implementations: simple ALU instructions and memory accesses. We also assume that there is a single execution path regardless of the secret input values. This requirement is usually met to avoid timing side channel attacks and is recommended by all secure coding rules. As a consequence, no jump nor branch can depend on secret data.

After being fetched, an instruction first goes through the decode stage, whose role is to prepare the data needed by the execute stage. It retrieves operands in the register file or the instruction itself and updates RegA, RegB, RegImm, RegAddr1 and RegAddr2. Depending on the instruction encoding (16-bit or 32-bit), on the instruction itself and on RTL-implementation choices, read ports and internal registers that are not used by the current instruction can either keep their previous values, be reset to some default value, or get a value related to a bit field of the instruction which is not semantically relevant. For example, the decoding of the instruction mov. w Rd, #imm, which has only an immediate as source operand, selects PC on port A and Rimm[3:0] on port B. RegA is written, while RegB is not. The choice of updating or not an unused register or read port, and in which way, takes into account 1) the requirement of maintaining an understandable code by the RTL developers...
and 2) some performance, cost or area trade-offs. In the next section, we illustrate the consequences of these choices for leakage.

Simple ALU instructions (e.g. add, eor, mov, sign extension or bit selection) are executed in one cycle during the execute stage. For most ALU operations, port A and RegA receive the first register operand, and port B and RegB the second one. This is not the case for pure shift operations for which port B/RegB receives the operand to shift (first operand) while port A/RegA receives the shift amount (second operand) — a necessary twist because the BS is located on RegB’s output to support the optional shift of the second operand on ALU instructions. At the end of the execution cycle, the destination register is written into the RF through port D.

A single load or store instruction requires two execution cycles: during the first cycle (EXE1) the address is computed, while in the second cycle (EXE2), the data to write (resp. read) is sent to (resp. received from) memory. Two addressing modes are available for memory accesses: an immediate-offset addressing mode and a register-based one. In both cases, the offset is added to a base register (a GPR). The base register is read through port A and written both in RegA and RegAddr1. In case of a register offset, it is read through port D and written both in RegB and RegAddr2. The addressing mode for write memory access is of high importance with respect to potential in-core leakages, as there are two distinct paths taken by the data sent to memory:

- In case of an immediate-offset addressing mode, the data is read in the RF during the decode stage and is written into the RegB register. During the first execution cycle (EXE1), this data is written into an internal register of the LSU, named DataReg. This optimisation avoids stalling the pipeline.
- In case of a register-offset addressing mode, an extra access to the RF is required to retrieve the data: this access takes place during the address computation cycle (EXE1) and the data is written into RegA. During the EXE1 stage of stores with register offset, no instruction can pass the decode stage.

In case of data dependencies, forwarding mechanisms exist in order to avoid pipeline stalls:

- The ALU result or the data read from memory can be forwarded to RegA, RegB, RegAddr1 and RegAddr2 registers via multiplexers (MuxRegA, MuxRegB, MuxRegAddr1, MuxRegAddr2 in Figure 2).
- In case of a value dependency between a load and a pipelined store with immediate offset (for instance ldr Rx, [Ry, Rz], str Rx, [Ry’, #imm]), the read value is forwarded to DataReg (through MuxDataReg in Figure 2).

B. Sources of Leakage

Any component (bus, register, ...) can lead to the reveal of secret data. In this section, we present the leakage test vectors designed in order to 1) pinpoint micro-architectural components of the data path involved in the execution of an instruction and 2) confirm or disprove with experimental measurements our findings from the RTL analysis. Finally, we present our conclusion regarding the potential data interaction due to the micro-architecture and the implementation of the ARM Cortex-M3 core we targeted.

1) Experimental Setup: All measurements are performed on NewAE’s ChipWhisperer Pro (CW1200) with a STM32F1 target board [27] embedding a Cortex-M3 configured to run at 7.37MHz. The CW1200 acquires four samples per CPU cycle. Depending on the test vector, we acquire from 10,000 up to 500,000 power consumption traces, each with different random inputs generated with a Mersenne Twister RNG.

2) Anatomy of a Test Vector: A test vector has several random inputs, named Op1 and starts (resp. ends) with a preamble (resp. postamble). The actual payload of a test vector consists in a small assembly instructions sequence that manipulates some random input values. The preamble achieves three goals: the loading of random input values into GPRs, their optional preparation (e.g. extracting a specific byte or masking some parts), and the reset to a specific state, zero or random, of all elements along a data path. The postamble’s role is to ensure some form of quietness for a few cycles, in order to ease the experimental measurements.

To measure the leakage induced by a micro-architectural component, we leverage our knowledge of the path taken by each operand of an instruction, of the buses and the A and B read ports default values. For each test vector, several specific t-tests are performed using the Hamming Weight of expressions composed of logical or arithmetical operations on the test vector’s random input values (32-bit or less). A concluding specific t-test (i.e. with a t-value higher than 4.5) on the exclusive-or between expressions or input values shows that there is a transition leakage induced by a micro-architectural component between these expressions.

An example is given in Listing 3: it is composed of two exclusive-OR instructions (eor), each with different source GPR operands holding different random input values. The destination GPR operands are also distinct.

Listing 3. Test vector example

```
1  @ preamble
2  eor rDst1, rOp0, rOp1
3  eor rDst2, rOp2, rOp3
4  @ postamble
```

We perform a specific t-test on the traces resulting from the execution of the code given in Listing 3 to measure the interaction between the consecutive values of the first (resp.
... operand of both instructions using the expression \( \text{HW}(\text{Op}0^\text{Op}2) \) (resp. \( \text{HW}(\text{Op}1^\text{Op}3) \)), where \( \text{HW} \) denotes the Hamming Weight of the expression. The value of this expression is directly linked to the power consumption of the port A and RegA (resp. port B and RegB). Thus, a high t-test value for this specific expression indicates a leakage in at least one of these two components. Similarly, we also measured the interaction between two consecutive values at the ALU output (or BusD) using the expression \( \text{HW}((\text{Op}0^\text{Op}1^\text{Op}2^\text{Op}3)) \).

The result of the specific t-test for the previous example is plotted in Figure 3. In this figure, we clearly see the interaction between operands on the paths from the RF to RegA/RegB (curves named Op0^Op2 and Op1^Op3, samples 5-9) and once latched in RegA/RegB (curves named Op0^Op2 and Op1^Op3, samples 10-13). We can also see the interaction between the results of both instructions (curve named Op0^Op1^Op2^Op3, samples 10-13). As the preceding and following instructions manipulate constant values, the results of both instruction leak (curve Op0^Op1, samples 5-9 and curve named Op2^Op3 at samples 14-18).

3) Invisible Source of Leakage at ISA Level: One major outcome of the RTL analysis is the discovery of some potential sources of leakage without any explicit link with data manipulated by instructions. In this section, we give some examples of such invisible leakage at ISA level.

We first illustrate the consequence of the choices of the decoding stage regarding read ports. Figure 4 shows the specific t-test results when replacing the second \( \text{e} \) of the test vector of Listing 3 by \text{mov.w R0p2, \#7} with R0p2 and R7 respectively containing Op2 and Op3 before the \text{mov.w} operation. 50,000 traces were used.

Figure 5 shows specific t-test results when running a 16-bit or a 32-bit encoded load instruction. We can see that a 32-bit encoding leads to a write of the destination register content into RegB as there is an interaction between Op1 and Op2 visible at samples 13-18 and 25-31. Considering that RegB is always written with the destination register of a load instruction with immediate offset may lead to missing potential interactions between the values written into RegB before and after the load if the load is actually 16-bit encoded; alternatively, considering it is never written may lead to miss potential interactions between Op2 and the values written into RegB before and after the 1dr when it is 32-bit encoded.

Another important knowledge gained from the RTL is related to the forwarding mechanisms and internal registers. As an example, DataReg contains the value to be sent to the memory in case of a store with an immediate offset. If there is a dependence on this value with the preceding load instruction, the loaded value is forwarded to the DataReg. The RegB register is however still written with the content of the register supposed to contain the value to be sent, but which is in this case the old value held in this register. This may be a source of leakage which cannot be determined without the precise knowledge of the scheduling of the instructions and the forwarding mechanisms in the processor pipeline.

4) Leakage Test Vector Suite: We have carefully designed 77 test vectors: 31 devoted to the analysis of the data path components involved in each class of instructions, 5 devoted to forwarding mechanisms, 7 devoted to write back into the register file and 34 devoted to the analysis of the LSU and memory. Test vectors allow to assess and confirm the potential leakage on the different buses and internal registers as well as leakage due to memory transfers in the Cortex-M3 core of our STM32F1 target. Contrary to the ARM processor core whose RTL must not be modified (apart from selecting some configurable options), the memory subsystem implementation varies with the target chip implementer: the (off-core) memory subsystem on our STM32F1 target is thus a blackbox. Test vectors dedicated to the memory and LSU analysis enable us to detect some leakage patterns between memory accesses, consecutive or not, and have been iteratively designed for modelling the memory subsystem.

Unsurprisingly, a small subset of our test vectors are similar to those from MIRACLE [16]. The RTL availability allows us to have an exact knowledge of the data manipulated by instructions. As a consequence, it enables us to design more specific test vectors in order to pinpoint the micro-architectural...
leaking components and to explain where in-core leakage stems from. We cannot describe all the test vectors here but a public web page with all tests will be added as a reference after acceptance, along with their results. We summarize the main results in the next section.

5) Leaking Components: Our analysis reveals that the RTL version of the Cortex-M3 we have access to is more recent than the one used for the implementation of the Cortex-M3 core in the STM32F1. For example, shifted operands are sent to RegA and not RegB. Each time a 32-bit instruction does not have a source register operand, R0 is read in the register file on the corresponding read port (note that it is the default behavior for the 16-bit instructions decoding). The abstract processor model used for the Armistice tool, presented in the next section, reflects our findings. This section presents our main leakage analysis results per micro-architectural component starting with the most leaking ones.

- RegA, RegB, RegAddr1, RegAddr2, Address Bus and ALU out are all potential sources of transition leakage visible with only 10,000 traces. Figures 3 and 5 illustrate these important sources of leakage for different consecutive instructions. Some crossed leakages between RegA and RegB also appear in case of consecutives ALU instructions with different operations.
- There is a visible transition leakage between the consecutive reads through port A (resp. port B) in the register file even when the read value is not written into RegA (resp. RegB). This happens in case of forwarding into RegA (resp. RegB) as well as the read of a default register in the register file when the instruction has less than two source register operands. This leakage is only visible when using enough traces, at least 50,000 for 32-bit input data and even more for smaller ones.
- The DataReg register is only used by store instructions with an immediate offset. When two such store instructions are separated by a store instruction with a register offset, transitions in DataReg become visible when using more than 40,000 traces and the max t-value with 200,000 traces is around 11. In case of byte stores, at least 300,000 traces are needed.
- The transition leakage due to consecutive writes of 32-bit values to the same GPR becomes visible when using enough traces. With 200,000 traces, the max t-value is around 11. When 8-bit values are manipulated, even more traces are needed: using 500,000 traces, the max t-value is around 6.
- A transition leakage between a memory-read value and the next output of the ALU is only visible on BusD when using around 200,000 traces, the max t-value is then 6.5.

While off-core components, such as RAM or Flash memory, are sources of variations between targets with an identical core [16], the RTL of the Cortex-M3 core reveals information independent from the memory implementation choices. Memory is byte-addressed and the width of the data bus is 32 bits. A word is always loaded from the memory: when reading a byte (resp. half-word), the containing 32-bit word is sent to the CPU and then the LSU extracts the requested byte (resp. half-word). Regarding data writes into memory, a data whose width is less than a word is duplicated to form a 32-bit value to be sent to the memory. There is no register or element in the CPU holding data sent to or read from the memory. Our test vectors reveal several interactions between data from which we build a model of the memory subsystem (see Figure 6):

- Read Side: As a consequence of the width and alignment of the memory transfer, there is a transition leakage between bytes at the same index in words loaded consecutively, be they separated or not by other non-memory instructions. When bytes or half-words are requested, some leakage is also visible between them with enough traces, even when they are not at the same word index.

The data extraction in the LSU also induces intra-word leakage. Our test vectors enable us to detect such intra-word leakage when two load instructions are pipelined in the LSU. This can be explained by the fact that the data arrival is at the end of the cycle while the data extraction is performed as soon as the extraction pattern is known. As a consequence, the extraction is performed during a small amount of time on the previous read data. This provokes some intra-word leakage in the first loaded data.

- Write Side: As a consequence of the duplication of data in case of byte (resp. half-word) write in the memory, a stored byte can interact with all other bytes (resp. aligned half-word) of the previous data. Our experiments showed that this happens only with the data of a store instruction issued in the previous cycle. When two store instructions are not issued in consecutive cycles, the stored bytes only interact with the ones at the same index in the previously read or written data. On our STM32F1 target, the DataOut bus seems to be reset between writes and there is, seemingly in the off-core memory, a one-word buffer which contains the last piece of data read or written, as explained next.

- Read Write Interaction: Consecutive memory instructions (load-store, store-load), even separated by non-memory instructions, do interact. As there are two data buses (DataIn and DataOut), the interaction takes place in the memory subsystem. Our test vectors enable us to confirm that there is a one-word buffer containing either the last read word or the result of the last written word. When storing a byte or half word, the targeted aligned word is retrieved in the memory and the word resulting from the write (i.e. with one or two changed bytes) is written in this buffer. This behavior is consistent with all the interactions we described before between two consecutive load or store instructions.
separated by non-memory instructions. Figure 6 illustrates the inferred memory model and the one-word buffer.

This section has shown that each micro-architectural element can leak, some more obviously than others, i.e. requiring more or less traces. This is by no means specific to the Cortex-M3 though, and all processors are plagued with similar leakage sources. Despite the Cortex-M3 being a simple processor, manually checking all possibilities for securing a piece of code against side channels quickly becomes a daunting task for a developer, thus motivating the need for tooling to automate the task and manage the complexity.

V. Formal Verification with Micro-architectural Model

This section introduces ARMISTICE, a framework designed for formally proving the absence of leakage in a masked program when executing it on a specific processor core.

**Overview:** ARMISTICE implements different symbolic ARMv7-M instruction set simulators, denoted processor models in the remainder, targeting different abstraction levels such as ISA level or micro-architectural level. Such a symbolic simulator builds symbolic expressions representing the effective values passing through the hardware components of the processor when executing a masked program. The symbolic variables in expressions are typed as masks, secret or public variables (e.g. plaintext). Other variables, whose value does not depend on the inputs (such as loop counters), have concrete values. These expressions provide an accurate leakage model due to the manipulated data, and proving that these expressions, or some combinations of expressions, are statistically independent from secret values gives a valuable guarantee regarding secret independence on a real execution. ARMISTICE leverages a formal analysis tool to prove the absence of leakage in a given leakage model, and allows to avoid a statistical analysis or interpretation as would happen with a leakage trace simulator.

The verification process is depicted in Figure 7. First, from a binary code, an entry point of the program to analyze and information on symbolic variables with their type, an execution trace is generated using an external symbolic execution engine. This step enables to retrieve 1) the sequence of instructions executed by the program (we assume a single execution path i.e. no symbolic input controls jumps) and 2) the memory content and general purpose registers at the beginning of the program to be analyzed. Then, the execution trace is simulated using the user-specified processor model which computes the symbolic expressions in hardware elements at each execution cycle and performs all formal checks according to the user-specified leakage model, either value-based or transition-based. The formal verification is performed using an external tool. ARMISTICE retrieves the verification results and eventually outputs a synthesis of all the results. It can also give, for each detected leakage, the involved assembly instruction(s), the leaking expression as well as the leaking hardware element. The user can leverage these precise sources of leakage to remove them. For example, he can then reorder instructions or insert specific instructions, and check that the new code is leakage-free.

**Implementation:** The different parts of ARMISTICE are implemented in Python. Currently, there are two processor models for the Armv7-m ISA: one at ISA level and one at micro-architecture level, denoted Arm ISA and Arm Cortex-M3 respectively. In the Arm ISA model, each instruction executes in one cycle and only modifies the content of the destination registers or the memory according to its semantics. The Arm Cortex-M3 model implements a cycle-accurate description of the abstract model presented in Section IV with a 3-stage pipeline (DEC, EXE1, EXE2). Modelled hardware components comprise GPR, internal CPU and memory registers, data memory as well as multiplexers and buses. At each simulation cycle, each modelled hardware component is updated according to the instruction processed in the corresponding pipeline stage. The framework offers an easy way to add other models by implementing a specified interface, comprising a few functions called by a common simulation engine core. Currently, the execution trace is generated using the symbolic engine angr [28]. The formal verification of a symbolic expression is performed by LeakageVerif [29]. LeakageVerif determines if the expression is statistically independent from all the secret variables in the expression, i.e. if the expression is first-order probing secure for the considered leakage model.

**Back to the motivating example:** Let us now consider again our software implementation of the ISW AND algorithm, with a C code implementation shown in Listing 1, and its compiler-emitted assembly code from Listing 2. The results of the ARMISTICE analysis on Cortex-M3 in the transition leakage model are reported in the tables from Figure 8. The upper table displays, for each instruction, which micro-architectural elements are leaking, together with a link to the expression and the secrets values (a, b, c) leaked by that expression in the lower table. The correlation plot is the one from Figure 1, with annotations to explain the leakage origins using the expressions from the tables. It is worth reminding here that due to the nature of the operation performed by the ISW AND, a bitwise and, a leakage on a or b implies a leakage on c.

As made obvious in Figure 8, no processor cycle is leakage-free and all secrets are leaking in the transition leakage model most of the time. The leakages found by ARMISTICE match perfectly those found experimentally on the STM32F1. Next section will dive deeper in the details of the ARMISTICE results with real benchmarks.
VI. EXPERIMENTAL EVALUATION

We show that our framework ARMISTICE modelling the Cortex-M3 allows us to find theoretical secret leakages in the micro-architecture, even when the masking scheme has been shown to be secure in their considered leakage model. Finally, we show on examples that the secret leakages found are experimentally visible.

A. Benchmarks

In order to illustrate the relevance of ARMISTICE, we consider 4 C-source implementations masked in the first-order value-based probing security model: Secmult, the popular secure Galois field multiplication [30]; AES-Herbst and AES-KS a masked version of AES and its key schedule, following the masking scheme proposed by Herbst et al. [31]; AES-Yao another masked version of AES [32]. The main difference in AES-Yao, compared to AES-Herbst, is the multiplication by constants 2 and 3 in the MixColumns step that is performed via table lookups. As the key schedule in AES-Yao is not masked, it is not considered in the evaluation. C-source benchmarks were compiled using GCC 10.2, at optimisation level 02. These benchmarks are first-order value-based masked, hence they are not secure in the transition-based leakage model. ARMISTICE is likely to detect leakages at ISA and micro-architectural level.

We also select 4 Arm assembly-level masked implementations. Arm-Add and Arm-Add-opt are two masked implementations of an addition of two secrets split in two shares [33]. They are provided as Arm assembly code and are, to the best of our understanding, designed to be masked in the first-order transition-based probing security considering GPR. ARMISTICE should then not detect leakages due to write in the RF. Dil1-And is a 32-bit masked logical AND and Dil1-A2B is a 32-bit conversion from arithmetic to boolean masking. These two functions are provided as part of a masking scheme of the Dilithium algorithm for the Cortex-M3 [34]. Both versions have been implemented using an enhanced version of the MAPS simulator. As they are supposed to take into account the Cortex-M3 micro-architecture, it is interesting to know if ARMISTICE can find remaining secret leakages or not at micro-architectural level.

B. Leakage Analysis

For each benchmark, a verification is performed on both the Arm ISA and Arm Cortex-M3 processor models.

Table 9 presents a synthesis of the analysis results for the Cortex-M3 processor model per benchmark. Namely, it gives for each modelled component of the Cortex-M3 the number of secret data leakages in the value-based leakage model (#VL column) and in the transition-based one (#TL column). The results for the GPR (line “R0 to R14”) can also be obtained with the Arm ISA processor model and correspond to the ISA level model, in which the only hardware elements considered as a source of leakages are the GPR. This also comprises values read from and written to memory as they necessarily pass through a GPR.

At ISA level, for Secmult, AES-KS, AES-Herbst and AES-Yao, the analysis in the value-based leakage model confirms there is no leakage in the GPR, hence nor in memory, as claimed by their authors.

For the Arm Cortex-M3 processor model, for the same benchmarks, we can first notice that as expected there is no leakage in the value-based leakage model, except for the memory related components (DataOut, DataIn and ReadWriteBuffer). This is because when a masked byte of the AES state is read, the whole memory word is actually read in memory and transferred, containing four state bytes. As there is a single mask byte for masking each of these four bytes, this constitutes a leakage. We can also notice that there is an enormous amount of leakages in transition on micro-architectural components for these benchmarks. In fact, all of the components except MuxRegAddr2, RegAddr2, MuxBS, MuxDataAdder and 5 GPR have at least a transition revealing secret information. While a verification in the value-based
leakage model at the ISA level is a required first step towards leakage-free implementations, it leaves many secret leakages on the table.

The Arm-Add and Arm-Add-Opt benchmarks both contain secret leakages in GPR in the value-based and transition-based leakage models. This suggests that there may be a flaw in the design of these programs contrary to the claim of the authors. Unsurprisingly, there are many other secret leakages in other hardware elements of the core, as those were not taken into consideration in the masking scheme design.

Finally, for the Dil-And and Dil-And-A2B benchmarks, almost all the secret leakages in value and transition have been eliminated. Yet, a few secret leakages in transition remain: they occur on the path from the ALU to the DataReg, and in the ReadWriteBuffer. We first looked at leakages in the path from the ALU to the RF in Dil-And-A2B, as it should have been taken into consideration in the design of these programs. Unfortunately, the leaking expressions are too big for a manual analysis (more than 800 KB per leaking expression). Therefore, we rather performed a manual analysis of the leaking expressions reported for the Dil-And program. Both leakages on DataReg and ReadWriteBuffer happen when writing back in memory the two shares of the result. If the two stores have been separated by a logical instruction to clean the data path, this instruction does neither erase the content of the Data Reg, nor the one of the ReadWriteBuffer. Consequently, the two stores of the two shares reveal the secret results in these two hardware elements. We show in the next section that this secret leakage can be experimentally observed at the ARMISTICE’s reported time in the power trace.

These results show that the ISA level or the value-based leakage model are not good abstractions of the real power consumption. Instead, there is a need to take into account a more detailed description of the device executing the program. The RTL level combined with the transition-based leakage model is a better abstraction for leakage analysis, as together they are much closer to the hardware transitions, responsible for most of the power consumption. Besides, the Dil-And and Dil-And-A2B results show that it is difficult to take into account micro-architectural details without a formal approach, as manual approaches will fail to take into account every aspect, even with detailed knowledge. These results thus show the relevance of the proposed approach, by being able to pinpoint the instructions, the moments and hardware elements in the core responsible for secret leakages, even for codes designed to be secure for the Cortex-M3 core.

### C. Accuracy and Exploitability

In order to illustrate the accuracy of the approach, we run three experiments. First, in order to show that the leakages found on the Dil-And benchmark are real, we capture 500,000 traces with the setup described in Section IV-B1. We run a specific t-test on the leaking expression and look at the t-test value for the samples corresponding to the cycles at which ARMISTICE detected the leakages: the results, shown in Figure 11, demonstrate a perfect match.

In a second experiment, we repeat the same process for all of the simple secret leaking expressions in the first round of the Key Schedule in the transition leakage model. By simple, we mean expressions with at most one binary operator. There are height such expressions. In Figure 10, we can see that all the secret leakages experimentally observed are detected by ARMISTICE at the expected location in the trace. However, four secret leakages detected by ARMISTICE do not translate into concluding t-tests, making them seemingly false positives. However, after further investigations:
Two of these leakages result from writing a byte in R0 (ex6 and ex7). As shown in section IV-B5, transitions in R0 on a single byte can hardly be observed with 500,000 traces. Here, in both cases, as there is still a remnant effect from a big previous leakage, these leakages on R0 cannot be distinguished on the trace.

One of these leakages results from the transition between two register values put on the Bus B, but not written into Reg B (ex5). In fact, the two corresponding instructions do not read the registers, but the latter are selected because of the immediate values contained in the instructions. We designed a specific test vector for this case which confirmed that no leakage can be observed in this case, at least with our experimental setup.

The last leakage corresponds to an already observed leakage situation, since it occurs on ALU out (ex2). After investigation, it appears that in some cases, the processor stalls for one cycle, which is not taken into account in our model. While we have not yet found out the reason why such stall cycles occur, we suspect they come from the fetch of some instructions in the Flash memory. Adding an extra instruction to shift the two non leaking consecutive instructions makes the stall cycle disappear and the leakage found by ARMISTICE visible.

In order to deal with the non-visible leakages, one can decide to deactivate leakage analysis in some hardware elements for a given data size if the leakages in these elements are experimentally too small to be observable. Regarding the unexplained stall cycles, not modelling them only results in false positives as the stall resets some signal values. Yet, future work includes understanding why such stall cycles occur.

For the third experiment, we modify the assembly code of the previous experiment to remove all the possible secret leakages detected by ARMISTICE. Some of them, occurring in the memory, were not taken into consideration as they require modifying the memory data layout of the program. For each detected secret leakage, we add one or several instructions in order to clean the parts of the data path involved in the leaking transition. The added instructions can have three different kinds: for transitions happening in the arithmetic and logic data path, we use a orr lr, lr instruction, not a nop neither a mov instruction, in order to be sure to clean regA and regB; for transitions happening in the AGU, LSU or memory, we use either a load or a store by making sure that the address is secret independent and that the value read or written is a constant; finally, for transitions happening in a GPR, we use a orr rX, lr, lr to erase the content of the register with a constant value before the new one. Once all instructions are added, the new code is run again on ARMISTICE to ensure that no more leakage is found.

Figure 11 shows the t-test values obtained for all the expressions after patching the code: all leakages have disappeared. This second experiment shows how ARMISTICE can
help designers remove all the potential secret leakages in a code, by exhibiting the specific leakages, along with where and when they happen. This information allows to write the minimal code patch to remove such leaking transitions. The patched code can eventually be analyzed by ARMISTICE in order to verify the absence of leakage.

To conclude, ARMISTICE is a valuable tool that detects secret leakages happening during the execution of a masked software on a processor. It can also help patch a code to suppress leakages, and verify a patched code. These results show that a RTL description is a relevant abstraction level to perform a formal leakage analysis. Although some modelled transitions may not translate into visible leakages, removing all RTL transitions leaking a secret provide a valuable security guarantee.

Currently, glitches are not taken into consideration in ARMISTICE. While nothing theoretically prevents considering them, we believe that considering them “as such” will result in a lot of detected leakages that are unlikely to be observed on a real target device. A more detailed analysis of the circuit is required in order to select existing glitches, which is left for future work.

VII. CONCLUSION

In order to understand micro-architectural leakages which reduce the security order of masked software implementations, we presented the modelling of the core and memory subsystem of an Arm Cortex-M3 based STM32F1 board. We presented ARMISTICE, a framework for formally verifying the absence of leakage considering first-order value-based or transition-based probing security. We experimentally showed that our modelling is relevant for detecting micro-architectural leakages as well as manually removing them thanks to the ARMISTICE’s output comprising leaking instructions as well as the hardware components where the leakages stem from. Future work will consider higher order leakage verification, the automation of model extraction from a RTL design and automatic code patching from an analysis result.

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