

# A Note on the Security Framework of Two-key DbHtS MACs

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**Abstract.** Double-block Hash-then-Sum (DbHtS) MACs are a class of MACs achieve beyond-birthday-bound (BBB) security, including SUM-ECBC, PMAC\_Plus, 3kf9 and LightMAC\_Plus etc. Recently, Shen et al. (CRYPTO 2021) proposed a security framework for two-key DbHtS MACs in the multi-user setting, stating that when the underlying blockcipher is ideal and the universal hash function is regular and almost universal, the two-key DbHtS MACs achieve  $2n/3$ -bit security. Unfortunately, the regular and universal properties can not guarantee the BBB security of two-key DbHtS MACs. We propose three counter-examples which are proved to be  $2n/3$ -bit secure in the multi-user setting by the framework, but can be broken with probability 1 using only  $\mathcal{O}(2^{n/2})$  queries even in the single-user setting. We also point out the miscalculation in their proof leading to such a flaw. However, we haven't found attacks against 2k-SUM-ECBC, 2k-PMAC\_Plus and 2k-LightMAC\_Plus proved  $2n/3$ -bit security in their paper.

**Keywords:** MAC · DbHtS · Beyond-birthday-bound security · Multi-user security.

## 1 Introduction

**Message Authentication Code (MAC).** MAC is a symmetric-key crypto primitive to ensure integrity of messages. Most of their security proofs, including XCBC [4], PMAC [5,13], HMAC [2], and NMAC [2], follow the Hash-then-(Fixed-Input-Length) Function (HtF) framework:

$$\text{HtF}[H, E](K_h, K, M) = E_K(H_{K_h}(M)).$$

When  $H$  is an almost universal (AU) hash function and  $E$  is a fixed-input-length PRF (often instantiated as a blockcipher), HtF is a variable-input-length PRF [16] with birthday bound security, i.e. they are secure up to  $\mathcal{O}(2^{n/2})$  queries where  $n$  is the input size of  $E$ . However, birthday bound security is always not enough for modes of lightweight blockciphers, such as PRESENT [6], GIFT [1], etc. whose block size is 64-bit. In this case, the security is only 32-bit (i.e., security up to  $2^{32}$  queries), which is practically vulnerable. Therefore researchers make great efforts to construct MACs with better security bounds.

**Birthday-Birthday-Bound (BBB) MACs.** Plenty of MACs with BBB security have been put forward, such as SUM-ECBC [17], PMAC\_Plus [18], 3kf9 [19], LightMAC\_Plus [11], and so on. Their primary proofs [17,18,19,11] gave  $2n/3$ -bit security (ignoring the maximum message length). At FSE 2019, Datta et al. showed that these MACs all follow the three-key Double-block Hash-then-Sum (DbHtS) framework [8]:

$$\text{DbHtS}[H, E](K_h, K_1, K_2, M) = E_{K_1}(H_{K_{h,1}}^1(M)) \oplus E_{K_2}(H_{K_{h,2}}^2(M)),$$

where  $M$  is the message,  $K_h = (K_{h,1}, K_{h,2})$  is the key for two universal hash functions  $H^1$  and  $H^2$ ,  $K_1, K_2$  are keys for blockcipher  $E$ . In the following, we treat the blockcipher as a Pseudorandom Permutation (PRP).  $E_{K_1}$  and  $E_{K_2}$  mean two independent PRPs. Datta et al. proved that when  $H$  is weak-cover-free and weak-block-wise universal, the three-key DbHtS is  $2n/3$ -bit secure. Later, Leurent et al. [10] showed the best attacks to them cost  $\mathcal{O}(2^{3n/4})$  queries. Recently at EUROCRYPT 2020, Kim et al. [9] have proved the tight  $3n/4$ -bit security (ignoring the maximum message length) if  $H$  is only almost universal.

Datta et al. [8] also found that the two-key DbHtS, that is to say,  $K_1 = K_2$  in the above framework, is still  $2n/3$ -bit security when  $H$  is cover-free, block-wise universal and colliding.

**Two-key DbHtS in the multi-user setting.** All the above MAC frameworks only considered single-user setting. In practice, the adversary can attack multiple users. For instance, MACs are core elements of real-world security protocols such as TLS, SSH, and IPsec, which are used by lots of websites with plenty of daily active users. However, by a generic reduction, all above BBB results degrade to (or even worse than) the birthday bound in the multi-user setting [14].

At CRYPTO 2021, Shen et al. [14] revisited the security of two-key DbHtS framework in the multi-user setting elaborately. Their framework (Theorem 1 in [14]) states that when the underlying blockcipher is an ideal cipher and the two independent universal hash functions are both regular and almost universal, the two-key DbHtS MACs, including 2k-SUM-ECBC, achieve  $2n/3$ -bit security. They adjusted the proof of the framework for adapting to 2k-PMAC\_Plus and 2k-LightMAC\_Plus based on two dependent universal hash functions, stating they achieve  $2n/3$ -bit security, too.

We summarise the above security frameworks for MACs in Table 1.

**Our contributions.** We show that *Theorem 1 in Shen et al.’s paper [14], giving the security of two-key DbHtS framework, has a critical flaw* by three counter-examples. According to their framework, these counter-examples are proved  $2n/3$ -bit security (ignoring the maximum message length) in the multi-user setting. However, they are all attacked successfully with only  $\mathcal{O}(2^{n/2})$  queries even in the single-user setting. We also show clearly the miscalculation in their proof leading to such a flaw.

**Table 1.** Summary of security frameworks for MACs.  $n$  is the input size of  $E$ . ‘SU’ means single-user setting. ‘MU’ means multi-user setting. The security of MACs ignores the maximum message length.

Framework	Property of $H$	Property of $E$	Setting	Security
HtF	AU	PRF	SU	$n/2$ [16]
Three-key DbHtS	Weak-cover-free Weak-block-wise universal	PRP	SU	$2n/3$ [8]
Two-key DbHtS	Cover-free Block-wise universal Colliding	PRP	SU	$2n/3$ [8]
Three-key DbHtS	AU	PRP	SU	$3n/4$ [9]
Two-key DbHtS	Regular AU	Ideal cipher	MU	$2n/3$ [14]

## 2 Preliminaries

**Notation.** For a finite set  $\mathcal{X}$ , let  $X \xleftarrow{\$} \mathcal{X}$  denote sampling  $X$  from  $\mathcal{X}$  uniformly and randomly. Let  $|\mathcal{X}|$  be the size of the set  $\mathcal{X}$ . For a domain  $\mathcal{X}$  and a range  $\mathcal{Y}$ , let  $\text{Func}(\mathcal{X}, \mathcal{Y})$  denote the set of all functions from  $\mathcal{X}$  to  $\mathcal{Y}$ .

**Multi-user Pseudorandom Function (PRF).** Let  $F : \mathcal{K} \times \mathcal{X} \rightarrow \mathcal{Y}$  be a function. The game  $\mathbf{G}_F^{\text{prf}}(\mathcal{A})$  about adversary  $\mathcal{A}$  is defined as follows.

1. Initialize  $K_1, K_2, \dots \xleftarrow{\$} \mathcal{K}, f_1, f_2, \dots \xleftarrow{\$} \text{Func}(\mathcal{X}, \mathcal{Y})$ , and  $b \xleftarrow{\$} \{0, 1\}$ ;
2.  $\mathcal{A}$  queries Eval function with  $(i, X)$  and get  $\text{Eval}(i, X)$ , where  $i \in \{1, 2, \dots\}$ ,  $X \in \mathcal{X}$ , and

$$\text{Eval}(i, X) = \begin{cases} F(K_i, X), & \text{if } b = 1, \\ f_i(X), & \text{if } b = 0; \end{cases}$$

3.  $\mathcal{A}$  output  $b'$ .

Then the advantage of the adversary  $\mathcal{A}$  against the multi-user PRF security of  $F$  is

$$\begin{aligned} \text{Adv}_F^{\text{prf}}(\mathcal{A}) &= |2 \Pr[b' = b] - 1| \\ &= |\Pr[b' = 1|b = 1] - \Pr[b' = 1|b = 0]| \end{aligned}$$

**The H-coefficient technique.** When considering interactions between an adversary  $\mathcal{A}$  and an abstract system  $\mathbf{S}$  which answers  $\mathcal{A}$ 's queries, let  $X_i$  denote the query from  $\mathcal{A}$  to  $\mathbf{S}$  and  $Y_i$  denote the response of  $X_i$  from  $\mathbf{S}$  to  $\mathcal{A}$ . Then the resulting interaction can be recorded with a transcript  $\tau = ((X_1, Y_1), \dots, (X_q, Y_q))$ . Let  $p_{\mathbf{S}}(\tau)$  denote the probability that  $\mathbf{S}$  produces  $\tau$ . In fact,  $p_{\mathbf{S}}(\tau)$  is the description of  $\mathbf{S}$  and independent of the adversary  $\mathcal{A}$ . Then we describe the H-coefficient technique [7, 12]. Generically, it considers an adversary that aims at distinguishing a ‘‘real’’ system  $\mathbf{S}_1$  from an ‘‘ideal’’ system  $\mathbf{S}_0$ . The interactions of the adversary with those two systems induce two transcript distributions  $D_1$  and  $D_0$  respectively. It is well known that the statistical distance  $\text{SD}(D_0, D_1)$  is an upper bound on the distinguishing advantage of  $\mathcal{A}$ .

**Lemma 1.** [7,12] *Suppose that the set of attainable transcripts for the ideal system can be partitioned into good and bad ones. If there exists  $\epsilon \geq 0$  such that  $\frac{ps_1(\tau)}{ps_0(\tau)} \geq 1 - \epsilon$  for any good transcript  $\tau$ , then*

$$\text{SD}(D_0, D_1) \leq \epsilon + \Pr[D_0 \text{ is bad}].$$

**Regular and AU.** Let  $H : \mathcal{K}_h \times \mathcal{X} \rightarrow \mathcal{Y}$  be a hash function where  $\mathcal{K}_h$  is the key space,  $\mathcal{X}$  is the domain and  $\mathcal{Y}$  is the range. Hash function  $H^i$  is said to be  $\epsilon_1$ -regular if for any  $X \in \mathcal{X}, Y \in \mathcal{Y}$ ,

$$\Pr[K_h \xleftarrow{\$} \mathcal{K}_h : H_{K_h}(X) = Y] \leq \epsilon_1.$$

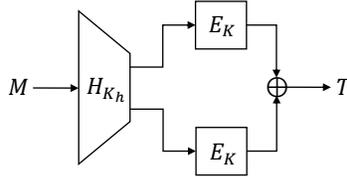
And hash function  $H$  is said to be  $\epsilon_2$ -AU if for any two distinct strings  $X, X' \in \mathcal{X}$ ,

$$\Pr[K_h \xleftarrow{\$} \mathcal{K}_h : H_{K_h}(X) = H_{K_h}(X')] \leq \epsilon_2.$$

### 3 BBB-security Framework in [14]

Let  $\mathcal{M}$  be the message space and  $\mathcal{K}_h \times \mathcal{K}$  be the key space. Let blockcipher  $E : \mathcal{K} \times \{0, 1\}^n \rightarrow \{0, 1\}^n$  and  $\mathcal{K} = \{0, 1\}^k$ . Let hash function  $H : \mathcal{K}_h \times \mathcal{M} \rightarrow \{0, 1\}^n \times \{0, 1\}^n$ . The function  $H$  is consist of two  $n$ -bit hash functions  $H^1$  and  $H^2$ , i.e.,  $H_{K_h}(M) = (H_{K_{h,1}}^1(M), H_{K_{h,2}}^2(M))$  where  $K_h = (K_{h,1}, K_{h,2}) \in \mathcal{K}_{h,1} \times \mathcal{K}_{h,2}$  and  $K_{h,1}, K_{h,2}$  are two independent keys. Then the two-key DbHtS framework in paper [14] (see Fig.1) is

$$\text{DbHtS}[H, E](K_h, K, M) = E_K \left( H_{K_{h,1}}^1(M) \right) \oplus E_K \left( H_{K_{h,2}}^2(M) \right).$$



**Fig. 1.** The two-key DbHtS construction. Here  $H$  is a  $2n$ -bit hash function from  $\mathcal{K}_h \times \mathcal{M}$  to  $\{0, 1\}^n \times \{0, 1\}^n$ , and  $E$  is a  $n$ -bit blockcipher from  $\mathcal{K} \times \{0, 1\}^n$  to  $\{0, 1\}^n$ .

**Theorem 1 in [14].** Let  $E$  be modeled as an ideal cipher. Let  $H^1$  and  $H^2$  both satisfy  $\epsilon_1$ -regular and  $\epsilon_2$ -AU. Then Shen et al. [14] proved the security of two-key DbHtS in the multi-user setting as following, which is the core of their paper and they named it Theorem 1. For any adversary  $\mathcal{A}$  that makes at most  $q$  evaluation queries and  $p$  ideal-cipher queries,

$$\begin{aligned} \text{Adv}_{\text{DbHtS}}^{\text{prf}}(\mathcal{A}) &\leq \frac{2q}{2^k} + \frac{q(3q+p)(6q+2p)}{2^{2k}} + \frac{2qp\ell}{2^{n+k}} + \frac{2qp\epsilon_1}{2^k} + \frac{4qp}{2^{n+k}} \\ &\quad + \frac{4q^2\epsilon_1}{2^k} + \frac{2q^2\ell\epsilon_1}{2^k} + 2q^3(\epsilon_1 + \epsilon_2)^2 + \frac{8q^3(\epsilon_1 + \epsilon_2)}{2^n} + \frac{6q^3}{2^{2n}} \end{aligned} \quad (1)$$

where  $\ell$  is the maximal block length among these evaluation queries and assuming  $p + q\ell \leq 2^{n-1}$ .

**An overview of the proof of Theorem 1 in [14].** They proved Theorem 1 based on H-coefficient technique. Let  $\mathbf{S}_1$  be “real” system and  $\mathbf{S}_0$  be “ideal” system. For  $b \in \{0, 1\}$ , system  $\mathbf{S}_b$  performs the following procedure.

1. Initialize  $(K_h^1, K_1), \dots, (K_h^u, K_u) \stackrel{\$}{\leftarrow} \mathcal{K}_h \times \mathcal{K}$  if  $b = 1$ ; otherwise, initialize  $f_1, \dots, f_u \stackrel{\$}{\leftarrow} \text{Func}(\mathcal{M}, \{0, 1\}^n)$ ;
2. If an adversary  $\mathcal{A}$  queries Eval function with  $(i, M)$ , where  $i \in \{1, 2, \dots\}$ ,  $M \in \mathcal{M}$ , return

$$\text{Eval}(i, M) = \begin{cases} \text{DbHtS}[H, E](K_h^i, K_i, M), & \text{if } b = 1, \\ f_i(M), & \text{if } b = 0; \end{cases}$$

3. If an adversary  $\mathcal{A}$  queries Prim function with  $(J, X)$ , where  $J \in \mathcal{K}$ ,  $X \in \{+, -\} \times \{0, 1\}^n$ , return

$$\text{Prim}(J, X) = \begin{cases} E_J(x), & \text{if } X = \{+, x\}, \\ E_J^{-1}(y), & \text{if } X = \{-, y\}. \end{cases}$$

They called the query to Eval evaluation query and the query to Prim ideal-cipher query. For each query  $T \leftarrow \text{Eval}(i, M)$ , they associated it with an entry  $(\text{eval}, i, M, T)$ . The query to Prim is similar to it. These two entries are included in transcript  $\tau$ . Then they defined bad transcripts, including fourteen cases. If a transcript is not bad then they said it’s good. Let  $D_1$  and  $D_0$  be the random variables for the transcript distributions in the system  $\mathbf{S}_1$  and  $\mathbf{S}_0$  respectively. They firstly bounded the probability that  $D_0$  is bad as follows. Let  $\text{Bad}_i$  be the event that the  $i$ -th case of bad transcripts happens. They calculated the probability  $\Pr[\text{Bad}_1], \dots, \Pr[\text{Bad}_{14}]$  in sequence. After summing up, they got

$$\begin{aligned} \Pr[D_0 \text{ is bad}] &\leq \sum_{i=1}^{14} \Pr[\text{Bad}_i] \\ &\leq \frac{2q}{2^k} + \frac{q(3q+p)(6q+2p)}{2^{2k}} + \frac{2qp\ell}{2^{k+n}} + \frac{2qp\epsilon_1}{2^k} + \frac{4qp}{2^{n+k}} \\ &\quad + \frac{4q^2\epsilon_1}{2^k} + \frac{2q^2\ell\epsilon_1}{2^k} + 2q^3(\epsilon_1 + \epsilon_2)^2 + \frac{8q^3(\epsilon_1 + \epsilon_2)}{2^n}. \end{aligned}$$

Besides, they proved the transcript ratio  $\frac{p_{\mathbf{S}_1}(\tau)}{p_{\mathbf{S}_0}(\tau)} \geq 1 - \frac{6q^3}{2^{2n}}$  for any good transcript  $\tau$ . Thus they concluded Theorem 1 in [14] by Lemma 1.

## 4 Counter-examples

We show that the regular and universal properties of hash function can not guarantee the BBB security of two-key DbHtS MACs. We construct three universal hash functions which satisfy the properties, leading to  $2n/3$ -bit security of two-key DbHtS MACs by Theorem 1 in [14]. But all the instantiations can be broken with probability 1 using only  $\mathcal{O}(2^{n/2})$  queries even in the single-user setting.

#### 4.1 Counter-example 1

Our first counter-example is a universal hash function with fixed input length. Let function

$$H_{K_h}(M) = (H_{K_1}^1(M), H_{K_2}^2(M)) = (M \oplus K_1, M \oplus K_2),$$

where  $M$  is the message from message space  $\{0, 1\}^n$ ,  $K_h = (K_1, K_2)$  and  $K_1, K_2 \stackrel{\$}{\leftarrow} \{0, 1\}^n$ . Let blockcipher  $E_K : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}^n$ . Then the derived two-key DbHtS MAC is  $F : \{0, 1\}^{2n} \times \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}^n$  as

$$F[H, E](K_h, K, M) = E_K(H_{K_1}^1(M)) \oplus E_K(H_{K_2}^2(M)).$$

**$H^1$  and  $H^2$  are  $\frac{1}{2^n}$ -regular and 0-AU.** It is easy to know that for any  $M \in \{0, 1\}^n, Y \in \{0, 1\}^n$  and  $i \in \{1, 2\}$ ,

$$\Pr[K_i \stackrel{\$}{\leftarrow} \{0, 1\}^n : M \oplus K_i = Y] \leq \frac{1}{2^n}.$$

And for any two distinct strings  $M, M' \in \{0, 1\}^n$  and  $i \in \{1, 2\}$ ,

$$\Pr[K_i \stackrel{\$}{\leftarrow} \{0, 1\}^n : M \oplus K_i = M' \oplus K_i] = 0.$$

So hash functions  $H^1$  and  $H^2$  are both  $\frac{1}{2^n}$ -regular and 0-AU.

**$2n/3$ -bit security.** According to Theorem 1 [14], function  $F$  is secure up to  $\mathcal{O}(2^{2n/3})$  evaluation queries assuming ideal-cipher queries is  $\mathcal{O}(1)$  in the multi-user setting.

**Attack with  $\mathcal{O}(2^{n/2})$  query complexity.** It is easy to know that for all keys in key space and messages in message space,

$$\begin{aligned} F[H, E](K_h, K, M \oplus K_1 \oplus K_2) &= E_K(M \oplus K_2) \oplus E_K(M \oplus K_1) \\ &= F[H, E](K_h, K, M). \end{aligned}$$

It means  $F$  has a period  $s := K_1 \oplus K_2$ . Based on this, there is an adversary  $\mathcal{A}$  can distinguish  $F$  from random function  $f$  with only  $\mathcal{O}(2^{n/2})$  evaluation queries as follows, which is contradictory to Theorem 1 [14].

1.  $\mathcal{A}$  firstly makes  $\mathcal{O}(2^{n/2})$  evaluation queries of distinct messages  $M_1, M_2, \dots$  chosen uniformly and randomly, and get  $T_1, T_2, \dots$ ;
  2.  $\mathcal{A}$  outputs 1 if it searches a message pair  $(M_i, M_j)$  for  $M_i \neq M_j, M_i, M_j \in \{M_1, M_2, \dots\}$  which makes (i) and (ii) hold.
    - (i)  $T_i = T_j$ ;
    - (ii) After make another two evaluation queries with messages  $M'$  and  $M' \oplus M_i \oplus M_j$  for  $M' \notin \{M_i, M_j\}$ ,  $\mathcal{A}$  gets two identical answers.
- Else,  $\mathcal{A}$  outputs 0.

If the evaluation query is to  $F$ , one can expect on average that there exists one message pair  $(M_i, M_j)$  among  $\mathcal{O}(2^{n/2})$  messages such that  $M_i = M_j \oplus s$ . Conditions (i) and (ii) in the second step of  $\mathcal{A}$  filter out such pair. However, random

function  $f$  has no period. If the evaluation query is to  $f$ , on average there exists one message pair  $(M_i, M_j)$  among  $\mathcal{O}(2^{n/2})$  messages such that  $T_i = T_j$ . However, the probability of  $f(M') = f(M' \oplus M_i \oplus M_j)$  for any  $M' \notin \{M_i, M_j\}$  is only  $1/2^n$ . So  $\mathcal{A}$  finds a pair  $(M_i, M_j)$  satisfying conditions (i) and (ii) with negligible probability. Thus  $\mathcal{A}$  distinguish  $F$  from random function with probability  $1 - 1/2^n$ .

## 4.2 Counter-example 2

Compared with the first counter-example with fixed input length, our second counter-example can handle variable-length input. We construct two hash functions  $H^1$  and  $H^2$  dealing with messages from  $(\{0, 1\}^n)^*$ :

$$H_{K_i}^i(M) = M[1] \oplus M[2]K_i \oplus M[3]K_i^2 \oplus \dots \oplus M[m]K_i^{m-1} \oplus |M|K_i^m, i = 1, 2.$$

where  $M = M[1] \parallel M[2] \parallel \dots \parallel M[m]$  and every message block is  $n$ -bit. This example is a variant of PolyMAC [9].

**$H^1$  and  $H^2$  are  $\frac{\ell}{2^n}$ -regular and  $\frac{\ell}{2^n}$ -AU.** Assume the maximal block length of all evaluation queries is  $\ell$ . Any equation of at most  $\ell$  degree has at most  $\ell$  roots. So it is easy to know that for any  $M \in (\{0, 1\}^n)^*$ ,  $Y \in \{0, 1\}^n$  and  $i \in \{1, 2\}$ ,

$$\Pr[K_i \xleftarrow{\$} \{0, 1\}^n : H_{K_i}^i(M) = Y] \leq \frac{\ell}{2^n}.$$

And for any two distinct strings  $M, M' \in (\{0, 1\}^n)^*$  and  $i \in \{1, 2\}$ ,

$$\Pr[K_i \xleftarrow{\$} \{0, 1\}^n : H_{K_i}^i(M) = H_{K_i}^i(M')] \leq \frac{\ell}{2^n}.$$

It means  $H^1$  and  $H^2$  are both  $\frac{\ell}{2^n}$ -regular and  $\frac{\ell}{2^n}$ -AU.

**$2n/3$ -bit security.** According to Theorem 1 [14], function  $F$  is secure up to  $\mathcal{O}(2^{2n/3})$  evaluation queries assuming ideal-cipher queries is  $\mathcal{O}(1)$  and  $\ell = \mathcal{O}(1)$  in the multi-user setting.

**Attack with  $\mathcal{O}(2^{n/2})$  query complexity.** Fix any arbitrary string

$$M_{fix} := M[2] \parallel M[3] \parallel \dots \parallel M[m] \in (\{0, 1\}^n)^{m-1},$$

where  $2 \leq m \leq \ell = \mathcal{O}(1)$ . Let

$$K'_i := M[2]K_i \oplus M[3]K_i^2 \oplus \dots \oplus M[m]K_i^{m-1} \oplus nmK_i^m, i = 1, 2.$$

Then it is easy to obtain for any keys in key space and  $M[1] \in \{0, 1\}^n$ ,

$$\begin{aligned} & F[H, E](K_h, K, (M[1] \oplus K'_1 \oplus K'_2) \parallel M_{fix}) \\ &= E_K(M[1] \oplus K'_2) \oplus E_K(M[1] \oplus K'_1) \\ &= F[H, E](K_h, K, M[1] \parallel M_{fix}). \end{aligned}$$

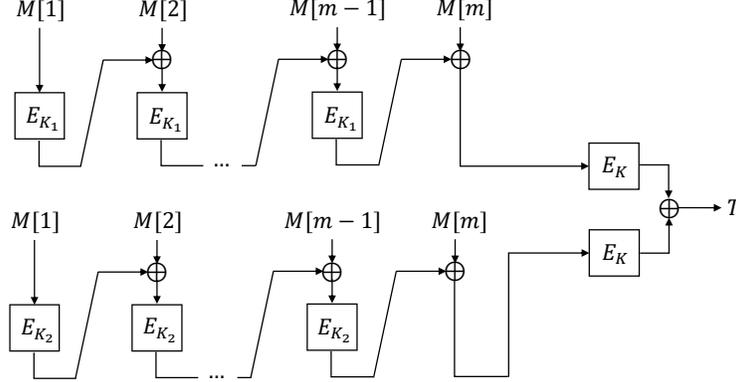
It means  $F$  has a period  $s := (K'_1 \oplus K'_2) \parallel 0^{n(m-1)}$  for any  $M \in \{0, 1\}^n \times \{M_{fix}\}$ . Based on this, there is an adversary  $\mathcal{A}$  who can distinguish  $F$  from random function  $f$  with only  $\mathcal{O}(2^{n/2})$  evaluation queries as follows, which is contradictory to Theorem 1 [14].

1.  $\mathcal{A}$  firstly makes  $\mathcal{O}(2^{n/2})$  evaluation queries with distinct messages  $M_1 \parallel M_{fix}, M_2 \parallel M_{fix}, \dots$  where  $M_1, M_2, \dots \stackrel{\$}{\leftarrow} \{0, 1\}^n$ , and get  $T_1, T_2, \dots$ ;
2.  $\mathcal{A}$  outputs 1 if it searches a pair  $(M_i, M_j)$  for  $M_i \neq M_j, M_i, M_j \in \{M_1, M_2, \dots\}$  which makes (i) and (ii) hold.
  - (i)  $T_i = T_j$ ;
  - (ii) After make another two evaluation queries with messages  $M' \parallel M_{fix}$  and  $(M' \oplus M_i \oplus M_j) \parallel M_{fix}$  for  $M' \notin \{M_i, M_j\}$ ,  $\mathcal{A}$  gets two identical answers.

Else,  $\mathcal{A}$  outputs 0.

The same as counter-example 1,  $\mathcal{A}$  distinguishes  $F$  from  $f$  with probability almost 1.

### 4.3 Counter-example 3



**Fig. 2.** The variant of 2k-SUM-ECBC.  $K_1, K_2, K_3$  are three independent keys in  $\{0, 1\}^n$ .  $E$  is a  $n$ -bit blockcipher from  $\{0, 1\}^n \times \{0, 1\}^n$  to  $\{0, 1\}^n$ .

Unlike counter-examples 1 and 2, the third counter-example is based on blockciphers. Let  $E : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}^n$  be a blockcipher with key  $K \in \{0, 1\}^n$ . The two  $n$ -bit hash functions used in this function are two CBC MACs without the last blockcipher call, which we name  $\text{CBC}'$ . They are keyed with two independent keys  $K_1$  and  $K_2$  respectively. And they deal with at least two message blocks respectively. For a message  $M = M[1] \parallel M[2] \parallel \dots \parallel M[m]$  where every message block is  $n$ -bit and  $m \geq 2$ , the  $\text{CBC}'$  algorithm  $\text{CBC}'[E](K, M)$  is

defined as  $Y_m$ , where

$$\begin{aligned} Y_1 &= M[1], \\ Y_j &= E_K(Y_{j-1}) \oplus M[j], j = 2, \dots, m. \end{aligned}$$

Let  $K_h = (K_1, K_2)$ . Then we define the function as

$$F[\text{CBC}'[E], E](K_h, K, M) = E_K(\text{CBC}'[E](K_1, M)) \oplus E_K(\text{CBC}'[E](K_2, M)).$$

$F$  (see Fig.2) can be seen as a variant of 2k-SUM-ECBC [14].

**CBC'**  $[E]$  is  $\left(\frac{2\ell}{2^n} + \frac{16\ell^4}{2^{2n}}\right)$ -regular and  $\left(\frac{2\ell}{2^n} + \frac{16\ell^4}{2^{2n}}\right)$ -AU. For any two different message  $M, M' \in (\{0, 1\}^n)^*$  with at most  $\ell$  blocks and the blockcipher  $E_K$  being a random permutation, Ballare et al. [3] showed that for  $i \in \{1, 2\}$ ,

$$\Pr[E_K(\text{CBC}'[E](K_i, M)) = E_K(\text{CBC}'[E](K_i, M'))] \leq \frac{2\ell}{2^n} + \frac{16\ell^4}{2^{2n}}.$$

Blockcipher  $E_K$  is a permutation. So

$$\Pr[\text{CBC}'[E](K_i, M) = \text{CBC}'[E](K_i, M')] \leq \frac{2\ell}{2^n} + \frac{16\ell^4}{2^{2n}}.$$

Thus for ideal blockcipher  $E$  we get

$$\Pr[K_i \stackrel{\$}{\leftarrow} \{0, 1\}^n : \text{CBC}'[E](K_i, M) = \text{CBC}'[E](K_i, M')] \leq \frac{2\ell}{2^n} + \frac{16\ell^4}{2^{2n}}.$$

It means **CBC'** is  $\left(\frac{2\ell}{2^n} + \frac{16\ell^4}{2^{2n}}\right)$ -AU. Let  $M = X[1] \parallel (X[2] \oplus Y) \parallel Z \in (\{0, 1\}^n)^* \times \{0, 1\}^n \times \{0, 1\}^n$  and  $M' = 0^n \parallel Z \in \{0, 1\}^n \times \{0, 1\}^n$ . Then

$$\begin{aligned} & \Pr[K_i \stackrel{\$}{\leftarrow} \{0, 1\}^n : \text{CBC}'[E](K_i, X[1] \parallel X[2]) = Y] \\ &= \Pr[K_i \stackrel{\$}{\leftarrow} \{0, 1\}^n : \text{CBC}'[E](K_i, M) = \text{CBC}'[E](K_i, M')] \\ &\leq \frac{2\ell}{2^n} + \frac{16\ell^4}{2^{2n}}. \end{aligned}$$

So **CBC'** is  $\left(\frac{2\ell}{2^n} + \frac{16\ell^4}{2^{2n}}\right)$ -regular.

**2n/3-bit security.** According to Theorem 1 [14], function  $F$  is secure up to  $\mathcal{O}(2^{2n/3})$  evaluation queries assuming no ideal-cipher queries and  $\ell = \mathcal{O}(1)$  in the multi-user setting.

**Attack with  $\mathcal{O}(2^{n/2})$  query complexity.** Fix any arbitrary string  $M_{fix} \in (\{0, 1\}^n)^{m-1}$  where  $2 \leq m \leq \ell = \mathcal{O}(1)$ . Let

$$s' = \text{CBC}'[E](K_1, M_{fix} \parallel 0^n) \oplus \text{CBC}'[E](K_2, M_{fix} \parallel 0^n).$$

Then it is easy to obtain for any keys in key space and  $M[m] \in \{0, 1\}^n$ ,

$$\begin{aligned}
& F[\text{CBC}'[E], E](K_h, K, M_{fix} \parallel (M[m] \oplus s')) \\
&= E_K(\text{CBC}'[E](K_2, M_{fix} \parallel 0^n) \oplus M[m]) \oplus \\
& \quad E_K(\text{CBC}'[E](K_1, M_{fix} \parallel 0^n) \oplus M[m]) \\
&= E_K(\text{CBC}'[E](K_2, M_{fix} \parallel M[m])) \oplus E_K(\text{CBC}'[E](K_1, M_{fix} \parallel M[m])) \\
&= F[\text{CBC}'[E], E](K_h, K, M_{fix} \parallel M[m]).
\end{aligned}$$

It means  $F$  has a period  $s := 0^{n(m-1)} \parallel s'$  for any  $M \in \{M_{fix}\} \times \{0, 1\}^n$ . So there is an adversary  $\mathcal{A}$  distinguishes  $F$  from random function with only  $\mathcal{O}(2^{n/2})$  evaluation queries when considering single user similar as counter-example 2.

## 5 The Flaw of the Proof of Theorem 1 in [14]

In section 3, we have shown the procedure of how Shen et al. [14] proved Theorem 1 based on H-coefficient technique. However, we find they make a critical flaw when they were calculating  $\Pr[\text{Bad}_9]$  in their proof, which leads to our counter-examples.

Assume there are  $u$  users and the adversary make  $q_i$  evaluation queries to the  $i$ -th user in all. Let  $(eval, i, M_a^i, T_a^i)$  be the entry obtained when the adversary makes the  $a$ -th query to user  $i$ . During the computation of entry  $(eval, i, M_a^i, T_a^i)$ , let  $\Sigma_a^i$  and  $\Lambda_a^i$  be the internal outputs of hash function  $H$ , namely  $\Sigma_a^i = H_{K_{h,1}}^1(M_a^i)$  and  $\Lambda_a^i = H_{K_{h,2}}^2(M_a^i)$  respectively, and denote by  $U_a^i$  and  $V_a^i$  the outputs of blockcipher  $E$  with inputs  $\Sigma_a^i$  and  $\Lambda_a^i$  respectively, namely  $U_a^i = E(K_i, \Sigma_a^i)$  and  $V_a^i = E(K_i, \Lambda_a^i)$  respectively. The adversary also obtain the entries of ideal-cipher queries. After all queries, they further give it: (i) the keys  $(K_h^i, K_i)$  where  $K_h^i = (K_{h,1}^i, K_{h,2}^i)$  and (ii) the internal values  $U_a^i$  and  $V_a^i$ . In the ‘‘ideal’’ system  $\mathbf{S}_0$ , they instead give the adversary truly random strings  $(K_h^i, K_i) \xleftarrow{\mathbb{S}} \mathcal{K}_h \times \mathcal{K}$ , independent of its queries. In addition, they give the adversary dummy values  $U_a^i$  and  $V_a^i$  computed by an simulation oracle. These additional information can only help the adversary. Thus a transcript consists of the revealed keys  $(K_h^i, K_i)$ , the internal values  $U_a^i$  and  $V_a^i$ , the ideal-cipher queries and evaluation queries.

The ninth bad event is

‘‘There is an entry  $(eval, i, M_a^i, T_a^i)$  such that either  $\Sigma_a^i = \Sigma_b^i$  or  $\Sigma_a^i = \Lambda_b^i$ , and either  $\Lambda_a^i = \Lambda_b^i$  or  $\Lambda_a^i = \Sigma_b^i$  for some entry  $(eval, i, M_a^i, T_a^i)$ .’’

They defined this event bad for the reason that the appearance of such bad event is easy used to distinguish systems  $\mathbf{S}_1$  and  $\mathbf{S}_0$ . We call the event of either  $\Sigma_a^i = \Sigma_b^i$  or  $\Sigma_a^i = \Lambda_b^i$  as event 1, and the event of either  $\Lambda_a^i = \Lambda_b^i$  or  $\Lambda_a^i = \Sigma_b^i$  as event 2. Then we can regard the simultaneous events 1 and 2 as one of the following 4 events:

- Event 3:  $\Sigma_a^i = \Sigma_b^i \wedge \Lambda_a^i = \Lambda_b^i$ ;

- Event 4:  $\Sigma_a^i = \Sigma_b^i \wedge \Lambda_a^i = \Sigma_b^i$ ;
- Event 5:  $\Sigma_a^i = \Lambda_b^i \wedge \Lambda_a^i = \Lambda_b^i$ ;
- Event 6:  $\Sigma_a^i = \Lambda_b^i \wedge \Lambda_a^i = \Sigma_b^i$ .

In “real” system  $\mathbf{S}_1$ , event 4 or 5 leads to  $T_a^i = 0^n$ ; event 3 or 6 leads to  $T_a^i = T_b^i$ . However in “ideal” system  $\mathbf{S}_0$  these happen with negligible probability by the randomness of random function  $f_i$ . And in “real” system  $\mathbf{S}_1$ ,  $U_a^i = E(K_i, \Sigma_a^i)$  and  $V_a^i = E(K_i)$ . However in “ideal” system  $\mathbf{S}_0$ ,  $(U_a^i, V_a^i) = \perp$  by the simulation oracle defined by them. Thus it is easy distinguish these two systems.

When calculating  $\Pr[\text{Bad}_9]$  in “ideal” system  $\mathbf{S}_0$ , Shen et al. [14] regarded that the event 1 is independent from event 2 when  $K_{h,1}^i, K_{h,2}^i$  are independent from each other. So by  $H^1, H^2$  are both  $\epsilon_1$ -regular and  $\epsilon_2$ -AU, they thought the probability of event 1 (resp. event 2) is at most  $\epsilon_1 + \epsilon_2$ . Note that for each user, there are at most  $q_i^2$  pairs of  $(a, b)$ . So they summed among  $u$  users and got

$$\Pr[\text{Bad}_9] \leq \sum_{i=1}^u q_i^2 (\epsilon_1 + \epsilon_2)^2 \leq q^2 (\epsilon_1 + \epsilon_2)^2.$$

In fact, even if  $K_{h,1}^i, K_{h,2}^i$  are independent of each other, the event 1 and event 2 may not be independent, which has been shown in counter-examples 1-3. We regard the ninth event as the union set of events 3,4,5 and 6. Event 3 holds with probability at most  $\epsilon_2^2$  by the assumption that  $H^1$  and  $H^2$  are  $\epsilon_2$ -AU. Event 4 holds with probability at most  $\epsilon_1 \epsilon_2$  by the assumption that  $H^1$  is  $\epsilon_2$ -AU and  $H^2$  is  $\epsilon_1$ -regular. Event 5 holds with probability at most  $\epsilon_1 \epsilon_2$  by the assumption that  $H^1$  is  $\epsilon_1$ -regular and  $H^2$  is  $\epsilon_2$ -AU. For event 6,

$$\begin{aligned} & \Pr[K_{h,1}^i \stackrel{\$}{\leftarrow} \mathcal{K}_{h,1}, K_{h,2}^i \stackrel{\$}{\leftarrow} \mathcal{K}_{h,2} : \Sigma_a^i = \Lambda_b^i \wedge \Lambda_a^i = \Sigma_b^i] \\ &= \Pr[K_{h,1}^i \stackrel{\$}{\leftarrow} \mathcal{K}_{h,1}, K_{h,2}^i \stackrel{\$}{\leftarrow} \mathcal{K}_{h,2} : \Sigma_a^i = \Lambda_b^i | \Lambda_a^i = \Sigma_b^i] \\ & \quad \cdot \Pr[K_{h,1}^i \stackrel{\$}{\leftarrow} \mathcal{K}_{h,2}, K_{h,2}^i \stackrel{\$}{\leftarrow} \mathcal{K}_{h,1} : \Lambda_a^i = \Sigma_b^i] \\ & \leq \epsilon_3 \epsilon_1 \end{aligned}$$

by the assumption that  $H^2$  is  $\epsilon_1$ -regular and let

$$\epsilon_3 = \Pr[K_{h,1}^i \stackrel{\$}{\leftarrow} \mathcal{K}_{h,1}, K_{h,2}^i \stackrel{\$}{\leftarrow} \mathcal{K}_{h,2} : \Sigma_a^i = \Lambda_b^i | \Lambda_a^i = \Sigma_b^i].$$

So we sum among  $u$  users and got

$$\Pr[\text{Bad}_9] \leq \sum_{i=1}^u q_i^2 (\epsilon_2^2 + 2\epsilon_1 \epsilon_2 + \epsilon_3 \epsilon_1) \leq q^2 (\epsilon_2^2 + 2\epsilon_1 \epsilon_2 + \epsilon_3 \epsilon_1).$$

For counter-examples 1-3, it is easy to get  $\epsilon_3 = 1$ . So for these cases,  $\Pr[\text{Bad}_9] \leq q^2 (\epsilon_2^2 + 2\epsilon_1 \epsilon_2 + \epsilon_1)$ . If we substitute our  $\Pr[\text{Bad}_9]$  for that in paper [14], we get the security of proofs of counter-examples 1-3 should be up to  $\mathcal{O}(2^{n/2})$  evaluation queries assuming ideal-cipher queries are  $\mathcal{O}(1)$  and the maximal block length of all evaluation queries is  $\mathcal{O}(1)$ , which is consistent with attacks.

## 6 Conclusion

In this paper, we point out a flaw of the security framework for two-key DbHtS in the multi-user setting proposed by Shen et al. [14] by constructing three counterexamples. We also analyze how the flaw happens in their proof. This is due to the fact that the authors overlooked the dependence of  $\Sigma_a^i = A_b^i$  and  $A_a^i = \Sigma_b^i$  in the proof of Theorem 1 [14]. In their paper, they also stated 2k-SUM-ECBC, 2k-PMAC\_Plus, and 2k-LightMAC\_Plus all achieve  $2n/3$ -bit security. For 2k-SUM-ECBC based on two independent CBC MACs, the probability  $\epsilon_3$  is about  $\frac{1}{2^n}$ . So if we substitute our  $\Pr[\text{Bad}_9]$  for that in paper [14], 2k-SUM-ECBC still achieves  $2n/3$  security. The two universal hash functions of 2k-PMAC\_Plus or 2k-LightMAC\_Plus are dependent, they adjusted the concrete proof of these two MACs from the framework. We haven't found attacks against these three MACs.

Recently, Shen et al. refined their paper [15] because of what we have found in this paper. Their new framework for two-key DbHtS is not universal. Because the  $2n/3$ -bit security of two-key DbHtS MACs doesn't only come from the regular and AU properties of the hash functions any more. To be specific, they added two variables to capture the probabilities of two subcases ' $\Sigma_a^i = A_b^i \wedge A_a^i = \Sigma_b^i$ ' and ' $\Sigma_a^i = A_b^i \wedge A_a^i = \Sigma_c^i$ ', the values of which will be clear until in the analysis of concrete MAC. In fact, these two added subcases have been included in the cover-free property of  $H$  by Datta et al. [8] when they considered the framework of two-key DbHtS in the single user setting.

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