

# To Label, or Not To Label (in Generic Groups)

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## Abstract

Generic groups are an important tool for analyzing the feasibility and in-feasibility of group-based cryptosystems. There are two distinct wide-spread versions of generic groups, Shoup’s and Maurer’s, the main difference being whether or not group elements are given explicit labels. The two models are often treated as equivalent. In this work, however, we demonstrate that the models are in fact quite different, and care is needed when stating generic group results:

- We show that numerous textbook constructions are *not* captured by Maurer, but are captured by Shoup. In the other direction, any construction captured by Maurer *is* captured by Shoup.
- For constructions that exist in both models, we show that security is equivalent for “single stage” games, but Shoup security is strictly stronger than Maurer security for some “multi-stage” games.
- The existing generic group un-instantiability results do not apply to Maurer. We fill this gap with a new un-instantiability result.
- We explain how the known black box separations between generic groups and identity-based encryption do not fully apply to Shoup, and resolve this by providing such a separation.
- We give a new un-instantiability result for the *algebraic* group model.

## 1 Introduction

Generic groups [Nec94, Sho97, Mau05] are idealized cryptographic groups where group operations are carried out by making queries to a group oracle, each query incurring unit cost. For both adversaries and constructions, generic groups capture natural *generic* algorithms which do not make any use of the particular features of the group in question, and instead only perform legal group operations.

There are plenty of valid criticisms of generic groups (e.g. [Fis00, KM06]), and like random oracles, generic groups cannot exist in the real world [Den02]. Nevertheless, cryptographic groups are one of the core cryptographic building blocks, and generic groups are critical to our understanding of the feasibility and infeasibility of group-based cryptosystems. The best *practical* attacks on many cryptosystems built from appropriate cryptographic groups are often generic. What’s more, many of the most efficient schemes have only generic group proofs. As just one example, adaptive security is usually straightforward with generic groups. In contrast, standard model proofs of adaptive security often require more complex and less-efficient cryptosystems, such as the dual system methodology [Wat09]. Additionally, even cryptosystems with a standard-model security proof rely

on computational assumptions, and for groups, there are many. When new such assumptions are made, they are often accompanied by a generic group proof of hardness, which at least demonstrates the lack of obvious flaws.

Moreover, generic groups are critical for black box separations, showing barriers to achieving various cryptographic objects from groups. Such barriers are important for the design of cryptosystems, even if one objects to using generic groups in security proofs. Examples of objects separated from generic groups include identity-based encryption [PRV12, SGS21], order revealing encryption [ZZ18], types of delay functions [RSS20], and accumulators [SGS20]. An impossibility relative to generic groups helps guide protocol design by showing what kinds of techniques will be required. While non-black box techniques can sometimes overcome such impossibilities — famously, IBE from the Diffie-Hellman assumption [DG17], for example — the use of such techniques almost always makes the results impractical. Thus, generic group impossibilities most likely rule out any practical protocol based on cryptographic groups.

**Two different generic groups.** Since first proposed by Nechaev [Nec94], two different flavors of generic groups have emerged. The first is Shoup’s [Sho97], where the group is modeled as a random embedding of the additive group  $\mathbb{Z}_p$  into bit strings, with the group operations carried out by making oracle queries.

Later, Maurer [Mau05] proposed a different model that uses pointers instead of a random representation. The oracle initializes a table with various values in  $\mathbb{Z}_p$ , representing exponents in the group. The adversary cannot access the values directly, but just knows their line numbers in the table. The adversary then outsources linear computations on the table to the oracle.

Shoup and Maurer are the main approaches used in the literature. Numerous works (e.g. [BFF<sup>+</sup>14, BCFG17, AY20, CL20, CH20]) actually treat the models as identical, simply referring to both as *the* generic group model. Some of these works justify this lack of distinction by pointing to a result of Jager and Schwenk [JS08], which provides some sort of equivalence between Shoup and Maurer. But Maurer, Portmann, and Zhu [MPZ20] find that Maurer’s model allows for stronger hardness proofs, seemingly contradicting [JS08]. Recent works of Schul-Ganz and Segev [SGS20, SGS21] briefly argue that the equivalence only applies to problems that are defined “independent of the representation” of the group, and more generally the models are *incomparable*. The relationship between the models is not further explored, and it is not clarified what “independent of the representation” means. But the purpose of the generic group models is precisely to capture algorithms that work in any group, regardless of representation!

The above state of affairs makes it hard to interpret and compare the various positive and negative results using generic groups.

## 1.1 Overview of Results

In this work, we address the important questions above by giving a detailed comparison between the models.

**The Type-Safe Model.** First, we point that many works, primarily those proving black box separations, claiming to use Maurer’s model actually do not operate in the model Maurer originally defined. Whereas Maurer’s original model has algorithms outsourcing their group computations to a stateful table, many works instead have the algorithms perform the operations locally, but constrain

the algorithms to performing only legal group operations through a simple type system. We argue that this is technically a different model than Maurer’s original model. However, we observe that Maurer’s model is actually poorly suited for the setting of general cryptosystems<sup>1</sup>, and that the type-system based model implicitly used in many works is in fact the more appropriate model. We therefore formalize this model, which we call the *Type-Safe* (TS) generic group model.

Likewise, throughout this work, we will refer to Shoup’s model as the *Random Representation* (RR) model, to give it a more descriptive name.

**From TS/Maurer to RR/Shoup for cryptosystems (Section 3).** For now we ignore security, and just discuss whether group-based cryptosystems exist in one model or the other. We generalize one direction of the proof of Jager and Schwenk [JS08] from algorithms to general cryptosystems, showing that:

**Theorem 1.1** (Informal). *Any cryptosystem in the TS/Maurer model also exists in the RR/Shoup model.*

**Separations for cryptosystems (Section 4).** We then observe that the converse does not hold. Concrete cryptosystems that do not work in the TS model include the Blum-Micali PRG [BM82] and the Goldreich-Goldwasser-Micali PRF [GGM84]. Worse, we show that several primitives are simply impossible:

**Theorem 1.2** (Informal). *Pseudorandom permutations, domain extension for collision resistant hashing, and encryption with additive ciphertext size overhead are each impossible in the TS/Maurer model.*

The applications excluded by Theorem 1.2 follow from textbook techniques that are taught in many introductory cryptography courses. These techniques work in the standard, RR/Shoup, and even random oracle models, the last often being treated as weaker than generic groups.

**Remark 1.3.** The recent works of [RSS20] and [DHH<sup>+</sup>21] already, perhaps unintentionally, provide separations. [RSS20] proves delay functions impossible in the TS/Maurer model and [DHH<sup>+</sup>21] proves signatures impossible. However, the RR/Shoup model implies random oracles [ZZ21], and both delay functions and signatures can readily be constructed assuming random oracles. [CLMQ21] even show that very efficient signatures, namely Schnorr, can be proven secure in the RR/Shoup model, despite their impossibility in TS/Maurer. Signatures can even be constructed from cryptographic groups in the *standard* model [Rom90]. We note that the purpose of these works was not to demonstrate limitations of the TS/Maurer model relative to RR/Shoup: [RSS20] argues for the difficulty of constructing group-based VDFs and time-lock puzzles, and [DHH<sup>+</sup>21] seeks to explain challenges in efficient group-based signatures. Nevertheless, a separation was a perhaps unintended consequence of their results. Our results show that the case of delay functions and signatures were not isolated incidents, and the inability of cryptosystems to work in the TS/Maurer model is in fact wide-spread.

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<sup>1</sup>It appears it was never meant to be: Maurer discusses several classes of problems to consider in his model, capturing discrete log, DDH, and more exotic variants. But general cryptosystems are not covered by the classes of problems.

**Remark 1.4.** The above results (including those of [RSS20] and [DHH+21]) show the TS/Maurer model is actually *incomparable* to the random oracle model (ROM): public key encryption exists in the TS/Maurer model but not in the ROM [IR89], while the above results give examples that exist in the ROM but not TS/Maurer. This is in contrast to the RR/Shoup model, which is known to be *strictly stronger* than random oracles [ZZ21].

**Security in RR/Shoup vs TS/Maurer (Section 5).** We next turn to discussing whether schemes are *secure* in the models. We give two theorems, which are adaptations of the two directions of the proof of [JS08]:

**Theorem 1.5** (Informal). *Amongst cryptosystems in TS/Maurer (and hence also RR/Shoup), security in RR/Shoup implies security in TS/Maurer.*

**Theorem 1.6** (Informal). *Amongst cryptosystems in TS/Maurer, if the security experiment is **single-stage**, then security in TS/Maurer implies security in RR/Shoup.*

Single-stage means there is a single adversary party; such games capture most of the basic security properties, from one-way functions to public key encryption and more. This is in contrast to *multi-stage* games, which communicate with multiple adversaries that have restricted communication. Multi-stage games include deterministic public key encryption (the message distribution is an additional adversary) and leakage resilience (the leakage function is an adversary).

We complement the above theorems by showing that, in the multi-stage setting, TS/Maurer security does *not* imply RR/Shoup security. Concretely:

**Theorem 1.7** (Informal). *There exists a deterministic PKE in TS/Maurer (and therefore also in RR/Shoup), which is secure in TS/Maurer, but is insecure in RR/Shoup and insecure in any standard-model instantiation.*

Thus TS/Maurer and RR/Shoup are equivalent for single-stage games (provided the game works in TS/Maurer), but TS/Maurer is strictly less sound than RR/Shoup in the multi-stage setting.

**On the Un-instantiability of Generic Groups (Section 6).** Next, we consider the well-known criticism of generic groups that there are (contrived) schemes secure in the generic group model that cannot be securely instantiated under any group. This was proved by Dent [Den02] by adapting similar results for random oracles due to Canetti, Goldreich, and Halevi [CGH98]. There are now numerous ways to achieve the same result [Nie02, GK03, BBP04, BFM15]. These results typically work by having a branch in the honest algorithms that is completely insecure, say by outputting the secret key in the clear. These branches cannot be triggered in the ideal model, but can be triggered under any instantiation of the group.

However, we observe that the vast majority of these results only apply in RR/Shoup: basically the trigger is detected using the bit representation of group elements. In fact, we show that the paradigm of correlation intractability underlying many of these results cannot be used in TS/Maurer. Existing un-instantiability results that apply in TS/Maurer [BCPR14] correspond to a multi-stage game (extractable OWFs), and require indistinguishability obfuscation, a strong tool not known to be implied by any assumption on groups. Our deterministic PKE scheme is unconditional, though still multi-stage. The prior work therefore leaves open the tantalizing possibility of a standard model group which securely instantiates any single-stage TS/Maurer game. We refute this:

**Theorem 1.8.** *There exists a plain public key encryption (PKE) scheme and one-time message authentication code (MAC)<sup>2</sup> in the TS/Maurer model (and therefore also RR/Shoup) which are unconditionally secure in both models, but insecure under any instantiation of the group.*

Our schemes readily adapt to give private-key encryption and MACs in the random oracle model that are insecure under any instantiation, replicating the result of [CGH98]. Our constructions, however, use different ideas, far simpler tools, and are entirely self-contained. Our PKE scheme may also be qualitatively less contrived: as pointed out by [KM06], the prior approach of inserting a branch that causes insecure behavior goes against reasonable cryptographic practice. Our scheme, by contrast, is just ElGamal applied bit-by-bit, together with a single bit of leakage. Our leakage function itself is contrived, but leakage is usually modeled adversarially. An adversary could very well choose a contrived leakage.

**The Impossibility of IBE from Generic Groups.** The literature contains two impossibilities for identity-based encryption (IBE) from generic groups: Schul-Ganz and Segev [SGS21] prove a separation in the TS/Maurer model, whereas the original separation due to Papakonstantinou, Rackoff, and Vahlis [PRV12] claims to prove a separation RR/Shoup. However, we observe that the definition of generic groups used in the latter work actually is somewhere between the TS/Maurer and RR/Shoup models. In particular, they make a TS/Maurer-style restriction where algorithms get explicit group elements as input, and are only allowed to make queries on those elements or elements derived from them. This restriction is used at a critical step in their proof, where they show how to eliminate the explicit group elements from a user’s secret key.

Restricting algorithms to operating only on explicitly provided group elements makes sense for individual algorithms trying to solve non-interactive problems such as discrete log. But for cryptosystems comprising multiple communicating parts, group elements can easily be transmitted implicitly. One could simply flip all the bits of a group element, and then recover the element by flipping them back. Alternatively, one can secret share a group element into different shares.

We note the close relationship between IBE and signatures, with IBE immediately giving signatures by re-interpreting user secret keys as signatures. What’s more, a crucial part of [PRV12] can be seen as running the verification algorithm of the derived signature scheme. Given the close relationship, and the fact that signatures are possible in RR/Shoup, but impossible after making TS/Maurer restrictions, it is important to understand whether [PRV12] can be overcome in the full RR/Shoup model. We fill in this gap, showing that this is not possible:

**Theorem 1.9.** *IBE does not exist in the RR/Shoup model.*

**The Soundness of the Algebraic Group Model (Section 8).** Fuchsbauer, Kiltz, and Loss [FKL18] propose the Algebraic Group Model (AGM) as a model that lies between the standard model and generic groups. Here, adversaries can see the actual standard-model group elements, but must be able to “explain” any group element it outputs as a linear combination of its input elements.

We first point out some definitional ambiguities in the literature needed to avoid trivially invalidating the model. We argue that the model envisioned by [FKL18] allows exactly the security games which exist in the TS/Maurer model. This means the model inherits the limitations of the TS/Maurer model. The AGM is therefore actually *incomparable* to the RR/Shoup model,

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<sup>2</sup>Plain PKE and MAC security are single-stage games.

and it cannot reason about many textbook techniques<sup>3</sup>. We also resolve an open question raised by [FKL18], showing an un-instantiability result for the AGM:

**Theorem 1.10.** *There exists a one-time message authentication code that is secure in the AGM but insecure in any standard-model instantiation of the group.*

We also take a closer look at the comparison between the AGM and the TS/Mauer model. We do not give any formal results, but argue that the claimed advantages of the AGM are not always supported by existing evidence.

**Remark 1.11.** In a concurrent and independent work [KZZ22], Katz, Zhang, and Zhou study the AGM, giving several counterexamples. As a byproduct of their exploration, they also point out the ambiguities in the AGM, but do not attempt to resolve them. Among other results, they also reach the conclusion that the AGM is incomparable to the RR/Shoup model. However, they reach their conclusion for very different reasons, as their counterexamples all require games that do not compile in the TS/Maurer model. For a slightly more thorough discussion, see Section 8.

## 1.2 Takeaways

Our work shows that extreme care must be taken when proving security or separations for generic groups. Our equivalence for single-stage *security* justifies the common practice of treating the models as equivalent for positive results in many settings, though the distinction is critical in the multi-stage setting. On the other hand, our separations for *constructions* show that impossibilities in the RR/Shoup vs TS/Maurer models must be interpreted very differently.

We also believe that our work points to significant limitations of black box impossibilities in the TS/Maurer model, as the model excludes numerous textbook (and black-box!) cryptographic techniques, ones that even work for the seemingly weaker random oracle model. On the other hand, these techniques seem to be all captured by RR/Shoup. This shows that impossibilities in RR/Shoup very closely reflect the available black box techniques for groups, whereas TS/Maurer does not. Nevertheless, TS/Maurer impossibilities may still be useful for guiding cryptosystem design, by showing that non-algebraic (but potentially still generic) techniques making use of the group labels would be necessary.

Our work fills in some important gaps in the literature, showing (1) that IBE is impossible in the fully general RR/Shoup model, and (2) that TS/Maurer is impossible to instantiate in general in the standard model. For (2), we give a new, relatively simple, approach to achieving un-instantiability results. We hope that our result sheds additional light on the plausibility of generic groups.

Finally, we shed some additional light on the algebraic group model, by showing that it is incomparable to the RR/Shoup model and is nevertheless un-instantiable, despite being closer to the standard model.

## 1.3 Acknowledgments

We thank Fermi Ma and Cong Zhang for helpful discussions which helped us clarify the interpretation of our results. We also thank Raphael Heitjohan and Tibor Jager for finding a bug in our original IBE impossibility result.

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<sup>3</sup>Note that many works in the AGM starting from [FKL18] sometimes additionally add a random oracle, and these techniques *can* be used on the random oracle.

## 1.4 Organization

We omit a separate detailed technical overview of our results, instead having a brief overview at the beginning of each of our technical sections. Section 2 defines our basic notation. Section 3 defines the TS/Maurer and RR/Shoup models, plus shows when the two can be treated equivalently. Section 4 demonstrates applications which exist in RR/Shoup but not TS/Maurer. Section 5 shows the inequivalence of security for multi-stage games. Section 6 gives our new un-instantiability result for TS/Maurer. Section 7 gives our new impossibility for IBE in the RR/Shoup model. Finally, Section 8 discusses the algebraic group model.

## 2 Preliminaries and Notation

We will use a non-uniform circuit model of computation, though all of our models and results can be translated into the Turing machine setting.

Throughout, let  $\lambda > 0$  be a security parameter. An algorithm is therefore a list of circuits  $\mathcal{C} = \{C_\lambda\}_{\lambda \in \mathbb{Z}}$ , with domains  $\mathcal{D}_\lambda$  and range  $\mathcal{E}_\lambda$ . The circuits comprising an algorithm can either be deterministic or probabilistic. In the later case, there are random coin gates, which generate a random bit.

For interactive algorithms, each circuit  $C_\lambda$  is replaced by a sequence of circuits  $C_\lambda^{(1)}, C_\lambda^{(2)}, \dots$ . The domain of  $C_\lambda^{(i)}$  is denoted  $\mathcal{S}_\lambda^{(i)} \times \mathcal{I}_\lambda^{(i-1)}$  and the range is  $\mathcal{S}_\lambda^{(i+1)} \times \mathcal{O}_\lambda^{(i)}$ . Here,  $\mathcal{S}_\lambda^{(i)}$  is the space of states that  $C_\lambda^{(i)}$  passes to  $C_\lambda^{(i+1)}$ ,  $\mathcal{O}_\lambda^{(i)}$  is the space of outgoing messages that the algorithm sends in the  $i$ th step, and  $\mathcal{I}_\lambda^{(i)}$  is the space of incoming messages. For convenience, we will generally suppress the security parameter.

We next consider *complete sets* of interacting algorithms. Each algorithm in the set is an interactive algorithm, sharing the same security parameter. There is a one-to-one correspondence between outgoing and incoming messages. Therefore, a complete set of interacting algorithms taken together yields a single non-interactive algorithm, which maps the initial inputs of each algorithm to the set of algorithms to the set of outputs. We can also consider a subset of a complete set of interacting algorithms, which we call an *incomplete set*. In this case, some of the messages are *internal*, sent amongst algorithms in the set, while other messages are *external*, and sent to and received from outside the set. An incomplete set of interacting corresponds to a single interactive algorithm, whose incoming and outgoing messages are the external messages.

### 2.1 Games and Cryptosystems

A game is given by a probabilistic interactive algorithm  $\text{Ch}$ , called a challenger, and a function  $t : \mathbb{Z}^+ \rightarrow [0, 1]$ . The challenger is given as input a security parameter  $\lambda \in \mathbb{Z}^+$ , and interacts with  $k$  non-communicating parties  $A_1, \dots, A_k$ . In other words,  $(\text{Ch}, A_1, \dots, A_k)$  forms a complete set of interacting algorithms, and  $A_1, \dots, A_k$  forms an incomplete set where all messages are external. Collectively,  $A = (A_1, \dots, A_k)$  is called the *adversary*. After the interaction,  $\text{Ch}$  outputs a bit  $b$ ; this interaction is denoted  $b \leftarrow (A \rightleftharpoons \text{Ch})(\lambda)$ . If  $b = 1$  we say the adversary wins, and if  $b = 0$  we say the adversary loses. In the case  $k = 1$ , we call  $(\text{Ch}, t)$  a *single-stage game*. If  $k > 1$ , we call  $(\text{Ch}, t)$  a *multi-stage game*.

Let  $\mathcal{A}$  be a class of adversaries. A game  $(\text{Ch}, t)$  is *hard* for  $\mathcal{A}$  if, for all  $A \in \mathcal{A}$ , there exists a negligible  $\epsilon$  such that  $\Pr[1 \leftarrow (A \rightleftharpoons \text{Ch})(\lambda)] \leq t(\lambda) + \epsilon(\lambda)$ . Typical examples of adversary classes are

(1) all algorithms, (2) all polynomial-time (in  $\lambda$ ) algorithms, or (3) all query algorithms making a polynomial number (in  $\lambda$ ) of queries to some oracle. (1) is often referred to as statistical or information-theoretic security, whereas (2) is typically called computational security. For (3), if the algorithms are not restricted, we will call the adversary *query bounded*.

**Cryptosystems.** Abstractly, a cryptosystem is just a set of algorithms. Typically these algorithms will be non-interactive, though their incoming and outgoing messages may contain multiple components that would be sent to different users.

The security of a cryptosystem is usually defined by a game. In the case where the security experiment makes black-box use of the cryptosystem, the game itself is an incomplete set of interacting algorithms: one of these interacting algorithms is a *coordinator*, and the remaining algorithms are all instances of the cryptosystem components. The various instances of the cryptosystem components receive and send messages from the coordinator, who also sends and receives external messages to the one or more adversaries. The game together with the adversaries then forms a complete set of interacting algorithms.

For example, consider the case of one-way functions: the coordinator chooses a random  $x$ , and sends  $x$  to one instance of the function  $F$  to get  $y$ . Then the coordinator sends  $y$  externally to the adversary, and receives  $x'$  in response. It then sends  $x'$  to a second instance of  $F$  to get  $y'$ , and then checks  $y = y'$ .

## 2.2 Groups

We will write groups multiplicatively, writing  $g \times h$  or simply  $gh$  to denote group multiplication. We will always assume cyclic groups of prime order  $p$ . Given a group element  $g \in \mathbb{G}$ , a matrix of group elements  $\mathbf{G} \in \mathbb{G}^{n \times m}$  and matrices  $\mathbf{A} \in \mathbb{Z}_p^{m \times r}$ ,  $\mathbf{B} \in \mathbb{Z}_p^{s \times n}$ , let  $g^{\mathbf{A}} \in \mathbb{G}^{m \times r}$ ,  $\mathbf{G}^{\mathbf{A}} \in \mathbb{G}^{n \times r}$  and  ${}^{\mathbf{B}}\mathbf{G} \in \mathbb{G}^{s \times m}$  be the matrices defined as:

$$(g^{\mathbf{A}})_{i,j} = g^{\mathbf{A}_{i,j}} \quad (\mathbf{G}^{\mathbf{A}})_{i,j} = \prod_{k=1}^m \mathbf{G}_{i,k}^{\mathbf{A}_{k,j}} \quad ({}^{\mathbf{B}}\mathbf{G})_{i,j} = \prod_{k=1}^n \mathbf{G}_{k,j}^{\mathbf{B}_{i,k}}.$$

Observe that for any appropriately-sized matrices  $\mathbf{A}, \mathbf{B}$ ,  $g^{\mathbf{A} \cdot \mathbf{B}} = (g^{\mathbf{A}})^{\mathbf{B}} = {}^{\mathbf{B}}(g^{\mathbf{A}})$ .

## 3 Different Generic Group Models

Here, we recall Shoup's [Sho97] (which we will also call the *random representation* model) and Maurer's [Mau05] generic group models, as well as propose a *Type Safe* model, formalizing a model implicit in prior work.

### 3.1 Random Representation (RR)/Shoup Model [Sho97]

Let  $p \in \mathbb{Z}$  be a positive integer, and let  $S \subseteq \{0, 1\}^*$  be a set of strings of cardinality at least  $p$ . We will assume an upper bound is known on the length of strings in  $S$ . A *random* injection  $L : \mathbb{Z}_p \rightarrow S$  is chosen, which we will call the *labeling function*. We will think of  $L(x)$  as corresponding to  $g^x$ , where  $g$  is a fixed generator of the group. All parties—including the adversary, the cryptosystem, and the challenger—are able to make the following queries:

- **Labeling queries.** The party submits  $x \in \mathbb{Z}_p$ , and receives  $L(x)$ .

- **Group operations.** The party submits  $(\ell_1, \ell_2, a_1, a_2) \in S^2 \times \mathbb{Z}_p^2$ . If there exists  $x_1, x_2 \in \mathbb{Z}_p$  such that  $L(x_1) = \ell_1$  and  $L(x_2) = \ell_2$ , then the party receives  $L(a_1x_1 + a_2x_2)$ . Otherwise, the party receives  $\perp$ .

All queries incur unit cost. We denote the oracles together as  $\mathbb{G}_{\text{RR}}$ . For an algorithm  $A$  that makes queries to  $\mathbb{G}_{\text{RR}}$ , we write  $A^{\mathbb{G}_{\text{RR}}}$ . A game  $(\text{Ch}, t)$  in the RR model allows all parties (the challenger and one or more adversaries) to make queries to the generic group. We say that  $(\text{Ch}, t)$  is hard in the RR model if it is hard for the class of adversaries whose cost is polynomial in  $\log p$ .

We will think of  $L(x)$  as corresponding to  $g^x$ , for some fixed generator  $g$  of the group. Therefore, if  $\ell_1, \ell_2$  correspond to  $g^{x_1}, g^{x_2}$ , the group operation query computes  $g^{a_1x_1+a_2x_2} = (g^{x_1})^{a_1} \times (g^{x_2})^{a_2}$ .

### 3.2 Maurer’s Model [Mau05]

Again let  $p \in \mathbb{Z}$  be a positive integer. An empty table  $T$  is initialized. Then all parties are able to make the following queries:

- **Labeling queries.** The party submits  $(x, i) \in \mathbb{Z}_p \times \mathbb{Z}$ . Row  $i$  of  $T$  is then set to  $x$ , potentially overwriting any contents at row  $i$ . No response is given.
- **Group operations.** The party submits  $(i_1, i_2, i_3, a_1, a_2) \in \mathbb{Z}^2 \times \mathbb{Z}_p^2$ . If there are entries  $x_1, x_2$  in rows  $i_1, i_2$ , respectively, of  $T$ , then row  $i_3$  is set to  $a_1x_1 + a_2x_2$ , potentially overwriting any contents at row  $i_3$ . If the contents of  $i_1$  or  $i_2$  are empty, then nothing is written. No response is given.
- **Equality queries.** The party submits  $(i_1, i_2) \in \mathbb{Z}^2$ . If there are entries  $x_1, x_2$  in rows  $i_1, i_2$ , respectively, of  $T$ , then the party receives 1 if  $x_1 = x_2$ , and 0 otherwise. If the contents of  $i_1$  or  $i_2$  are empty, then the party receives  $\perp$ .

All non-equality queries incur unit cost, and we define hardness analogously to the RR model. We denote the oracles together as  $\mathbb{G}_{\text{Ma}}$ . For an algorithm  $A$  that makes queries to  $\mathbb{G}_{\text{Ma}}$ , we write  $A^{\mathbb{G}_{\text{Ma}}}$ . As in the RR model, we will imagine there is a fixed generator  $g$ , and a row containing  $x$  will correspond to the group element  $g^x$ . The group operation queries therefore take the group elements in two positions, and write the desired combination of them to the third position.

**Remark 3.1.** Our convention of zero-cost equality queries follows Maurer, and better reflects reality: it is easy to show a lower bound of  $\Omega(p)$  cost for discrete logarithms when counting equality queries. Yet baby-step-giant-step only takes  $\Theta(\sqrt{p})$  cost in the standard and RR/Shoup models, using a data structure that cannot be simulated with just equality queries. With free equality queries, the cost in Maurer’s model is the correct  $\Theta(\sqrt{p})$ . [MPZ20] takes a different approach, refining Maurer’s model to allow more sophisticated queries that can implement the required data structure with  $\Theta(\sqrt{p})$  queries. Regardless, the number of possible equality queries is at most quadratic in the number of wires, so this convention only makes a polynomial difference, which does not effect our results.

**Challenges of Maurer’s Model.** Maurer’s model makes sense for reasoning about computational problems, such as discrete logarithms or Diffie-Hellman. Here, the table is initialized to contain the problem instance in the first several rows, with the rest of the table as scratch space for performing computations.

However, the model is potentially problematic when reasoning about cryptosystems, where different components of the cryptosystem (or even the same component run multiple times) are using the group. Since the oracle is stateful, this can cause bad behavior of the algorithms. For example, the security experiment for one-wayness runs the function twice, once to generate the adversary’s input, and once to check its output. If running the function causes the table values to change, then the outputs of the function is not deterministic. Worse, the adversary may influence the outputs by writing values to the table, which could make inversion easier. Of course this is not an issue in the real world, but it demonstrates an issue when trying to apply Maurer’s model to cryptosystems.

### 3.3 The Type Safe (TS) Model

Here we offer a model that tries to capture the intuitive properties of Maurer’s model, while also having a stateless oracle to avoid the issues above. This model is, in fact, implicit in many works claiming to use Maurer’s model [RSS20, SGS20, SGS21, DHH<sup>+</sup>21], but has not to our knowledge been formally written down.

Let  $p \in \mathbb{Z}$  be a positive integer. An algorithm  $A$  will be given as a circuit. Unlike a standard binary circuit, the circuit for  $A$  will have the following features:

- There will be two kinds of wires, *bit* wires and *element* wires. Bit wires take values in  $\{0, 1\}$ , whereas element wires take values in  $\mathbb{Z}_p \cup \{\perp\}$ .
- There will be “bit gates” that map bits to bits. These gates *cannot* take element wires as input. Any universal gate set is allowed for the bit gates.
- Additionally, there will be a few special “element gates”, whose inputs and/or outputs include element wires:
  - **Labeling Gate.** This takes as input  $\lceil \log_2(p) \rceil$  bit wires, and interprets them as  $x \in \mathbb{Z}_p$ . Its output is an element wire, containing  $x$ . This element wire will be thought of as corresponding to the value  $g^x$ . If the input wires do not correspond to an  $x \in \mathbb{Z}_p$ , the output wire will contain  $\perp$ .
  - **Group Operation Gate.** This takes as input  $2 \times \lceil \log_2(p) \rceil$  bit wires and 2 element wires. The bit wires are interpreted as  $a_1, a_2 \in \mathbb{Z}_p$ . Let  $x_1, x_2$  be the contents of the element wires. The output wire is an element wire, set to  $a_1x_1 + a_2x_2$ . If any of the bit or element input wires do not correspond to elements of  $\mathbb{Z}_p$ , then the output wire is set to  $\perp$ .
  - **Equality Gate.** This takes as input two element wires, and outputs a bit wire. If the input wires both contain the same  $x \in \mathbb{Z}_p$ , the output wire is set to 1. In all other cases (including  $\perp$  inputs) the output is 0.

We somewhat abuse notation, and for an algorithm  $A$  in the type safe model, we write  $A^{\text{GTS}}$ . Our cost metric for circuits in the TS model will count only labeling and group operation gates, with bit and equality gates being free. Free bit gates corresponds to the other generic group models, where queries are bounded but computation outside of queries is free. Free equality gates are used for the same reason as equality queries in Maurer’s model.

A game  $(\text{Ch}, t)$  in the TS model allows all parties (the challenger and one or more adversaries) to use labeling and group operation gates, and send both bit and element wires to each other. We define hardness as in the previous models.

In the TS model, we will think of element wires as containing  $\log_2 p$  bits. Therefore, if an algorithm has  $k_1$  element wires as input and  $k_2$  bit wires, its overall input size will be  $k_1 \log_2 p + k_2$ .

### 3.4 Examples

We now discuss several examples of cryptosystems based on groups, to illustrate the differences between the TS and RR models.

**One-way functions.** Discrete logs give a simple one-way function  $f(x) = g^x$ . This function easily maps to the RR model as  $f(x) = L(x)$ , which is evaluated by a single labeling query. The function *also* maps to the Type Safe model, but with some caveats. Namely,  $f(x)$  is simply a labeling gate, which outputs an element wire. Thus, in the TS model,  $f(x)$  does not map bits to bits, but rather maps bits to *elements*. This makes sense, but it means that the outputs of  $f$  cannot be operated on at the bit level, and in particular cannot be fed back into  $f$ .

**Pseudorandom Generators.** Consider the Blum-Micali [BM82] PRG: on input  $x$ , let  $x_0 = x$ . Then define  $x_i = g^{x_{i-1}}$  for  $i = 1, \dots, n$ , where  $n$  is the number of desired outputs. Then for each  $i$ , output a hardcore bit  $b_i$  extracted from  $x_i$ .

Blum-Micali easily translates to the RR model: just let  $x_i = L(x_{i-1})$ . The only caveat is that the set of labels must be  $\log p$  bits so the domain and range of  $x \mapsto g^x$  are essentially identical. On the other hand, Blum-Micali does *not* work in the Type Safe model:  $x_1$  is an element wire, and so it cannot be fed into another labeling gate in order to derive  $x_2$ .

Other standard PRGs work in the TS model. Consider  $G(x, y) = (g^x, g^y, g^{xy})$ , which is secure under the decisional Diffie-Hellman assumption. To evaluate, compute  $xy$  over  $\mathbb{Z}_p$  using standard circuit gates, and then apply labeling gates to  $x$ ,  $y$ , and  $xy$ . It is straightforward to generalize  $G$  to obtain arbitrary stretch.

**Pseudorandom Functions.** Once we have a pseudorandom generator like  $G(x, y)$  above, we may hope to build a pseudorandom *function* following Goldreich, Goldwasser, and Micali [GGM84]. This construction takes any length-doubling PRG  $G : \{0, 1\}^n \rightarrow \{0, 1\}^{2n}$ , and constructs a PRF as follows. Define  $G_0$  to be the first  $n$  bits of the output of  $G$ , and  $G_1$  to be the second  $n$  bits. For a key  $k \in \{0, 1\}^n$  and input  $x \in \{0, 1\}^m$ , define  $F(k, x) = G_{x_m}(G_{x_{m-1}}(\dots G_{x_1}(k) \dots))$ .

For a PRG  $G$  in the RR model, the PRF of [GGM84] readily also translates to the RR model. However, the same is not true for the Type Safe model. For example, our  $G$  from above takes bits as input but outputs *element* wires, and so the outputs cannot be fed back into  $G$  as in [GGM84].

Nevertheless, there are PRGs in the TS model, namely Naor-Reingold [NR97]. The secret key consists of  $\alpha_0, \dots, \alpha_m$ , and  $F(k, x) = g^{\alpha_0 \prod_{i=1}^m \alpha_i^{x_i}}$ . To evaluate in the TS model, simply compute  $\alpha_0 \prod_{i=1}^m \alpha_i^{x_i}$  and then apply a labeling gate.

**Preprocessing attacks.** Several works [CK18, BL22] have studied pre-processing attacks on groups, where an expensive pre-processing stage stores information about the labeling function of

the group. These attacks make sense in the RR model, but do not appear to have any meaning in the TS model.

The above examples already begin to show that the Type Safe model does not capture all common cryptographic techniques one may apply to groups. In Section 4, we strengthen these observations to show some concepts that are simply impossible in the TS model, despite there being standard-model constructions from groups. On the other hand, the Random Representation model seems to capture known black-box techniques, and does not suffer from these limitations.

Next, we prove certain positive relationships between the TS and RR model. These results are analogous to the theorem of [JS08], which is often cited as proving the equivalence between Shoup’s and Maurer’s generic group models. Our results below formalize what this equivalence means, and where it falls short.

### 3.5 Compiling TS To RR

Here we show that any algorithm which exists in the TS model also exists in the RR model. By applying this to cryptosystems and games, we see that any technique which is captured by the TS model is also captured by the RR model. By applying this to adversaries, we see that security in the RR model implies security in the TS model, amongst games the TS model (and hence in both models). These results are an adaptation of one direction of the proof of [JS08].

In more detail, we show that there is a *canonical translation* of any algorithm (or set of interacting algorithms) into the RR model.

**Definition 3.2.** Let  $A^{\text{GTS}}$  be an algorithm in the TS model. We then define the *canonical translation* of  $A^{\text{GTS}}$  into the RR model, which we will denote as  $A^{\text{GRR}}$ , which is identical to  $A^{\text{GTS}}$  except that:

- All element wires are replaced by collections of bit wires, which together are interpreted as labels.
- Labeling and group operation gates are replaced with the corresponding labeling and group operation queries.
- Equality gates are replaced by string comparison sub-routines.

For a set of interactive algorithms  $A^{\text{GTS}} = (A_1^{\text{GTS}}, \dots, A_k^{\text{GTS}})$  in the TS model, we define the canonical translation as  $A^{\text{GRR}} = (A_1^{\text{GRR}}, \dots, A_k^{\text{GRR}})$ .

**Theorem 3.3.** *Let  $A^{\text{GTS}}$  be a complete set of interactive algorithms in the TS model, whose final output is a set of bit wires. Let  $A^{\text{GRR}}$  be its canonical translation. Then the output distributions of  $A^{\text{GTS}}$  and  $A^{\text{GRR}}$  are identical.*

*Proof.* To see that the distributions of outputs are identical between  $A^{\text{GTS}}$  and  $A^{\text{GRR}}$ , we observe that, in the TS model, it is equivalent to consider the element wires as containing  $L(x)$  instead of  $x$ . Each algorithm in  $A^{\text{GRR}}$  then simply replaces each element wire with bit wires, but still containing  $L(x)$ , and evaluates the equality gates for itself using string comparison.  $\square$

Now let  $\Pi$  be a protocol and  $(\text{Ch}, t)$  an associated security game in the TS model. Let  $\Pi', (\text{Ch}', t)$  be the canonical translation into the RR model.

**Theorem 3.4.** *If  $(\text{Ch}', t)$  is hard in the RR model, then  $(\text{Ch}, t)$  is hard in the TS model. This holds whether or not  $\text{Ch}, \text{Ch}'$  are single-stage games.*

*Proof.* Toward contradiction, let  $A = (A_1, \dots, A_k)$  be an adversary playing the game  $\text{Ch}$  in the TS model, and winning with probability  $q$ , which is non-negligibly greater than  $t$ . Let  $A'$  be the canonical translation of  $A$ . Then  $(A', \text{Ch}')$  is the canonical translation of  $(A, \text{Ch})$ , which are complete sets of interacting algorithms. By Theorem 3.3, the output distribution is identical, which contradicts the hardness of  $(\text{Ch}', t)$ .  $\square$

### 3.6 From TS Security to RR Security for Single-Stage Games

Now, we visit the other direction of the equivalence claimed in [JS08], showing that TS security implies RR security *sometimes*, namely in single-stage games.

**Theorem 3.5.** *If  $\text{Ch}$  is a single-stage game and  $\Pi$  is  $(\text{Ch}, t)$ -secure in the TS model, then  $\Pi'$  is  $(\text{Ch}', t)$ -secure in the RR model, where  $(\text{Ch}', t)$  is the canonical translation of  $(\text{Ch}, t)$ .*

*Proof.* Toward contradiction, let  $A'$  be a single-stage adversary playing the game  $\text{Ch}'$  in the RR model, and winning with probability  $u$ , which is non-negligibly greater than  $t$ . We now construct an adversary  $A$  for  $\text{Ch}$  in the TS model, which wins with probability at least  $u - \text{negl}$ . This contradicts the fact that  $(\text{Ch}', t)$  is assumed to be hard.

We now describe  $A$ . For simplicity, we assume that  $p/|S|$  is negligible, where  $S \subseteq \{0, 1\}^*$  is the set of labels used in the RR model. At the end of the proof, we describe how to remove this restriction.

$A$  initializes a table  $T$  to be empty. Entries in  $T$  will be pairs  $(x, \ell)$ , where  $x$  is an element wire, and  $\ell \in S$ . Then it runs  $A'$ . We now explain how it processes queries from  $A'$ , as well as messages to and from  $\text{Ch}$ .

- Consider a message from  $\text{Ch}$  to  $A$ . This message contains two parts: a list  $v$  of element wires, as well as a string  $\tau$ .

For each element wire  $x \in v$ ,  $A$  searches  $T$  for a pair  $(x', \ell)$  with  $x' = x$ , where  $x' = x$  is checked using equality gates. If such a pair exists, then it sends  $\ell$  to  $A$ . Otherwise,  $A$  samples a random  $\ell \in S$ , conditioned on  $\ell$  not being in the table  $T$ . It adds the pair  $(x, \ell)$  to  $T$ , and sends with  $\ell$ .

Finally, it sends the string  $\tau$ .

- Now consider a message from  $A'$ . This message contains two parts: a list  $v'$  of labels, as well as a string  $\tau$ .

For each string  $\ell \in v'$ ,  $A$  searches  $T$  for a pair  $(x, \ell')$  with  $\ell' = \ell$ . If such a pair exists, then it sends  $x$  to  $\text{Ch}$ . Otherwise, it uses a labeling gate to generate an element wire containing  $\perp$ , which it sends to  $\text{Ch}$ . It then adds  $(\perp, \ell)$  to  $T$ .

- For a labeling query on input  $x$ ,  $A$  first applies a labeling gate on  $x$ , receiving  $x$  on an element wire. Then  $A$  searches for an entry  $(x', \ell) \in T$  with  $x' = x$ , using equality gates to check if  $x' = x$ . If such an entry is found, it replies to  $A'$  with  $\ell$ . Otherwise, it samples a random  $\ell \in S$ , conditioned on  $\ell$  not being in the table  $T$ . It adds the pair  $(x, \ell)$  to  $T$ , and replies with  $\ell$ .

- For a group operation query on input  $(\ell_1, \ell_2, \alpha_1, \alpha_2)$ , **A** constructs  $x_1, x_2$  from  $\ell_1, \ell_2$  just as when passing messages from **A'** to **Ch**. It applies a group operation gate on  $(x_1, x_2, \alpha_1, \alpha_2)$ , obtaining the element wire  $x_3$ .

Next, **A** searches for an entry  $(x'_3, \ell_3) \in T$  with  $x'_3 = x_3$ , once again using an equality gate. If such an entry is found, it replies to **A'** with  $\ell_3$ . Otherwise, it samples a random  $\ell_3 \in S$ , conditioned on  $\ell_3$  not being in the table  $T$ . It adds the pair  $(x_3, \ell_3)$  to  $T$ , and replies with  $\ell_3$ .

To prove that **A** still wins with probability at least  $u - \text{negl}$ , we consider the following sequence of hybrid experiments:

- **Hybrid 0.** This is the case where **A'** interacts with **Ch'**. **A'** wins with probability  $u$ , by assumption.
- **Hybrid 1.** Here, **A'** is interacting with **Ch'**, with the following change. If at any point **A'** sends a label  $\ell$  that was not explicitly given to **A'** in some prior query or interaction with **Ch'**, then the value of  $L^{-1}(\ell)$  is set to  $\perp$ .

Note that, by our assumption that  $p/|S|$  is negligible, with overwhelming probability any label produced by **Ch'** that was not explicitly provided will not be in the image of  $L$ , and hence  $L^{-1}(\ell)$  will be  $\perp$  anyway. Hence, **A'** still wins in **Hybrid 1** with probability at least  $u - \text{negl}$ .

- **Hybrid 2.** This is the same as **Hybrid 1**, except that the injection  $L$  is sampled lazily. This is identical from the perspective of **A'**, and hence **A'** still wins with probability at least  $u - \text{negl}$ .
- **Hybrid 3.** Here, **A'** is a subroutine of **A**. This view is identical to that of **Hybrid 2**, with the translation between the TS model and Shoup's model happening inside **A** instead of in **Ch'**. Therefore **A'**—and hence **A**—win with probability at least  $u - \text{negl}$ .

This completes the proof in the case  $p/|S|$  is negligible. To extend to the case where  $p/|S|$  may be non-negligible (and even  $p = |S|$ ), we have to account for the fact that the adversary can sample valid labels on its own with non-negligible probability. Fortunately, since the labeling function is a random injection, we still have that any such label will correspond to an element in  $\mathbb{Z}_p$  that is uniformly random, conditioned on being different from the elements of all other labels the adversary has seen. Such elements will, with overwhelming probability, satisfy no non-linear relations with other labels. This allows us to still readily simulate the view of an RR adversary using just TS queries.

In slightly more detail, when **A** receives a string  $\ell \in v'$  such that  $\ell$  is in no pair in  $T$ , instead of replying with  $\perp$ , it does the following:

- With probability  $p/|S|$ , it will choose a random element wire  $x$  distinct from any of the  $x$  values in  $T$  (which it can sample using equality gates, via rejection sampling), and sends  $x$  to **Ch**. It then adds  $(\ell, x)$  to  $T$ .
- With probability  $1 - p/|S|$ , it will generate an element wire containing  $\perp$ , which it sends to **Ch**. It then adds  $(\perp, \ell)$  to  $T$ .

In the proof, we modify **Hybrid 1** similarly, so that in the case where  $\ell$  was not explicitly given to **A'**,  $L^{-1}(\ell)$  is set to a random  $x$  (distinct from previously seen  $x$ ) with probability  $p/|S|$  and otherwise is set to  $\perp$ . Likewise when lazily sampling  $L$  in **Hybrid 2**.  $\square$

Note that Theorem 3.5 only applies to single-stage games. If we try applying the proof to a multi-stage adversary, our new adversary has to maintain the table  $T$ , which must be shared across all the adversaries to maintain consistency. Thus, we actually obtain a single-stage adversary, violating the requirements of the game. Section 5 demonstrates that this limitation is inherent, giving a multi-stage cryptosystem that is secure in the TS model but not in the RR model.

## 4 Further Impossibilities in the Type Safe Model

Here, we give impossibility results in the Type-Safe (TS) generic group model, which nevertheless have standard-model constructions from cryptographic groups and moreover translate to and have security in the Random Representation/Shoup model. These impossibilities are for textbook cryptographic applications, showing a significant weakness for the TS model.

We first state the following lemma, which is implicit in numerous works, and is the main justification for the Algebraic Group Model [FKL18] being implied by the generic group model.

**Lemma 4.1.** *Consider any deterministic algorithm  $A(\mathbf{h}, x)$  in the TS model whose input contains a vector  $\mathbf{h}$  of  $n$  group elements and which outputs a single group element. Then there is another deterministic algorithm  $E(\mathbf{h}, x)$  whose run-time and query complexity is linearly related to  $A$ , such that  $E(x)$  outputs a vector  $\mathbf{v} \in \mathbb{Z}_p^{n+1}$  satisfying  $A(\mathbf{h}, x) = (g, \mathbf{h})^{\mathbf{v}}$ .*

Note that, while Lemma 4.1 discusses deterministic algorithms, it can readily be applied to randomized algorithms by supplying the same random coins to  $A$  and  $E$ , making them deterministic functions of the random coins.

*Proof.* By the type system constraint, the group element outputted by  $A$  must be an element wire. We therefore prove that, regardless of the element wire, there will be such an  $E$ . For element wires that come from input group elements, this is trivial. For other element wires,  $E$  will simulate  $A$ . For element wires that are the output of a labeling gate in input  $\alpha$ ,  $E$  outputs  $\mathbf{v} = (\alpha, 0, \dots, 0)$ . Next consider a group operation gate with inputs  $g_1, g_2, \alpha_1, \alpha_2$ . We assume by induction we have already handled the input element wires to this gate. Therefore, we can compute  $\mathbf{v}_0, \mathbf{v}_1$  such that  $g_1 = (g, \mathbf{h})^{\mathbf{v}_1}, g_2 = (g, \mathbf{h})^{\mathbf{v}_2}$ . We then simply output  $\alpha_1 \mathbf{v}_1 + \alpha_2 \mathbf{v}_2$ . Then the output value  $g_1^{\alpha_1} g_2^{\alpha_2} = (g, \mathbf{h})^{\alpha_1 \mathbf{v}_1 + \alpha_2 \mathbf{v}_2}$  as desired.  $\square$   $\square$

As is typical in the generic group literature, we will also observe that any equality gate can be thought of as a linear test on  $(g, \mathbf{h})$ : let  $\mathbf{v}_1, \mathbf{v}_2$  be the vectors guaranteed by Lemma 4.1 for the two gate inputs. Then the equality gate tests whether  $(g, \mathbf{h})^{\mathbf{v}_1 - \mathbf{v}_2} = 1$ . We call the linear test *trivial* if  $\mathbf{v}_1 = \mathbf{v}_2$ , and *non-trivial* if  $\mathbf{v}_1 \neq \mathbf{v}_2$ . Note that trivial tests will always output 1 (denoting equal), whereas the result of non-trivial tests will depend on the elements in  $\mathbf{h}$ .

### 4.1 Collision Resistant Domain Extension

Here, we will prove that any hash function  $H$  in the TS model must have somewhat large hashing keys. This is in contrast to the standard model, where domain extension allows for constant-sized keys. Intuitively, domain extension typically operates on outputs of  $H$  (say, by feeding them back in as inputs), which may be group elements protected by the type system.

**Definition 4.2.** A collision resistant hash function is an efficient keyed function  $H : \mathcal{K} \times \mathcal{X} \rightarrow \mathcal{Y}$ , together with a key generation procedure  $\text{Gen}$ , satisfying:

- **Compression:**  $2 \times |\mathcal{Y}| \leq |\mathcal{X}|$
- **Efficiency:**  $H$  and  $\text{Gen}$  run in polynomial time.
- **Collision resistance:** For any efficient adversary  $A$ , there exists a negligible function  $\text{negl}$  such that

$$\Pr[H(k, x_0) = H(k, x_1) \wedge x_0 \neq x_1 : (x_0, x_1) \leftarrow A(k), k \leftarrow \text{Gen}()] < \text{negl} .$$

In a generic group model, “efficient” means that the total cost is polynomial in the security parameter. Recall that in the TS model, labeling and group operation gates incur unit cost, while all bit gates and equality gates are free.

**Theorem 4.3.** *Let  $H$  be a collision resistant hash function in the TS model with key length  $k$ , input length  $n$ , and output length  $m$ . Let  $p$  be the group order. Then  $n \leq 1 + m \times (k + \log p)$ .*

*Proof.* Suppose  $H$  has key space  $\mathbb{G}^{k_1} \times \{0, 1\}^{k_2}$ , domain  $\mathbb{G}^{n_1} \times \{0, 1\}^{n_2}$ , and range  $\mathbb{G}^{m_1} \times \{0, 1\}^{m_2}$ . We assume for simplicity that  $p$  is a power of 2; the general case follows from the same arguments, but more care is needed to track parameter sizes. Then  $k = k_2 + k_1 \log p$ ,  $n = n_2 + n_1 \log p$ , and  $m = m_2 + m_1 \log p$ . Then our goal is to show that  $n \leq 2 + m \times (k + \log p)$ . We will prove a stronger statement, namely that:  $n \leq 1 + m(k_1 + 1) \log p$ .

We first make two simplifying assumption, which we argue are wlog.

**Simplifying Assumption 4.4.**  $n_1 = 0$ , meaning  $n = n_2$ .

In other words, the input consists entirely of bits and no group elements.

**Lemma 4.5.** *For any  $H$ , there exists a new collision resistant hash function  $H'$  with the same domain, range, and key size as  $H$ , but which satisfies Simplifying Assumption 4.4.*

*Proof.*  $H'$  is defined as  $H(k, (\alpha_1, \dots, \alpha_{n_1}, x)) = H'(k, (g^{\alpha_1}, \dots, g^{\alpha_{n_1}}, x))$ ;  $H'$  uses the same key sampling algorithm  $\text{Gen}$  as  $H$ . Clearly, any collision for  $H'$  can be converted into a collision for  $H$ , so if  $H$  is collision resistant, then so is  $H'$ . Moreover, this change from  $H$  to  $H'$  preserves  $n = n_2 + n_1 \log p$ .  $\square$

Let  $K_2 \in \{0, 1\}^{k_2}$  be the bits of the key, and  $K_1 \in \mathbb{G}^{k_1}$  be the group elements. For a key  $(K_1, K_2)$ , we say that an input  $x$  is “good” if all the non-trivial linear equations over  $K_1$  queried during evaluating  $H(x)$  evaluate to non-zero. We will pick an inverse polynomial  $\delta$  (in fact, a constant), to be specified later.

**Simplifying Assumption 4.6.** Except with negligible probability over the choice of  $(K_1, K_2) \leftarrow \text{Gen}()$ , a  $1 - \delta$  fraction of  $x$  in the domain are good.

**Lemma 4.7.** *For any  $H$  satisfying Simplifying Assumption 4.4, there exists  $H'$  satisfying Simplifying Assumptions 4.4 and 4.6, with  $n' = n, m' = m, k'_1 \leq k_1$ .*

*Proof.* The idea is that “bad” inputs yield a linear equation over the exponents of the key. By solving the linear system, one can solve for one element of the hashing key in terms of others, compiling  $H$  into a new hash function with fewer bad inputs. One can iterate this process until the fraction of bad inputs becomes sufficiently small. This process expands the bit part of the hashing key ( $k_2$ ), but this is fine since it is independent of the stronger bound  $n \leq 1 + m(k_1 + 1) \log p$  that we will

prove. Define  $\ell = (k_1 + 1)\lambda/\delta$ . We define a new procedure  $\text{Gen}'()$ , which works as follows: first run  $(K_1, K_2) \leftarrow \text{Gen}()$ . Then for  $i = 1, \dots, \ell$ , do the following:

- Sample  $x_i \leftarrow \{0, 1\}^n$  and compute  $H((K_1, K_2), x)$ .
- Collect any linear combination  $K_1^y = 1$  revealed during the evaluation.

Let  $\mathcal{V}$  be a basis for the set of collected linear combinations above. Writing the vectors in  $\mathcal{V}$  as rows in a (wide) matrix, re-arrange the entries of  $K_1$ , if necessary, so that the last  $|\mathcal{V}|$  columns of the matrix are full-rank. Write  $K_1 = (K'_1, S)$ , where  $S$  is the last  $|\mathcal{V}|$  entries of  $K_1$ . Then we can solve for the entries of  $S$  as linear functions of  $K'_1$ , using  $\mathcal{V}$ . Therefore,  $\text{Gen}'()$  outputs  $(K'_1, K'_2)$ , where  $K'_2$  consists of  $K_2$ , together with linear functions of  $K'_1$  giving  $S$ .

$H'((K'_1, K'_2), x)$  is then defined as follows. First, extract  $K_2$  from  $K'_2$ . Then compute  $S$  from  $K'_1$  and the linear functions contained in  $K'_2$ , and let  $K_1 = (K'_1, S)$ . Finally run  $H((K_1, K_2), x)$ .

First, note that  $H$  and  $H'$  have the same input-output behavior, since  $H'$  just re-derives the original key for  $H$  and runs  $H$ . Since the derivation of  $K'_1, K'_2$  from  $K_1, K_2$  is just a post-processing of the key, this conversion also does not affect collision resistance. Clearly,  $H'$  still satisfies Simplifying Assumption 4.4.

We now show that  $H'$  satisfies Simplifying Assumption 4.6. Fix a key  $(K_1, K_2)$  for  $H$ , and consider deriving the key  $(K'_1, K'_2)$ . Suppose we actually ran the derivation for  $\ell + 1$  iterations, instead of  $\ell$ . For each iteration  $i = 1, \dots, \ell + 1$ , let  $p_i$  be the probability that the dimension of the collected linear combinations increases in that iteration (note that  $p_i$  will be a variable depending on the outcome of the previous iterations). Notice that the  $p_i$  are can never increase, since adding more dimensions to  $\mathcal{V}$  can only decrease the probability of finding a linearly independent equation. Our goal is to show that  $p_{\ell+1} \leq \delta$ .

Suppose toward contradiction that  $p_{\ell+1} > \delta$ . Then each  $p_i > \delta$  for  $i \leq \ell$ . But now break the  $i$  into  $k_1 + 1$  epochs of size  $\lambda/\delta$ . Within each epoch, the probability of adding a dimension is at least  $1 - (1 - \delta)^{\lambda/\delta} \approx 1 - e^{-\lambda}$ . This means that  $\mathcal{V}$  has dimension at least  $k_1 + 1$ , except with probability  $(k_1 + 1)e^{-\lambda}$ , which is negligible. But  $\mathcal{V}$  are linearly independent equations over  $K_1$ , so the dimension of  $\mathcal{V}$  is capped at  $k_1$ , a contradiction.  $\square$

With our simplifying assumptions, we can now finish the proof. We have by Simplifying Assumption 4.4 that the input is entirely bits. By Simplifying Assumption 4.6, we have that a  $1 - \delta$  fraction of inputs to the hash function are “good”, meaning all the non-trivial linear equations over  $K_1$  queried during evaluating  $H(x)$  evaluate to non-zero.

Amongst the good  $x$ , since any query over  $K_1$  is fixed to non-zero, equality queries do not affect the output. As such, using the fact that  $H$  operates in the Type-Safe model, we can write the output  $(O_1, O_2) \in \mathbb{G}^{m_1} \times \{0, 1\}^{m_2}$  for good  $x$  as:

$$O_1 = \mathbf{A}^{(K_2, x)}(g, K_1) \quad O_2 = F(K_2, x) .$$

Recall that a left superscript means left multiplication in the exponent. Here,  $\mathbf{A} : \{0, 1\}^{k_2} \times \{0, 1\}^n \rightarrow \mathbb{Z}_p^{m_1 \times (k_1 + 1)}$  maps the bit part of the key and the input to a matrix of elements in  $\mathbb{Z}_p$ , which are then used to map  $K_1$  to  $O_1$ .  $F : \{0, 1\}^{k_2} \times \{0, 1\}^n \rightarrow \{0, 1\}^{m_2}$  computes the bit part of the output. Both functions  $\mathbf{A}, F$  can be computed without making any queries to the oracle.

Now, suppose that, for a given  $K_2$ , there exists a collision amongst good  $x$  for the function pair  $(\mathbf{A}, F)$ . That is, there are  $x_0 \neq x_1$ , both good, such that  $\mathbf{A}(K_2, x_0) = \mathbf{A}(K_2, x_1)$  and

$F(K_2, x_0) = F(K_2, x_1)$ . Then  $x_0, x_1$  also collide in  $H$  relative to  $(K_1, K_2)$  for any choice of  $K_1$ . Since  $\mathbf{A}, F$  make no use of the generic group, if it contains a collision it can be found without making any queries to the generic group (but potentially with exponential computation).

We would like to conclude, therefore, that  $(\mathbf{A}, F)$  must be injective. This, however, is not quite true, as a collision for  $(\mathbf{A}, F)$  only gives a collision for  $H$  if both members of the collision are good. Fortunately most elements in the domain are good, but we will have to be careful in our justification.

Concretely, consider the following attack: choose a random  $x_0$ , and then choose a random  $x_1$  (potentially the same as  $x_0$ ) such that  $(\mathbf{A}, F)(x_0) = (\mathbf{A}, F)(x_1)$ , where the computation of  $x_1$  is computationally inefficient but requires no queries. We know that  $x_0$  and  $x_1$  are both separately random elements in  $\{0, 1\}^n$ , and therefore each are separately good with probability at least  $1 - \delta$ .  $x_0, x_1$  are not independent, but we can nevertheless conclude that both  $x_0, x_1$  will be good with probability at least  $1 - 2\delta$ .

Now suppose  $n > 1 + m(k_1 + 1) \log p$ . Notice that the range of  $(\mathbf{A}, F)$  has bit-length  $m_1(k_1 + 1) \log p + m_2 \leq m(k_1 + 1)$ . As such, we can conclude that  $x_0 \neq x_1$  with probability at least  $1/2$ . The probability that  $x_0 \neq x_1$  and both  $x_0, x_1$  are good is therefore  $\frac{1}{2} - 2\delta$ . If we choose  $\delta$  to be, say,  $1/5$ , then with probability  $1/10$  our attack will find a good collision for  $(\mathbf{A}, F)$ , and hence a collision for  $H$ . This completes the proof of Theorem 4.3.  $\square$

## 4.2 Pseudorandom Permutations

**Definition 4.8.** A pseudorandom function is an efficient keyed function  $F : \mathcal{K} \times \mathcal{X} \rightarrow \mathcal{Y}$  such that, for any efficient adversary  $\mathbf{A}$ , there exists a negligible  $\epsilon$  such that  $|\Pr[\mathbf{A}^{F(k, \cdot)}() = 1] - \Pr[\mathbf{A}^{R(\cdot)}() = 1]| < \epsilon$ , where  $R : \mathcal{X} \rightarrow \mathcal{Y}$  is a uniformly random function.

Now we define pseudorandom permutations:

**Definition 4.9.** A pseudorandom permutation is an efficient keyed function pair  $P, P^{-1} : \mathcal{K} \times \mathcal{X} \rightarrow \mathcal{X}$  with the following properties

- **Permutation:** For all  $k \in \mathcal{K}, x \in \mathcal{X}$ ,  $F^{-1}(k, F(k, x)) = x$ . In particular this implies  $F, F^{-1}$  are permutations once the key is fixed.
- **Pseudorandomness:**  $F$  is a secure pseudorandom function.

Remember that in the context of the TS model, “efficient” means that the total number of labeling and group operation gates is polynomial, but otherwise the computation may be unbounded. Note that we only consider “weak” pseudorandom permutations that only allow queries to  $F$ , as opposed to “strong” pseudorandom permutations that allow queries to  $F$  and  $F^{-1}$ . The restriction to the weak setting only makes our impossibilities stronger.

**Theorem 4.10.** *Let  $F, F^{-1}$  be an efficient keyed permutation pair in the TS model. Then it is not a secure PRP.*

Theorem 4.10 will be the immediate consequence of the following three lemmas:

**Lemma 4.11.** *Let  $F$  be an efficient keyed function in the TS model, such that the output contains at least one bit. Then  $F$  is not a secure PRF.*

**Lemma 4.12.** *Let  $F$  be an efficient keyed function in the TS model, such that the input contains at least one group element. Then  $F$  is not a secure PRF.*

**Lemma 4.13.** *Let  $F, F^{-1}$  be an efficient keyed permutation pair in the TS model, which may or may not be secure. Then the number of group elements in the domain and range must be equal.*

We now prove the lemmas. We start with the proof of Lemma 4.11.

*Proof.* Let  $F$  be a keyed function in the TS model. Suppose  $F$  has key space  $\mathbb{G}^{k_1} \times \{0, 1\}^{k_2}$ , domain  $\mathbb{G}^{n_1} \times \{0, 1\}^{n_2}$ , and range  $\mathbb{G}^{m_1} \times \{0, 1\}^{m_2}$ . Suppose  $m_2 > 0$ . Our goal is to devise an attack against the PRF security of  $F$  in the TS model.

We can assume that  $n_1 = 0$ ; that is, that the domain of a PRF is always bits. It will be proven in Lemma 4.12 that in fact  $n_1$  must be zero. But here, we just explain that any PRF with  $n_1 > 0$  can be compiled into one with  $n_1 = 0$ , by interpreting  $n_1 \log p$  bits of the input as  $n_1$  exponents. This is basically identical to Simplifying Assumption 4.4 in the proof of Theorem 4.3. We can also assume without loss of generality that  $k_1 = 0$ ; in other words, the secret key is all bits. This is a consequence of the fact that the key is secret, so instead of choosing a random group element we can choose a random exponent and just include the exponent in the secret key. In more detail, if  $k_1 > 0$ , we can construct another keyed function  $F'$  with key space  $\{0, 1\}^{(k_1 \log_2 p) + k_2}$ , where  $F'((s_1, \dots, s_{k_1}, K_2), x) = F((g^{s_1}, \dots, g^{s_{k_1}}, K_2), x)$ .  $F'$  is a secure PRF if and only if  $F$  is a secure PRF.

Finally, we can assume without loss of generality that  $m_1 = 0$ : for any  $F$  with  $m_1 > 0$ , we can derive a new function  $F'$  which simply discards the group element portion of the output. Truncating the output has no effect on security.

We now have a keyed function whose domain, key space, and range are comprised entirely of bits. The function is not quite independent of the group, since  $F$  can still make queries to the group oracle. However, since the input is entirely bits, by Lemma 4.1, the queries to the oracle can be represented as  $g^{v_1}, g^{v_2}$  for known  $v_1, v_2$ .  $F$  can eliminate the query by replacing it with an equality check on  $v_1, v_2$ . The result is a function that is entirely independent of the oracle. Pseudorandomness can be broken in exponential time but with zero queries, violating PRF security in the TS model.  $\square$

We next prove Lemma 4.12.

*Proof.* Let  $F$  be a keyed function in the TS model. Suppose  $F$  has key space  $\mathbb{G}^{k_1} \times \{0, 1\}^{k_2}$ , domain  $\mathbb{G}^{n_1} \times \{0, 1\}^{n_2}$ , and range  $\mathbb{G}^{m_1} \times \{0, 1\}^{m_2}$ . Suppose  $n_1 > 0$ . Our goal is to devise an attack against the PRF security of  $F$  in the TS model. Our attack will rely on the fact that for any group element in the domain, all  $F$  can do is linearly combine that element with other group elements. This allows us to predict how the output of  $F$  will change when we change the group element. Such predictive ability lets us break the pseudorandomness of  $F$ .

We can assume without loss of generality that  $n_1 = 1$ ; like in the proof of Lemma 4.11, we can always eliminate group elements in the input without harming security. By Lemma 4.11, we can also conclude that  $m_2 = 0$ ; that is, the output consists entirely of group elements. We now give our attack:

- We choose random  $\alpha \in \mathbb{Z}_p$  and a random  $x \in \{0, 1\}^{n_2}$ .
- Query the PRF oracle on the inputs  $(g^\alpha, x)$ ,  $(g^{2\alpha}, x)$ , and  $(g^{3\alpha}, x)$ , obtaining in responses  $g^{\mathbf{u}_1}, g^{\mathbf{u}_2}, g^{\mathbf{u}_3}$  for vectors  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3$  of elements in  $\mathbb{Z}_p$ .
- Make equality test queries to test if  $2\mathbf{u}_2 = \mathbf{u}_1 + \mathbf{u}_3$ , outputting the result.

We now analyze the attack. If querying a truly random function, clearly the test in the final step will output non-zero with overwhelming probability. We now consider the case of querying  $F$ . Since  $\alpha$  is random and  $F$  can only make a polynomial number of queries, we have that, except with negligible probability, any non-trivial linear equation queried that involves  $g^\alpha$  must give non-zero. Same for  $g^{2\alpha}, g^{3\alpha}$ , and by union bound all non-trivial linear equations for each of  $g^\alpha, g^{2\alpha}, g^{3\alpha}$  must evaluate to non-zero. We therefore delete all equality queries. If we apply Lemma 4.1, the extracted vector of exponents is therefore independent of whether the input is  $g^\alpha, g^{2\alpha}$ , or  $g^{3\alpha}$ . Thus there are thus vectors  $\mathbf{r}, \mathbf{s}$  (depending on  $x$  and the key) such that, with overwhelming probability over the choice of  $\alpha$ ,

$$\mathbf{u}_i = \mathbf{r} + i\alpha\mathbf{s}$$

But then we have that  $2\mathbf{u}_2 = \mathbf{u}_1 + \mathbf{u}_3$ . Thus, except with negligible probability, the final step of the algorithm will output zero. Therefore, our attack breaks the security of  $F$  as a PRF.  $\square$

Finally, we prove Lemma 4.13.

*Proof.* Let  $F, F^{-1}$  be a keyed permutation pair in the TS model. Suppose  $F$  has key space  $\mathbb{G}^{k_1} \times \{0, 1\}^{k_2}$ , domain  $\mathbb{G}^{n_1} \times \{0, 1\}^{n_2}$ , and range  $\mathbb{G}^{m_1} \times \{0, 1\}^{m_2}$ . Our goal is to show that  $m_1 = n_1$ ; as a consequence of being a permutation, we must also have  $m_2 = n_2$ .

To do so, we will show that if  $m_1 \neq n_1$ , then we can solve the discrete logarithm problem in the TS model, which we know is impossible. Since we do not care about the security of  $F, F^{-1}$  for Lemma 4.13,  $F$  and  $F^{-1}$  can be interchanged. As such, we can assume  $m_1 < n_1$ . To give an intuition for the proof, suppose  $m_1 = 0$  and  $n_1 = 1$ . Then consider running  $y = F(k, (h, x))$  for a random key  $k$  and an input  $(h, x)$  where  $h$  is a group element and  $x$  consists of bits. Then running  $F^{-1}(k, y)$  would give  $(h, x)$ ; applying Lemma 4.1 to  $F^{-1}(k, y)$ , whose input consists entirely of bits, would therefore reveal the discrete log of  $h$ .

We now give the attack for general  $m_1 < n_1$ . Suppose we are given a group element  $h = g^a$  for an unknown  $a$ . The attack proceeds as follows:

- Choose random vectors  $\mathbf{v}, \mathbf{w} \in \mathbb{Z}_p^{n_1}$  and compute  $\mathbf{h} = g^{\mathbf{v}} \circ h^{\mathbf{w}} = g^{\mathbf{v} + a\mathbf{w}}$ . Here,  $\circ$  means component-wise multiplication.
- Choose a random  $x \in \{0, 1\}^{n_2}$  and random key  $k \in \{0, 1\}^{k_2}$ .
- Compute  $(\mathbf{u}, y) = F(k, (\mathbf{h}, x))$ , where  $\mathbf{u} \in \mathbb{G}^{m_1}$ . Using Lemma 4.1, we can find a matrix  $\mathbf{A}' \in \mathbb{Z}_q^{m_1 \times (n_1+1)}$  such that  $\mathbf{u} = \mathbf{A}'(1, \mathbf{h})$ . Let  $\mathbf{A} \in \mathbb{Z}_q^{(m_1+1) \times (n_1+1)}$  be  $\mathbf{A}'$ , except where we insert the row  $(1, 0, \dots, 0)$  as the first row. Then  $(1, \mathbf{u}) = \mathbf{A}(1, \mathbf{h})$ .
- Compute  $(\mathbf{h}', x') = F^{-1}(k, (\mathbf{u}, y))$ . By the correctness of  $F$ , we have that  $\mathbf{h}' = \mathbf{h}, x' = x$ . Using Lemma 4.1 again, we can find a matrix  $\mathbf{B} \in \mathbb{Z}_q^{n_1 \times (m_1+1)}$  such that  $(1, \mathbf{h}) = \mathbf{B}(1, \mathbf{u}) = \mathbf{B} \cdot \mathbf{A}(1, \mathbf{h})$ . Note that  $\mathbf{B} \cdot \mathbf{A} \in \mathbb{Z}_q^{(n_1+1) \times (n_1+1)}$  is not full rank, since its rank is at most  $m_1 + 1 < n_1 + 1$ .
- Now let  $\mathbf{C} = \mathbf{I}_{n_1+1} - \mathbf{B} \cdot \mathbf{A}$ . We therefore have that  $\mathbf{C}(1, \mathbf{h}) = 1$ .  $\mathbf{C}$  must have rank at least 1, so let  $\mathbf{z}$  be some non-zero vector in the row-span of  $\mathbf{C}$ . Then

$$0 = \mathbf{z} \cdot (1, \mathbf{v} + a\mathbf{w}) = (\mathbf{z} \cdot (1, \mathbf{v})) + a(\mathbf{z} \cdot (1, \mathbf{w})) .$$

Assuming  $\mathbf{z} \cdot (1, \mathbf{w}) \neq 0$ , we can therefore compute  $a = -(\mathbf{z} \cdot (1, \mathbf{v})) / (\mathbf{z} \cdot (1, \mathbf{w}))$ .

Write  $\mathbf{z} = (z_0, \mathbf{z}')$ , so that  $\mathbf{z} \cdot (1, \mathbf{w}) = z_0 + \mathbf{z}' \cdot \mathbf{w}$ . It remains to show that  $z_0 + \mathbf{z}' \cdot \mathbf{w} \neq 0$  with all but negligible probability. Indeed, the distribution of  $\mathbf{h}$ , and hence also  $\mathbf{z}$ , depends only on  $\mathbf{v} + a\mathbf{w}$ . Since  $\mathbf{w}$  is masked by  $\mathbf{v}$ , we have that even conditioned on  $\mathbf{z}$ ,  $\mathbf{w}$  is an independent uniformly random vector.

If  $\mathbf{z}'$  is not the all-0 vector, then  $\mathbf{z} \cdot \mathbf{w}$  is uniformly random in  $\mathbb{Z}_p$ , independent of  $z_0$ . In this case,  $z_0 + \mathbf{z}' \cdot \mathbf{w}$  is therefore a uniformly random element of  $\mathbb{Z}_p$ , and so is 0 with negligible probability  $1/p$ . If  $\mathbf{z}'$  is 0, then since  $\mathbf{z}$  is non-zero, we must have  $z_0 \neq 0$ . But in this case,  $z_0 + \mathbf{z}' \cdot \mathbf{w} = z_0 \neq 0$ . This completes the proof.  $\square$

### 4.3 Efficient CPA-Secure Encryption for Message Strings

We now prove that any CPA-secure encryption scheme in the TS model, whose domain is bits (as opposed to group elements), must have the number of group elements in the ciphertext be approximately at least the bit-length of the message. As group elements are  $\log p$  bits, ciphertexts are a  $\log p$  factor larger than messages.

**Definition 4.14.** A CPA-secure encryption scheme consists of a pair of efficient probabilistic algorithms  $\text{Enc} : \mathcal{K} \times \mathcal{M} \rightarrow \mathcal{C}$ ,  $\text{Dec} : \mathcal{K} \times \mathcal{C} \rightarrow \mathcal{M}$  satisfying:

- **Correctness:**  $\forall K \in \mathcal{K}, M \in \mathcal{M}$ ,  $\Pr[\text{Dec}(K, \text{Enc}(K, M)) = M] \geq 1 - \text{negl}$ .
- **Chosen Plaintext Security:** Consider an adversary  $A$  playing the following game with a challenger. The challenger first chooses a random  $K \leftarrow \mathcal{K}$ , and chooses a random bit  $b \in \{0, 1\}$ . Then  $A$  makes queries on message pairs  $(M_0, M_1)$ , and receives  $\text{Enc}(k, M_b)$ . The adversary outputs a guess  $b'$  for  $b$ . We require that, for any efficient  $A$ ,  $\Pr[b' = b] \leq 1/2 + \text{negl}$ .

**Theorem 4.15.** *Let  $\text{Enc}, \text{Dec}$  be a CPA-secure encryption scheme which compiles in the TS model. Suppose the message space is bit-strings  $\{0, 1\}^n$ . If the ciphertext space is  $\mathbb{G}^{m_1} \times \{0, 1\}^{m_2}$ , then  $m_1 \geq \Omega(n/\log \lambda)$ .*

*Proof.* Let  $\text{Enc}, \text{Dec}$  be a CPA-secure encryption scheme in the TS model. Note that we can consider the bit portion of the ciphertexts alone as an encryption scheme; there is no correctness, but we can still consider security which is implied by the security of the full  $(\text{Enc}, \text{Dec})$ . Now suppose the bit portion was not statistically independent of the message conditioned on the secret key  $K$ . Then by the standard impossibility of statistically secure CPA-secure encryption in the standard model, there is an inefficient query-less attacker that would break CPA-security, thus violating the security of  $(\text{Enc}, \text{Dec})$ . Therefore we know that the bit portion must be statistically independent of the message.

Now consider decrypting a ciphertext  $c \in \mathbb{G}^{m_1}$ .  $\text{Dec}$  will make a polynomial number  $T$  of equation queries over  $(1, c)$ . The message outputted will be some function of the results and the bit portion of the ciphertext. We can assume without loss of generality that  $\text{Dec}$  never makes a query that is linearly dependent on previous queries which returned 0. Indeed,  $\text{Dec}$  can correctly predict the output of the query will be 0. Therefore, there will be at most  $m_1$  queries that will result in zero, since the dimension of the zero queries is  $m_1$ .

This means there is  $\ll 1$  bit of information about the message in the bit-portion of the ciphertext, and only  $\log_2 \binom{T}{m_1} \leq m_1 \log_2 T$  bits of information in the queries, and therefore the message length  $n$  is at most this quantity. Hence,  $m_1 \geq n/\log_2 T$ . Since  $T$  is polynomial in the security parameter, this gives the desired lower-bound on the ciphertext size.  $\square$

**Remark 4.16.** We note that if one allows the message space to be group elements—or more precisely, *element wires*—then Theorem 4.15 does not hold. Indeed, the variant of ElGamal that encrypts a message  $m$  as  $(g^r, h^r \cdot m)$  would be CPA secure. But if we were to insist that  $m$  is provided as bit wires, then decryption would require a way to go from an element wire back to bit wires, which would break the type safety of the TS model.

Likewise, if we consider key encapsulation mechanisms, and allow the key to be an element wire, then Theorem 4.15 does not hold, and indeed the key encapsulation variant of ElGamal would be an example. However, a consequence of Theorem 4.15 is that such an element wire cannot be used as a symmetric key to encrypt a message  $m$ , without blowing up the ciphertext size.

## 5 The Insecurity of the Type-Safe Model for Multi-stage Games

Here, we show that security in the TS model does *not* imply security in the RR model. We first define deterministic public key encryption, following [BBO07].

**Definition 5.1.** A deterministic encryption scheme is a triple of efficient algorithms  $(\text{Gen}, \text{Enc}, \text{Dec})$  where  $\text{Enc}, \text{Dec}$  are deterministic such that:

- **Correctness:** For all  $m$ ,  $\Pr[\text{Dec}(\text{sk}, c) = m : \substack{(\text{sk}, \text{pk}) \leftarrow \text{Gen}() \\ c \leftarrow \text{Enc}(\text{pk}, m)}] \geq 1 - \text{negl}$ .
- **$\ell$ -Security:** For any two distributions  $D_0, D_1$  with min-entropy at least  $\ell$ , and for any efficient probabilistic adversary  $A$ ,  $|\Pr[A(\text{pk}, \text{Enc}(\text{pk}, D_0)) = 1] - \Pr[A(\text{pk}, \text{Enc}(\text{pk}, D_1)) = 1]| \leq \text{negl}$ , where  $(\text{pk}, \text{sk}) \leftarrow \text{Gen}()$ .

Here, the min-entropy of a distribution  $D$  is  $H_\infty(D) = \min \log_2 \Pr[x \leftarrow D]^{-1}$ . Since  $\text{Enc}$  is deterministic, for security to be possible at all, we require  $\ell = \omega(\log \lambda)$ . For security to be non-trivial, we usually ask that  $\ell \ll |m|$ .

Note that  $\ell$ -security is *not* single-stage, since the definition quantifies over  $D_0, D_1$  and  $A$ , and  $A$  cannot see the random coins of  $D_0, D_1$ .

**Construction 5.2.** Let  $(\text{Gen}, \text{Enc}, \text{Dec})$  be the following deterministic encryption scheme for messages in  $\mathbb{Z}_p$ :

- $\text{Gen}^{\text{GTS}}()$ : run  $a_1, \dots, a_n \leftarrow \mathbb{Z}_p$ , and output  $\text{sk} = (a_1, \dots, a_n)$ ,  $\text{pk} = (h_1 = g^{a_1}, \dots, h_n = g^{a_n})$ .
- $\text{Enc}^{\text{GTS}}(\text{pk}, m)$ : run  $c_0 \leftarrow g^m$  and  $c_i = h_i^m g^{m_i}$  for  $i = 1, \dots, n$ .
- $\text{Dec}^{\text{GTS}}(\text{sk}, c)$ : for  $i = 1, \dots, n$ , compute  $u_i = c_0^{a_i}$ . If  $c_i = u_i$ , set  $m_i = 0$ ; otherwise set  $m_i = 1$ .

It is straightforward that Construction 5.2 is correct:  $u_i = g^{m a_i} = h_i^m$ . Therefore  $c_i = u_i$  if and only if  $m_i = 0$ .

**Theorem 5.3.** *Construction 5.2 is an  $\ell$ -secure deterministic encryption scheme in the TS model for  $\ell = \log_2 p - 2$ , but is insecure in the RR model or in any standard-model instantiation of the group.*

*Proof.* We first prove the insecurity in the RR model as well as the standard model, which have essentially identical proofs. Let  $\ell$  be the bit-length of group elements. The adversary chooses a random  $t \in \{0, 1\}^\ell$ , and submits two distributions  $D_0, D_1$  of messages:  $D_b$  consists of random

messages conditioned on  $\langle t, g^m \rangle = b$ . Since inner products are good extractors, for a random message  $m$ ,  $\langle t, g^m \rangle$  will be statistically close to a random bit. Therefore, with overwhelming probability the distributions  $D_0, D_1$  will have min-entropy at least  $(\log_2 p) - 2$ , and hence are valid distributions. Given the ciphertext  $c$ , the adversary then simply outputs  $\langle t, c_0 \rangle = \langle t, g^m \rangle = b$ . Thus the adversary's advantage is negligibly close to 1.

Now we prove security in the TS model. Consider an adversary  $A$  which outputs two distributions  $D_0, D_1$ , each with min-entropy at least  $H_\infty$ . Consider any non-trivial linear equation  $w_0, w_1, \dots, w_n, v_0, v_1, \dots, v_n$  that  $A$  will apply to the public key and ciphertext:

$$g^{w_0} h_1^{w_1} \dots h_n^{w_n} c_0^{v_0} \cdot c_n^{v_n} = g^{w_0 + mv_0 + \sum_{i=1}^n a_i(mv_i + w_i) + m_i v_i}$$

Consider the linear equation  $E = w_0 + mv_0 + \sum_{i=1}^n a_i(mv_i + w_i) + m_i v_i$ . Suppose there exists some  $i > 0$  such that  $(v_i, w_i) \neq (0, 0)$ . Then since  $m$  has min-entropy, except with negligible probability at most  $2^{-H_\infty}$ ,  $mv_i + w_i \neq 0$ . Then except with negligible probability at most  $1/p$  in the choice of  $a_i$ ,  $E \neq 0$ . On the other hand, suppose that  $v_i = w_i = 0$  for all  $i > 0$ . Then  $E = w_0 + mv_0$ , and  $(v_0, w_0) \neq (0, 0)$ . As above,  $E \neq 0$  except with negligible probability at most  $2^{-H_\infty}$ . Hence, in either case, with overwhelming probability  $E \neq 0$ .

Since all equality queries give non-zero with overwhelming probability, regardless of which message distribution is encrypted,  $A$  has negligible advantage.  $\square$

## 6 TS Un-instantiability

Here, we give an example of a protocol in the TS model that is secure under a single-stage game (and hence also in the RR model, by Theorem 3.5) and yet is insecure under any standard-model instantiation of the group.

### 6.1 Overview

We first explain limitations of the existing works on the un-instantiability of idealized models, as well as sketch our solution. The first such un-instantiability result was for random oracles (RO), a predecessor of generic groups, where one models a hash function as a truly random function. Canetti, Goldreich, and Halevi [CGH98] give contrived schemes that are secure in the random oracle model, but which are insecure under any instantiation of the oracle by a concrete hash function. Their impossibility works by identifying a security property of a hash function  $H$  called *correlation intractability*, which requires that for any “sparse” relation over input/output pairs, it is computationally infeasible to find an input  $x$  such that  $(x, H(x))$  satisfies the relation. Correlation intractability is trivially satisfied by random oracles, but [CGH98] show that it is impossible (in certain parameter regimes) for standard-model hash functions. They then build contrived cryptosystems where an input/output pair satisfying the relation causes some clearly insecure behavior: e.g. the secret key holder completely reveals their key, or the encrypter encrypts using the identity function. In the random oracle model, this will never happen and the system will remain secure. But in the standard model, the attacker simply uses the impossibility for correlation intractability to find such an input, triggering a complete break of the system.

This idea was translated to generic groups by Dent [Den02], who uses similar ideas but where the hash function is replaced by the group labeling function. Another way to obtain a separation is

through the recent work of Zhandry and Zhang [ZZ21], who show that the labeling function of a generic group, when properly truncated, gives a random oracle, which in turn is impossible.

However, both of these results crucially rely on the labeling function; that is, they only work in the RR/Shoup model. There are at least a couple reasons why the un-instantiability result appears to not generalize to the TS model. First, [CGH98, Den02] use a random oracle to instantiate Micali’s CS proofs [Mic94], which in turn requires a domain-extending Merkle tree; we already showed (Section 4) that domain extension is impossible in the TS model.

More fundamentally, we show in Section 6.2 below that correlation intractability *cannot* separate the TS and standard model, regardless of the construction. Concretely, we give a simple hash function which is correlation intractable (in the standard model) with respect to every evasive relation that exists in the TS model. We note that, the cryptosystems derived from correlation intractability [CGH98] must execute the relation, and therefore the relation must exist in the TS model if we want to use it for an un-instantiability result.

Other un-instantiability results [Nie02, GK03, BBP04, BFM15] have similar issues to the above. Meanwhile, [BCPR14] give an un-instantiability result that works in the TS model, but it is a multi-stage game and requires strong computational assumptions, namely indistinguishability obfuscation.

We fill in this gap, showing that the single-stage TS model is unconditionally un-instantiable, albeit via very different, and arguably simpler, techniques. We start with ElGamal, but where message bits are encrypted bit by bit. This is easily proved secure in the standard model. We then modify the scheme to append a single bit, which is a contrived leakage function of the message and randomness.

In either the TS or RR model, we show this leakage offers minimal advantage to breaking the cryptosystem. However, in the standard model, we show that by encrypting the description of (that is, the code) of the group, the ciphertext, leakage included, easily reveals one bit about the message. This breaks security.

The main challenges are two-fold. First we must make sure the encryption scheme can actually be decrypted in the TS model, which we showed is not trivial in Section 4. A more difficult problem is to maintain security in the generic group models. This is challenging because our leakage function interprets the message as arbitrary code and runs it on some inputs. Without care, this arbitrary code could already break security without having anything to do with the generic group. For example, the code could just be a constant function, in which case the leakage reveals which constant. We give our solution in Section 6.3. In Section 8 we give another un-instantiability result for one-time MACs in the context of the Algebraic Group Model (AGM), which also applies to the TS model.

## 6.2 On Correlation Intractability in the TS model

A relation  $R(\cdot, \cdot)$  is evasive if, for any  $a$ ,  $R(a, b) = 1$  with negligible probability over the choice of uniformly random  $b$ .

**Definition 6.1.** A hash function  $H$  is *correlation intractable with respect to  $R$*  if it is computationally intractable to find an  $a$  such that  $R(a, H(a)) = 1$ .  $H$  is *correlation intractable* (with no quantification by  $R$ ) if it is correlation intractable with respect to every evasive relation.

We show that if we restrict to  $R$  which translate to the TS model, it is straightforward to obtain a correlation intractable hash function. Let  $\mathbb{G}$  be a group with generator  $g$  of order  $p$ . Let  $\mathcal{K} = \mathbb{G}$  be

the key space,  $\mathcal{X} = \mathbb{Z}_p$  be the domain, and  $\mathcal{Y} = \mathbb{G}$  be the range. Define  $H(K, a) = K^a$ . Consider any efficient  $R : \mathbb{Z}_p \times \mathbb{G} \rightarrow \{0, 1\}$  in the TS model.

**Theorem 6.2.** *Assuming discrete logs are hard in  $\mathbb{G}$ , then  $H$  is correlation intractable with respect to every evasive relation  $R$  defined in the TS model.*

*Proof.* Suppose  $R$  is a relation in the TS model, and which is evasive. In other words, for any  $a$ ,  $\Pr[R(a, h) = 1 : h \leftarrow \mathbb{G}]$  is negligible. Now suppose there is an efficient algorithm  $A$  (in the standard model) such that  $\Pr[R(a, H(K, a)) = 1 : K \leftarrow \mathbb{G}, a \leftarrow A(K)]$  is non-negligible. This means, with non-negligible probability over  $K \leftarrow \mathbb{G}$  and the randomness of  $a$ ,  $R(a, H(K, a)) = 1$  whereas  $R(a, h) = 0$  with overwhelming probability for a random  $h \neq H(K, a)$ . Since  $R(a, \cdot)$  only depends on the results of linear queries, consider the first query where the results differ. Since the query must depend on the second argument to  $R$ , it will be non-zero for a random  $h$  with overwhelming probability; this means the query must give zero for  $H(k, a)$ . In other words, there must be some query  $(\alpha, \beta)$  such that  $g^\alpha H(K, a)^\beta = 1$ . But remember that  $H(K, a) = K^a$ . Therefore, we can find the discrete log of  $K$  as  $K = g^{-\alpha/a\beta}$ . This violates the assumed hardness of discrete logarithms.  $\square$

### 6.3 Our Un-instantiable construction

**Construction 6.3.** Let  $(\text{Gen}^{\mathbb{G}_{\text{TS}}}, \text{Enc}^{\mathbb{G}_{\text{TS}}}, \text{Dec}^{\mathbb{G}_{\text{TS}}})$  be the following:

- $\text{Gen}^{\mathbb{G}_{\text{TS}}}(1^\lambda)$ : sample  $\alpha \leftarrow \mathbb{Z}_p \setminus \{0\}$ , and let  $\text{pk} = (g, h = g^\alpha, \lambda)$  and  $\text{sk} = \alpha$ .
- $\text{Enc}^{\mathbb{G}_{\text{TS}}}(\text{pk}, m)$ : Let  $n$  be the bit-length of  $m$ . For each  $i \in [n]$ , sample  $r_i \leftarrow \mathbb{Z}_p$  and let  $c_i = g^{r_i}, d_i = h^{r_i + m_i}$ , where  $m_i \in \{0, 1\}$  is the  $i$ th bit of  $m$ . Let  $e \leftarrow L(m, \{r_i\}_i)$  where  $L$  is defined below. Output output  $c = (\{c_i, d_i\}_{i \in [n]}, e)$ .
- $\text{Dec}^{\mathbb{G}_{\text{TS}}}(\text{sk}, c)$ : for each  $i \in [n]$ , compute  $d'_i = c_i^\alpha$ . If  $d'_i = d_i$ , set  $m_i = 0$ ; otherwise set  $m_i = 1$ . Output  $m = m_1 m_2 \cdots m_n$ .

$L(m, \{r_i\}_i)$  works as follows: interpret the last  $n - \lambda$  bits of  $m$  as the description of a function  $H : \mathbb{Z}_p \rightarrow \{0, 1\}$  in some canonical way. Then:

- Test if  $H$  is “balanced” by sampling  $k = 32\lambda$  random  $s_j \leftarrow \mathbb{Z}_p, j = 1, \dots, k$ , computing  $b_i \leftarrow H(s_i)$ , and checking that  $\sum_{j=1}^k s_i \in (3k/8, 5k/8)$ .
- If  $H$  is not balanced, sample a random bit  $e \leftarrow \{0, 1\}$ . Otherwise, let  $e = H(r_1) \oplus H(r_2) \oplus \cdots \oplus H(r_\lambda)$ . Output  $e$ .

**Theorem 6.4.** *Construction 6.3 is a secure public key encryption scheme in the TS generic group model (and hence also in the RR model, by Theorem 3.5). However, Construction 6.3 is insecure in the standard model.*

*Proof.* We first show that the construction is correct and secure. Correctness is a straightforward adaptation of the correctness of ElGamal:  $d_i = h^{r_i + m_i} = g^{\alpha r_i + \alpha m_i} = c_i^\alpha h^{m_i}$ . Therefore  $d_i = c_i^\alpha$  if and only if  $m_i = 0$ .

For security, we first show the following:

**Lemma 6.5.** *For any message  $m$ , the bit  $e$  is statistically close to a uniform random bit. Concretely,  $|\Pr[e = 0] - 1/2| \leq 2^{-\lambda}$ .*

*Proof.* Consider the  $H$  derived from  $m$ . Then each  $b_j$  are independent Bernoulli random variable with expectation  $p := \Pr_{s \leftarrow Z_p}[H(s) = 1]$ . Then  $\sum_j b_j$  is given by the binomial distribution with expectation  $kp$ . Using Hoeffding's inequality gives us the tail bounds:

$$\Pr \left[ \left| \left( \sum_j b_j \right) - kp \right| \geq \delta k \right] \leq 2e^{-2k\delta^2} .$$

In particular, setting  $\delta = 1/8$ , we have that  $(\sum_j b_j) \in [(p - 1/8)k, (p + 1/8)k]$  except with negligible probability. Therefore, if  $p \notin [1/4, 3/4]$ , except with probability  $2e^{-k/32}$ ,  $H$  will be deemed not balanced.

We therefore break into two cases. First suppose  $p \notin [1/4, 3/4]$ . In this case, with probability at least  $1 - 2e^{-k/32}$ ,  $e$  will be a random bit, since  $H$  is deemed not balanced. Hence,  $|\Pr[e = 0] - 1/2| \leq e^{-k/32}$ . Since  $k = 32\lambda$ , this quantity is at most  $e^{-\lambda} \leq 2^{-\lambda}$ .

Now suppose  $p \in [1/4, 3/4]$ . In this case, either  $e$  will be a random bit (in the event that  $H$  is deemed unbalanced), or  $e$  will be the parity of  $\lambda$  independent Bernoulli random variables with expectation  $p$ . In the latter case, since these variables are not too biased, their parity will be approximately randomly distributed. In particular,  $\Pr[e = 0] = \frac{1}{2}(1 + (1 - 2p)^\lambda)$ , and therefore  $|\Pr[e = 0] - 1/2| \leq \frac{1}{2} \times (1/2)^\lambda$ .  $\square$

Lemma 6.5 above shows that an adversary cannot break Construction 6.3 just by looking at the bit  $e$ . We now expand this to consider general adversaries which also get  $c_i, d_i$ :

**Lemma 6.6.** *Construction 6.3 is secure in the TS generic group model.*

*Proof.* Consider the adversary's challenge messages  $m_0, m_1$ . Since the bit  $e$  cannot alone reveal which message is encrypted, the only way to distinguish is to have a distinguishing equality gate which outputs different values when encrypting  $m_0$  vs  $m_1$ .

Consider any of the adversary's element wires. By tracing through the various element-related gates, we can write the value of this element wire as a linear combination over the "base" exponents, namely those of the public key and ciphertexts components. Therefore, any equality gate can be seen as testing if a linear combination of the base exponents gives 0. If the equality gate is distinguishing, the linear combination must be 0 for one of the challenge messages, say  $m_0$ , and non-zero for the other,  $m_1$ . In particular the linear combination  $v_0, \dots, v_n, w_0, \dots, w_n$  must be not identically 0, and satisfy

$$v_0 \times 1 + w_0 \times \alpha + \sum_i v_i \times r_i + w_i \times (\alpha r_i + m_{0,i}) = 0 .$$

Now, suppose the bit  $e$  is not given. Then this linear combination is a non-zero degree 2 polynomial in the underlying random values  $\alpha, \{r_i\}$ . By Schwartz-Zippel, this polynomial evaluates to non-zero, except with probability  $2/p$ .

Now, we would like to take a union bound over all equality gates to say that all the gates evaluate to non-zero, with overwhelming probability, and hence there are no distinguishing gates. But remember that our cost metric does not bound the number of equality gates. To resolve this, note that we have at most a polynomial number  $t$  of element wires, and therefore there can only be  $\binom{t}{2} \leq t^2/2$  non-redundant equality gates. Therefore, the probability of there being some distinguishing equality gate is upper bounded by  $t^2/p$ .

So far, this bound assumed that  $e$  was not given. But  $e$  is just a single bit. So if there was an algorithm  $A$  that could generate a distinguishing gate given  $e$ , there is another algorithm  $A'$  which

also generates a distinguishing gate without  $e$ , just with half the probability. This  $A'$  just makes a random guess for  $e$ , which is correct with probability  $1/2$ . But the above ruled out such an  $A'$ .

The result is that any algorithm running in cost  $t$  has advantage at most  $2^{-\lambda} + 2t^2/p$ , which is negligible for polynomial  $t$ .  $\square$

Finally, we show that no matter how the group is instantiated, Construction 6.3 is insecure in the standard model

**Lemma 6.7.** *For any standard-model group, Construction 6.3 is insecure.*

*Proof.* Consider instantiating Construction 6.3 with an arbitrary standard model group scheme. Then consider the following adversary  $A$ .

- On input  $\text{pk} = (g, h)$ , let  $\ell$  be the bit-length of group elements.
- Choose a random  $t \in \{0, 1\}^\ell$ , and construct the circuit  $H(s) = \langle t, h^s \rangle$ , where  $\langle \cdot, \cdot \rangle$  denotes the inner product mod 2 of the bit string inputs.
- Send to the challenger the challenge messages  $(m_0 = (0^\lambda, H), m_1 = (1^\lambda, H))$ . Receive the ciphertext  $c = (\{c_i, d_i\}_i, e)$ .
- Output  $e \oplus \langle t, d_1 \oplus \dots \oplus d_\lambda \rangle$ .

We now analyze  $A$ . First, since inner products are good extractors,  $H$  is balanced with overwhelming probability. Thus  $e = H(r_1) \oplus H(r_2) \oplus \dots \oplus H(r_\lambda)$ .

Suppose  $c$  is an encryption of  $m_0$ . Then  $\langle t, d_i \rangle = \langle t, h^{r_i} \rangle = H(r_i)$ . Hence, with overwhelming probability, the output of  $A$  is exactly 0. Next suppose  $c$  is an encryption of  $m_1$ . Then  $\langle t, d_i \rangle = \langle t, h^{r_i+1} \rangle$ . Hence, if we define  $W = (h^{r_1} \oplus h^{r_1+1}) \oplus \dots \oplus (h^{r_\lambda} \oplus h^{r_\lambda+1})$ , the output is equal to  $\langle t, W \rangle$ .

**Lemma 6.8.**  $\Pr[W = 0] \leq 1/2$ .

*Proof.* Since the  $r_i$  are independent,  $W$  is the XOR of  $\lambda$  iid random variables  $V$  samples as  $V = h^r \oplus h^{r+1}$  for a random choice of  $r \in \mathbb{Z}_p$ .

Note that  $V$  has zero support on 0, since  $V = 0$  implies  $h^r = h^{r+1}$ , which in turn means  $h = 1$ . Since  $h = g^\alpha$  for  $\alpha \neq 0$ , this is impossible.

Moreover, we claim that there is no element which  $D$  outputs with probability more than  $1/2$ . Suppose toward contradiction that  $V$  outputted a string  $v$  with probability larger than  $1/2$ . Then  $v = h^r \oplus h^{r+1}$  for at least half of  $r \in \mathbb{Z}_p$ . There must therefore exist  $r, r+1$  such that  $v = h^r \oplus h^{r+1} = h^{r+1} \oplus h^{(r+1)+1}$ . But then  $h^r = h^{r+2}$ , meaning  $h^2 = 1$ . Since the order  $p$  of  $h$  is a prime, this in turn means  $h = 1$ , which again is impossible.

Since the guessing probability of each instance of  $V$  is at most  $1/2$ , then so is the XOR of  $\lambda$  iid samples. This completes the proof of Lemma 6.8.  $\square$

Notice that  $t$  is independent from the  $r_i$ , and hence  $W$ . Hence, if  $W \neq 0$ ,  $\langle t, W \rangle$  is a uniform random bit. Therefore the output of  $A$  on  $m_1$  is 1 with probability at least  $1/4$ , giving it a non-negligible advantage. This completes the proof of Lemma 6.7.  $\square$

Putting together Lemmas 6.6 and 6.7 proves Theorem 6.4.  $\square$

## 7 Impossibility of IBE from Generic Groups

Papakonstantinou, Rackoff, and Vahlis [PRV12] give an impossibility of identity-based encryption (IBE) from generic groups. The authors cite Shoup’s model, and like Shoup they define the generic group model as a random mapping from  $\mathbb{Z}_p$  into bit strings. However, we argue that their definitions and proofs actually lie somewhere between Shoup’s and the Maurer/TS model. For example, consider their definition of a *generic algorithm* ([PRV12], page 6):

A generic algorithm  $A$  is a probabilistic algorithm (or with randomness in its input) that takes inputs and produces outputs of the form  $(w, g_1, \dots, g_k) \in (\{0, 1\}^* \times \mathbb{G}^k)$  for an arbitrary  $k \in \mathbb{N}$ .  $A$  is given oracle access to  $\mathcal{O}$  restricted to sums that have non-zero coefficients only for the elements  $g_1, \dots, g_k$ .

Above,  $\mathcal{O}$  is their notation for the oracle implementing the generic group. Requiring an algorithm to be explicitly given group elements as input, and then only allowing queries on linear combinations of those explicit group elements, is a TS-style restriction that is not present in the RR/Shoup model. Since all algorithms must declare the type of inputs they work on, this also means that the components of any cryptosystem (public keys, secret keys, ciphertexts, etc) must explicitly delineate between group elements and bits, just as in the TS model.

This restriction on algorithms plays an important role in the impossibility proof. The proof of [PRV12] proceeds in two steps, where in the first step they compile a generic group IBE scheme into one where user secret keys do not contain any group elements. The second step is to show that such a restricted IBE cannot exist in the generic group model. Unfortunately, the distinction between user keys containing group elements or not is only well-defined if the group elements of secret keys are explicitly labeled, as in the TS model. In the RR model, one could imagine trivially hiding group elements by, say, XORing them with an arbitrary string, or secret sharing into different pieces.

Digging deeper, the impossibility proof does the following many times: compute an encryption of a random message, and then promptly decrypt it, collecting all the queries made during the process. We observe that this process is *exactly* how verification works when compiling IBE to a signature scheme in the usual way. However, signatures were shown *impossible* in the TS model by [DHH<sup>+</sup>21], who also runs the verification procedure many times to collect queries. But we know that signatures *are* possible in the RR model, so the impossibility of [DHH<sup>+</sup>21] *only* applies in the TS model. Given these similarities between the impossibility proofs, together with the fact that [PRV12] imposes TS-like restrictions, it is unclear whether the IBE impossibility should extend to the full RR model.

**A full impossibility.** Here, we prove a full impossibility of IBE in the RR generic group model, resolving this gap. We first define IBE; we use a key encapsulation variant for simplicity, which is equivalent to the standard notion.

**Definition 7.1.** An identity-based encryption (IBE) scheme consists of a tuple of efficient probabilistic algorithms (Gen, Extract, Enc, Dec) satisfying:

- **Correctness:** For all identities  $\text{id}$ ,
 
$$\Pr \left[ \text{Dec}(\text{sk}_{\text{id}}, c) = k : \begin{array}{l} (\text{msk}, \text{mpk}) \leftarrow \text{Gen}() \\ \text{sk}_{\text{id}} \leftarrow \text{Extract}(\text{msk}, \text{id}) \\ (c, k) \leftarrow \text{Enc}(\text{mpk}, \text{id}) \end{array} \right] \geq 1 - \text{negl}.$$

- **Random Identity Security:** Consider an adversary  $A$  playing the following game. The challenger first chooses a random  $(\text{msk}, \text{mpk}) \leftarrow \text{Gen}()$ , and chooses a random bit  $b \in \{0, 1\}$ . The challenger samples  $q + 1$  random identities  $\text{id}_1, \dots, \text{id}_q, \text{id}^*$ . For  $i = 1, \dots, q$ , compute  $\text{sk}_{\text{id}_i} \leftarrow \text{Extract}(\text{msk}, \text{id}_i)$ . It also computes  $(c^*, k_0^*) \leftarrow \text{Enc}(\text{mpk}, \text{id}^*)$  and samples a uniform  $k_1^*$ . It sends  $\{\text{id}_i, \text{sk}_{\text{id}_i}\}_{i \in [q]}, c^*, k_b^*$  to  $A$ , which outputs a guess  $b'$  for  $b$ . We require that, for all efficient  $A$  and polynomial  $q$ ,  $\Pr[b' = b] \leq 1 + \text{negl}$ .

**Theorem 7.2.** *There is no random identity-secure IBE scheme in the RR model.*

*Proof.* Our proof, while different than [PRV12], will follow the same basic outline, though it will replace “secret keys contain no group elements” with a related restriction that is well-defined in the RR model. It will also clarify what about IBE makes it impossible in the RR model, where signatures are possible.

Concretely, we will define a type of *signature* scheme, where generic group queries during verification are independent of the signature (but dependent on the message and public key). Such a signature scheme is rather easily shown to be impossible, in *any* idealized model. This replaces the second part of the proof of [PRV12]. By using a simpler object (signatures instead of IBE) we are able to significantly simplify this part of the proof of [PRV12]. It also offers a clear explanation for the gap between general signatures and IBE in the generic group model, since general signatures will not have the special structure.

The bulk of the proof of Theorem 7.2 is showing that any IBE in the RR model can be compiled into such a restricted signature scheme. The idea is to view the IBE as a signature scheme, which is already well-known. However, the resulting signature scheme has a special structure: a first phase that is independent of the signature, and then a second phase that depends on the signature. Importantly, the second phase is decrypting a ciphertext produced in the first phase. We use the correctness of the IBE to argue we can compile out the queries made in the second phase; this compiling-out step crucially uses the linear structure of groups.

We now give the proof. We first define a *restricted signature scheme*:

**Definition 7.3.** An restricted signature scheme (R-Sig) relative to an oracle  $\mathcal{O}$  consists of a tuple of oracle algorithms  $(\text{Gen}^{\mathcal{O}}, \text{Sign}^{\mathcal{O}}, \text{Ver}^{\mathcal{O}})$  such that:

- **$\delta$ -Correctness:**  $\Pr \left[ \text{Ver}^{\mathcal{O}}(\text{PK}, \sigma) = 1 : \begin{array}{l} M \leftarrow \$ \\ (\text{SK}, \text{PK}) \leftarrow \text{Gen}^{\mathcal{O}}() \\ \sigma \leftarrow \text{Sign}^{\mathcal{O}}(\text{SK}, M) \end{array} \right] \geq \delta$ .
- **Restricted Structure:**  $\text{Ver}^{\mathcal{O}}(\text{PK}, M, \sigma) = \text{Ver1}(\text{Ver0}^{\mathcal{O}}(\text{PK}, M), \sigma)$ , where  $\text{Ver1}$  is independent of  $\mathcal{O}$ , but  $\text{Ver0}$  is independent of  $\sigma$ .
- **0-random message security:** For any query-bounded adversary  $A$ ,  $\Pr[\text{Ver}^{\mathcal{O}}(\text{PK}, M, \sigma) = 1 : \begin{array}{l} (\text{SK}, \text{PK}) \leftarrow \text{Gen}^{\mathcal{O}}() \\ M \leftarrow \$ \\ \sigma \leftarrow A^{\mathcal{O}}(\text{PK}, M) \end{array}]$  is negligible.

**Lemma 7.4.** *For any oracle  $\mathcal{O}$  and any constant  $\delta > 0$ , R-Sigs do not exist.*

*Proof.* Consider choosing an oracle  $\mathcal{O}$ , a random  $M$ , and  $(\text{SK}, \text{PK}) \leftarrow \text{Gen}^{\mathcal{O}}()$ , and then fixing them. We will say that  $\sigma$  is “good” if  $\Pr[\text{Ver}^{\mathcal{O}}(\text{PK}, M, \sigma) = 1] \geq \delta/2$ , where the probability is taken over the random coins of  $\text{Ver}$ . By correctness, with probability at least  $\delta/2$  over  $\mathcal{O}, M, \text{SK}, \text{PK}$ , there will exist *at least* one good  $\sigma$ , namely the output of  $\text{Sign}^{\mathcal{O}}(\text{SK}, M)$ .

Suppose  $\text{Ver0}$  was deterministic. Then we could compute  $v \leftarrow \text{Ver0}^{\mathcal{O}}(\text{PK}, M)$ , and consider the oracle-free probabilistic circuit  $C(\sigma) = \text{Ver1}(v, \sigma)$ . Then an input  $\sigma$  is good if and only if  $C(\sigma)$  accepts with probability at least  $\delta/2$ . Since  $C$  is oracle-free, we can brute-force search for such a  $\sigma$ , finding it with probability at least  $\delta/2$ . The forgery will then be  $(M, \sigma)$ , which is accepted by the challenger with probability  $\delta/2$ , giving an overall advantage  $\delta^2/4$ .

For a potentially randomized  $\text{Ver1}$ , we have to work slightly harder. For a good  $\sigma$ , we have that  $\Pr_{v \leftarrow \text{Ver0}^{\mathcal{O}}(\text{PK}, M)}[\Pr[\text{Ver1}(v, \sigma) = 1] \geq \delta/4] \geq \delta/4$ . Meanwhile, we will call a  $\sigma$  “bad” if  $\Pr_{v \leftarrow \text{Ver0}^{\mathcal{O}}(\text{PK}, M)}[\Pr[\text{Ver1}(v, \sigma) = 1] \geq \delta/4] \leq \delta/8$ .

For a parameter  $t$  chosen momentarily, we let  $v_1, \dots, v_t \leftarrow \text{Ver0}^{\mathcal{O}}(\text{PK}, M)$ , and construct circuits  $C_i(\sigma) = \text{Ver1}(v_i, \sigma)$ . We then brute-force search for a  $\sigma$  such that  $\Pr_{i \leftarrow [t]}[\Pr[C_i(\sigma) = 1] \geq \delta/4] \geq 3\delta/8$ . By Hoeffding’s inequality, any good  $\sigma$  will be a solution with probability  $1 - 2^{-\Omega(\delta^2 t)}$ . Meanwhile, any bad  $\sigma$  will be a solution with probability  $2^{-\Omega(\delta^2 t)}$ . By setting  $t$  such that  $t/\delta^2$  is sufficiently longer than the bit-length of signatures, we can union bound over all bad  $\sigma$ , showing that there will be no bad solutions except with negligible probability. We will therefore find a not-bad solution with probability at least  $\delta/2 - \text{negl} \geq \delta/3$ . In this case, with probability at least  $\delta/8$  over the choice of  $v$  by the verifier,  $\Pr[\text{Ver1}(v, \sigma) = 1] \geq \delta/4$ . Hence, the overall success probability is at least  $(\delta/3) \times (\delta/8) \times (\delta/4) \geq \delta^3/100$ .  $\square$   $\square$

**Lemma 7.5.** *If there is an IBE scheme in the RR generic group model, then for any constant  $\delta$  there exists a restricted signature scheme in the same model.*

*Proof.* Recall that we have  $(\text{Gen}^{\mathbb{G}_{\text{RR}}}, \text{Extract}^{\mathbb{G}_{\text{RR}}}, \text{Enc}^{\mathbb{G}_{\text{RR}}}, \text{Ver}^{\mathbb{G}_{\text{RR}}})$  as a supposed IBE scheme in the RR model. Now consider the following standard way of constructing a signature scheme from an IBE scheme:

- $\text{Gen}_{\text{Sig}}^{\mathbb{G}_{\text{RR}}} = \text{Gen}^{\mathbb{G}_{\text{RR}}}$ , with  $\text{PK} = \text{mpk}$  and  $\text{SK} = \text{msk}$ .
- $\text{Sign}^{\mathbb{G}_{\text{RR}}}(\text{SK}, M) = \text{Extract}^{\mathbb{G}_{\text{RR}}}(\text{SK}, M)$ , where  $M$  is interpreted as an identity.
- $\text{Ver}^{\mathbb{G}_{\text{RR}}}(\text{PK}, M, \sigma)$ : Run  $(c, k) \leftarrow \text{Enc}^{\mathbb{G}_{\text{RR}}}(\text{PK}, M)$ , where again  $M$  is interpreted as an identity, and output 1 if and only if  $\text{Dec}^{\mathbb{G}_{\text{RR}}}(\sigma, c) = k$ .

$(\text{Gen}, \text{Sign}, \text{Ver})$  is almost already restricted:  $\text{Ver}^{\mathbb{G}_{\text{RR}}}(\text{PK}, M, \sigma) = \text{Ver1}^{\mathbb{G}_{\text{RR}}}(\text{Ver0}^{\mathbb{G}_{\text{RR}}}(\text{PK}, M), \sigma)$  where  $\text{Ver0}^{\mathbb{G}_{\text{RR}}}(\text{PK}, M)$  outputs  $v = (c, k) \leftarrow \text{Enc}^{\mathbb{G}_{\text{RR}}}(\text{PK}, \text{id} = M)$  while  $\text{Ver1}^{\mathbb{G}_{\text{RR}}}(v, \sigma)$  checks that  $\text{Dec}^{\mathbb{G}_{\text{RR}}}(\sigma, c) = k$ . The problem is that  $\text{Ver1}$  makes queries to  $\mathbb{G}_{\text{RR}}$ .

The random identity security of  $(\text{Gen}, \text{Extract}, \text{Enc}, \text{Dec})$  immediately implies the many random message security of  $(\text{Gen}_{\text{Sig}}, \text{Sign}, \text{Ver})$ , where the adversary is given an arbitrary polynomial  $q$  number of signatures on random messages to help it in its forgery. Note that we only need  $q = 0$ . But we will leverage the security for higher  $q$  in order to compile out the oracle queries of  $\text{Ver1}$ .

In the following, we will assume that labels have bit-length much larger than  $\log_2 p$ , so that labels are sparse. We can handle smaller  $S$  as we did in the proof of Theorem 3.5 in Section 3. In order to define our new signature scheme, we first need some notation. To each possible label  $\ell$ , we will associate a variable  $X_\ell$  over  $\mathbb{Z}_p$ .  $X_\ell$  is supposed to be the element  $x_\ell \in \mathbb{Z}_p$  whose label is  $\ell$ , but it may not be known. In some cases it may even be that  $\ell$  is an invalid label in which case  $x_\ell$  does not exist.

Let  $S$  be a set of homogeneous linear equations over a polynomial number of  $X_\ell$ . That is, each  $E \in S$  will have the form  $0 = E(X_{\ell_1}, \dots, X_{\ell_u}) = a_1 X_{\ell_1} + \dots + a_u X_{\ell_u}$ . These equations may or may

not hold when replacing  $X_\ell$  with  $x_\ell$ ; it may be even that some of the  $X_\ell$  are for invalid  $\ell$ , in which case  $x_\ell$  does not exist. We say that an equation  $E \in S$  is “correct” if  $\ell_1, \dots, \ell_u$  are all valid labels and  $E(x_{\ell_1}, \dots, x_{\ell_u}) = 0$ .

We now consider several possible simulations of the generic group. In the following, we will assume all queries to  $\mathbb{G}_{\text{RR}}$  are Group Operation queries. By giving out the label for  $1 \in \mathbb{Z}_p$  at the beginning of the experiment, we can always answer Labeling Queries using Group Operation queries.

- $\text{Sim}^{\mathbb{G}_{\text{RR}}}(S)$ : To initialize the simulator, initialize sets  $S' = S$ . If at any time  $L'$  contains a variable that is in  $S'$ ,  $\text{Sim}$  immediately aborts and stops answering queries. To answer a Group Operation query  $(\ell_1, \ell_2, a_1, a_2)$ ,  $\text{Sim}^{\mathbb{G}}(S)$  does the following:
  - If either of  $\ell_1, \ell_2$  do *not* appear in the variables in  $S'$ , then query  $\ell_3 \leftarrow \mathbb{G}_{\text{RR}}(\ell_1, \ell_2, a_1, a_2)$ . Add to  $S'$  the equation  $a_1 X_{\ell_1} + a_2 X_{\ell_2} - X_{\ell_3} = 0$ , and output  $\ell_3$ .
  - If both  $\ell_1, \ell_2$  appear in  $S'$ , then for each  $\ell_3 \in S'$ , check if the equation  $a_1 X_{\ell_1} + a_2 X_{\ell_2} - X_{\ell_3} = 0$  is in the linear span of the equations in  $S'$ ; in this case we say that  $a_1 X_{\ell_1} + a_2 X_{\ell_2} - X_{\ell_3} = 0$  is implied by  $S'$ . If so, output  $\ell_3$ .
  - If both  $\ell_1, \ell_2$  appear in  $S'$  but no such  $\ell_3$  is found, then query  $\ell_3 \leftarrow \mathbb{G}_{\text{RR}}(\ell_1, \ell_2, a_1, a_2)$ . Add to  $S'$  the equation  $a_1 X_{\ell_1} + a_2 X_{\ell_2} - X_{\ell_3} = 0$ , and output  $\ell_3$ .

If at any point,  $S'$  does not contain a solution with distinct values for each  $X_{\ell_i}$  present, then immediately abort and have  $\text{Ver}_1$  reject<sup>4</sup>. Since we therefore maintain the invariant that  $S'$  has such a solution, this guarantees that an  $\ell_3$  satisfying  $a_1 X_{\ell_1} + a_2 X_{\ell_2} - X_{\ell_3} = 0$  will always be unique. Note that if all the equations in  $S'$  are correct, then there will always be at least one solution with distinct values: namely the solution corresponding to the correct labeling function.

- $\text{Sim}^{\mathbb{G}_{\text{RR}}, \perp}(S)$ : this is identical to  $\text{Sim}^{\mathbb{G}_{\text{RR}}}(S)$ , except that in the case where either  $\ell_1, \ell_2$  are not in  $S'$ , then we simply respond with  $\perp$ .
- $\text{Sim}^{\mathbb{S}}(S)$ : This is identical to  $\text{Sim}^{\mathbb{G}_{\text{RR}}, \perp}(S)$ , except for the last bullet: instead of querying  $\mathbb{G}_{\text{RR}}$ , simply sample a random label  $\ell_3$  distinct from all the labels  $\ell$  for  $X_\ell$  appearing in  $S'$  (whp a uniform label will be distinct from such  $\ell$  with overwhelming probability anyway). Add to  $S'$  the equation  $a_1 X_{\ell_1} + a_2 X_{\ell_2} - X_{\ell_3} = 0$ , and output  $\ell_3$ .

We will use the following simple fact:

**Lemma 7.6.** *For any set  $S$  of correct equations,  $\text{Sim}^{\mathbb{G}_{\text{RR}}}(S)$  and  $\mathbb{G}_{\text{RR}}$  are identical*

*Proof.* Since only correct equations are added to  $S'$ , by induction we can conclude that  $S'$  only ever contains correct equations. Suppose we output an  $\ell_3$ . If  $\ell_3$  was the result of querying  $\mathbb{G}_{\text{RR}}$ , then  $\ell_3$  is exactly what  $\mathbb{G}_{\text{RR}}$  outputted on the same query. Otherwise, suppose  $\mathbb{G}_{\text{RR}}$  would output  $\ell'_3$ . Then we know that  $x'_{\ell_3} = a_1 x_{\ell_1} + a_2 x_{\ell_2} = x_{\ell_3}$ . Since the labeling function is injective, we this implies that  $\ell_3 = \ell'_3$ .  $\square$

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<sup>4</sup>Note that we do not need this check to be computationally efficient, but it can be made so anyway. To check for a solution with distinct values, it suffices to check that the equation  $X_{\ell_i} - X_{\ell_j} = 0$  is *not* implied by  $S'$ , for any distinct variables  $X_{\ell_i}, X_{\ell_j}$  appearing in  $S'$ .

We now give several new signature schemes. All these signature schemes will use the same  $\text{Gen}_1, \text{Sign}_1, \text{Ver0}_1$  defined below. The idea is to have these algorithms provide common variables and equations over those variables, that can then be used by  $\text{Ver1}$  to decrypt. To help us analyze correctness and security, we actually define several different  $\text{Ver1}$ , and show relations between them.

We will need the concept of a “new” label. For any algorithm  $A$  making queries to  $\mathbb{G}_{\text{RR}}$ , we say that a label  $\ell$  is “new” for an execution of  $A$  if (1) the label was an input to some query made by  $A$  in that execution, but (2)  $\ell$  did not appear as the output of any previous query by  $A$ . In other words, a new label is one that, in some sense, came out of nowhere. We note that for algorithms that have inputs which may depend on  $\mathbb{G}_{\text{RR}}$ , new labels could be derived from the input. However, if there are no inputs that depend on  $\mathbb{G}_{\text{RR}}$ , new labels will be invalid:

**Claim 7.7.** *For any algorithm  $A^{\mathbb{G}_{\text{RR}}}$  whose inputs are independent of  $\mathbb{G}_{\text{RR}}$ , except with negligible probability any new label is invalid.*

*Proof.* This follows simply from the sparseness of labels. Consider sampling the labeling function for  $\mathbb{G}_{\text{RR}}$  lazily, only choosing labels for elements of  $\mathbb{Z}_p$  on the fly. Any label  $\ell$  that was not the result of a previous query will, with overwhelming probability over the sampling of  $\mathbb{G}_{\text{RR}}$ , not be a valid label for any element in  $\mathbb{Z}_p$ . As a consequence, any query to  $\mathbb{G}_{\text{RR}}$  with  $\ell$  as an input will result in  $\perp$ .  $\square$

Let  $\alpha \in (0, 1)$  and  $w > 1$  be parameters to be specified later. Our algorithms are defined as:

$\text{Gen}_1^{\mathbb{G}_{\text{RR}}}()$ :  $\text{run } (\text{PK}, \text{SK}) \leftarrow \text{Gen}_{\text{Sig}}^{\mathbb{G}_{\text{RR}}}() = \text{Gen}^{\mathbb{G}_{\text{RR}}}()$ . Next, we will construct a system of equations  $S_{\text{PK}}$  that hold over these common labels. To do so, for  $i = 1, \dots, w$ , do the following: initialize lists  $S_{\text{PK},i} = \{\}$ . Then sample a uniformly random message  $M_i$ , compute  $\sigma_i \leftarrow \text{Sign}^{\mathbb{G}_{\text{RR}}}(\text{SK}, M_i) = \text{Extract}^{\mathbb{G}_{\text{RR}}}(\text{SK}, M_i)$  and then run  $\text{Ver}^{\mathbb{G}_{\text{RR}}}(\text{PK}, M_i, \sigma_i)$ , which we know accepts with overwhelming probability. For every query  $(\ell_1, \ell_2, a_1, a_2)$  made by  $\text{Ver}^{\mathbb{G}_{\text{RR}}}(\text{PK}, M_i, \sigma_i)$  (including both  $\text{Ver0}$  and  $\text{Ver1}$ ) do the following:

- If the response of the query is a label  $\ell_3 \neq \perp$ : add the equation  $a_1 X_{\ell_1} + a_2 X_{\ell_2} - X_{\ell_3} = 0$  to  $S_{\text{PK},i}$
- If the response of the query is  $\perp$ : this means at least one of  $\ell_1, \ell_2$  are invalid labels. To find out which, for  $\ell_b, b \in \{1, 2\}$ , make a test query on  $(\ell_b, \ell_b, 0, 0)$ . We know that the test query returns  $\perp$  if and only if  $\ell_b$  is invalid.

For each valid label  $\ell_b$ , add the equation  $0X_{\ell_b} = 0$  to  $S_{\text{PK},i}$ . Note that such equations supply no information about  $x_{\ell_b}$ , but are used to help keep track of the “common” labels.

Now let  $L$  be the set of variables  $X_\ell$  which occur in at least  $\alpha w$  of the sets  $S_{\text{PK},i}$ . We will construct  $S_{\text{PK}}$  as the set of equations over  $L$ , which are implied by the equations in  $S_{\text{PK},i}$ . This is done as follows. Let  $M$  be the matrix whose columns are indexed by the variables  $X_\ell$ , and the rows are the equations in  $\cup_i S_{\text{PK},i}$ . We sort the columns of  $M$  so that the variables in  $L$  come *last*. Then put  $M$  into row-echelon form. Let  $M'$  be the bottom-right sub-matrix, whose columns correspond to the variables in  $L$ , and whose rows are such that the row-echeloned  $M$  has only 0's outside of  $L$ . A picture of the row-echeloned  $M$  is given below:

$$M = \begin{array}{cc} A & B \\ 0 & M' \end{array} \cdot$$

Here, the columns containing  $B, M'$  are exactly those corresponding to  $L$ , and the columns containing  $A, 0$  are those corresponding to variables outside of  $L$ . Note that if  $A$  is not empty, the bottom right entry of  $A$  must be non-zero.

Let  $S_{\text{PK}}$  be the set of equations corresponding to  $M'$ , which are equations involving only the variables in  $L$ . Note that we want each variable in  $L$  to appear in  $S_{\text{PK}}$ , so if any variable  $X_\ell \in L$  is missing, simply add the equation  $0X_\ell = 0$  to  $S_{\text{PK}}$ .

Finally, output  $\text{PK}_1 = (\text{PK}, S_{\text{PK}})$  and  $\text{SK}_1 = (\text{SK}, S_{\text{PK}})$ .

**Ver0 $^{\text{GRR}}$ ( $\text{PK}_1, M$ ):** Run  $v = (c, k) \leftarrow \text{Ver0}^{\text{GRR}}(\text{PK}_1, M) = \text{Enc}^{\text{GRR}}(\text{PK}, \text{id} = M)$ . If there were any new labels queried by  $\text{Ver0}$  that were (1) not contained in  $S_{\text{PK}}$ , but (2) the query response was different than  $\perp$ , then immediately abort and output  $v_1 = \perp$ . Otherwise, we say that  $\text{Ver0}$  had a good run. let  $S_v$  be the set of all linear equations implied by the queries made by  $\text{Ver0}$ . Since the run was good, we can trace all the query inputs back to labels appearing in  $S_{\text{PK}}$ , so we can write each equation in  $S_v$  as  $X_{\ell^*} = \sum_\ell a_\ell X_\ell$  where  $X_\ell$  ranges over variables appearing in  $S_{\text{PK}}$ .

Output  $v_1 = (v, S_v)$ .

**Sign $^{\text{GRR}}$ ( $\text{SK}_1, M$ ):** run  $\sigma \leftarrow \text{Sign}^{\text{GRR}}(\text{SK}, M) = \text{Extract}^{\text{GRR}}(\text{SK}, M)$ . Next, construct a list  $S_\sigma$  of equations over labels that may arise when applying  $\text{Ver}$  to  $\text{PK}, M, \sigma$ .  $S_\sigma$  is constructed as follows: for  $i = 1, \dots, w$ , compute  $\text{Ver}^{\text{GRR}}(\text{PK}, M, \sigma)$ , which accepts with overwhelming probability.

For each run of  $\text{Ver}$  that resulted in a good run of  $\text{Ver0}$  (as defined above), let  $S_{\sigma,i}$  be the set of equations  $a_1 X_{\ell_1} + a_2 X_{\ell_2} - X_{\ell_3}$  for the queries  $\ell_3 \leftarrow \text{GRR}(\ell_1, \ell_2, a_1, a_2)$  where  $\ell_3 \neq \perp$ . Note that, since the run was good, we can trace the labels  $\ell_1, \ell_2$  back to labels appearing in  $S_{\text{PK}}$ , and write  $X_{\ell_3} = \sum_\ell a_\ell X_\ell$  where  $X_\ell$  ranges over variables appearing in  $S_{\text{PK}}$ . Finally let  $S_\sigma = \cup_i S_{\sigma,i}$ . Note that  $S_\sigma$  is constructed similarly to  $S_{\text{PK}}$ , except that we keep *all* labels/equations (provided we had a good run), not just the frequently-seen labels.

Output  $\sigma_1 = (\sigma, S_\sigma)$ .

**Ver1 $_j$ ( $v_1, \sigma_1$ ) for  $j = 1, 2, 3, 4, 5, 6$ :** If  $v_1 = \perp$ , immediately abort and reject. That is, reject if  $\text{Ver0}$  made a query on a new valid label not in  $S_{\text{PK}}$ . Then let  $S'_{\text{PK}}$  be the set of equations over labels in  $S_{\text{PK}}$  implied by  $S_{\text{PK}} \cup S_\sigma \cup S_v$ ; construct  $S'_{\text{PK}}$  from  $S_{\text{PK}} \cup S_\sigma \cup S_v$  exactly as we constructed  $S_{\text{PK}}$  from  $\cup_i S_{\text{PK},i}$ . Then if the dimensions of  $S'_{\text{PK}}$  and  $S_{\text{PK}}$  are not identical (corresponding to  $S_{\text{PK}} \cup S_\sigma \cup S_v$  implying a new equation over  $S_{\text{PK}}$ ), immediately abort and reject.

Otherwise, for  $i = 1, \dots, \lambda$ , run  $b_i \leftarrow \text{Ver1}(v, \sigma)$ , except that we intercept the queries made to  $\text{GRR}$ ; we will describe how to answer queries shortly. Accept if and only if all of the  $b_i$  are accept.

We will consider several ways in which  $\text{Ver1}_j$  answers the queries made by  $\text{Ver1}$ :

- $j = 1$ : by simply forwarding them to  $\text{GRR}$ .
- $j = 2$ : using  $\text{Sim}^{\text{GRR}}(S_{\text{PK}} \cup S_\sigma)$ , where the set  $S'$  is reinitialized fresh for each run of  $\text{Ver1}$ .
- $j = 3$ : using  $\text{Sim}^{\text{GRR}}(S_{\text{PK}} \cup S_\sigma \cup S_v)$ , where  $S'$  is reinitialized fresh for each run of  $\text{Ver1}$ .
- $j = 4$ : using  $\text{Sim}^{\text{GRR}, \perp}(S_{\text{PK}} \cup S_\sigma)$ , where the set  $S'$  is reinitialized fresh for each run of  $\text{Ver1}$ .

- $j = 5$ : using  $\text{Sim}^{\mathbb{G}_{RR}, \perp}(S_{PK} \cup S_\sigma \cup S_v)$ , where  $S'$  is reinitialized fresh for each run of  $\text{Ver1}$ .
- $j = 6$ : using  $\text{Sim}^{\mathbb{S}}(S_{PK} \cup S_\sigma \cup S_v)$ , where  $S'$  is reinitialized fresh for each run of  $\text{Ver1}$ .

Now let  $\Pi_j$  be the signature scheme using  $\text{Gen}_1, \text{Sign}_1, \text{Ver0}_1$  and  $\text{Ver1}_j$ . Note that  $\Pi_6$  is restricted. Our goal is therefore to prove that  $\Pi_6$  is  $\delta$ -correct and secure. We will do so using  $j = 1, 2, 3, 4, 5$ .

**Lemma 7.8.** *For  $j = 1, 2, 4$ , if  $(\text{Gen}^{\mathbb{G}_{RR}}, \text{Extract}^{\mathbb{G}_{RR}}, \text{Enc}^{\mathbb{G}_{RR}}, \text{Dec}^{\mathbb{G}_{RR}})$  is a secure IBE scheme, then  $\Pi_j$  is a secure signature scheme.*

*Proof.* This is just the standard IBE-to-Signature proof. Given any signature adversary  $A$ , we construct an IBE adversary  $B$ .  $B$  simulates  $A$ . It forwards to  $A$  the IBE master public key as the public key of the signature scheme, forwards its identity/secret key pairs as message/signature pairs, and forwards the challenge identity  $M^*$  as the challenge message.

When  $A$  produces a forgery  $\sigma_1^*$ ,  $B$  takes the challenge ciphertext/key pair  $v^* = (c^*, k^*)$ , and outputs the result of  $\text{Ver1}_j(v_1^*, \sigma_1^*)$ . Note that  $B$  does not have  $v_1^*$  in its entirety since it does not know  $S_v$ . But  $S_v$  is not needed to compute  $\text{Ver1}_j$  for  $j = 1, 2, 4$ , so it can simulate the algorithm correctly.

In the case  $k^*$  is a random key, the probability  $\text{Ver1}_j$  accepts is negligible. On the other hand, if  $k^*$  is the correct key, then  $v^*$  is distributed exactly as in  $\text{Ver0}_1$ , and so  $\text{Ver1}_j$  accepts with the same probability that  $A$  forges. By the assumed IBE security, this probability is negligible. Hence  $A$  has negligible forging probability.  $\square$

**Lemma 7.9.** *If  $\Pi_2$  is secure, then so is  $\Pi_3$ . If  $\Pi_4$  is secure, then so is  $\Pi_5$ .*

*Proof.* Both cases are proved essentially identically, so we focus on  $\Pi_2$  to  $\Pi_3$ . Notice that  $S_v$  only contains correct equations. Suppose adding a correct equation  $E \in L_v$  to  $S$  changed the behavior of  $\text{Sim}^{\mathbb{G}_{RR}}$ . There are two cases:

- $S$  contains a solution of distinct values for each  $X_{\ell_i} \in S$ , but  $S \cup E$  does not. In this case,  $\text{Ver1}_1$  will reject with  $S \cup E$  but potentially not with  $S$ .
- $\text{Sim}^{\mathbb{G}_{RR}}(S)$  queries  $\mathbb{G}_{RR}$  resulting in the correct label  $\ell_3$ , but  $\text{Sim}^{\mathbb{G}_{RR}}(S \cup E)$  finds a solution implied by  $S \cup E$  resulting in label  $\ell'_3 \neq \ell_3$ . Let  $E_0$  be the corresponding equation. By writing the the variables in  $E_0$  as linear functions of the variables in  $S_{PK}$  (recall that we assumed  $S_v$  and  $S_\sigma$  had this structure), we obtain an equation over the variables in  $S_{PK}$ . But  $E_0$  is an invalid equation, while  $S_{PK}$  only contains valid equations. Hence  $E_0$  is linearly independent of the variables in  $S_{PK}$ , which will cause  $\text{Ver1}$  to reject.

Therefore, the only possible change by including these equations is that  $\text{Ver}$  rejects, which only decreases the adversary's success probability  $\square$

We now prove the correctness of  $\Pi_j$  for  $j = 1, 2, 3, 5$ . Note that we do not prove the correctness of  $\Pi_4$ , which may not be correct. This is because the oracle will respond to queries involving labels in  $L_\sigma \setminus (L_{PK}, L_v)$  with  $\perp$ , even though they may be valid labels.

**Lemma 7.10.** *Assuming  $(\text{Gen}^{\mathbb{G}_{RR}}, \text{Extract}^{\mathbb{G}_{RR}}, \text{Enc}^{\mathbb{G}_{RR}}, \text{Dec}^{\mathbb{G}_{RR}})$  is correct, then for any  $\delta$  such that  $1 - \delta$  is inverse polynomial, for sufficiently small inverse polynomials  $\alpha$  and sufficiently large polynomial  $w$ ,  $\Pi_1$  is  $\delta$ -correct.*

*Proof.* Since the correctness experiment always results in correct sets  $S_{\text{PK}}, S_\sigma$ , there are only two events that lead to  $\text{Ver1}_1$  incorrectly rejecting while  $\text{Ver1}$  accepted:

- $\text{bad}_1$ , defined as:  $\text{Ver0}$  as a subroutine of  $\text{Ver0}_1$  makes a query on a new label  $\ell$  not contained in  $S_{\text{PK}}$  that was valid (in which case  $\text{Ver0}_1$  outputs  $\perp$ ).
- $\text{bad}_2$ , defined as:  $\text{Ver1}_1$  finds that the dimensions of  $S'_{\text{PK}}$  and  $S_{\text{PK}}$  are not identical. Recall that  $S'_{\text{PK}}$  is the set of equations over variables in  $S_{\text{PK}}$  implied by  $S_{\text{PK}} \cup S_\sigma \cup L_v$ .

Suppose  $\text{bad}_1$  happens with inverse-polynomial probability  $r$ . We know that  $\ell$  must have been queried during  $\text{Gen}()$ . This is because the combined  $\text{Gen}$  and  $\text{Ver0}$  has no inputs that depend on  $\mathbb{G}_{\text{RR}}$ , and so there are no new valid labels. Let  $q$  be the number of queries made by  $\text{Gen}()$ , which contain at most  $2q$  distinct input labels. Then there is some particular input label  $\ell$  which causes  $\text{bad}_1$  with probability at least  $r/2q$ . This means in each of the  $w$  trials constructing  $S_{\text{PK},i}$ , there is a probability  $r/2q$  that  $\ell$  gets added. By setting  $\alpha < r/4q$ , the probability  $\ell$  gets added is at least  $2\alpha$ . By setting  $w$  sufficiently high, the number of  $S_{\text{PK},i}$  containing  $\ell$  will be more than  $\alpha$ , except with negligible probability. But then  $\ell$  would be included in  $S_{\text{PK}}$ , a contradiction. Thus,  $\text{bad}_1$  happens with probability less than  $r$ .

Instead, suppose  $\text{bad}_2$  happens with inverse-polynomial probability  $r$ . Let  $q'$  be the number of queries in  $\text{Ver}$ , which involve at most  $2q'$  distinct input labels. Note that the number of variables in  $S_{\text{PK}}$  is therefore at most  $2q'/\alpha$ , independent of  $w$ . By increasing  $w$  by 1, we increase the expected dimension of the equation set  $S_{\text{PK}}$  by  $r$ . The expected dimension can never exceed  $2q'/\alpha$ . So by increasing  $w$  by  $2q'/\alpha r$ , we reach a contradiction unless the probability  $\text{bad}_2$  happens drops below  $r$ . Thus, for sufficiently large  $w$ ,  $\text{bad}_2$  happens with probability less than  $r$ .  $\square$

**Lemma 7.11.** *Assuming  $\Pi_1$  is  $\delta$ -correct, then  $\Pi_j$  is  $\delta$ -correct for  $j = 2, 3$ .*

*Proof.* This is a simple consequence of the fact that the correctness experiment always results in correct sets  $S_{\text{PK}}, S_\sigma, S_v$ , so  $\text{Sim}^{\mathbb{G}_{\text{RR}}}(S_{\text{PK}} \cup S_\sigma)$  and  $\text{Sim}^{\mathbb{G}_{\text{RR}}}(S_{\text{PK}} \cup S_\sigma \cup S_v)$  are identical to  $\mathbb{G}_{\text{RR}}$ .  $\square$

**Lemma 7.12.** *Assuming  $\Pi_3$  is  $\delta$ -correct, then for any  $\delta' < \delta$  where  $\delta - \delta'$  is inverse polynomial, for all sufficiently small inverse polynomial  $\alpha$  and sufficiently large polynomial  $w$ ,  $\Pi_5$  is  $\delta'$ -correct.*

*Proof.* The only way  $\text{Ver1}_3$  can accept but  $\text{Ver1}_5$  rejects is if  $\text{Ver1}$  makes a query on a new valid label  $\ell$  not contained in  $S_{\text{PK}} \cup S_\sigma \cup S_v$  (in this case  $\text{Ver1}_5$  responds to the query with  $\perp$  as opposed to making the query to  $\mathbb{G}_{\text{RR}}$ ). Define this event as  $\text{bad}$ .

Suppose  $\text{bad}$  happens with inverse-polynomial probability  $r$ . Since the correctness experiment has no inputs that depend on  $\mathbb{G}_{\text{RR}}$ , the correctness experiment has no new valid labels with overwhelming probability. But this means  $\ell$  must have been seen previously in  $\text{Gen}$ ,  $\text{Sign}$ , or  $\text{Ver0}$ . In the case  $\ell$  was seen in  $\text{Ver0}$ , it would have been added to  $S_v$ , contradicting  $\text{bad}$ . Let  $\text{bad}_{\text{Gen}}$  be the case where  $\ell$  was seen in  $\text{Gen}$ , and  $\text{bad}_{\text{Sign}}$  be the case where  $\ell$  was seen in  $\text{Sign}$ . At least one of these must occur with probability at least  $r/2 - \text{negl} > r/3$ . In either case, exactly analogous to the proof of Lemma 7.10, we can set  $\alpha$  small enough and  $w$  large enough to contradict this.  $\square$

Now that we have established the correctness and security of  $\Pi_5$ , we can prove the correctness and security of  $\Pi_6$ . To do so, consider the following general sequence of events:

- $(\text{PK}_1, \text{SK}_1) \leftarrow \text{Gen}_1^{\mathbb{G}_{\text{RR}}}()$  is run, which runs  $(\text{PK}, \text{SK}) \leftarrow \text{Gen}^{\mathbb{G}_{\text{RR}}}()$  as a subroutine.

- A random  $M^*$  is chosen
- $v_1 \leftarrow \text{Ver0}_1(\text{PK}_1, M^*)$  is run, which runs  $\text{Ver0}(\text{PK}, M^*)$  as a subroutine.
- Some process  $A^{\text{GRR}}$  generates  $\sigma_1^*$  from  $\text{PK}_1$ . This process will either be **Sign** in the correctness experiment, or the adversary in the security experiment.
- $b \leftarrow \text{Ver1}_1(v_1, \sigma_1^*)$  is run, where queries are answered either by (1)  $\text{Sim}^{\text{GRR}}(S_{\text{PK}} \cup S_\sigma \cup S_v)$  or by (2)  $\text{Sim}^{\text{s}}(S_{\text{PK}} \cup S_\sigma \cup S_v)$ . Let  $p_1$  be the probability of outputting 1 in case (1), and  $p_2$  the probability of outputting 1 in case (2).

**Lemma 7.13.** *For any desired inverse polynomial  $q$ , for all sufficiently small inverse polynomials  $\alpha$  and sufficiently large polynomials  $w$ ,  $|p_1 - p_2| \leq q$ .*

*Proof.* Define an event **bad**, defined as there being a query  $(\ell_1, \ell_2, a_1, a_2)$  made to  $\text{Sim}^{\text{GRR}}(S_{\text{PK}} \cup S_\sigma \cup S_v)$  resulting in label  $\ell_3$  such that each of the following hold:

- $\text{Sim}^{\text{GRR}}$  answers by making a query to  $\text{GRR}$
- $\ell_3$  was previously seen in a query or as response during **Gen**.
- $\ell_3$  is not in  $S_{\text{PK}} \cup S_\sigma \cup S_v$  (this in particular means  $\ell$  was not seen during **Ver0**).

We can force **bad** to happen with arbitrarily small inverse polynomial  $q$  exactly analogous to the proof of Lemma 7.10. Conditioned on **bad** not occurring, we then claim that  $p_1 = p_2$ . Indeed, conditioned on **bad** not occurring, the output of  $\text{GRR}$  will be a random label from the view of the combination of **Gen**, **Ver0**, **Ver1**, which is exactly how  $\text{Sim}^{\text{s}}(S_{\text{PK}} \cup S_\sigma \cup S_v)$  will answer the query.  $\square$

We now use Lemma 7.13 to prove the correctness of  $\Pi_6$ . For correctness, we assume that  $\Pi_5$  is  $\delta'$  correct for  $\delta' > 1 - (1 - \delta)/2\lambda$ , and invoke Lemma 7.13 with  $q = (1 - \delta)/2\lambda$ . This means each run of **Ver1** as a subroutine of **Ver1**<sub>1</sub> will accept with probability at least  $1 - (1 - \delta)/\lambda$ . Over all  $\lambda$  trials, **Ver1** will accept with probability at least  $(1 - (1 - \delta)/\lambda)^\lambda \approx e^{-(1-\delta)} \geq \delta$ .

For security, suppose an adversary  $A$  can break  $\Pi_6$  with non-negligible probability. Let **good** be the event that, conditioned on  $v_1$ , each trial of **Ver1** as a subroutine of **Ver1**<sub>1</sub> accepts with probability at least  $1/2$ . If **good** does not happen, then the probability **Ver1**<sub>1</sub> accepts is at most  $2^{-\lambda}$ , so **good** must happen with non-negligible probability.

Conditioned on **good**, we know that each trial as a subroutine of  $\Pi_5$  accepts with probability at least  $1/2 - q$ . But note that **Ver1** in  $\Pi_5$  is deterministic, so each trial is identical. This means **Ver1**<sub>5</sub> accepts with probability at least  $1/2 - q$ , which is non-negligible. This contradicts the security of  $\Pi_5$ .

This completes the proof of Lemma 7.5.  $\square$

Combining Lemmas 7.4 and 7.5, we therefore prove Theorem 7.2.  $\square$

## 8 On the Algebraic Group Model

Here, we discuss the Algebraic Group Model (AGM) of Fuchsbauer, Kiltz, and Loss [FKL18]. The AGM is proposed as a model lying between the standard and generic group models, striking a compromise between the wide applicability of generic groups and the security conferred by a

standard-model proof. For these reasons, the AGM has become a popular model for proving security (e.g. [GRWZ20, BFL20, KLX20, BDFG20, GT21]).

In the AGM, adversaries can see the actual standard model group representation without any type-system constraints. They can therefore perform arbitrary standard-model computations on these elements. However, any time the adversary outputs a group element  $h$ , it must “explain” that element, by outputting a vector  $\mathbf{a}$  such that  $h = \prod_i g_i^{a_i}$ , where  $g_i$  are the input group elements. Because the adversary has unfettered access to the group representation, security cannot hold unconditionally; instead, security is proven by a reduction transforming an algebraic adversary into an algorithm for a hard problem, typically discrete log.

## 8.1 Allowed Games in the AGM

One wrinkle discussed in [FKL18] is that, without further constraints, the model is trivially invalid. Suppose the experiment provides the adversary a group element  $h$ , but implicitly encoded as a string  $s$  by, say, by flipping every bit in the representation of  $h$ . Then the adversary can turn around and output  $h$  (by flipping all the bits back), but it would have no way of producing a representation of  $h$  without solving the discrete log of  $h$ . [FKL18] suggest the following resolution:

We therefore demand that non-group-element inputs must not depend on group elements.

This demand, however, is never formalized. Fortunately, we can see that the Type Safe mode readily captures the desired intuition. After all, the TS model distinguishes between group and non-group elements, and the type safety guarantee means that once a group element is obtained, nothing can be done with it except for generating new group elements and equality gates. Since equality gates do not depend on the group element itself but just the exponent, no information about the group element can be extracted into bit wires.

We therefore propose that the AGM is restricted to TS model games.

## 8.2 AGM as a compiler

We now offer a different view of the AGM, as a compiler for TS games. This allows us to precisely define what it means for a game to be secure in the AGM. Essentially, for any TS game, we can compile it into one where any group elements sent by the adversary must come with an explanation of that element, as required by the AGM.

Note, however, that the TS restriction *only* applies to the game itself; according to the AGM, we will give the adversary the actual group elements. This presents some difficulties for defining the compiler. Indeed, at some stage the game may accept an element wire, but the adversary produces actual group elements. The group element needs to be converted into an element wire. But this is potentially problematic, as element wires contain values in  $\mathbb{Z}_p$ , so it would appear one needs to solve discrete logarithms in order to perform the conversion. One solution may be adopt the convention that element wires contain group elements instead of their exponents. However, this is somewhat unsatisfactory, as it makes the description of the TS model group-dependent.

The resolution is to observe that we actually do not need to do such a conversion. Since the AGM requires the adversary to also output an explanation of the group element, we can actually

compute the element wire using this explanation and the element gates. So instead of asking the adversary to provide a group element, we *only* ask that it provides an explanation.

We therefore re-conceptualize the AGM as a *compiler*, transforming a game  $(p, \text{Ch})$  in the TS model into a new game  $(p, \text{Ch}')$ , also in the TS model.  $\text{Ch}'$  is identical to  $\text{Ch}$ , except for the following:

- $\text{Ch}'$  keeps a list  $\mathbf{g} = (1, g_1, g_2, \dots, g_{\ell-1})$  of all element wires  $\text{Ch}$  has outputted so far, including 1.
- Whenever  $\text{Ch}$  takes as input an element wire  $h$ ,  $\text{Ch}'$  instead takes a collection of bit wires corresponding to a vector  $\mathbf{v} \in \mathbb{Z}_p^\ell$ . It then applies element gates to compute an element wire for  $h = \mathbf{g}^{\mathbf{v}}$ , which it sends to  $\text{Ch}$ .

Then we can formally define what it means for a game to be secure in the AGM:

**Definition 8.1.** A TS game  $(p, \text{Ch})$  is secure in the AGM for a group  $\mathbb{G}$  if and only if  $(p, \text{Ch}')$  is secure in the standard model for  $\mathbb{G}$ .

### 8.3 AGM Un-instantiability

We now give an construction of a one-time MAC that is secure in the AGM, but insecure in the standard model, resolving an open question from [FKL18]. This result also gives an un-instantiability result for the TS model, which is simpler but somewhat more contrived. We note that our PKE scheme from Section 6 does *not* demonstrate anything about the AGM, since the adversary is not asked to produce any group elements. As such, for the PKE scheme, the AGM is actually *equivalent* to the standard model and hence the scheme is insecure in the AGM.

**Definition 8.2.** A one-time message authentication code (MAC) is a triple of PPT algorithms  $(\text{Gen}, \text{MAC}, \text{Ver})$  where:

- **Correctness:**  $\forall m, \Pr[\text{Ver}(k, m, \sigma) = 1 : \begin{smallmatrix} k \leftarrow \text{Gen}() \\ \sigma \leftarrow \text{MAC}(k, m) \end{smallmatrix}] \geq 1 - \text{negl}$
- **Security:** For any adversary  $A$ , there exists a negligible  $\text{negl}$  such that  $A$  wins the following game with probability at most  $\text{negl}$ : First  $A$  produces a message  $m$ ; in response it receives  $\sigma \leftarrow \text{MAC}(k, m)$  for a random key  $k$ ; finally it outputs  $m^* \neq m$  and  $\sigma^*$ . It wins if  $\text{Ver}(k, m^*, \sigma^*) = 1$ .

Note we require MACs for *unbounded-length* messages. Such one-time MACs can readily be built unconditionally. We now give our counter-example:

**Construction 8.3.** Let  $(\text{Gen}', \text{MAC}', \text{Ver}')$  be an unconditional one-time MAC. We construct a new MAC  $(\text{Gen}, \text{MAC}, \text{Ver})$  using a group  $\mathbb{G}$ . We assume elements in  $\mathbb{G}$  have bit-length  $\log_2 p$ ; we can easily extend to general  $\mathbb{G}$ . We will assume  $\mathbb{G}$  comes with two generators  $g, h$ , with the discrete log between them unknown<sup>5</sup>.

- $\text{Gen}()$ : run  $k' \leftarrow \text{Gen}'()$  and sample  $\gamma, \delta \leftarrow \mathbb{Z}_p$ . Output  $k = (k', \gamma, \delta)$ .
- $\text{MAC}(k, m)$ : first run  $\sigma' \leftarrow \text{MAC}'(k', m)$ . Then interpret  $m$  as a function  $H$  whose output length is  $\log_2 p$  bits. Compute  $u = H(\gamma, \delta)$ . Output  $\sigma = (\sigma', u, g)$ .

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<sup>5</sup> $g, h$  could be created by  $\text{Gen}$ , but we would need to get  $g, h$  to the adversary before the first query. We could consider a 1-time signature, where  $g, h$  would be included in the public key. Alternatively, we could consider a 2-time MAC, which includes  $g, h$  as part of each MAC, giving the adversary  $g, h$  in time for the second query.

- $\text{Ver}(k, m, \sigma)$ : First run  $\text{Ver}(k', m, \sigma')$ . If it accepts, also accept. Otherwise, write  $\sigma = (\sigma', u, w)$ . Check if  $w = g^\gamma h^\delta$ . If so, accept. Otherwise, reject.

**Theorem 8.4.** *Construction 8.3 is a secure one-time MAC in the AGM under the discrete log assumption, but insecure under any instantiation of the group.*

*Proof.* We start with standard-model insecurity. We query on the  $m$  which will be interpreted as the function  $H(\gamma, \delta) = g^\gamma h^\delta$ . In the resulting signature,  $u$  therefore gives  $g^\gamma h^\delta$ . It can then sign any message with the signature  $(*, *, u)$ .

For AGM security, consider an adversary  $A$  breaking security in the AGM. Let  $m^*$  be the message it forges, and  $\sigma^* = (\sigma', u^*, w^*)$  be the forgery. By the one-time security of  $(\text{Gen}', \text{MAC}', \text{Ver}')$ , we know that the only way for this signature to pass verification is for  $w^* = g^\gamma h^\delta$ . Since  $A$  is algebraic, and only previously received two group elements  $g, h$  ( $u$  was provided as bits), it must therefore explain  $w^*$  by producing  $\alpha, \beta$  such that  $w^* = g^\alpha h^\beta$ . There are two cases:

- $(\alpha, \beta) \neq (\gamma, \delta)$ . Then we can use the adversary to solve the discrete log of  $h$  relative to  $g$ , contradicting the assumed hardness of discrete logarithms.
- $(\alpha, \beta) = (\gamma, \delta)$ . But  $\gamma, \delta$  are random strings of total length  $2 \log_2 p$ , and the adversary only gets at most  $\log_2 p$  bits of information about them, namely the output of  $H$ . And yet the adversary is somehow able to recover all  $2 \log_2 p$  bits. This violates the incompressibility of random strings.  $\square$

## 8.4 Is the AGM Superior to Generic Groups?

Since the AGM requires TS games, it is in some ways inferior to the RR model. From now on, we will therefore compare to the TS model. We now argue, however, that even though the AGM is “between” the standard and TS models, this does not necessarily demonstrate the AGM to be advantageous to generic groups. We consider two possible perspectives in which to compare the models.

**Attack-oriented perspective.** From an “attack-oriented” perspective, the AGM captures a wider class of attacks than generic groups. In this sense, the model offers a clear advantage when applied to the multiplicative groups over finite fields. The best attacks on such groups are index calculus attacks, which are captured by the AGM but not generic groups.

However, we note that some groups are not susceptible to index calculus attacks. Concretely, elliptic curves without efficient pairings are not, and this is exactly the reason why these curves are often conjectured to have optimal 128-bit security with groups of size  $2^{256}$ . In fact, essentially the only known attacks on elliptic curves are either generic, rely on a pairing, or the contrived counter-examples to generic groups such as [Den02]. For these groups, there are no known algebraic-but-non-generic adversaries, so it is not obvious that the AGM captures a wider class of adversaries. Thus while not worse than the TS generic group model, it seems that the AGM does not offer significant advantages for pairing-free elliptic curves for this perspective either.

**Security prediction perspective.** Another perspective is that a model is about making predictions about security. For any game  $(p, \text{Ch})$  and group  $\mathbb{G}$ , the standard, algebraic, and TS models will each make a decision about whether the game is hard. The standard model is the ground truth,

but it may be infeasible to actually know if a game is secure or not in this model. The algebraic and TS models can be seen as predictions about this ground truth that are easier to reason about by giving more power to the prover, but they will have false-positives.

We now argue that existing work does not demonstrate any benefits of the AGM from this perspective. Concretely, looking at the AGM literature, we can break the known games into two cases:

- Those in which the AGM is trivially equivalent to the standard model. These are cases like public key encryption where the game does not ask for any group elements from the adversary and so the AGM imposes no restrictions over the standard model.
- Those in which the security holds in the AGM if and only if it also holds in the TS model. These include Construction 8.3 and all the positive results about the AGM, as well as trivially easy games in the TS model.

Thus, amongst known games, the AGM offers little predictive power for which games should be secure: in the first case we just stick with the standard model, and in the second case we can just stick with the TS model. So despite having fewer false positives, once we condition on the game, the known examples do not demonstrate any predictive advantages of the AGM over the existing models.

Note that this does not mean the AGM does not offer any predictive advantages, just that the current evidence does not support advantages from this perspective. We leave demonstrating such an advantage, or proving that one cannot exist, as an interesting question for future work.

**Remark 8.5.** Another claimed benefit of the AGM from [FKL18] is that it makes proving hardness results easier, *even in the generic group model*. The basis for the proofs being easier is that the proofs in the AGM are by reduction, and [FKL18] explicitly mention using generic reductions. As the underlying hard problem (typically discrete log or something similar) is unconditionally hard in the generic group model, a generic reduction from such a generically hard problem will also imply hardness in the generic group model, thus yielding an alternative approach to generic group security proofs. It does appear that this alternative approach can yield simpler proofs. However, this advantage is rather independent of the algebraic group model, and such simpler proofs could be obtained without considering the AGM at all.

## 8.5 Comparison to [KZZ22]

Here, we give a brief comparison to the concurrent and independent work of Katz, Zhang, and Zhou [KZZ22]. Katz et al. study the AGM and give three counterexamples, allowing them to reach two conclusions:

- Generic group model reductions cannot be used to prove security in the AGM, contrary to the suggestion of [FKL18] discussed in Remark 8.5.
- The RR/Shoup generic group model is incomparable to the AGM, similar to our conclusion.

As in our work, they point out that “depend” is never formalized in [FKL18], leaving the AGM ambiguous. Katz et al. do not attempt to give a formal definition. In fact, all three of their counterexamples crucially rely on games that do not compile in the TS/Maurer model, and would be excluded by our convention for the AGM. Indeed, their first example has an oracle that directly

returns a bit of the label, while their second example gives group elements where the exponents are derived from bits of the label of another element. Finally, their third example is a modification of Dent’s oracle [Den02], which as we already discussed does not work in the TS model.

We note that Katz et al. argue for allowing the adversary to learn a bit of a label during a query, despite [FKL18] insisting that non-group-elements produced by games should not “depend” on group elements. Katz et al.’s justification for this is that [FKL18] allow games where the adversary can make DDH-like queries, where the adversary submits group elements  $(u, v)$ , and learns a single bit indicated whether or not  $v = u^a$  for a secret  $a$  known to the game. As the result of such queries is a single bit that “depends” on the group element, Katz et al. conclude that queries returning single bits should be acceptable.

We point out that the bit returned in DDH queries, however, is quite different from the game using bits of the label. The result of a DDH query only depends on the exponents, and is independent of the label itself. Our convention of only allowing TS/Maurer games in fact separates these two types of games: the DDH-like queries above are allowed in the TS/Maurer model, since the game can test if  $v = u^a$  for an  $a$  it knows using just group operations and equality gates. Thus our convention offers a reasonable way to circumvent the Katz et al. counterexamples. This could be seen as additional motivation for our convention. On the other hand, we likewise show that the AGM and Shoup’s model are incomparable when following our convention, and our result would not apply if following the relaxed convention in their work. Regardless, it seems clear that the AGM and Shoup’s generic group models should be considered incomparable, regardless of convention.

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