

# Privacy-Preserving Authenticated Key Exchange in the Standard Model\*

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**Abstract.** Privacy-Preserving Authenticated Key Exchange (PPAKE) provides protection both for the session keys and the identity information of the involved parties. In this paper, we introduce the concept of robustness into PPAKE. Robustness enables each user to confirm whether itself is the target recipient of the first round message in the protocol. With the help of robustness, a PPAKE protocol can successfully avoid the heavy redundant communications and computations caused by the ambiguity of communicants in the existing PPAKE, especially in broadcast channels.

We propose a generic construction of robust PPAKE from key encapsulation mechanism (KEM), digital signature (SIG), message authentication code (MAC), pseudo-random generator (PRG) and symmetric encryption (SE). By instantiating KEM, MAC, PRG from the DDH assumption and SIG from the CDH assumption, we obtain a specific robust PPAKE scheme in the standard model, which enjoys forward security for session keys, explicit authentication and forward privacy for user identities. Thanks to the robustness of our PPAKE, the number of broadcast messages per run and the computational complexity per user are constant, and in particular, independent of the number of users in the system.

**Keywords:** Authenticated key exchange · Privacy · Robustness.

## 1 Introduction

Authenticated Key Exchange (AKE) enables two parties to authenticate each other and compute a shared session key. It has been widely deployed over Internet, like IPsec IKE (Internet Key Exchange), TLS, Tor, Google’s QUIC protocol, etc. Generally, AKE focuses on the protection of session keys between two parties against adversaries implementing both passive and active attacks. As

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a well-studied topic, a variety of AKE schemes have been proposed, but little attention was paid to privacy of user identities in AKE. The research on Privacy-Preserving AKE (PPAKE) was ignited by the chasing of privacy protection. For instance, SKEME [15], TLS 1.3 [3], Tor [9] and private airdrop [13] all take user privacy as one of important design principles. Recently two proposals for PPAKE arise [20,19], aiming to provide protection for user identity besides their session keys. Next we overview the recent two works, namely SSL-PPAKE [20] and RSW-PPAKE [19].

**SSL-PPAKE.** In [20], Schäge, Schwenk, and Lauer (SSL) isolated a generic PPAKE construction from TLS 1.3, QUIC, IPsec IKE, SSH and certain patterns of NOISE to achieve user identity protection. We name it SSL-PPAKE.

SSL-PPAKE [20] has 4 rounds. In the first two rounds,  $P_i$  and  $P_j$  run a basic Diffie-Hellman (DH) handshake to obtain a shared DH key  $K = g^{xy}$ . In the last two rounds,  $P_i$  and  $P_j$  use the shared DH key  $K = g^{xy}$  to protect protocol messages that contain identity-related data such as identities, public keys or digital signatures. As pointed out in [20], due to the lack of authenticity in the first two rounds, the SSL-PPAKE suffers a weakness on preserving the privacy of initiator's identity. More precisely, let us consider a broadcast channel with  $\mu$  users as an example. First we identify three facts about SSL-PPAKE.

**Fact 1.** In the 1st round, to protect the identity of its intended target recipient  $P_j$ , initiator  $P_i$  has to broadcast  $g^x$  in the system. As a result, every user is able to receive  $g^x$ .

**Fact 2.** In the 2nd round, every user  $P_{j_k}$  has to respond to  $P_i$  by broadcasting  $g^{y_{j_k}}$ , here  $j_k \in [\mu] \setminus \{i\}$ , since  $P_{j_k}$  is uncertain about the intended recipient.

**Fact 3.** In the 3rd round,  $P_i$  receives all the messages  $\{g^{y_{j_k}}\}_{j_k \in [\mu] \setminus \{i\}}$ , but it is not able to identify the right message sent from the intended party  $P_j$  and has to compute all DH keys  $\{K_{i,j_k} = g^{xy_{j_k}}\}_{j_k \in [\mu] \setminus \{i\}}$ . Consequently,  $P_i$  has to encrypt the message in the third round with each  $K_{i,j_k}$  individually to obtain  $\mu - 1$  ciphertext  $C_{j_k} = \text{SE.Enc}(K_{i,j_k}, i|pk_i|auth_i)$  and broadcast the  $\mu - 1$  ciphertexts to all users. Here  $\text{SE.Enc}$  denotes a symmetric encryption algorithm, and  $auth_i$  denotes the authentication part of the protocol.

Now let us see how an adversary reveals the identity of the initiator. After receiving  $g^x$  from  $P_i$ , the adversary can simply select  $\tilde{y}$  and send  $g^{\tilde{y}}$  to  $P_i$ . According to the facts,  $P_i$  will broadcast  $\tilde{C} = \text{SE.Enc}(\tilde{K} = g^{x\tilde{y}}, i|pk_i|auth_i)$  in the 3rd round. Then the adversary can compute  $\tilde{K} = (g^x)^{\tilde{y}}$  and easily decrypt  $\tilde{C}$  with  $\tilde{K}$  to obtain the identity information  $i|pk_i$ .

**RSW-PPAKE.** To deal with the active attacks on the SSL-PPAKE scheme, Ramacher, Slamanig and Weninger (RSW) [19] proposed three solutions in the Random Oracle model.<sup>5</sup> The first one has 3 rounds and assumes pre-shared key between every pair of users. It resorts to the pre-shared key to accomplish authentication. The third one converts an AKE to a PPAKE by encrypting every

<sup>5</sup> No security proofs are provided for the three schemes in [19] and its full-version is still not available.

message of AKE with communication peer's public key. However, it does not achieve forward privacy for user identities. If any user's secret key is corrupted, the adversary can break forward privacy by decrypting the ciphertexts in the previous runs to reveal the used identities. The second solution has 4 rounds and does not possess forward privacy when the responder is corrupted. Here we recall the second scheme and show the weakness on its forward privacy.

- In the first two rounds, similar to SSL-PPAKE, a Diffie-Hellman handshake is implemented to share key  $K = g^{xy}$  between  $P_i$  and  $P_j$ . Meanwhile,  $P_i$  has to handshake with every  $P_{j_k}$  and share  $K_{i,j_k} = g^{xy_{j_k}}$  with  $P_{j_k}$ ,  $j_k \in [\mu] \setminus \{i\}$ .
- In the 3rd round,  $P_i$  uses  $P_j$ 's public key  $pk_j$  to encrypt a random string  $r$  and obtains  $C = \text{PKE.Enc}(pk_j, r)$ , where  $\text{PKE.Enc}$  denotes a public-key encryption algorithm. Then it uses  $K$  to encrypt  $C$  to obtain a  $c_0 = \text{SE.Enc}(K, C)$ .  $P_i$  signs  $i|j|c_0|g^x|g^y$  to get the signature  $\sigma_i$  and encrypts its certificate  $\text{cert}_i$  and  $\sigma_i$  with a derived key  $K' = H(K, r, g^x, g^y)$ , resulting in  $c_1 = \text{SE.Enc}(K', \text{cert}_i|\sigma_i)$ . In the real scenario,  $P_i$  cannot identify the right  $K$  from  $\{K_{i,j_k}\}_{j_k \in [\mu] \setminus \{i\}}$ , thus has to use each  $K_{i,j_k}$  to obtain  $(c_{0,j_k}, c_{1,j_k})$ . Finally,  $P_i$  broadcasts  $\{(c_{0,j_k}, c_{1,j_k})\}_{j_k \in [\mu] \setminus \{i\}}$  to all users.
- In the 4th round, each user  $j_k$  decrypts every pair in  $\{(c_{0,j_k}, c_{1,j_k})\}_{j_k \in [\mu] \setminus \{i\}}$  with its Diffie-Hellman key  $K_{i,j_k} = g^{xy_{j_k}}$ , trying to recover  $\text{cert}_i|\sigma_i$ . Only the right responder  $P_j$  can certify the validity of  $\text{cert}_i|\sigma_i$  and recover  $r$ . After that,  $P_j$  knows its partner is  $P_i$ . Then  $P_j$  broadcasts the hash value  $h := H(r, i|j|g^x|g^y|c_0|c_1)$  to  $P_i$ .
- Finally,  $P_i$  checks if  $h = H(r, i|j|g^x|g^y|c_0|c_1)$  holds (to authenticate  $P_j$ ).

The attack is similar to that on SSL-PPAKE but here on forward privacy of RSW-PPAKE. After receiving  $g^x$  from  $P_i$ , the adversary  $\mathcal{A}$  can simply select  $\tilde{y}$  and send  $g^{\tilde{y}}$  to  $P_i$ . Then  $\mathcal{A}$  also shares a key  $\tilde{K} = g^{x\tilde{y}}$  with  $P_i$ . In the second phase, there must exist  $(\tilde{c}_0, \tilde{c}_1) \in \{(c_{0,j_k}, c_{1,j_k})\}_{j_k \in [\mu] \setminus \{i\}}$  such that  $(\tilde{c}_0, \tilde{c}_1)$  is computed with  $\tilde{K}$ . So  $\mathcal{A}$  can always recover  $C = \text{SE.Dec}(\tilde{K}, \tilde{c}_0)$ . Later  $\mathcal{A}$  corrupts  $P_j$  and obtains  $sk_j$ . Then  $\mathcal{A}$  decrypts  $C$  with  $sk_j$  to recover  $r = \text{PKE.Dec}(sk_j, C)$ . Finally  $\mathcal{A}$  can identify  $P_i, P_j$  by finding  $i, j, c_{0,j}, c_{1,j}$  such that  $h = H(r, i|j|g^x|g^y|c_{0,j}|c_{1,j})$ .

**Our Approach to PPAKE.** From the above analysis, we know that the SSL-PPAKE provides no protection for the initiator's identity, and the RSW-PPAKE loses forward privacy for identities of both the initiator and the responder when the responder is corrupted.

The reason for the attacks lies in the facts that each user replies the initiator and the initiator cannot identify the message sent from the intended peer in the 2nd round. Thus the initiator has to reply messages to each individual user in the third round. This leaks too much information, of which the adversary can take advantage to break privacy of PPAKE, as shown before.

At the same time, these facts also lead to another drawback: the communication band of the protocol is as large as  $O(\mu)$  and each user's computational complexity is as high as  $O(\mu)$ , since each user has to compute or deal with  $\mu - 1$  messages in the 3rd round. Here  $\mu$  is the number of users in the system.

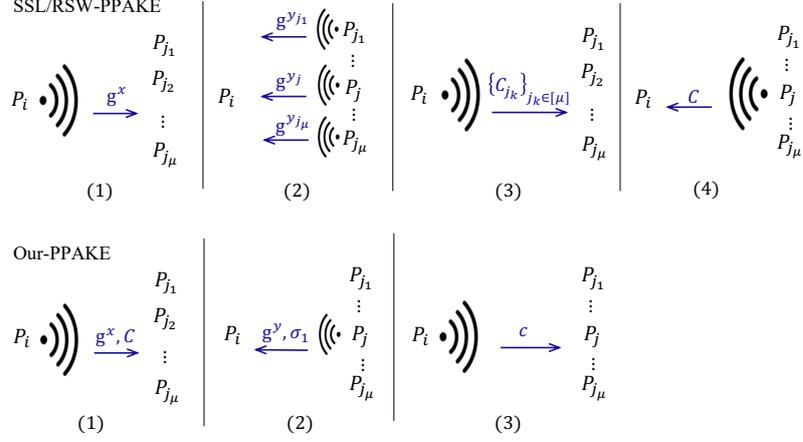


Fig. 1: The upper part is the information flows of rounds (1)(2)(3)(4) in SSL-PPAKE and RSW-PPAKE [20,19]. The lower part is the information flows of rounds (1)(2)(3) in our robust PPAKE. Here the parties communicate over a broadcast channel.

In this paper, we study how to avoid the above attacking problems and improve efficiency of PPAKE. Our idea in a nutshell is to make PPAKE robust.

*Robustness of PPAKE.* We introduce the concept of robustness. It requires that only one party  $P_j$  is able to ascertain that the message in the 1st round is for him/her, hence correctly reply a message in the 2nd round.

Our robust PPAKE makes use of a key encapsulation mechanism KEM, a signature scheme SIG, a message authentication code MAC, a pseudo-random generator PRG and a symmetric encryption SE. The public/secret key pair  $(pk, sk)$  of KEM and the verification/signing key  $(vk, ssk)$  of SIG serve as the long-term key of a user. Our PPAKE has 3 rounds and is shown below.

**Round 1** ( $P_i \Rightarrow P_j$ ):  $P_i$  broadcasts  $g^x$  and a ciphertext  $C$  to  $P_j$ , where  $(C, N) \leftarrow \text{KEM.Encap}(pk_j)$  with  $N$  the key encapsulated in  $C$ .

**Round 2** ( $P_i \leftarrow P_j$ ):  $P_j$  decrypts  $C$  with its secret key  $sk_j$  to recover  $N$ , then it uses  $N$  as the MAC key to compute a MAC tag  $\sigma_1 = \text{MAC}(N, g^x|C)$ .  $P_j$  broadcasts  $(g^y, \sigma_1)$ . We require that when decrypting  $C$ , only  $P_j$  succeeds and all other parties will get a special failure symbol  $\perp$ , which is guaranteed by the robustness of KEM (see more details later). Consequently, only  $P_j$  responds in this round, and all other parties (except  $P_i$  and  $P_j$ ) will terminate the protocol in time.

**Round 3** ( $P_i \Rightarrow P_j$ ):  $P_i$  checks the validity of  $\sigma_1$  and computes the Diffie-Hellman key  $K = g^{xy}$ . Furthermore, it derives a session key  $k$  and a symmetric key  $k'$  from  $K$  via  $(k, k') \leftarrow \text{PRG}(K)$ . It signs the message  $g^x|C|\sigma_1|g^y$  to get the signature  $\sigma_2$ . Then it uses  $k'$  to encrypt its identity  $i$  and  $\sigma_2$  to obtain  $c \leftarrow \text{SE.Enc}(k', i|\sigma_2)$ .  $P_i$  broadcasts  $c$ .

Similarly,  $P_j$  can obtain  $(k, k')$  from  $K$  and decrypt  $c$  to get  $i|\sigma_2$ . By checking the validity of  $\sigma_2$  with  $P_i$ 's verification key  $vk_i$ ,  $P_j$  ascertains its partner's identity  $i$  and accepts  $k$  as the session key.

We refer to Fig. 7 in Section 4 for the details of our PPAKE construction. Below is a high-level analysis of our PPAKE.

- Robustness. For the robustness of PPAKE, we require that the underlying KEM is robust in such a sense: if  $C$  is generated with  $pk_j$ , then decrypting  $C$  with any other secret key  $sk_{j_k}$  will result in a decryption failure.
- Explicit mutual authentication. The authenticity of  $P_j$  is guaranteed by KEM and MAC, and the authenticity of  $P_i$  is guaranteed by SIG. Hence our PPAKE has explicit mutual authentication.
- Forward security for session keys. After excluding active attacks by authenticity,  $K = g^{xy}$  is pseudo-random by the DDH assumption. Hence, the session key  $k$ , as output of PRG, is pseudo-random as well. Thanks to the ephemeral randomness of  $x$  and  $y$ , session keys have forward security.
- Privacy for user identities. The privacy for user identities relies on KEM and SE. We require that  $C$  does not leak information about  $pk_j$  computationally, and this is formalized by IK-CCA security. As a function output of  $C$ ,  $\sigma_1$  does not leak any information either. Meanwhile,  $g^x$  and  $g^y$  are randomly chosen and independent of  $i$  and  $j$ . Moreover, ciphertext  $c$  protects  $i$  and  $P_i$ 's signature  $\sigma_2$ . Therefore, identity information  $i, j$  is well-protected.
- Forward privacy for user identities. The forward privacy holds if the initiator  $P_i$  is corrupted by  $\mathcal{A}$ , since the knowledge of the signing key  $ssk_i$  does not help  $\mathcal{A}$  to learn user's identity in previous runs of PPAKE (recall that the user privacy is guaranteed by KEM and SE). On the other hand, if the responder  $P_j$  is corrupted by  $\mathcal{A}$ , because of the robustness, the knowledge of  $sk_j$  can help  $\mathcal{A}$  to identify  $j$  as long as decrypting  $C$  in the previous runs of PPAKE does not result in decryption failure. This suggests that the disclosure of responder's identity  $j$  is unavoidable due to the robustness of our PPAKE in the case of responder corruption. However, the initiator's identity  $i$  is still well-protected. Therefore, our PPAKE achieves semi-forward privacy when the responder  $P_j$  is corrupted and full forward privacy when the initiator  $P_i$  is corrupted.
- Constant communication and computational complexity. Thanks to the robustness of our PPAKE, the number of broadcast messages per run and the computational complexity per user are constant in our PPAKE, while those in the SSL-PPAKE and RSW-PPAKE schemes are linear to the number  $\mu$  of users.

**Our contribution.** We summarize our contribution in this paper. We introduce the concept of robustness into PPAKE, and present a formalized security model for robust PPAKE. In the security model, we consider adversary's passive attacks, active attacks, corruptions of users' long-term keys, and revealing of session keys. Based on the security model, we define user authenticity, forward security for session keys, and forward privacy for user identities.

We propose a generic construction of 3-round robust PPAKE from KEM, SIG, MAC, PRG and SE. By instantiating KEM, MAC, PRG from the DDH assumption and SIG from the CDH assumption (together with a one-time pad SE), we obtain a specific PPAKE scheme in the standard model.

- Our PPAKE scheme enjoys explicit mutual authentication, forward security for session keys and forward privacy for user identities, and resists those attacks on SSL-PPAKE and RSW-PPAKE.
- Our PPAKE scheme is efficient in the sense that both the communication complexity of the protocol and the computational complexity per user is independent of the number of users, thanks to its robustness.

The comparison of our scheme with other PPAKE schemes is shown in Table 1.

Table 1: Comparison among the PPAKE schemes, where  $\mu$  refers to the number of users. **Comm** denotes the communication complexity of the protocols in terms of the number of group elements. **Comp** denotes the computational complexity per user, where  $O(\mu)$  means that **Comp** is linear to  $\mu$  and  $O(1)$  means that **Comp** is independent of  $\mu$ . “#” denotes the number of rounds in the protocol. **Forward Security** is for session keys, where “weak” prevents adversary from modifying the messages sent by the two parties. **Privacy** denotes the privacy of user identity in case of no user corruption. **Forward Privacy** denotes the forward privacy of user identity. **CrpI** denotes forward privacy when initiator is corrupted. **CrpR** denotes forward privacy when responder is corrupted. **I (R)** checks whether the privacy of initiator’s (responder’s) identity is preserved. **Mutual Auth** denotes whether the PPAKE scheme achieves mutual authentication. **Std** denotes whether the security of PPAKE is proved in the standard model.

PPAKE schemes	Comm	Comp	#	Forward Security	Privacy		Forward Privacy				Mutual Auth	Std
					I	R	CrpI		CrpR			
							I	R	I	R		
IY[14]	6	$O(1)$	2	weak	✓	×	✓	×	✓	×	×	✓
SKEME[15]	16	$O(1)$	3	✓	✓	✓	×	×	×	×	✓	×
SSL[20]	$5\mu$	$O(\mu)$	4	✓	×	✓	×	✓	×	✓	✓	✓
RSW[19]	$7\mu - 5$	$O(\mu)$	4	✓	✓	✓	✓	✓	×	×	✓	×
Ours	12	$O(1)$	3	✓	✓	✓	✓	✓	✓	×	✓	✓

**On Modeling (Forward) Privacy in PPAKE.** Our PPAKE works not only for broadcast channel, but also for any public channel, as long as the identifiers like IP or MAC addresses leak no identity information (as considered in [20] and [19]). In these channels, after receiving a message from an initiator, every user may give a response when not aware whether itself is the target recipient.

Some of previous works [21,16,1,2] consider the settings of pre-shared symmetric long-term keys (or passwords) among each pair of users. In this setting, it

is easy to achieve authentication, but the assumption is too strong. Most recent work [14] considered a special client-server setting, where client has no long-term key. In this case, the client can be perfectly anonymous but authentication for client is lost.

Our security model, like the security models of SSL-PPAKE [20] and RSW-PPAKE [19], considers that many parties communicate over a public channel. However, We consider a more comprehensive scenario than [20] [19].

Recall that [20] [19] consider the scenario in which the sender and responder in PPAKE are agent servers, and behind each server sits many users. The adversary implements passive and active attacks over the channel between the sender (agent server) and receiver (agent server) but has no access to the channel between the agent server and the end users. The privacy for user identity in [20] [19] essentially said that the adversary cannot tell which user the agent server is delegating during the communications. In our paper, we are considering intact end-to-end user communications rather than limited communications between agent servers. For the sake of privacy protection, messages must not contain user identity explicitly, hence have to be broadcasted to all end users. Each end user may respond the message even if she/he is not the target recipient. Consequently, the initiator may have to deal with a pile of messages from different recipients. Covering end-to-end user communications must consider adversary accessing the channel connecting the end users. Hence, our security model allows adversary's eavesdropping, message insertion/modification/deletion over the broadcast channel which connects end-users. Moreover, as pointed out in [20], their security model only guarantees the privacy of user identities in accepted sessions. Our model also protects user privacy for incomplete sessions and failed sessions.

We stress that our model protects the forward privacy of user identities as much as possible while achieving robustness. To achieve robustness, the first message must be tied to the responder's long term secret key. Once the responder is corrupted, the adversary can identify whether the responder has received messages (but may still do not know the identity of the initiator). Hence, the forward privacy for responder when itself is corrupted is mutually exclusive with the robustness of PPAKE. Consequently, the best forward privacy for robust PPAKE to achieve is semi-forward privacy when the responder is corrupted and full forward privacy when the initiator is corrupted. As shown in Table 1, our PPAKE scheme achieves the best forward privacy as a robust PPAKE, and provides 3 out of 4 kinds of forward privacy, which is the most compared with other PPAKE schemes.

## 2 Preliminary

Let  $\emptyset$  denote an empty string. If  $x$  is defined by  $y$  or the value of  $y$  is assigned to  $x$ , we write  $x := y$ . For  $\mu \in \mathbb{N}$ , define  $[\mu] := \{1, 2, \dots, \mu\}$ . Denote by  $x \leftarrow_s \mathcal{X}$  the procedure of sampling  $x$  from set  $\mathcal{X}$  uniformly at random. Let  $|\mathcal{X}|$  denote the number of elements in  $\mathcal{X}$ . All our algorithms are probabilistic unless states

otherwise. We use  $y \leftarrow \mathcal{A}(x)$  to define the random variable  $y$  obtained by executing algorithm  $\mathcal{A}$  on input  $x$ . We use  $y \in \mathcal{A}(x)$  to indicate that  $y$  lies in the support of  $\mathcal{A}(x)$ . We also use  $y \leftarrow \mathcal{A}(x; r)$  to make explicit the random coins  $r$  used in the probabilistic computation. If  $X$  and  $Y$  have identical distribution, we simply denote it by  $X \equiv Y$ .

## 2.1 Key Encapsulation Mechanism

**Definition 1 (KEM).** A key encapsulation mechanism (KEM) scheme  $\text{KEM} = (\text{KEM.Setup}, \text{KEM.Gen}, \text{Encap}, \text{Decap})$  consists of four algorithms:

- $\text{KEM.Setup}$  : The setup algorithm outputs public parameters  $\text{pp}_{\text{KEM}}$ , which determines an encapsulation key space  $\mathcal{K}$ , a public key space  $\mathcal{PK}$ , a secret key space  $\mathcal{SK}$ , and a ciphertext space  $\mathcal{CT}$ .
- $\text{KEM.Gen}$  : Taking  $\text{pp}_{\text{KEM}}$  as input, the key generation algorithm outputs a pair of public key and secret key  $(pk, sk) \in \mathcal{PK} \times \mathcal{SK}$ .
- $\text{Encap}(pk)$  : Taking  $pk$  as input, the encapsulation algorithm outputs a pair of ciphertext  $C \in \mathcal{CT}$  and encapsulated key  $K \in \mathcal{K}$ .
- $\text{Decap}(sk, C)$  : Taking as input  $sk$  and  $C$ , the deterministic decapsulation algorithm outputs  $K \in \mathcal{K} \cup \{\perp\}$ .

The correctness of KEM requires that for all  $\text{pp}_{\text{KEM}} \in \text{KEM.Setup}$ ,  $(pk, sk) \in \text{KEM.Gen}(\text{pp}_{\text{KEM}})$ , and  $(C, K) \in \text{Encap}(pk)$ , it holds that  $\text{Decap}(sk, C) = K$ .

We recall the IND-CPA and IND-CCA security of KEM.

**Definition 2 (IND-CPA/IND-CCA Security for KEM).** For a key encapsulation mechanism KEM, the advantage functions of an adversary  $\mathcal{A}$  are defined by  $\text{Adv}_{\text{KEM}}^{\text{CPA}}(\mathcal{A}) := \left| \Pr \left[ \text{Exp}_{\text{KEM}, \mathcal{A}}^{\text{CPA-0}} \Rightarrow 1 \right] - \Pr \left[ \text{Exp}_{\text{KEM}, \mathcal{A}}^{\text{CPA-1}} \Rightarrow 1 \right] \right|$  and  $\text{Adv}_{\text{KEM}}^{\text{CCA}}(\mathcal{A}) := \left| \Pr \left[ \text{Exp}_{\text{KEM}, \mathcal{A}}^{\text{CCA-0}} \Rightarrow 1 \right] - \Pr \left[ \text{Exp}_{\text{KEM}, \mathcal{A}}^{\text{CCA-1}} \Rightarrow 1 \right] \right|$ , where the experiments  $\text{Exp}_{\text{KEM}, \mathcal{A}}^{\text{CPA-b}}$ ,  $\text{Exp}_{\text{KEM}, \mathcal{A}}^{\text{CCA-b}}$  for  $b \in \{0, 1\}$  are defined in Figure 2. The IND-CPA/IND-CCA security for KEM requires  $\text{Adv}_{\text{KEM}}^{\text{CPA}}(\mathcal{A})/\text{Adv}_{\text{KEM}}^{\text{CCA}}(\mathcal{A}) = \text{negl}(\lambda)$  for all PPT  $\mathcal{A}$ .

$\text{Exp}_{\text{KEM}, \mathcal{A}}^{\text{CPA-b}}, \text{Exp}_{\text{KEM}, \mathcal{A}}^{\text{CCA-b}} :$ $\text{pp}_{\text{KEM}} \leftarrow \text{KEM.Setup}; (pk, sk) \leftarrow \text{KEM.Gen}(\text{pp}_{\text{KEM}})$ $(C^*, K_0^*) \leftarrow \text{Encap}(pk); K_1^* \leftarrow \mathcal{K}$ $b' \leftarrow \mathcal{A}^{\mathcal{O}_{\text{Dec}}(\cdot)}(pk, C^*, K_b^*)$ Return $b'$	$\mathcal{O}_{\text{Dec}}(C):$ If $C = C^*$ : Return $\perp$ $K \leftarrow \text{Decap}(sk, C)$ Return $K$
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Fig. 2: The IND-CPA security experiment  $\text{Exp}_{\text{KEM}, \mathcal{A}}^{\text{CPA-b}}$  and the IND-CCA security experiment  $\text{Exp}_{\text{KEM}, \mathcal{A}}^{\text{CCA-b}}$  of KEM, where in the latter the adversary can query the decapsulation oracle  $\mathcal{O}_{\text{Dec}}(\cdot)$ .

$\text{Exp}_{\text{KEM}, \mathcal{A}}^{\text{IK-CCA-b}}:$ $\text{pp}_{\text{KEM}} \leftarrow \text{KEM.Setup}$ $(pk_0, sk_0) \leftarrow \text{KEM.Gen}(\text{pp}_{\text{KEM}})$ $(pk_1, sk_1) \leftarrow \text{KEM.Gen}(\text{pp}_{\text{KEM}})$ $(C^*, K^*) \leftarrow \text{Encap}(pk_b)$ $b^* \leftarrow \mathcal{A}^{\mathcal{O}_{sk_0}(\cdot), \mathcal{O}_{sk_1}(\cdot)}(pk_0, pk_1, C^*, K^*)$ $\text{Return } b^*$	$\mathcal{O}_{sk_0}(C):$ $\text{If } C = C^*: \text{Return } \perp$ $K \leftarrow \text{Decap}(sk_0, C)$ $\text{Return } K$ $\mathcal{O}_{sk_1}(C):$ $\text{If } C = C^*: \text{Return } \perp$ $K \leftarrow \text{Decap}(sk_1, C)$ $\text{Return } K$
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Fig. 3: The IK-CCA security experiment  $\text{Exp}_{\text{KEM}, \mathcal{A}}^{\text{IK-CCA-b}}$ .

We recall the security notion indistinguishability of keys under chosen-ciphertext attack (IK-CCA Security) formalized by Bellare *et al.* in [5].

**Definition 3 (IK-CCA Security for KEM).** For a key encapsulation mechanism KEM, the advantage function of an adversary  $\mathcal{A}$  is defined with  $\text{Adv}_{\text{KEM}}^{\text{IK-CCA}}(\mathcal{A}) := \left| \Pr \left[ \text{Exp}_{\text{KEM}, \mathcal{A}}^{\text{IK-CCA-0}} \Rightarrow 1 \right] - \Pr \left[ \text{Exp}_{\text{KEM}, \mathcal{A}}^{\text{IK-CCA-1}} \Rightarrow 1 \right] \right|$ , where the experiment  $\text{Exp}_{\text{KEM}, \mathcal{A}}^{\text{IK-CCA-b}}$  for  $b \in \{0, 1\}$  is defined in Figure 3. The IK-CCA security for KEM requires that  $\text{Adv}_{\text{KEM}}^{\text{IK-CCA}}(\mathcal{A}) = \text{negl}(\lambda)$  for all PPT  $\mathcal{A}$ .

Next we introduce the robustness and encapsulated key uniformity of KEM.

**Definition 4 (Robustness of KEM).** A key encapsulation mechanism KEM has robustness if for all  $\text{pp}_{\text{KEM}} \in \text{KEM.Setup}(1^\lambda)$ , it holds that

$$\Pr \left[ \begin{array}{l} (pk_1, sk_1) \leftarrow \text{KEM.Gen}(\text{pp}_{\text{KEM}}); \\ (pk_2, sk_2) \leftarrow \text{KEM.Gen}(\text{pp}_{\text{KEM}}); C_1 \leftarrow \text{Encap}(pk_1) : \text{Decap}(sk_2, C_1) \neq \perp \end{array} \right] = \text{negl}(\lambda).$$

**Definition 5 (Encapsulated Key Uniformity of KEM).** A key encapsulation mechanism KEM has encapsulated key uniformity if for all  $\text{pp}_{\text{KEM}} \in \text{KEM.Setup}(1^\lambda)$ , it holds that

–  $\forall r \in \mathcal{R}$ , it holds that

$$\{K|r' \leftarrow_s \mathcal{R}', (pk, sk) \leftarrow \text{KEM.Gen}(\text{pp}_{\text{KEM}}; r'), (C, K) \leftarrow \text{Encap}(pk; r)\} \equiv \{K|K \leftarrow_s \mathcal{K}\},$$

–  $\forall (pk, sk) \in \text{KEM.Gen}(\text{pp}_{\text{KEM}})$ , it holds that

$$\{K|r \leftarrow_s \mathcal{R}, (C, K) \leftarrow \text{Encap}(pk; r)\} \equiv \{K|K \leftarrow_s \mathcal{K}\},$$

where  $\mathcal{R}, \mathcal{R}'$  are the randomness spaces involved in Encap and Gen respectively.

**Definition 6 ( $\gamma$ -PK-Diversity of KEM).** A key encapsulation mechanism KEM has  $\gamma$ -pk-diversity if for all  $\text{pp}_{\text{KEM}} \in \text{Setup}(1^\lambda)$ , it holds that

$$\Pr \left[ \begin{array}{l} r \leftarrow_s \mathcal{R}; (pk, sk) \leftarrow_s \text{KEM.Gen}(\text{pp}_{\text{KEM}}; r); \\ r' \leftarrow_s \mathcal{R}; (pk', sk') \leftarrow_s \text{KEM.Gen}(\text{pp}_{\text{KEM}}; r') : pk = pk' \end{array} \right] = 2^{-\gamma},$$

where  $\mathcal{R}$  is the randomness space involved in KEM.Gen algorithm.

$\text{Exp}_{\text{SIG}}^{\text{sEUF-CMA}}:$ $\text{pp}_{\text{SIG}} \leftarrow \text{SIG.Setup}(1^\lambda); (vk, ssk) \leftarrow \text{SIG.Gen}(\text{pp}_{\text{SIG}})$ $\text{List} := \emptyset \quad // \text{Record messages from signing queries}$ $(m^*, \sigma^*) \leftarrow \mathcal{A}^{\mathcal{O}_{\text{SIGN}(\cdot)}}(\text{pp}_{\text{SIG}}, vk)$ $\text{If } ((m^*, \sigma^*) \notin \text{List}) \wedge (\text{Ver}(vk, m^*, \sigma^*) = 1): \text{Return } 1$ $\text{Else: Return } 0$	$\mathcal{O}_{\text{SIGN}(m)}:$ $\sigma \leftarrow \text{Sign}(ssk, m)$ $\text{List} := \text{List} \cup \{(m, \sigma)\}$ $\text{Return } \sigma$
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Fig. 4: The sEUF-CMA security experiment  $\text{Exp}_{\text{SIG}}^{\text{sEUF-CMA}}$  for SIG.

## 2.2 Digital Signature

**Definition 7 (SIG).** A signature scheme  $\text{SIG} = (\text{SIG.Setup}, \text{SIG.Gen}, \text{Sign}, \text{Ver})$  is defined by the following four algorithms.

- $\text{SIG.Setup}$  : The setup algorithms outputs a public parameter  $\text{pp}_{\text{SIG}}$ , which defines a message space  $\mathcal{M}$ , a signature space  $\Sigma$ , a verification key space  $\mathcal{VK}$  and a signing key space  $\mathcal{SK}$ .
- $\text{SIG.Gen}(\text{pp}_{\text{SIG}})$  : The key generation algorithm takes as input  $\text{pp}_{\text{SIG}}$  and outputs a pair of verification key and signing key  $(vk, ssk) \in \mathcal{VK} \times \mathcal{SK}$ .
- $\text{Sign}(ssk, m)$  : Taking as input a signing key  $ssk$  and a message  $m \in \mathcal{M}$ , the signing algorithm outputs a signature  $\sigma \in \Sigma$ .
- $\text{Ver}(vk, m, \sigma)$  : Taking as input a verification key  $vk$ , a message  $m$  and a signature  $\sigma$ , the deterministic verification algorithm outputs a bit indicating whether  $\sigma$  is a valid signature for  $m$  w.r.t.  $vk$ .

The correctness of SIG requires that for all  $\text{pp}_{\text{SIG}} \in \text{SIG.Setup}$  and  $(vk, ssk) \in \text{SIG.Gen}(\text{pp}_{\text{SIG}})$ , it holds that  $\text{Ver}(vk, m, \text{Sign}(ssk, m)) = 1$ .

Below we present the security notion of strongly existential unforgeability (sEUF-CMA) for SIG.

**Definition 8 (sEUF-CMA security for SIG).** For a signature scheme SIG, the advantage function of an adversary  $\mathcal{A}$  is defined by  $\text{Adv}_{\text{SIG}}^{\text{sEUF-CMA}}(\mathcal{A}) := \Pr \left[ \text{Exp}_{\text{SIG}}^{\text{sEUF-CMA}} \Rightarrow 1 \right]$ , where  $\text{Exp}_{\text{SIG}}^{\text{sEUF-CMA}}$  is defined in Figure 4. The sEUF-CMA security for SIG requires that  $\text{Adv}_{\text{SIG}}^{\text{sEUF-CMA}}(\mathcal{A}) = \text{negl}(\lambda)$  for all PPT  $\mathcal{A}$ .

## 2.3 Message Authentication Code

**Definition 9 (MAC).** A message authentication code (MAC) scheme  $\text{MAC} = (\text{MAC.Tag}, \text{MAC.Ver})$  is associated with a key sapce  $\mathcal{K}$ , a message space  $\mathcal{M}$  and a tag space  $\Sigma$ . It is defined by the following two algorithms.

- $\text{MAC.Tag}(k, m)$  : Taking as input a key  $k \in \mathcal{K}$  and a message  $m \in \mathcal{M}$ , the tagging algorithm outputs a tag  $\sigma \in \Sigma$ .

- $\text{MAC.Ver}(k, m, \sigma)$  : Taking as input a key  $k \in \mathcal{K}$ , a message  $m$  and a tag  $\sigma$ , the deterministic verification algorithm outputs a bit indicating whether  $\sigma$  is a valid tag for  $m$  w.r.t. key  $k$ .

The correctness of MAC requires that for all  $k \in \mathcal{K}$  and all  $m \in \mathcal{M}$ , it holds that  $\text{MAC.Ver}(k, m, \text{MAC.Tag}(k, m)) = 1$ .

Below we recall the security notion of strongly existential unforgeability (sEUF-CMA) for MAC.

**Definition 10 (sEUF-CMA security for MAC).** For a message authentication code scheme MAC, the advantage function of an adversary  $\mathcal{A}$  is defined by  $\text{Adv}_{\text{MAC}}^{\text{sEUF-CMA}}(\mathcal{A}) := \Pr \left[ \text{Exp}_{\text{MAC}}^{\text{sEUF-CMA}} \Rightarrow 1 \right]$ , where  $\text{Exp}_{\text{MAC}}^{\text{sEUF-CMA}}$  is defined in Figure 5. The sEUF-CMA security of MAC requires that  $\text{Adv}_{\text{MAC}}^{\text{sEUF-CMA}}(\mathcal{A}) = \text{negl}(\lambda)$  for all PPT  $\mathcal{A}$ .

$\text{Exp}_{\text{MAC}}^{\text{sEUF-CMA}}:$ $k \leftarrow_{\mathcal{S}} \mathcal{K}$ $\text{List} := \emptyset \quad // \text{Record messages from tagging queries}$ $(m^*, \sigma^*) \leftarrow \mathcal{A}^{\mathcal{O}_{\text{TAG}}(\cdot), \mathcal{O}_{\text{VERIFY}}(\cdot, \cdot)}$ $\text{If } ((m^*, \sigma^*) \notin \text{List}) \wedge (\text{MAC.Ver}(k, m^*, \sigma^*) = 1): \text{Return } 1$ $\text{Else: Return } 0$	$\mathcal{O}_{\text{TAG}}(m):$ $\sigma \leftarrow \text{MAC.Tag}(k, m)$ $\text{List} := \text{List} \cup \{m, \sigma\}$ $\text{Return } \sigma$ $\mathcal{O}_{\text{VERIFY}}(m, \sigma):$ $\text{Return } \text{MAC.Ver}(k, m, \sigma)$
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Fig. 5: The sEUF-CMA security experiment  $\text{Exp}_{\text{MAC}}^{\text{sEUF-CMA}}$  for MAC.

## 2.4 Pseudo-Random Generator

**Definition 11 (PRG).** Pseudo-Random Generator (PRG) is a polynomially computable deterministic function  $\text{PRG} : \mathcal{K} \rightarrow \mathcal{K}'$ , where  $\mathcal{K}$  is seed space and  $\mathcal{K}'$  is output space. We require that  $|\mathcal{K}| < |\mathcal{K}'|$ .

**Definition 12 (Pseudo-randomness of PRG).** For a pseudo-random generator  $\text{PRG} : \mathcal{K} \rightarrow \mathcal{K}'$ , the advantage function of an adversary  $\mathcal{A}$  is defined by  $\text{Adv}_{\text{PRG}}^{\text{ps}}(\mathcal{A}) := |\Pr [x \leftarrow_{\mathcal{S}} \mathcal{K}, y \leftarrow \text{PRG}(x) : \mathcal{A}(y) \Rightarrow 1] - \Pr [y \leftarrow_{\mathcal{S}} \mathcal{K}' : \mathcal{A}(y) \Rightarrow 1]|$ . The pseudo-randomness of PRG requires  $\text{Adv}_{\text{PRG}}^{\text{ps}}(\mathcal{A}) = \text{negl}(\lambda)$  for all PPT  $\mathcal{A}$ .

From the pseudo-randomness of PRG, we have the following corollary.

**Corollary 1.** For  $\text{PRG} : \mathcal{K} \rightarrow \mathcal{K}_1 \times \mathcal{K}_2$  and an adversary  $\mathcal{A}$ , we have that  $\Pr [y' \leftarrow \mathcal{A}; x \leftarrow_{\mathcal{S}} \mathcal{K}; (y_1, y_2) \leftarrow \text{PRG}(x) : y_2 = y'] \leq \text{Adv}_{\text{PRG}}^{\text{ps}}(\mathcal{A}) + \frac{1}{|\mathcal{K}_2|}$ .

## 2.5 Symmetric Encryption

**Definition 13 (SE).** A symmetric encryption (SE) scheme  $SE = (\text{SEnc}, \text{SDec})$  is associated with a key space  $\mathcal{K}$ , a plaintext space  $\mathcal{M}$  and a ciphertext space  $\mathcal{C}$ . It is defined by the following two algorithms.

- $\text{SEnc}(k, m)$  : Taking as input a symmetric key  $k \in \mathcal{K}$  and a plaintext  $m \in \mathcal{M}$ , the encryption algorithm outputs a ciphertext  $c \in \mathcal{C}$ .
- $\text{SDec}(k, c)$  : Taking as input a symmetric key  $k \in \mathcal{K}$  and a ciphertext  $c \in \mathcal{C}$ , the decryption algorithm outputs a plaintext  $m \in \mathcal{M}$ .

The correctness of SE requires that for all  $k \in \mathcal{K}$  and all  $m \in \mathcal{M}$ , it holds that  $\text{SDec}(k, \text{SEnc}(k, m)) = m$ .

Below we define Ciphertext Diversity and Semantic Security for SE.

**Definition 14 (Ciphertext Diversity of SE).** A symmetric encryption SE has ciphertext diversity if for all  $k \in \mathcal{K}$  and all ciphertexts  $c_1 \neq c_2 \in \mathcal{C}$ , it holds that  $\text{SDec}(k, c_1) \neq \text{SDec}(k, c_2)$ .

**Definition 15 (Semantic Security for SE).** A symmetric encryption scheme SE is semantically secure if for all  $m_0, m_1 \in \mathcal{M}$  and all PPT  $\mathcal{A}$ , the advantage function of  $\mathcal{A}$  satisfies  $\text{Adv}_{\text{SE}}^{\text{Sem}}(\mathcal{A}) := |\Pr[\mathcal{A}(c_0) \Rightarrow 1] - \Pr[\mathcal{A}(c_1) \Rightarrow 1]| \leq \text{negl}(\lambda)$ , where  $k \leftarrow_s \mathcal{K}$ ,  $c_0 \leftarrow \text{SEnc}(k, m_0)$  and  $c_1 \leftarrow \text{SEnc}(k, m_1)$ .

## 3 Privacy-Preserving Authenticated Key Exchange

### 3.1 Definition of Privacy-Preserving Authenticated Key Exchange

**Definition 16 (PPAKE).** A privacy-preserving authenticated key exchange (PPAKE) scheme  $\text{PPAKE} = (\text{PPAKE.Setup}, \text{PPAKE.Gen}, \text{PPAKE.Protocol})$  consists of two probabilistic algorithms and an interactive protocol.

- $\text{PPAKE.Setup}(1^\lambda)$ : The setup algorithm takes as input the security parameter  $1^\lambda$ , and outputs the public parameter  $\text{pp}_{\text{PPAKE}}$ .
- $\text{PPAKE.Gen}(\text{pp}_{\text{PPAKE}}, i)$ : The generation algorithm takes as input  $\text{pp}_{\text{PPAKE}}$  and a party identity  $i$ , and outputs a key pair  $(pk_i, sk_i)$ .
- $\text{PPAKE.Protocol}(P_i(\text{res}_i) \rightleftharpoons P_j(\text{res}_j))$ : The protocol involves two parties  $P_i$  and  $P_j$ , who have access to their own resources,  $\text{res}_i := (sk_i, \text{pp}_{\text{PPAKE}}, \{pk_u\}_{u \in [\mu]})$  and  $\text{res}_j := (sk_j, \text{pp}_{\text{PPAKE}}, \{pk_u\}_{u \in [\mu]})$ , respectively. Here  $\mu$  is the total number of users. After execution,  $P_i$  outputs a flag  $\Psi_i \in \{\emptyset, \text{accept}, \text{reject}\}$ , and a session key  $k_i$  ( $k_i$  might be empty string  $\emptyset$ ), and  $P_j$  outputs  $(\Psi_j, k_j)$  similarly.

*Correctness of PPAKE.* For all  $\text{pp}_{\text{PPAKE}} \in \text{PPAKE.Setup}(1^\lambda)$ , for any distinct and honest parties  $P_i$  and  $P_j$  with  $(pk_i, sk_i) \leftarrow \text{PPAKE.Gen}(\text{pp}_{\text{PPAKE}}, i)$  and  $(pk_j, sk_j) \leftarrow \text{PPAKE.Gen}(\text{pp}_{\text{PPAKE}}, j)$ , after the execution of  $\text{PPAKE.Protocol}(P_i(\text{res}_i) \stackrel{\text{def}}{=} P_j(\text{res}_j))$ , it holds that  $\Psi_i = \Psi_j = \text{accept}$  and  $k_i = k_j \neq \emptyset$ .

**Definition 17 (Robustness of PPAKE).** A PPAKE scheme is robust if for any party  $P_i$  who initializes the protocol, then with overwhelming probability, only  $P_i$ 's intended peer  $P_j$  is able to determine the validity of the first message sent by  $P_i$  when following the protocol specifications.

*Remark 1.* The correctness and robustness of PPAKE implies the following: in the scenario of honest setting (i.e., all users are honest in the system), if  $P_i$  broadcasts the first message and its intended peer is  $P_j$ , then only  $P_j$  is able to ascertain that the message is for him/her and hence responds to this message.

## 3.2 Security Model and Security Definitions for PPAKE

We will adapt the security model formalized by [12,4,17], which in turn followed the model proposed by Bellare and Rogaway [6]. We also include replay attacks [18]. In addition, we extend the security model so that the (forward) privacy for user identity is taken into account.

Our security notions for PPAKE include user authenticity, forward security for session key, and forward-privacy for user identity. These are characterized by three security experiments named  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{AUTH}}$ ,  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{IND}}$  and  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{Privacy}}$ . In those experiments, we will formalize oracles for adversary  $\mathcal{A}$ . The passive and active attacks by adversary  $\mathcal{A}$  is formalize by its querying to oracles and obtaining answers from oracles. Note that the adversary can copy, delay, erase, replay, and interpolate the messages transmitted over the public channels, obtains some session keys from the PPAKE protocol instances, corrupt some users by obtaining their long-term secret keys, etc.

### 3.2.1 Oracles

Firstly, we define oracles and their static variables to formalize the behaviour of users and the attacks by the adversary. Suppose there are at most  $\mu$  users  $P_1, P_2, \dots, P_\mu$ , and each user will involve at most  $\ell$  instances.  $P_i$  is formalized by a series of oracles,  $\pi_i^1, \pi_i^2, \dots, \pi_i^\ell$ .

**Oracle  $\pi_i^s$ .** Oracle  $\pi_i^s$  will take a message as input and output a new message, simulating user  $P_i$ 's execution of  $s$ -th PPAKE protocol instance. Each oracle  $\pi_i^s$  has access to  $P_i$ 's resource  $\text{res}_i := (sk_i, \text{pp}_{\text{PPAKE}}, \text{PKList} := \{pk_u\}_{u \in [\mu]})$ .  $\pi_i^s$  also has its own variables  $\text{var}_i^s := (st_i^s, \text{Pid}_i^s, k_i^s, \Psi_i^s)$ .

- $st_i^s$ : State information that has to be stored for  $\pi_i^s$ 's next round in the execution of the protocol.
- $\text{Pid}_i^s$ : The intended communication peer's identity.
- $k_i^s \in \mathcal{K}$ : The session key computed by  $\pi_i^s$ . Here  $\mathcal{K}$  is the session key space. We assume that  $\emptyset \in \mathcal{K}$ .

- $\Psi_i^s \in \{\emptyset, \mathbf{accept}, \mathbf{reject}\}$  :  $\Psi_i^s$  indicates whether  $\pi_i^s$  has completed the protocol execution and accepted  $k_i^s$ .

At the beginning,  $(st_i^s, \text{Pid}_i^s, k_i^s, \Psi_i^s)$  are initialized to  $(\emptyset, \emptyset, \emptyset, \emptyset)$ . We declare that  $k_i^s \neq \emptyset$  if and only if  $\Psi_i^s = \mathbf{accept}$ .

Next, we formalize the oracles that dealing with  $\mathcal{A}$ 's queries as follows.

- Oracle Send**( $i, s, j, \text{MsgList}$ ). For the query  $(i, s, j, \text{MsgList})$ , it means that  $\mathcal{A}$  invokes  $\pi_i^s$  with  $\text{MsgList}$ , making  $\pi_i^s$  to play the role of initiator with  $j$  being the intended communication peer. Oracle  $\pi_i^s$  will deal with each message in  $\text{MsgList}$  to generate new messages  $\text{MsgList}'$  according to the protocol specification and update its own variables  $\text{var}_i^s = (st_i^s, \text{Pid}_i^s, k_i^s, \Psi_i^s)$ . The output messages  $\text{MsgList}'$  is returned to  $\mathcal{A}$ . If  $\text{MsgList} = \emptyset$ ,  $\mathcal{A}$  asks oracle  $\pi_i^s$  to send the first round message to  $j$  (via broadcast channel).  
If  $\text{Send}(i, s, j, \text{MsgList})$  is the  $\tau$ -th query asked by  $\mathcal{A}$  and  $\pi_i^s$  changes  $\Psi_i^s$  to **accept** after that, then we say that  $\pi_i^s$  is  $\tau$ -*accepted*.
- Oracle Respond**( $\text{OList}, \text{MsgList}$ ). For the query  $(\text{OList}, \text{MsgList})$ , it means that  $\mathcal{A}$  chooses an oracle set  $\text{OList} = \{\pi_j^t\}$  to respond messages in  $\text{MsgList}$ . For  $\forall \pi_j^t \in \text{OList}$ ,  $\pi_j^t$  executes the PPAKE protocol with messages in  $\text{MsgList}$  as a potential recipient, and its variables  $\text{var}_j^t = (st_j^t, \text{Pid}_j^t, k_j^t, \Psi_j^t)$  are updated accordingly. Those responding messages generated by  $\text{OList}$  constitute message set  $\text{MsgList}'$ . The output message set  $\text{MsgList}'$  is returned to  $\mathcal{A}$ .
- Oracle Corrupt**( $i$ ). Upon  $\mathcal{A}$ 's query  $i$ , the oracle reveals to  $\mathcal{A}$  the long-term secret key  $sk_i$  of party  $P_i$ . After this corruption,  $\pi_i^1, \dots, \pi_i^\ell$  will stop answering any query from  $\mathcal{A}$ . If  $\text{Corrupt}(i)$  is the  $\tau$ -th query asked by  $\mathcal{A}$ , we say that  $P_i$  is  $\tau$ -corrupted. If  $\mathcal{A}$  has never asked  $\text{Corrupt}(i)$ , we say that  $P_i$  is  $\infty$ -corrupted.
- Oracle RegisterCorrupt**( $i, pk$ ).  $\mathcal{A}$ 's query  $(i, pk)$  suggests that  $\mathcal{A}$  registers a new party  $P_i$  ( $i > \mu$ ). The oracle distributes  $(i, pk_i := pk)$  to all users. In this case, we say that  $P_i$  is 0-corrupted.
- Oracle SessionKeyReveal**( $i, s$ ). The query  $(i, s)$  means that  $\mathcal{A}$  asks the oracle to reveal  $\pi_i^s$ 's session key. If  $\Psi_i^s \neq \mathbf{accept}$ , the oracle returns  $\perp$ . Otherwise, the oracle returns the session key  $k_i^s$  of  $\pi_i^s$ . If  $\text{SessionKeyReveal}(i, s)$  is the  $\tau$ -th query asked by  $\mathcal{A}$ , we say that  $\pi_i^s$  is  $\tau$ -*revealed*. If  $\mathcal{A}$  has never asked  $\text{SessionKeyReveal}(i, s)$ , we say that  $\pi_i^s$  is  $\infty$ -*revealed*.
- Oracle TestKey**( $i, s$ ). The query  $(i, s)$  means that  $\mathcal{A}$  chooses the session key of  $\pi_i^s$  for challenge (test). If  $\Psi_i^s \neq \mathbf{accept}$ , the oracle returns  $\perp$ . Otherwise, the oracle sets  $k_0 = k_i^s$ , samples  $k_1 \leftarrow_s \mathcal{K}$ . The oracle returns  $k_b$  to  $\mathcal{A}$ , where  $b$  is the random bit chosen by the challenger.
- Oracle TestPrivacy**( $i_0, j_0, i_1, j_1$ ).  $\mathcal{A}$ 's query is the privacy challenge and it consists of two pairs of identities  $(i_0, j_0)$  and  $(i_1, j_1)$ . The oracle builds  $\mu$  new oracles  $\{\pi_u^0\}_{u \in [\mu]}$ . Let  $\pi_{i_b}^0$  initialize the PPAKE protocol with  $\pi_{j_b}^0$  being the intended peer. After the initialization by  $\pi_{i_b}^0$ , the adversary is allowed to interfere the protocol execution. The transcript of the protocol execution is returned to  $\mathcal{A}$ , where  $b$  is the random bit chosen by the challenger.

<p><math>\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{PPAKE}}</math>  <math>\text{pp}_{\text{PPAKE}} \leftarrow \text{PPAKE.Setup}</math>  For <math>i \in [\mu]</math>:  <math>(pk_i, sk_i) \leftarrow \text{PPAKE.Gen}(\text{pp}_{\text{PPAKE}}, i)</math>  <math>crp_i := \text{false}</math> //Corruption variable  <math>\text{PKList} := \{pk_i\}_{i \in [\mu]}; b \leftarrow_s \{0, 1\}</math>  For <math>(i, s) \in [\mu] \times [\ell]</math>:  <math>\text{var}_i^s := (\text{Pid}_i^s, k_i^s, \Psi_i^s, st_i^s) := (\emptyset, \emptyset, \emptyset, \emptyset)</math>  <math>\text{Aflag}_i^s := \text{false}</math> //Whether <math>\text{Pid}_i^s</math> is corrupted when <math>\pi_i^s</math> accepts  <math>T_i^s := \text{false}; kRev_i^s = \text{false}</math> // Test Key Reveal variables  <math>T_{key} := \text{false}; T_{id} := \text{false}</math> //TestKey, TestPrivacy Oracle variables  <math>\text{TUsers} := \emptyset</math> //Record users queried in TestID Oracle</p> <div style="border: 1px solid black; padding: 5px; margin: 5px 0;"> <p><math>\mathcal{A}^{\text{OPPAKE}(\cdot)}(\text{pp}_{\text{PPAKE}}, \text{PKList})</math> // <math>\text{OPPAKE} = \text{Send, Respond, Corrupt, RegisterCorrupt}</math>  <math>\text{Win}_{\text{Auth}} := \text{false}</math> SessionKeyReveal, TestKey or TestPrivacy  <math>\text{Win}_{\text{Auth}} := \text{true}</math>, If <math>\exists (i, s) \in [\mu] \times [\ell]</math> s.t.  (1) <math>\Psi_i^s = \text{accept}</math>  (2) <math>\text{Aflag}_i^s = \text{false}</math>  (3) (3.1) <math>\vee</math> (3.2) <math>\vee</math> (3.3). Let <math>j := \text{Pid}_i^s</math>  (3.1) <math>\nexists t \in [\ell]</math> s.t. <math>\text{Partner}(\pi_i^s \leftarrow \pi_j^t)</math>  (3.2) <math>\exists t \in [\ell], (j', t') \in [\mu] \times [\ell]</math> with <math>(j, t) \neq (j', t')</math> s.t.  <math>\text{Partner}(\pi_i^s \leftarrow \pi_j^t) \cap \text{Partner}(\pi_i^s \leftarrow \pi_{j'}^{t'})</math>  (3.3) <math>\exists t \in [\ell], (i', s') \in [\mu] \times [\ell]</math> with <math>(i, s) \neq (i', s')</math> s.t.  <math>\text{Partner}(\pi_i^s \leftarrow \pi_j^t) \cap \text{Partner}(\pi_{i'}^{s'} \leftarrow \pi_j^t)</math>  Return <math>\text{Win}_{\text{Auth}}</math></p> </div> <p>If <math>T_{key} = \text{true} \wedge T_{id} = \text{true}</math>: Return <math>\perp</math>  // Query on TestKey and TestPrivacy are mutually exclusive</p> <div style="border: 1px solid black; padding: 5px; margin: 5px 0;"> <p><math>b^* \leftarrow \mathcal{A}^{\text{OPPAKE}(\cdot)}(\text{pp}_{\text{PPAKE}}, \text{PKList})</math> // <math>\text{OPPAKE} = \text{Send, Respond, Corrupt, TestKey}</math>  <math>\text{Win}_{\text{Ind}} := \text{false}</math> SessionKeyReveal RegisterCorrupt  If <math>b^* = b \wedge T_{key} = \text{true}</math>:  <math>\text{Win}_{\text{Ind}} := \text{true}</math>  Return <math>\text{Win}_{\text{Ind}}</math></p> </div> <div style="border: 1px solid black; padding: 5px; margin: 5px 0;"> <p><math>b^* \leftarrow \mathcal{A}^{\text{OPPAKE}(\cdot)}(\text{pp}_{\text{PPAKE}}, \text{PKList})</math> // <math>\text{OPPAKE} = \text{Send, Respond, Corrupt, TestPrivacy}</math>  <math>\text{Win}_{\text{Priv}} := \text{false}</math> SessionKeyReveal RegisterCorrupt  <math>\text{Win}_{\text{Priv}} := \text{true}</math>, If  (1) <math>b^* = b \wedge T_{id} = \text{true}</math>:  (2) Let <math>\text{TUsers} := (i_0, j_0, i_1, j_1)</math>,  <math>(crp_{j_0} = \text{false} \wedge crp_{j_1} = \text{false}) \vee j_0 = j_1</math> //avoid TA5  Return <math>\text{Win}_{\text{Priv}}</math></p> </div> <p><math>\pi(i, s, j, \text{MsgList})</math>:  If <math>\Psi_i^s = \text{reject} \vee \Psi_i^s = \text{accept}</math>: Return <math>\perp</math>  <math>\text{MsgList}' := \emptyset</math>  If <math>\text{MsgList} = \emptyset</math>:  <math>\pi_i^s</math> generates the first message <math>\text{msg}'</math> for user <math>P_j</math>  update <math>(st_i^s, \text{Pid}_i^s, \Psi_i^s, k_i^s)</math>  Return <math>\{\text{msg}'\}</math>  For each <math>\text{msg} \in \text{MsgList}</math>:  If <math>\pi_i^s</math> accepts <math>\text{msg}</math>:  <math>\pi_i^s</math> generates the next message <math>\text{msg}'</math> of PPAKE  <math>\text{MsgList}' := \text{MsgList}' \cup \{\text{msg}'\}</math>  update <math>(st_i^s, \text{Pid}_i^s, \Psi_i^s, k_i^s)</math>  Return <math>\text{MsgList}'</math></p> <p><math>\text{Tran}(i, j)</math>: //Return the transcript  Build <math>\mu</math> new oracles <math>\pi_t^0, t \in [\mu]</math>  <math>\text{MsgList} := \emptyset; \text{Transcript} := \emptyset; \text{TfirstMsg} := \emptyset</math>  While <math>(\Psi_i^0 = \emptyset \wedge \Psi_j^0 = \emptyset)</math> do:  If <math>\text{MsgList} = \emptyset</math>: //The adversary can not insert messages in the first round  <math>\text{msg}' \leftarrow \pi(i, 0, j, \emptyset)</math>  <math>\text{MsgList}' := \{\text{msg}'\}; \text{TfirstMsg} := \text{msg}'</math>  If <math>\text{MsgList} \neq \emptyset</math>: //The adversary can insert messages in the non-first round  <math>\text{MsgList}' := \emptyset</math>;  For <math>\text{msg} \in \text{MsgList}</math>  <math>\text{msg}' \leftarrow \pi(i, 0, j, \text{msg})</math>  <math>\text{MsgList}' := \text{MsgList}' \cup \{\text{msg}'\}</math>  <math>\text{InsertList} \leftarrow \mathcal{A}(\text{MsgList}, \text{MsgList}')</math>  <math>\text{MsgList}' := \text{MsgList}' \cup \text{InsertList}</math>  <math>\text{Transcript} := \text{Transcript} \cup \text{MsgList}'</math>  <math>\text{MsgList} := \text{MsgList}'; \text{MsgList}' := \emptyset</math>  For each <math>j' \in [\mu]</math> and each <math>\text{msg} \in \text{MsgList}</math>  <math>\text{msg}' \leftarrow \pi(j', 0, \emptyset, \text{msg})</math>  <math>\text{MsgList}' := \text{MsgList}' \cup \{\text{msg}'\}</math>  If <math>\neg(\Psi_i^0 = \emptyset \wedge \Psi_j^0 = \emptyset)</math>: Return <math>\text{Transcript}</math></p>	<p><math>\text{InsertList} \leftarrow \mathcal{A}(\text{MsgList}, \text{MsgList}')</math>  <math>\text{MsgList}' := \text{MsgList}' \cup \text{InsertList}</math>  <math>\text{Transcript} := \text{Transcript} \cup \text{MsgList}'</math>  <math>\text{MsgList} := \text{MsgList}'</math>  Return <math>\text{Transcript}</math></p> <p><math>\text{Partner}(\pi_i^s \leftarrow \pi_j^t)</math>: //Checking whether <math>\text{Partner}(\pi_i^s \leftarrow \pi_j^t)</math>  If <math>\Psi_i^s \neq \text{accept}</math>: Return 0;  If <math>\Psi_i^s \neq j</math>: Return 0; 15  check wheter the outputs of <math>\pi_i^s</math> are the inputs of <math>\pi_j^t</math>  upon the acceptance of <math>\pi_i^s</math>, and vice verse.  If the transcripts are consistent: Return 1;  Return 0;</p> <p><math>\text{OPPAKE}(\text{query})</math>:  If query = RegisterCorrupt(<math>u, pk_u</math>):  If <math>u \in [\mu]</math>: Return <math>\perp</math>  <math>\text{PKList} := \text{PKList} \cup \{pk_u\}</math>  <math>crp_u := \text{true}</math>  Return <math>\text{PKList}</math></p> <p>If query = Send(<math>i, s, j, \text{MsgList}</math>):  If <math>i \notin [\mu] \vee s \notin [\ell] \vee j \notin [\mu]</math>: Return <math>\perp</math>  If <math>\text{Pid}_i^s = \emptyset</math>: <math>\text{Pid}_i^s = j</math>  If <math>\text{Pid}_i^s \neq j</math>: Return <math>\perp</math>  <math>\text{MsgList}' := \emptyset</math>  If <math>\text{MsgList} = \emptyset</math>:  <math>\text{msg}' \leftarrow \pi(i, s, j, \text{msg})</math>  Return <math>\text{MsgList}' = \{\text{msg}'\}</math>  For <math>\text{msg} \in \text{MsgList}</math>  <math>\text{msg}' \leftarrow \pi(i, s, j, \text{msg})</math>  <math>\text{MsgList}' := \text{MsgList}' \cup \{\text{msg}'\}</math>  Return <math>\text{MsgList}'</math></p> <p>If query = Respond(<math>\text{OList}, \text{MsgList}</math>):  If <math>T_{id} = \text{true} \wedge ((j_0, *) \in \text{OList} \vee (j_1, *) \in \text{OList})</math>  <math>\wedge \text{TfirstMsg} \cap \text{MsgList} \neq \emptyset</math>:  Return <math>\perp</math> //avoid TA6  If <math>\exists (j, t) \in \text{OList} \wedge (j, t) \notin [\mu] \times [\ell]</math>: Return <math>\perp</math>  <math>\text{MsgList}' := \emptyset</math>  If <math>crp_j = \text{false}</math>:  For each <math>(j, t) \in \text{OList}</math>, and each <math>\text{msg} \in \text{MsgList}</math>:  <math>\text{msg}' \leftarrow \pi(j, t, \emptyset, \text{msg})</math>  <math>\text{MsgList}' := \text{MsgList}' \cup \{\text{msg}'\}</math>  Return <math>\text{MsgList}'</math></p> <p>If query = Corrupt(<math>i</math>):  If <math>i \notin [\mu]</math>: Return <math>\perp</math>  <math>crp_i := \text{true}</math>  Return <math>sk_i</math></p> <p>If query = SessionKeyReveal(<math>i, s</math>):  If <math>i \notin [\mu] \vee s \notin [\ell]</math>: Return <math>\perp</math>  If <math>\Psi_i^s \neq \text{accept}</math>: Return <math>\perp</math>  If <math>T_i^s = \text{true}</math>: Return <math>\perp</math> //avoid TA2  Let <math>j := \text{Pid}_i^s</math>  If <math>\exists t \in [\ell]</math> s.t. <math>\text{Partner}(\pi_i^s \leftrightarrow \pi_j^t)</math>:  If <math>T_j^t = \text{true}</math>: Return <math>\perp</math> //avoid TA3  <math>kRev_i^s := \text{true}</math>;  Return <math>k_i^s</math></p> <p>If query = TestKey(<math>i, s</math>):  //This oracle can be only queried once  <math>T_{key} := \text{true}</math>  If <math>\Psi_i^s \neq \text{accept}</math>:  Return <math>\perp</math>  If <math>\text{Aflag}_i^s = \text{true} \vee kRev_i^s = \text{true}</math>  Return <math>\perp</math> //avoid TA1, TA2  <math>T_i^s = \text{true}; k_0 := k_i^s; k_1 \leftarrow_s \mathcal{K}</math>;  Return <math>k_b</math></p> <p>If query = TestPrivacy(<math>i_0, j_0, i_1, j_1</math>):  //This oracle can be only queried once  <math>T_{id} := \text{true}</math>  If <math>crp_{i_0} \vee crp_{j_0} \vee crp_{i_1} \vee crp_{j_1}</math>:  Return <math>\perp</math> //avoid TA4  <math>\text{TUsers} = (i_0, j_0, i_1, j_1)</math>  If <math>b = 0</math>: Return <math>\text{Tran}(i_0, j_0)</math>  Else: Return <math>\text{Tran}(i_1, j_1)</math></p>
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Fig. 6: The security experiments  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{AUTH}}$  (with plain text and text),  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{IND}}$  (with plain text and text),  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{Privacy}}$  (with plain text and text). The list of trivial attacks is given in Table 2.

### 3.2.2 Security Experiments of PPAKE

Now we are ready to describe the PPAKE experiments serving for authentication, forward security for session key, and forward privacy for user identity.

Recall that  $\mu$  is the number of users and  $\ell$  is maximum number of protocol executions per user. The security experiment  $\text{Exp}_{\text{PPAKE},\mu,\ell,\mathcal{A}}^{\text{X}}$ , where  $\text{X} \in \{\text{AUTH}, \text{IND}, \text{Privacy}\}$ , is played between challenger  $\mathcal{C}$  and adversary  $\mathcal{A}$ .

1.  $\mathcal{C}$  runs  $\text{PPAKE.Setup}$  to get PPAKE public parameter  $\text{pp}_{\text{PPAKE}}$ .
2. For each party  $P_i$ ,  $\mathcal{C}$  runs  $\text{PPAKE.Gen}(\text{pp}_{\text{PPAKE}}, i)$  to get the long-term key pair  $(pk_i, sk_i)$ . Next it chooses a random bit  $b \leftarrow_s \{0, 1\}$  and provides  $\mathcal{A}$  with the public parameter  $\text{pp}_{\text{PPAKE}}$  and the list of public keys  $\text{PKList} := \{pk_i\}_{i \in [\mu]}$ .
3.  $\mathcal{A}$  has access to oracles  $\text{Send}$ ,  $\text{Respond}$ ,  $\text{Corrupt}$ ,  $\text{RegisterCorrupt}$ ,  $\text{SessionKeyReveal}$ ,  $\text{TestKey}$ ,  $\text{TestPrivacy}$  by issuing queries in an adaptive way. Note that  $\mathcal{A}$  can issue only one query either to  $\text{TestKey}$  or to  $\text{TestPrivacy}$ , but not both. The oracles will reply the corresponding answers to  $\mathcal{A}$  as long as the queries lead no trivial attacks.
4. At the end of the experiment,  $\mathcal{A}$  terminates with an output  $b^*$ .
5. If  $b^* = b$ , the experiment returns 1; otherwise the experiment returns 0.

$\text{Exp}_{\text{PPAKE},\mu,\ell,\mathcal{A}}^{\text{IND}}$ : If  $\mathcal{A}$  ever queried oracle  $\text{TestKey}$  (only once), then  $\text{Exp}_{\text{PPAKE},\mu,\ell,\mathcal{A}}^{\text{X}} = \text{Exp}_{\text{PPAKE},\mu,\ell,\mathcal{A}}^{\text{IND}}$ , which is the experiment for forward security of session key. Through  $\text{TestKey}$ , adversary  $\mathcal{A}$  obtains a real session key  $k_i^s$  of target oracle  $\pi_i^s$  or a random key. The forward security of session key requires that it is hard for any PPT  $\mathcal{A}$  to distinguish the two cases.

$\text{Exp}_{\text{PPAKE},\mu,\ell,\mathcal{A}}^{\text{Privacy}}$ : If  $\mathcal{A}$  ever queried oracle  $\text{TestPrivacy}$  (only once), then  $\text{Exp}_{\text{PPAKE},\mu,\ell,\mathcal{A}}^{\text{X}} = \text{Exp}_{\text{PPAKE},\mu,\ell,\mathcal{A}}^{\text{Privacy}}$ , which is the experiment for forward privacy of user identity. Through  $\text{TestPrivacy}$ ,  $\mathcal{A}$  obtains a protocol transcript, which is either the interaction of  $\pi_{i_0}^0$  and  $\pi_{j_0}^0$  or the interaction of  $\pi_{i_1}^0$  and  $\pi_{j_1}^0$ . The forward privacy requires that it is hard for any PPT  $\mathcal{A}$  to distinguish the two cases.

$\text{Exp}_{\text{PPAKE},\mu,\ell,\mathcal{A}}^{\text{AUTH}}$ : If  $\mathcal{C}$  checks whether event  $\text{Win}_{\text{Auth}}$  happens ( $\text{Win}_{\text{Auth}}$  is defined in Def. 19) at the end of the experiment (either  $\text{Exp}_{\text{PPAKE},\mu,\ell,\mathcal{A}}^{\text{IND}}$  or  $\text{Exp}_{\text{PPAKE},\mu,\ell,\mathcal{A}}^{\text{Privacy}}$ ), this experiment is also regarded as  $\text{Exp}_{\text{PPAKE},\mu,\ell,\mathcal{A}}^{\text{AUTH}}$ , which is the experiment for authenticity. Roughly speaking, the authenticity of PPAKE requires that if an oracle  $\pi_i^s$  accepts a session key, then there must exist a unique oracle  $\pi_j^t$  such that the two oracles have essentially established partnership. Meanwhile, the authenticity makes sure that replay attacks are prevented in the sense that no oracle can make two distinct oracles accepts.

Details of the three experiments are given in Figure 6.

To precisely describe the security notions for PPAKE, we have to forbid some trivial attacks by  $\mathcal{A}$ . To clearly describe trivial attacks, we first define partner.

**Definition 18 (Partner).** We say that an oracle  $\pi_i^s$  is partnered to  $\pi_j^t$ , denoted as  $\text{Partner}(\pi_i^s \leftarrow \pi_j^t)$ , if the following requirements hold:

- $\pi_i^s$  accepts with  $\Psi_i^s = \mathbf{accept}$  and  $\text{Pid}_i^s = j$ .

- Upon the time  $\pi_i^s$  accepts, the transcript of  $\pi_i^s$  is consistent with that of  $\pi_j^t$ , i.e., the outputs of  $\pi_i^s$  are the inputs of  $\pi_j^t$ , and vice versa.

We write  $\text{Partner}(\pi_i^s \leftrightarrow \pi_j^t)$  if  $\text{Partner}(\pi_i^s \leftarrow \pi_j^t)$  and  $\text{Partner}(\pi_j^t \leftarrow \pi_i^s)$ .

We will keep track of the following variables for each party  $P_i$  and oracle  $\pi_i^s$ :

- $crp_i$ : whether user  $i$  is corrupted.
- $\text{Aflag}_i^s$ : whether the intended partner is corrupted when  $\pi_i^s$  accepts.
- $kRev_i^s$ : whether the session key  $k_i^s$  was revealed.
- $T_i^s$ : whether  $\pi_i^s$  was tested.
- $T_{id}$ : whether oracle  $\text{TestPrivacy}$  is queried.
- $T_{key}$ : whether oracle  $\text{TestKey}$  is queried.

For forward security for session key, we identify three trivial attacks.

- TA1** Suppose that when user  $i$  (formalized by  $\pi_i^s$ ) accepts a session key  $k_i^s$ , its partner  $j$  (formalized by  $\pi_j^t$ ) has already been corrupted by  $\mathcal{A}$ , then it is quite possible that  $\mathcal{A}$  impersonated  $j$  to obtain the shared session key  $k_i^s$ . In this case  $k_i^s$  cannot be tested by  $\text{TestKey}(i, s)$ , otherwise, it will be a trivial attack.
- TA2** If a session key  $k_i^s$  is accepted by user  $i$  (formalized by  $\pi_i^s$ ) and is also revealed to  $\mathcal{A}$ , then  $k_i^s$  cannot be tested, otherwise, it will be a trivial attack.
- TA3** If two users (formalized by oracles  $\pi_i^s$  and  $\pi_j^t$ ) are partnered with each other and session key  $k_i^s$  of  $\pi_i^s$  is revealed to  $\mathcal{A}$ , then session key  $k_j^t$  of  $\pi_j^t$  cannot be tested due to  $k_i^s = k_j^t$ . Otherwise, it will be a trivial attack.

For the forward privacy for user identity, we identify three trivial attacks.

- TA4** If user  $i$  is corrupted, then the adversary is able to impersonate the user in a PPAKE protocol after the corruption. After the protocol execution, the adversary will know the identity of its communicant peer. Hence, this is a trivial attack on privacy of PPAKE when testing  $i$  with  $\text{TestPrivacy}$ .
- TA5** The robustness of a PPAKE makes sure that only one target recipient  $j$  is able to use its secret key  $sk_j$  to correctly respond the first round message. If the secret key  $sk_j$  of the target recipient is corrupted by  $\mathcal{A}$ , no privacy on  $j$  is guaranteed. This is a trivial attack on forward privacy of robust PPAKE.
- TA6** If the adversary can observe the response of each user after the user receives the first message, then the identity of the responding user is clear to the adversary. Hence, this is also a trivial attack on the privacy of robust PPAKE. This trivial attack can be extended to any core part of the first message. To exclude this trivial attack, if the adversary sees the first round message, it is not allowed to feed a message containing the core part of the first round message to other users and observe their responses.

In Table 2, we list the above trivial attacks **TA1-TA3** in  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{IND}}$  and trivial attacks **TA4-TA6** in  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{Privacy}}$ .

Types	Trivial attacks	Explanation
<b>TA1</b>	$T_i^s = \text{true} \wedge \text{Aflag}_i^s = \text{true}$	$\pi_i^s$ is tested but $\pi_i^s$ 's partner is corrupted when $\pi_i^s$ accepts session key $k_i^s$
<b>TA2</b>	$T_i^s = \text{true} \wedge k\text{Rev}_i^s = \text{true}$	$\pi_i^s$ is tested and its session key $k_i^s$ is revealed
<b>TA3</b>	$T_i^s = \text{true} \wedge \text{Partner}(\pi_i^s \leftrightarrow \pi_j^t) \wedge k\text{Rev}_j^t = \text{true}$	$\pi_i^s$ is tested, $\pi_i^s$ and $\pi_j^t$ are partnered to each other, and $\pi_j^t$ 's session key $k_j^t$ is revealed
<b>TA4</b>	$T_{id} = \text{true} \wedge (\text{crp}_{i_0} = \text{true} \vee \text{crp}_{j_0} = \text{true} \vee \text{crp}_{i_1} = \text{true} \vee \text{crp}_{j_1} = \text{true})$	When $\text{TestPrivacy}(i_0, j_0, i_1, j_1)$ is queried, one of $i_0, j_0, i_1, j_1$ has been corrupted
<b>TA5</b>	$T_{id} = \text{true} \wedge b^* = b \wedge (\text{crp}_{j_0} = \text{true} \vee \text{crp}_{j_1} = \text{true}) \wedge j_0 \neq j_1$	$\text{TestPrivacy}(i_0, j_0, i_1, j_1)$ has been queried, and either $j_0$ or $j_1$ has been corrupted when checking $b^* = b$
<b>TA6</b>	$T_{id} = \text{true} \wedge \mathcal{A}$ queried $\text{Respond}(\text{OList}, \text{MsgList})$ s.t. $((j_0, *) \in \text{OList} \vee (j_1, *) \in \text{OList}) \wedge \text{TfirstMsg} \cap \text{MsgList} \neq \emptyset$	$\text{TestPrivacy}(i_0, j_0, i_1, j_1)$ is queried, $\text{TfirstMsg}$ is the first message in transcript, $\mathcal{A}$ sees the output $\pi_{j_0}^t(\text{MsgList})$ or $\pi_{j_1}^t(\text{MsgList})$ for some $t \in [\ell]$ via querying $\text{Respond}$ with messages $\text{MsgList}$ containing essential information of $\text{TfirstMsg}$

Table 2: Trivial attacks **TA1-TA3** for security experiment  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{IND}}$ . **TA4-TA6** for security experiment  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{Privacy}}$ . Note that  $\text{Aflag}_i^s = \text{false}$  is implicitly contained in **TA2, TA3** because of **TA1**.

### 3.2.3 Security Notions for PPAKE

**Definition 19 (Authentication of PPAKE).** Let  $\text{Win}_{\text{Auth}}$  denote the event that  $\mathcal{A}$  breaks authentication in the security experiment  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{AUTH}}$  (see Figure 6).  $\text{Win}_{\text{Auth}}$  happens iff  $\exists (i, s) \in [\mu] \times [\ell]$ , s.t.

- (1)  $\pi_i^s$  is  $\tau$ -accepted.
- (2)  $P_j$  is  $\hat{\tau}$ -corrupted with  $j := \text{Pid}_i^s$  and  $\hat{\tau} > \tau$ .
- (3) Either (3.1) or (3.2) or (3.3) happens. Let  $j := \text{Pid}_i^s$ .
  - (3.1) There is no oracle  $\pi_j^t$  that  $\pi_i^s$  is partnered to.
  - (3.2) There exist two distinct oracles  $\pi_j^t$  and  $\pi_{j'}^t$ , to which  $\pi_i^s$  is partnered.
  - (3.3) There exist two oracles  $\pi_{i'}^s$  and  $\pi_{j'}^t$  with  $(i', s') \neq (i, s)$ , such that both  $\pi_i^s$  and  $\pi_{i'}^s$  are partnered to  $\pi_{j'}^t$ .

The advantage of an adversary  $\mathcal{A}$  in  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{AUTH}}$  is defined as

$$\text{Adv}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{AUTH}} := \Pr \left[ \text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{AUTH}} \Rightarrow 1 \right] = \Pr_{\exists (i, s)} [(1) \wedge (2) \wedge ((3.1) \vee (3.2) \vee (3.3))].$$

*Remark 2.* Given (1)  $\wedge$  (2), (3.1) indicates a successful impersonation of  $P_i$ , (3.2) suggests one instance of  $P_i$  has multiple partners, and (3.3) corresponds to a successful replay attack. Def.19 captures mutual explicit authentication since  $\pi_i^s$  is either an initiator or a responder.

**Definition 20 (Forward Security for Session Key of PPAKE).** In  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{IND}}$  (see Figure 6), Let  $b^*$  be  $\mathcal{A}$ 's output. Then  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{IND}} \Rightarrow 1$  iff  $b^* = b$ . The advantage of  $\mathcal{A}$  in  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{IND}}$  is defined as

$$\text{Adv}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{IND}} := \left| \Pr \left[ \text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{IND}} \Rightarrow 1 \right] - 1/2 \right|.$$

Forward security for session key asks  $\text{Adv}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{IND}} \leq \text{negl}(\lambda)$  for all PPT  $\mathcal{A}$ .

**Definition 21 (Forward Privacy for User Identity of PPAKE).** *Suppose that  $\mathcal{A}$  queries  $\text{TestPrivacy}(i_0, j_0, i_1, j_1)$  and  $b^*$  is  $\mathcal{A}$ 's output in  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{Privacy}}$  (see Figure 6). Define event  $\text{Win}_{\text{Privacy}}$  as  $b^* = b$  and neither  $j_0$  nor  $j_1$  are corrupted unless  $j_0 = j_1$  (i.e.  $(\text{crp}_{j_0} = \mathbf{false} \wedge \text{crp}_{j_1} = \mathbf{false}) \vee j_0 \neq j_1$ ). Then  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{Privacy}} \Rightarrow 1$  iff  $\text{Win}_{\text{Privacy}}$  happens. Forward privacy for user identity requires that for all PPT  $\mathcal{A}$ , its advantage function  $\text{Adv}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{Privacy}}$  satisfies*

$$\text{Adv}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{Privacy}} := \left| \Pr \left[ \text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{Privacy}} \Rightarrow 1 \right] - 1/2 \right| \leq \text{negl}(\lambda).$$

*Remark 3 (Difference with security models in [20,19]).* In the security models in [20,19], the initiator only deals with one responding message with accept or reject and does not take into account other users' responses. This feature excludes the application of their PPAKE schemes in broadcast channels or similar scenarios. In our security model, the initiator receives and processes all messages from other users. This is especially important in the scenario where every user may give a response when not aware whether itself is the target recipient. More precisely, in our security model, the adversarial behaviors are reflected by the formalization that  $\mathcal{A}$  designates a list of messages for  $\pi_i^s$  to deal with by **Send** or **Respond** queries. In comparison, the security models in [20,19] only consider the case that  $\pi_i^s$  deals with a single message and after that  $\pi_i^s$  will stop responding to other messages (from other users).

*Remark 4 (The best forward privacy for robust PPAKE).* The best forward privacy for a robust PPAKE scheme is full forward privacy for initiator and semi-forward privacy for responder. The reason is as follows. If the responder  $P_j$  is corrupted, the robustness of PPAKE enables the adversary to use the responder's secret key to test the first round messages in previous sessions so as to determine whether  $P_j$  is the intended recipient. Therefore, this is the optimal forward privacy for robust PPAKE to achieve: full forward privacy for initiator (no matter initiator or responder is corrupted) and forward privacy for responder when initiator is corrupted.

## 4 Generic Construction of PPAKE and Its Security Proof

We propose a generic construction of  $\text{PPAKE} = (\text{PPAKE.Setup}, \text{PPAKE.Gen}, \text{PPAKE.Protocol})$  with session key space  $\mathcal{K}_1$  from the following building blocks.

- A signature scheme  $\text{SIG} = (\text{SIG.Setup}, \text{SIG.Sign}, \text{SIG.Ver})$ .
- A key encapsulation mechanism scheme  $\text{KEM} = (\text{KEM.Setup}, \text{Encap}, \text{Decap})$  with encapsulation key space  $\mathcal{K}$ .
- A one-time key encapsulation mechanism scheme  $\text{otKEM} = (\text{otKEM.Setup}, \text{otEncap}, \text{otDecap})$  with the encapsulation key space  $\mathcal{K}'$ .
- A message authentication code scheme  $\text{MAC} = (\text{MAC.Tag}, \text{MAC.Ver})$  with key space  $\mathcal{K}$ .
- A symmetric encryption scheme  $\text{SE} = (\text{SEnc}, \text{SDec})$  with key space  $\mathcal{K}_2$ .

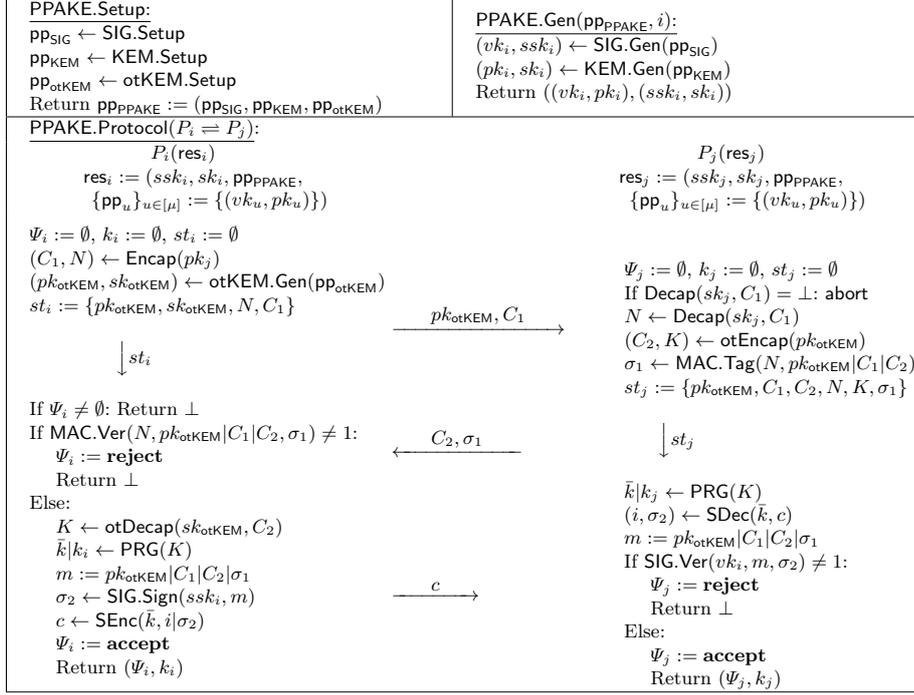


Fig. 7: Generic construction of PPAKE

- A pseudo-random generator  $\text{PRG} : \mathcal{K}' \rightarrow \mathcal{K}_1 \times \mathcal{K}_2$ .

Our generic construction is given in Figure 7.

**PPAKE.Setup:** The setup algorithm generates the public parameter  $\text{pp}_{\text{PPAKE}} := (\text{pp}_{\text{SIG}}, \text{pp}_{\text{KEM}}, \text{pp}_{\text{otKEM}})$  by running  $\text{SIG.Setup}$ ,  $\text{KEM.Setup}$  and  $\text{otKEM.Setup}$ .

**PPAKE.Gen:** The key generation algorithm takes as input  $\text{pp}_{\text{PPAKE}}$  and a user identity  $i$ , and generates a key pair  $(vk_i, ssk_i)$  for SIG and a key pair  $(pk_i, sk_i)$  for KEM. The public key of user  $i$  is  $(pk_i, vk_i)$  and the secret key is  $(ssk_i, sk_i)$ .

**PPAKE.Protocol( $P_i \rightleftharpoons P_j$ ):** The protocol between two parties  $P_i$  and  $P_j$  is as follows. Each party has access to their own resources  $\text{res}_i = (ssk_i, sk_i, \text{pp}_{\text{PPAKE}}, \{\text{pp}_u\}_{u \in [\mu]})$

and  $\text{res}_j = (ssk_j, sk_j, \text{pp}_{\text{PPAKE}}, \{\text{pp}_u\}_{u \in [\mu]})$  which contain the corresponding secret key, the public parameter and a list PKList consisting of the public keys of all users. Each party initializes its local variables  $\Psi_i, k_i$  and  $st_i$  with the empty string. The protocol consists of three rounds of communications.

**The First Round:** When party  $P_i$  initiates a session with party  $P_j$  in PPAKE,  $P_i$  computes  $(C_1, N) \leftarrow \text{Encap}(pk_j)$  and generates an ephemeral key pair  $(pk_{\text{otKEM}}, sk_{\text{otKEM}}) \leftarrow \text{otKEM.Gen}(\text{pp}_{\text{otKEM}})$ . It then sends  $(pk_{\text{otKEM}}, C_1)$  to  $P_j$  and stores  $(pk_{\text{otKEM}}, sk_{\text{otKEM}}, N, C_1)$  as its state  $st_i$ .

**The Second Round:** After receiving message  $(pk_{\text{otKEM}}, C_1)$ ,  $P_j$  computes  $N \leftarrow \text{Decap}(sk_j, C_1)$ . If  $N = \perp$ , then  $P_j$  aborts, indicating that it

is not the intended recipient of this message. Otherwise,  $P_j$  invokes  $(C_2, K) \leftarrow \text{otEncap}(pk_{\text{otKEM}})$ . It uses  $N$  as the MAC key to compute a tag  $\sigma_1 \leftarrow \text{MAC}(N, pk_{\text{otKEM}}|C_1|C_2)$ . Then it sends  $(C_2, \sigma_1)$  to  $P_i$  and stores  $(pk_{\text{otKEM}}, C_1, C_2, \sigma_1, N, K)$  as its state  $st_j$ .

**The Third Round:** After receiving message  $(C_2, \sigma_1)$ ,  $P_i$  retrieves its state  $st_i = (pk_{\text{otKEM}}, sk_{\text{otKEM}}, N, C_1)$ . It verifies the validity of  $\sigma_1$  by checking whether  $\text{MAC.Tag}(N, pk_{\text{otKEM}}|C_1|C_2, \sigma_1) = 1$  with the help of  $N$ . If invalid, it rejects this message. Otherwise, it continues the protocol by computing  $K \leftarrow \text{Decap}(sk_{\text{otKEM}}, C_2)$ . It then generates  $\bar{k}|k_i \leftarrow \text{PRG}(K)$ , where  $\bar{k}$  is used as the secret key for SE and  $k_i$  as its session key.  $P_i$  uses its signing key  $ssk_i$  to sign  $pk_{\text{otKEM}}|C_1|C_2|\sigma_1$  and obtain the signature  $\sigma_2 \leftarrow \text{SIG.Sign}(ssk_i, pk_{\text{otKEM}}|C_1|C_2|\sigma_1)$ . Then it encrypts the identity  $i$  and the signature  $\sigma_2$  with  $\bar{k}$  and obtains  $c \leftarrow \text{SEnc}(\bar{k}, i|\sigma_2)$ . It broadcasts the ciphertext  $c$ , and sets  $\Psi_i = \text{accept}$  and outputs  $(\Psi_i, k_i)$ , indicating its acceptance of  $k_i$  as its session key.

After receiving  $c$ ,  $P_j$  retrieves its state  $st_j = (pk_{\text{otKEM}}, C_1, C_2, \sigma_1, N, K)$  and generates  $(\bar{k}, k_j) \leftarrow \text{PRG}(K)$ . It then uses  $\bar{k}$  to decrypt the ciphertext  $c$  and obtains  $(i, \sigma_2) \leftarrow \text{SDec}(\bar{k}, c)$ . Next it checks that the validity of  $(i, \sigma_2)$  by checking  $\text{SIG.Ver}(vk_i, pk_{\text{otKEM}}|C_1|C_2|\sigma_1, \sigma_2) = 1$ .  $P_j$  rejects in case of invalid. Otherwise, it sets  $\Psi_j = \text{accept}$  and outputs  $(\Psi_j, k_j)$ , indicating its acceptance of  $k_j$  as its session key with  $P_i$ .

*Correctness.* Correctness of PPAKE follows directly from the correctness of SIG, KEM, otKEM, MAC and SE.

*Robustness.* Robustness of PPAKE follows directly from the robustness of KEM, which guarantees that only  $P_j$  has  $\text{Decap}(sk_j, C_1) \neq \perp$ .

**Theorem 1.** *For the PPAKE construction in Figure 7, suppose that the underlying SIG is sEUF-CMA secure, MAC is sEUF-CMA secure, KEM is IND-CCA secure and IK-CCA secure, otKEM is IND-CPA secure and has the properties of key uniformity and public key diversity, and PRG is a pseudo-random generator, and SE is semantic secure and has the property of ciphertext diversity, then the PPAKE construction has explicit mutual authenticity, forward security and forward privacy.*

Before the proof, we will first define two sets  $\text{Sent}_i^s$  and  $\text{Recv}_i^s$  for oracle  $\pi_i^s$ . Set  $\text{Sent}_i^s$  will store outgoing messages of the oracle and  $\text{Recv}_i^s$  will store valid incoming messages, respectively. We stress that valid messages in  $\text{Recv}_i^s$  are those incoming messages that pass the verification of MAC or SIG.

We know that  $\text{Partner}(\pi_i^s \leftarrow \pi_j^t)$  holds if the following conditions are satisfied.

- $\text{Pid}_i^s = j$  and  $\Psi_i^s = \text{accept}$ .
- If  $\pi_i^s$  is the initiator, i.e.,  $\pi_i^s$  has sent the first message, then  $\text{Sent}_i^s = \text{Recv}_j^t = \{(pk_{\text{otKEM}}, C_1)\}$  and  $\text{Recv}_i^s = \text{Sent}_j^t = \{(C_2, \sigma_1)\}$ .
- If  $\pi_i^s$  is the responder, i.e.,  $\pi_i^s$  has received the first message, then  $\text{Sent}_i^s = \text{Recv}_j^t = \{(C_2, \sigma_1)\}$ , and  $\text{Recv}_i^s = \text{Sent}_j^t = \{(pk_{\text{otKEM}}, C_1), c\}$ .

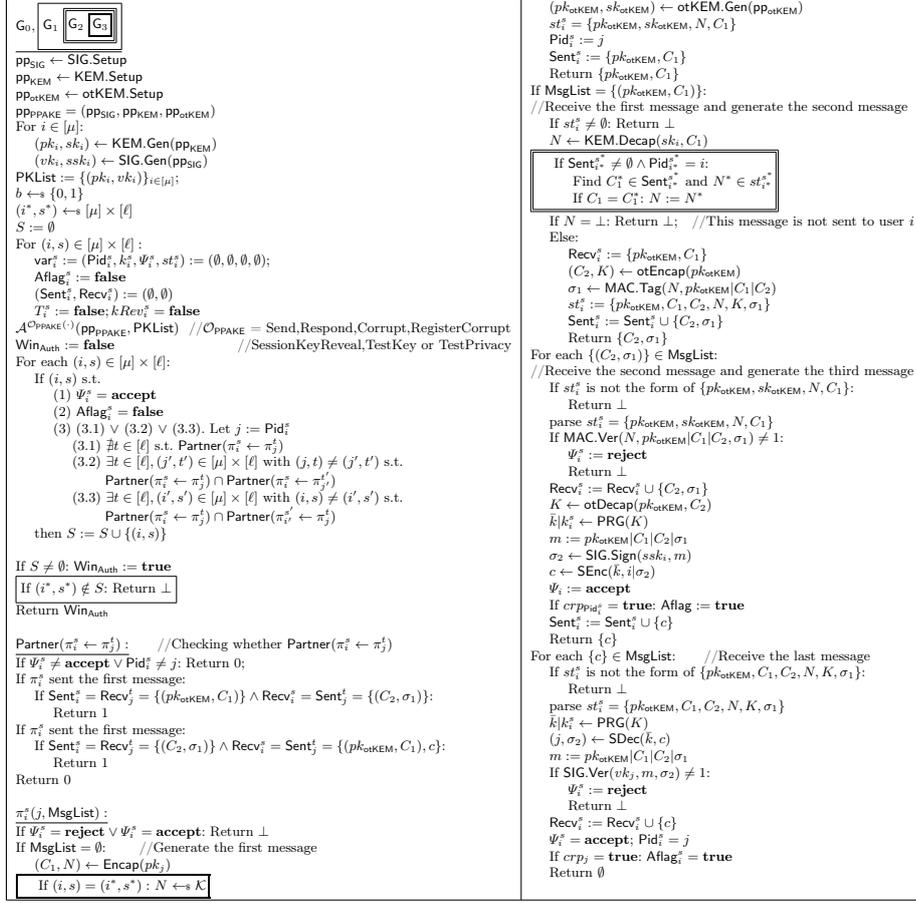


Fig. 8: Games  $G_0$ - $G_3$  for authenticity of PPAKE. Queries to  $\mathcal{O}_{\text{PPAKE}} \in \{\text{Send, Respond, Corrupt, RegisterCorrupt, SessionKeyReveal, TestPrivacy, TestKey}\}$  are defined as in the original game in Figure 6 and omitted here.

Besides, we define a set  $S$  recording all the pairs  $(i, s)$  such that  $\text{Win}_{\text{Auth}} = \text{true}$ .

**Proof of explicit mutual authenticity.** To prove authenticity for PPAKE, we now describe a sequence of games  $G_0$ - $G_3$  and show that the advantage of  $\mathcal{A}$  in adjacent games. The full codes of  $G_0$ - $G_3$  are also given in Figure 8. Define  $\text{Win}_i$  as the event of  $\text{Win}_{\text{Auth}} = \text{true}$  in  $G_i \wedge (i^*, s^*) \in S$ , where  $(i^*, s^*) \leftarrow_{\mathcal{S}} [\mu] \times [\ell]$ .

**Game  $G_0$ :**  $G_0$  is the original experiment  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{AUTH}}$ . In addition, challenger  $\mathcal{C}$  uses  $\text{Sent}_i^s$  and  $\text{Recv}_i^s$  recording valid incoming valid messages and outgoing messages for  $\pi_i^s$ . This is only a conceptual change. Clearly,  $\Pr[(i^*, s^*) \in S \mid \text{Win}_{\text{Auth}} = \text{true}] = \Pr[\text{Win}_0] / \Pr[\text{Win}_{\text{Auth}} = \text{true}] \geq \frac{1}{\mu\ell}$ . Then

$$\Pr[\text{Win}_{\text{Auth}} = \text{true}] \leq \mu\ell \cdot \Pr[\text{Win}_0]. \quad (1)$$

**Game  $G_1$ :** In  $G_1$ , challenger  $\mathcal{C}$  first chooses  $(i^*, s^*) \leftarrow_s [\mu] \times [\ell]$ . At the end of  $G_1$ , if  $(i^*, s^*) \notin S$ ,  $G_1$  aborts by returning  $\perp$ . Then for the specific pair  $(i^*, s^*)$ ,

$$\Pr[\text{Win}_1] = \Pr[\text{Win}_0] = \Pr_{(i^*, s^*)} [(1) \wedge (2) \wedge (3)]. \quad (2)$$

**Game  $G_2$ :** In  $G_2$ , if  $\pi_{i^*}^{s^*}$  is a responder,  $G_2$  is the same as  $G_1$ . If  $\pi_{i^*}^{s^*}$  is an initiator and  $\text{Pid}_{i^*}^{s^*} = j^*$ ,  $\text{Sent}_{i^*}^{s^*} \neq \emptyset$ ,  $\mathcal{C}$  changes the behavior of  $\pi_{j^*}^t$  for  $t \in [\ell]$ .

Note  $\text{Sent}_{i^*}^{s^*} \neq \emptyset$  implies that  $\exists (pk_{\text{otKEM}}^*, C_1^*) \in \text{Sent}_{i^*}^{s^*}$ , where  $(pk_{\text{otKEM}}^*, sk_{\text{otKEM}}^*) \leftarrow \text{otKEM.Gen}(\text{pp}_{\text{otKEM}})$  and  $(C_1^*, N^*) \leftarrow \text{Encap}(pk_{j^*}^*)$ . Meanwhile,  $\pi_{i^*}^{s^*}$  also has state  $st_{i^*}^{s^*} = \{pk_{\text{otKEM}}^*, sk_{\text{otKEM}}^*, N^*, C_1^*\}$ . Then for  $\forall t \in [\ell]$ , if  $(pk_{\text{otKEM}}, C_1) \in \text{Recv}_{j^*}^t$ , oracle  $\pi_{j^*}^t(pk_{\text{otKEM}}, C_1)$  will compute  $N'$  by  $N \leftarrow \text{Decap}(sk_{j^*}, C_1)$  in  $G_1$ . But in  $G_2$ ,  $\pi_{j^*}^t(pk_{\text{otKEM}}, C_1)$  computes  $N'$  in the following way.

- $C_1 = C_1^*$ :  $\pi_{j^*}^t$  borrows  $N^*$  from  $st_{i^*}^{s^*}$  and sets  $N := N^*$ .
- $C_1 \neq C_1^*$ :  $\pi_{j^*}^t$  computes  $N \leftarrow \text{Decap}(sk_{j^*}, C_1)$  (as in  $G_1$ ).

Due to the correctness of KEM, we have

$$\Pr[\text{Win}_2] = \Pr[\text{Win}_1]. \quad (3)$$

**Game  $G_3$ :** In  $G_3$ , if  $\pi_{i^*}^{s^*}$  is a responder,  $G_2$  is the same as  $G_1$ . If  $\pi_{i^*}^{s^*}$  is an initiator, then the encapsulation key  $N^*$  is randomly chosen with  $N^* \leftarrow_s \mathcal{K}$ , instead of  $N^* \leftarrow \text{Encap}(pk_{j^*}^*)$  as in  $G_2$ .

**Lemma 1.**  $|\Pr[\text{Win}_2] - \Pr[\text{Win}_3]| \leq \mu \cdot \text{Adv}_{\text{KEM}}^{\text{CCA}}(\mathcal{B}_{\text{KEM}})$ .

The formal proof of Lemma 1 is given in Appendix B.1. Here we sketch the proof. We construct adversary  $\mathcal{B}_{\text{KEM}}$  against IND-CCA security of KEM scheme.  $\mathcal{B}_{\text{KEM}}$  will simulate  $G_2/G_3$  for  $\mathcal{A}$ .  $\mathcal{B}_{\text{KEM}}$  gets its challenge  $(C^*, K^*)$  w.r.t.  $pk^*$ , it sets  $pk_{j^*}^* := pk^*$  with  $j^* \leftarrow_s [\mu]$ , and embeds  $C^*$  into  $\pi_{i^*}^{s^*}$ 's output message  $(pk_{\text{otKEM}}^*, C_1^* := C^*)$  and embeds  $K^*$  into its state  $st_{i^*}^{s^*} := (pk_{\text{otKEM}}^*, sk_{\text{otKEM}}^*, N^* = K^*, C_1^* = C^*)$ .  $\mathcal{B}_{\text{KEM}}$  also asks its own DECAP oracle  $\mathcal{O}_{\text{Decap}}$  to simulate decapsulation of  $C_1 \neq C^*$  for oracle  $\pi_{j^*}^t(pk_{\text{otKEM}}, C_1)$ . Finally,  $\mathcal{B}_{\text{KEM}}$  outputs 1 iff  $\text{Win}$  occurs and  $j^* = \text{Pid}_{i^*}^{s^*}$ . If  $K^*$  is an encapsulated key for  $C^*$ ,  $\mathcal{B}_{\text{KEM}}$  simulates  $G_2$ ; if  $K^*$  is random,  $\mathcal{B}_{\text{KEM}}$  simulates  $G_3$ . Since  $j^* = \text{Pid}_{i^*}^{s^*}$  with probability  $1/\mu$ , we have  $|\Pr[\text{Win}_2] - \Pr[\text{Win}_3]| \leq \mu \cdot \text{Adv}_{\text{KEM}}^{\text{CCA}}(\mathcal{B}_{\text{KEM}})$ .

Next, we analyze (1), (2), (3.1), (3.2), (3.3) in  $G_3$  so as to determine  $\Pr[\text{Win}_{\text{Auth}}]$ .

We define the event  $\text{NoPartner}(i, s)$  as (1)  $\wedge$  (2)  $\wedge$  (3.1) happens for  $(i, s)$ . Equivalently,  $\pi_i^s$  accepts, the intended partner  $j := \text{Pid}_i^s$  is uncorrupted when  $\pi_i^s$  accepts, and there does not exist  $t \in [\ell]$  such that  $\text{Partner}(\pi_i^s \leftarrow \pi_j^t)$ .

**Lemma 2.** In  $G_3$ , we have

$$\Pr_{(i^*, s^*)} [(1) \wedge (2) \wedge (3.1)] = \Pr[\text{NoPartner}(i^*, s^*)] \leq \text{Adv}_{\text{MAC}}^{\text{SEUF-CMA}}(\mathcal{B}_{\text{MAC}}) + \mu \cdot \text{Adv}_{\text{SIG}}^{\text{SEUF-CMA}}(\mathcal{B}_{\text{SIG}}).$$

This proof of Lemma 2 relies on the sEUF-CMA security of SIG and MAC.

We consider the probability of event  $\text{NoPartner}(i^*, s^*)$  in two cases:  $\pi_{i^*}^{s^*}$  is an initiator and  $\pi_{i^*}^{s^*}$  is a responder. In the first case,  $\pi_{i^*}^{s^*}$  must have received a message  $(C_2^*, \sigma_1^*)$  such that  $\sigma_1^*$  is a valid MAC tag for some non-consistent message  $pk_{\text{otKEM}}^* | C_1^* | C_2^*$ , yielding a fresh and valid forgery for MAC. In the second case,  $\pi_{i^*}^{s^*}$  must have received non-consistent messages  $(pk_{\text{otKEM}}^*, C_1^*)$  and  $c^*$  whose decryption results in  $(j^*, \sigma_2^*)$ , and  $\sigma_2^*$  must be a valid signature for message  $pk_{\text{otKEM}}^* | C_1^* | C_2^* | \sigma_1^*$ . Due to the ciphertext diversity of SE,  $c \neq c^*$  implies that  $(j^*, \sigma_2^*) \neq (j', \sigma_2')$ . If  $\text{NoPartner}(i^*, s^*)$  happens, we know that  $(pk_{\text{otKEM}}^* | C_1^* | C_2^* | \sigma_1^*, \sigma_2^*)$  must be a fresh and valid message-signature pair, yielding a successful forgery for SIG. The formal proof is given in Appendix B.2.

Furthermore, considering the random selection of  $(i^*, s^*)$ , in  $\mathbf{G}_3$  we have

$$\Pr_{\exists(i,s)} [(1) \wedge (2) \wedge (3.1)] \leq \mu\ell \cdot (\text{Adv}_{\text{MAC}}^{\text{sEUF-CMA}}(\mathcal{B}_{\text{MAC}}) + \mu \cdot \text{Adv}_{\text{SIG}}^{\text{sEUF-CMA}}(\mathcal{B}_{\text{SIG}})). \quad (4)$$

By Lemma 1 and Eq. (1)(2)(3) and (4), we have the following corollary.

**Corollary 2.** *In  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{AUTH}}$ , it holds that*

$$\Pr_{\exists(i,s)} [(1) \wedge (2) \wedge (3.1)] \leq (\mu\ell) \cdot (\mu \cdot \text{Adv}_{\text{KEM}}^{\text{CCA}}(\mathcal{B}_{\text{KEM}}) + \text{Adv}_{\text{MAC}}^{\text{sEUF-CMA}}(\mathcal{B}_{\text{MAC}}) + \mu \cdot \text{Adv}_{\text{SIG}}^{\text{sEUF-CMA}}(\mathcal{B}_{\text{SIG}})).$$

**Lemma 3.** *In  $\mathbf{G}_3$ , we have*

$$\Pr_{(i^*, s^*)} [(1) \wedge (2) \wedge (3.2)] \leq (\mu\ell)^2 \cdot (\text{Adv}_{\text{PRG}}^{\text{ps}}(\mathcal{B}_{\text{PRG}}) + \frac{1}{|\mathcal{K}_2|}).$$

If  $(1) \wedge (2) \wedge (3.2)$  happens for  $(i^*, s^*)$  in  $\mathbf{G}_3$ , then  $\pi_{i^*}^{s^*}$  will accept with session key  $k_{i^*}^{s^*}$  and there exist two oracles  $\pi_j^t$  and  $\pi_{j'}^{t'}$ , subject to  $\text{Partner}(\pi_{i^*}^{s^*} \leftarrow \pi_j^t)$  and  $\text{Partner}(\pi_{i^*}^{s^*} \leftarrow \pi_{j'}^{t'})$ . Then  $\pi_{i^*}^{s^*}$  must share the same session key with both  $\pi_j^t$  and  $\pi_{j'}^{t'}$ , which happens with negligible probability, due to the independent randomness in  $\pi_{i^*}^{s^*}$ ,  $\pi_j^t$  and  $\pi_{j'}^{t'}$ , the key uniformity of otKEM, and the pseudo-randomness of PRG. The formal proof is shown in Appendix B.3.

By Lemma 3 and Eq. (1)(2)(3), we have the following corollary.

**Corollary 3.** *In  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{AUTH}}$ , we have*

$$\Pr_{\exists(i,s)} [(1) \wedge (2) \wedge (3.2)] \leq (\mu\ell)^3 \cdot (\text{Adv}_{\text{PRG}}^{\text{ps}}(\mathcal{B}_{\text{PRG}}) + \frac{1}{|\mathcal{K}_2|}) + (\mu^2\ell) \cdot \text{Adv}_{\text{KEM}}^{\text{CCA}}(\mathcal{B}_{\text{KEM}}).$$

**Lemma 4.** *In  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{AUTH}}$ , we have*

$$\Pr_{\exists(i,s)} [(1) \wedge (2) \wedge (3.3)] \leq \Pr_{\exists(i,s)} [(1) \wedge (2) \wedge (3.2)] + (\mu\ell)^2 \cdot 2^{-\gamma}.$$

*Proof.* If  $\exists(i^*, s^*)$  satisfies  $(1) \wedge (2) \wedge (3.3)$ , then  $\Psi_{i^*}^{s^*} = \mathbf{accept}$ ,  $\text{Aflag}_{i^*}^{s^*} = \mathbf{false}$ ,  $\text{Partner}(\pi_{i^*}^{s^*} \leftarrow \pi_j^t)$  and  $\text{Partner}(\pi_{i^*}^{s^*} \leftarrow \pi_{j'}^{t'})$ . We consider the following two cases.

- **Initiator**  $\pi_{i^*}^{s^*}$ . According to the definition, we know that  $\text{Partner}(\pi_{i^*}^{s^*} \leftarrow \pi_j^t)$  and  $\text{Partner}(\pi_{i'}^{s'} \leftarrow \pi_j^t)$  implies  $(pk_{\text{otKEM}}^*, C_1^*) \in \text{Sent}_{i^*}^{s^*} = \text{Recv}_j^t$ ,  $(pk'_{\text{otKEM}}, C_1') \in \text{Sent}_{i'}^{s'} = \text{Recv}_j^t$ ,  $(C_2^*, \sigma_1^*) \in \text{Recv}_{i^*}^{s^*} = \text{Sent}_j^t$ ,  $(C_2', \sigma_1') \in \text{Recv}_{i'}^{s'} = \text{Sent}_j^t$ . Then it holds that  $(pk_{\text{otKEM}}^*, C_1^*, C_2^*) = (pk'_{\text{otKEM}}, C_1', C_2')$ . According to the  $\gamma$ -pk-diversity of  $\text{otKEM}$ , we know that  $\Pr[pk'_{\text{otKEM}} = pk_{\text{otKEM}}] = 2^{-\gamma}$ . Therefore,  $(1) \wedge (2) \wedge (3.3)$  happens for  $(i^*, s^*)$  and  $(i', s')$  with probability at most  $2^{-\gamma}$ . As there are at most  $(\mu\ell)^2$  choices of  $(i^*, s^*)$  and  $(i', s')$ , we can upper bound the probability of event  $(1) \wedge (2) \wedge (3.3)$  by  $(\mu\ell)^2 \cdot 2^{-\gamma}$  in this case.
- **Responder**  $\pi_{i^*}^{s^*}$ . In this case,  $\text{Partner}(\pi_{i^*}^{s^*} \leftarrow \pi_j^t)$  implies  $\text{Partner}(\pi_j^t \leftarrow \pi_{i^*}^{s^*})$  and  $\text{Partner}(\pi_{i'}^{s'} \leftarrow \pi_j^t)$  implies  $\text{Partner}(\pi_j^t \leftarrow \pi_{i'}^{s'})$ . This further implies that  $(1) \wedge (2) \wedge (3.2)$  happens for  $(j, t)$ . Therefore, we can upper bound the probability of event  $(1) \wedge (2) \wedge (3.3)$  by  $(1) \wedge (2) \wedge (3.2)$  in this case.

Combining the above two cases yields Lemma 4.  $\square$

Finally, the authenticity of PPAKE follows from Corollary 2.3 and Lemma 4 and

$$\begin{aligned} \Pr[\text{Win}_{\text{Auth}}] &\leq 3\mu^2\ell \cdot \text{Adv}_{\text{KEM}}^{\text{CCA}}(\mathcal{B}_{\text{KEM}}) + \mu\ell \cdot \text{Adv}_{\text{MAC}}^{\text{SEUF-CMA}}(\mathcal{B}_{\text{MAC}}) + \mu^2\ell \cdot \text{Adv}_{\text{SIG}}^{\text{SEUF-CMA}}(\mathcal{B}_{\text{SIG}}) \\ &\quad + 2(\mu\ell)^3 \cdot \left( \text{Adv}_{\text{PRG}}^{\text{PS}}(\mathcal{B}_{\text{PRG}}) + \frac{1}{|\mathcal{K}_2|} \right) + (\mu\ell)^2 \cdot 2^{-\gamma}. \end{aligned} \quad (5)$$

**Proof of forward security for session key.** We now consider another sequence of games  $\mathbf{G}_0$ - $\mathbf{G}_5$  and analyze  $\mathcal{A}$ 's advantages in these games. Let  $\text{Win}_i$  denote the event that  $\mathbf{G}_i$  outputs 1, i.e.  $\mathcal{A}$ 's output bit satisfies  $b^* = b$  in  $\mathbf{G}_i$ . Let  $\text{adv}_i := |\Pr[\text{Win}_i] - 1/2|$ . Then  $|\text{adv}_i - \text{adv}_{i+1}| \leq |\Pr[\text{Win}_i] - \Pr[\text{Win}_{i+1}]|$ . The full codes of  $\mathbf{G}_0 - \mathbf{G}_4$  are presented in Figure 9.

**Game  $\mathbf{G}_0$ :**  $\mathbf{G}_0$  is the original experiment  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{IND}}$ . We add the sets  $\text{Sent}_i^s$  and  $\text{Recv}_i^s$  which is only a conceptual change. So,

$$\text{Adv}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{IND}} := |\Pr[\text{Win}_0] - 1/2| = \text{adv}_0. \quad (6)$$

**Game  $\mathbf{G}_1$ :** Challenger  $\mathcal{C}$  will check whether event  $\text{Win}_{\text{Auth}}$  occurs in  $\mathbf{G}_1$ . If  $\text{Win}_{\text{Auth}}$  occurs,  $\mathcal{C}$  will abort the game by returning 0. Otherwise,  $\mathbf{G}_1$  is the same as  $\mathbf{G}_0$ . Then  $|\Pr[\text{Win}_0] - \Pr[\text{Win}_1]| \leq \Pr[\text{Win}_{\text{Auth}}]$ . By (5), we have

$$\begin{aligned} |\text{adv}_0 - \text{adv}_1| &\leq 3\mu^2\ell \cdot \text{Adv}_{\text{KEM}}^{\text{CCA}}(\mathcal{B}_{\text{KEM}}) + \mu\ell \cdot \text{Adv}_{\text{MAC}}^{\text{SEUF-CMA}}(\mathcal{B}_{\text{MAC}}) + \mu^2\ell \cdot \text{Adv}_{\text{SIG}}^{\text{SEUF-CMA}}(\mathcal{B}_{\text{SIG}}) \\ &\quad + 2(\mu\ell)^3 \cdot \left( \text{Adv}_{\text{PRG}}^{\text{PS}}(\mathcal{B}_{\text{PRG}}) + \frac{1}{|\mathcal{K}_2|} \right) + (\mu\ell)^2 \cdot 2^{-\gamma}. \end{aligned} \quad (7)$$

**Game  $\mathbf{G}_2$ :** In  $\mathbf{G}_2$ , if event  $\text{Hit}$  does not occur,  $\mathcal{C}$  will return a random bit  $\theta \leftarrow_{\$} \{0, 1\}$ . Otherwise,  $\mathbf{G}_2$  is the same as  $\mathbf{G}_1$ . Event  $\text{Hit}$  is defined as follows. Randomly choose  $(i^*, s^*, j^*, t^*) \leftarrow_{\$} ([\mu] \times [\ell])^2$ . If  $\mathcal{A}$  queried  $\text{TestKey}(i, s)$  and  $\text{TestKey}(i, s)$  did not reply  $\perp$ , then  $\pi_i^s$  must accept and  $\text{Aflag}_i^s = \text{false}$ . Accordingly,  $\pi_i^s$  must have a unique partner  $\pi_j^t$  such that  $\text{Partner}(\pi_i^s \leftarrow \pi_j^t)$ . So  $\text{TestKey}(i, s)$  uniquely determines a tuple  $(i, s, j, t)$ . Event  $\text{Hit}$  occurs iff  $(i^*, s^*, j^*, t^*) = (i', s', j', t')$ . Obviously,  $\Pr[\text{Hit}] = 1/(\mu\ell)^2$ . We have  $\Pr[\text{Win}_2] = \Pr[\text{Hit}] \cdot \Pr[\text{Win}_1] + \Pr[\overline{\text{Hit}}] \cdot \frac{1}{2} = \Pr[\text{Hit}] \cdot (\frac{1}{2} \pm \text{adv}_1) + \Pr[\overline{\text{Hit}}] \cdot \frac{1}{2} = \frac{1}{2} \pm \frac{1}{(\mu\ell)^2} \cdot \text{adv}_1$ . Hence,

$$\text{adv}_1 = (\mu\ell)^2 \cdot \text{adv}_2. \quad (8)$$

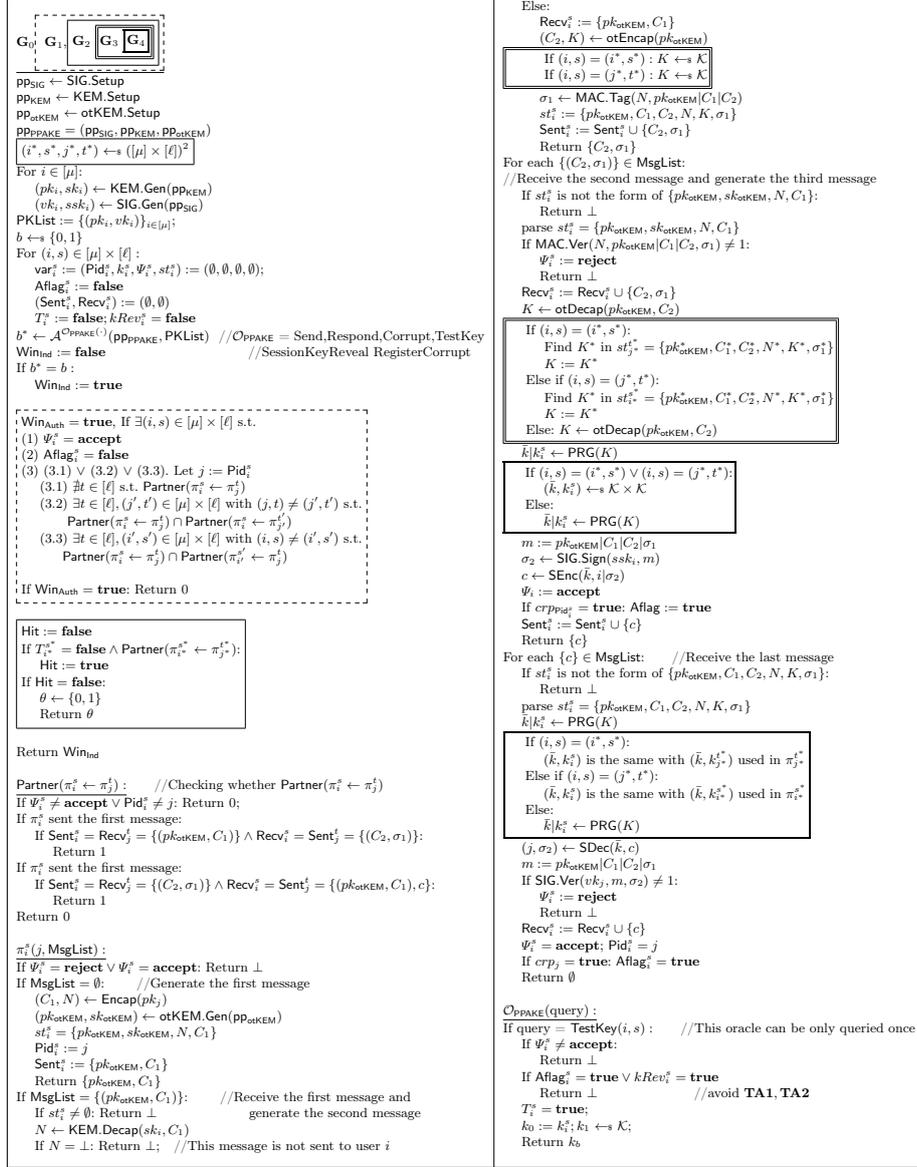


Fig. 9: Games  $\mathbf{G}_0$ - $\mathbf{G}_4$  for forward security of PPAKE. Queries to  $\mathcal{O}_{\text{PPAKE}}$  where query  $\in \{\text{Send, Respond, Corrupt, RegisterCorrupt, SessionKeyReveal}\}$  are defined as in the original game in Figure 6.

**Game  $\mathbf{G}_3$ :** In  $\mathbf{G}_3$ , the encapsulation key  $K$  shared  $\pi_i^{s^*}$  and  $\pi_j^{t^*}$  is generated by  $K \leftarrow_{\$} K$ . Recall that in  $\mathbf{G}_2$ ,  $\pi_i^{s^*}$  and  $\pi_j^{t^*}$  compute  $K$  with  $(C, K) \leftarrow \text{otEncap}(pk_{\text{otKEM}})$  and  $K \leftarrow \text{otDecap}(sk_{\text{otKEM}}, C)$ .

**Lemma 5.**  $|adv_2 - adv_3| \leq |\Pr[\text{Win}_2] - \Pr[\text{Win}_3]| \leq \text{Adv}_{\text{KEM}}^{\text{CPA}}(\mathcal{B}_{\text{otKEM}})$ .

Recall that in  $\mathbf{G}_2$ , if  $\pi_{i^*}^{s^*}$  accepts session key  $k_{i^*}^{s^*}$  and  $\text{Aflag}_{i^*}^{s^*} = \text{false}$ , then there must exist  $\pi_{j^*}^{t^*}$  such that  $\text{Partner}(\pi_{i^*}^{s^*} \leftarrow \pi_{j^*}^{t^*})$ . To prove this lemma, we construct an adversary  $\mathcal{B}_{\text{otKEM}}$  against the CPA security of  $\text{otKEM}$ . Given the challenge  $(C^*, K^*)$  w.r.t  $pk^*$ ,  $\mathcal{B}_{\text{otKEM}}$  embeds  $C^*$  as  $C_2^*$  and  $pk^*$  as  $pk_{\text{otKEM}}^*$  in the transcript between  $\pi_{i^*}^{s^*}$  and  $\pi_{j^*}^{t^*}$  and sets  $K^*$  in the state  $st_{i^*}^{s^*}$  or  $st_{j^*}^{t^*}$ . Finally,  $\mathcal{A}$  outputs a guessing bit  $b^*$ . If  $b^* = b$ ,  $\mathcal{B}_{\text{otKEM}}$  outputs 1; otherwise,  $\mathcal{B}_{\text{otKEM}}$  outputs 0.

If  $K^*$  is the encapsulated key for  $C^*$ , then  $\mathcal{B}_{\text{otKEM}}$  perfectly simulates  $\mathbf{G}_2$  for  $\mathcal{A}$ ; if  $K^*$  is random, then  $\mathcal{B}_{\text{otKEM}}$  perfectly simulates  $\mathbf{G}_3$  for  $\mathcal{A}$ . Then, we have  $|adv_2 - adv_3| \leq |\Pr[\text{Win}_2] - \Pr[\text{Win}_3]| \leq \text{Adv}_{\text{KEM}}^{\text{CPA}}(\mathcal{B}_{\text{otKEM}})$ .

The detailed proof is shown in Appendix B.4.

**Game  $\mathbf{G}_4$ :** In  $\mathbf{G}_4$ , the symmetric key and session key of  $\pi_{i^*}^{s^*}$  and  $\pi_{j^*}^{t^*}$  are uniformly sampled by  $(\bar{k}, k_{i^*}^{s^*} = k_{j^*}^{t^*}) \leftarrow_s \mathcal{K}_1 \times \mathcal{K}_2$ . Recall that in  $\mathbf{G}_3$ , they are generated by  $\bar{k}|k_{i^*}^{s^*} \leftarrow \text{PRG}(K)$ . Due to the pseudo-randomness of PRG, we have

$$|adv_3 - adv_4| \leq |\Pr[\text{Win}_3] - \Pr[\text{Win}_4]| \leq \text{Adv}_{\text{PRG}}^{\text{PS}}(\mathcal{B}_{\text{PRG}}). \quad (9)$$

Now that the session key of  $\pi_{i^*}^{s^*}$  is randomly chosen with  $k_{i^*}^{s^*} \leftarrow_s \mathcal{K}$ , we have

$$adv_4 = |\Pr[\text{Win}_4] - 1/2| = 0. \quad (10)$$

Finally, the forward security of PPAKE follows from Lemma 5 and Eq. (6)-(10).

**Proof of forward privacy for user identity.** To this end, we now consider another sequence of games  $\mathbf{G}'_0$ - $\mathbf{G}'_7$ . Let  $\text{Win}_i$  denote the event that  $\text{Win}_{\text{Privacy}} = \text{true}$  in  $\mathbf{G}'_i$ . Let  $adv_i := |\Pr[\text{Win}_i] - 1/2|$ . Then  $|adv_i - adv_{i+1}| := |\Pr[\text{Win}_i] - \Pr[\text{Win}_{i+1}]|$ . The full codes of  $\mathbf{G}'_0$ - $\mathbf{G}'_7$  are presented in Figure 10.

**Game  $\mathbf{G}'_0$ :**  $\mathbf{G}'_0$  is the original experiment  $\text{Exp}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{Privacy}}$ . We also add the sets  $\text{Sent}_i^s$  and  $\text{Recv}_i^s$  which is only a conceptual change. So,

$$\text{Adv}_{\text{PPAKE}, \mu, \ell, \mathcal{A}}^{\text{Privacy}} := |\Pr[\text{Win}_{\text{Privacy}}] - 1/2| = adv_0 \quad (11)$$

**Game  $\mathbf{G}'_1$ :** At the end of  $\mathbf{G}'_1$ , challenger  $\mathcal{C}$  will check whether event  $\text{Win}_{\text{Auth}}$  occurs. If  $\text{Win}_{\text{Auth}}$  occurs,  $\mathcal{C}$  will abort the game by returning 0. Otherwise,  $\mathbf{G}'_1$  is the same as  $\mathbf{G}'_0$ . Due to the difference lemma and (5), we have

$$\begin{aligned} |adv_0 - adv_1| &\leq 3\mu^2\ell \cdot \text{Adv}_{\text{KEM}}^{\text{CCA}}(\mathcal{B}_{\text{KEM}}) + \mu\ell \cdot \text{Adv}_{\text{MAC}}^{\text{EUF-CMA}}(\mathcal{B}_{\text{MAC}}) + (\mu\ell)^2 2^{-\gamma} \\ &\quad + \mu^2\ell \cdot \text{Adv}_{\text{SIG}}^{\text{EUF-CMA}}(\mathcal{B}_{\text{SIG}}) + 2(\mu\ell)^3 \cdot (\text{Adv}_{\text{PRG}}^{\text{PS}}(\mathcal{B}_{\text{PRG}}) + \frac{1}{|\mathcal{K}_2|}). \end{aligned} \quad (12)$$

**Game  $\mathbf{G}'_2$ :** In  $\mathbf{G}'_2$ , upon  $\mathcal{A}$ 's query to oracle  $\text{Tran}(i, j)$ ,  $\pi_i^0$  and  $\pi_j^0$  will not respond to any message in  $\text{InsertList}$  sent by  $\mathcal{A}$ . Note that each oracle responds to only one valid message. If this valid message is not sent by  $\mathcal{A}$ , then  $\mathbf{G}'_2$  is the same as  $\mathbf{G}'_1$ . If this valid message is sent by  $\mathcal{A}$  (the message can only be inserted in the second round or third round of our protocol), then this will lead to occurrence of event  $\text{NoPartner}(i, 0)$ , which is impossible. Hence,  $\mathbf{G}'_2$  is identical to  $\mathbf{G}'_1$ , and

$$adv_1 = adv_2. \quad (13)$$

Now we define an event named Hit. When  $\mathcal{A}$  queries  $\text{TestPrivacy}(i, j, i', j')$ , a unique tuple  $(i, j, i', j')$  is determined. Even Hit happens iff  $(i_0^*, j_0^*, i_1^*, j_1^*) = (i, j, i', j')$ , where  $(i_0^*, j_0^*, i_1^*, j_1^*) \leftarrow_s [\mu]^4$  is sample at the beginning the game. Note that  $(i_0^*, j_0^*, i_1^*, j_1^*)$  follows a uniform distribution, so we have  $\Pr[\text{Hit}] = \frac{1}{\mu^4}$ .

**Game  $\mathbf{G}'_3$ :** At the end of  $\mathbf{G}'_3$ , if event Hit does not occur,  $\mathcal{C}$  will return a random bit  $\theta \leftarrow_s \{0, 1\}$  instead of detecting event Win. Otherwise,  $\mathbf{G}'_3$  is the same as  $\mathbf{G}'_2$ . We have  $\Pr[\text{Win}_3] = \Pr[\text{Hit}] \cdot \Pr[\text{Win}_2] + \Pr[\overline{\text{Hit}}] \cdot \frac{1}{2} = \Pr[\text{Hit}] \cdot (\frac{1}{2} \pm \text{adv}_2) + \Pr[\overline{\text{Hit}}] \cdot \frac{1}{2} = \frac{1}{2} \pm \frac{1}{\mu^4} \cdot \text{adv}_2$ . As a result,

$$\text{adv}_2 = \mu^4 \cdot \text{adv}_3. \quad (14)$$

**Game  $\mathbf{G}'_4$ :** In  $\mathbf{G}'_4$ , the encapsulation key  $K$  shared by  $\pi_{i_b^*}^0$  and  $\pi_{j_b^*}^0$  is generated by  $K \leftarrow_s \mathcal{K}$ , instead of  $(C, K) \leftarrow \text{otEncap}(pk)$  and  $K \leftarrow \text{otDecap}(C)$  as in  $\mathbf{G}'_3$ . Similar to the proof of Lemma 5, we have

$$|\text{adv}_3 - \text{adv}_4| \leq \text{Adv}_{\text{KEM}}^{\text{CPA}}(\mathcal{B}_{\text{otKEM}}). \quad (15)$$

**Game  $\mathbf{G}'_5$ :** In  $\mathbf{G}'_5$ , the symmetric key and session key of  $\pi_{i_b^*}^0$  and  $\pi_{j_b^*}^0$  are generated by  $(\bar{k}, k_{i_b^*}^0) = (\bar{k}, k_{j_b^*}^0) \leftarrow_s \mathcal{K}_1 \times \mathcal{K}_2$  instead of  $\text{PRG}(K)$  as in  $\mathbf{G}'_4$ . Hence,

$$|\text{adv}_4 - \text{adv}_5| \leq \text{Adv}_{\text{PRG}}^{\text{PS}}(\mathcal{B}_{\text{PRG}}). \quad (16)$$

**Game  $\mathbf{G}'_6$ :** In  $\mathbf{G}'_6$ , If  $j_0 = j_1$ , then  $\mathbf{G}'_6$  is the same as  $\mathbf{G}'_5$ . Otherwise,  $\pi_{i_b^*}^0$  generates  $C_1^*$  by  $(C_1^*, N) \leftarrow \text{Encap}(pk_{j_1^*})$ , instead of  $(C_1^*, N) \leftarrow \text{Encap}(pk_{j_b^*})$  as in  $\mathbf{G}'_5$ . By IK-CCA security of KEM, we know that  $(C_1^*, N)$  w.r.t  $pk_{j_0^*}$  is indistinguishable to that w.r.t  $pk_{j_1^*}$ . So we have Lemma 6 with proof shown in Appendix B.5.

**Lemma 6.**  $|\text{adv}_5 - \text{adv}_6| \leq |\Pr[\text{Win}_5] - \Pr[\text{Win}_6]| \leq \text{Adv}_{\text{KEM}}^{\text{IK-CCA}}(\mathcal{B}_{\text{KEM}})$ .

**Game  $\mathbf{G}'_7$ :**  $\mathbf{G}'_7$  is almost the same as  $\mathbf{G}'_6$ , except for the answer generation of oracle  $\text{TestPrivacy}(i, j, i', j')$  (which is  $\text{TestPrivacy}(i_0^*, j_0^*, i_1^*, j_1^*)$ ). In  $\mathbf{G}'_7$ ,  $c^*$  is an encryption of  $(i_1^*, \sigma_2^*)$  where  $\sigma_2^*$  is computed using the signing key  $ssk_{i_1^*}$ . However, in  $\mathbf{G}'_6$ ,  $c^*$  is an encryption of  $(i_b^*, \sigma_2^*)$  with  $\sigma_2^*$  a signature generated by the signing key  $ssk_{i_b^*}$ . The semantic security of SE makes sure that this change is indistinguishable, as shown in Lemma 7.

**Lemma 7.**  $|\text{adv}_6 - \text{adv}_7| \leq |\Pr[\text{Win}_6] - \Pr[\text{Win}_7]| \leq \text{Adv}_{\text{SE}}^{\text{Sem}}(\mathcal{B}_{\text{SE}})$ .

The formal proof is given in Appendix B.6.

Finally, in  $\mathbf{G}'_7$ , all the messages in  $\text{Transcript} = \{(pk_{\text{otKEM}}^*, C_1^*), (C_2^*, \sigma_1^*), c^*\}$  are independent of  $b$ , so we have

$$\text{adv}_7 = |\Pr[\text{Win}_7] - 1/2| = 0. \quad (17)$$

Finally, the forward privacy of PPAKE follows from Lemma 6,7 and (11)-(17).

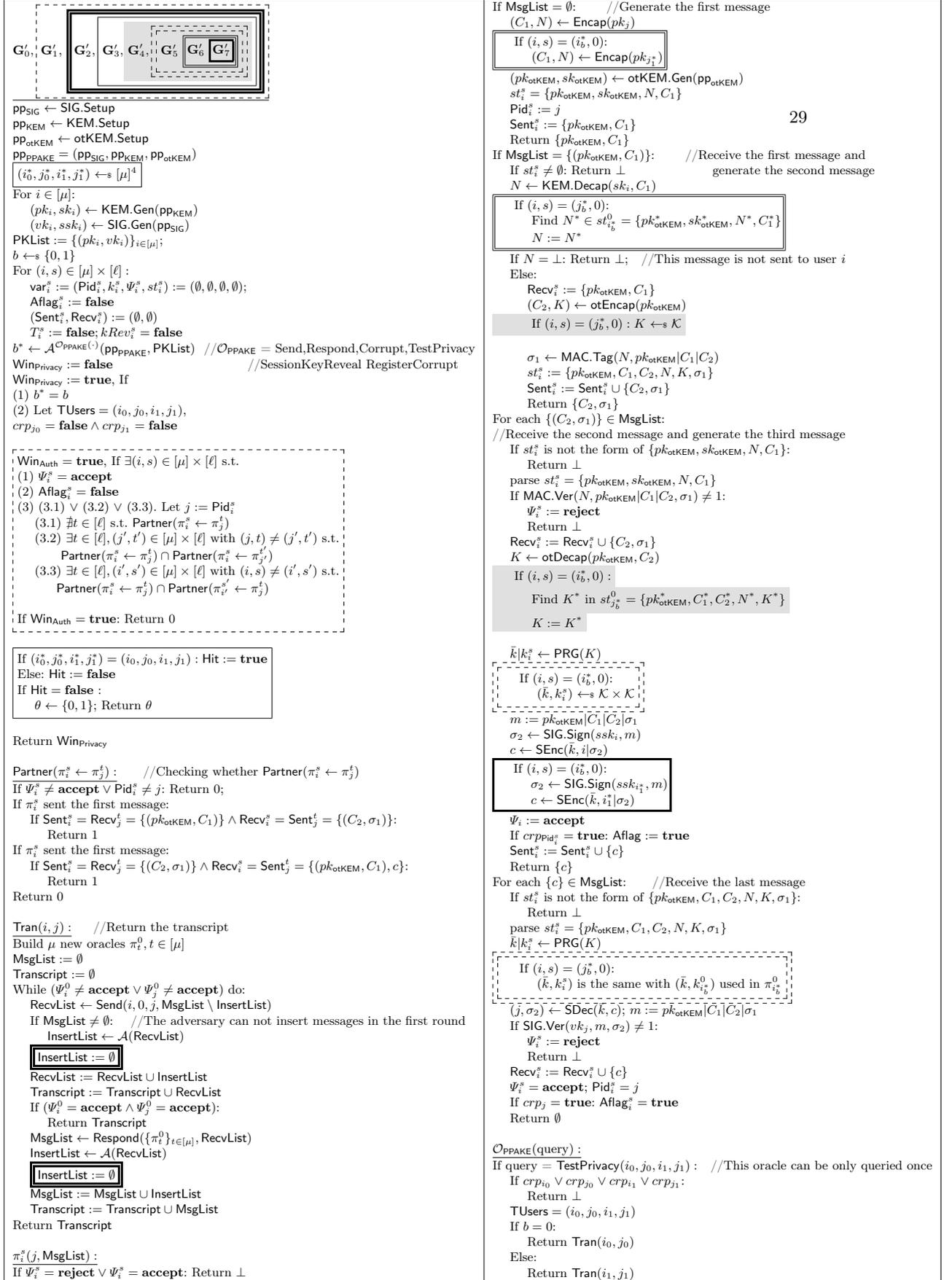


Fig. 10: Games  $\mathbf{G}'_0$ - $\mathbf{G}'_7$  for forward privacy of PPAKE. Queries to  $\mathcal{O}_{\text{PPAKE}}$  where query  $\in \{\text{Send, Respond, Corrupt, RegisterCorrupt, SessionKeyReveal}\}$  are defined as in the original game in Figure 6.

## 5 Instantiations of PPAKE

In this section, we present concrete instantiations for the building blocks of our PPAKE including KEM, otKEM, SIG, MAC, PRG and SE. This yields a specific PPAKE scheme based on the DDH assumption over a cyclic group  $\mathbb{G}$  and the CDH assumption over a bilinear group in the standard model. The details are shown in Appendix A and C.

**KEM.** We employ the Cramer-Shoup KEM (CS-KEM) scheme over a cyclic group  $\mathbb{G}$  of order  $q$ . It is well known that CS-KEM is IND-CCA secure. Its public parameter is  $(\mathbb{G}, q, g_1, g_2)$ . Now we show its robustness. Given a ciphertext  $C = (u_1, u_2, v) \in \mathbb{G}^3$  under public key  $pk = (c = g_1^{x_1} g_2^{x_2}, d = g_1^{y_1} g_2^{y_2}, h = g_1^{z_1} g_2^{z_2}) \in \mathbb{G}^3$ , we know that  $u_1 = g^r, u_2 = g^r$  and  $v = c^r d^{\alpha r} = u_1^{x_1 + \alpha y_1} u_2^{x_2 + \alpha y_2}$ , where  $\alpha$  is the hash value of  $(u_1, u_2)$ . When decrypting  $C$  with another independent and random secret key  $(x'_1, x'_2, y'_1, y'_2, z'_1, z'_2)$ , we have that  $\Pr[v = u_1^{x'_1 + \alpha y'_1} u_2^{x'_2 + \alpha y'_2}]$  with probability  $2/q$ . Therefore,  $C$  will be rejected except with probability  $2/q$ . The details of the KEM scheme is reviewed in Figure 11.

**otKEM.** We employ the ElGamal-KEM scheme over a cyclic group  $\mathbb{G}$  of order  $q$ . It is well known that ElGamal-KEM is IND-CPA secure. The public key is given by  $pk = g^x \in \mathbb{G}$  and the ciphertext is  $C = g^y \in \mathbb{G}$  and the encapsulated key is  $K = g^{xy}$ . The encapsulated key  $K = g^{xy}$  is uniformly distributed, when either the secret key  $sk = x$  or the randomness  $y$  used in otKEM.Encap is independently and randomly chosen over  $\mathbb{Z}_q$ . Hence, ElGamal-KEM has encapsulated key uniformity. Meanwhile, when  $x, x' \leftarrow_s \mathbb{Z}_q$ , two public keys  $pk = g^x = g^{x'} = pk'$  collide, i.e.,  $pk = g^x = g^{x'} = pk'$  with probability  $1/q$ . Hence it has  $\log q$ -pk-diversity. The details of the otKEM scheme is reviewed in Figure 12.

**SIG.** We employ the BSW signature scheme [7] over a bilinear group with bilinear map  $e : \mathbb{G}' \times \mathbb{G}' \rightarrow \mathbb{G}_1$ . Its sEUF-CMA security is based on the CDH assumption over  $\mathbb{G}'$ . Its signature space is  $\Sigma = \mathbb{G}'^2 \times \mathbb{Z}_q$ . The details of the SIG scheme is reviewed in Figure 13.

**MAC.** We use the MAC scheme [10] over a cyclic group  $\mathbb{G}$  of order  $q$ . Its sEUF-CMA security is based on the DDH assumption over  $\mathbb{G}$ . The MAC key is  $(\omega, x, x') \in \mathbb{Z}_q^3$  and the tag for message  $m$  is given by  $\sigma = (u, v_1, v_2) \in \mathbb{G}^3$ , where  $u$  is uniformly chosen,  $v_1 = u^\omega$  and  $v_2 = u^{x\ell + x'}$  with  $\ell$  the hash value of  $(u, v_1, m)$ . Its tag space is  $\mathbb{G}^3$ . The details of the MAC scheme is reviewed in Figure 14.

**PRG.** We use the PRG scheme [11], where  $\text{PRG} : \mathbb{Z}_q \rightarrow \mathbb{Z}_q^5$ . The PRG scheme is based on the DDH assumption over a cyclic group of order  $q$ . The details of the PRG scheme is reviewed in Figure 15.

**SE.** We can use one time pad over  $\mathbb{Z}_q$  as our SE scheme, which has information-theoretical semantic security. The secret key space, the plain text space and the cipher text space is  $\mathcal{K} = \mathcal{M} = \mathcal{C} = \mathbb{Z}_q$  with  $q$  a prime.

Assembling the above schemes according to our generic construction, we have a specific PPAKE scheme, with communication complexity  $(\mathbb{G} + 3\mathbb{G}) + (\mathbb{G} + 3\mathbb{G}) + (2\mathbb{G}' + 2\mathbb{Z}_q) = 8\mathbb{G} + 2\mathbb{G}' + 2\mathbb{Z}_q$ . The security of the PPAKE scheme is based on the DDH assumption over  $\mathbb{G}$  and the CDH assumption over the bilinear group  $\mathbb{G}'$ . The detail of the scheme is shown in Fig. 16.

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## Appendix

### A Assumptions: DDH and CDH

Let  $\text{GGen}$  denote a group generation algorithm which outputs  $(\mathbb{G}, q, g)$  with  $\mathbb{G}$  a group of order  $q$  and generator  $g$ .

**Definition 22 (DDH Assumption over  $\mathbb{G}$ ).** Given  $(\mathbb{G}, q, g) \leftarrow \text{GGen}(1^\lambda)$ , the advantage function of an adversary  $\mathcal{A}$  is defined by

$$\text{Adv}_{\mathbb{G}, \mathcal{A}}^{\text{DDH}}(\lambda) := \left| \Pr [a \leftarrow_{\$} \mathbb{Z}_q; b \leftarrow_{\$} \mathbb{Z}_q : \mathcal{A}(g^a, g^b, g^{ab}) \Rightarrow 1] \right. \\ \left. - \Pr [a \leftarrow_{\$} \mathbb{Z}_q; b \leftarrow_{\$} \mathbb{Z}_q; r \leftarrow_{\$} \mathbb{Z}_q : \mathcal{A}(g^a, g^b, g^r) \Rightarrow 1] \right|.$$

The DDH assumption holds on  $\mathbb{G}$  if  $\text{Adv}_{\mathbb{G}, \mathcal{A}}^{\text{DDH}}(\lambda) = \text{negl}(\lambda)$  for all PPT algorithm  $\mathcal{A}$ .

Let  $\text{PGGen}$  be a pairing group generation algorithm that returns a description  $\mathcal{PG} := (\mathbb{G}', \mathbb{G}_1, q, g, e)$  where  $\mathbb{G}', \mathbb{G}_1$  are cyclic groups of order  $q$ ,  $g$  is a generator of  $\mathbb{G}'$  and  $e : \mathbb{G}' \times \mathbb{G}' \rightarrow \mathbb{G}_1$  is an efficient computable (non-degenerated) bilinear map.

**Definition 23 (CDH Assumption over  $\mathbb{G}'$ ).** Given  $(\mathbb{G}', \mathbb{G}_1, q, g, e) \leftarrow \text{PGGen}(1^\lambda)$ , the advantage function of an adversary  $\mathcal{A}$  is defined by

$$\text{Adv}_{\mathbb{G}', \mathcal{A}}^{\text{CDH}}(\lambda) := \Pr [a \leftarrow_{\$} \mathbb{Z}_q; b \leftarrow_{\$} \mathbb{Z}_q : x \leftarrow \mathcal{A}(g, g^a, g^b) : x = g^{ab}]$$

The CDH assumption holds on  $\mathbb{G}'$  requires  $\text{Adv}_{\mathbb{G}', \mathcal{A}}^{\text{CDH}}(\lambda) = \text{negl}(\lambda)$  for all PPT algorithm  $\mathcal{A}$ .

### B The Omitted Proofs

#### B.1 The proof of Lemma 1

Let  $\mathcal{C}_{\text{KEM}}$  be the challenger of  $\text{Exp}_{\text{KEM}, \mathcal{A}}^{\text{CCA-b}}$ .  $\mathcal{B}_{\text{KEM}}$  gets a public key  $pk^*$ , an encapsulation key pair  $(C^*, K^*)$  from  $\mathcal{C}_{\text{KEM}}$ , where  $K^*$  is either generated by  $(C^*, K^*) \leftarrow \text{Encap}(pk^*)$  or random chosen by  $K^* \leftarrow_{\$} \mathcal{K}$ . Besides,  $\mathcal{B}_{\text{KEM}}$  can query an oracle  $\mathcal{O}_{\text{Decap}}(\cdot)$ . If  $\mathcal{B}_{\text{KEM}}$  queries  $\mathcal{O}_{\text{Decap}}(C)$  with  $C \neq C^*$ ,  $\mathcal{O}_{\text{Decap}}(C)$  will compute  $K \leftarrow \text{Decap}(sk^*, C)$  and return  $K$  to  $\mathcal{B}_{\text{KEM}}$ .

Next  $\mathcal{B}_{\text{KEM}}$  simulates  $\mathbb{G}_2$  or  $\mathbb{G}_3$  for  $\mathcal{A}$  just like challenger  $\mathcal{C}$  does except for the followings.  $\mathcal{B}_{\text{KEM}}$  randomly chooses  $(i^*, s^*, j^*) \leftarrow_{\$} [\mu] \times [\ell] \times [\mu]$  and sets  $pk_{j^*} := pk^*$ . If  $\mathcal{B}_{\text{KEM}}$  needs to call  $\pi_{i^*}^{s^*}$  to generate the first message to  $P_{j^*}$ ,  $\mathcal{B}_{\text{KEM}}$  will simulate  $\pi_{i^*}^{s^*}$  as follows:  $\mathcal{B}_{\text{KEM}}$  first generates  $(pk_{\text{otKEM}}^*, sk_{\text{otKEM}}^*) \leftarrow \text{otKEM.Gen}(\text{pp}_{\text{otKEM}})$ , then sets  $C_1^* := C^*$ ,  $N^* := K^*$ , and  $st_{i^*}^{s^*} := (pk_{\text{otKEM}}^*, sk_{\text{otKEM}}^*, N^* = K^*, C_1^* = C^*)$ . Finally, return  $(pk_{\text{otKEM}}^*, C^*)$ . If  $\text{Pid}_{i^*}^{s^*} \neq j^*$ ,  $\mathcal{B}_{\text{KEM}}$  aborts the game and outputs 0. Otherwise,  $\mathcal{B}_{\text{KEM}}$  simulates  $\pi_{j^*}^{t^*}(pk_{\text{otKEM}}^*, C_1)$  to generate  $N'$  as follows:

- $C_1 = C^*$ :  $\mathcal{B}_{\text{KEM}}$  sets  $N' := K^*$ .
- $C_1 \neq C^*$ :  $\mathcal{B}_{\text{KEM}}$  queries  $\mathcal{O}_{\text{Decap}}(C_1)$  to get  $K$  and set  $N' := K$ .

Finally, if  $\text{Win}_{\text{Auth}} = \text{true}$  in  $\mathsf{G}_i \wedge (i^*, s^*) \in S \wedge \text{Pid}_{i^*}^{s^*} = j^*$ ,  $\mathcal{B}_{\text{KEM}}$  outputs 1. Otherwise it outputs 0.

If  $\text{Pid}_{i^*}^{s^*} = j^*$  and  $N^*(= K^*)$  is generated by  $(C^*, K^*) \leftarrow \text{Encap}(pk^*)$ , then  $\mathcal{B}_{\text{KEM}}$  perfectly simulates  $\mathsf{G}_2$ . Otherwise, If  $\text{Pid}_{i^*}^{s^*} = j^*$  and  $N^*(= K^*)$  is generated by  $K^* \leftarrow_s \mathcal{K}$ , then  $\mathcal{B}_{\text{KEM}}$  perfectly simulates  $\mathsf{G}_3$ . Hence,

$$\left| \Pr \left[ \text{Win}_2 \wedge \text{Pid}_{i^*}^{s^*} = j^* \right] - \Pr \left[ \text{Win}_3 \wedge \text{Pid}_{i^*}^{s^*} = j^* \right] \right| \leq \text{Adv}_{\text{KEM}}^{\text{CCA}}(\mathcal{B}_{\text{KEM}}).$$

Since  $j^*$  is uniformly chosen and  $\mathcal{A}$  learns no information about it, we know  $\Pr \left[ \text{Win}_2 \wedge \text{Pid}_{i^*}^{s^*} = j^* \right] = \Pr [\text{Win}_2] \cdot \Pr \left[ \text{Pid}_{i^*}^{s^*} = j^* \right] = \frac{1}{\mu} \cdot \Pr [\text{Win}_2]$ . Similarly,  $\Pr \left[ \text{Win}_3 \wedge \text{Pid}_{i^*}^{s^*} = j^* \right] = \frac{1}{\mu} \cdot \Pr [\text{Win}_3]$ . Hence,  $|\Pr [\text{Win}_2] - \Pr [\text{Win}_3]| \leq \mu \cdot \text{Adv}_{\text{KEM}}^{\text{CCA}}(\mathcal{B}_{\text{KEM}})$ .

## B.2 Proof of Lemma 2

*Proof.* We consider the probability of event  $\text{NoPartner}(i^*, s^*)$  in two cases.

**Case 1:  $\pi_{i^*}^{s^*}$  is an initiator.** In this case, if  $\text{NoPartner}(i^*, s^*)$  happens, we will use  $\mathcal{A}$  to construct an adversary  $\mathcal{B}_{\text{MAC}}$  against sEUF-CMA security of  $\mathcal{B}_{\text{MAC}}$ .

Let  $\mathcal{C}_{\text{MAC}}$  be the challenger of  $\text{Exp}_{\text{MAC}}^{\text{sEUF-CMA}}$ .  $\mathcal{B}_{\text{MAC}}$  can query two oracles  $\mathcal{O}_{\text{TAG}}(\cdot)$  and  $\mathcal{O}_{\text{VRFY}}(\cdot, \cdot)$ , where  $\mathcal{O}_{\text{TAG}}(m)$ ,  $\mathcal{O}_{\text{TAG}}(m)$  will compute  $\sigma \leftarrow \text{MAC.Tag}(K_{\text{MAC}}, m)$  and return  $\sigma$  to  $\mathcal{B}_{\text{MAC}}$ , and  $\mathcal{O}_{\text{VRFY}}(m, \sigma)$  will compute  $b \leftarrow \text{MAC.Ver}(K_{\text{MAC}}, m, \sigma)$  and return  $b$  to  $\mathcal{B}_{\text{MAC}}$ .

$\mathcal{B}_{\text{MAC}}$  generates  $\text{pp}_{\text{PPAKE}}$  and  $\{pk_u, sk_u\}_{u \in [\mu]}$  by  $\text{PPAKE.Setup}$  and  $\text{PPAKE.Gen}$  algorithms. To simulate  $\pi_{i^*}^{s^*}$  with input  $\text{msg} = (C_2^*, \sigma_1^*)$ ,  $\mathcal{B}_{\text{MAC}}$  first finds  $(pk_{\text{otKEM}}^*, C_1^*)$  in  $st_{i^*}^{s^*}$ , then queries  $\mathcal{O}_{\text{VRFY}}(pk_{\text{otKEM}}^* | C_1^* | C_2^*, \sigma_1^*)$  to verify  $\sigma_1^*$ . If  $\sigma_1^*$  is valid,  $\mathcal{B}_{\text{MAC}}$  generates the next message as  $\pi_{i^*}^{s^*}$  does in  $\mathsf{G}_3$ . Otherwise,  $\mathcal{B}_{\text{MAC}}$  returns  $\perp$  as the output of  $\pi_{i^*}^{s^*}$ . Let  $j^* := \text{Pid}_{i^*}^{s^*}$ .

For  $\forall t \in [\ell]$ , when  $\mathcal{B}_{\text{MAC}}$  needs to simulate  $\pi_{j^*}^t$  with input  $\text{msg} = (pk_{\text{otKEM}}^*, C_1^*)$ ,  $\mathcal{B}_{\text{MAC}}$  will do as follows:

- $C_1^* \in \text{Sent}_{i^*}^{s^*}$ : In this case,  $\mathcal{B}_{\text{MAC}}$  computes  $(C_2^*, K^*) \leftarrow \text{Encap}(pk_{\text{otKEM}}^*)$ , and queries  $\mathcal{O}_{\text{TAG}}(pk_{\text{otKEM}}^* | C_1^* | C_2^*)$  to get  $\sigma_1^*$ . Finally,  $\mathcal{B}_{\text{MAC}}$  returns  $(C_2^*, \sigma_1^*)$  as the output message of  $\pi_{j^*}^t$ .
- $C_1^* \notin \text{Sent}_{i^*}^{s^*}$ : In this case,  $\mathcal{B}_{\text{MAC}}$  generates the next message just like  $\pi_{j^*}^t$  does in  $\mathsf{G}_3$ .

$\mathcal{B}_{\text{MAC}}$  simulates other algorithms in the same way as  $\mathsf{G}_3$ . If  $\text{NoPartner}(i^*, s^*)$  happens, then  $\mathcal{B}_{\text{MAC}}$  submits  $(pk_{\text{otKEM}}^* | C_1^* | C_2^*, \sigma_1^*)$  to  $\mathcal{C}_{\text{MAC}}$  as its MAC forgery.

Note that  $\mathcal{B}_{\text{MAC}}$  implicitly sets  $N^* := K_{\text{MAC}}$  and perfectly simulates  $\mathsf{G}_3$  for  $\mathcal{A}$ . If  $\text{NoPartner}(i^*, s^*)$  happens, since  $\pi_{i^*}^{s^*}$  accepts, we can find  $(C_2^*, \sigma_1^*) \in \text{Recv}_{i^*}^{s^*}$  and  $(pk_{\text{otKEM}}^*, C_1^*)$ , subject to  $\mathcal{O}_{\text{VRFY}}(pk_{\text{otKEM}}^* | C_1^* | C_2^*, \sigma_1^*) = 1$ . In addition, due to  $\text{NoPartner}(i^*, s^*)$ , for  $\forall t \in [\ell]$ ,  $\mathcal{B}_{\text{MAC}}$  either never queries  $\mathcal{O}_{\text{TAG}}(pk_{\text{otKEM}}^* | C_1^* | C_2^*)$

or the answer  $\sigma_1$  from  $\mathcal{O}_{\text{TAG}}(pk_{\text{otKEM}}^*|C_1^*|C_2^*)$  is different from  $\sigma_1^*$ . Hence, the new pair  $(pk_{\text{otKEM}}^*|C_1^*|C_2^*, \sigma_1^*)$  is successful forgery. Consequently, we have

$$\Pr[\text{NoPartner}(i^*, s^*)] \leq \text{Adv}_{\text{MAC}}^{\text{sEUF-CMA}}(\mathcal{B}_{\text{MAC}}).$$

**Case 2:  $\pi_{i^*}^{s^*}$  is a responder.** In this case, if  $\text{NoPartner}(i^*, s^*)$  happens, we will use  $\mathcal{A}$  to construct an adversary  $\mathcal{B}_{\text{SIG}}$  against sEUF-CMA security of  $\mathcal{B}_{\text{SIG}}$ .

Let  $\mathcal{C}_{\text{SIG}}$  be the challenger of  $\text{Exp}_{\text{SIG}}^{\text{sEUF-CMA}}$ .  $\mathcal{B}_{\text{SIG}}$  gets a verification key  $vk^*$  and an oracle  $\mathcal{O}_{\text{SIGN}}(\cdot)$ . If  $\mathcal{B}_{\text{SIG}}$  queries  $\mathcal{O}_{\text{SIGN}}(m)$ ,  $\mathcal{O}_{\text{SIGN}}(m)$  will compute  $\sigma \leftarrow \text{SIG.Sign}(sk^*, m)$  and return  $\sigma$  to  $\mathcal{B}_{\text{SIG}}$ .

Now  $\mathcal{B}_{\text{SIG}}$  will simulate  $\mathcal{G}_3$  for  $\mathcal{A}$ .  $\mathcal{B}_{\text{SIG}}$  randomly chooses  $j^* \leftarrow_{\$} [\mu]$  and sets  $vk_{j^*} := vk^*$ . For  $\forall t \in [\ell]$ , when  $\mathcal{B}_{\text{SIG}}$  needs to simulate  $\pi_{j^*}^t$  with input  $\text{msg} = (C_2, \sigma_1)$ ,  $\mathcal{B}_{\text{SIG}}$  will do as follows:  $\mathcal{B}_{\text{SIG}}$  first find  $(N, pk_{\text{otKEM}}, C_1)$  in  $st_{j^*}^t = \{pk_{\text{otKEM}}, sk_{\text{otKEM}}, N, C_1\}$ , then verify  $\sigma_1$  by  $\text{MAC.Ver}(N, pk_{\text{otKEM}}|C_1|C_2, \sigma_1)$ . If  $\sigma_1$  is invalid,  $\mathcal{B}_{\text{SIG}}$  makes  $\pi_{j^*}^t$  return  $\perp$ . Otherwise,  $\mathcal{B}_{\text{SIG}}$  computes  $K \leftarrow \text{Decap}(sk_{\text{otKEM}}, C_2)$  and  $\bar{k}|k_{j^*}^t \leftarrow \text{PRG}(K)$ . Then  $\mathcal{B}_{\text{SIG}}$  queries  $\mathcal{O}_{\text{SIGN}}(pk_{\text{otKEM}}|C_1|C_2|\sigma_1)$  to get  $\sigma_2$ . Finally,  $\mathcal{B}_{\text{SIG}}$  computes  $c \leftarrow \text{SEnc}(\bar{k}, j^*|\sigma_2)$  and returns  $c$  as the output of  $\pi_{j^*}^t$ .  $\mathcal{B}_{\text{SIG}}$  simulates other algorithms in the same way as  $\mathcal{G}_3$ . It is easy to see that  $\mathcal{B}_{\text{SIG}}$  perfectly simulates  $\mathcal{G}_3$  for  $\mathcal{A}$ .

During the simulation,  $\mathcal{B}_{\text{SIG}}$  checks the event  $\text{NoPartner}(i^*, s^*)$ . If  $\text{NoPartner}(i^*, s^*)$  happens, then  $\pi_{i^*}^{s^*}$  accepts with non-empty set  $\text{Recv}_{i^*}^{s^*}$  and  $st_{i^*}^{s^*}$ . Suppose  $\text{Recv}_{i^*}^{s^*} = \{(pk_{\text{otKEM}}^*, C_1^*), c^*\}$  and  $st_{i^*}^{s^*} = (pk_{\text{otKEM}}^*, C_1^*, C_2^*, N^*, K^*, \sigma_1^*)$ .  $\mathcal{B}_{\text{SIG}}$  invokes  $(k', k_{i^*}^{s^*}) \leftarrow \text{PRG}(K^*)$  and  $(j', \sigma_2^*) \leftarrow \text{SDec}(k', c^*)$ . If  $j' \neq j^*$ ,  $\mathcal{B}_{\text{SIG}}$  aborts the game. Otherwise, it checks the validity of  $\sigma_2^*$  by testing whether  $\text{SIG.Ver}(vk_{j^*}, pk_{\text{otKEM}}^*|C_1^*|C_2^*|\sigma_1^*, \sigma_2^*) = 1$  holds. If  $\sigma_2^*$  is valid, then  $\mathcal{B}_{\text{SIG}}$  returns  $(pk_{\text{otKEM}}^*|C_1^*|C_2^*|\sigma_1^*, \sigma_2^*)$  to its own challenger as forgery.

We know  $\text{NoPartner}(i^*, s^*)$  implies that either  $\{(C_2^*, \sigma_1^*)\} = \text{Sent}_{i^*}^{s^*} \neq \text{Recv}_{j^*}^t = \{(C_2, \sigma_1)\}$  or  $\{(pk_{\text{otKEM}}^*, C_1^*), c^*\} = \text{Recv}_{i^*}^{s^*} \neq \text{Sent}_{j^*}^t = \{(pk_{\text{otKEM}}, C_1), c\}$  for all  $t \in [\ell]$ . In other words,  $(pk_{\text{otKEM}}^*, C_1^*, C_2^*, \sigma_1^*, c^*) \neq (pk_{\text{otKEM}}, C_1, C_2, \sigma_1, c)$  for all  $t \in [\ell]$ .

To analyze the winning probability of  $\mathcal{B}_{\text{SIG}}$ , we consider two subcases under the condition that  $\text{NoPartner}(i^*, s^*)$  happens and  $j' = j^*$ .

- $\forall t \in [\ell], (pk_{\text{otKEM}}, C_1, C_2, \sigma_1) \neq (pk_{\text{otKEM}}^*, C_1^*, C_2^*, \sigma_1^*)$ . In this case,  $\sigma_2'$  is valid signature for a fresh message  $pk_{\text{otKEM}}^*|C_1^*|C_2^*|\sigma_1^*$ , hence  $\mathcal{B}_{\text{SIG}}$  succeeds in forgery.
- $\exists t \in [\ell], (pk_{\text{otKEM}}, C_1, C_2, \sigma_1) = (pk_{\text{otKEM}}^*, C_1^*, C_2^*, \sigma_1^*)$  but  $c \neq c^*$ . In this case,  $\pi_{i^*}^{s^*}$  and  $\pi_{j^*}^t$  have shared the same encapsulated  $K^*$  and hence the symmetric key  $\bar{k}'$  due to the correctness of otKEM scheme and PRG (recall  $K^* \leftarrow \text{Decap}(sk_{\text{otKEM}}^*, C_2^*)$  and  $(\bar{k}', k_{i^*}^{s^*}) \leftarrow \text{PRG}(K^*)$ ). Furthermore,  $c$  is computed with  $c \leftarrow \text{SEnc}(\bar{k}', (j^*, \sigma_2))$  by  $\pi_{j^*}^t$ . By the correctness of SE, we know that  $\text{SDec}(\bar{k}', c) = (j^*, \sigma_2)$ . Due to the ciphertext diversity of SE scheme,  $c \neq c^*$  implies that  $(j^*, \sigma_2^*) \neq (j', \sigma_2)$ . Considering the condition  $j' = j^*$ , it holds that  $\sigma_2^* \neq \sigma_2$ . In this case,  $(pk_{\text{otKEM}}^*|C_1^*|C_2^*|\sigma_1^*, \sigma_2^*)$  is a fresh and valid message-signature pair, hence  $\mathcal{B}_{\text{SIG}}$  succeeds in forgery. In either subcase,

$\mathcal{B}_{\text{SIG}}$  succeeds in forgery, hence we have

$$\Pr [\text{NoPartner}(i^*, s^*) \wedge (j^* = j')] \leq \text{Adv}_{\text{SIG}}^{\text{EUF-CMA}}(\mathcal{B}_{\text{SIG}}).$$

Since  $j^*$  is uniformly chosen and  $\mathcal{A}$  learns no information about it, we know  $\Pr [\text{NoPartner}(i^*, s^*) \wedge (j^* = j')] = \Pr [\text{NoPartner}(i^*, s^*)] \cdot \Pr [j^* = j'] = \frac{1}{\mu} \cdot \Pr [\text{NoPartner}(i^*, s^*)]$ . Consequently, that

$$\Pr [\text{NoPartner}(i^*, s^*)] \leq \mu \cdot \text{Adv}_{\text{SIG}}^{\text{EUF-CMA}}(\mathcal{B}_{\text{SIG}}).$$

Taking the two cases into account, we have  $\Pr [\text{NoPartner}(i^*, s^*)] \leq \text{Adv}_{\text{MAC}}^{\text{EUF-CMA}}(\mathcal{B}_{\text{MAC}}) + \mu \cdot \text{Adv}_{\text{SIG}}^{\text{EUF-CMA}}(\mathcal{B}_{\text{SIG}})$ .

□

### B.3 Proof of Lemma 3

*Proof.* If event  $(1) \wedge (2) \wedge (3.2)$  happens for  $(i^*, s^*)$ , then  $\pi_{i^*}^{s^*}$  will accept with session key  $k_{i^*}^{s^*}$  and there exist two oracles  $\pi_j^t$  and  $\pi_{j'}^{t'}$  subject to  $\text{Partner}(\pi_{i^*}^{s^*} \leftarrow \pi_j^t)$  and  $\text{Partner}(\pi_{i^*}^{s^*} \leftarrow \pi_{j'}^{t'})$ . Suppose that  $K_1, K_2$  are the encapsulated key serving for the seed of PRG and are generated by the randomness of  $\pi_{i^*}^{s^*}, \pi_j^t$  and  $\pi_{i^*}^{s^*}, \pi_{j'}^{t'}$ , respectively. Let  $k_1$  and  $k_2$  denote the shared session key generated by  $\pi_{i^*}^{s^*}, \pi_j^t$  and  $\pi_{i^*}^{s^*}, \pi_{j'}^{t'}$ , respectively. Then  $k_{i^*}^{s^*} = k_1 = k_2$ . So we have

$$\Pr_{(i^*, s^*)} [(1) \wedge (2) \wedge (3.2)] \leq \Pr [k_1 = k_2].$$

We consider the probability of event  $k_1 = k_2$  in the following two cases.

- **Initiator**  $\pi_{i^*}^{s^*}$ . Let  $r_1, r_2$  be the internal randomness of  $\pi_j^t$  and  $\pi_{j'}^{t'}$ . The PRG seeds  $K_1, K_2$  are derived from  $(C_1, K_1) \leftarrow \text{otKEM.Encap}(pk_{\text{otKEM}}; r_1)$  and  $(C_2, K_2) \leftarrow \text{otKEM.Encap}(pk_{\text{otKEM}}; r_2)$ , where  $pk_{\text{otKEM}}$  is generated by the internal randomness of  $\pi_{i^*}^{s^*}$ . Due to the key uniform property of  $\text{otKEM}$ ,  $K_1$  and  $K_2$  are two independent uniformly random keys. We have  $k|k_1 \leftarrow \text{PRG}(K_1)$  and  $k'|k_2 \leftarrow \text{PRG}(K_2)$ . According to corollary 1, we have  $k_1 = k_2$  with probability at most  $\text{Adv}_{\text{PRG}}^{\text{PS}}(\mathcal{B}_{\text{PRG}}) + \frac{1}{|\mathcal{K}_2|}$ .
- **Responder**  $\pi_{i^*}^{s^*}$ . Let  $pk_{\text{otKEM}}^1$  and  $pk_{\text{otKEM}}^2$  be the public keys determined by the internal randomness of  $\pi_j^t$  and  $\pi_{j'}^{t'}$ , respectively. Due to the key uniform property of  $\text{otKEM}$ ,  $K_1$  and  $K_2$  are two independent uniformly random keys. Similarly, we have  $k_1 = k_2$  which will happen with probability at most  $\text{Adv}_{\text{PRG}}^{\text{PS}}(\mathcal{B}_{\text{PRG}}) + \frac{1}{|\mathcal{K}_2|}$ .

As there are at most  $(\mu\ell)^2$  choices for  $(j, t)$  and  $(j', t')$ , we can upper bound the probability with  $\Pr [k_1 = k_2] \leq (\mu\ell)^2 \cdot (\text{Adv}_{\text{PRG}}^{\text{PS}}(\mathcal{B}_{\text{PRG}}) + \frac{1}{|\mathcal{K}_2|})$ . □

#### B.4 Proof Lemma 5

We construct adversary  $\mathcal{B}_{\text{otKEM}}$  against IND-CPA security of otKEM scheme.

Let  $\mathcal{C}_{\text{otKEM}}$  be the challenger of  $\text{Exp}_{\text{KEM}, \mathcal{B}_{\text{otKEM}}}^{\text{CPA-b}}$ .  $\mathcal{B}_{\text{otKEM}}$  gets a public key  $pk^*$ , an encapsulation key pair  $(C^*, K^*)$  from  $\mathcal{C}_{\text{otKEM}}$ , where  $K^*$  generated by either  $(C^*, K^*) \leftarrow \text{otEncap}(pk^*)$  or  $K^* \leftarrow_{\$} \mathcal{K}$ .

$\mathcal{B}_{\text{otKEM}}$  will simulate  $\pi_{i^*}^{s^*}$  and  $\pi_{j^*}^{t^*}$  in the following way and simulate other algorithms just like  $\mathbf{G}_2$ .

- **Initiator**  $\pi_{i^*}^{s^*}$ . When  $\mathcal{B}_{\text{otKEM}}$  simulates  $\pi_{i^*}^{s^*}$  to generate the first message,  $\mathcal{B}_{\text{otKEM}}$  sets  $pk_{\text{otKEM}}^* := pk^*$  and generates  $C_1^*$  in the same way as in  $\mathbf{G}_3$ . Finally,  $\mathcal{B}_{\text{otKEM}}$  returns  $\text{msg} = (pk_{\text{otKEM}}^*, C_1^*)$  as the output message of  $\pi_{i^*}^{s^*}$ . If  $\mathcal{A}$  does not query  $\text{Respond}(\{(j^*, t^*)\} \in \text{OList}, \{pk_{\text{otKEM}}^*, C_1^*\})$ , then  $\mathcal{B}_{\text{otKEM}}$  will abort and return  $b' \leftarrow_{\$} \{0, 1\}$ . Otherwise,  $\mathcal{B}_{\text{otKEM}}$  simulates  $\pi_{j^*}^{t^*}$  to generate the second message.  $\mathcal{B}_{\text{otKEM}}$  sets  $C_2^* := C^*$  and computes  $\sigma_1^*$  in the same way as  $\mathbf{G}_3$ . Finally,  $\mathcal{B}_{\text{otKEM}}$  returns  $\text{msg} = (C_2^*, \sigma_1^*)$  as the output message of  $\pi_{j^*}^{t^*}$ . Meanwhile,  $\mathcal{B}_{\text{otKEM}}$  embeds  $K^*$  in  $st_{j^*}^{t^*} = (pk_{\text{otKEM}}^*, C_1^*, C_2^*, N'^*, K^*, \sigma_1^*)$ .
- **Responder**  $\pi_{i^*}^{s^*}$ . When  $\mathcal{B}_{\text{otKEM}}$  simulates  $\pi_{j^*}^{t^*}$  to generate the first message,  $\mathcal{B}_{\text{otKEM}}$  sets  $pk_{\text{otKEM}}^* := pk^*$  and generates  $C_1^*$  in the same way as  $\mathbf{G}_3$ . Finally,  $\mathcal{B}_{\text{otKEM}}$  returns  $\text{msg} = (pk_{\text{otKEM}}^*, C_1^*)$  as the output message of  $\pi_{j^*}^{t^*}$ . If  $\mathcal{A}$  does not query  $\text{Respond}(\{(i^*, s^*)\} \in \text{OList}, \{pk_{\text{otKEM}}^*, C_1^*\})$ , then  $\mathcal{B}_{\text{otKEM}}$  will abort and return  $b' \leftarrow_{\$} \{0, 1\}$ . Otherwise,  $\mathcal{B}_{\text{otKEM}}$  simulates  $\pi_{i^*}^{s^*}$  to generate the second message.  $\mathcal{B}_{\text{otKEM}}$  sets  $C_2^* := C^*$  and computes  $\sigma_1^*$  in the same way as  $\mathbf{G}_3$ . Finally,  $\mathcal{B}_{\text{otKEM}}$  returns  $\text{msg} = (C_2^*, \sigma_1^*)$  as the output message of  $\pi_{i^*}^{s^*}$ . Meanwhile,  $\mathcal{B}_{\text{otKEM}}$  embeds  $K^*$  in  $st_{i^*}^{s^*} = (pk_{\text{otKEM}}^*, C_1^*, C_2^*, N'^*, K^*, \sigma_1^*)$ .

Finally,  $\mathcal{A}$  outputs a guessing bit  $b^*$ . If  $b^* = b$ ,  $\mathcal{B}_{\text{otKEM}}$  outputs 1; otherwise,  $\mathcal{B}_{\text{otKEM}}$  outputs 0. If  $K^*$  is generated using otEncap algorithm, then  $\mathcal{B}_{\text{otKEM}}$  perfectly simulates  $\mathbf{G}_2$ . Otherwise, if  $K^*$  is generated by  $K^* \leftarrow_{\$} \mathcal{K}$ , then  $\mathcal{B}_{\text{otKEM}}$  perfectly simulates  $\mathbf{G}_3$ . Hence,

$$|adv_2 - adv_3| \leq |\Pr[\text{Win}_2] - \Pr[\text{Win}_3]| \leq \text{Adv}_{\text{KEM}}^{\text{CPA}}(\mathcal{B}_{\text{otKEM}}).$$

#### B.5 Proof of Lemma 6

We construct adversary  $\mathcal{B}_{\text{KEM}}$  against IK-CCA security of KEM scheme.

Let  $\mathcal{C}_{\text{KEM}}$  be the challenger of  $\text{Exp}_{\text{KEM}, \mathcal{A}}^{\text{IK-CCA-b}}$ .  $\mathcal{B}_{\text{KEM}}$  gets two public keys  $pk_0^*$  and  $pk_1^*$ , an encapsulation key pair  $(\tilde{C}^*, \tilde{K}^*)$  from  $\mathcal{C}_{\text{KEM}}$ , where  $(\tilde{C}^*, \tilde{K}^*)$  generated either by  $(\tilde{C}^*, \tilde{K}^*) \leftarrow \text{Encap}(pk_0^*)$  or by  $(\tilde{C}^*, \tilde{K}^*) \leftarrow_{\$} \text{Encap}(pk_1^*)$ . Besides,  $\mathcal{B}_{\text{KEM}}$  can query two oracles  $\mathcal{O}_{sk_0}(\cdot)$  and  $\mathcal{O}_{sk_1}(\cdot)$ . If  $\mathcal{B}_{\text{KEM}}$  queries  $\mathcal{O}_{sk_z}(C)$  with  $z \in \{0, 1\}$ , as long as  $C \neq \tilde{C}^*$ ,  $\mathcal{O}_{sk_z}(C)$  will compute  $\tilde{K} \leftarrow \text{Decap}(sk_z^*, C)$  and return  $\tilde{K}$  to  $\mathcal{B}_{\text{KEM}}$ .

$\mathcal{B}_{\text{KEM}}$  will simulate  $\mathbf{G}'_5$  or  $\mathbf{G}'_6$  for  $\mathcal{A}$ .  $\mathcal{B}_{\text{KEM}}$  first randomly samples  $(i_0^*, j_0^*, i_1^*, j_1^*) \leftarrow_{\$} [\mu]^4$  and sets  $pk_{j_0^*}^* := pk_0^*$  and  $pk_{j_1^*}^* := pk_1^*$ . Then  $\mathcal{B}_{\text{KEM}}$  randomly samples  $b \leftarrow_{\$} \{0, 1\}$ . If  $b = 1$ ,  $\mathcal{B}_{\text{KEM}}$  simulates  $\mathbf{G}'_5$  exactly like the challenger does. If  $b = 0$ ,  $\mathcal{B}_{\text{KEM}}$  will do the followings.

- Upon  $\mathcal{A}$ 's query to  $\text{TestPrivacy}(i, j, i', j')$ ,  $\mathcal{B}_{\text{KEM}}$  checks event  $\text{Hit}$  by testing whether  $(i, j, i', j') = (i_0^*, j_0^*, i_1^*, j_1^*)$ . If  $\text{Hit}$  does not happen,  $\mathcal{B}_{\text{KEM}}$  aborts the game and outputs a random bit as the answer to its own challenger. Otherwise,  $\mathcal{B}_{\text{KEM}}$  simulates  $\text{TestPrivacy}(i, j, i', j') = \text{TestPrivacy}(i_0^*, j_0^*, i_1^*, j_1^*)$  as follows.
  - When  $\mathcal{B}_{\text{KEM}}$  needs to simulate  $\pi_{i_b^*}^0$  to generate the first message (for  $\pi_{j_b^*}^0$ ) of the protocol,  $\mathcal{B}_{\text{KEM}}$  invokes  $(pk_{\text{otKEM}}^*, sk_{\text{otKEM}}^*) \leftarrow \text{otKEM.Gen}(\text{pp}_{\text{otKEM}})$  and returns  $(pk_{\text{otKEM}}^*, C_1^* := \tilde{C}^*)$  as the output message of  $\pi_{i_b^*}^0$ . Meanwhile, it sets  $st_{i_b^*}^0 := (pk_{\text{otKEM}}^*, sk_{\text{otKEM}}^*, N^* = \tilde{K}^*, C_1^* := C^*)$  as the state of  $\pi_{i_b^*}^0$ .
  - When  $\mathcal{B}_{\text{KEM}}$  needs to simulate  $\pi_{j_b^*}^0(pk_{\text{otKEM}}^*, C_1^* = C^*)$  to generate the second message (for  $\pi_{i_b^*}^0$ ) of the protocol,  $\mathcal{B}_{\text{KEM}}$  invokes  $(C_2^*, K^*) \leftarrow \text{otKEM.Encap}(pk_{\text{otKEM}}^*)$ , and sets  $N' := \tilde{K}^*$ .  $\mathcal{B}_{\text{KEM}}$  invokes  $\sigma_1^* \leftarrow \text{MAC.Tag}(N' = \tilde{K}^*, pk_{\text{otKEM}}^* | C_1^* | C_2^*)$  and returns  $(C_2^*, \sigma_1^*)$  as the output message of  $\pi_{j_b^*}^0$ . Meanwhile, it sets  $st_{j_b^*}^0 := (pk_{\text{otKEM}}^*, C_1^*, C_2^*, \sigma_1^*, N' = \tilde{K}^*, K^*, \sigma_1^*)$  as the state of  $\pi_{j_b^*}^0$ .
  - When  $\mathcal{B}_{\text{KEM}}$  needs to simulate  $\pi_{i_b^*}^0$  to generate the third message of the protocol,  $\mathcal{B}_{\text{KEM}}$  invokes  $\bar{k} | k \leftarrow \text{PRG}(K^*)$ ,  $\sigma_2^* \leftarrow \text{SIG.Sign}(ssk_{i_b^*}, pk_{\text{otKEM}}^* | C_1^* | C_2^* | \sigma_1^*)$ ,  $c^* \leftarrow \text{SEnc}(\bar{k}, i_b^* | \sigma_2^*)$  and returns  $c^*$  as the output message of  $\pi_{i_b^*}^0$ . Meanwhile, it sets  $\Psi_{i_b^*} = \Psi_{j_b^*} := \mathbf{accept}$  and  $k_{i_b^*}^0 = k_{j_b^*}^0 := k$ .
- Upon  $\mathcal{A}$ 's query to oracle  $\pi_{j_z^*}^t(pk_{\text{otKEM}}, C_1)$  with  $z \in \{0, 1\}, t \in [\ell]$ ,  $\mathcal{B}_{\text{KEM}}$  does not have  $sk_{j_0^*}$  or  $sk_{j_1^*}$  for decryption of  $C_1$ , but it can resort to its own oracle  $\mathcal{O}_{sk_0}(\cdot)$  or  $\mathcal{O}_{sk_1}(\cdot)$  to get the correct answers. Recall that  $\mathcal{A}$ 's query  $(pk_{\text{otKEM}}, C_1)$  must satisfy that  $C_1 \neq \tilde{C}^*$ . First,  $\mathcal{B}_{\text{KEM}}$  invokes  $(C_2, K) \leftarrow \text{otKEM.Encap}(pk_{\text{otKEM}})$ . Then  $\mathcal{B}_{\text{KEM}}$  queries  $\mathcal{O}_{sk_z}(C_1)$  and obtains the answer  $\tilde{K}$ . It set  $N' := \tilde{K}$ , then invokes  $\sigma_1 \leftarrow \text{MAC.Tag}(N' = \tilde{K}, pk_{\text{otKEM}} | C_1 | C_2)$  and returns  $(C_2, \sigma_1)$  as the output message of  $\pi_{j_z^*}^t$ . Meanwhile, it sets  $st_{j_z^*}^t := (pk_{\text{otKEM}}, C_1, C_2, \sigma_1, N' = \tilde{K}, K, \sigma_1)$  as the state of  $\pi_{j_z^*}^t$ .

$\mathcal{B}_{\text{KEM}}$  simulates other algorithms just like  $\mathbf{G}'_5$ . Finally,  $\mathcal{A}$  outputs a guessing bit  $b^*$ . If  $b^* = b$  (i.e.  $\mathcal{A}$  wins),  $\mathcal{B}_{\text{KEM}}$  returns 1 to its own challenger, otherwise, it returns 0.

If  $(\tilde{C}^*, \tilde{K}^*)$  is generated by  $(\tilde{C}^*, \tilde{K}^*) \leftarrow \text{Encap}(pk_{j_0^*})$ , then  $\mathcal{B}_{\text{KEM}}$  perfectly simulates  $\mathbf{G}'_5$ . Otherwise, if  $(\tilde{C}^*, \tilde{K}^*)$  is generated by  $(\tilde{C}^*, \tilde{K}^*) \leftarrow \text{Encap}(pk_{j_1^*})$ , then  $\mathcal{B}_{\text{KEM}}$  perfectly simulates  $\mathbf{G}'_6$ . Hence,

$$|adv_5 - adv_6| \leq |\Pr[\text{Win}_5] - \Pr[\text{Win}_6]| \leq \text{Adv}_{\text{KEM}}^{\text{IK-CCA}}(\mathcal{B}_{\text{KEM}}).$$

## B.6 Proof of Lemma 7

We construct adversary  $\mathcal{B}_{\text{SE}}$  against semantic security of SE scheme to show the indistinguishability of two games.  $\mathcal{B}_{\text{SE}}$  can submit two plaintexts  $(\text{msg}_0, \text{msg}_1)$  to its own challenger  $\mathcal{C}_{\text{SE}}$  and obtain a ciphertext  $c^* = \text{SEnc}(\bar{k}^*, \text{msg}_\beta)$  from  $\mathcal{C}_{\text{SE}}$ .  $\mathcal{B}_{\text{SE}}$

aims to guess a bit  $\beta^*$  and wins the semantic security if  $\beta^* = \beta$ . To this end,  $\mathcal{B}_{\text{SE}}$  will simulate  $\mathbf{G}'_6$  or  $\mathbf{G}'_7$  for  $\mathcal{A}$ .  $\mathcal{B}_{\text{SE}}$  first randomly samples  $(i_0^*, j_0^*, i_1^*, j_1^*) \leftarrow_s [\mu]^4$  and  $b \leftarrow_s \{0, 1\}$ . If  $b = 1$ ,  $\mathcal{B}_{\text{SE}}$  simulates  $\mathbf{G}'_6$  exactly like the challenger does. If  $b = 0$ ,  $\mathcal{B}_{\text{KEM}}$  will do the followings.

- Upon  $\mathcal{A}$ 's query to  $\text{TestPrivacy}(i, j, i', j')$ ,  $\mathcal{B}_{\text{SE}}$  checks event **Hit** by testing whether  $(i, j, i', j') = (i_0^*, j_0^*, i_1^*, j_1^*)$ . If **Hit** does not happen,  $\mathcal{B}_{\text{SE}}$  aborts the game and outputs a random bit as the answer to its own challenger. Otherwise,  $\mathcal{B}_{\text{SE}}$  simulates  $\text{TestPrivacy}(i, j, i', j') = \text{TestPrivacy}(i_0^*, j_0^*, i_1^*, j_1^*)$  as follows.
  - To simulate  $\pi_{i_b^*}^0$  to generate the first message (for  $\pi_{j_b^*}^0$ ) of the protocol,  $\mathcal{B}_{\text{SE}}$  generates and returns  $(pk_{\text{otKEM}}^*, C_1^* := \tilde{C}^*)$  as the output message of  $\pi_{i_b^*}^0$ . Meanwhile, it sets  $st_{i_b^*}^0 := (pk_{\text{otKEM}}^*, sk_{\text{otKEM}}^*, N^* = \tilde{K}^*, C_1^* := C^*)$  as the state of  $\pi_{i_b^*}^0$ . This is done exactly like  $\mathbf{G}_6$ .
  - To simulate  $\pi_{j_b^*}^0(pk_{\text{otKEM}}^*, C_1^* = C^*)$  to generate the second message (for  $\pi_{i_b^*}^0$ ) of the protocol,  $\mathcal{B}_{\text{SE}}$  computes and returns  $(C_2^*, \sigma_1^*)$  as the output message of  $\pi_{j_b^*}^0$ . Meanwhile, it sets  $st_{j_b^*}^0 := (pk_{\text{otKEM}}^*, C_1^*, C_2^*, \sigma_1^*, N' = \tilde{K}^*, K^*, \sigma_1^*)$  as the state of  $\pi_{j_b^*}^0$ . This is also done exactly like  $\mathbf{G}_6$ .
  - To simulate  $\pi_{i_b^*}^0$  to generate the third message of the protocol,  $\mathcal{B}_{\text{SE}}$  first invokes  $\bar{k}|k \leftarrow \text{PRG}(K^*)$ , then computes two signature  $\sigma_2^{(0)}, \sigma_2^{(1)}$  by  $\sigma_2^{(0)} \leftarrow \text{Sign}(ssk_{i_0^*}, pk_{\text{otKEM}}^* | C_1^* | C_2^* | \sigma_1^*)$  and  $\sigma_2^{(1)} \leftarrow \text{Sign}(ssk_{i_1^*}, pk_{\text{otKEM}}^* | C_1^* | C_2^* | \sigma_1^*)$ . Let  $\text{msg}_0 := i_0^* | \sigma_2^{(0)}$  and  $\text{msg}_1 := i_1^* | \sigma_2^{(1)}$ .  $\mathcal{B}_{\text{SE}}$  submits  $(\text{msg}_0, \text{msg}_1)$  to  $\mathcal{C}_{\text{SE}}$  and gets a challenge ciphertext  $c^*$ , where  $c^*$  is an encryption of either  $\text{msg}_0$  or  $\text{msg}_1$ . Then  $\mathcal{B}_{\text{SE}}$  returns  $c^*$  as the output message of  $\pi_{i_b^*}^0$ . Meanwhile, it sets  $\Psi_{i_b^*} = \Psi_{j_b^*} := \mathbf{accept}$  and  $k_{i_b^*}^0 = k_{j_b^*}^0 := k$ .

$\mathcal{B}_{\text{SE}}$  simulates other algorithms just like  $\mathbf{G}'_6$ . Finally,  $\mathcal{A}$  outputs a guessing bit  $b^*$ . If  $b^* = b$  (i.e.  $\mathcal{A}$  wins),  $\mathcal{B}_{\text{SE}}$  returns 1 to its own challenger, and returns 0 otherwise.

If  $c^*$  is an encryption of  $\text{msg}_0$ ,  $\mathcal{B}_{\text{SE}}$  perfectly simulates  $\mathbf{G}'_6$ . Otherwise, if  $c^*$  is an encryption of  $\text{msg}_1$ ,  $\mathcal{B}_{\text{SE}}$  perfectly simulates  $\mathbf{G}'_7$ . Hence,

$$|\text{adv}_6 - \text{adv}_7| \leq |\Pr[\text{Win}_6] - \Pr[\text{Win}_7]| \leq \text{Adv}_{\text{SE}}^{\text{Sem}}(\mathcal{B}_{\text{SE}}).$$

## C Instantiations of the Building Blocks for PPAKE

In this section, we give concrete instantiations of building blocks for our generic PPAKE construction. Then we assemble the building block instantiations together to obtain a specific PPAKE<sub>ddh</sub> scheme.

Suppose  $p = 2q + 1$  with  $p, q$  both primes. We can instantiate  $\mathbb{G}$  as the quadratic residue group in  $\mathbb{Z}_p^*$ . A nice property about this group  $\mathbb{G}$  is: there is a bijective function  $\text{enum} : \mathbb{G} \rightarrow \mathbb{Z}_q$  [11] defined as

$$\text{enum}(x) = \begin{cases} x & \text{If } 1 \leq x \leq q-1 \\ p-x & \text{If } q \leq x < p-1 \end{cases}.$$

With `enum`, we can readily transform a group element in  $\mathbb{G}$  to an integer in  $\mathbb{Z}_q$ .

### B.1 Instantiations of KEM

We recall the Cramer-Shoup KEM (CS-KEM) scheme [8]. Let  $(\mathbb{G}, q, g) \leftarrow \text{GGen}$ . In the KEM scheme, the public key space is  $\mathcal{PK} = \mathbb{G}^5$ , the secret key space is  $\mathcal{SK} = \mathbb{Z}_q^6$ , the encapsulation key space is  $\mathcal{K} = \mathbb{G}$ , and the ciphertext space is  $\mathcal{CT} = \mathbb{G}^3$ . The KEM scheme is given in Figure 11.

<p><u>KEM.Setup(<math>1^\lambda</math>):</u>  <math>(\mathbb{G}, q, g) \leftarrow \text{GGen}(1^\lambda)</math>  <math>g_1, g_2 \leftarrow \mathbb{G}</math> s.t. <math>g_1 \neq 1</math> and <math>g_2 \neq 1</math>  <math>\text{H} \leftarrow \mathcal{H}; \text{H} : \{0, 1\}^* \rightarrow \mathbb{Z}_q</math>  Return <math>\text{pp}_{\text{KEM}} := (G, q, g_1, g_2, \text{H})</math></p> <p><u>KEM.Gen(<math>\text{pp}_{\text{KEM}}</math>):</u>  <math>x_1, x_2, y_1, y_2, z_1, z_2 \leftarrow \mathbb{Z}_q</math>  <math>c := g_1^{x_1} g_2^{x_2}; d := g_1^{y_1} g_2^{y_2}</math>  <math>h := g_1^{z_1} g_2^{z_2};</math>  <math>\text{pk} := (c, d, h); \text{sk} := (x_1, x_2, y_1, y_2, z_1, z_2)</math>  Return <math>(\text{pk}, \text{sk})</math></p> <p><u>Encap(<math>\text{pk}</math>):</u>  Parse <math>\text{pk} = (c, d, h)</math></p>	<p><math>r \leftarrow \mathbb{Z}_q</math>  <math>u_1 := g_1^r; u_2 := g_2^r</math>  <math>\alpha \leftarrow \text{H}(u_1, u_2); v := c^r d^{\alpha r}</math>  <math>C := (u_1, u_2, v); K := h^r</math>  Return <math>(C, K)</math></p> <p><u>Decap(<math>\text{sk}, C</math>):</u>  Parse <math>\text{sk} = (x_1, x_2, y_1, y_2, z_1, z_2)</math>  Parse <math>C = (u_1, u_2, v)</math>  <math>\alpha \leftarrow \text{H}(u_1, u_2)</math>  <math>v' := u_1^{x_1 + \alpha y_1} u_2^{x_2 + \alpha y_2}</math>  If <math>v' \neq v</math>: Return <math>\perp</math>  <math>K := u_1^{z_1} u_2^{z_2}</math>  Return <math>K</math></p>
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Fig. 11: KEM instantiated from the CS-KEM scheme [8].

The IND-CCA security and the IK-CCA security of CS-KEM have already be proved in [8] and [5] respectively.

**Theorem 2.** [8] *The CS-KEM scheme is IND-CCA secure if the DDH assumption holds over  $\mathbb{G}$  and  $\text{H}$  is a collision resistant hash function.*

**Theorem 3.** [5] *The CS-KE scheme is IK-CCA secure if the DDH assumption holds  $\mathbb{G}$  and  $\text{H}$  is a collision resistant hash function..*

Now we prove its robustness.

**Lemma 8.** *The CS-KEM scheme has robustness.*

*Proof.* Suppose that  $g_1 = g^{a_1}$  and  $g_2 = g^{a_2}$  in the public parameter. Then  $a_1 \neq 0$  and  $a_2 \neq 0$ . Now we consider the following probability for robustness.

$$\begin{aligned}
& \Pr \left[ (pk_1, sk_1) \leftarrow \text{KEM.Gen}(pp_{\text{KEM}}); (pk_2, sk_2) \leftarrow \text{KEM.Gen}(pp_{\text{KEM}}) \right. \\
& \quad \left. c_1 \leftarrow \text{Encap}(pk_1) : \text{Decap}(sk_2, c_1) \neq \perp \right] \\
&= \Pr \left[ \begin{array}{l} (x_1, x_2, y_1, y_2, z_1, z_2) \leftarrow \mathbb{Z}_q^6; c = g_1^{x_1} g_2^{x_2}; d = g_1^{y_1} g_2^{y_2}; h = g_1^{z_1} g_2^{z_2}; \\ (x'_1, x'_2, y'_1, y'_2, z'_1, z'_2) \leftarrow \mathbb{Z}_q^6; c = g_1^{x'_1} g_2^{x'_2}; d = g_1^{y'_1} g_2^{y'_2}; h = g_1^{z'_1} g_2^{z'_2}; \\ r \leftarrow_{\$} \mathbb{Z}_q; u_1 := g_1^r; u_2 := g_2^r; \alpha \leftarrow \text{H}(u_1, u_2); v := c^r d^{\alpha r} \\ \quad : u_1^{x'_1 + \alpha y'_1} u_2^{x'_2 + \alpha y'_2} = v \end{array} \right] \\
&= \Pr \left[ \begin{array}{l} (x_1, y_1, x_2, y_2, x'_1, y'_1, x'_2, y'_2, r) \leftarrow_{\$} \mathbb{Z}_q^9 : \\ g_1^{r(x_1 + \alpha y_1)} g_2^{r(x_2 + \alpha y_2)} = g_1^{r(x'_1 + \alpha y'_1)} g_2^{r(x'_2 + \alpha y'_2)} \end{array} \right] \\
&= \Pr \left[ \begin{array}{l} (x_1, y_1, x_2, y_2, x'_1, y'_1, x'_2, y'_2, r) \leftarrow_{\$} \mathbb{Z}_q^9 : \\ a_1 r(x_1 + \alpha y_1) + a_2 r(x_2 + \alpha y_2) \equiv a_1 r(x'_1 + \alpha y'_1) + a_2 r(x'_2 + \alpha y'_2) \pmod{q} \end{array} \right] \\
&= \Pr \left[ \begin{array}{l} (x_1, y_1, x_2, y_2, x'_1, y'_1, x'_2, y'_2, r) \leftarrow_{\$} \mathbb{Z}_q^9 : \\ r = 0 \vee a_1(x_1 + \alpha y_1) + a_2(x_2 + \alpha y_2) \equiv a_1(x'_1 + \alpha y'_1) + a_2(x'_2 + \alpha y'_2) \pmod{q} \end{array} \right] \\
&\leq \frac{1}{q} + \frac{1}{q} = \frac{2}{q} = \text{negl}(\lambda).
\end{aligned}$$

In the last line, the first  $1/q$  is the probability that  $r = 0$  and the second  $1/q$  is the probability that  $a_1(x_1 + \alpha y_1) + a_2(x_2 + \alpha y_2) \equiv a_1(x'_1 + \alpha y'_1) + a_2(x'_2 + \alpha y'_2) \pmod{q}$  holds. And  $1/q = \text{negl}(\lambda)$  holds since  $q$  has at least  $\lambda$  bits.  $\square$

### C.1 Instantiations of otKEM

We use ElGamal-KEM scheme as our otKEM. Let  $(\mathbb{G}, p, q, g) \leftarrow \text{GGen}$ . In the KEM scheme, the public key space is  $\mathcal{PK} = \mathbb{G}$ , the secret key space is  $\mathcal{SK} = \mathbb{Z}_q^*$ , the encapsulation key space is  $\mathcal{K} = \mathbb{G} \setminus \{1\}$ , and the ciphertext space is  $\mathcal{CT} = \mathbb{G}$ . The otKEM scheme is given in Figure 12.

$\text{otKEM.Setup}(1^\lambda):$ $(\mathbb{G}, p, q, g) \leftarrow \text{GGen}(1^\lambda)$ Return $pp_{\text{otKEM}} := (G, q, g)$	$\text{otEncap}(pk):$ Parse $pk = h$ $y \leftarrow_{\$} \mathbb{Z}_q^*$ $c := g^y; k := h^y$ Return $(c, k)$
$\text{otKEM.Gen}(pp_{\text{otKEM}}):$ $x \leftarrow_{\$} \mathbb{Z}_q^*; h := g^x$ $pk := h; sk := x$ Return $(pk, sk)$	$\text{otDecap}(sk, c):$ Parse $sk = x$ $k := c^x$ Return $k$

Fig. 12: otKEM instantiated from the ElGamal-KEM scheme.

**Theorem 4.** *The otKEM scheme is IND-CPA secure if the DDH assumption holds over  $\mathbb{G}$ .*

**Theorem 5.** *The otKEM scheme has encapsulated key uniformity.*

*Proof.* Recall that  $(\mathbb{G}, p, q, g) \in \text{otKEM.Setup}$ , where  $\mathbb{G}$  is a cyclic groups of order  $q$  for a prime  $q$  and  $g$  is a generator of  $\mathbb{G}$ . It is easy to see that for all  $y \in \mathbb{Z}_q^*$ , if  $x \leftarrow_{\$} \mathbb{Z}_q^*$ , then  $g^{xy}$  is uniformly distributed over  $\mathcal{K} = \mathbb{G} \setminus \{1\}$ . Similarly, for all  $x \in \mathbb{Z}_q^*$ , if  $y \leftarrow_{\$} \mathbb{Z}_q^*$ , then  $g^{xy}$  is uniformly distributed over  $\mathcal{K} = \mathbb{G} \setminus \{1\}$ .  $\square$

**Theorem 6.** *The otKEM scheme has  $\gamma$ -pk-diversity with  $\gamma = \log(q - 1)$ .*

*Proof.* It is easy to see that

$$\Pr \left[ \begin{array}{l} r \leftarrow_{\$} \mathcal{R}; r' \leftarrow_{\$} \mathcal{R} \\ (pk, sk) \leftarrow_{\$} \text{otKEM.Gen}(\text{pp}_{\text{KEM}}; r); (pk', sk') \leftarrow_{\$} \text{otKEM.Gen}(\text{pp}_{\text{KEM}}; r') : \\ pk = pk' \end{array} \right] \\ = \Pr \left[ \begin{array}{l} x \leftarrow_{\$} \mathbb{Z}_q^*; x' \leftarrow_{\$} \mathbb{Z}_q^* \\ g^x = g^{x'} \end{array} \right] = \Pr [x \leftarrow_{\$} \mathbb{Z}_q^*; x' \leftarrow_{\$} \mathbb{Z}_q^* : x = x'] = \frac{1}{q-1} = \text{negl}(\lambda).$$

$\square$

## C.2 Instantiations of SIG

We recall the BSW signature scheme from [7], where the verification key space is  $\mathcal{VK} = \mathbb{G}^{n+3}$ , where  $n$  is output length of  $H$ , the secret key space is  $\mathcal{SK} = \mathbb{G}$ , and the signature space is  $\Sigma = \mathbb{G}^2 \times \mathbb{Z}_q$ . The SIG scheme is shown in Figure 13.

<p><b>SIG.Setup</b>(<math>1^\lambda</math>):  <math>(\mathbb{G}', \mathbb{G}_1, q, g, e) \leftarrow \text{PGGen}(1^\lambda)</math>  <math>H \leftarrow_{\\$} \mathcal{H}; H : \{0, 1\}^* \rightarrow \{0, 1\}^n</math>  Return <math>\text{pp}_{\text{otKEM}} := (\mathbb{G}', \mathbb{G}_1, q, g, e, H, n)</math></p> <p><b>SIG.Gen</b>(<math>\text{pp}_{\text{SIG}}</math>):  <math>\alpha \leftarrow_{\\$} \mathbb{Z}_q; g_1 := g^\alpha</math>  <math>g_2, h, u', u_1, \dots, u_n \leftarrow_{\\$} \mathbb{G}'</math>  <math>vk := (g_1, g_2, h, u', u_1, \dots, u_n)</math>  <math>sk := g_2^\alpha</math>  Return <math>(vk, sk)</math></p> <p><b>SIG.Sign</b>(<math>sk, m</math>):  <math>r, s \leftarrow_{\\$} \mathbb{Z}_q; \sigma_2 := g^r</math></p>	<p><math>t \leftarrow H(m \sigma_2) \in \{0, 1\}^n</math>  <math>M \leftarrow H(g^t h^s)</math>  Parse <math>M = m_1 m_2 \dots m_n \in \{0, 1\}^n</math>  <math>\sigma_1 := sk \cdot (u' \prod_{i=1}^n u_i^{m_i})^r</math>  <math>\sigma := (\sigma_1, \sigma_2, s)</math>  Return <math>\sigma</math></p> <p><b>SIG.Ver</b>(<math>vk, m, \sigma</math>):  Parse <math>vk = (g_1, g_2, h, u', u_1, \dots, u_n)</math>  Parse <math>\sigma = (\sigma_1, \sigma_2, s)</math>  <math>t \leftarrow H(m \sigma_2); M \leftarrow H(g^t h^s)</math>  Parse <math>M = m_1 m_2 \dots m_n \in \{0, 1\}^n</math>  If <math>e(\sigma_1, g) = e(\sigma_2, u' \prod_{i=1}^n u_i^{m_i}) \cdot e(g_1, g_2)</math>:  Return 1  Else: Return 0</p>
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Fig. 13: SIG instantiated from the BSW signature scheme [7].

**Theorem 7 (From [7]).** *The BSW signature scheme is sEUF-CMA secure if the CDH assumption holds over  $\mathbb{G}'$ .*

### C.3 Instantiations of MAC

We instantiate our MAC with the BKPW-MAC scheme in [10], where the secret key space is  $\mathcal{K} = \mathbb{Z}_q^3$  and the tag space is  $\Sigma = \mathbb{G}^3$ . The the BKPW-MAC scheme is shown in Figure 14.

$\text{MAC.Tag}(sk, m):$ Parse $sk = (\omega, x, x') \in \mathbb{Z}_q^3$ $u \leftarrow_s \mathbb{G}; v_1 := u^\omega;$ $\ell \leftarrow \text{H}(u, v_1, m)$ $v_2 := u^{x\ell+x'}$ $\sigma := (u, v_1, v_2)$ Return $\sigma$	$\text{MAC.Ver}(sk, m, \sigma):$ Parse $sk = (\omega, x, x') \in \mathbb{Z}_q^3$ Parse $\sigma = (u, v_1, v_2)$ If $u^\omega \neq v_1$ : Return 0 $\ell \leftarrow \text{H}(u, v_1, m)$ If $u^{x\ell+x'} \neq v_2$ : Return 0 Return 1
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Fig. 14: MAC instantiated from the BKPW-MAC scheme [10].

**Theorem 8.** [10] *The BKPW-MAC scheme is sEUF-CMA<sup>6</sup> secure if the DDH assumption holds over  $\mathbb{G}$ .*

### C.4 Instantiation of PRG

We instantiate our PRG with the FSS-PRG scheme [11], where  $\text{PRG} : \mathbb{Z}_q \rightarrow \mathbb{Z}_q^5$ . The FSS-PRG scheme is described in Figure 15.

$\text{PRG.Setup}(1^\lambda):$ $(\mathbb{G}, q, g) \leftarrow \text{GGen}(1^\lambda)$ $x, y \leftarrow_s \mathbb{G}$ Return $\text{pp} := (G, q, g, x, y)$	$\text{Eval}(\text{pp}, \text{seed} \in \mathbb{Z}_q):$ For $i = 1$ to 5 do: $z_i \leftarrow \text{enum}(y^{\text{seed}})$ $\text{seed} \leftarrow \text{enum}(x^{\text{seed}})$ Return $z_1   \dots   z_5$
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Fig. 15: PRG instantiated from the FSS-PRG scheme [11].

**Theorem 9.** [11] *The FSS-PRG scheme is pseudo-random if the DDH assumption holds over  $\mathbb{G}$ .*

### C.5 Instantiations of Symmetric Encryption

Note that in our generic construction, each secret key is used only once. So we can use one time pad as our SE scheme, where the secret key space  $\mathcal{K}$ , the plain text space  $\mathcal{M}$  and the cipher text space  $\mathcal{C}$  are the same:  $\mathcal{K} = \mathcal{M} = \mathcal{C} = \mathbb{Z}_q$ .

<sup>6</sup> [10] proved the scheme in EUF-CMA model. In fact, their proof implies the scheme can be sEUF-CMA secure.

- $\text{SEnc}(k, m)$  : return ciphertext  $c := m + k \pmod q$ .
- $\text{SDec}(k, c)$  : return plaintext  $m := c - k \pmod q$ .

**Theorem 10.** *The SE scheme is semantic secure.*

*Proof.* For all  $m_0, m_1 \in \mathcal{M} = \mathbb{Z}_q$ , the ciphertext  $c_b = m_b + k$  is uniform over  $\mathbb{Z}_q$  for  $b \in \{0, 1\}$ , as long as  $k \leftarrow_s \mathbb{Z}_q$ . As a result  $c_0 \equiv c_1$  for all  $m_0, m_1 \in \mathbb{Z}_q$ .  $\square$

**Theorem 11.** *The SE scheme has ciphertext diversity.*

*Proof.* For all  $c_0 \neq c_1 \in \mathcal{C} = \mathbb{Z}_q$ , for all  $k \in \mathcal{K} = \mathbb{Z}_q$ , we have

$$\text{SDec}(k, c_0) = c_0 - k \neq c_1 - k = \text{SDec}(k, c_1).$$

$\square$

## C.6 Instantiation of PPAKE

Following the generic construction of PPAKE in Figure 7 to assemble the instantiations of the building blocks shown in previous subsections, we immediately obtain a specific 3-round PPAKE scheme based on the DDH and CDH assumptions in the standard model. See Figure 16 for more details.

Note that we take advantage of bijection `enum` to map group elements  $\mathbb{G}$  to elements in  $\mathbb{Z}_q$ .

We analyze the communication complexity of the PPAKE scheme in Fig. 16 as follows. The first round-message of the protocol consists of  $(pk_{\text{otKEM}}, C_1)$ , where  $pk_{\text{otKEM}}$  is one group element in  $\mathbb{G}$  and the ciphertext  $C_1$  from KEM contains 3 group elements in  $\mathbb{G}$ . The second round-message of the protocol consists of  $(C_2, \sigma_1)$ , where the ciphertext  $C_2$  from otKEM contains 1 group element in  $\mathbb{G}$ , and the tag contains 3 group elements in  $\mathbb{G}$ . The third round of the protocol is  $c$ , which is the ciphertext of SE encrypting  $(i, \sigma_2)$ .  $i \in \mathbb{Z}_q$  is user identity and the signature  $\sigma_2$  from SIG contains 2 group elements from  $\mathbb{G}'$  and one element from  $\mathbb{Z}_q$ . Hence the third round-message is an encryption of two  $\mathbb{G}'$  elements and two  $\mathbb{Z}_q$  elements. In total, there are  $8\mathbb{G} + 2\mathbb{G}' + 2\mathbb{Z}_q$  elements.

We stress that our PPAKE is robust, the total communication complexity is independent of the users number in the system. The above analyze shows that there are only 12 elements in total.

Finally, by Theorem 1, we have the following corollary.

**Corollary 4.** *Our PPAKE scheme in Fig. 16 has explicit authentication, forward security for session key and forward privacy for user identity in the standard model if the DDH assumption holds over  $\mathbb{G}$  and the CDH assumption holds over  $\mathbb{G}'$ .*

<p><b>PPAKE.Setup</b>(<math>1^\lambda</math>):</p> $(\mathbb{G}, q, g) \leftarrow \text{GGen}(1^\lambda)$ $g_1, g_2 \leftarrow \mathbb{G}$ $(\hat{\mathbb{G}}, \hat{q}, \hat{g}, e) \leftarrow \text{PGGen}(1^\lambda)$ $\mathcal{H} \leftarrow \mathcal{H}; \mathcal{H} : \{0, 1\}^* \rightarrow \mathbb{Z}_q$ $\mathcal{H}' \leftarrow \mathcal{H}'; \mathcal{H}' : \{0, 1\}^* \rightarrow \{0, 1\}^n$ $X, Y \leftarrow \mathbb{G}$ $\text{ppPAKE} := (\mathbb{G}, q, g, g_1, g_2, \hat{\mathbb{G}}, \hat{q}, \hat{g}, e, \mathcal{H}, \mathcal{H}', X, Y, n)$ Return $\text{ppPAKE}$ <p><b>PPAKE.Gen</b>(<math>\text{ppPAKE}, P_i</math>):</p> $x_1, x_2, y_1, y_2, z_1, z_2 \leftarrow \mathbb{Z}_q$ $c := g_1^{x_1} g_2^{x_2}; d := g_1^{y_1} g_2^{y_2}; o := g_1^{z_1} g_2^{z_2}$ $pk_i := (c, d, o); sk_i := (x_1, x_2, y_1, y_2, z_1, z_2)$ $\alpha \leftarrow \mathbb{Z}_q; \hat{g}_1 := \hat{g}^\alpha$ $\hat{g}_2, \hat{h}, \hat{u}', \hat{u}_1, \dots, \hat{u}_n \leftarrow \hat{\mathbb{G}}$ $vk_i := (\hat{g}_1, \hat{g}_2, \hat{h}, \hat{u}', \hat{u}_1, \dots, \hat{u}_n)$ $ssk_i := \hat{g}_2^\alpha$ Return $((pk_i, vk_i), (sk_i, ssk_i))$ <p><b>PRG</b>(<math>seed</math>):</p> $seed := \text{enum}(seed)$ For $i = 1$ to 5 do: $z_i \leftarrow \text{enum}(Y^{seed})$ $seed := \text{enum}(X^{seed})$ Return $z_1   \dots   z_5$ <p><b>PPAKE.Protocol</b>(<math>P_i \rightleftharpoons P_j</math>):</p> $// P_i$ generates the first message to $P_j$ $\Psi_i := \emptyset; k_i := \emptyset; st_i := \emptyset$ Parse $pk_j := (c, d, o)$ $r \leftarrow \mathbb{Z}_q$ $u_1 := g_1^r; u_2 := g_2^r$ $\alpha \leftarrow \mathcal{H}(u_1, u_2); v := c^{\alpha r}$ $C_1 := (u_1, u_2, v); N := o^r$ $x \leftarrow \mathbb{Z}_q^*; w := g^x$ $pk_{\text{otKEM}} := w; sk_{\text{otKEM}} := x$ $st_i := \{pk_{\text{otKEM}}, sk_{\text{otKEM}}, N, C_1\}$ Send( $pk_{\text{otKEM}}, C_1$ ) <p><b>PPAKE.Protocol</b>(<math>P_i \rightleftharpoons P_j</math>):</p> $// P_j$ receives the first message and generates the second message $\Psi_j := \emptyset; k_j := \emptyset; st_j := \emptyset$ Parse $C_1 = (u_1, u_2, v)$ Parse $sk_j = (x_1, x_2, y_1, y_2, z_1, z_2)$ $\alpha := \mathcal{H}(u_1, u_2)$ If $u_1^{x_1 + \alpha y_1} u_2^{x_2 + \alpha y_2} \neq v$ : abort $N' := u_1^{z_1} u_2^{z_2}$ $y \leftarrow \mathbb{Z}_q^*$ $C_2 := g^y; K := pk_{\text{otKEM}}^y$ $m := pk_{\text{otKEM}}   C_1   C_2$	$\omega   \bar{x}_1   \bar{x}_2   \mathbb{Z}_q   \mathbb{Z}_q \leftarrow \text{PRG}(N')$ $u \leftarrow \mathbb{G}; v_1 := u^\omega$ $\ell \leftarrow \mathcal{H}(u, v_1, m)$ $v_2 := u^{\bar{x}_1 \ell + \bar{x}_2}$ $\sigma_1 := (u, v_1, v_2)$ $st_j := \{pk_{\text{otKEM}}, C_1, C_2, N', K, \sigma_1\}$ Send( $C_2, \sigma_1$ ) <p><b>PPAKE.Protocol</b>(<math>P_i \rightleftharpoons P_j</math>):</p> $// P_i$ receives the second message and generates the third message Parse $st_i := \{pk_{\text{otKEM}}, sk_{\text{otKEM}}, N, C_1\}$ $\omega   \bar{x}_1   \bar{x}_2   \mathbb{Z}_q   \mathbb{Z}_q \leftarrow \text{PRG}(N)$ Parse $\sigma_1 = (u, v_1, v_2)$ If $u^\omega \neq v_1$ : $\Psi_i := \text{reject}$ Return $\perp$ $\ell \leftarrow \mathcal{H}(u, v_1, m)$ If $u^{\bar{x}_1 \ell + \bar{x}_2} \neq v_2$ : $\Psi_i := \text{reject}$ Return $\perp$ $m := pk_{\text{otKEM}}   C_1   C_2   \sigma_1$ Parse $vk_i := (\hat{g}_1, \hat{g}_2, \hat{h}, \hat{u}', \hat{u}_1, \dots, \hat{u}_n)$ $r, s \leftarrow \mathbb{Z}_q; s_2 := \hat{g}^r$ $t \leftarrow \mathcal{H}'(m   s_2) \in \{0, 1\}^n$ $M \leftarrow \mathcal{H}'(\hat{g}^t \hat{h}^s)$ Parse $M = m_1   m_2   \dots   m_n \in \{0, 1\}^n$ $s_1 := ssk_i \cdot (u' \prod_{i=1}^n \hat{u}_i^{m_i})^r$ $\sigma_2 := (s_1, s_2, s)$ $K' := C_2^{sk_{\text{otKEM}}}$ $\bar{k}_1   \bar{k}_2   \bar{k}_3   \bar{k}_4   k_i \leftarrow \text{PRG}(K')$ $\bar{k} := \bar{k}_1   \bar{k}_2   \bar{k}_3   \bar{k}_4$ $c := (\bar{k}_1 + i)   (\bar{k}_2 + s_1)   (\bar{k}_3 + s_2)   (\bar{k}_4 + s)$ Send( $c$ ) $\Psi_i := \text{accept}$ Return $(\Psi_i, k_i)$ <p><b>PPAKE.Protocol</b>(<math>P_i \rightleftharpoons P_j</math>):</p> $// P_j$ receives the third message Parse $st_j := \{pk_{\text{otKEM}}, C_1, C_2, N', K, \sigma_1\}$ Parse $c := c_1   c_2   c_3   c_4$ $\bar{k}_1   \bar{k}_2   \bar{k}_3   \bar{k}_4   k_j \leftarrow \text{PRG}(K)$ $\bar{k}' := \bar{k}_1   \bar{k}_2   \bar{k}_3   \bar{k}_4$ $i'   s_1   s_2   s := (c_1 - \bar{k}_1)   (c_2 - \bar{k}_2)   (c_3 - \bar{k}_3)   (c_4 - \bar{k}_4)$ $m := pk_{\text{otKEM}}   C_1   C_2   \sigma_1$ Parse $vk_j = (\hat{g}_1, \hat{g}_2, \hat{h}, \hat{u}', \hat{u}_1, \dots, \hat{u}_n)$ $t \leftarrow \mathcal{H}'(m   s_2) \in \{0, 1\}^n$ $M \leftarrow \mathcal{H}'(\hat{g}^t \hat{h}^s)$ Parse $M = m_1   m_2   \dots   m_n \in \{0, 1\}^n$ If $e(s_1, \hat{g}) \neq e(s_2, \hat{u}' \prod_{i=1}^n \hat{u}_i^{m_i}) \cdot e(\hat{g}_1, \hat{g}_2)$ : $\Psi_j := \text{reject}$ Return $\perp$ $\Psi_j := \text{accept}$ Return $(\Psi_j, k_j)$
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Fig. 16: The specific PPAKE scheme from DDH and CDH.