

A Geometric Approach to Homomorphic Secret Sharing

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Abstract. An (n, m, t) -homomorphic secret sharing (HSS) scheme allows n clients to share their inputs across m servers, such that the inputs are hidden from any t colluding servers, and moreover the servers can evaluate functions over the inputs *locally* by mapping their input shares to *compact* output shares. Such compactness makes HSS a useful building block for communication-efficient secure multi-party computation (MPC).

In this work, we propose a simple compiler for HSS evaluating multivariate polynomials based on two building blocks: (1) homomorphic encryption for linear functions or low-degree polynomials, and (2) information-theoretic HSS for low-degree polynomials. Our compiler leverages the power of the first building block towards improving the parameters of the second.

We use our compiler to generalize and improve on the HSS scheme of Lai, Malavolta, and Schröder [ASIACRYPT'18], which is only efficient when the number of servers is at most logarithmic in the security parameter. In contrast, we obtain efficient schemes for polynomials of higher degrees and an arbitrary number of servers. This application of our general compiler extends techniques that were developed in the context of information-theoretic private information retrieval (Woodruff and Yekhanin [CCC'05]), which use partial derivatives and Hermite interpolation to support the computation of polynomials of higher degrees.

In addition to the above, we propose a new application of HSS to MPC with preprocessing. By pushing the computation of some HSS servers to a preprocessing phase, we obtain communication-efficient MPC protocols for low-degree polynomials that use fewer parties than previous protocols based on the same assumptions. The online communication of these protocols is linear in the input size, independently of the description size of the polynomial.

1 Introduction

In lightweight secure multi-party computation (MPC) protocols, communication is usually the bottleneck for efficiency. For example, typical protocols based on oblivious-transfer (OT) have a communication complexity linear in the circuit size of the function being computed. A promising approach to bypass this barrier is homomorphic secret sharing (HSS) for multivariate polynomials, which enables low communication MPC protocols, while retaining practical efficiency. In this

work, we study this problem and present a set of new lightweight techniques to maximize the degree of polynomials supported by HSS without increasing the communication cost.

1.1 Homomorphic Secret Sharing

An (n, m, t) -HSS scheme allows n input clients to share their secret inputs (x_1, \dots, x_n) to m non-communicating servers, such that the latter can homomorphically evaluate any admissible public function f over the shares, and produce the output shares (y_1, \dots, y_m) . Using these, an output client can recover $f(x_1, \dots, x_n)$. Shares of HSS should be much shorter, or ideally of size independent of the size of the description of the function f being computed. This non-trivial feature distinguishes HSS from OT-based MPC. As for ordinary threshold secret sharing schemes, security requires that the servers cannot learn anything about the inputs assuming at most t of them are corrupt.

HSS was conceived [10] as a lightweight alternative to fully-homomorphic encryption (FHE) [23] and it leverages the non-collusion of the servers to achieve better efficiency. Indeed, any homomorphic encryption for a function class \mathcal{F} can be seen as an $(n, 1, 1)$ -HSS for the same class. Due to the distributed setting, homomorphic secret sharing can be constructed from assumptions that do not imply a fully homomorphic encryption scheme, such as the intractability of the Diffie-Hellman (DDH) problem [20], or even information-theoretically.

Boyle et al. [10] proposed a DDH-based $(n, 2, 1)$ -HSS scheme for branching programs, where the reconstruction function is simply the addition of output shares. This enables many important applications, such as low-communication 2-party computation, efficient round-optimal multiparty computation protocols, and 2-server private-information retrieval. See [12] for a comprehensive discussion on the matter. One drawback of the scheme is that its correctness holds only for an inverse polynomial probability. Amplifications through parallel repetition results in a loss of concrete efficiency.

Boyle, Kohl, and Scholl [13] proposed a counterpart of [10] based on the learning with errors (LWE) assumption with negligible error. Similar to FHE, their scheme is only concretely efficient in an amortized sense and only for SIMD⁴-style computations. Boyle *et al.* [9] proposed an $(n, 2, 1)$ -HSS scheme for constant-degree polynomials based on the learning parity with noise (LPN) assumption. The scheme does not apply to the multi-input setting, *i.e.*, the entire input must come from a single party, and the share size $O(\lambda^d)$ (as opposed to the trivial $O(n^d)$) grows exponentially with the degree d .

In a different line of work originated by Catalano and Fiore [15], Lai, Malavolta, and Schröder (LMS) [31] considered a variant of the HSS model, where the reconstruction function is not necessarily linear. While this notion is strictly weaker than that considered by Boyle *et al.* [10], it is still useful in some context to “amplify” the homomorphic capability of some encryption schemes, leveraging the existence of multiple non-colluding servers. They proposed a construction of

⁴ Single-Instruction-Multiple-Data

$(n, m, 1)$ -HSS for degree $d < (k + 1)m$ polynomials using only a homomorphic encryption scheme for degree k polynomials (k -HE), for any $k \geq 1$. The LMS construction [31] focused on the case $t = 1$. Their discussion of how the construction can be extended to $t > 1$ was non-constructive. A constructive version for general $t \geq 1$ was proposed in [35]. The main shortcoming of LMS [31,35] is that it is only efficient for a small number of servers, *i.e.*, $m = O(\log \lambda)$, where λ is the security parameter. This is due to the difficulty of the combinatorial problem of assigning monomials of the expanded form of $\prod_{\ell \in [d]} (\sum_{i \in [n]} X_i)$ to m servers so that each monomial is computed by exactly one server.

1.2 Power of Low-Degree Polynomials

The homomorphic computation of low-degree polynomials enables several interesting applications, that we discuss below.

1. *Private Information Retrieval:* An m -server private information retrieval (PIR) protocol allows a client to retrieve the entry of a certain database (stored by all servers) without revealing which entry he is interested in. HSS offers a natural implementation of PIR by allowing the client to secret share the index across all servers, who can homomorphically evaluate the index selection function and return the corresponding entry of the database to the client. It is a well-known fact that the index selection function can be expressed as a low-degree polynomial (logarithmic in the size of the database).
2. *Private Queries:* In the context of private queries, even a few extra degrees of computation turn out to be useful. Instead of the simple index selection, the servers can answer more complex queries, such as conjunctive statements [6]. As a concrete example, a client can query how many database entries contain a 1 at positions (i, j) , without revealing the indices (i, j) , by just adding a single degree to the polynomial homomorphically evaluated by the servers. See [3] for an elaborate discussion on the matter. Other examples of useful queries computable with low-degree polynomials include pattern matching over unsorted databases [1,2].
3. *Machine Learning:* HSS for low-degree polynomials can be used to securely compute repeated linear operations, such as matrix multiplication (for small amounts of matrices). These operations are recurrent for many interesting tasks, such as the secure computation of the training phase (*e.g.*, [26]) and classification phase of (*e.g.*, [8]) of machine learning.
4. *Biometrics:* In applications of biometrics it is often required to compare or compute the distance of two data points. These tasks, such as the comparison of two integers [33], Hamming distance [38], and edit distance [16], can be represented as the computation of low-degree polynomials.
5. *Statistical Analysis:* Low-degree polynomials allow one to compute statistics over private data, such as low-order moments, correlations, and linear regressions. See, *e.g.* [15] and references therein.

1.3 Our Results

The starting point of this work is the observation that the LMS construction can be viewed more abstractly as compiling an information-theoretic (IT) HSS scheme into its computational counterpart using k -HE. In their case, the IT HSS scheme consisted of the so called CNF secret sharing scheme [29], consequently, the inefficiency of their scheme for $m = \Omega(\log \lambda)$ servers is essentially due to the difficulty of evaluating CNF shares, which in turn is related to the #P-hard problem of computing the permanent of matrices [27]. With this view, it is natural to ask if the CNF scheme can be replaced with another IT HSS scheme, so that its (k -HE-compiled) computational variant is efficient for $m = \text{poly}(\lambda)$ servers.

Generic Compiler from IT HSS to HSS using HE In this work, we answer the above question positively. Specifically, we propose a generic compiler based on homomorphic encryption that compiles a certain class of IT HSS for degree- d polynomials into their computational counterpart with less client computation (and hence shorter output shares). In other words, for a fixed client computation cost, the computational variant supports higher degrees.

Theorem 1 (Informal). *Let $k, \ell \in \mathbb{N}$ be constants with $k \leq \ell$, and $d < \frac{(\ell+1)m}{t}$. Suppose there exists an IT (n, m, t) -HSS for degree- d polynomials satisfying certain structural properties, and a CPA-secure k -HE scheme. Further suppose that the IT HSS scheme has recovery information size ρ , input share size α , output share size β , server computation σ , and client computation γ . Then there exists an (n, m, t) -HSS for degree- d polynomials with the following efficiency measures:*

- Recovery information size $\rho' = \rho$
- Input share size $\alpha' = \rho + \alpha$
- Output share size $\beta' = \rho^{\ell-k}$
- Server computation $\sigma' = \sigma + \beta\rho^\ell$
- Client computation $\gamma' = m\rho^{\ell-k}$

All $\text{poly}(\lambda)$ factors contributed by the ciphertext size and $\log |\mathbb{F}|$ are omitted.

For $k = \ell$, when the base IT HSS scheme is instantiated with the CNF scheme⁵, we recover the LMS schemes [31,35].

Theorem 1 might seem confusing at first glance – Our compiler turns a degree- d IT HSS into another degree- d computational HSS. What is the gain? We highlight that the output share size of the resulting HSS is independent of that of the base HSS, which could be much larger. From another perspective, for a fixed communication cost, the compiled (computational) HSS supports a higher degree than the base (IT) HSS.

More concretely, as we will see later in Corollary 1 (setting $\ell = k + 1$), with $O(n) \cdot \text{poly}(\lambda)$ communication, the compiled HSS supports degree $< (k + 2)m/t$ with m servers, instead of $< 2m/t$ by the base HSS. Note that the supported degree is proportional to km , *i.e.*, the expressiveness of k -HE is amplified *multiplicatively* by the number of servers m .

⁵ More rigorously, the LMS construction can be seen as compiling the “first-order CNF scheme” which we define in Section 4.

Generalizations of Existing Compatible IT HSS In search of a substitute of the CNF scheme, we observe that implicit in the work of Woodruff and Yekhanin [37] lies an IT HSS, which was implicitly used to construct information-theoretic secure multi-party computation protocols [3]. This scheme, which we denote by WY_1 (first-order Woodruff-Yekhanin HSS), can be seen as a generalization of the well-known Shamir secret sharing scheme [36], which we denote by WY_0 .

To recall, in the Shamir secret sharing scheme, a secret $\mathbf{x} \in \mathbb{F}^n$ is shared into $(\mathbf{s}_1, \dots, \mathbf{s}_m) = (\varphi(1), \dots, \varphi(m))$ for some degree- t polynomial φ with $\varphi(0) = \mathbf{x}$. To evaluate a degree- d polynomial f , where $d < \frac{m}{t}$, server j sends $f(\mathbf{s}_j) = (f \circ \varphi)(j)$ to the output client. Since $f \circ \varphi$ is a polynomial of degree at most $dt < m$, the output client can recover $f(\mathbf{x}) = (f \circ \varphi)(0)$ by Lagrange interpolation. Notice that the Shamir secret sharing scheme is *compact* in the sense that, while an input share is of length n , an output share is of constant length. The latter is in some sense “wasteful”, since increasing the output share length to n (which we refer to as *balanced*), does not increase the overall asymptotic communication complexity. To utilize this “wasted” space, the idea of Woodruff and Yekhanin is to let the servers further compute the n first-order derivatives of f evaluated at \mathbf{s}_j . Since m additional data points are available, the degree of f can now be as high as $d < \frac{2m}{t}$, and $f(\mathbf{x}) = (f \circ \varphi)(0)$ can be recovered by Hermite interpolation.

Our idea to further increase the degree of the supported polynomials is to let the servers compute even higher-order derivatives.⁶ With some routine calculation one can show that the output share size is $O(n^\ell)$ if derivatives of up to the ℓ -th order are evaluated and sent to the output client. While this does not necessarily help in a standalone use of the HSS scheme, since it increases the overall communication complexity (and also client computation), it turns out that the increased communication can be brought back down again using the k -HE-based compiler, so that the resulting scheme is balanced or even compact.

Theorem 2 (Informal). *For any constant $\ell \in \mathbb{N}$ and $d < \frac{(\ell+1)m}{t}$, there exists an IT (n, m, t) -HSS scheme WY_ℓ for degree- d polynomials with the following efficiency measures:*

- Recovery information size $\rho = n$
- Input share size $\alpha = n$
- Output share size $\beta = n^\ell$
- Server computation $\sigma = |f|n^{\ell-1}$
- Client computation $\gamma = mn^\ell$

Furthermore, WY_ℓ satisfies the structural requirements of the k -HE-based compiler. All $\log |\mathbb{F}|$ factors are omitted.

⁶ The idea of generalizing the approach of Woodruff and Yekhanin to higher order derivatives was already explored in the context of locally decodable codes [30] although in very different parameter settings. To the best of our knowledge, its application in cryptography is new to this work.

Scheme	CNF $_{\ell}$	CNF $_{\ell} + k$ -HE	WY $_{\ell}$	WY $_{\ell} + k$ -HE
Security	IT	Comp.	IT	Comp.
Max Degree d (Exclusive)	$(\ell + 1)m/t$			
Recovery Info. Size ρ	$m^t n$	$m^t n$	ℓn	ℓn
Input Share Size α	$m^t n$	$m^t n$	n	ℓn
Output Share Size β	$(m^t n)^{\ell}$	$(m^t n)^{\ell-k}$	n^{ℓ}	$(\ell n)^{\ell-k}$
Server Computation σ	$(m^t n)^d$	$(m^t n)^d$	$ f n^{\ell-1}$	$ f n^{\ell-1} + (\ell n^2)^{\ell}$
Client Computation γ	$\ell m(m^t n)^{\ell}$	$m(m^t n)^{\ell-k}$	$\ell m(\ell n)^{\ell}$	$m(\ell n)^{\ell-k}$

Table 1. Comparison of HSS schemes. Computation complexities for CNF $_{\ell}$ and CNF $_{\ell} + k$ -HE are rough (over)estimations. The LMS scheme [31,35] achieves the efficiency reported in the “CNF $_{\ell} + k$ -HE” column with $\ell = k$. Factors of $\text{poly}(\lambda)$ contributed by $\log |\mathbb{F}|$ and k -HE ciphertext size are omitted.

Implications When WY $_{\ell}$ is compiled with the k -HE based compiler, we obtain the following result.

Corollary 1 (Informal). *Let $k, \ell \in \mathbb{N}$ be constants with $k \leq \ell$, and $d < \frac{(\ell+1)m}{t}$. Suppose there exists a CPA-secure k -HE scheme. Then there exists an (n, m, t) -HSS for degree- d polynomials with the following efficiency measures:*

- Recovery information size $\rho' = n$
- Input share size $\alpha' = n$
- Output share size $\beta' = n^{\ell-k}$
- Server computation $\sigma' = |f|n^{\ell-1} + n^{2\ell}$
- Client computation $\gamma' = mn^{\ell-k}$

All $\text{poly}(\lambda)$ factors contributed by the ciphertext size and $\log |\mathbb{F}|$ are omitted.

As shown in Table 1, if we treat ℓ as a constant, the k -HE-compiled WY $_{\ell}$ scheme strictly outperforms the k -HE-compiled CNF $_{\ell}$ scheme ($\ell = 1$ in LMS [31,35]) in all parameters. We are mostly interested in the setting where the communication is *balanced*, in the sense that the input share size is comparable to the output share size. From Corollary 1, this can be achieved by setting $\ell = k + 1$.

In Table 2, we highlight some practically interesting parameters for the k -HE-compiled WY $_{\ell}$ scheme. For a fixed communication cost $n \cdot \text{poly}(\lambda)$, we state the relation between k , $\ell = k + 1$ (so that the HSS is balanced), the corruption threshold t , the number of servers m , and the degree d of supported polynomials. The degree d reported for each setting of (t, m) is generally higher than that supported by LMS [31] ($t = 1$) and [35] ($t \geq 1$) by an additive factor of m/t , since they did not consider balanced HSS schemes. We focus on small $k = O(1)$ since for such values of k it is not known how a k -HE can be bootstrapped [23] into an FHE. For $k \in \{1, 2\}$, k -HE can be realized based on assumptions that are not known to imply FHE: For polynomials whose outputs are contained in a polynomial-size space, the ElGamal encryption [21] is a 1-HE based on the decisional Diffie Hellman (DDH) assumption, and the BGN encryption [7] is a 2-HE based on the subgroup decision assumption. For large outputs, the

Corruption t	# Servers m	Max Degree d (Inclusive)
1	2	5
1	3	8
1	4	11
2	3	4
2	4	5
3	4	3
1	2	7
1	3	11
1	4	15
2	3	5
2	4	7
3	4	5

Table 2. Some practically interesting parameters for our HSS schemes for polynomials using k -HE for $k = 1, 2$ and linear communication. The first six rows are obtained by setting $k = 1$ and $\ell = 2$ in $WY_\ell + k$ -HE. The last six rows are obtained by setting $k = 2$ and $\ell = 3$.

Paillier encryption [34] and Damgård–Jurik encryption [19] are 1-HE based on the decisional composite residuosity assumption. The additive variant of ElGamal [14] is a 1-HE based on DDH in groups with a discrete-logarithm-easy subgroup. For general $k = O(1)$, k -HE can be constructed from the learning with errors assumption with smaller parameters than those which imply FHE, and therefore are concretely efficient.

Application to MPC with Preprocessing In typical (n, m, t) -HSS schemes, including ones constructed in this work, there exists $p < m$ such that any p input shares are distributed uniformly over an efficiently sampleable space. In other words, the input shares of any, say the first, p parties contain no information about the input (x_1, \dots, x_n) , and can be generated in a preprocessing phase even before the inputs (x_1, \dots, x_n) are known. We formalize this as the p -preprocessing property, and show that the WY_ℓ scheme and its k -HE-compiled counterpart support $\lfloor \frac{t}{\ell+1} \rfloor$ -preprocessing.

We then show that, given a general purpose MPC protocol (whose communication cost might be linear in the function description size), an HSS for polynomials with p -preprocessing can be compiled into a communication-efficient MPC for polynomials with preprocessing. Our technique generalizes the approach taken in [5] for obtaining 2-party MPC with preprocessing from 3-server PIR.

Recall that an MPC protocol with preprocessing is split into two phases – a preprocessing phase and an online phase. In the preprocessing phase, a trusted party performs an input-independent preprocessing on the function f , and distributes shares of the preprocessing result to the m participants. Alternatively, the trusted party can be emulated by an MPC among the m parties. Then, in the online phase, the m parties collectively receive their online inputs (x_1, \dots, x_n) , where

Corruption t	# Parties m	Max Degree d (Inclusive)	Base Scheme
3	4	4	WY ₂ + 1-HE
3	5	5	WY ₂ + 1-HE
3	6	6	WY ₂ + 1-HE
4	5	5	WY ₃ + 2-HE
4	6	6	WY ₃ + 2-HE
4	7	7	WY ₃ + 2-HE

Table 3. Some practically interesting parameters for our MPC protocols with preprocessing with $n \cdot \text{poly}(\lambda)$ communication, based on HE for linear or quadratic functions.

each party either possesses a share or a disjoint subset of entries of (x_1, \dots, x_n) , and interact in an online MPC protocol to compute $f(x_1, \dots, x_n)$. The hope is that, by exploiting the offline preprocessing, the online communication cost can be reduced such that it is independent of the description size of f .

Our idea is to push the work of the first p servers in an HSS scheme with p -preprocessing to the preprocessing phase of the MPC protocol, and thereby reduce the minimal necessary number of parties required to run the protocol. The MPC preprocessing first generates the inputs shares of the first p HSS servers, which can be done independently of the input. It then homomorphically evaluates f on the p input shares to produce p output shares. The input and output shares of the first p HSS servers are then secret shared among the m MPC participants.

In the online phase, the m MPC participants receive their respective inputs (x_1, \dots, x_n) and engage in an MPC protocol to generate the remaining input shares. Naturally, the j -th participant gets the $(p + j)$ -th HSS input share. Each participant can then proceed to homomorphically evaluate f on their input shares, and then engage in another MPC to recover the computation result from all output shares.

Note that the two MPC sub-protocols run in the online phase are computing functions whose circuit size is comparable to the input size, independently of $|f|$. For degree d polynomials, $|f|$ can be of size $O(n^d)$. Our MPC protocol therefore potentially achieves an exponential improvement over general-purpose MPC, without using heavy tools such as FHE.

In the case where t is a multiple of $\ell + 1$, when instantiated with the k -HE-compiled WY _{ℓ} scheme and, say, an OT-based MPC, we obtain an m party MPC protocol with preprocessing for degree- d polynomials, where $d < \frac{(\ell+1)m}{t} + 1$, *i.e.*, the degree grows by 1 compared to a direct use of HSS without increasing the number of participants. The online communication is $mn^{\ell-k} \cdot \text{poly}(\lambda)$. As long as $|f| = \omega(mn^{\ell-k})$, which holds for the vast majority of n -variate polynomials of degree $d < \frac{(\ell+1)m}{t} + 1$, our preprocessing MPC achieves a communication complexity sublinear in $|f|$. Due to the requirement that t is a multiple of $\ell + 1$, the preprocessing technique seems to be more suited to the setting where t is large (close to m). In Table 3, we highlight some practically interesting parameters for the MPC protocols with preprocessing obtained via our transformation.

Beyond the computation of degree- d polynomials, our preprocessing MPC can be used as a building block in MPC for structured circuits whose “gates” compute degree- d mappings, similar to the ideas of [10,17,11] for evaluating layered circuits and circuits over low-degree gates. Some examples for useful circuits of this kind were given in [17]. These include circuits for Fast Fourier Transform (FFT), symmetric-key cryptography, and dynamic programming.

1.4 Related Work

In addition to the aforementioned related works, we point out that the task of evaluating degree- d n -variate polynomials privately was also considered in the context of maliciously-secure MPC, where the adversary is allowed to corrupt all but one parties, *i.e.*, $t = m - 1$, whereas we only consider HSS and MPC schemes in the semi-honest setting. Below we discuss the semi-honest protocols implicitly described in two maliciously-secure MPC, both of which are indirectly based on the idea of compiling an IT HSS using a k -HE (for $k = 1$), which is made explicit in this work. These schemes inherently require that the polynomial to be evaluated is represented in expanded form, and consequently has only polynomially-many monomials. In contrast, our WY-based schemes support polynomials represented by polynomial-sized arithmetic circuits.

The semi-honest part of the 2-party protocol of Franklin and Mohassel [22] is precisely the HSS obtained by compiling CNF_1 with a 1-HE in the setting where $(t, m) = (1, 2)$. They also proposed an m -party (maliciously-secure) protocol for degree- d polynomials which achieves computation and communication complexity $\text{poly}(m) \cdot n^{\lceil d/2 \rceil}$, which is comparable to the 1-HE compiled WY_ℓ scheme which has communication complexity $m(\ell n)^{\ell-1}$ and supports polynomials of degree at least $\ell + 1$ (c.f., Table 1).

Underneath the protocol of Dachman-Soled *et al.* [18] lies the following protocol for evaluating a (publicly known) monomial $\mu(x_1, \dots, x_n)$ where (x_1, \dots, x_n) are jointly contributed by m parties. First, the monomial is split into $\mu = \mu_1 \cdot \dots \cdot \mu_m$, where $\mu_i(x_1, \dots, x_n)$ is a monomial which depends only on the inputs of the i -th party. Party 1 encrypts the evaluation of μ_1 using a 1-HE and sends the ciphertext c_1 to Party 2. Then, for $i \in \{2, \dots, m\}$, Party i homomorphically multiplies μ_i to the ciphertext c_{i-1} encrypting $\mu_1 \cdot \dots \cdot \mu_{i-1}$ received from Party $i - 1$ to obtain a new ciphertext c_i . Finally, Party i sends c_i to Party $i + 1$ if $i \neq m$, or to everyone if $i = m$. Based on the above incremental evaluation protocol, the (maliciously-secure) protocol of Dachman-Soled *et al.* [18] requires (roughly) $O(n^2 \log^2 d)$ communication and $O(n \log d)$ computation, where the logarithmic dependency on d is achieved by having each party precompute the powers-of-2 of their inputs⁷. Due to the logarithmic dependency on d and the limit of the number of monomials, their scheme seems best suited for evaluating sparse polynomials of a high degree $d = \text{poly}(\lambda)$.

⁷ This degree reduction technique is generic and also applies to our HSS-based schemes.

2 Preliminaries

Let $\lambda \in \mathbb{N}$ denote the security parameter. The set of all polynomials and negligible functions in λ are denoted by $\text{poly}(\lambda)$ and $\text{negl}(\lambda)$ respectively. An algorithm with input length n is PPT if it can be computed by a probabilistic Turing machine whose running time is bounded by some function $\text{poly}(n)$. We use $[n]$ to denote the set $\{1, \dots, n\}$, and \mathbb{N}_0 to denote the set of all non-negative integers. Given a finite set S , we denote by $x \leftarrow S$ the sampling of an element uniformly at random in S .

For simplicity, throughout this work we fix a field \mathbb{F} which is sufficiently large, such that for any polynomial $f \in \mathbb{F}[X_1, \dots, X_n]$ we will be considering, we have $\deg(f) < |\mathbb{F}| \leq 2^\lambda$. An \mathbb{F} element can therefore be represented by λ bits. Let $\mathbf{e} = (e_1, \dots, e_n) \in \mathbb{N}_0^n$ and $\mathbf{x} = (x_1, \dots, x_n) \in \mathbb{F}^n$. We define the weight function $\text{wt}(\mathbf{e}) := e_1 + \dots + e_n$. We use $\mathbf{x}^{\mathbf{e}}$ to denote the expression $\mathbf{x}^{\mathbf{e}} := x_1^{e_1} \dots x_n^{e_n}$.

2.1 Homomorphic Encryption for Degree- k Polynomials (k -HE)

We recall the notion of homomorphic encryption for degree- k polynomials over \mathbb{F} .

Definition 1 (Homomorphic Encryption). A homomorphic encryption scheme $\text{HE} = (\text{KGen}, \text{Enc}, \text{Eval}, \text{Dec})$ for degree- k polynomials over \mathbb{F} , k -HE for short, consists of the following PPT algorithms:

- $\text{KGen}(1^\lambda)$: The key generation algorithm takes as input the security parameter λ and outputs the public key pk and the secret key sk .
- $\text{Enc}(\text{pk}, \mathbf{x})$: The encryption algorithm takes as input the public key pk and a message $\mathbf{x} \in \mathbb{F}^n$ for some $n = \text{poly}(\lambda)$; it returns a ciphertext $\mathbf{c} \in \mathcal{C}^n$ in some ciphertext space \mathcal{C} .
- $\text{Eval}(\text{pk}, f, \mathbf{c})$: The evaluation algorithm takes as input the public key pk , (the description of) a polynomial $f \in \mathbb{F}[X_1, \dots, X_n]$, and a ciphertext $\mathbf{c} \in \mathcal{C}^n$ for some $n = \text{poly}(\lambda)$; it returns a ciphertext $c' \in \mathcal{C}$.
- $\text{Dec}(\text{sk}, c)$: The decryption algorithm takes as input the private key sk and a ciphertext $c \in \mathcal{C}^n$ for some $n = \text{poly}(\lambda)$; it returns a plaintext $\mathbf{x} \in \mathbb{F}^n$.

We focus only on *compact* HE schemes [23], where the size of the ciphertext space $|\mathcal{C}| = \text{poly}(\lambda)$ is independent of the size of the supported polynomials.

Definition 2 (Correctness). A k -HE scheme is said to be correct if for any $\lambda \in \mathbb{N}$, any $(\text{pk}, \text{sk}) \in \text{KGen}(1^\lambda)$, any positive integer $n \in \text{poly}(\lambda)$, any polynomial $f \in \mathbb{F}[X_1, \dots, X_n]$ of degree at most k , and message $x \in \mathbb{F}^n$, we have

$$\Pr[\text{Dec}(\text{sk}, \text{Enc}(\text{pk}, \mathbf{x})) = \mathbf{x}] \geq 1 - \text{negl}(\lambda), \text{ and}$$

$$\Pr \left[\text{Dec}(\text{sk}, c) = f(x) : \begin{array}{l} \mathbf{c} \leftarrow \text{Enc}(\text{pk}, \mathbf{x}) \\ c' \leftarrow \text{Eval}(\text{pk}, f, \mathbf{c}) \end{array} \right] \geq 1 - \text{negl}(\lambda)$$

where the probability is taken over the random coins of Enc and Eval . The scheme is perfectly correct if the above probabilities are exactly 1.

$\text{IND-CPA}_{\mathcal{A}, \text{HE}}^b(1^\lambda) :$ <hr style="border: 0.5px solid black;"/> $(\text{pk}, \text{sk}) \leftarrow \text{KGen}(1^\lambda)$ $(x_0, x_1, \text{state}) \leftarrow \mathcal{A}_1(\text{pk})$ $c \leftarrow \text{Enc}(\text{pk}, x_b)$ $b' \leftarrow \mathcal{A}_2(\text{state}, c)$ $\text{return } b'$
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Fig. 1. IND-CPA experiment for public-key encryption

Definition 3 (CPA-Security). A homomorphic encryption scheme HE is IND-CPA-secure (has indistinguishable ciphertexts under chosen plaintext attack) if for any PPT adversary $\mathcal{A} = (\mathcal{A}_1, \mathcal{A}_2)$

$$\left| \Pr [\text{IND-CPA}_{\mathcal{A}, \text{HE}}^0(1^\lambda) = 1] - \Pr [\text{IND-CPA}_{\mathcal{A}, \text{HE}}^1(1^\lambda) = 1] \right| \leq \text{negl}(\lambda)$$

where the experiment $\text{IND-CPA}_{\mathcal{A}, \text{HE}}^b$ is defined in Figure 1.

3 Definition of Homomorphic Secret Sharing

We recall the notion of homomorphic secret sharing [12]. The definitions presented here are for the variant in the public-key setup model [31]. For the definitions in the plain model, we refer to [12,31].

Definition 4 (Homomorphic Secret Sharing (HSS)). An n -input m -server homomorphic secret sharing scheme $\text{HSS} = (\text{KGen}, \text{Share}, \text{Eval}, \text{Dec})$ for degree- d polynomials over \mathbb{F} consists of the following PPT algorithms:

- $(\text{pk}, \text{sk}) \leftarrow \text{KGen}(1^\lambda)$: On input the security parameter 1^λ , the key generation algorithm outputs a public key pk and a secret key sk .
- $\left(\begin{matrix} \text{in}_1, \dots, \text{in}_m \\ \text{rec}_1, \dots, \text{rec}_m \end{matrix} \right) \leftarrow \text{Share}(\text{pk}, \mathbf{x})$: Given a public key pk , and an input $\mathbf{x} \in \mathbb{F}^n$, the sharing algorithm outputs a set of input shares $(\text{in}_1, \dots, \text{in}_m)$ where $\text{in}_j \in \{0, 1\}^{\alpha \cdot \text{poly}(\lambda)}$ and their corresponding recovery information $(\text{rec}_1, \dots, \text{rec}_m)$ where $\text{rec}_j \in \{0, 1\}^{\rho \cdot \text{poly}(\lambda)}$.
- $\text{out}_j \leftarrow \text{Eval}(\text{pk}, j, f, \text{in}_j)$: The evaluation algorithm is executed by a server \mathcal{S}_j on inputs the public key pk , an index j , (the description of) a degree- d polynomial f , and a share in_j . Upon termination, the server \mathcal{S}_j outputs the corresponding output share $\text{out}_j \in \{0, 1\}^{\beta \cdot \text{poly}(\lambda)}$.
- $y \leftarrow \text{Dec} \left(\begin{matrix} \text{sk}, \text{out}_1, \dots, \text{out}_m \\ \text{rec}_1, \dots, \text{rec}_m \end{matrix} \right)$: On input a secret key sk , a tuple of output shares $(\text{out}_1, \dots, \text{out}_m)$, and a tuple of recovery information $(\text{rec}_1, \dots, \text{rec}_m)$, the decoding algorithm outputs the result y of the evaluation.

The efficiency measures $\rho = \rho(n)$, $\alpha = \alpha(n)$ and $\beta = \beta(n)$ are the lengths of the recovery information, input shares, and output shares respectively (omitting $\text{poly}(\lambda)$ factors). An HSS scheme is said to be compact if $\beta = \text{poly}(\lambda)$ (independent of n), and balanced if $\beta = O(\alpha)$.

Remark 1. In the syntax, we decide to split the recovery information into m chunks $(\text{rec}_1, \dots, \text{rec}_m)$, so that it is more convenient to describe the compiler in Section 5, and so that we can omit a factor of m from the measure ρ to reduce clutter. In general, the recovery information can be grouped into a single object rec and the definition ρ can be changed accordingly.

Remark 2. In the literature, an HSS scheme is usually defined without the recovery information $(\text{rec}_1, \dots, \text{rec}_m)$, i.e., $\rho = 0$. We remark that given an HSS scheme with efficiency measures (ρ, α, β) , we can construct another scheme (with the same security under the same assumptions) with efficiency measures $(0, \alpha + m\rho, \beta + m\rho)$, by having the input client secret-share r to the servers and the servers relaying those shares to the output client. We use the present definition for convenience.

Remark 3. Our syntax describes a setting where a single party provides all n inputs to the Share algorithm for simplicity. In the case where the input x_i is provided by party i , we can consider an alternative syntax of Share which inputs (pk, x_i) and outputs $(\text{in}_{i,j}, \text{rec}_{i,j})$. The Share algorithm of all HSS schemes considered in this work can be “split” to suit the multi-input syntax.

Definition 5 (Correctness). An n -input m -server HSS scheme for degree- d polynomials is correct if for any $\lambda, m, n \in \mathbb{N}$, any $(\text{pk}, \text{sk}) \in \text{KGen}(1^\lambda)$, any $f \in \mathbb{F}[X_1, \dots, X_n]$ with $\deg(f) \leq d$, any n -tuple of inputs $\mathbf{x} = (x_1, \dots, x_n) \in \mathbb{F}^n$, it holds that

$$\Pr \left[\text{Dec} \left(\text{sk}, \begin{matrix} \text{out}_1, \dots, \text{out}_m, \\ \text{rec}_1, \dots, \text{rec}_m \end{matrix} \right) = f(\mathbf{x}) : \begin{matrix} \left(\text{in}_1, \dots, \text{in}_m \right) \in \text{Share}(\text{pk}, \mathbf{x}) \\ \forall j \in [m], \text{out}_j \in \text{Eval}(\text{pk}, j, f, \text{in}_j) \end{matrix} \right] \geq 1 - \text{negl}(\lambda),$$

where the probability is taken over the random coins of Share and Eval. The scheme is perfectly correct if the above probability is exactly 1.

The security of an HSS scheme is analogous to the CPA-security of HE, and guarantees that no information about the message is disclosed to any t servers.

Definition 6 (Security). An n -input m -server HSS scheme is t -secure if for any $\lambda \in \mathbb{N}$ there exists a negligible function $\text{negl}(\lambda)$ such that for any PPT algorithm $\mathcal{A} = (\mathcal{A}_0, \mathcal{A}_1)$,

$$\left| \Pr [\text{Security}_{\mathcal{A}, \text{HSS}}^0 = 1] - \Pr [\text{Security}_{\mathcal{A}, \text{HSS}}^1 = 1] \right| < \text{negl}(\lambda)$$

where $\text{Security}_{\mathcal{A}, \text{HSS}}^b$ is defined in Figure 2 for $b \in \{0, 1\}$.

$\text{Security}_{\mathcal{A}, \text{HSS}}^b(1^\lambda) :$ <hr style="border: 0.5px solid black;"/> $(\text{pk}, \text{sk}) \leftarrow \text{KGen}(1^\lambda)$ $(\mathbf{x}_0, \mathbf{x}_1, j_1, \dots, j_t, \text{state}) \leftarrow \mathcal{A}_0(\text{pk})$ $\left(\begin{array}{c} \text{in}_1, \dots, \text{in}_m, \\ \text{rec}_1, \dots, \text{rec}_m \end{array} \right) \leftarrow \text{Share}(\text{pk}, x_b)$ $b' \leftarrow \mathcal{A}_1(\text{state}, \text{in}_{j_1}, \dots, \text{in}_{j_t})$ $\mathbf{return } b'$

Fig. 2. Security experiments for $(*, m, t)$ -HSS

We use the short hand (n, m, t) -HSS to refer to n -input, m -server, t -secure homomorphic secret sharing.

Definition 7 (p -Preprocessing). We say that an (n, m, t) -HSS scheme $\text{HSS}.$ $(\text{KGen}, \text{Share}, \text{Eval}, \text{Dec})$ supports p -preprocessing if there exists PPT algorithms $(\text{PreProc}, \text{ShareComp})$ such that, for any $\lambda \in \mathbb{N}$, any $(\text{pk}, \text{sk}) \in \text{KGen}(1^\lambda)$ and any $\mathbf{x} \in \mathbb{F}^n$, the following distributions are identical:

$$\begin{aligned} & \left\{ \left(\begin{array}{c} \text{in}_1, \dots, \text{in}_m \\ \text{rec}_1, \dots, \text{rec}_m \end{array} \right) : \left(\begin{array}{c} \text{in}_1, \dots, \text{in}_p \\ \text{rec}_1, \dots, \text{rec}_p \end{array} \right) \leftarrow \text{PreProc}(\text{pk}, 1^n) \right. \\ & \quad \left. \left(\begin{array}{c} \text{in}_{p+1}, \dots, \text{in}_m \\ \text{rec}_{p+1}, \dots, \text{rec}_m \end{array} \right) \leftarrow \text{ShareComp} \left(\text{pk}, \begin{array}{c} \text{in}_1, \dots, \text{in}_p, \\ \text{rec}_1, \dots, \text{rec}_p, \end{array} \mathbf{x} \right) \right\} \\ \equiv & \left\{ \left(\begin{array}{c} \text{in}_1, \dots, \text{in}_m \\ \text{rec}_1, \dots, \text{rec}_m \end{array} \right) : \left(\begin{array}{c} \text{in}_1, \dots, \text{in}_m \\ \text{rec}_1, \dots, \text{rec}_m \end{array} \right) \leftarrow \text{Share}(\text{pk}, \mathbf{x}) \right\} \end{aligned}$$

If an HSS scheme supports p -preprocessing, it means that the shares of the first p servers are independent of the input \mathbf{x} , and can thus be computed in a preprocessing phase when the input \mathbf{x} is yet unknown.

Definition 8 (Information-Theoretic HSS). We say that $\text{HSS}.$ $(\text{KGen}, \text{Share}, \text{Eval}, \text{Dec})$ is information-theoretic (IT) if KGen outputs empty strings, and HSS is secure against unbounded adversaries. In such case we simply write $\text{HSS}.$ $(\text{Share}, \text{Eval}, \text{Dec})$ to denote the HSS scheme and omit the public and secret key inputs to the algorithms Share , Eval , and Dec . In case HSS supports p -preprocessing, we also omit the public key input to PreProc and ShareComp .

4 Information-Theoretic Homomorphic Secret Sharing

Information-theoretic HSS exists implicitly in the literature of secret sharing and private information retrieval (PIR). The simplest examples are the additive secret sharing scheme and Shamir's secret sharing scheme [36]. The former is an $(n, m, m - 1)$ -HSS for degree-1 polynomials, *i.e.*, linear functions, with efficiency measures while the latter is an (n, m, t) -HSS for degree- $\lfloor \frac{m-1}{t} \rfloor$ polynomials. Both schemes are compact as an output share consists of a single \mathbb{F} element.

In the following, we extract two IT HSS schemes – the “CNF” scheme CNF_0 [29] and the scheme WY_1 from Woodruff and Yekhanin [37] – from the literature of private information retrieval (PIR) which are generalizations of the additive and Shamir secret sharing schemes respectively. We then present the “ ℓ -th order” generalizations of the two schemes – CNF_ℓ and WY_ℓ – which aim to support higher-degree polynomials at the cost of, among other parameters, larger recovery information size and higher degree client computation. The generalizations are done in a way compatible with the compiler to be presented in Section 5, so that the higher degree client computation can be delegated back to the servers in the compiled schemes. While the CNF_ℓ scheme is strictly inferior to the WY_ℓ for all ℓ , we include it since compiling CNF_1 with our compiler in Section 5 captures the LMS scheme [31,35].

4.1 CNF Secret Sharing

A generalization of the additive secret sharing scheme is the so called CNF secret sharing scheme [29], where CNF stands for conjunctive normal form. The scheme was first used in the context of PIR by Ishai and Kushilevitz [28].

Original Scheme CNF_0 The idea of the CNF scheme is to write $\mathbf{x} \in \mathbb{F}^n$ as a sum of random elements so that $\mathbf{x} = \sum_{\mathbf{u}} \mathbf{c}_{\mathbf{u}}$, where $\mathbf{u} = (u_1, \dots, u_m) \in \{0, 1\}^m$ runs through all possible choices of choosing t out of m objects. The j -th share is then defined as $\mathbf{s}_j := (\mathbf{c}_{\mathbf{u}})_{\mathbf{u}:u_j=0}$, *i.e.*, all $\mathbf{c}_{\mathbf{u}}$ where the j -th bit of \mathbf{u} is 0. The scheme is t -secure because, given any t -subset $\{j_1, \dots, j_t\} \subseteq [m]$, there exists $\mathbf{c}_{\mathbf{u}^*}$, where $u_j^* = 1$ for all $j \in \{j_1, \dots, j_t\}$, which is not known to this subset of servers.

The CNF scheme is clearly linearly homomorphic. Thus, for evaluating a polynomial of degree d , it suffices to show how a monomial $\mathbf{x}^{\mathbf{e}}$ where $\text{wt}(\mathbf{e}) = d$ can be evaluated. Without loss of generality, we consider the monomial

$$x_1 \cdots x_d = \prod_{i=1}^d \sum_{\substack{\mathbf{u} \in \{0,1\}^m: \\ \text{wt}(\mathbf{u})=t}} c_{i,\mathbf{u}} = \sum_{\substack{\mathbf{u}_1, \dots, \mathbf{u}_d \in \{0,1\}^m: \\ \text{wt}(\mathbf{u}_i)=t}} \prod_{i=1}^d c_{i,\mathbf{u}_i}.$$

To let the output client recover $x_1 \cdots x_d$, one way is to have (at least) one server being able to compute for each $(\mathbf{u}_1, \dots, \mathbf{u}_d)$ the term $\prod_{i=1}^d c_{i,\mathbf{u}_i}$. If so, we distribute the terms so that each term is computed by exactly one server. Each server can compute the partial sum of all the terms that it is assigned, and send this sum to the output client. The latter can then sum over all partial sums and recover $x_1 \cdots x_d$.

We now examine the term $\prod_{i=1}^d c_{i,\mathbf{u}_i}$ for any fixed $\mathbf{u}_1, \dots, \mathbf{u}_d \in \{0, 1\}^m$ with $\text{wt}(\mathbf{u}_i) = t$. Consider the string $\mathbf{u} = \mathbf{u}_1 \vee \dots \vee \mathbf{u}_d$ obtained by bit-wise OR operations. Note that if $d \leq \frac{m-1}{t}$, we have

$$\text{wt}(\mathbf{u}) \leq \sum_{i=1}^d \text{wt}(\mathbf{u}_i) \leq \frac{m-1}{t} \cdot t < m.$$

Therefore there must exist $j^* \in [m]$ such that $\mathbf{u}_{i,j^*} = 0$ for all $i \in [d]$. That is, server j^* possesses $c_{1,\mathbf{u}_1}, \dots, c_{d,\mathbf{u}_d}$ and can thus compute the term.

Although it is information theoretically possible for the parties to compute $x_1 \cdots x_d$, there seems to be no natural way to distribute the terms among the servers. In particular, as noted in [27], when $t = 1$, $m = d + 1$, and the terms are distributed greedily to the servers, then the last server would need to compute the permanent of a d -by- d matrix, which is #P-hard. The difficulty of distributing the terms limits the number of servers in [31,35] to be logarithmic in λ .

For the case $t = 1$, [27, Section 5.2] showed an alternative method of computing $x_1 \cdots x_d$ efficiently. The idea is essentially to first locally convert a CNF share into a Shamir share of the same secret, and then perform homomorphic evaluation on the Shamir share. We present here a generalization of the method for any $t < m$. Fix an arbitrary m -subset $\{\zeta_1, \dots, \zeta_m\} \subseteq \mathbb{Z}_q$. Define the degree- dt polynomial

$$p(Z) := \prod_{i=1}^d \sum_{\substack{\mathbf{u} \in \{0,1\}^m: \\ \text{wt}(\mathbf{u})=t}} c_{i,\mathbf{u}} \prod_{j:u_j=1} (1 - Z/\zeta_j)$$

such that $p(0) = \prod_{i=1}^d \sum_{\substack{\mathbf{u} \in \{0,1\}^m: \\ \text{wt}(\mathbf{u})=t}} c_{i,\mathbf{u}} = x_1 \cdots x_d$. Note that $p(\zeta_j)$ does not depend on the values of $c_{i,\mathbf{u}}$ where the j -th bit of \mathbf{u} is 1, and can therefore be computed by the j -th server. Since the degree of p is $dt \leq m - 1$, $p(0)$ can be recovered by interpolating $p(\zeta_1), \dots, p(\zeta_m)$.

In general, given an n -variate degree- d polynomial f , we can define

$$p_f(Z) := f \left(\sum_{\substack{\mathbf{u} \in \{0,1\}^m: \\ \text{wt}(\mathbf{u})=t}} c_{1,\mathbf{u}} \prod_{j:u_j=1} (1 - Z/\zeta_j), \dots, \sum_{\substack{\mathbf{u} \in \{0,1\}^m: \\ \text{wt}(\mathbf{u})=t}} c_{n,\mathbf{u}} \prod_{j:u_j=1} (1 - Z/\zeta_j) \right).$$

The value $f(\mathbf{x})$ can be recovered by $f(\mathbf{x}) = p_f(0)$.

Generalized Scheme CNF_ℓ In the above, the client is required to perform only a simple linear computation for recovery. We show that the computation of higher degree polynomials is possible, if the client is willing to perform a degree- ℓ computation for $\ell > 1$.

We first consider the naive strategy of distributing terms to servers, and discuss the interpolation-based approach later. In the former setting, it suffices to have that, for any fixed $\mathbf{u}_1, \dots, \mathbf{u}_d \in \{0,1\}^m$ with $\text{wt}(\mathbf{u}_i) = t$, there exists a server $j^* \in [m]$ and an index set I of size $|I| \geq d - \ell$ such that $u_{i,j^*} = 0$ for all $i \in I$. Server j^* can therefore compute $\prod_{i \in I} c_{i,\mathbf{u}_i}$, and leave the computation of $\prod_{i \in [d] \setminus I} c_{i,\mathbf{u}_i}$ to the output client. To compute the latter, the client would need to store locally a copy of all shares – the recovery information is the same as the input shares.

We argue that if $dt < (\ell + 1)m$, then the above condition is satisfied. Suppose not, then for all $j \in [m]$, we have $|\{i \in [d] : u_{i,j} = 0\}| \leq d - \ell - 1$. In other words,

for all $j \in [m]$, we have $|\{i \in [d] : u_{i,j} = 1\}| \geq \ell + 1$. Summing up the weights of all \mathbf{u}_i , we have $\sum_{i=1}^d \text{wt}(\mathbf{u}_i) \geq (\ell + 1)m$. By the pigeonhole principle, there must exist i^* such that

$$\text{wt}(\mathbf{u}_{i^*}) \geq \frac{(\ell + 1)m}{d} > \frac{(\ell + 1)mt}{(\ell + 1)m} = t$$

which is a contradiction as $\text{wt}(\mathbf{u}_i) = t$ for all $i \in [d]$.

The CNF scheme suffers from many drawbacks. First, each input share consists of $\binom{m}{t}n$ \mathbb{F} elements. It also suffers from inefficient evaluation, unless the interpolation-based evaluation is used, which makes it equivalent to the scheme presented in Section 4.2, except with larger input shares. Finally, the output share size is upper bounded by the number of monomials of degree at most ℓ over the variables $(c_{i,u})_{i \in [n], \mathbf{u} \in \{0,1\}^m, \text{wt}(\mathbf{u})=t}$, i.e., $\binom{m}{t}^{n+\ell} = O((m^t n)^\ell)$.

We next state the formal theorem about the CNF_ℓ scheme. Its proof is already written inline in the above discussion.

Theorem 3. *Let $d < \frac{(\ell+1)m}{t}$. The ℓ -th order CNF secret sharing scheme CNF_ℓ is an IT (n, m, t) -HSS for degree- d polynomials, with efficiency measures $(\rho, \alpha, \beta) = (m^t n, m^t n, (m^t n)^\ell)$.*

Similar to the $\ell = 0$ case, the above approach suffers in evaluation efficiency since there is no natural way to distribute the terms. Naturally, one would hope to use a generalization of the interpolation-based approach to achieve the same parameter ($d = \lfloor \frac{(\ell+1)m-1}{t} \rfloor$). Indeed, in Section 4.2 we recall and generalize a technique by Woodruff and Yekhanin [37] of using partial derivatives and Hermite interpolation to support higher degree polynomials, which would also be applicable in CNF_ℓ . Since the resulting schemes, which we denote by WY_ℓ , are superior to CNF_ℓ in all parameters, we do not discuss applying the technique to CNF_ℓ in detail.

4.2 ℓ -th Order Woodruff-Yekhanin HSS

In an insightful work of Woodruff and Yekhanin [37], they constructed a PIR scheme which can be viewed as an (n, m, t) -HSS for degree- $\lfloor \frac{2m-1}{t} \rfloor$ polynomials, which we call the first-order Woodruff-Yekhanin HSS WY_1 . The idea of the scheme is as follows.

First Order Scheme by Woodruff and Yekhanin We begin with the sharing procedures of Shamir's scheme. To secret-share $\mathbf{x} \in \mathbb{F}^n$, the input client sample a random (vector valued) degree- t polynomial $\varphi(Z)$ so that $\varphi(0) = \mathbf{x}$. The j -th share is defined as $\mathbf{s}_j := \varphi(j)$. What differs from Shamir's scheme is that the input client also computes, as recovery information, the derivatives of φ evaluated at $j \in [m]$, denoted by $\varphi^{(1)}(j)$, $\varphi'(j)$, or $\frac{d\varphi}{dZ}(j)$.

To evaluate a polynomial f of degree $\lfloor \frac{2m-1}{t} \rfloor$ over a share \mathbf{s}_j , server j computes as in Shamir's scheme $f(\mathbf{s}_j) = f(\varphi(j))$. Additionally, it computes all partial

derivatives of f evaluated at \mathbf{s}_j , denoted by $\left(\frac{\partial f}{\partial X_i}(\mathbf{s}_j)\right)_{i \in [n]}$. The j -th output share is defined as $y_j := \left(f(\mathbf{s}_j), \frac{\partial f}{\partial X_1}(\mathbf{s}_j), \dots, \frac{\partial f}{\partial X_n}(\mathbf{s}_j)\right)$.

Finally, to decode the output shares, the output client first recover $(f \circ \varphi)'(\mathbf{s}_j) = \frac{df \circ \varphi}{dZ}(\mathbf{s}_j)$ by using the chain rule of derivatives. Then, since $f \circ \varphi$ is a univariate polynomial of degree at most $2m - 1$, it is possible to recover $f(\varphi(0)) = f(x)$ from m points on $f \circ \varphi$ and m points on $(f \circ \varphi)'$ using Hermite interpolation.

The scheme of Woodruff and Yekhanin is balanced, meaning that both input and output shares consist of $O(n)$ \mathbb{F} elements. The result can be seen as a trade-off between $\frac{m}{t}$ degrees and compactness, when compared to Shamir's scheme. If we view the sharing, evaluation, and decoding procedures of an HSS as one MPC protocol, then for a fixed input share size, a balanced HSS and a compact HSS would give MPC protocols with the same asymptotic communication complexity. In this sense, the extra $\frac{m}{t}$ degrees are gained for free.

Generalization to Higher Orders Intuitively, a way to support polynomials of even higher degrees is to further sacrifice the output share size. The idea is to let the servers compute all partial derivatives of order at most ℓ , so that a polynomial of degree at most $d < \frac{(\ell+1)m}{t}$ can be supported. In a standalone application of the HSS, this would not make sense as it is “wasteful” to have a smaller input share size than the output share size. However, with the observation that, in our compiler constructed in Section 5, the output share size of the resulting HSS scheme is independent of that of the base scheme, sacrificing the output share size even more for the support of more degrees might be worth it. We therefore formalize this intuition in Figure 3 and call the resulting scheme the ℓ -th order Woodruff-Yekhanin HSS, denoted by $\text{WY}_\ell(\text{Share, Eval, Dec})$.

For $\mathbf{e} \in \mathbb{N}_0^n$, we use the notation $f^{(\mathbf{e})}(\mathbf{x})$ to denote the high-order partial derivative $\frac{\partial^{\text{wt}(\mathbf{e})} f}{\partial X_1^{e_1} \dots \partial X_n^{e_n}}$ evaluated at \mathbf{x} . For $u \in [\ell]$, we make use of a generalization of the Faa di Bruno formula [32] which expresses $(f \circ \varphi)^{(u)}(j)$ as a linear function of $(f^{(\mathbf{e})}(\mathbf{s}_j))_{\mathbf{e} \in \mathbb{N}_0^n: \text{wt}(\mathbf{e}) \leq u}$ with coefficients determined by degree- u polynomials of $(\varphi^{(h)}(j))_{h \in [u]}$. We denote this formula by

$$\text{Faa-di-Bruno}[(\varphi^{(h)}(j))_{h \in [u]}]((f^{(\mathbf{e})}(\mathbf{s}_j))_{\mathbf{e} \in \mathbb{N}_0^n: \text{wt}(\mathbf{e}) \leq u}).$$

Finally, we use the notation

$$\text{Hermite}((f(\mathbf{s}_j), (f \circ \varphi)^{(u)}(\mathbf{s}_j))_{j \in [m], u \in [\ell]})$$

to denote the value $f(\varphi(0))$ recovered using Hermite interpolation.

Theorem 4. *Let $d < \frac{(\ell+1)m}{t}$. The ℓ -th order Woodruff-Yekhanin HSS WY_ℓ is an IT (n, m, t) -HSS for degree- d polynomials with efficiency measures $(\rho, \alpha, \beta) = (\ell n, n, n^\ell)$.*

Proof. Input shares of WY_ℓ are just shares of the Shamir secret sharing scheme. Security thus follows immediately. More seriously, for any fixed t -subset of input

<u>WY_ℓ.Share(x)</u>	<u>WY_ℓ.Eval(<i>j</i>, <i>f</i>, in_j)</u>
$\varphi \leftarrow (\mathbb{F}[Z])^n \text{ s.t. } \begin{cases} \deg(\varphi) = t \\ \varphi(0) = \mathbf{x} \end{cases}$	$\text{out}_j := (f(\mathbf{s}_j), f^{(\mathbf{e})}(\mathbf{s}_j))_{\mathbf{e} \in \mathbb{N}_0^n : \text{wt}(\mathbf{e}) \leq \ell}$
$\text{in}_j := \varphi(j), \forall j \in [m]$	return out_j
$\text{rec}_j := (\varphi^{(u)}(j))_{u \in [\ell]}$	<u>WY_ℓ.Dec(out₁, ..., out_m, rec₁, ..., rec_m)</u>
return $\begin{pmatrix} \text{in}_1, \dots, \text{in}_m, \\ \text{rec}_1, \dots, \text{rec}_m \end{pmatrix}$	foreach $j \in [m], u \in [\ell]$ do $(f \circ \varphi)^{(u)}(\mathbf{s}_j)$ $= \text{Faa-di-Bruno}[(\varphi^{(h)}(j))_{h \in [u]}]((f^{(\mathbf{e})}(\mathbf{s}_j))_{\mathbf{e} \in \mathbb{N}_0^n : \text{wt}(\mathbf{e}) \leq u})$ $y := \text{Hermite}((f(\mathbf{s}_j), (f \circ \varphi)^{(u)}(\mathbf{s}_j))_{j \in [m], u \in [\ell]})$

Fig. 3. The ℓ -th order Woodruff-Yekhanin HSS.

shares $\{\text{in}_{j_1}, \dots, \text{in}_{j_t}\}$ and any input $\mathbf{x} \in \mathbb{F}^n$, there exists a unique degree- t polynomial φ such that $\varphi(0) = \mathbf{x}$ and $\varphi(j) = \text{in}_j$ for all $j \in \{j_1, \dots, j_t\}$. The set $\{\text{in}_{j_1}, \dots, \text{in}_{j_t}\}$ therefore contain no information about the true input.

The support of degree- d polynomials follows immediately from Hermite interpolation. Specifically, we note that the output client obtains the following $(\ell + 1)m$ data points:

$$\begin{pmatrix}
 (1, (f \circ \varphi)(1)) & \dots & (m, (f \circ \varphi)(m)) \\
 (1, (f \circ \varphi)'(1)) & \dots & (m, (f \circ \varphi)'(m)) \\
 \vdots & \ddots & \vdots \\
 (1, (f \circ \varphi)^{(\ell)}(1)) & \dots & (m, (f \circ \varphi)^{(\ell)}(m))
 \end{pmatrix}$$

for a univariate degree- dt polynomial $f \circ \varphi$ and its derivatives. Since $dt \leq (\ell + 1)m - 1$ the client is able to recover $f(\mathbf{x}) = f(\varphi(0))$ using Hermite interpolation.

The size of a recovery information $\rho = \ell n$ and that of an input share $\alpha = n$ can be easily observed. For the size of an output share, observe that an output share consists of $(f(\mathbf{s}_j), f^{(\mathbf{e})}(\mathbf{s}_j))_{\mathbf{e} \in \mathbb{N}_0^n : \text{wt}(\mathbf{e}) \leq \ell}$. The set $\{\mathbf{e} \in \mathbb{N}_0^n : \text{wt}(\mathbf{e}) \leq \ell\}$ counts the number of n -variate monomials of degree at most ℓ , and thus is of size $\binom{n+\ell}{\ell} = O(n^\ell)$. We thus have $\beta = n^\ell$.

Note that WY₀ is simply the Shamir secret sharing scheme.

Computational Complexity We remark about the computational complexity of the servers and the output client. It is well-known, *e.g.*, by the Baur-Strassen theorem [4] or in the field of auto-differentiation, that if a multivariate polynomial f can be computed by an arithmetic circuit of size denoted by $|f|$, then there exists a circuit of size $O(|f|)$ which computes f and all n first-order partial derivatives of f simultaneously. Applying this recursively to the n first-order partial derivatives suggests that the server computation is bounded by $O(|f|n^{\ell-1})$.

$\text{WY}_\ell.\text{PreProc}(1^n)$	$\text{WY}_\ell.\text{ShareComp}\left(\begin{matrix} \text{in}_1, \dots, \text{in}_p, \mathbf{x} \\ \text{rec}_1, \dots, \text{rec}_p \end{matrix}\right)$
$p := \lfloor \frac{t}{\ell+1} \rfloor$	// Sample φ by Hermite interpolation.
$\mathbf{s}_{0,j} \leftarrow \mathbb{F}^n, \forall j \in [p]$	$\varphi \leftarrow (\mathbb{F}[Z])^n \text{ s.t. } \begin{cases} \deg(\varphi) = t \\ \varphi(0) = \mathbf{x} \\ \varphi(j) = \mathbf{s}_{0,j} \quad \forall j \in [p] \\ \varphi^{(u)}(j) = \mathbf{s}_{u,j} \quad \forall j \in [p] \forall u \in [\ell] \end{cases}$
$\mathbf{s}_{u,j} \leftarrow \mathbb{F}^n, \forall j \in [p], \forall u \in [\ell]$	
$\text{in}_j := \mathbf{s}_{0,j}, \forall j \in [p]$	$\text{in}_j := \varphi(j), \forall j \in [m] \setminus [p]$
$\text{rec}_j := (\mathbf{s}_{u,j})_{j \in [p], u \in [\ell]}$	$\text{rec}_j := (\varphi^{(u)}(j))_{j \in [p], u \in [\ell]}$
return $\left(\begin{matrix} \text{in}_1, \dots, \text{in}_p \\ \text{rec}_1, \dots, \text{rec}_p \end{matrix}\right)$	return $\left(\begin{matrix} \text{in}_{p+1}, \dots, \text{in}_m \\ \text{rec}_{p+1}, \dots, \text{rec}_m \end{matrix}\right)$

Fig. 4. $\lfloor \frac{t}{\ell+1} \rfloor$ -Preprocessing of the ℓ -th order Woodruff-Yekhanin HSS.

On the output client side, we note that

$$\text{Faa-di-Bruno}[(\varphi^{(h)}(j))_{h \in [u]}]((f^{(\mathbf{e})}(\mathbf{s}_j))_{\mathbf{e} \in \mathbb{N}_0: \text{wt}(\mathbf{e}) \leq u})$$

is a linear function with $\binom{n+u}{u} \leq \binom{n+\ell}{\ell}$ terms, where each coefficient is a degree- u polynomial with at most $\binom{2u}{u} \leq \binom{2\ell}{\ell}$ terms. The output client needs to evaluate ℓm of these. Lastly, the Hermite interpolation is a linear function with $(\ell+1)m$ terms. Therefore, the output client computation is bounded by $O\left(\ell m \cdot \binom{n+\ell}{\ell} \cdot \binom{2\ell}{\ell}\right) = O(\ell m (\ell n)^\ell)$. For the cases of $\ell = 1$ or $\ell = 2$, the output client computation is $O(mn)$ and $O(mn^2)$ respectively.

Preprocessing In the Share algorithm of WY_ℓ , a degree- t polynomial φ is sampled such that the input \mathbf{x} is encoded as $\varphi(0) = \mathbf{x}$. Note that φ is not determined until $t+1$ points on it or its derivatives are fixed. We can therefore exploit this property and push the sampling of $p \leq \frac{t}{\ell+1}$ shares and their corresponding recovery information, which in total consist of $p(\ell+1) \leq t < t+1$ points, to a preprocessing phase.

Theorem 5. *Let $p \leq \frac{t}{\ell+1}$. The ℓ -th order Woodruff-Yekhanin HSS WY_ℓ supports p -preprocessing.*

Proof. We show that WY_ℓ supports p -preprocessing by constructing the algorithms $\text{WY}_\ell.\text{(PreProc, ShareComp)}$ in Figure 4.

5 Compiler from IT HSS to HSS using HE

For $d < (k+1)m$ and $m = O(\log \lambda)$, Lai, Malavolta, and Schröder [31] proposed an $(n, m, 1)$ -HSS scheme for degree- d polynomials based on any k -HE scheme. Generalizing their approach, we present a compiler based on homomorphic encryption from IT HSS to HSS. Our compiler makes use of the following elementary

observation. Let $f(\mathbf{X})$ be a ρ -variate degree- ℓ polynomial. For any $0 \leq k \leq \ell$, note that $f(\mathbf{X})$ can be written as

$$f(\mathbf{X}) = \sum_{\substack{\mathbf{e} \in \mathbb{N}_0^\rho: \\ \text{wt}(\mathbf{e}) \leq \ell - k}} \mathbf{X}^{\mathbf{e}} f_{\mathbf{e}}(\mathbf{X})$$

where $f_{\mathbf{e}}(\mathbf{X})$ is a ρ -variate degree- k polynomial. Note that $|\{\mathbf{e} \in \mathbb{N}_0^\rho : \text{wt}(\mathbf{e}) \leq \ell - k\}|$ is the number of ρ -variate monomials of degree at most $\ell - k$, and can be computed by $\binom{\rho + \ell - k}{\ell - k} = O(\rho^{\ell - k})$.

5.1 The Compiler

Let IT-HSS.(Share, Eval, Dec) be an IT (n, m, t) -HSS for degree- d polynomials with the following properties:

- The recovery information rec_j is a vector $\mathbf{r}_j \in \mathbb{F}^\rho$ for all $j \in [m]$.
- The output share in_j is a vector $\mathbf{y}_j \in \mathbb{F}^\beta$ for all $j \in [m]$.
- The decoding algorithm IT-HSS.Dec($\mathbf{y}_1, \dots, \mathbf{y}_m, \mathbf{r}_1, \dots, \mathbf{r}_m$) is a linear function of $(\mathbf{y}_1, \dots, \mathbf{y}_m)$, where the coefficient of \mathbf{y}_j is computed by a degree- ℓ polynomial of \mathbf{r}_j , where $\ell \geq k$. More concretely,

$$\begin{aligned} & \text{IT-HSS.Dec}(\mathbf{y}_1, \dots, \mathbf{y}_m, \mathbf{r}_1, \dots, \mathbf{r}_m) \\ &= \sum_{j=1}^m \sum_{b=1}^{\beta} y_{j,b} \cdot \text{Dec}_{j,b}(\mathbf{r}_j) \\ & \quad \text{where } \text{Dec}_{j,b} \text{ is a degree-}\ell \text{ polynomial of } \mathbf{r}_j \\ &= \sum_{\substack{\mathbf{e} \in \mathbb{N}_0^\rho: \\ \text{wt}(\mathbf{e}) \leq \ell - k}} \sum_{j=1}^m \mathbf{r}_j^{\mathbf{e}} \sum_{b=1}^{\beta} y_{j,b} \cdot \text{Dec}_{\mathbf{e},j,b}(\mathbf{r}_j) \\ & \quad \text{where } \text{Dec}_{\mathbf{e},j,b} \text{ is a degree-}k \text{ polynomial of } \mathbf{r}_j. \end{aligned}$$

The idea of the compiler is to delegate the computation of $\sum_{b=1}^{\beta} y_{j,b} \cdot \text{Dec}_{\mathbf{e},j,b}(\mathbf{r}_j)$ to server j by encrypting r_j with a homomorphic encryption scheme HE which supports the evaluation of degree- k polynomials. Formally, we construct an (n, m, t) -HSS for degree- d polynomials, denoted HSS.(KGen, Share, Eval, Dec), in Figure 5.

Note that when $k = \ell$ the decoding function is simply

$$\text{IT-HSS.Dec}(\mathbf{y}_1, \dots, \mathbf{y}_m, \mathbf{r}_1, \dots, \mathbf{r}_m) = \sum_{j=1}^m \sum_{b=1}^{\beta} y_{j,b} \cdot \text{Dec}_{\mathbf{e},j,b}(\mathbf{r}_j).$$

In this case the input client does not need to store a local copy of the recovery information.

<p><u>HSS.KGen(1^λ)</u></p> <p>$(pk, sk) \leftarrow \text{HE.KGen}(1^\lambda)$ return (pk, sk)</p> <hr/> <p><u>HSS.Share(pk, x)</u></p> <p>$(\mathbf{in}_1, \dots, \mathbf{in}_m, \mathbf{r}_1, \dots, \mathbf{r}_m) \leftarrow \text{IT-HSS.Share}(x)$ $\tilde{\mathbf{r}}_j \leftarrow \text{HE.Enc}(pk, \mathbf{r}_j), \forall j \in [m]$ $\mathbf{in}'_j := (\tilde{\mathbf{r}}_j, \mathbf{in}_j), \forall j \in [m]$ if $h > 0$ then $\text{rec}_j := \mathbf{r}_j, \forall j \in [m]$ return $(\mathbf{in}'_1, \dots, \mathbf{in}'_m, \text{rec}_1, \dots, \text{rec}_m)$</p>	<p><u>HSS.Eval(pk, j, f, \mathbf{in}'_j)</u></p> <p>$\mathbf{y}_j \leftarrow \text{IT-HSS.Eval}(j, f, \mathbf{in}_j)$ foreach $\mathbf{e} \in \mathbb{N}_0^\rho : \text{wt}(\mathbf{e}) \leq \ell - k$ do $\tilde{d}_{\mathbf{e},j} \leftarrow \text{HE.Eval}\left(\sum_{b=1}^{\beta} y_{j,b} \cdot \text{Dec}_{\mathbf{e},j,b}, \tilde{\mathbf{r}}_j\right)$ return $\text{out}'_j := (\tilde{d}_{\mathbf{e},j})_{\mathbf{e} \in \mathbb{N}_0^\rho : \text{wt}(\mathbf{e}) \leq \ell - k}$</p> <hr/> <p><u>HSS.Dec($sk, \text{out}'_1, \dots, \text{out}'_m, \text{rec}_1, \dots, \text{rec}_m$)</u></p> <p>foreach $\mathbf{e} \in \mathbb{N}_0^\rho : \text{wt}(\mathbf{e}) \leq \ell - k, j \in [m]$ do $d_{\mathbf{e},j} \leftarrow \text{Dec}(sk, \tilde{d}_{\mathbf{e},j})$ $y := \sum_{\substack{\mathbf{e} \in \mathbb{N}_0^\rho \\ \text{wt}(\mathbf{e}) \leq \ell - k}} \sum_{j=1}^m \mathbf{r}_j^{\mathbf{e}} d_{\mathbf{e},j}$ return y</p>
--	--

Fig. 5. Compiler from IT-HSS to HSS based on HE.

Theorem 6. *Let IT-HSS be an (n, m, t) -HSS for degree- d polynomials satisfying the above properties, and HE be a CPA-secure k -HE scheme, then HSS is an (n, m, t) -HSS for degree- d polynomials. If IT-HSS and HE are correct, then HSS is correct. If IT-HSS has the efficiency measures (ρ, α, β) , then HSS has the efficiency measures $(\rho', \alpha', \beta') = (\rho, \rho + \alpha, \rho^{\ell-k})$. If $k = \ell$, then $\rho' = 0$. Note that β' is independent of β .*

Proof. The correctness of HSS is already proven in-line in the above discussion.

For security, note that an input share \mathbf{in}'_j consists of an input share \mathbf{in}_j of the underlying IT HSS scheme and an HE ciphertext $\tilde{\mathbf{r}}_j$. We can thus prove security by a simple hybrid argument, where we consider an intermediate hybrid security experiment where the ciphertexts $\tilde{\mathbf{r}}_j$ are replaced by ciphertexts encrypting zeros. Clearly, this hybrid experiment is indistinguishable from the security experiment for HSS, based on the CPA-security of HE. Next, we observe that the environment of the hybrid experiment can be simulated perfectly using an adversary against the security of the underlying IT HSS scheme. We can therefore conclude that the advantage of any (unbounded) adversaries in the hybrid experiment is identical to that against the security of the underlying IT HSS scheme, which is negligible.

The correctness of ρ' and α' follows from simple observations. For the correctness of β' , we observe that an output share consists of $(\tilde{d}_{\mathbf{e},j})_{\mathbf{e} \in \mathbb{N}_0^\rho : \text{wt}(\mathbf{e}) \leq \ell - k}$, where each $\tilde{d}_{\mathbf{e},j}$ is of fixed $\text{poly}(\lambda)$ size since HE is assumed to be compact. Note that the index set $\{\mathbf{e} \in \mathbb{N}_0^\rho : \text{wt}(\mathbf{e}) \leq \ell - k\}$ is of size $\binom{\rho + \ell - k}{\ell - k} = O(\rho^{\ell-k})$.

HSS.PreProc(pk)	HSS.ShareComp(pk, r', s'_1, \dots, s'_p, x)
$(\begin{smallmatrix} \text{in}_1, \dots, \text{in}_p \\ \mathbf{r}_1, \dots, \mathbf{r}_p \end{smallmatrix}) \leftarrow \text{IT-HSS.PreProc}(1^n)$	$(\begin{smallmatrix} \text{in}_{p+1}, \dots, \text{in}_m \\ \mathbf{r}_{p+1}, \dots, \mathbf{r}_m \end{smallmatrix}) \leftarrow \text{IT-HSS.ShareComp}(\begin{smallmatrix} \text{in}_1, \dots, \text{in}_p, \mathbf{x} \\ \mathbf{r}_1, \dots, \mathbf{r}_p \end{smallmatrix})$
$\bar{\mathbf{r}}_j \leftarrow \text{HE.Enc}(\text{pk}, \mathbf{r}_j), \forall j \in [p]$	$\bar{\mathbf{r}}_j \leftarrow \text{HE.Enc}(\text{pk}, \mathbf{r}_j), \forall j \in [m] \setminus [p]$
$\text{in}'_j := (\bar{\mathbf{r}}_j, \text{in}_j), \forall j \in [p]$	$\text{in}'_j := (\bar{\mathbf{r}}_j, \text{in}_j), \forall j \in [m] \setminus [p]$
if $h > 0$ then	if $h > 0$ then
$\text{rec}'_j := \mathbf{r}_j, \forall j \in [p]$	$\text{rec}'_j := \mathbf{r}_j, \forall j \in [m] \setminus [p]$
return $(\begin{smallmatrix} \text{in}'_1, \dots, \text{in}'_p \\ \text{rec}'_1, \dots, \text{rec}'_p \end{smallmatrix})$	return $(\begin{smallmatrix} \text{in}'_{p+1}, \dots, \text{in}'_m \\ \text{rec}'_{p+1}, \dots, \text{rec}'_m \end{smallmatrix})$

Fig. 6. p -Preprocessing of the Compiler from IT-HSS to HSS based on HE.

5.2 Computation Complexity

The computation complexity of the compiled scheme depends on that of the base scheme. Suppose that the base scheme has server computation σ . We also assume that $\text{HE.Dec}()$ can be computed in $\text{poly}(\lambda)$ time, and $\text{HE.Eval}(f, \cdot)$ can be computed in time $|f| \cdot \text{poly}(\lambda)$. Then, the server computation of the compiled scheme is

$$\sigma' = \sigma + \beta \binom{\rho + \ell - k}{\ell - k} \binom{\rho + k}{k} \cdot \text{poly}(\lambda) = \sigma + \beta \cdot \rho^\ell \cdot \text{poly}(\lambda),$$

and the client computation is $\gamma' = \binom{\rho + \ell - k}{\ell - k} m \cdot \text{poly}(\lambda) = \rho^{\ell - k} \cdot m \cdot \text{poly}(\lambda)$.

5.3 Preprocessing

We show that if the base scheme IT-HSS supports p -preprocessing and satisfies certain additional properties, then HSS p -preprocessing.

Theorem 7. *If IT-HSS supports p -preprocessing, then so does HSS.*

Proof. We construct the algorithms $\text{HSS}(\text{PreProc}, \text{ShareComp})$ in Figure 6.

5.4 Instantiations

Both CNF_ℓ and WY_ℓ constructed in Section 4 satisfy the properties required by the compiler. The main HSS scheme in [31] can be seen as the result of applying the k -HE-based compiler on the CNF_ℓ scheme in the setting with $k = \ell$. Lai, Malavolta, and Schröder [31] discussed the setting with $t > 1$, but did not provide any concrete schemes. A constructive version for general $t \geq 1$ was proposed in [35]. The approach of compiling CNF_ℓ gives concrete schemes and significantly simplifies the analysis in [31] (*c.f.*, Section 4.1).

As discussed in Section 4, CNF_ℓ is almost strictly inferior to WY_ℓ . We therefore focus on the instantiations with a linearly-homomorphic HE ($k = 1$) and the ℓ -th order Woodruff-Yekhanin IT-HSS WY_ℓ which has efficiency measures $(\rho, \alpha, \beta) =$

$(\ell n, n, n^\ell)$ and supports polynomials of degree $d < \frac{(\ell+1)m}{t}$. When $\ell = 1$, we obtain a compact HSS with efficiency measures $(\rho', \alpha', \beta') = (0, n, 1)$ supporting polynomials of degree $d < \frac{2m}{t}$, where decoding is linear. When $\ell = 2$, we obtain a balanced HSS with efficiency measures $(\rho', \alpha', \beta') = (mn, n, n)$ supporting polynomials of degree $d < \frac{3m}{t}$, where decoding is quadratic.

6 Application to MPC with Preprocessing

In the following we show an application of HSS to multi-party computation (MPC) with preprocessing. Specifically, we show how to generically construct an m -party MPC protocol for degree- d polynomials resistant against the corruption of t parties, assuming the existence of an $(n, m + p, t)$ -HSS for degree- d polynomials that supports p -preprocessing. A similar result for the restricted case of 2 parties was given (implicitly) in [5]. The salient point of our construction is that the online communication complexity of the MPC scheme is independent of the size of the polynomial being computed. For certain regimes of parameters, this leads to an exponential improvement in the communication complexity of the online phase, when compared with general-purpose MPC solutions.

6.1 Protocol Description

In the following we describe our (semi-honest) MPC protocol for degree- d polynomials assuming the existence of a $(n, m + p, t)$ -HSS scheme with perfect correctness and a general purpose (semi-honest) m -party MPC that is resilient against the corruption of up to t parties. For a definition of MPC and its notion of simulation-based semi-honest security, we refer to [24]. The scheme is detailed below.

Preprocessing: We assume that the (input-independent) preprocessing phase is run by a trusted party, which can be substituted by an execution of a general-purpose MPC protocol jointly executed by the m participants. The preprocessing phase proceeds as follows.

1. Generate a key for the HSS scheme via $(\text{pk}, \text{sk}) \leftarrow \text{HSS.KGen}(1^\lambda)$.
2. Run $\text{HSS.PreProc}(\text{pk}, 1^n)$ to obtain $(\text{in}_1, \dots, \text{in}_p, \text{rec}_1, \dots, \text{rec}_p)$.
3. Run $\text{HSS.Eval}(\text{pk}, j, f, \text{in}_j)$ to obtain out_j , for all $j \in [p]$.
4. Let s be the concatenation of the variables $(\text{sk}, \text{in}_1, \text{rec}_1, \text{out}_1, \dots, \text{in}_p, \text{rec}_p, \text{out}_p)$ as defined above. The preprocessing algorithm computes an t -out-of- m ⁸ secret sharing of s and returns to each party the public key pk and the j -th share s_j .

⁸ We use t -out-of- m secret sharing to refer to an m -party secret sharing scheme which is resilient against t corrupt parties.

Online: The online phase is jointly executed by the m participants, who collectively receive the inputs \mathbf{x} , *i.e.*, either \mathbf{x} is secret shared among the m participants or each participant has knowledge of a disjoint subset of entries of \mathbf{x} . The j -th party inputs the j -th output of the preprocessing phase (pk, s_j) and its share of \mathbf{x} . The parties jointly compute the following function using a general-purpose MPC protocol. For simplicity we assume that the function takes as input the variable s as defined in the preprocessing, which can be obtained by running the reconstruction procedure of the t -out-of- m secret sharing scheme.

1. Run $\text{HSS.ShareComp}(\text{in}_1, \dots, \text{in}_p, \text{rec}_1, \dots, \text{rec}_p, \mathbf{x})$ to obtain the tuple $(\text{in}_{p+1}, \dots, \text{in}_{m+p}, \text{rec}_{p+1}, \dots, \text{rec}_{m+p})$.
2. The j -th participant is given in_{p+j} and an t -out-of- m secret share of $\tilde{s} = (\text{rec}_{p+1}, \dots, \text{rec}_{m+p})$.

The j -th party locally computes $\text{HSS.Eval}(\text{pk}, p + j, f, \text{in}_{p+j})$ to obtain out_{p+j} . Then the m parties engage once again in a general-purpose MPC on input the secret key sk , the output shares $(\text{out}_1, \dots, \text{out}_{m+p})$, and the reconstruction information $(\text{rec}_1, \dots, \text{rec}_{m+p})$. Whenever some information is not available to any party in plain, the MPC protocol reconstructs it from the shares.

1. Run $\text{HSS.Dec}(\text{sk}, \text{out}_1, \dots, \text{out}_{m+p}, \text{rec}_1, \dots, \text{rec}_{m+p})$ and return the output to all parties.

6.2 Analysis

The security of the MPC protocol follows from a standard argument, which we sketch in the following. Observe that the view of the parties consist of the public key of the HSS scheme together with HSS shares of the input \mathbf{x} and the t -out-of- m secret sharing of the variables s and \tilde{s} . By the semi-honest security of the MPC protocol, the MPC transcript does not reveal anything beyond the output of the computation. Thus the t -out-of- m security of the resulting MPC follows by a reduction against the HSS scheme (observe that the variables s and \tilde{s} are information-theoretically hidden from the eyes of any t -subset of the participants).

We analyze the communication complexity of our protocol when instantiating the general-purpose MPC with any OT-based protocol (e.g. [25]) and the HSS scheme with k -HE-compiled variant of WY_ℓ described in Section 5. To reduce cluttering, we assume that t and $1 \leq k \leq \ell$ are constants, *e.g.*, $t = 1$, $k = 1$, and $\ell = 1$ or 2. Recall that (compiled) WY_ℓ supports $\lfloor \frac{t}{\ell+1} \rfloor$ -preprocessing.

We therefore set $p = \lfloor \frac{t}{\ell+1} \rfloor = O(1)$. The communication complexity of the preprocessing phase is upper bounded by

$$\begin{aligned}
& (|\text{HSS.KGen}| + |\text{HSS.PreProc}| + p|\text{HSS.Eval}(\cdot, \cdot, f, \cdot)|) \cdot \text{poly}(\lambda) \\
&= (1 + \ell \cdot n \cdot p + p(|f|n^{\ell-1} + (\ell n^2)^\ell)) \cdot \text{poly}(\lambda) \\
&= (|f|n^{\ell-1} + n^{2\ell}) \cdot \text{poly}(\lambda).
\end{aligned}$$

On the other hand, the online communication is upper bounded by

$$\begin{aligned} & (|\text{HSS.ShareComp}| + |\text{HSS.Dec}|) \cdot \text{poly}(\lambda) \\ &= (p \cdot nt + m(\ell \cdot n)^{\ell-k}) \cdot \text{poly}(\lambda) \\ &= mn^{\ell-k} \cdot \text{poly}(\lambda). \end{aligned}$$

In case t is a multiple of $\ell + 1$, the protocol allows the participants to jointly evaluate a degree d multivariate polynomial where $d < \frac{(\ell+1)m}{t} + 1$, *i.e.*, we gain 1 degree compared to using the k -HE-compiled WY_ℓ scheme as-is. The size of the circuit representation of such a polynomial ranges from a constant to $O(n^d)$. Thus for large enough m , the communication complexity of the online phase is exponentially smaller than that of a naive implementation using a general-purpose MPC protocol. We stress that this result is obtained without relying on heavy machinery, such as fully-homomorphic encryption.

7 Conclusion

With the conceptual observation that the HSS scheme of [31] can be abstractly seen as compiling the CNF IT HSS using a k -HE, in this work we have constructed a generic compiler which turns a class of compatible IT HSS for degree- d polynomials into a computational one with more favourable parameters.

A generic compiler has many advantages. For starters, it allows instantiation with WY , which, unlike CNF , scales well with a large number of servers. In contrast, [31] using CNF becomes exponentially inefficient. Due to degree-amplification, this improvement is significant in practice as higher degrees can be supported by simply employing more servers. The preprocessing property of WY also allows application to preprocessing MPC, which was not possible with [31]. Other choices of instantiating the IT-HSS and k -HE potentially yield further improvements.

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