

A note on the equivalence of IND-CCA & INT-PTXT and IND-CCA & INT-CTXT

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Abstract

The security for authenticated encryption schemes is often captured by demanding CCA security (IND-CCA) and integrity of plaintexts (INT-PTXT). In this short note, we prove that this implies in particular integrity of ciphertexts, i.e., INT-CTXT. Hence, the two sets of requirements mentioned in the title are equivalent.

1 The Security Games

We treat the stateful notions in this short note since they are the most widely used notions for authenticated encryption (the main use case is realizing a cryptographic channel). A proof for the non-stateful versions would follow along the same lines. We restate the relevant stateful notions from [BKN04] formally in Figure 1 and Figure 2. A (stateful) authenticated encryption scheme consists of a triple of algorithms $\Psi = (\text{Gen}, \mathcal{E}, \mathcal{D})$ for key generation, encryption, and decryption, respectively, as defined in detail in [BKN04] (including the definitions of correctness and being stateful). The message space is denoted by \mathcal{M} and the ciphertext space is denoted by \mathcal{C} . Our notation follows basically the notation of [BN08; BKN04].

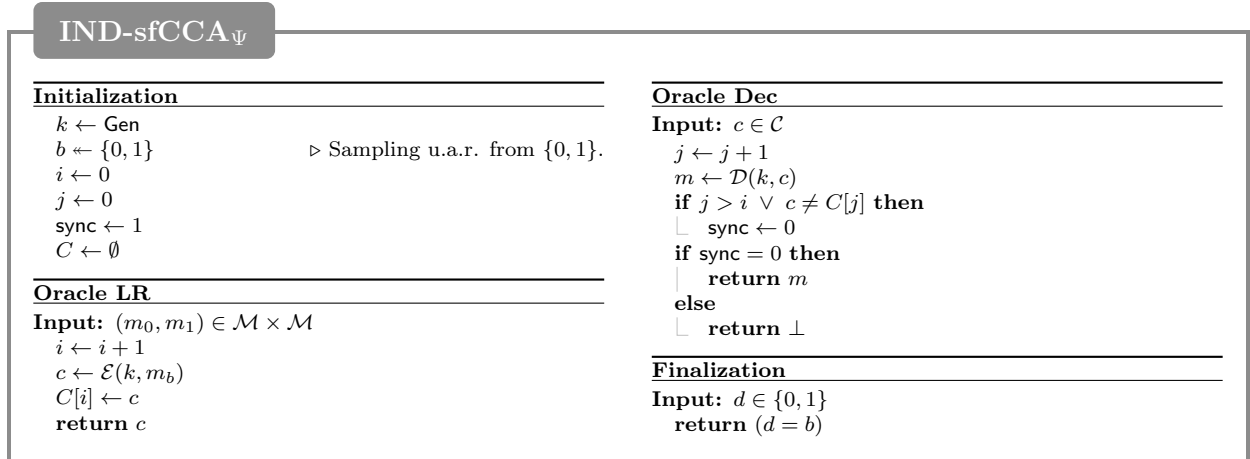


Figure 1: IND-sfCCA $_{\Psi}$ security for stateful CCA security of an authenticated encryption scheme.

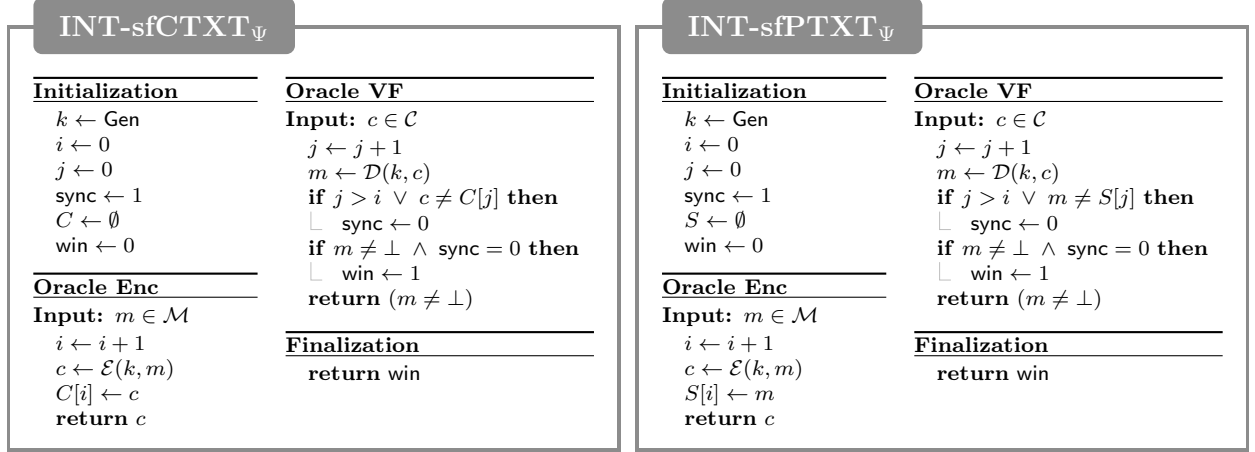


Figure 2: The INT-sfPTXT_Ψ and INT-sfCTXT_Ψ security games for stateful plaintext- and ciphertext-integrity, respectively, of an authenticated encryption scheme.

2 INT-PTXT & IND-CCA implies INT-CTXT

Let \mathcal{A} denote an INT-sfCTXT attacker. In the following we show that

$$\text{Adv}_{\Psi, \mathcal{A}}^{\text{IND-sfCTXT}} \leq \text{Adv}_{\Psi, \mathcal{A}_1}^{\text{IND-sfCCA}} + \text{Adv}_{\Psi, \mathcal{A}_2}^{\text{IND-sfCCA}} + 3 \text{Adv}_{\Psi, \mathcal{A}_3}^{\text{IND-sfPTXT}}$$

where \mathcal{A}_1 , \mathcal{A}_2 , and \mathcal{A}_3 denote slight modifications of \mathcal{A} with roughly the same efficiency.

As a first hybrid, we consider the game \mathbf{H}_0 depicted in Figure 3, that essentially works like INT-sfCTXT_Ψ, but initially flips a uniform random bit z , and then the encryption oracle instead of encrypting the message m encrypts $z^{|m|}$, i.e., either the all-zero or all-one bit string of the length of m . By definition of the advantage of an adversary in the forgery game, we have

$$\begin{aligned} \text{Adv}_{\Psi, \mathcal{A}}^{\text{IND-sfCTXT}} &:= \Pr[\mathcal{A}^{\text{INT-sfCTXT}_\Psi} \Rightarrow 1] \\ &= \Pr[\mathcal{A}^{\mathbf{H}_0} \Rightarrow 1] + \left(\Pr[\mathcal{A}^{\text{INT-sfCTXT}_\Psi} \Rightarrow 1] - \Pr[\mathcal{A}^{\mathbf{H}_0} \Rightarrow 1] \right) \end{aligned} \quad (1)$$

In the following, we will first upper bound the second term, and then proceed to upper bound $\Pr[\mathcal{A}^{\mathbf{H}_0} \Rightarrow 1]$ as a second step.

2.1 Upper bounding the second term

Consider the following bit-guessing game \mathbf{G}_0 , shown in Figure 4, that initially flips a bit b and then either behaves exactly like INT-sfCTXT_Ψ, if $b = 0$, or like \mathbf{H}_0 if $b = 1$, and the goal of the adversary is to guess b in the end. In addition, the adversary also gets access to an oracle **HasWon** that allows him to query the win flag of INT-sfCTXT_Ψ or \mathbf{H}_0 , respectively.

We now define \mathcal{A}_0 as follows: \mathcal{A}_0 internally runs \mathcal{A} forwarding all queries and responses to the **Enc** and **VF** oracles. Once \mathcal{A} calls **Finalization**, \mathcal{A}_0 first queries the **HasWon** oracle, and then calls **Finalization** with $d = 0$ if **HasWon** returned true and $d = 1$ otherwise. Observe that the bit-guessing game \mathbf{G}_0 behaves exactly as INT-sfCTXT_Ψ if $b = 0$ and exactly like \mathbf{H}_0 if $b = 1$. By definition of \mathbf{G}_0 and \mathcal{A}_0 we obtain

$$\begin{aligned} \Pr[\mathcal{A}_0^{\mathbf{G}_0} \Rightarrow 1 \mid b = 0] &= \Pr^{\mathcal{A}_0^{\mathbf{G}_0}}[d = b \mid b = 0] = \Pr^{\mathcal{A}_0^{\mathbf{G}_0}}[d = 0 \mid b = 0] \\ &= \Pr^{\mathcal{A}_0^{\mathbf{G}_0}}[\text{win} = 1 \mid b = 0] = \Pr[\mathcal{A}^{\text{INT-sfCTXT}_\Psi} \Rightarrow 1] \end{aligned}$$

INT-sfCTXT_ψ and H₀

Initialization

```

z ← {0, 1}
k ← Gen
i ← 0
j ← 0
sync ← 1
C ← ∅
win ← 0
    
```

Oracle Enc

```

Input: m ∈ M
i ← i + 1
c ← E(k, m)
c ← E(k, z|m|)
C[i] ← c
return c
    
```

Oracle VF

```

Input: c ∈ C
j ← j + 1
m ← D(k, c)
if j > i ∨ c ≠ C[j] then
    sync ← 0
if m ≠ ⊥ ∧ sync = 0 then
    win ← 1
return (m ≠ ⊥)
    
```

Finalization

```
return win
```

Figure 3: The first hybrid H_0 compared to the original INT-sfCTXT_ψ security game.

G₀ and G₁

Initialization

```

z ← {0, 1}
b ← {0, 1}
k ← Gen
i ← 0
j ← 0
sync ← 1
C ← ∅
win ← 0
    
```

Oracle Enc

```

Input: m ∈ M
i ← i + 1
if b = 0 then
    c ← E(k, m)
else
    c ← E(k, z|m|)
C[i] ← c
return c
    
```

Oracle VF

```

Input: c ∈ C
j ← j + 1
m ← D(k, c)
if j > i ∨ c ≠ C[j] then
    sync ← 0
if m ≠ ⊥ ∧ sync = 0 then
    win ← 1
return (m ≠ ⊥)
    
```

```

if sync = 0 then
    return (m ≠ ⊥)
else
    return 1.
    
```

Oracle HasWon

```
return win
```

Finalization

```

Input: d ∈ {0, 1}
return d = b
    
```

Figure 4: The bit-guessing games G_0 and G_1 .

Initialization

$z \leftarrow \{0, 1\}$
 $b \leftarrow \{0, 1\}$
 $k \leftarrow \text{Gen}$
 $i \leftarrow 0$
 $j \leftarrow 0$
 $\text{sync} \leftarrow 1$
 $C \leftarrow \emptyset$
 $\text{win} \leftarrow 0$

Oracle Enc

Input: $m \in \mathcal{M}$

$i \leftarrow i + 1$
if $b = 0$ **then**
 $c \leftarrow \mathcal{E}(k, m)$
else
 $c \leftarrow \mathcal{E}(k, z^{|m|})$
 $m_0 \leftarrow m$
 $m_1 \leftarrow z^{|m|}$
 $c \leftarrow \mathcal{E}(k, m_b)$
 $C[i] \leftarrow c$
return c

Oracle VF

Input: $c \in \mathcal{C}$

$j \leftarrow j + 1$
 $m \leftarrow \mathcal{D}(k, c)$
if $j > i \vee c \neq C[j]$ **then**
 $\text{sync} \leftarrow 0$
if $\text{sync} = 0$ **then**
 $m \leftarrow m$
else
 $m \leftarrow \perp$
if $m \neq \perp \wedge \text{sync} = 0$ **then**
 $\text{win} \leftarrow 1$
if $\text{sync} = 0$ **then**
 return $(m \neq \perp)$
else
 return 1.

Oracle HasWon

return win

Finalization

Input: $d \in \{0, 1\}$
return $d = b$

Figure 5: The bit-guessing games \mathbf{G}_2 in comparison to \mathbf{G}_1 .

and

$$\begin{aligned}
 \Pr[\mathcal{A}_0^{\mathbf{G}_0} \Rightarrow 1 \mid b = 1] &= \Pr^{\mathcal{A}_0^{\mathbf{G}_0}}[d = b \mid b = 1] = \Pr^{\mathcal{A}_0^{\mathbf{G}_0}}[d = 1 \mid b = 1] \\
 &= \Pr^{\mathcal{A}_0^{\mathbf{G}_0}}[\text{win} = 0 \mid b = 1] = 1 - \Pr[\mathcal{A}^{\mathbf{H}_0} \Rightarrow 1],
 \end{aligned}$$

which yields

$$\begin{aligned}
 &\Pr[\mathcal{A}^{\text{INT-sfCCTXT}_\Psi} \Rightarrow 1] - \Pr[\mathcal{A}^{\mathbf{H}_0} \Rightarrow 1] \\
 &= \Pr[\mathcal{A}_0^{\mathbf{G}_0} \Rightarrow 1 \mid b = 0] + \Pr[\mathcal{A}_0^{\mathbf{G}_0} \Rightarrow 1 \mid b = 1] - 1 \\
 &= 2\left(\Pr[\mathcal{A}_0^{\mathbf{G}_0} \Rightarrow 1] - \frac{1}{2}\right).
 \end{aligned} \tag{2}$$

We now proceed by bounding $\Pr[\mathcal{A}_0^{\mathbf{G}_0} \Rightarrow 1]$ using a sequence of simple modifications:

- \mathbf{G}_1 The game \mathbf{G}_1 , as depicted in Figure 4, behaves like \mathbf{G}_0 except that the **VF** oracle returns true instead of $(m \neq \perp)$ if $\text{sync} = 1$. Since $\text{sync} = 1$ implies $c = C[j]$ and $\perp \notin \mathcal{M}$, however, by correctness of the scheme this behavior is equivalent.
- \mathbf{G}_2 Consider the game \mathbf{G}_2 as depicted in Figure 5. Observe that in the **Enc** oracle the same message gets encrypted as in \mathbf{G}_1 . In the **VF** oracle it sets m to \perp if $\text{sync} \neq 0$. Note however, that in this case the value of m does not matter anymore. Thus, \mathbf{G}_1 and \mathbf{G}_2 behave equivalently.

It is now easy to see that winning \mathbf{G}_2 can be reduced to winning IND-sfCCA_Ψ as sketched in Figure 6. For every adversary \mathcal{A}_0 against \mathbf{G}_2 we can build \mathcal{A}_1 against IND-sfCCA_Ψ that works as follows: it initially flips a bit z and then internally runs \mathcal{A}_0 and for every query m of the **Enc** oracle it queries the **LR** oracle of IND-sfCCA_Ψ with $m_0 = m$ and $m_1 = z^{|m|}$. In addition, \mathcal{A}_1 keeps track whether \mathcal{A}_0 is still in sync, so that on a query c to the **VF** oracle by

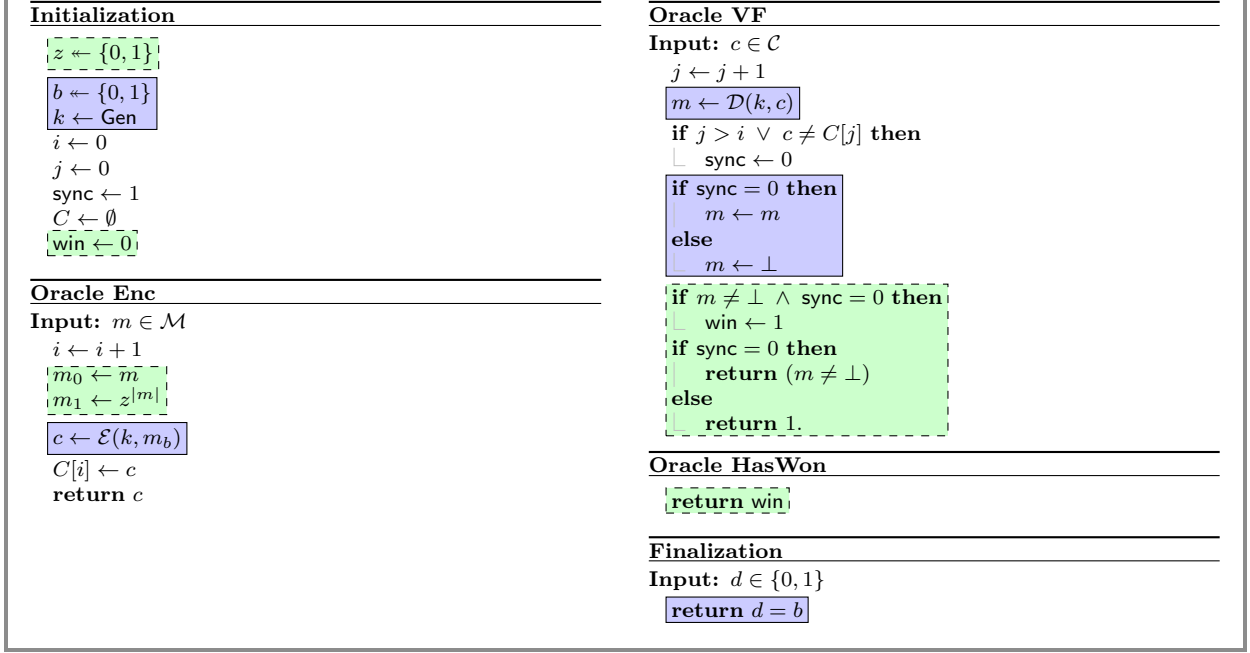


Figure 6: The reduction from \mathbf{G}_2 to IND-sfCCA_Ψ . The lines with the blue shade and the solid border belong to the IND-sfCCA_Ψ game, whereas the green shaded ones with the dashed border belong to the reduction. The uncolored lines are for bookkeeping that is replicated in both the IND-sfCCA_Ψ game as well as the reduction.

\mathcal{A}_0 it can query the decryption oracle on c and then reply correctly to \mathcal{A}_0 . It is easy to see that \mathcal{A}_1 guesses b correctly if and only if \mathcal{A}_0 guesses b correctly by simply forwarding the guess.

Thus we obtain

$$\Pr[\mathcal{A}_0^{\mathbf{G}_0} \Rightarrow 1] = \Pr[\mathcal{A}_0^{\mathbf{G}_1} \Rightarrow 1] = \Pr[\mathcal{A}_0^{\mathbf{G}_2} \Rightarrow 1] = \Pr[\mathcal{A}_1^{\text{IND-sfCCA}_\Psi} \Rightarrow 1],$$

and combining this with (2) yields the desired bound

$$\begin{aligned} \Pr[\mathcal{A}^{\text{INT-sfCTXT}_\Psi} \Rightarrow 1] - \Pr[\mathcal{A}^{\mathbf{H}_0} \Rightarrow 1] &= 2 \left(\Pr[\mathcal{A}_0^{\mathbf{G}_0} \Rightarrow 1] - \frac{1}{2} \right) \\ &= 2 \left(\Pr[\mathcal{A}_1^{\text{IND-sfCCA}_\Psi} \Rightarrow 1] - \frac{1}{2} \right) =: \text{Adv}_{\Psi, \mathcal{A}_1}^{\text{IND-sfCCA}}, \end{aligned} \quad (3)$$

where in the last step we used the definition of the (bit-guessing) advantage of a CCA adversary.

2.2 Bounding the first winning probability

In the following section we upper bound the probability $\Pr[\mathcal{A}^{\mathbf{H}_0} \Rightarrow 1]$ using the hybrids \mathbf{H}_1 and \mathbf{H}_2 , depicted in Figure 7 and Figure 8, respectively.

\mathbf{H}_1 The game \mathbf{H}_1 replaces the sync and the win flags of \mathbf{H}_0 by two pairs of flags $(\text{sync}_1, \text{sync}_2)$ and $(\text{win}_1, \text{win}_2)$, respectively. Note that sync_2 in \mathbf{H}_1 is defined exactly equivalent to sync of \mathbf{H}_0 , and thus $\text{win}_1 \vee \text{win}_2$ is true in \mathbf{H}_1 if and only if win is true in \mathbf{H}_0 . Hence, the two games behave equivalently.

H₀ and H₁

Initialization

```

z ← {0, 1}
k ← Gen
i ← 0
j ← 0
sync ← 1
sync1 ← 1
sync2 ← 1
C ← ∅
S ← ∅
win ← 0
win1 ← 0
win2 ← 0

```

Oracle Enc

```

Input: m ∈ M
i ← i + 1
c ← E(k, z|m|)
C[i] ← c
S[j] ← z|m|
return c

```

Oracle VF

```

Input: c ∈ C
j ← j + 1
m ← D(k, c)
if j > i ∨ c ≠ C[j] then
  sync ← 0
if j > i ∨ m ≠ S[j] then
  sync1 ← 0
if j > i ∨ c ≠ C[j] then
  sync2 ← 0
if m ≠ ⊥ ∧ sync = 0 then
  win ← 1
if m ≠ ⊥ ∧ sync1 = 0 ∧ sync2 = 0 then
  win1 ← 1
if m ≠ ⊥ ∧ sync1 = 1 ∧ sync2 = 0 then
  win2 ← 1
return (m ≠ ⊥)

```

Finalization

```

return win
return win1 ∨ win2

```

Figure 7: The game \mathbf{H}_1 is equivalent to \mathbf{H}_0 , which is best seen by observing that the sync_2 flag is identical to the sync one of \mathbf{H}_0 .

H₁ and H₂

Initialization

```

z ← {0, 1}
k ← Gen
i ← 0
j ← 0
sync1 ← 1
sync2 ← 1
C ← ∅
S ← ∅
win1 ← 0
win2 ← 0

```

Oracle Enc

```

Input: m ∈ M
i ← i + 1
c ← E(k, z|m|)
C[i] ← c
S[i] ← z|m|
return c

```

Oracle VF

```

Input: c ∈ C
j ← j + 1
m ← D(k, c)
if j > i ∨ m ≠ S[j] then
  sync1 ← 0
if j > i ∨ c ≠ C[j] then
  sync2 ← 0
if m ≠ ⊥ ∧ sync1 = 0 ∧ sync2 = 0 then
  win1 ← 1
if m ≠ ⊥ ∧ sync1 = 0 then
  win1 ← 1
if m ≠ ⊥ ∧ sync1 = 1 ∧ sync2 = 0 then
  win2 ← 1
return (m ≠ ⊥)

```

Finalization

```

return win1 ∨ win2

```

Figure 8: The game \mathbf{H}_2 is equivalent to \mathbf{H}_1 as well. Observe that $\text{sync}_1 = 0$ implies that $j > i$ or $m \neq S[j]$ for some j . In the latter case, the correctness of the scheme however implies that $c[j] \neq C[j]$ and thus $\text{sync}_2 = 0$ as well.

P₀ and **C₀**

Initialization

```

 $z \leftarrow \{0, 1\}$ 
 $k \leftarrow \text{Gen}$ 
 $i \leftarrow 0$ 
 $j \leftarrow 0$ 
 $\text{sync}_1 \leftarrow 1$ 
 $\text{sync}_2 \leftarrow 1$ 
 $C \leftarrow \emptyset$ 
 $S \leftarrow \emptyset$ 
 $\text{win}_1 \leftarrow 0$ 
 $\text{win}_2 \leftarrow 0$ 

```

Oracle Enc

```

Input:  $m \in \mathcal{M}$ 
 $i \leftarrow i + 1$ 
 $c \leftarrow \mathcal{E}(k, z^{|m|})$ 
 $C[i] \leftarrow c$ 
 $S[i] \leftarrow z^{|m|}$ 
return  $c$ 

```

Oracle VF

```

Input:  $c \in \mathcal{C}$ 
 $j \leftarrow j + 1$ 
 $m \leftarrow \mathcal{D}(k, c)$ 
if  $j > i \vee m \neq S[j]$  then
   $\text{sync}_1 \leftarrow 0$ 
if  $j > i \vee c \neq C[j]$  then
   $\text{sync}_2 \leftarrow 0$ 
if  $m \neq \perp \wedge \text{sync}_1 = 0$  then
   $\text{win}_1 \leftarrow 1$ 
if  $m \neq \perp \wedge \text{sync}_1 = 1 \wedge \text{sync}_2 = 0$  then
   $\text{win}_2 \leftarrow 1$ 
return  $(m \neq \perp)$ 

```

Finalization

```

return  $\text{win}_1$ 
return  $\text{win}_2$ 

```

Figure 9: The games **P₀** and **C₀** are identical to **H₂**, except that the winning condition $\text{win}_1 \vee \text{win}_2$ of the latter has been replaced by checking only one of the respective flags.

H₂ The game **H₂** is equivalent to **H₁** except that the former no longer checks for $\text{sync}_2 = 0$ when setting win_1 to true. Observe however that by the correctness of the scheme we have that $m \neq S[j]$ implies $c \neq C[j]$ and thus $\text{sync}_1 = 0$ implies $\text{sync}_2 = 0$. Hence, the two games are equivalent as well.

Now, consider the two games **P₀** and **C₀** as depicted in Figure 9. Observe that each of those games is equivalent to **H₂** except for the winning condition that only checks for win_1 or win_2 , respectively, instead of $\text{win}_1 \vee \text{win}_2$. Using the union bound we therefore obtain

$$\Pr[\mathcal{A}^{\mathbf{H}_0} \Rightarrow 1] = \Pr[\mathcal{A}^{\mathbf{H}_1} \Rightarrow 1] = \Pr[\mathcal{A}^{\mathbf{H}_2} \Rightarrow 1] \leq \Pr[\mathcal{A}^{\mathbf{P}_0} \Rightarrow 1] + \Pr[\mathcal{A}^{\mathbf{C}_0} \Rightarrow 1]. \quad (4)$$

We proceed by bounding those two terms separately in the next sections.

2.3 Upper bounding the advantage on **P₀**

Consider the game **P₁** as shown in Figure 10, which basically corresponds to **P₀** with all code related to the two unused flags win_2 and sync_2 removed. Moreover, the **Enc**-oracle has slightly been rewritten without changing the behavior. It is now easy to reduce any adversary \mathcal{A} winning **P₁** to another adversary \mathcal{A}_3 winning **INT-sfPTXT_Ψ**, as highlighted in Figure 11: \mathcal{A}_3 initially flips a bit z and then whenever \mathcal{A} queries the **Enc** oracle on m , \mathcal{A}_3 queries the actual **Enc**-oracle on $m' = z^{|m|}$. Clearly, \mathcal{A}_3 wins **INT-sfPTXT_Ψ** if and only if \mathcal{A} wins **P₁**. As a consequence, we have

$$\Pr[\mathcal{A}^{\mathbf{P}_0} \Rightarrow 1] = \Pr[\mathcal{A}^{\mathbf{P}_1} \Rightarrow 1] = \Pr[\mathcal{A}_3^{\text{INT-sfPTXT}_\Psi} \Rightarrow 1] = \text{Adv}_{\Psi, \mathcal{A}_3}^{\text{IND-sfPTXT}}. \quad (5)$$

2.4 Upper bounding the advantage on **C₀**

In the following section, we upper bound $\Pr[\mathcal{A}^{\mathbf{C}_0} \Rightarrow 1]$ using a sequence of hybrids **C₁**, **C₂**, **C₃**, **C₄**, **C₅**, and **C₆**.

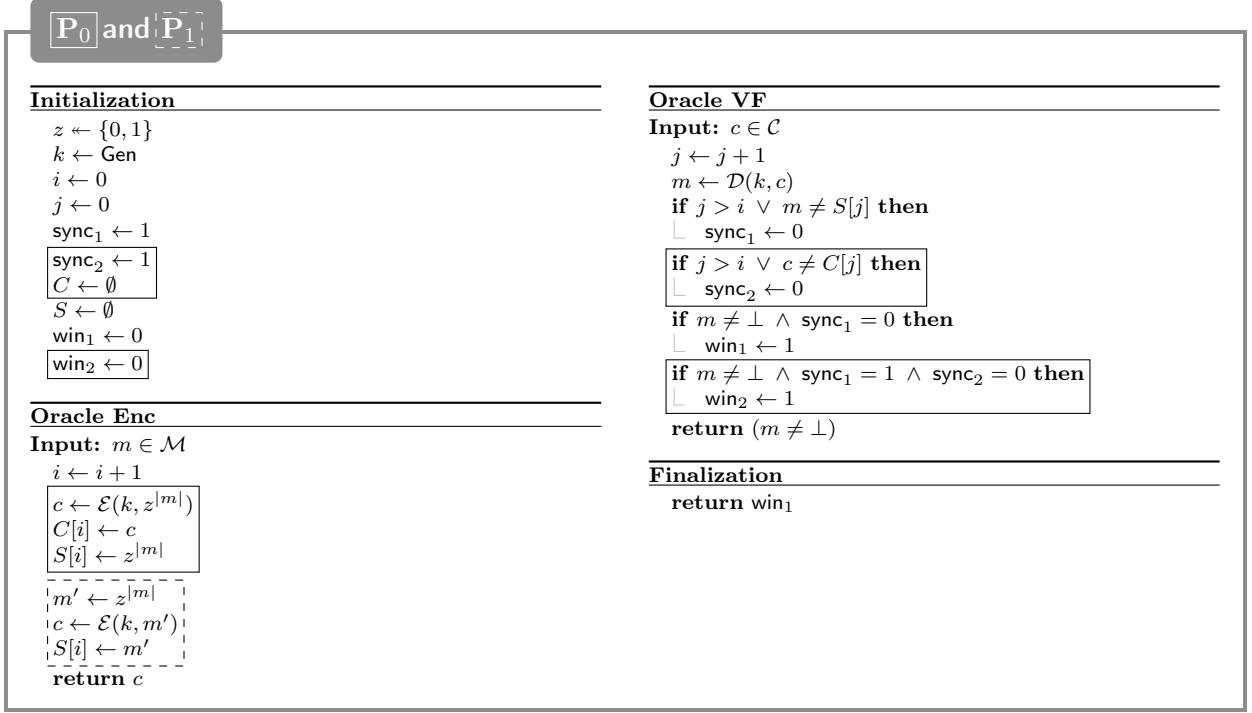


Figure 10: The game \mathbf{P}_1 that is equivalent to \mathbf{P}_0 .

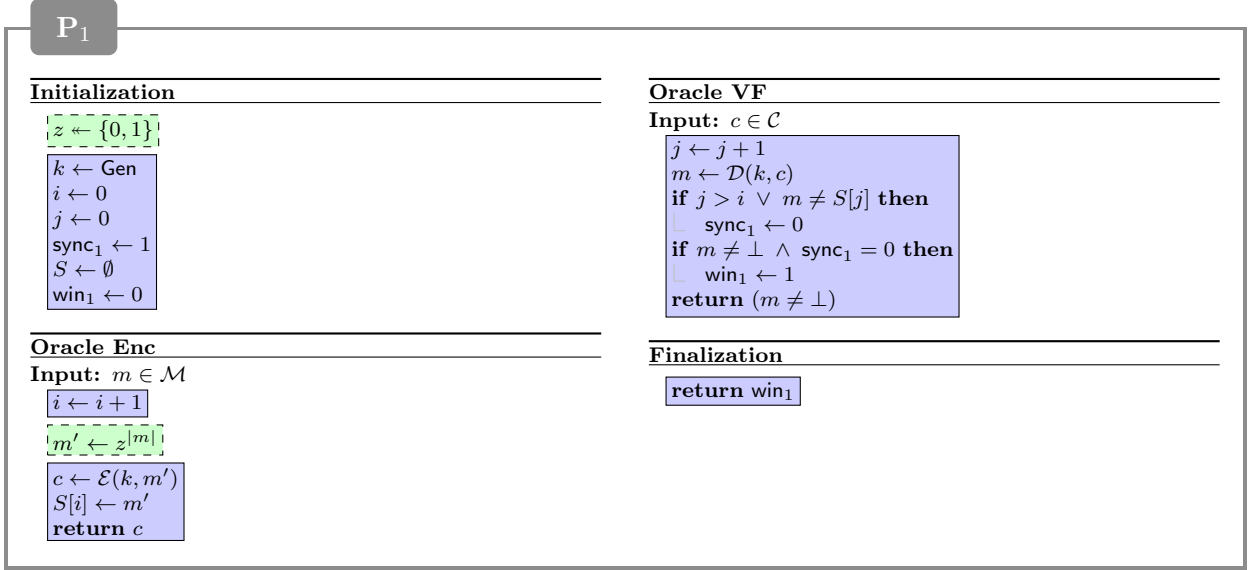


Figure 11: The reduction from \mathbf{P}_1 to INT-sfPTXT_Ψ . The lines with the blue shade and the solid border belong to the INT-sfPTXT_Ψ game, whereas the green shaded ones with the dashed border belong to the reduction.

<hr/> Initialization <hr/> $z \leftarrow \{0, 1\}$ $k \leftarrow \text{Gen}$ $i \leftarrow 0$ $j \leftarrow 0$ $\text{sync}_1 \leftarrow 1$ $\text{sync}_2 \leftarrow 1$ $C \leftarrow \emptyset$ $S \leftarrow \emptyset$ $\text{win}_1 \leftarrow 0$ $\text{win}_2 \leftarrow 0$ <hr/> Oracle Enc <hr/> Input: $m \in \mathcal{M}$ $i \leftarrow i + 1$ $c \leftarrow \mathcal{E}(k, z^{ m })$ $C[i] \leftarrow c$ $S[i] \leftarrow z^{ m }$ return c	<hr/> Oracle VF <hr/> Input: $c \in \mathcal{C}$ $j \leftarrow j + 1$ $m \leftarrow \mathcal{D}(k, c)$ if $j > i \vee m \neq S[j]$ then $\text{sync}_1 \leftarrow 0$ if $j > i \vee c \neq C[j]$ then $\text{sync}_2 \leftarrow 0$ if $m \neq \perp \wedge \text{sync}_1 = 0$ then $\text{win}_1 \leftarrow 1$ if $m \neq \perp \wedge \text{sync}_1 = 1 \wedge \text{sync}_2 = 0$ then $\text{win}_2 \leftarrow 1$ return $(m \neq \perp)$ <hr/> Finalization <hr/> return win_2
---	--

Figure 12: The game \mathbf{C}_1 that is equivalent to \mathbf{C}_0 .

- \mathbf{C}_1 The game \mathbf{C}_1 , as depicted in Figure 12, corresponds to \mathbf{C}_0 with all code related to the unused flag win_1 removed. Hence, the two games behave obviously equivalent.
- \mathbf{C}_2 The game \mathbf{C}_2 corresponds to \mathbf{C}_1 with the winning flag win_2 replaced by a variable d guessing z . It is depicted in Figure 13. Note that $\text{sync}_1 = 1$ implies $m = S[j]$, and thus $m = z^\ell$ for some length $\ell > 0$ (we use here that the empty bit-string is not in the message space). Hence, setting d to the first bit of m implies that the game is won, and is thus equivalent to setting the winning flag in \mathbf{C}_1 .
- \mathbf{C}_3 The game \mathbf{C}_3 , as depicted in Figure 14, corresponds to \mathbf{C}_2 but with d initialized to 0 instead of \perp giving an adversary a fifty percent chance of winning the game without setting the win_2 flag. This makes \mathbf{C}_3 a bit-guessing game. Observe that

$$\Pr^{\mathcal{A}^{\mathbf{C}_3}}[\text{win}_2 = 1] = \Pr^{\mathcal{A}^{\mathbf{C}_2}}[\text{win}_2 = 1] = \Pr[\mathcal{A}^{\mathbf{C}_2} \Rightarrow 1]$$

and

$$\Pr^{\mathcal{A}^{\mathbf{C}_3}}[d = z \wedge \text{win}_2 = 1] = \Pr^{\mathcal{A}^{\mathbf{C}_3}}[\text{win}_2 = 1],$$

yielding

$$\begin{aligned} \Pr[\mathcal{A}^{\mathbf{C}_3} \Rightarrow 1] &= \Pr^{\mathcal{A}^{\mathbf{C}_3}}[d = z] \\ &= \Pr^{\mathcal{A}^{\mathbf{C}_3}}[d = z \wedge \text{win}_2 = 1] + \Pr^{\mathcal{A}^{\mathbf{C}_3}}[d = z \wedge \text{win}_2 = 0] \\ &= \Pr^{\mathcal{A}^{\mathbf{C}_3}}[d = z \wedge \text{win}_2 = 1] + \Pr^{\mathcal{A}^{\mathbf{C}_3}}[d = z \mid \text{win}_2 = 0] \Pr^{\mathcal{A}^{\mathbf{C}_3}}[\text{win}_2 = 0] \\ &= \Pr^{\mathcal{A}^{\mathbf{C}_3}}[d = z \wedge \text{win}_2 = 1] + \frac{1}{2} \left(1 - \Pr^{\mathcal{A}^{\mathbf{C}_3}}[\text{win}_2 = 1] \right) \\ &= \Pr[\mathcal{A}^{\mathbf{C}_2} \Rightarrow 1] + \frac{1}{2} \left(1 - \Pr[\mathcal{A}^{\mathbf{C}_2} \Rightarrow 1] \right). \end{aligned}$$

Rewriting the last equation we obtain

$$\Pr[\mathcal{A}^{\mathbf{C}_2} \Rightarrow 1] = 2 \left(\Pr[\mathcal{A}^{\mathbf{C}_3} \Rightarrow 1] - \frac{1}{2} \right). \quad (6)$$

C₁ and C₂

Initialization

```

 $z \leftarrow \{0, 1\}$ 
 $k \leftarrow \text{Gen}$ 
 $i \leftarrow 0$ 
 $j \leftarrow 0$ 
 $\text{sync}_1 \leftarrow 1$ 
 $\text{sync}_2 \leftarrow 1$ 
 $C \leftarrow \emptyset$ 
 $S \leftarrow \emptyset$ 
 $\text{win}_2 \leftarrow 0$ 
 $d \leftarrow \perp$ 

```

Oracle Enc

```

Input:  $m \in \mathcal{M}$ 
 $i \leftarrow i + 1$ 
 $c \leftarrow \mathcal{E}(k, z^{|m|})$ 
 $C[i] \leftarrow c$ 
 $S[i] \leftarrow z^{|m|}$ 
return  $c$ 

```

Oracle VF

```

Input:  $c \in \mathcal{C}$ 
 $j \leftarrow j + 1$ 
 $m \leftarrow \mathcal{D}(k, c)$ 
if  $j > i \vee m \neq S[j]$  then
   $\text{sync}_1 \leftarrow 0$ 
if  $j > i \vee c \neq C[j]$  then
   $\text{sync}_2 \leftarrow 0$ 
if  $m \neq \perp \wedge \text{sync}_1 = 1 \wedge \text{sync}_2 = 0$  then
   $\text{win}_2 \leftarrow 1$ 
   $d \leftarrow m(1)$ 
return ( $m \neq \perp$ )

```

Finalization

```

return  $\text{win}_2$ 
return ( $d = z$ )

```

Figure 13: The game \mathbf{C}_2 , where $m(1)$ denotes the first bit of m . Note that $\text{sync} = 1$ implies $m = S[j] = z^\ell$ for some $\ell > 0$ (since $\lambda \notin \mathcal{M}$). Hence, we have $\text{win}_2 = 1$ iff $d = z$.

C₂ and C₃

Initialization

```

 $z \leftarrow \{0, 1\}$ 
 $k \leftarrow \text{Gen}$ 
 $i \leftarrow 0$ 
 $j \leftarrow 0$ 
 $\text{sync}_1 \leftarrow 1$ 
 $\text{sync}_2 \leftarrow 1$ 
 $C \leftarrow \emptyset$ 
 $S \leftarrow \emptyset$ 
 $\text{win}_2 \leftarrow 0$ 
 $d \leftarrow \perp$ 
 $d \leftarrow 0$ 

```

Oracle Enc

```

Input:  $m \in \mathcal{M}$ 
 $i \leftarrow i + 1$ 
 $c \leftarrow \mathcal{E}(k, z^{|m|})$ 
 $C[i] \leftarrow c$ 
 $S[i] \leftarrow z^{|m|}$ 
return  $c$ 

```

Oracle VF

```

Input:  $c \in \mathcal{C}$ 
 $j \leftarrow j + 1$ 
 $m \leftarrow \mathcal{D}(k, c)$ 
if  $j > i \vee m \neq S[j]$  then
   $\text{sync}_1 \leftarrow 0$ 
if  $j > i \vee c \neq C[j]$  then
   $\text{sync}_2 \leftarrow 0$ 
if  $m \neq \perp \wedge \text{sync}_1 = 1 \wedge \text{sync}_2 = 0$  then
   $\text{win}_2 \leftarrow 1$ 
   $d \leftarrow m(1)$ 
return ( $m \neq \perp$ )

```

Finalization

```

return ( $d = z$ )

```

Figure 14: The bit-guessing game \mathbf{C}_3 . Observe that in comparison to \mathbf{C}_2 , the adversary has a fifty percent chance of winning the game without managing to set the win_2 flag.

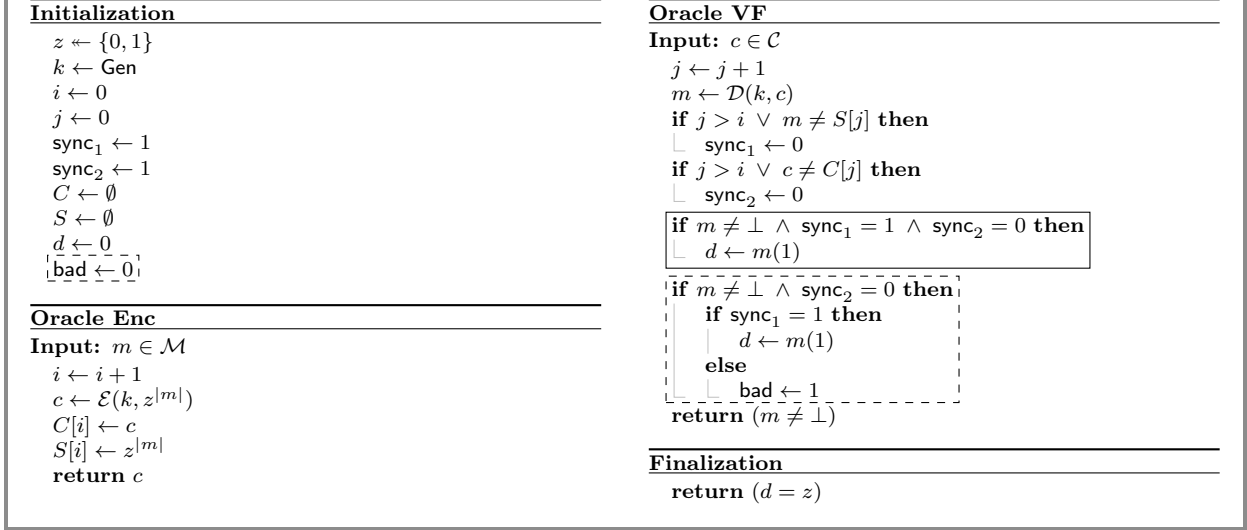


Figure 15: The game C_4 , that introduces the `bad` flag. It behaves equivalent to C_3 , however, since `bad` is an internal variable only.

C_4 The game C_4 , as depicted in Figure 15, corresponds to C_3 with a `bad` flag introduced. The two games behave obviously equivalent.

C_5 The game C_5 is depicted in Figure 16 and is *identical until bad* to C_5 . Hence, by the Fundamental Lemma of game-playing we have

$$\Pr[\mathcal{A}^{C_4} \Rightarrow 1] \leq \Pr[\mathcal{A}^{C_5} \Rightarrow 1] + \Pr[\mathcal{A}^{C_4} \text{ sets bad}]. \quad (7)$$

We defer bounding the probability of `bad` being set to the end of the proof and continue bounding $\Pr[\mathcal{A}^{C_5} \Rightarrow 1]$.

C_6 The game C_6 , as depicted in Figure 17, is a version of C_5 with the internal `bad` flag removed. This, in addition, allows removing all code related to the `sync1` flag without altering the behavior.

C_7 The game C_7 is depicted in Figure 18. First, compared to C_6 the **Enc**-oracle has slightly been rewritten without modifying the behavior. Then, in the **VF**-oracle, in case of `sync2 = 1` we no longer return $(m \neq \perp)$ but true. Since `sync2 = 1` implies $c = C[j]$, however, we have by correctness that $m \in \mathcal{M}$ and thus $m \neq \perp$. Moreover, if `sync2 = 1`, we then reset m to \perp without affecting the behavior. Hence, C_7 and C_6 behave equivalently.

Now, observe that C_7 can be easily reduced to IND-sfCCA_Ψ , as shown in Figure 19. For every adversary \mathcal{A} against C_7 we can build \mathcal{A}_2 against IND-sfCCA_Ψ that works as follows: it internally runs \mathcal{A} and for every query m of the **Enc** oracle it queries the **LR** oracle of IND-sfCCA_Ψ with $m_0 = 0^{|m|}$ and $m_1 = 1^{|m|}$. In addition, \mathcal{A}_2 keeps track whether \mathcal{A} is still in sync, so that on a query c to the **VF** oracle by \mathcal{A} it queries the decryption oracle on c and then replies correctly to \mathcal{A} . Moreover, once it detects that \mathcal{A} is out of sync and the ciphertext decrypted to a valid ciphertext, it uses the first bit of the decrypted message as the guess of z . It is now easy to see that

$$\Pr[\mathcal{A}^{C_7} \Rightarrow 1] = \Pr[\mathcal{A}_2^{\text{IND-sfCCA}_\Psi} \Rightarrow 1]. \quad (8)$$

C_4 and C_5

Initialization

```

 $z \leftarrow \{0, 1\}$ 
 $k \leftarrow \text{Gen}$ 
 $i \leftarrow 0$ 
 $j \leftarrow 0$ 
 $\text{sync}_1 \leftarrow 1$ 
 $\text{sync}_2 \leftarrow 1$ 
 $C \leftarrow \emptyset$ 
 $S \leftarrow \emptyset$ 
 $d \leftarrow 0$ 
 $\text{bad} \leftarrow 0$ 

```

Oracle Enc

```

Input:  $m \in \mathcal{M}$ 
 $i \leftarrow i + 1$ 
 $c \leftarrow \mathcal{E}(k, z^{|m|})$ 
 $C[i] \leftarrow c$ 
 $S[i] \leftarrow z^{|m|}$ 
return  $c$ 

```

Oracle VF

```

Input:  $c \in \mathcal{C}$ 
 $j \leftarrow j + 1$ 
 $m \leftarrow \mathcal{D}(k, c)$ 
if  $j > i \vee m \neq S[j]$  then
   $\text{sync}_1 \leftarrow 0$ 
if  $j > i \vee c \neq C[j]$  then
   $\text{sync}_2 \leftarrow 0$ 
if  $m \neq \perp \wedge \text{sync}_2 = 0$  then
  if  $\text{sync}_1 = 1$  then
     $d \leftarrow m(1)$ 
  else
     $\text{bad} \leftarrow 1$ 
     $d \leftarrow m(1)$ 
return  $(m \neq \perp)$ 

```

Finalization

```

return  $(d = z)$ 

```

Figure 16: The game C_4 that is *identical until bad* to the game C_5 .

C_5 and C_6

Initialization

```

 $z \leftarrow \{0, 1\}$ 
 $k \leftarrow \text{Gen}$ 
 $i \leftarrow 0$ 
 $j \leftarrow 0$ 
 $\text{sync}_1 \leftarrow 1$ 
 $\text{sync}_2 \leftarrow 1$ 
 $C \leftarrow \emptyset$ 
 $S \leftarrow \emptyset$ 
 $d \leftarrow 0$ 
 $\text{bad} \leftarrow 0$ 

```

Oracle Enc

```

Input:  $m \in \mathcal{M}$ 
 $i \leftarrow i + 1$ 
 $c \leftarrow \mathcal{E}(k, z^{|m|})$ 
 $C[i] \leftarrow c$ 
 $S[i] \leftarrow z^{|m|}$ 
return  $c$ 

```

Oracle VF

```

Input:  $c \in \mathcal{C}$ 
 $j \leftarrow j + 1$ 
 $m \leftarrow \mathcal{D}(k, c)$ 
if  $j > i \vee m \neq S[j]$  then
   $\text{sync}_1 \leftarrow 0$ 
if  $j > i \vee c \neq C[j]$  then
   $\text{sync}_2 \leftarrow 0$ 
if  $m \neq \perp \wedge \text{sync}_2 = 0$  then
  if  $\text{sync}_1 = 1$  then
     $d \leftarrow m(1)$ 
  else
     $\text{bad} \leftarrow 1$ 
     $d \leftarrow m(1)$ 
   $d \leftarrow m(1)$ 
return  $(m \neq \perp)$ 

```

Finalization

```

return  $(d = z)$ 

```

Figure 17: The game C_6 . Since bad is an internal variable only, removing this flag and all then unused code related to setting it does not affect the behavior.

C₆ and **C₇**

<hr/> <p>Initialization</p> $z \leftarrow \{0, 1\}$ $k \leftarrow \text{Gen}$ $i \leftarrow 0$ $j \leftarrow 0$ $\text{sync}_2 \leftarrow 1$ $C \leftarrow \emptyset$ $d \leftarrow 0$ <hr/> <p>Oracle Enc</p> <p>Input: $m \in \mathcal{M}$</p> $i \leftarrow i + 1$ <div style="border: 1px solid black; padding: 2px; display: inline-block;">$c \leftarrow \mathcal{E}(k, z^{ m })$</div> <div style="border: 1px dashed black; padding: 2px; display: inline-block; margin-top: 2px;">$m_0 \leftarrow 0^{ m }$</div> <div style="border: 1px dashed black; padding: 2px; display: inline-block; margin-top: 2px;">$m_1 \leftarrow 1^{ m }$</div> <div style="border: 1px dashed black; padding: 2px; display: inline-block; margin-top: 2px;">$c \leftarrow \mathcal{E}(k, m_z)$</div> $C[i] \leftarrow c$ <p>return c</p>	<hr/> <p>Oracle VF</p> <p>Input: $c \in \mathcal{C}$</p> $j \leftarrow j + 1$ $m \leftarrow \mathcal{D}(k, c)$ <p>if $j > i \vee c \neq C[j]$ then</p> <div style="border: 1px dashed black; padding: 2px; display: inline-block;">$\text{sync}_2 \leftarrow 0$</div> <p>if $\text{sync}_2 = 0$ then</p> <div style="border: 1px dashed black; padding: 2px; display: inline-block;">$m \leftarrow m$</div> <p>else</p> <div style="border: 1px dashed black; padding: 2px; display: inline-block;">$m \leftarrow \perp$</div> <p>if $m \neq \perp \wedge \text{sync}_2 = 0$ then</p> <div style="border: 1px dashed black; padding: 2px; display: inline-block;">$d \leftarrow m(1)$</div> <div style="border: 1px solid black; padding: 2px; display: inline-block; margin-top: 2px;">return $(m \neq \perp)$</div> <div style="border: 1px dashed black; padding: 2px; display: inline-block; margin-top: 2px;">if $\text{sync}_2 = 0$ then</div> <div style="border: 1px dashed black; padding: 2px; display: inline-block; margin-left: 10px;">return $(m \neq \perp)$</div> <p>else</p> <div style="border: 1px dashed black; padding: 2px; display: inline-block;">return 1</div> <hr/> <p>Finalization</p> <p>return $(d = z)$</p>
---	--

Figure 18: The game **C₇**. Observe that in the **VF** oracle, if $\text{sync}_2 = 1$, then we have $c = C[j]$, which by correctness in turn implies that the cyphertext decrypts to the original message that is not equal to \perp . Moreover, if $\text{sync}_2 = 1$, then m is unused for the rest of the oracle call.

C₇

<hr/> <p>Initialization</p> <div style="border: 1px solid blue; padding: 2px; display: inline-block;">$z \leftarrow \{0, 1\}$</div> <div style="border: 1px solid blue; padding: 2px; display: inline-block; margin-top: 2px;">$k \leftarrow \text{Gen}$</div> $i \leftarrow 0$ $j \leftarrow 0$ $\text{sync}_2 \leftarrow 1$ $C \leftarrow \emptyset$ <div style="border: 1px dashed green; padding: 2px; display: inline-block; margin-top: 2px;">$d \leftarrow 0$</div> <hr/> <p>Oracle Enc</p> <p>Input: $m \in \mathcal{M}$</p> $i \leftarrow i + 1$ <div style="border: 1px dashed green; padding: 2px; display: inline-block; margin-top: 2px;">$m_0 \leftarrow 0^{ m }$</div> <div style="border: 1px dashed green; padding: 2px; display: inline-block; margin-top: 2px;">$m_1 \leftarrow 1^{ m }$</div> <div style="border: 1px solid blue; padding: 2px; display: inline-block; margin-top: 2px;">$c \leftarrow \mathcal{E}(k, m_z)$</div> $C[i] \leftarrow c$ <p>return c</p>	<hr/> <p>Oracle VF</p> <p>Input: $c \in \mathcal{C}$</p> $j \leftarrow j + 1$ <div style="border: 1px solid blue; padding: 2px; display: inline-block;">$m \leftarrow \mathcal{D}(k, c)$</div> <p>if $j > i \vee c \neq C[j]$ then</p> <div style="border: 1px dashed black; padding: 2px; display: inline-block;">$\text{sync}_2 \leftarrow 0$</div> <p>if $\text{sync}_2 = 0$ then</p> <div style="border: 1px solid blue; padding: 2px; display: inline-block;">$m \leftarrow m$</div> <p>else</p> <div style="border: 1px solid blue; padding: 2px; display: inline-block;">$m \leftarrow \perp$</div> <div style="border: 1px dashed green; padding: 2px; display: inline-block; margin-top: 2px;">if $m \neq \perp \wedge \text{sync}_2 = 0$ then</div> <div style="border: 1px dashed green; padding: 2px; display: inline-block; margin-left: 10px;">$d \leftarrow m(1)$</div> <div style="border: 1px dashed green; padding: 2px; display: inline-block; margin-top: 2px;">if $\text{sync}_2 = 0$ then</div> <div style="border: 1px dashed green; padding: 2px; display: inline-block; margin-left: 10px;">return $(m \neq \perp)$</div> <p>else</p> <div style="border: 1px dashed green; padding: 2px; display: inline-block;">return 1</div> <hr/> <p>Finalization</p> <p>return $(d = z)$</p>
---	--

Figure 19: The reduction from **C₇** to **IND-sfCCA_Ψ**. The lines with the blue shade and the solid border belong to the **IND-sfCCA_Ψ** game, whereas the green shaded ones with the dashed border belong to the reductions. The uncolored lines are for bookkeeping that is replicated in both the **IND-sfCCA_Ψ** game as well as the reduction.

Putting all together – especially (6), (7), and (8) – we obtain

$$\begin{aligned}
\Pr[\mathcal{A}^{\mathbf{C}_0} \Rightarrow 1] &= \Pr[\mathcal{A}^{\mathbf{C}_1} \Rightarrow 1] \\
&= \Pr[\mathcal{A}^{\mathbf{C}_2} \Rightarrow 1] \\
&= 2\left(\Pr[\mathcal{A}^{\mathbf{C}_3} \Rightarrow 1] - \frac{1}{2}\right) \\
&= 2\left(\Pr[\mathcal{A}^{\mathbf{C}_4} \Rightarrow 1] - \frac{1}{2}\right) \\
&\leq 2\left(\Pr[\mathcal{A}^{\mathbf{C}_5} \Rightarrow 1] + \Pr[\mathcal{A}^{\mathbf{C}_4} \text{ sets bad}] - \frac{1}{2}\right) \\
&= 2\left(\Pr[\mathcal{A}^{\mathbf{C}_6} \Rightarrow 1] + \Pr[\mathcal{A}^{\mathbf{C}_4} \text{ sets bad}] - \frac{1}{2}\right) \\
&= 2\left(\Pr[\mathcal{A}^{\mathbf{C}_7} \Rightarrow 1] + \Pr[\mathcal{A}^{\mathbf{C}_4} \text{ sets bad}] - \frac{1}{2}\right) \\
&= 2\left(\Pr[\mathcal{A}_2^{\text{IND-sfCCA}_\Psi} \Rightarrow 1] + \Pr[\mathcal{A}^{\mathbf{C}_4} \text{ sets bad}] - \frac{1}{2}\right) \\
&= \text{Adv}_{\Psi, \mathcal{A}_2}^{\text{IND-sfCCA}} + 2\Pr[\mathcal{A}^{\mathbf{C}_4} \text{ sets bad}].
\end{aligned} \tag{9}$$

It remains to bound $\Pr[\mathcal{A}^{\mathbf{C}_4} \text{ sets bad}]$. To this end, consider the game \mathbf{B}_0 , depicted in Figure 20, which is identical to \mathbf{C}_4 except that the winning condition is no longer win being set, but bad being set. Hence, by definition we have

$$\Pr[\mathcal{A}^{\mathbf{C}_4} \text{ sets bad}] = \Pr[\mathcal{A}^{\mathbf{B}_0} \Rightarrow 1],$$

and moreover, it is easy to see that both \mathbf{B}_1 and \mathbf{B}_2 behaves equivalently as well, as seen in Figures 20 and 21. Finally, observe that \mathbf{B}_2 is almost identical to the game \mathbf{P}_1 defined above, as shown in Figure 22. Thus, using (5) we obtain

$$\Pr[\mathcal{A}^{\mathbf{C}_4} \text{ sets bad}] = \Pr[\mathcal{A}_3^{\text{INT-sfP'XT}_\Psi} \Rightarrow 1]. \tag{10}$$

Combining (1), (3), (4), (5), (9), and (10) concludes the proof.

B₀ and **B₁****Initialization**

```

 $z \leftarrow \{0, 1\}$ 
 $k \leftarrow \text{Gen}$ 
 $i \leftarrow 0$ 
 $j \leftarrow 0$ 
 $\text{sync}_1 \leftarrow 1$ 
 $\text{sync}_2 \leftarrow 1$ 
 $C \leftarrow \emptyset$ 
 $S \leftarrow \emptyset$ 
 $d \leftarrow 0$ 
 $\text{bad} \leftarrow 0$ 

```

Oracle Enc

```

Input:  $m \in \mathcal{M}$ 
 $i \leftarrow i + 1$ 
 $c \leftarrow \mathcal{E}(k, z^{|m|})$ 
 $C[i] \leftarrow c$ 
 $S[i] \leftarrow z^{|m|}$ 
return  $c$ 

```

Oracle VF

```

Input:  $c \in \mathcal{C}$ 
 $j \leftarrow j + 1$ 
 $m \leftarrow \mathcal{D}(k, c)$ 
if  $j > i \vee m \neq S[j]$  then
   $\text{sync}_1 \leftarrow 0$ 
if  $j > i \vee c \neq C[j]$  then
   $\text{sync}_2 \leftarrow 0$ 
if  $m \neq \perp \wedge \text{sync}_2 = 0$  then
  if  $\text{sync}_1 = 1$  then
     $d \leftarrow m(1)$ 
  else
     $\text{bad} \leftarrow 1$ 
  if  $\text{sync}_1 = 0$  then
     $\text{bad} \leftarrow 1$ 
return ( $m \neq \perp$ )

```

Finalization

```

return  $\text{bad}$ 

```

Figure 20: The games **B₀** and **B₁**. The former is identical to **C₄** except that in the finalization now the bad flag gets checked. Moreover, **B₁** behaves equivalent to **B₀**, since d is unused.

B₁ and **B₂****Initialization**

```

 $z \leftarrow \{0, 1\}$ 
 $k \leftarrow \text{Gen}$ 
 $i \leftarrow 0$ 
 $j \leftarrow 0$ 
 $\text{sync}_1 \leftarrow 1$ 
 $\text{sync}_2 \leftarrow 1$ 
 $C \leftarrow \emptyset$ 
 $S \leftarrow \emptyset$ 
 $\text{bad} \leftarrow 0$ 

```

Oracle Enc

```

Input:  $m \in \mathcal{M}$ 
 $i \leftarrow i + 1$ 
 $c \leftarrow \mathcal{E}(k, z^{|m|})$ 
 $C[i] \leftarrow c$ 
 $S[i] \leftarrow z^{|m|}$ 
return  $c$ 

```

Oracle VF

```

Input:  $c \in \mathcal{C}$ 
 $j \leftarrow j + 1$ 
 $m \leftarrow \mathcal{D}(k, c)$ 
if  $j > i \vee m \neq S[j]$  then
   $\text{sync}_1 \leftarrow 0$ 
if  $j > i \vee c \neq C[j]$  then
   $\text{sync}_2 \leftarrow 0$ 
if  $m \neq \perp \wedge \text{sync}_2 = 0$  then
  if  $\text{sync}_1 = 0$  then
     $\text{bad} \leftarrow 1$ 
if  $m \neq \perp \wedge \text{sync}_1 = 0$  then
   $\text{bad} \leftarrow 1$ 
return ( $m \neq \perp$ )

```

Finalization

```

return  $\text{bad}$ 

```

Figure 21: The game **P₂**. Note that by correctness $\text{sync}_1 = 0$ implies $\text{sync}_2 = 0$, and thus removing the former check does not change the behavior.

B₂ and **P₁****Initialization**

```
z ← {0, 1}
k ← Gen
i ← 0
j ← 0
sync1 ← 1
S ← ∅
bad ← 0
win1 ← 0
```

Oracle Enc

Input: $m \in \mathcal{M}$

```
i ← i + 1
c ← E(k, z|m|)
S[i] ← z|m|
m' ← z|m|
c ← E(k, m')
S[i] ← m'
return c
```

Oracle VF

Input: $c \in \mathcal{C}$

```
j ← j + 1
m ← D(k, c)
if j > i ∨ m ≠ S[j] then
  sync1 ← 0
if m ≠ ⊥ ∧ sync1 = 0 then
  bad ← 1
  win1 ← 1
return (m ≠ ⊥)
```

Finalization

```
return bad
return win1
```

Figure 22: It is easy to verify that **B₂** is equivalent to the game **P₁** that has already been defined above.

References

- [BKN04] M. Bellare, T. Kohno, and C. Namprempe, “Breaking and provably repairing the SSH authenticated encryption scheme”, *ACM Transactions on Information and System Security*, vol. 7, no. 2, pp. 206–241, 2004. DOI: [10.1145/996943.996945](https://doi.org/10.1145/996943.996945). [Online]. Available: <https://eprint.iacr.org/2002/078.pdf>.
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