

# Towards Practical Lattice-Based One-Time Linkable Ring Signatures

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**Abstract.** Ring signatures, as introduced by Rivest, Shamir, and Tauman (Asiacrypt '01), allow to generate a signature for a message on behalf of an ad-hoc set of parties. To sign a message, only the public keys must be known and these can be generated independently. It is furthermore not possible to identify the actual signer based on the signature. Ring signatures have recently gained attention due to their applicability in the construction of practical anonymous cryptocurrencies, where they are used to secure transactions while hiding the identity of the actual spender. To be applicable in that setting, ring signatures must allow to determine when a party signed multiple transactions, which is done using a property called linkability.

This work presents a linkable ring signature scheme constructed from a lattice-based collision-resistant hash function. We follow the idea of existing schemes which are secure based on the hardness of the discrete logarithm problem, but adapt and optimize ours to the lattice setting. In comparison to other designs for (lattice-based) linkable ring signatures, our approach avoids the standard solution for achieving linkability, which involves proofs about correct evaluation of a pseudorandom function using heavy zero-knowledge machinery.

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## 1 Introduction

Digital signatures are one of the most important concepts in the area of cryptography. They permit a party to generate a key pair  $(SK, PK)$ , give  $PK$  to the public and add certain information  $\Omega$  - called the signature - to a message  $m$ .  $\Omega$  is derived using the private (or signing) key  $SK$  and later allows a verifier, equipped with the public verification key  $PK$ , to attest that the signer indeed generated  $\Omega$  for this specific message  $m$ . Verification is done in a way such that only a party who possesses certain secret information that only the signer has, namely the secret signing key  $SK$ , can generate a valid signature for  $PK$ .

Ring signatures, which were first suggested by Rivest, Shamir, and Tauman [40], relax the condition of having exactly one pair  $(SK, PK)$  for signing and verification to a certain extent. They allow a party among a set of  $N$  participants to sign a message on behalf of all of them. Here it is crucial that the verifier cannot identify the party that signed the message, while nobody outside of the  $N$  participants should be able to sign a message as if he was a participant himself. In comparison to group signatures, the set of parties does not need to be known ahead of time, but only when the signature is generated. Therefore, no key-generation algorithm which generates correlated randomness for all  $N$  parties needs to be involved and the rings can be set up ad-hoc<sup>4</sup>.

For such a ring signature, each signer could issue an arbitrary number of signatures. Fujisaki and Suzuki introduced the notion of traceable ring signatures [17], where the signer signs a message with respect to a list of ring members and a public issue such as an election. There is a public procedure to determine whether two signatures come from one signer, i.e., the signer is linked if a signer signs the same message with respect to the same list of ring member and same issue twice [16]. A related idea is so-called *linkable ring signatures*, in which case the true signer will be linked when he signs two messages (different or identical) with respect to the same ring. In a more restricted version of linkable ring signatures, *one-time linkable ring signatures*, a signer is linked as soon as he reveals two signatures. This property has proven to be vital in the construction of cryptocurrencies, such as to prevent double spending attacks and to preserve the anonymity of a spender since the address or the respective secret key in the design of the anonymous cryptocurrency is supposed to be one-time [37].

### 1.1 Related Work

*Lattice-based Signature Schemes.* The line of work on lattice-based signature schemes was, to the best of our knowledge, initiated by Goldreich et al. [19], while the first practical construction was based on NTRU [22]. A scheme that fits into this line of work is the provably secure construction due to Gentry et al., also called hash-and-sign [18]. This approach, where the signing key is a secret trapdoor which is used to sample a short lattice vector, was further developed in

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<sup>4</sup> We relax this a bit and assume that there exists a CRS which is known to all parties and which allows them to derive their respective key pairs  $(SK, PK)$ .

[9, 15]. A different direction, called Fiat Shamir with Aborts, was first explored by Lyubashevsky [29, 28]. Very efficient signature schemes such as Tesla [21] and Dilithium [14] have been designed within this framework.

*(Linkable) Ring Signature Schemes.* There exists a wealth of literature on ring signature and linkable ring signature schemes such as [40, 16, 27, 6, 17] and we only list some of the relevant works here. However, the above mentioned signature schemes have a signature size that is linearly dependent on the number of users  $N$  in the ring. The Groth-Kohlweiss framework [20] is based on homomorphic commitments and provides a ring signature scheme with a logarithmic signature size. Franklin and Zhang [16] propose a general framework for linkable ring signatures. They extend the “PRF made public” paradigm by Bellare and Goldwasser [5] in order to provide linkability by combining a PRF evaluation of the secret key with a NIZK proof of correct evaluation. The smallest ring signatures to date have constant signature size and are based on accumulators. The construction by Dodis et al. [13] uses accumulators based on the strong RSA assumption, while Nguyen’s [36] relies on pairing-based cryptography. There exists also a linkable version of [13] by Tsang and Wei [42] that retains the constant-sized signatures. There exist candidates for post-quantum ring signature schemes such as hash-based [12, 23] or multi-variate-quadratic-equation based constructions [35]. Neither of them provide linkability in their current form, but they can potentially be extended to do so.

*Lattice-based Ring Signature Schemes.* Lattice-based ring signatures were first introduced explicitly through the work of Brakerski and Tauman-Kalai [10] who proposed a general framework for ring signatures in the standard model and showed how to instantiate it based on the SIS assumption. The resulting signatures have size  $O(mN)$  for message length  $m$  and ring size  $N$ . Subsequently, Wang and Sun [43] proposed two ring signatures schemes from the SIS assumption in the random oracle and standard model, respectively, both of linear signature size. The first ring signature scheme based on the LWE assumption was proposed by Melchor et al. [33] and is an extension of [28] to the ring signature setting. Like the previous schemes, it yields signatures of linear size. Recently, Libert et al. [25] proposed the first lattice-based ring signature scheme with only logarithmic signature size using a Merkle-tree based construction.

*Concurrent Work.* In concurrent work, Torres et al. [41] present a construction that is very similar to ours. When comparing the actual parameters of both, we have a larger size of the public keys, but compare favorably in the signature size.

## 1.2 Our Contribution

We present a lattice-based linkable ring signature scheme based on the Module-SIS and Module-LWE problem. Our scheme has a signature size which is linear in  $N$ . It is therefore asymptotically less efficient than e.g. [25, 12, 23]. However, we show that in terms of signature size our construction outperforms or performs

as good as [25, 12] for comparable security levels for ring sizes  $N \lesssim 128$  and beats [23] for rings of small size. A comparison can be found in Table 1 below.

	[25]	[12] Sponge/Davies-Meyer	[23]	Our work
Size of PK	0.5 KB	32 B	32 B	8 KB
Size ( $N = 8$ )	1.44 MB	766/477 KB	148 KB	82.5 KB
Size ( $N = 32$ )	2.29 MB	1200/719 KB	216 KB	305.7 KB
Size ( $N = 128$ )	3.14 MB	1.59/0.94 MB	285 KB	1.17 MB

**Table 1.** Comparison with existing work

The authors of [12] present two different, highly optimized constructions of ring signatures in their work. We mention numbers for both to allow for fair comparison (outperforming one of the two for  $N = 128$ ). We want to stress that using known techniques [14] and by choosing parameters more aggressively it is possible to reduce the public key and signature size in our setting further, but such optimizations are beyond the scope of this work. Furthermore, [12, 25, 23] are not linkable in their current form, so one can expect a further increase in their proof size to compute a linkability tag. Though our work only outperforms [23] for small ( $N \leq 20$ ) ring sizes, this is exactly the range that cryptocurrencies need: the recommended ring size of the most popular cryptocurrency using linkable ring signatures, Monero, at the time of writing was  $N = 5$ . As mentioned before, using [14], would make it possible to reduce the ring signature size further to also outperform [23] for  $N \lesssim 64$ .

### 1.3 Technical Overview

As mentioned before, the standard approach for transforming a ring signature scheme into a linkable ring signature scheme, following Franklin and Zhang [16], is to add a PRF evaluation of the signer’s secret key to the signature, as well as a zero-knowledge proof of correct evaluation of the PRF under one of the secret keys corresponding to the public keys. This generic approach applies to any ring signature scheme and was explored for lattice-based PRFs in [26, 25, 44]. However, such proofs come with quite a substantial overhead. Our construction instead follows the approach of Liu et al. [27] that avoids this technique. The main observation is that the signer in their scheme has two “public” keys: One that is published before signature generation as part of the ring of signers, and the other one that is appended to each signature. Hence, another “public key” under different public parameters that corresponds to the signer’s secret signing key can be used as linkability tag. Since both kinds of public keys share the same algebraic structure, the two “public keys” of the signer, i.e. the actual public key and the linkability tag, can be tied together without appending another non-interactive zero-knowledge proof to the signature.

Since our construction will be based on the (Module-)SIS and (Module-)LWE problem, the public keys of the parties are of the form  $PK = \mathbf{A}\mathbf{r}$  for secret key  $\mathbf{r}$  and public matrix  $\mathbf{A}$ . Linkability will be ensured by providing linkability tags  $I = \mathbf{B}\mathbf{r}$  for another public matrix  $\mathbf{B}$ . Interestingly, the reason why our construction achieves only one-time linkability is inherent in this approach: any evaluation  $\mathbf{B}\mathbf{r}$  leaks information about  $\mathbf{r}$ . If a fresh matrix  $\mathbf{B}$  is generated for each ring, then a malicious party can receive more leakage on  $\mathbf{r}$  than intended and hence may be able to recover the signer’s secret key.

In order to obtain more efficient lattice-based (linkable) ring signatures, it may be tempting to try to instantiate current sublinear-size ring signatures in the lattice setting. Note, however, that this is far from trivial, as these solutions are specifically tailored to a certain assumption like Dodis et al.’s accumulator-based ring signatures [13], or suffer from the well-known problem that hard lattice assumptions do not provide enough algebraic structure to support existing sublinear approaches based on homomorphic operations like that of Groth and Kohlweiss [20].

## Paper Organization

In Section 2 we will introduce some definitions and lemmas concerning lattice-based constructions which we will need throughout this work. Moreover, we will give definitions for linkable ring signatures (following previous work). Section 3 contains the construction and security statements. The main parts of the proofs are deferred to Appendix A, whereas we discuss the practicality of our scheme in Section 4. In this Section, we also provide a sample parameter set for our construction together with estimates for the size of signatures.

## 2 Preliminaries

We will use  $[N]$  as shorthand for the set  $\{1, \dots, N\}$ . Let  $R$  be the cyclotomic ring  $R = \mathbb{Z}[X]/\langle X^\nu + 1 \rangle$ , where  $\nu = 2^p$  and  $p \in \mathbb{N}^+$ . Let  $q$  be an odd prime and define  $R_q = \mathbb{Z}_q[X]/\langle X^\nu + 1 \rangle$ . Here  $\mathbb{Z}_q$  denotes the integers modulo  $q$ , which will be represented as elements from the interval  $[-\frac{q-1}{2}, \frac{q-1}{2}]$ . For  $f = \sum_i f_i X^i \in R$ , the norms of  $f$  are defined as

$$l_1 : \|f\|_1 = \sum_i |f_i|, \quad l_2 : \|f\|_2 = \left( \sum_i |f_i|^2 \right)^{1/2}, \quad l_\infty : \|f\|_\infty = \max_i |f_i|.$$

If  $f \in R_q$ , then we will represent each coset from  $\mathbb{Z}_q$  with its unique representative from the aforementioned interval and consider the norm of the obtained  $\mathbb{Z}$ -vector. Let  $S_\beta$  denote the set of elements  $x \in R$  with  $l_\infty$ -norm at most  $\beta$ . Let  $\mathbf{0}_v \in \mathbb{Z}^{v \times v}$  and  $\mathbf{I}_v \in \mathbb{Z}^{v \times v}$  denote the zero and identity matrix over  $\mathbb{Z}$ .

*Remark 1.* We use the following standard relations among different  $l$ -norms of a vector in  $R$  as defined above:

1. If  $f, g \in R$  such that  $\|f\|_\infty \leq \beta$ ,  $\|g\|_1 \leq \gamma$ , then  $\|fg\|_\infty \leq \beta\gamma$ .

2. If  $f \in R$ ,  $g \in R^\nu$  satisfy that  $\|f\|_2 \leq \beta$ ,  $\|g\|_\infty \leq \gamma$ , then  $\|fg\|_2 \leq \sqrt{v\nu}\beta\gamma$ .

We require a subset  $D$  of  $R_q$  which consists of short invertible elements such that the difference of any two distinct elements from this set is also invertible. It was shown in [32] that as long as  $q$  is a prime that satisfies  $q \equiv 17 \pmod{32}$  and  $q > 2^{20}$ , then the set  $D = \{d \in R_q \mid \|d\|_\infty \leq 1, \|d\|_1 \leq \kappa\}$  satisfies this requirement. We use  $\bar{D}$  to denote the set of values  $D + D$  excluding 0.

## 2.1 Normal Distribution and Rejection Sampling

The continuous normal distribution over  $\mathbb{R}^\nu$  centered at  $\mathbf{u} \in \mathbb{R}^\nu$  with standard deviation  $\sigma$  has probability density function

$$\rho_{\mathbf{u},\sigma}^\nu(\mathbf{x}) = \frac{1}{\sqrt{2\pi}\sigma} \cdot \exp\left(\frac{-\|\mathbf{x} - \mathbf{u}\|_2^2}{2\sigma^2}\right)$$

The *discrete normal distribution* over  $R^\nu$  centered at  $\mathbf{u} \in R^\nu$  with standard deviation  $\sigma$  is given by the distribution function (for all  $\mathbf{x} \in R^\nu$ )

$$\mathcal{N}_{\mathbf{u},\sigma}(\mathbf{x}) = \rho_{\mathbf{u},\sigma}^{\nu,\nu}(\mathbf{x}) / \rho_\sigma^{\nu,\nu}(R^\nu),$$

where we omit the subscript  $\mathbf{u}$  when it is zero. We use the following standard tail-bound due to Banaszczyk:

**Lemma 1.** *Let  $\mathcal{N}_{\mathbf{u},\sigma}$  be defined as above. Then*

$$\Pr[\|\mathbf{z}\|_2 > 2\sigma\sqrt{v\nu} \mid \mathbf{z} \leftarrow \mathcal{N}_\sigma^v] < 2^{-v\nu}$$

For our ring signature scheme, we use rejection sampling to hide the secret signing key. The basic idea of rejection sampling is to abort the protocol with a certain probability such that the distribution of the response is independent of the secret input. We adopt the rejection sampling lemma from [29]:

**Lemma 2.** *Let  $V$  be a subset of  $R^\nu$  in such that all elements have  $\|\cdot\|_2$ -norms less than  $T$ ,  $\sigma \in \mathbb{R}$  such that  $\sigma = \omega(T\sqrt{\log(v\nu)})$ , and  $h : V \rightarrow \mathbb{R}$  be a probability distribution. Then there exists an  $M = O(1)$  such that the output distribution of the following two algorithms  $\mathcal{A}$ ,  $\mathcal{S}$  is within statistical distance  $2^{-\omega(\log(v\nu))}/M$ :*

$\mathcal{A}$ :

1.  $\mathbf{u} \leftarrow h$
2.  $\mathbf{z} \leftarrow \mathcal{N}_{\mathbf{u},\sigma}^v$
3. output  $(\mathbf{u}, \mathbf{z})$  with probability  $\min\left(\frac{1}{M} \frac{\mathcal{N}_\sigma^v(\mathbf{z})}{\mathcal{N}_{\mathbf{u},\sigma}^v(\mathbf{z})}, 1\right)$

$\mathcal{S}$ :

1.  $\mathbf{u} \leftarrow h$
2.  $\mathbf{z} \leftarrow \mathcal{N}_\sigma^v$
3. output  $(\mathbf{u}, \mathbf{z})$  with probability  $1/M$

Moreover, the probability that  $\mathcal{A}$  outputs a value is at least  $\frac{1-2^{-\omega(\log(v\nu))}}{M}$ .

In [29], the author remarks that if  $\sigma = \alpha T$ ,  $\alpha > 0$  and  $M = \exp(12/\alpha + 1/(2\alpha^2))$  then the output of both algorithms will be within statistical distance  $2^{-100}/M$  and  $\mathcal{A}$  will output a value with probability at least  $\frac{1 - 2^{-100}}{M}$ . As an example, assume that we want the signing algorithm to succeed in each iteration with probability  $1/3$ , i.e. we want to set  $M = 3$ . Then following the reasoning in [29], we can set  $\sigma = 11 \cdot T$ . This means that the output of the signing algorithm is indistinguishable from the simulator except with probability  $\approx 2^{-98}$ , which we deem sufficient for our application.

## 2.2 Module-SIS and Module-LWE

The security of our linkable ring signature scheme will be based on the hardness of two problems, Module-SIS and Module-LWE [24]. These problems are variants of the well-known SIS [1] and LWE [39] problems, but over modules that are defined over polynomial rings. This is a generalized version of the Ring-SIS and Ring-LWE problems [31, 30, 38]. Using Module-lattice assumptions comes with two advantages: (i) while they are a generalization of ideal-lattice assumptions, they still retain some structure which is necessary to construct a large space of short, invertible elements which is necessary for our construction; and (ii) there is evidence that module lattices of larger rank are less prone to certain attacks than ideal-lattices [8, 3].

The homogeneous Module-SIS problem consists of finding a vector  $\mathbf{r}$  of small norm such that  $\mathbf{A}\mathbf{r} = 0$  for a given, structured matrix  $\mathbf{A}$ .

**Definition 1.** (MSIS $_{h,v,t}$ ) *Given  $\mathbf{A} \leftarrow R_q^{h \times v}$ , find  $\mathbf{r} \in R^v$  such that  $\mathbf{A}\mathbf{r} = 0$  and  $0 < \|\mathbf{r}\|_2 \leq t$ .*

Our scheme also uses the Decisional Module-LWE problem. In D-MLWE, the problem consists of distinguishing noisy linear equations from random.

**Definition 2.** (D-MLWE $_{h,v,\beta}$ ) *Let  $\mathbf{A} \leftarrow R_q^{h \times v}$ . Then distinguish the distributions*

$$(\mathbf{A}, \mathbf{A}\mathbf{r}) \quad \text{and} \quad (\mathbf{A}, \mathbf{u})$$

where  $\mathbf{r} \leftarrow S_\beta^v$  and  $\mathbf{u} \leftarrow R_q^h$ .

Here, we use a special instance of the Module-LWE problem where the secret has the same distribution as the noise<sup>5</sup>.

If two samples (with different matrices, but same secret vector  $\mathbf{r}$ ) are issued by the challenger, then this can still be related to a D-MLWE instance but with different parameters, as the following proposition shows.

<sup>5</sup> This equivalent formulation is possible in our setting, as only one LWE sample will be issued per secret. The definition might seem unusual at first, as one regularly defines the LWE distribution as  $\mathbf{A}\mathbf{s}_1 + \mathbf{s}_2$ . We can use the following transformation, which is well-known: note that the given equation is equivalent to writing  $\mathbf{A}\mathbf{s}_1 + \mathbf{I}_h\mathbf{s}_2$  instead. By aligning this into a single matrix product of  $\mathbf{A}'$  with  $(\mathbf{s}_1|\mathbf{s}_2)$  and multiplying the resulting challenge with a uniformly random  $r \in R_q$ , we obtain Definition 2.

**Proposition 1.** Let  $\mathbf{A}, \mathbf{B} \leftarrow R_q^{h \times v}$ ,  $\mathbf{r} \leftarrow S_\beta^v$  and  $\mathbf{c}, \mathbf{d} \leftarrow R_q^h$ . Then

$$(\mathbf{A}, \mathbf{A}\mathbf{r}, \mathbf{B}, \mathbf{B}\mathbf{r}) \approx_c (\mathbf{A}, \mathbf{c}, \mathbf{B}, \mathbf{d})$$

given the D-MLWE $_{2h,v,\beta}$ -problem is hard.

*Proof.* Consider the matrices  $\mathbf{E} = \begin{bmatrix} \mathbf{A} \\ \mathbf{B} \end{bmatrix}$ , and  $\mathbf{E}\mathbf{r} = \begin{bmatrix} \mathbf{A}\mathbf{r} \\ \mathbf{B}\mathbf{r} \end{bmatrix}$ . Then distinguishing the above distributions is equivalent to distinguishing

$$(\mathbf{E}, \mathbf{E}\mathbf{r}) \approx_c \left( \mathbf{E}, \begin{bmatrix} \mathbf{c} \\ \mathbf{d} \end{bmatrix} \right)$$

This is the definition of the D-MLWE $_{2h,v,\beta}$  problem. □

Our construction will moreover rely on a third problem, namely the Search Module-LWE problem. It can be seen as an inhomogeneous MSIS instance where the target is known to have a short preimage under  $\mathbf{A}$ .

**Definition 3.** (S-MLWE $_{h,v,\beta}$ ) Sample  $\mathbf{r} \leftarrow S_\beta^v$  uniformly at random. Given  $(\mathbf{A} \leftarrow R_q^{h \times v}, \mathbf{s} = \mathbf{A}\mathbf{r})$  find  $\mathbf{r}' \in R^v$  such that  $\mathbf{A}\mathbf{r}' = \mathbf{s}$  and  $0 < \|\mathbf{r}'\|_\infty \leq \beta$ .

Fixing  $h, v, \beta$  of an S-MLWE-instance, it is easy to see that any algorithm  $\mathcal{A}$  that solves S-MLWE-instances can also solve D-MLWE-instances with the same parameters in comparable time and with similar probability. For the converse direction, Langlois and Stehlé [24] showed that, for certain parameter sets, S-MLWE can be reduced to D-MLWE.

### 2.3 Linkable Ring Signatures

The formal syntax and security model of linkable ring signatures, sometimes also called linkable spontaneous anonymous group signatures, can be found in [27, 17]. Definitions of linkable ring signatures with adaptation to the cryptocurrency scenario can be found in [37]. Our definitions are in the spirit of [20, 27, 17].

**Definition 4 (Linkable Ring Signature).** A linkable ring signature scheme consists of five algorithms:

**Setup**( $1^\lambda$ ): Generates and outputs public parameters  $PP$  available to all users.

**KGen**( $PP$ ): Generates a public key  $PK$  and a private signing key  $SK$ .

**Sign** $_{PP, SK_\ell}$ ( $m, L$ ): Outputs a signature  $\Omega$  on the message  $m \in \{0, 1\}^*$  with respect to the ring  $L = (PK_1, \dots, PK_N)$ . Here,  $(PK_\ell, SK_\ell)$  is a valid key pair output by **KGen**( $PP$ ), and  $PK_\ell \in L$ .

**Vfy**( $m, L, \Omega$ ): Verifies a purported ring signature  $\Omega$  on a message  $m$  with respect to the ring of public keys  $L$ . It outputs a bit  $b \in \{0, 1\}$ .

**Link**( $m_1, m_2, \Omega_1, \Omega_2$ )<sup>6</sup>: Takes as inputs two messages  $m_1, m_2$  as well as two signatures  $\Omega_1$  and  $\Omega_2$  and outputs  $b \in \{0, 1\}$ .



The above algorithms form a linkable ring signature scheme if the following definitions of correctness, signer anonymity, linkability and exculpability are fulfilled.

**Definition 5 (Correctness).** *Let  $N \geq 1$ . Then  $\forall t \in [N]$ ,  $\forall \{i_1, \dots, i_t\} \subset [N]$ ,  $k \in \{i_1, \dots, i_t\}$  and  $\forall m \in \{0, 1\}^*$  it holds that*

$$\Pr \left[ \mathbf{Vfy}(m, L, \Omega) = 0 \mid \begin{array}{l} PP \leftarrow \mathbf{Setup}(), \\ \{PK_i \leftarrow \mathbf{KGen}(PP)\}_{i \in [N]}, \\ L = (PK_{i_1}, \dots, PK_{i_t}), \\ \Omega = \mathbf{Sign}_{PP, SK_k}(m, L) \end{array} \right] \leq \text{negl}(\lambda)$$

Signer anonymity captures the intuition that if the targeted signer is not corrupted, then the probability that the adversary can identify him as the true signer among all uncorrupted parties is negligible.

**Definition 6 (Signer Anonymity).** *Let  $L = (PK_1, \dots, PK_N)$  be a list of public keys and  $D_t$  be any set of  $0 \leq t < N$  signing keys such that  $\forall SK_i \in D_t \exists PK_i \in L : (PK_i, SK_i)$  is generated by  $\mathbf{KGen}$ . A ring signature scheme is signer anonymous if for any PPT algorithm  $\mathcal{E}$ , on inputs of any message  $m$ , sets  $L, D_t$  as defined above and any valid signature  $\Omega$  on  $L$  and  $m$  generated using  $SK_\ell \notin D_t$ , then*

$$\left| \Pr [\mathcal{E}(m, L, D_t, \Omega) = \ell] - \frac{1}{N-t} \right| \leq \text{negl}(\lambda).$$

Let  $PP \leftarrow \mathbf{Setup}(1^\lambda)$ . For the following two definitions we assume the existence of two oracles  $\mathcal{O}_K, \mathcal{O}_S$ :

**Key generation oracle  $\mathcal{O}_K$ :** On input of a bit  $b$  generate a random keypair  $(PK, SK) \leftarrow \mathbf{KGen}(PP)$ . If  $b = 0$  then output  $PK$ , otherwise  $(PK, SK)$ .

**Signing oracle  $\mathcal{O}_S$ :** On input  $(L, m, i)$  where  $L = (PK_1, \dots, PK_N)$  are public keys generated by  $\mathcal{O}_K$ ,  $i \in [N]$  and  $\mathcal{O}_K$  did not output  $SK_i$  and  $m \in \{0, 1\}^*$ , return  $\Omega \leftarrow \mathbf{Sign}_{PP, SK_i}(m, L)$ . If a key in  $L$  was not queried before, then output  $\perp$ .

The idea behind the Linkability definition is as follows: if the same signer generates two signatures, then the algorithm **Link** will identify this with overwhelming probability. It is important that this not only holds against honest use of the algorithm **Sign**, but arbitrary adversaries.

**Definition 7 (Linkability).** *Let  $\mathcal{A}$  be a PPT algorithm with oracle access to  $\mathcal{O}_K, \mathcal{O}_S$ .  $\mathcal{A}$  is given  $1^\lambda$  and  $PP$  as input.  $\mathcal{A}$  outputs lists  $L_1, L_2 \subseteq \bar{L}$  (where  $\bar{L}$  is the set of all keys queried from  $\mathcal{O}_K$ ) of length  $N$  each, whose intersection is not empty. Wlog denote by  $(PK_1, \dots, PK_{N_U})$  the public keys appearing in  $L_1$  and  $L_2$ . Furthermore,  $\mathcal{A}$  outputs  $N_U + 1$  values  $\{(m_i, \Omega_i, j_i)\}_{i \in [N_U + 1]}$  where  $j_i \in \{1, 2\}$ . Then the scheme is linkable if, for every such  $\mathcal{A}$ ,*

$$\Pr \left[ \begin{array}{l} \forall i \in [N_U + 1] : \mathbf{Vfy}(m_i, L_{j_i}, \Omega_i) = 1, \\ \forall i, j \in [N_U + 1], i \neq j : \mathbf{Link}(m_i, m_j, \Omega_i, \Omega_j) = 0 \end{array} \right] \leq \text{negl}(\lambda).$$

The above only talks about the setting of generating signatures without being traceable. Equally important is the setting where signatures are signed by two different parties, where we require that their tags must be distinct. This then, of course, in particular includes the case of the **Sign** algorithm. This property is important in the setting of cryptocurrencies where one might otherwise be able to issue fake transactions on behalf of another party.

**Definition 8 (Exculpability).** *Let  $\mathcal{A}$  be a PPT algorithm with oracle access to  $\mathcal{O}_K, \mathcal{O}_S$ .  $\mathcal{A}$  is given  $1^\lambda$  and  $PP$  as input and outputs two lists  $L_1, L_2 \subseteq \bar{L}$  (where  $\bar{L}$  is the set of all keys queried from  $\mathcal{O}_K$ ) of length  $N$  together with two pairs  $(m_1, \Omega_1), (m_2, \Omega_2)$  with  $\mathbf{Vfy}(m_1, L_1, \Omega_1) = \mathbf{Vfy}(m_2, L_2, \Omega_2) = 1$ , not both queried to  $\mathcal{O}_S$ . Let  $M \subset L_1 \cup L_2$  be the set of  $PK_i$  for which  $\mathcal{A}$  did not obtain  $SK_i$  from  $\mathcal{O}_K$ . Then*

$$\Pr \left[ \mathbf{Link}(m_1, m_2, \Omega_1, \Omega_2) = 1 \left| \begin{array}{l} \exists PK_i \in M, \exists m \in \{0, 1\}^*, \\ \exists j \in \{1, 2\} : \\ [\Omega \leftarrow \mathbf{Sign}_{PP, SK_i}(m, L_j), \\ \mathbf{Link}(m, m_j, \Omega, \Omega_j) = 1] \end{array} \right. \right] \leq \text{negl}(\lambda).$$

*Remark 2.* In our scheme, we do not give a definition and proof for existential unforgeability. As was observed in [17] the above definitions imply this property, as any algorithm breaking existential unforgeability can be used in a black-box setting to break exculpability (see [17, Theorem 2.6]).

### 3 Constructing Linkable Ring Signatures

In this section, we will describe our linkable ring signature scheme and prove its security. Our proposed scheme can be considered as an adaption of the linkable ring signature scheme proposed in [27] to the lattice setting. However, while most linkable signature schemes such as the one proposed in [16] require the use of a pseudorandom function to achieve linkability, our scheme demonstrates that the linkability for one-time ring signature schemes can be obtained without using a pseudorandom function to generate the tag.

If a scheme is not one-time, then this PRF is evaluated on the secret (or public) key of the signing party and a description of the actual ring  $L$ . In our case, it is not necessary to include the ring  $L$  into the tag computation (as the scheme is one-time) and we attach a tag derived from the secret key only. Concretely, each party will have a private key  $\mathbf{r}_i$  together with a public key  $PK_i = \mathbf{A}\mathbf{r}_i$ , where  $\mathbf{A}$  is a random length-compressing matrix and  $\mathbf{r}_i$  is a vector of small norm. Thus,  $PK_i$  is an evaluation of the public collision-resistant hash function  $f_{\mathbf{A}}(\cdot) : \mathbf{x} \mapsto \mathbf{A}\mathbf{x}$  on the private input  $\mathbf{r}_i$ .

During the signing process, the signer will generate two rings of signatures (similar to [40, 27] but twice): the first is a ring consisting of signatures for all the  $N$  public keys and generated using  $f_{\mathbf{A}}$  whereas the second ring uses a different CRHF  $f_{\mathbf{B}}$ . This function  $f_{\mathbf{B}}(\cdot) : \mathbf{x} \mapsto \mathbf{B}\mathbf{x}$  uses a different public matrix  $\mathbf{B}$  having the same dimensions as  $\mathbf{A}$ . The crucial point to interleave these rings

is that they are built simultaneously, using the same challenges and blinding value in each step. For this to be verifiable, the signer must now include his  $I_i$  in the signature, which serves the same purpose as the public key  $PK_i$  in the first ring. We will show that the signer is bound to use his own value  $I_i$  if he wants to generate a valid signature and will therefore produce a collision if a second signature is revealed.

Let  $H : \{0, 1\}^* \rightarrow D$  be a cryptographic hash function where  $D$  is the challenge space defined in Section 2. The algorithms of our scheme are defined as follows:

**Setup**( $1^\lambda$ ): Sample two random matrices  $\mathbf{A}, \mathbf{B} \leftarrow R_q^{h \times v}$  and set  $PP = (\mathbf{A}, \mathbf{B})$ .

**KGen**( $PP$ ) Sample  $\mathbf{r} \leftarrow S_\beta^v$  and then generate the public key  $PK = \mathbf{A}\mathbf{r}$  as well as the signing key  $SK = \mathbf{r}$ .

**Sign** $_{PP, SK_\ell}(m, L)$ :

1. Compute the tag  $I_\ell = \mathbf{B}\mathbf{r}_\ell$ .
2. Sample  $\mathbf{u} \leftarrow \mathcal{N}_\sigma^v$  and set  $d_{\ell+1} \leftarrow H(L, I_\ell, m, \mathbf{A}\mathbf{u}, \mathbf{B}\mathbf{u})$ .
3. For each  $i = \ell + 1, \dots, N, 1, \dots, \ell - 1$ :
  - (a) Sample  $\mathbf{r}_{z,i} \leftarrow \mathcal{N}_\sigma^v$ .
  - (b) Set  $t_{i,1} = \mathbf{A}\mathbf{r}_{z,i} - d_i PK_i$  and  $t_{i,2} = \mathbf{B}\mathbf{r}_{z,i} - d_i I_\ell$  as well as  $d_{(i \bmod N)+1} \leftarrow H(L, I_\ell, m, t_{i,1}, t_{i,2})$ .
4. Compute  $\mathbf{r}_{z,\ell} = \mathbf{u} + d_\ell \mathbf{r}_\ell$ .
5. Abort with probability  $1 - \min\left(1, \frac{\mathcal{N}_\sigma^v(\mathbf{r}_{z,\ell})}{M \cdot \mathcal{N}_{d_\ell \mathbf{r}_\ell, \sigma}^v(\mathbf{r}_{z,\ell})}\right)$ , otherwise output the signature  $\Omega = \left(d_1, (\mathbf{r}_{z,i})_{i \in [N]}, I_\ell\right)$ .

**Vfy**( $m, L, \Omega$ ):

1. For  $i \in [N]$ , check whether  $\|\mathbf{r}_{z,i}\|_2 \leq 2\sigma\sqrt{\nu v}$ , else output 0.
2. For  $i \in [N]$ , compute  $t'_{i,1} = \mathbf{A}\mathbf{r}_{z,i} - d_i PK_i$ ,  $t'_{i,2} = \mathbf{B}\mathbf{r}_{z,i} - d_i I_\ell$  as well as  $d_{i+1} = H(L, I_\ell, m, t'_{i,1}, t'_{i,2})$ .
3. If  $d_1 = H(L, I_\ell, m, t'_{N,1}, t'_{N,2}) = d_{N+1}$  then output 1, else output 0.

**Link**( $m_1, m_2, \Omega_1, \Omega_2$ ): Given

$$\Omega_1 = \left(d_1^{(1)}, \left(\mathbf{r}_{z,i}^{(1)}\right)_{i \in [N]}, I_\ell^{(1)}\right) \text{ and } \Omega_2 = \left(d_1^{(2)}, \left(\mathbf{r}_{z,i}^{(2)}\right)_{i \in [N]}, I_\ell^{(2)}\right),$$

return 1 if  $I_\ell^{(1)} = I_\ell^{(2)}$  and 0 otherwise.

Correctness can easily be verified using Lemma 1 and Lemma 2.

### 3.1 Security

We will now prove that our construction satisfies anonymity, linkability and exculpability as required.

**Anonymity.** We first provide a theorem about signer anonymity. The proof follows a similar structure as [40, 27] and can be found in the appendix.

**Theorem 1 (Signer Anonymity).** *The proposed ring signature scheme provides signer anonymity in the (programmable) random oracle model assuming hardness of the D-MLWE $_{2h,v,\beta}$ -problem.*

*Proof.* See Appendix A.1.

**Linkability.** The proofs of linkability and exculpability use the Forking Lemma. We separate the rewinding part (as it also rather directly follows from previous work) from the rest and defer the proofs to the appendix.

**Lemma 3.** *Let  $\mathcal{A}$  be a PPT algorithm with oracle access to  $\mathcal{O}_K, \mathcal{O}_S, H$  which queries  $H$  at most  $q_H$  times,  $\mathcal{O}_S$  at most  $q_S$  times, and  $\mathcal{O}_K$  at most  $q_K$  times. Moreover,  $\mathcal{A}$  on input  $1^\lambda, PP$  outputs  $L_1, L_2$  and  $\{(m^{(i)}, \Omega^{(i)}, j_i)\}_{i \in [N_U+1]}$  as defined in Definition 7 with probability  $\epsilon$  in time at most  $s$ . Then there exists an algorithm  $\mathcal{R}$  that outputs  $(I, \mathbf{r}, \hat{\mathbf{r}}, d, \hat{d}, \pi)$*

- such that  $\pi \in [N_U]$ ,  $d, \hat{d} \in D$  and  $\|\mathbf{r}\|, \|\hat{\mathbf{r}}\| \leq 2\sigma\sqrt{v\nu}$ ,
- it holds that  $dPK_\pi = \mathbf{A}\mathbf{r}$ ,  $\hat{d}PK_\pi = \mathbf{A}\hat{\mathbf{r}}$ ,  $dI = \mathbf{B}\mathbf{r}$  and  $\hat{d}I = \mathbf{B}\hat{\mathbf{r}}$ ,
- where  $I$  is not a tag for any honestly generated  $PK_j$  from  $L_1, L_2$ ,
- with probability  $\left(\epsilon - \frac{1}{|\mathcal{D}| - q_H - Nq_S}\right)^2 / ((N_U \cdot (N_U + 1))q_H)^2$ ,
- in time  $O(N_U^2 \cdot q_H \cdot s)$ .

*Proof.* See Appendix A.2.

Using the above lemma, the proof of linkability now works as follows: We embed a MSIS-instance into the public key and then use the algorithm  $\mathcal{R}$ . This gives us values  $d, \hat{d}, \mathbf{r}, \hat{\mathbf{r}}$  which are used to obtain a MSIS-preimage. It remains to show that this preimage is within the bounds of the theorem and non-zero.

**Theorem 2 (Linkability).** *Let  $\mathcal{A}$  be defined as in Lemma 3. Then there exists an algorithm  $\mathcal{M}$  that breaks a MSIS $_{h,v,t}$ -instance*

- with probability  $\left(\epsilon - \frac{1}{|\mathcal{D}| - q_H - Nq_S}\right)^2 / (N_U \cdot (N_U + 1)q_H)^2$ ,
- in time  $O(N_U^2 \cdot q_H \cdot s)$ ,
- where  $t = 4\sigma\sqrt{v \cdot \nu} + 2 \cdot \kappa \cdot v \cdot \nu^{1.5} \cdot \beta$ .

For simplicity, we assume in the reduction that  $N$  is fixed.

*Proof.* Consider the following algorithm  $\mathcal{M}$ :

1. Query for an MSIS-challenge  $\mathbf{A} \in R_q^{h \times v}$ . Sample  $\mathbf{B} \leftarrow R_q^{h \times v}$  uniformly at random. Set  $PP = (\mathbf{A}, \mathbf{B})$ .
2. Set up oracles  $\mathcal{O}_K, \mathcal{O}_S$  for  $\mathcal{A}$  and simulate these by running **KGen**, **Sign** honestly.

3. Run  $\mathcal{R}$  from Lemma 3 with  $\mathcal{A}$ .
4. If  $\mathcal{R}$  does not output  $\perp$  then return  $\mathbf{s} = (d - \hat{d})\mathbf{r}_\pi - (\hat{\mathbf{r}} - \mathbf{r})$ .

Observe that if  $\mathcal{R}$  generates output, then we obtain  $d, \hat{d}, \mathbf{r}, \hat{\mathbf{r}}, \pi$  such that

$$(d - \hat{d})PK_\pi = \mathbf{A}(\mathbf{r} - \hat{\mathbf{r}}) \text{ and } (d - \hat{d})I = \mathbf{B}(\mathbf{r} - \hat{\mathbf{r}}).$$

$PK_\pi$  was generated honestly by  $\mathcal{O}_K$  and we have  $\mathbf{r}_\pi$  such that  $PK_\pi = A\mathbf{r}_\pi$ . Rewrite the above as

$$\mathbf{A}(d - \hat{d})\mathbf{r}_\pi = \mathbf{A}(\mathbf{r} - \hat{\mathbf{r}})$$

Assume that  $(d - \hat{d})\mathbf{r}_\pi = (\mathbf{r} - \hat{\mathbf{r}})$  then by the invertibility of  $(d - \hat{d})$  it holds that

$$I_\pi = \mathbf{B}\mathbf{r}_\pi = \mathbf{B}\left((\mathbf{r} - \hat{\mathbf{r}}) \cdot (d - \hat{d})^{-1}\right) = I$$

which contradicts the assumption that  $I$  is different from all honestly generated tags. Hence  $(d - \hat{d})\mathbf{r}_\pi \neq (\mathbf{r} - \hat{\mathbf{r}})$  and thus  $\mathbf{s} \neq 0$ , while  $0 = \mathbf{A}\mathbf{s}$  which yields a solution  $\mathbf{s}$  to the MSIS-instance obtained in Step 1 as required in Definition 1.

The runtime of  $\mathcal{M}$  is clearly dominated by the runtime of  $\mathcal{R}$ . To give an upper-bound on the size of  $\mathbf{s}$ : the vector  $\mathbf{r}_\pi$  was generated honestly as in **KGen**, therefore  $\|\mathbf{r}_\pi\|_\infty \leq \beta$ . Using  $d, \hat{d} \in D$  we obtain that  $\|(d - \hat{d})\mathbf{r}_\pi\|_2 \leq 2 \cdot \kappa \cdot \beta \cdot v \cdot \nu^{1.5}$ . By the triangular inequality, the bound on  $\mathbf{s}$  follows.  $\square$

**Exculpability.** For the exculpability proof, we need a different extractor which is also based on the Forking Lemma. Again, the actual construction can be found in the appendix. In the proof of exculpability, we use the following result:

**Lemma 4.** *Let  $\mathcal{A}$  be a PPT algorithm with oracle access to  $\mathcal{O}_K, \mathcal{O}_S, H$  which queries  $H$  at most  $q_H$  times and  $\mathcal{O}_S$  at most  $q_S$  times. Moreover,  $\mathcal{A}$  on input  $1^\lambda$ , PP outputs  $L_1, L_2, (m^{(1)}, \Omega^{(1)}), (m^{(2)}, \Omega^{(2)})$  as defined in Definition 8 with probability  $\epsilon$  in time  $s$ . Then there exists an algorithm  $\mathcal{R}'$  that outputs  $(I, \mathbf{r}, \hat{\mathbf{r}}, d, \hat{d}, \pi)$*

- such that  $\pi \in [N_\cup]$ ,  $d, \hat{d} \in D$  and  $\|\mathbf{r}\|, \|\hat{\mathbf{r}}\| \leq 2\sigma\sqrt{\nu v}$ ,
- it holds that  $dPK_\pi = \mathbf{A}\mathbf{r}$ ,  $\hat{d}PK_\pi = \mathbf{A}\hat{\mathbf{r}}$ ,  $dI = \mathbf{B}\mathbf{r}$  and  $\hat{d}I = \mathbf{B}\hat{\mathbf{r}}$ ,
- with probability  $\left(\epsilon - \frac{1}{|D| - q_H - Nq_S}\right)^2 / (2 \cdot q_H \cdot N_\cup)^2$ ,
- in time  $O(N_\cup \cdot q_H \cdot s)$ .

*Proof.* See Appendix A.2.

We do not follow the exact same outline as in the proof of Theorem 2, as the same argument that the computed MSIS-solution is non-zero does not hold anymore. We resolve this by having a simulator that - indistinguishably for the adversary  $\mathcal{A}$  and the extractor  $\mathcal{R}'$  - randomly embeds one out of two possible, but different, challenges which it then breaks.

**Theorem 3 (Exculpability).** *Let algorithm  $\mathcal{A}$  be defined as in Lemma 4. Then there exists an algorithm  $\mathcal{M}$  that either breaks an S-MLWE $_{2h,v,\beta}$  instance or an MSIS $_{h,v,t}$ -instance*

- with probability  $\left(\frac{(N-1)\epsilon}{N} - \frac{1}{|\mathcal{D}| - q_H - Nq_S}\right)^2 / (2 \cdot q_H \cdot N_{\cup})^2$ ,
- in time  $O(N_{\cup} \cdot q_H \cdot s)$ ,
- where  $t = 4\sigma\sqrt{v \cdot \nu} + 2 \cdot \kappa \cdot v \cdot \nu^{1.5} \cdot \beta$ .

*Proof.* The algorithm  $\mathcal{M}$  which we will construct in the course of this proof will either use the matrix  $\mathbf{A}$  in **Setup** to implant an MSIS-challenge or alternatively choose  $\mathbf{A}, \mathbf{B}$  from an S-MLWE instance. Whereas in the former case the proof works as before, in the latter one we use a randomly chosen public key and its corresponding tag to embed an S-MLWE challenge. This then means that we cannot correctly simulate the  $\mathcal{O}_S$ -oracle as we would need the secret key for it - which is the secret we want to extract! Instead, the proof uses a version of the simulator  $\mathcal{S}$  from signer anonymity.

With respect to the **Link** algorithm from our construction, the definition translates into the requirement that the tags  $I^{(1)}, I^{(2)}$  from  $\Omega_1, \Omega_2$  are equal. Moreover, each  $I^{(i)}$  must be identical to an honestly generated identification tag for one of the public keys in  $L_1 \cup L_2$ , and  $\mathcal{A}$  did not obtain both signatures from  $\mathcal{O}_S$  and does not possess the secret key for this public key. Let  $I = I^{(1)} = I^{(2)}$ . The algorithm  $\mathcal{M}$  will first fairly flip a bit  $b \leftarrow \mathcal{B}_{1/2}$ . Then it does the following, based on the value of  $b$ :

$b = 0$ :  $\mathcal{M}$  will take a S-MLWE instance  $(\mathbf{D}, \mathbf{t})$  where  $\mathbf{D} = \begin{pmatrix} \mathbf{A} \\ \mathbf{B} \end{pmatrix} \in R_q^{2h \times v}$  and  $\mathbf{t} = \begin{pmatrix} \mathbf{t}_0 \\ \mathbf{t}_1 \end{pmatrix} \in R_q^{2h}$  such that  $\mathbf{A}, \mathbf{B} \in R_q^{h \times v}$  and  $\mathbf{t}_0, \mathbf{t}_1 \in R_q^h$ . Assign  $PP = (\mathbf{A}, \mathbf{B})$  and choose an index  $k \in [2N]$ . For  $j \in [2N]$  set

$$(PK_j, SK_j) = \begin{cases} (\mathbf{A}\mathbf{r}_j, (\mathbf{r}_j, \mathbf{B}\mathbf{r}_j)) & \text{if } k \neq j \text{ and for } \mathbf{r}_j \leftarrow S_{\beta}^v \\ (\mathbf{t}_0, (\perp, \mathbf{t}_1)) & \text{if } k = j \end{cases}$$

We then set the counter  $j = 1$ . Whenever  $\mathcal{A}$  requests a public key from  $\mathcal{O}_K$ , then output  $PK_j$  and increase  $j$  by 1. If  $j = k$  and  $\mathcal{A}$  requests the secret key then abort. Whenever  $\mathcal{O}_S$  is queried, then sign the signature for the queried key  $s$  correctly if  $s \neq k$ , otherwise use the back-patching simulator from the Signer Anonymity proof<sup>7</sup>, but with  $I_j = \mathbf{t}_1$ .

$b = 1$ :  $\mathcal{M}$  will take a MSIS instance  $\mathbf{A} \in R_q^{h \times v}$  as input, sample  $\mathbf{B} \leftarrow R_q^{h \times v}$  uniformly at random and set  $PP = (\mathbf{A}, \mathbf{B})$ . It will additionally choose  $k \in [2N]$  uniformly at random.  $\mathcal{O}_K$  will generate all keys honestly, but abort if  $\mathcal{A}$  queries  $SK_k$ .  $\mathcal{O}_S$  will run **Sign** honestly.

<sup>7</sup> The anonymity simulation does only provide computational indistinguishability as it uses Proposition 1. Here the correctly generated  $I_j$  is known and the simulation is statistically indistinguishable, not just computationally.

Assume that  $\mathcal{A}$  does not query for  $SK_k$ , then the output of  $\mathcal{A}$  will be independent of the choice of  $b$  due to Theorem 1. If  $b = 0$  then  $\mathcal{A}$  will be stopped if  $SK_k$  is queried, but observe that this abort probability is the same in case  $b = 1$  as the key  $PK_k$  is perfectly indistinguishable from honestly generated public key  $PK_j$ . Moreover, the abort probability in the presence of  $\mathcal{O}_S$  is identical due to the construction of the oracle, so the probability that  $\mathcal{A}$  outputs something is independent of  $b$ . This output probability is  $\epsilon' = \epsilon \cdot (2N - 1)/2N$  by the random choice of  $k$ .

In the next step,  $\mathcal{M}$  now runs  $\mathcal{A}$  using the algorithm  $\mathcal{R}'$  from Lemma 4. If  $\mathcal{R}'$  does not output  $\perp$  then we obtain values  $d, \hat{d}, \mathbf{r}, \hat{\mathbf{r}}, \pi$  such that

$$(d - \hat{d})\mathbf{A}\mathbf{r}_\pi = (d - \hat{d})PK_\pi = \mathbf{A}(\mathbf{r} - \hat{\mathbf{r}}) \text{ and } (d - \hat{d})I = \mathbf{B}(\mathbf{r} - \hat{\mathbf{r}})$$

where  $\mathbf{r}_\pi$  is the secret key belonging to  $PK_\pi$ . We might either have that  $(d - \hat{d})\mathbf{r}_\pi = \mathbf{r} - \hat{\mathbf{r}}$  or that inequality holds. Now if the values are not equal, then we can use the same argument as in linkability to extract a MSIS solution (this covers the case when  $b = 1$ ). But in case of equality the approach does not work - unless we are in the setting where the algorithm  $\mathcal{M}$  chose  $b = 0$ . Now we know that equality holds and  $\mathbf{r}_\pi$  is known to exist as  $PK_\pi$  is a S-MLWE challenge, which we can therefore extract.

More formally, if  $b = 0$  and  $k = \pi$  then  $\mathcal{M}$  will output  $\mathbf{r}_\pi = (\mathbf{r} - \hat{\mathbf{r}}) \cdot (d - \hat{d})^{-1}$  as  $d - \hat{d} \in D'$ . If  $b = 1$  then it will instead output  $(d - \hat{d})\mathbf{r}_\pi + \hat{\mathbf{r}} - \mathbf{r}$ . We now calculate the probability that the algorithm  $\mathcal{M}$  will output a correct answer to either of the two challenges. Therefore, denote with  $X_=\$  the event that  $(d - \hat{d})\mathbf{r}_\pi = \mathbf{r} - \hat{\mathbf{r}}$ , and with  $X_\neq$  the opposite event. Let  $M$  denote the event that  $\mathcal{M}$  outputs something. As our goal is to lower-bound the probability that the output of  $\mathcal{M}$  is correct, we need to determine

$$\Pr[\mathcal{M} \text{ gives correct output}] = \Pr[X_=: b = 0 | M] + \Pr[X_\neq: b = 1 | M]$$

If  $b = 0$ , then by the choice of  $k$ , the probability that  $\pi = k$  is at least  $1/|L|$  and therefore  $\Pr[M | X_=: b = 0] \geq 1/N$ . Using Bayes' Theorem, we obtain that

$$\begin{aligned} \Pr[X_=: b = 0 | M] &= \frac{\Pr[M | X_=: b = 0] \cdot \Pr[X_=: b = 0]}{\Pr[M]} \\ &\geq \Pr[M | X_=: b = 0] \cdot \Pr[X_=: b = 0] \\ &\geq 1/N \cdot \Pr[X_=: b = 0] \cdot \Pr[b = 0] = 1/2N \cdot \Pr[X_=: b = 0] \end{aligned}$$

where we use in the last step that the occurrence of  $X_=\$  is independent of  $b$ .

In case of  $b = 1$  we always give output, so we have that  $\Pr[M | X_\neq: b = 1] = 1$ . Using the same reasoning as above, we obtain that

$$\Pr[X_\neq: b = 1 | M] \geq 1/2 \cdot \Pr[X_\neq]$$

which yields an overall bound of  $\Pr[\mathcal{M} \text{ gives correct output}] \geq 1/2N$ .

For the size  $t$  of the extracted MSIS-solution we can use the same argument as in the proof of Theorem 2. The runtime is dominated by the runtime of  $\mathcal{R}'$ , except that the success probability of  $\mathcal{A}$  is  $\epsilon'$  instead of  $\epsilon$  due to the adjustment of the oracles.  $\square$

The proof of Theorem 3 can be simplified such as to rely only on the MSIS assumption if one can guarantee that the event  $X_{\neq}$  will occur with good probability (where multiple solutions for the given MSIS instance exist and the  $\mathbf{r}_{\pi}$  used in the signature is hidden). See [28] for details.

## 4 Discussion

We now discuss questions surrounding the practicality of our scheme and hint at future research directions.

*Practical Considerations.* The runtime of  $\mathbf{Vfy}$  is essentially the  $N$ -fold runtime of the verification of a regular lattice-based signature scheme. For signing, the computation and sampling of  $I_{\ell}, \mathbf{u}$  as well as  $\mathbf{r}_{r,j}, \mathbf{Ar}_{z,j}, \mathbf{Br}_{z,j}$  for  $j \neq \ell$  can be done offline. The size of the total signature is approximately the size of  $N$  individual lattice-based signatures, as can be seen in Table 2.

As the basis of our construction, we chose a simple signature scheme without optimizations. Following the outline of our algorithms, one can instantiate it with e.g. [14] and then use their key-compression technique: this optimization is important when it comes to signature size.

*Parameter Selection.* In our construction, the D-MLWE-instance from Theorem 1 and the S-MLWE-instance in Theorem 3 have the same dimensions and bounds. Moreover, it was already mentioned in Section 2.2 that any algorithm which solves the S-MLWE problem in time  $h$  with success probability  $\epsilon$  can be turned into a distinguisher for D-MLWE for the same dimension with essentially the same runtime and success probability. It thus suffices in the parameter selection to look at the D-MLWE-instance only.

Unfortunately, it seems like the security reduction cannot be used for the choice of parameters, as it is inherently non-tight: from the proofs in Section 3, we see that the reductions have a huge loss in terms of success probability (both due to the use of the Forking Lemma and because the runtime is proportional to the number of queries of  $\mathcal{A}$  to  $H$ ). If one attempts to obtain a good success probability of the reduction, the estimated runtime gets rather large. We leave a proof with a tighter reduction that can be used to instantiate our construction as an open problem.

Instead, we chose the parameters of our scheme such that the MSIS- and D-MLWE-problems are hard given that the reduction succeeds (see Table 2). As baseline, we assume hardness of at least 128 bits using all currently known lattice reduction attacks. This is reflected by requiring that lattice reduction will have to achieve a Root Hermite factor of less than 1.003 to break our scheme. For



Parameter	Recommended choice
$q$	$\approx 2^{32}$
$\nu$	1024
$h$	1
$v$	4
$\kappa$	45/90
$\beta$ (in $S_\beta$ )	1
$\sigma$	31680/63360
$t$ ( $\ell_2$ MSIS-bound)	$\approx 2^{24}/2^{25}$
Root Hermite factor	$< 1.0030$
Public key size (per party)	$\approx 8$ KB/8 KB
Signing key size (per party)	$\approx 8.8$ KB/8.8 KB
Signature ( $N = 1$ )	$\approx 17.4$ KB/17.9 KB
Signature ( $N = 8$ )	$\approx 82.5$ KB/86.5 KB
Signature ( $N = 32$ )	$\approx 305.7$ KB/321.7 KB
Signature ( $N = 128$ )	$\approx 1.17$ MB/1.23 MB

**Table 2.** Parameter settings for our scheme.

the given parameters, the security relies only on Module-SIS/LWE with  $h = 1$  i.e. Ring-SIS/LWE, but increasing  $h, v, \kappa$  and thus decreasing  $\nu$  would allow to base the hardness on Module-SIS/LWE with a larger rank with only a minor increase in the size of the signature.

To choose actual parameters, we use the LWE simulator with sparse secrets from [4, 2] for D-MLWE. Moreover, we use [34] to assess the hardness of our obtained SIS instance<sup>8</sup>. The size estimates in Table 2 are in Kilobytes/Megabytes (as in related work), we bound the size of each coefficient of  $\mathbf{r}_{z,i}$  assuming it is within a  $6\sigma$ -interval.

*Post-Quantum Security.* It is widely believed that hardness assumptions used in our scheme may offer security in a post-quantum era. On the other hand, it is unlikely that our security proofs carry over to the Quantum Random Oracle Model (QROM, see e.g. [7]): we use adaptive programming of the RO  $H$  in Theorem 1, and adaptive rewinding in Theorem 2 and 3. Both of these proof techniques are somewhat inherent to the construction.

<sup>8</sup> While there might be newer methods to assess the hardness of SIS more precisely, [34] suffices for an estimation of parameters. Moreover, it turned out that using different methods yields hardness estimates (in terms of the Root Hermite factor) that are very close to [34]. Our parameter choices were considered secure at the time of writing, but the reader should refer to the full version of this work for updated parameters.

We note that other candidate constructions in the QROM such as [14, 11] also use a form of RO programming (even though not adaptively). Moreover, though it seems unlikely that the Forking Lemma can be proven in the QROM, there exist no attacks on protocols using these proof techniques which stem from this use of the RO, to the best of our knowledge.

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## Appendix A Simulation and Knowledge Extraction

In this appendix we explain how to simulate signatures for our scheme and how to extract knowledge from the specific adversaries as is needed in the proofs in Section 3.

### A.1 Simulation

The proof of Theorem 1 follows a similar pattern as in [27, 40]. In an honestly generated ring signature (where the secret key  $SK_\ell$  is known) the **Sign** algorithm simulates  $N - 1$  individual signatures consecutively for all public keys but the one to which its secret key  $SK_\ell$  belongs. For this last public key, it uses the challenge  $d_\ell$  that is obtained for the last signature to *close the ring* using the secret key  $SK_\ell$ . A simulator has no secret key and will instead generate all  $N$  individual signatures consecutively this way. To close the ring, it needs to reprogram the random oracle  $H$  on the last query to exactly yield the challenge  $d_1$  that is necessary to make all tests in **Vfy** go through. Even though this reprogramming takes place, the challenge  $d_1$  that the RO returns will be fixed in the simulation ahead of time but be chosen uniformly at random. This means that the reprogramming is not detectable. Furthermore, Lemma 2 ensures that the simulation of the ring is indistinguishable.

*Proof (Theorem 1).* We will argue that there exists a simulator  $\mathcal{S}$  that outputs signatures for a signer  $\ell$  without knowledge of the secret key  $SK_\ell = \mathbf{r}_\ell$  of  $\ell$  in the programmable random oracle model. We will then argue that its output distribution is computationally indistinguishable from the input to the distinguisher in Definition 6.

The algorithm  $\mathcal{S}$  obtains  $m, L, D_t$  as input and then does the following:

1. Choose a  $PK_\ell \in L$  such that  $\forall SK_i \in D_t : (PK_\ell, SK_i)$  is not generated by **KGen**.
2. Sample a random  $\mathbf{r} \in S_\beta^v$  and compute  $I_\ell = \mathbf{B}\mathbf{r}$ .
3. Use the back-patching approach as in [40, 27] to generate  $d_1$  and answer the relevant random oracle queries to  $H$ :
  - (a) For  $i \in [N]$ , choose  $\mathbf{r}_{z,i} \leftarrow \mathcal{N}_\sigma^v$ .
  - (b) Sample  $d_1 \leftarrow D$  uniformly at random.
  - (c) For  $i \in [N]$  compute  $t_{i,1} = \mathbf{A}\mathbf{r}_{z,i} - d_i PK_i$  and  $t_{i,2} = \mathbf{B}\mathbf{r}_{z,i} - d_i I_\ell$  as well as  $d_{i+1} = H(L, I_\ell, m, t_{i,1}, t_{i,2})$ .
  - (d) Set the output of  $H(L, I_\ell, m, t_{N,1}, t_{N,2})$  to be  $d_1$ .
4. Output  $\Omega = (d_1, (\mathbf{r}_{z,i})_{i \in [N]}, I_\ell)$ .

$\mathcal{S}$  does not need to abort the simulation and output this event, as a verifier will never see aborting signatures in practical use cases.

Note that the simulator created the signature exactly in such a way that it will pass verification: all equations hold trivially, and the check on the bound of  $\mathbf{r}_{z,i}$  holds with overwhelming probability (and the same probability as for

honestly generated signatures) due to Lemma 1. All that remains to show is that the signature follows the right distribution.

In the real signature,  $d_1$  is assumed to be uniformly random in  $D$  as it is chosen as output of  $H$ . The simulator chooses  $d_1$  with the same distribution. The real  $r_{z,i}$ ,  $i \neq \ell$  are drawn from  $\mathcal{N}_\sigma^v$ , whereas the final  $r_{z,\ell}$  is computed as  $\mathbf{u} + d_\ell \mathbf{r}_\ell$ . However, Lemma 2 ensures that the distribution of  $r_{z,\ell}$  is statistically close to  $\mathcal{N}_\sigma^v$ . Hence, all simulated  $r_{z,i}$  follow a distribution that is statistically close to the real one.

Finally, we note that here  $I_\ell$  is not obtained from the same secret input  $\mathbf{r}_\ell$  that is used to derive  $PK_\ell$  since the simulator does not know  $SK_\ell$ . However, an adversary cannot distinguish between  $I_\ell$  and the correctly generated counterpart since the D-MLWE $_{2h,v,\beta}$  assumption of Proposition 1 attests to the indistinguishability of a pair of quadruples:  $(\mathbf{A}, \mathbf{B}, \mathbf{A} \cdot \mathbf{r}, \mathbf{B} \cdot \mathbf{r}) \sim (\mathbf{A}, \mathbf{B}, u, v)$ , where  $u, v$  are random. One can further reduce the indistinguishability of another pair of quadruples:  $(\mathbf{A}, \mathbf{B}, u, v) \sim (\mathbf{A}, \mathbf{B}, \mathbf{A} \cdot \mathbf{r}, v)$  to D-MLWE $_{h,v,\beta}$  problem, the hardness of which can be deduced from the larger instance. Based on hybrid argument, the indistinguishability of the following two quadruples  $(\mathbf{A}, \mathbf{B}, \mathbf{A} \cdot \mathbf{r}, v) \sim (\mathbf{A}, \mathbf{B}, \mathbf{A} \cdot \mathbf{r}, \mathbf{B} \cdot \mathbf{r})$  is reduced to the D-MLWE $_{2h,v,\beta}$  assumption.  $\square$

If the above simulator is used in an environment where it is queried adaptively and repetitively (or where  $PK_\ell$  is fixed), we store the choice  $I_\ell, \mathbf{r}$  in Step 2 in a list to provide signatures that are consistent and linkable if necessary.

## A.2 Rewinding

We now give proofs for the Lemmas 3 and 4.

*Proof (Lemma 3).* By the requirements of Linkability as in Definition 7, each signature  $\Omega^{(i)}$  which is generated by the adversary  $\mathcal{A}$  must contain an identification tag  $I^{(i)}$  and all these identification tags are different. Therefore, at least one identification tag will not correspond to the public keys from the list  $\bar{L}$ . Our goal is now to extract those values mentioned in the definition of the Lemma.

Assume that  $\mathcal{A}$  is run with some certain input and that it generates a set of signatures.  $\mathcal{A}$  makes queries to both the random oracle  $H$  and to the two oracles  $\mathcal{O}_K, \mathcal{O}_S$  in order to generate these signatures. We construct an algorithm  $\mathcal{R}$  which will run  $\mathcal{A}$  with multiple inputs and will attempt to rewind it on one of these inputs with different outputs from the random oracle. During a run,  $\mathcal{A}$  will be allowed to make  $q_H$  queries to the random oracle directly, but also  $\mathcal{O}_S$  indirectly<sup>9</sup> makes  $N \cdot q_S$  queries to  $H$  to generate all the queried signatures. Now for each of the signatures that  $\mathcal{A}$  generates, we have that such a signature  $\Omega^{(i)}$  can be verified by  $\mathbf{Vfy}$ . In order to do so,  $\mathbf{Vfy}$  makes  $N$  subsequent queries to  $H$ , which we denote as

$$d_{j+1}^{(i)} = H \left( L_{j_i}, I^{(i)}, m^{(i)}, \mathbf{A} \mathbf{r}_{z_j}^{(i)} - d_j^{(i)} PK_j, \mathbf{B} \mathbf{r}_{z_j}^{(i)} - d_j^{(i)} I^{(i)} \right) \quad \text{for } j \in [N]$$

<sup>9</sup> These indirect queries are not important when we discuss a signature that does not correspond to any public key.

where we additionally must have that  $d_{N+1}^{(i)} = d_1^{(i)}$ . Each  $d_j$  from these queries is uniformly random from the set  $|\overline{D}|$ , so an adversary that generates a signature which is accepted by  $\mathbf{Vfy}$  must either use the random oracle  $H$  or guess the output of at least one non-queried input. Therefore, if  $\mathcal{A}$  generates a forgery with probability  $\epsilon$ , then  $\mathcal{A}$  generates this forgery while obtaining all the values  $d_j^{(i)}$  from  $H$  with probability at least  $\mu = \epsilon - \frac{1}{|\overline{D}| - q_H - N \cdot q_S}$ .

Now consider an output  $\tau$  of  $\mathcal{A}$ . For any  $i$ , the list  $L_{j_i}$  consists of  $N$  public keys  $PK_{k_1}, \dots, PK_{k_N}$  with  $1 \leq k_1, \dots, k_N \leq q_K$ , each corresponding to a query to  $\mathcal{O}_K$ . For the queries that  $\mathcal{A}$  makes to generate the output, we write the first occurrences of the  $N$  queries to  $H$  for  $\mathbf{Vfy}$  for  $m^{(i)}, \Omega^{(i)}$  as  $X_1, \dots, X_N$  where

$$X_{i_r} = H\left(L_{j_i}, I^{(i)}, m^{(i)}, t_{j,1}^{(i)}, t_{j,2}^{(i)}\right)$$

with  $1 \leq i_1 < i_2 < \dots < i_N \leq q_H$ . Let  $1 \leq \pi \leq N_U$  be the index of the public key corresponding to the assumed signer of the ring, i.e. the one for which the last challenge  $X_{i_N}$  was computed. Moreover, let  $1 \leq j_\pi \leq N$  be the corresponding index in the list  $L_{j_i}$ . We call a signature an  $(\ell, \pi)$ -signature if  $\ell = i_1$  and  $\pi$  is defined as above. We call an output  $\tau$  a  $(\ell, \pi, i)$ -output if its output signature  $\Omega^{(i)}$  is an  $(\ell, \pi)$  signature. For such an  $(\ell, \pi)$ -signature we must have that  $X_{i_1} = H(L_{j_i}, I^{(i)}, m^{(i)}, \mathbf{Ar}_{z,j_\pi}^{(i)} - d_{j_\pi}^{(i)} PK_\pi, \mathbf{Br}_{z,j_\pi}^{(i)} - d_{j_\pi}^{(i)} I^{(i)})$  where  $d_{j_\pi}^{(i)} = X_{i_N}$ , and the overall goal of rewinding will be to obtain two forgeries for the same  $X_{i_1}, \pi$  and  $i$  where  $I^{(i)}$  does not belong to a public key, which will allow extraction.

We are now ready to introduce the algorithms  $\mathcal{R}$ , which iterates over all possible  $\ell \in [q_H], \pi \in [N_U], i \in [N_U + 1]$  and will first run  $\mathcal{A}$  so it generates a well-formed output. If so, then it checks if it is a  $(\ell, \pi, i)$ -output. In this case, it will rewind  $\mathcal{A}$ :

1. Fix a key  $PP$  according to **Setup**. For each  $\ell \in [q_H], \pi \in [N_U], i \in [N_U + 1]$  do the following:
  - (a) Sample new randomness  $r$  for the algorithm  $\mathcal{A}$ , which includes preparing responses of  $\mathcal{O}_K, \mathcal{O}_S$ . Moreover, initialize the random oracle  $H$ .
  - (b) Run  $\mathcal{A}$  on  $r$ , the oracle  $H$  and simulate the oracles  $\mathcal{O}_K, \mathcal{O}_S$  truthfully. This will generate an output  $\tau$ .
  - (c) Add  $(\ell, \pi, i, \tau, r)$  to the list  $Z$  if:
    - $\tau = (\{L_{j_i}, (m^{(j)}, \Omega^{(j)})\}_{j \in [N_U+1]})$  and fulfills Definition 7.
    - Let  $I^{(j)}$  be the identification tag used in  $\Omega^{(j)}$ . Moreover, let  $F = (I'_1, \dots, I'_N)$  be the honestly generated identification tags belonging to  $L_i = (PK_{k_1}, \dots, PK_{k_N})$ . Add the item to the list if  $I^{(i)} \notin F$ , and if  $\Omega^{(i)}$  is a  $(\ell, \pi)$  signature.
2. For each  $(\ell, \pi, i, \tau, r) \in Z$ , we do the following:
  - (a) Rewind  $\mathcal{A}$  on  $r$  until right after the  $\ell$ th query to  $H$ . Then, for each new query to  $H$  sample a new output value.
  - (b) For the new output  $\hat{\tau}$  check if
    - $\hat{\tau} = (\{L_{j_i}, (\hat{m}^{(j)}, \hat{\Omega}^{(j)})\}_{j \in [N_U+1]})$  and fulfills Definition 7.

- Check if  $\hat{\Omega}^{(i)}$  is an  $(\ell, \pi)$ -signature<sup>10</sup>.
- (c) If the check fails, continue with the next element from  $Z$ . Else output  $(I^{(i)}, \mathbf{r}^{(i)}, \hat{\mathbf{r}}^{(i)}, d^{(i)}, \hat{d}^{(i)}, \pi)$ .

3. Output  $\perp$ .

We now examine the probability that  $\mathcal{R}$  outputs a pair in step 2: there exists  $(\ell, \pi, i)$  such that the probability that  $\mathcal{A}$  generates an output  $\tau$  that will be added to  $Z$  is at least  $\frac{\mu}{N_{\cup} \cdot (N_{\cup} + 1) \cdot q_H}$ . Then by the proof of [27, Theorem 1] and their Rewind-on-Success Lemma we get that  $\mathcal{R}$  will output  $(I^{(i)}, \mathbf{r}^{(i)}, \hat{\mathbf{r}}^{(i)}, d^{(i)}, \hat{d}^{(i)})$  with probability at least  $\left(\frac{\mu}{N_{\cup} \cdot (N_{\cup} + 1) \cdot q_H}\right)^2$ . The runtime of  $\mathcal{R}$  can be approximated by the number of iterations of its loops, which is  $2 \cdot N_{\cup} \cdot (N_{\cup} + 1) \cdot q_H \cdot s$ .  $\square$

*Proof (Lemma 4).* With respect to the **Link** algorithm from our construction, the definition translates into the requirement that the tags  $I^{(1)}, I^{(2)}$  from  $\Omega^{(1)}, \Omega^{(2)}$  are equal. Moreover, each  $I^{(i)}$  must be identical to an honestly generated identification tag for one of the public keys in  $L_1 \cup L_2$ . Let  $I = I^{(1)} = I^{(2)}$ .

We describe the algorithm now for completeness, but will neither give intuition nor analysis of the success probability as it follows directly from the algorithm  $\mathcal{R}$  from the Lemma 3.  $\mathcal{R}'$  works as follows:

1. For each  $\ell \in [q_H], \pi \in [N_{\cup}], i \in \{1, 2\}$  do the following:
  - (a) Run  $\mathcal{A}$  on fresh randomness  $r$ , the oracle  $H$  and simulate the oracles  $\mathcal{O}_K, \mathcal{O}_S$  using **KGen, Sign**. This will generate an output  $\tau$ .
  - (b) Add  $(\ell, \pi, i, \tau, r)$  to the list  $Z$  if:
    - $\tau = (L_1, L_2, (m^{(1)}, \Omega^{(1)}), (m^{(2)}, \Omega^{(2)}))$  and fulfills Definition 8.
    - $\Omega^{(i)}$  is a  $(\ell, \pi)$ -signature,  $SK_i$  was not queried and  $\Omega^{(i)}$  was not an output of  $\mathcal{O}_S$ .
2. For each  $(\ell, \pi, i, \tau, r) \in Z$ , we do the following:
  - (a) Rewind  $\mathcal{A}$  on  $r$  until right after the  $\ell$ th query to  $H$ . Then, for each new query to  $H$  sample a new output value.
  - (b) For the new output  $\hat{\tau}$  check if
    - $\hat{\tau} = (L_1, L_2, (\hat{m}^{(1)}, \hat{\Omega}^{(1)}), (\hat{m}^{(2)}, \hat{\Omega}^{(2)}))$  and fulfills Definition 8.
    - $\hat{\Omega}^{(i)}$  is a  $(\ell, \pi)$ -signature,  $SK_i$  was not queried and  $\hat{\Omega}^{(i)}$  was not an output of  $\mathcal{O}_S$ .
  - (c) If the check fails, continue with the next element from  $Z$ . Else output  $(I, \mathbf{r}^{(i)}, \hat{\mathbf{r}}^{(i)}, d^{(i)}, \hat{d}^{(i)}, \pi)$ .
3. Output  $\perp$ .

Using the same argument as in the linkability proof, the probability that  $\mathcal{R}'$  succeeds in not outputting  $\perp$  is at least  $\left(\frac{\mu}{2 \cdot q_H \cdot N_{\cup}}\right)^2$  for  $\mu = \epsilon - \frac{1}{|D| - q_H - N \cdot q_S}$ . The runtime of  $\mathcal{R}'$  is dominated by the number of iterations of the inner loops and can be approximated as  $O(N_{\cup} \cdot q_H \cdot s)$ .  $\square$

<sup>10</sup> This is in fact enough as the input to  $H$  for this query will be fixed. This means that the used tag is the same (as it is input to the random oracle) and also the list of public keys, which means that the tag will still not belong to a public key.