

# Memory-Tight Reductions\*

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## Abstract

Cryptographic reductions typically aim to be *tight* by transforming an adversary  $A$  into an algorithm that uses essentially the same resources as  $A$ . In this work we initiate the study of *memory efficiency* in reductions. We argue that the amount of working memory used (relative to the initial adversary) is a relevant parameter in reductions, and that reductions that are inefficient with memory will sometimes yield less meaningful security guarantees. We then point to several common techniques in reductions that are memory-inefficient and give a toolbox for reducing memory usage. We review common cryptographic assumptions and their sensitivity to memory usage. Finally, we prove an impossibility result showing that reductions between some assumptions must *unavoidably* be either memory- or time-inefficient. This last result follows from a connection to data streaming algorithms for which unconditional memory lower bounds are known.

**Keywords:** memory, tightness, provable security, black box reduction

## 1 Introduction

Cryptographic reductions support the security of a cryptographic scheme  $S$  by showing that any attack against  $S$  can be transformed into an algorithm for solving a problem  $P$ . The *tightness* of a reduction is in general some measure of how closely the reduction relates the resources of attacks against  $S$  to the resources of the algorithm for  $P$ . A tighter reduction gives a better algorithm for  $P$ , ruling out a larger class of attacks against  $S$ . Typically one considers resources like runtime, success probability, and sometimes the number of queries (to oracles defined in  $P$ ) of the resultant algorithm when evaluating the tightness of a reduction.

This work revisits how we measure the resources of the algorithm produced by a reduction. We observe that *memory usage* is an often important but overlooked metric in evaluating cryptographic reductions. This is despite practitioners being well aware of the importance of memory consumption for security (e.g., [AMPH14]). Consider typical “tight” reductions from the literature, which start with an attack against a scheme  $S$  that uses (say) time  $t_S$  to achieve success probability  $\varepsilon_S$ , and transform the attack into an algorithm for problem  $P$  running in time  $t_P \approx t_S$  and succeeding with probability  $\varepsilon_P \approx \varepsilon_S$ . We observe that reductions tight in this sense are sometimes highly *memory-loose*: If the attack against  $S$  used  $m_S$  bits of working memory, the reduction may produce an algorithm using  $m_P \gg m_S$  bits of memory to solve  $P$ . Depending on  $P$ , this changes the conclusions we can draw about the security of the scheme.

In this paper we investigate memory-efficiency in cryptographic reductions in various settings. We show that some standard decisions in security definitions have a bearing on memory efficiency of possible reductions. We give several simple techniques for improving memory efficiency of certain classes of reductions, and finally turn to a connection between streaming algorithms and memory/time-efficient reductions.

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TIGHTNESS, MEMORY-TIGHTNESS, AND SECURITY. Reductions between a problem  $P$  and a cryptographic scheme  $S$  that approximately preserve runtime and success probability are usually called *tight* (see [BR96, Gal04, BR09]). Tight reductions are preferred because they provide stronger assurance for the security of  $S$ . Specifically, let us call an algorithm running in time  $t$  and succeeding with probability  $\varepsilon$  a  $(t, \varepsilon)$ -algorithm (for a given problem, or to attack a given scheme). Suppose that a reduction converts a  $(t_S, \varepsilon_S)$ -adversary against scheme  $S$  into a  $(t_P, \varepsilon_P)$ -algorithm for  $P$  where  $(t_P, \varepsilon_P)$  are functions of the first two. If it is believed that no  $(t_P, \varepsilon_P)$ -algorithm should exist for  $P$ , then one concludes that no  $(t_S, \varepsilon_S)$ -adversary can exist against  $S$ .

If a reduction is not tight, then in order to conclude that scheme  $S$  is secure against  $(t_S, \varepsilon_S)$ -adversaries one must adjust the parameters of the instance of  $P$  on which  $S$  is built, leading to a less efficient construction. In some extreme cases, obtaining a reasonable security level for a scheme with a non-tight reduction leads to an impractical construction. Addressing this issue has become an active area of research in the last two decades (e.g., [BR96, BBM00, BR09, CMS12, CKMS16, BJLS16, GHKW16]).

In this work we keep track of the amount of memory used in reductions. To see when memory usage becomes relevant, let a  $(t, m, \varepsilon)$ -algorithm use  $t$  time steps,  $m$  units of memory, and succeed with probability  $\varepsilon$ . A tight reduction from  $S$  to  $P$  transforms  $(t_S, m_S, \varepsilon_S)$ -adversaries into  $(t_P, m_P, \varepsilon_P)$ -algorithms, where “tight” guarantees  $t_S \approx t_P$  and  $\varepsilon_S \approx \varepsilon_P$ , but permits  $m_P \gg m_S$ , up to the worst-case  $m_P \approx t_P$ .

Now, suppose concretely that we want  $S$  to be secure against  $(2^{256}, 2^{128}, O(1))$ -adversaries, based on very conservative estimates of the resources available to a powerful government. Consider two possible “tight” reductions: One that is additionally “memory-tight” and transforms a  $(2^{256}, 2^{128}, O(1))$ -adversary  $A$  against  $S$  into a  $(2^{256}, 2^{128}, O(1))$ -algorithm  $B_{mt}$  for  $P$ , and one that is “memory-loose” and instead only yields a  $(2^{256}, 2^{256}, O(1))$ -algorithm  $B_{nmt}$  for  $P$ .

The crucial point is that some problems  $P$  can be solved faster when larger amounts of memory are used. In our example above, it may be that  $P$  is impossible to solve with  $2^{256}$  time and  $2^{128}$  memory for some specific security parameter  $\lambda$ . But with both time and memory up to  $2^{256}$ , the best algorithm may be able to solve instances of  $P$  with security parameter  $\lambda$ , and with even larger parameters up to some  $\lambda' > \lambda$ . The memory-looseness of the reduction now bites, because to achieve the original security goal for  $S$  we must use the larger parameter  $\lambda'$  for  $P$ , resulting in a slower instantiation of the scheme. Even worse, when  $P$  is a problem involving a symmetric primitive where the “security parameter” cannot be changed the issue is more difficult to address.

We now address two points in turn: If  $P$  is easier to solve when large memory is available, what does this mean for memory-tight reductions? And when are reductions “memory-loose”?

MEMORY-SENSITIVE PROBLEMS AND MEMORY-TIGHTNESS. Many, but not all, problems  $P$  relevant to cryptography can be solved more quickly with large memory than with small. In the public-key realm these include factoring, discrete-logarithm in prime fields, Learning Parities with Noise (LPN), Learning With Errors (LWE), approximate Shortest Vector Problem, and Short Integer Solution (SIS). In symmetric-key cryptography such problems include key-recovery against multiple-encryption, finding multi-collisions in hash functions, and computation of memory-hard functions. We refer to problems like these as *memory-sensitive*. (See Section 6 for more discussion.)

On the other hand, problems  $P$  exist where the best known algorithm also uses small memory: Discrete-logarithm in elliptic curve groups over prime-fields [GG15], finding (single) collisions in hash functions [Pol75], finding a preimage in hash functions (exhaustive search), and key recovery against block-ciphers (also exhaustive search).

Let us consider some specific examples to illustrate the impact of a memory-loose reduction to a non-memory-sensitive versus a memory-sensitive problem. Let  $CR_k$  be the problem of finding a  $k$ -way collision in a hash function  $H$  with  $\lambda$  output bits, that is, finding  $k$  distinct domain points  $x_1, \dots, x_k$  such that  $H(x_1) = H(x_2) = \dots = H(x_k)$  for some fixed  $k \geq 2$ .

First suppose we reduce the security of a scheme  $S$  to  $CR_2$ , which is standard collision-resistance. The problem  $CR_2$  is not memory-sensitive, and the best known attack is a  $(2^{\lambda/2}, O(1), O(1))$ -algorithm. In the left plot of Figure 1 we visualize the “feasible” region for  $CR_2$  and  $\lambda = 256$ , where the shaded region is unsolvable. Now we consider two possible reductions. One is a memory-tight reduction which maps an adversary  $A$  (with some time and memory complexity and possibly much less memory than time) to an algorithm  $B_{mt}$  for  $CR_2$  with the same time and memory. The other reduction is memory-loose (but time-tight) and maps  $A$  to an adversary  $B_{nmt}$  that uses time and memory approximately equal to the time

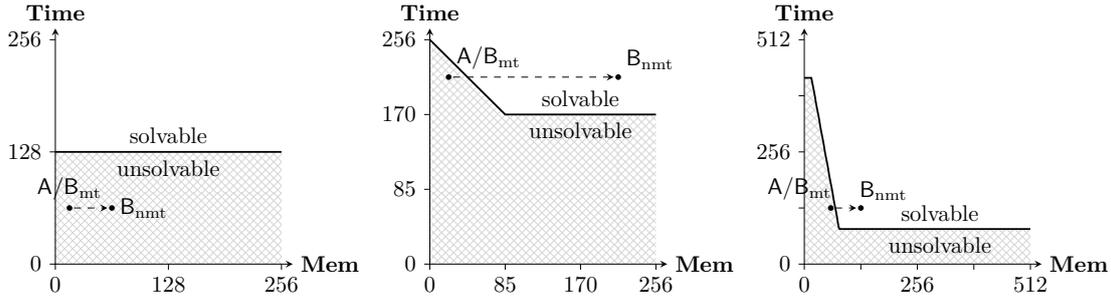


Figure 1: Time/memory trade-off plots for collision-resistance ( $\text{CR}_2$ , left), triple collision-resistance ( $\text{CR}_3$ , middle) and LPN with dimension 1024 and error rate  $1/4$  (right). All plots are log-log.

of  $A$ . We plot the effect of these reductions in the left part of the figure. A tight reduction leaves the point essentially unchanged, while a memory-loose reduction moves the point horizontally to the right. Both reductions will produce adversaries  $B_{\text{mt}}$  and  $B_{\text{nmt}}$  in the region not known to be solvable, thus giving a meaningful security statement about  $A$  that amounts to ruling out the shaded region of adversaries. We do note that there is a possible quantitative difference in the guarantees of the reductions, since it is only harder to produce an algorithm with smaller memory, but this benefit is difficult to measure.

Now suppose instead that we reduce the security of a scheme  $S$  to  $\text{CR}_3$ . The best known attack against  $\text{CR}_3$  is a  $(2^{(1-\alpha)\lambda}, 2^{\alpha\lambda}, O(1))$ -algorithm due to Joux and Lucks [JL09], for any parameter  $\alpha \leq 1/3$ . For  $\lambda = 256$ , we visualize this time-memory trade-off in the middle plot of Figure 1, and again any adversary with time and memory in the shaded region would be a cryptanalytic advance. We once more consider a memory-tight versus a memory-loose reduction. The memory-tight reduction preserves the point for the adversary  $A$  in the plot and thus rules out  $(t_S, m_S, O(1))$  adversaries for any  $t_S, m_S$  in the shaded region. A memory-loose (but time-tight) reduction mapping  $A$  to  $B_{\text{nmt}}$  for  $\text{CR}_3$  that blows up memory usage up to time usage will move the point horizontally to the right. We can see that there are drastic consequences when the original adversary  $A$  lies in the triangular region with time  $> 2\lambda/3$  and memory  $< \lambda/3$ , because the reduction produces an adversary  $B_{\text{nmt}}$  using resources for which  $\text{CR}_3$  is known to be broken. In summary, the reduction only rules out adversaries  $A$  below the horizontal line with time  $= 2\lambda/3$ .

Finally we consider an example instantiation of parameters for the *learning parities with noise* (LPN) problem, which is memory-sensitive, where a memory-loose reduction would diminish security guarantees. In Section 6 we recall this problem and the best attacks [EKM17], and in the right plot of Figure 1 the shaded region represents the infeasible region for the problem in dimension 1024 and error rate  $1/4$ . (For simplicity, all hidden constants are ignored in the plot.) In this problem the effect of memory-looseness is more stark. Despite using a large dimension, a memory-loose reduction can only rule out attacks running in time  $< 2^{85}$ . A memory-tight reduction, however, gives a much stronger guarantee for adversaries with memory less than  $2^{85}$ .

**MEMORY-LOOSE REDUCTIONS.** Reductions are often memory-loose, and small decisions in definitions can lead to memory usage being artificially high. We start with an illustrative example.

Suppose we have a tight security reduction (in the traditional sense) in the random oracle model [BR93] between a problem  $P$  and some cryptographic scheme  $S$ . More concretely, suppose a reduction transforms a  $(t_S, m_S, \varepsilon_S)$ -adversary  $A_S$  in the random-oracle model into a  $(t_P, m_P, \varepsilon_P)$ -algorithm  $A_P$  for  $P$ . A typical reduction has  $A_P$  simulate a security game for  $A_S$ , including the random oracle, usually via a table that stores responses to queries issued by  $A_S$ . Naively removing the table from storage usually is not an option for various reasons: For example, if  $A_S$  queries the oracle on the same input twice, then it expects to see the same output twice, or perhaps the reduction needs to “program” the random oracle with responses that must be remembered.

Storing a table for the random oracle may dramatically increase memory usage of the algorithm  $A_P$ . If adversary  $A_S$  makes  $q_H$  queries to the random oracle, then  $A_P$  will store  $\Omega(q_H)$  bits of memory, plus the internal memory  $m_S$  of  $A_S$  during the simulation, which gives

$$m_P = m_S + \Omega(q_H) .$$

In the worst case,  $A_S$  could run in constant memory and make one random oracle query per time unit, meaning that  $A_P$  requires as much memory as its running time. Thus the reduction may be “tight” in the traditional sense with  $t_P \approx t_S, \varepsilon_P \approx \varepsilon_S$ , but also have

$$m_P = m_S + t_S . \tag{1}$$

Thus  $A_P$  may use an enormous amount of memory  $m_P$  even if  $A_S$  satisfied  $m_S = O(1)$ .

This example is only the start. Memory-looseness is sometimes, but not always, easily fixed, and seems to occur because it was not measured in reductions. Below we will furnish examples of other reductions that are (sometimes implicitly) memory-loose. We will also discuss some decisions in definitions and modeling that dramatically effect memory usage but are not usually stressed.

## 1.1 Our results

Even though there exists an extensive literature on tightness of cryptographic security reductions (e.g., [BR96, BBM00, CMS12, GHKW16, CKMS16]), memory has, to the best of our knowledge, only been considered in very specific settings in the context of security reductions. In [DGHM13] the authors study hash function constructions in the ideal cipher model and introduce the notion of *memory-aware reducibility*, where the memory requirements of a simulator in an indistinguishability game are taken into account. In this paper we first identify the problems related to non-memory-tight security reductions. To overcome the problems, we initiate a systematic study on how to make known security reductions memory-tight. Concretely, we provide several techniques to obtain memory-efficient reductions and give examples where they can be applied. Our techniques can be used to make many security reductions memory-tight, but not all of them. Furthermore, we show that this is inherent, i.e., that there exist natural cryptographic problems that do not have a fully tight security reduction. Finally, we examine various memory-sensitive problems such as the learning parity with noise (LPN) problem, the factoring problem, and the discrete logarithm problem over finite fields.

**THE RANDOM ORACLE TECHNIQUE.** Recall that a classical simulation of the random oracle using the lazy sampling technique requires the reduction to store  $O(q_H)$  values. The idea is to replace the responses  $H(x)$  to a random oracle query  $x$  by  $\text{PRF}(k, x)$ , where  $\text{PRF}$  is a pseudorandom function and  $k$  is its key. This technique was already proposed in [Ber11] without a formal analysis. The limitation of this technique is that it can only be applied to very restricted cases of a programmable random oracle.

**THE REWINDING TECHNIQUE.** The idea of the rewinding technique is to use the adversary as a “memory device.” Concretely, whenever the reduction would like to access values previously output by the adversary that it did not store in its memory, it simply rewinds the adversary which is executed with the same random coins and with the same input. This way the reduction’s running time doubles, but (unlike previous applications of the rewinding technique in cryptography, e.g., [PS00]) the overall success probability does not decrease. The rewinding technique can be applied multiple times providing a trade-off between memory efficiency and running time of the reduction. To exemplify the techniques, we show a memory-tight security reduction to the RSA full-domain hash signature scheme in Section 5.

**A LOWER BOUND.** Some reductions appear (to us at least) to inherently require increased memory. We take a first step towards formalizing this intuition by proving a lower bound on the memory usage of a class of black-box reductions in two scenarios.

First, we revisit a reduction implicitly used to justify the standard unforgeability notion for digital signatures, which reduces a game with several chances to produce a valid forgery to the standard game with only one chance. One can take this as a possible indication that signatures with memory-tight reductions in the more permissive model may be preferred. Second, we prove a similar lower bound on the memory usage of a class of reductions between a “multi-challenge” variant of collision resistance and standard collision resistance.

Interestingly, our lower bound follows from a result on *streaming algorithms*, which are designed to use small space while working with sequential access to a large stream of data.

**OPEN PROBLEMS.** This work initiates the study of memory-tight reductions in cryptography. We give a number of techniques to obtain such reductions, but many open problems remain. There are likely other reductions in the literature that we have not covered, and to which our techniques do not apply. It is

even unclear how one should consider basic definitions, like unforgeability for signatures, since the generic reductions from more complicated (but more realistic) definitions may be tight but not memory-tight.

One reduction we did consider, but could not improve, is the IND-CCA security proof for Hash ElGamal in the random oracle model [ABR01] under the gap Diffie-Hellman assumption. This reduction (and some others that use “gap” assumptions) use their random oracle table in a way that our techniques cannot address. We conjecture that a memory-tight reduction does not exist in this case, and leave it as an open problem to (dis)prove our conjecture.

## 2 Complexity Measures

We denote random sampling from a finite set  $A$  according to the uniform distribution with  $a \stackrel{\boxplus}{\leftarrow} A$ . By  $\text{Ber}(\alpha)$  we denote the Bernoulli distribution for parameter  $\alpha$ , i.e., the distribution of a random variable that takes value 1 with probability  $\alpha$  and value 0 with probability  $1 - \alpha$ ; by  $\mathbb{P}_\ell$  the set of primes of bit size  $\ell$  and by  $\log$  the logarithm with base 2.

### 2.1 Computational Model

COMPUTATIONAL MODEL. All *algorithms* in this paper are taken to be RAMs. These programs have access to memory with words of size  $\lambda$ , along with a constant number of registers that each hold one word. In this paper  $\lambda$  will always be the security parameter of a construction or a problem under consideration.

We define *probabilistic algorithms* to be RAMs with a special instruction that fills a distinguished register with random bits (independent of other calls to the special instruction). We note that this instruction does not allow for rewinding of the random bits, so if the algorithm wants to access previously used random bits then it must store them. *Running* an algorithm  $A$  means executing a RAM machine with input written in its memory (starting at address 0). If  $A$  is randomized, we write  $y \stackrel{\boxplus}{\leftarrow} A(I)$  to denote the random variable  $y$  that is obtained by running  $A$  on input  $I$  (which may consist of a tuple  $I = (I_1, \dots, I_n)$ ). If  $A$  is deterministic, we write  $\leftarrow$  instead of  $\stackrel{\boxplus}{\leftarrow}$ . We sometimes give an algorithm  $A$  access to *stateful oracles*  $O_1, O_2, \dots, O_n$ . Each  $O_i$  is defined by a RAM  $M_i$ . We also define an associated string  $\text{st}_O$  called the *oracle state* that is stored in a protected region of the memory of  $A$  that can only be read by the oracles. Initially  $\text{st}_O$  is defined to be empty. An algorithm  $A$  *calls an oracle*  $O_i$  via a special instruction, which runs the corresponding RAM on input from a fixed region of memory of  $A$  along with the oracle state  $\text{st}_O$ . The RAM  $M_i$  uses its own protected working memory, and finally its output is written into a fixed region of memory for  $A$ , the updated state is written to  $\text{st}_O$ , and control is transferred back to  $A$ .

GAMES. Most of our security definitions and proofs use *code-based games* [BR06]. A game  $G$  consists of a RAM defining an `Init` oracle, zero or more stateful oracles  $O_1, \dots, O_n$ , and a `Fin` RAM oracle. An adversary  $A$  is said to play game  $G$  if its first instruction calls `Init` (handing over its own input) and its last instruction calls `Fin`, and in between these calls it only invokes  $O_1, \dots, O_n$  and performs local computation. We further require that  $A$  outputs whatever `Fin` outputs.

*Executing game  $G$  with  $A$*  is formally just running  $A$  with input  $\lambda$ , the security parameter. Keeping with convention, we denote the random variable induced by executing  $G$  with  $A$  as  $G^A$  (where the sample space is the randomness of  $A$  and the associated oracles). By  $G^A \Rightarrow \text{out}$  we denote the event that  $G$  executed with  $A$  outputs `out`. In our games we sometimes denote a “Stop” command that takes an argument. When `Stop` is invoked, its argument is considered the output of the game (and the execution of the adversary is halted). If a game description omits the `Fin` procedure, it means that when  $A$  calls `Fin` on some input  $x$ , `Fin` simply invokes `Stop` with argument  $x$ . By default, integer variables are initialized to 0, set variables to  $\emptyset$ , strings to the empty string and arrays to the empty array.

### 2.2 Complexity Measures

This work is concerned with measuring the resource consumption of an adversary in a way that allows for meaningful conclusions about security. Success probabilities and time are widely used in the cryptographic literature with general agreement on the details, which we recall first. Memory consumption of reductions is however new, so we next discuss the possible options in measuring memory and the implications.

**SUCCESS PROBABILITY.** We define the *success probability of A playing game G* as  $\mathbf{Succ}(G^A) := \Pr[G^A \Rightarrow 1]$ .

**RUNTIME.** Let  $A$  be an algorithm (RAM) with no oracles. The runtime of  $A$ , denoted  $\mathbf{Time}(A)$ , is the worst-case number of computation steps of  $A$  over all inputs of bit-length  $\lambda$  and all possible random choices. Now let  $G$  be a game and  $A$  be an adversary that plays game  $G$ . The runtime of executing  $G$  with  $A$  is usually taken to be the number of computation steps of  $A$  plus the number of computation steps of each RAM used to respond to oracle queries: We denote this as  $\mathbf{TotalTime}(G^A)$  or  $\mathbf{TotalTime}(A)$ . One may prefer not to include the time used by the oracles, and in this case we denote  $\mathbf{LocalTime}(G^A)$  or  $\mathbf{LocalTime}(A)$  to be the number of steps of  $A$  only.

**MEMORY.** We define the memory consumption of a RAM program  $A$  without oracles, denoted  $\mathbf{Mem}(A)$ , to be the size (in words of length  $\lambda$ ) of the code of  $A$  plus the worst-case number of registers used in memory at any step in computation, over all inputs of bit-length  $\lambda$  and all random choices. Now let  $G$  be a game and  $A$  be an adversary that plays game  $G$ . The memory required to execute game  $G$  with  $A$  includes the memory needed to input and output to  $A$ , as well as input and output to each oracle, along with the working memory and state of each oracle. We denote this as  $\mathbf{TotalMem}(G^A)$  or  $\mathbf{TotalMem}(A)$ . Alternatively, one may measure only the code and memory consumed by  $A$ , but not its oracles. We denote this measure by  $\mathbf{LocalMem}(A)$ .

One advantage of the  $\mathbf{LocalMem}$  measure is that it can avoid small details of security definitions drastically changing the meaning of memory-tightness in reductions.

Sometimes it will be convenient to measure the memory consumption in bits, in which case we use  $\mathbf{Mem}_2(A)$ ,  $\mathbf{LocalMem}_2(A)$ , and  $\mathbf{TotalMem}_2(A)$ .

### 2.3 Case Study I: Unforgeability of Digital Signatures

Let  $(\mathbf{Gen}, \mathbf{Sign}, \mathbf{Ver})$  be a digital signature scheme (see Section 5 for the exact syntax of signatures, which is standard). On the left side of Figure 2 we recall the game  $\mathbf{UFCMA}$  that defines the standard notion of (existential) unforgeability under chosen-message attacks. The advantage of an adversary  $A$  is defined by  $\mathbf{Adv}(\mathbf{UFCMA}^A) = \mathbf{Succ}(\mathbf{UFCMA}^A)$ , and a signature scheme where  $\mathbf{Adv}(\mathbf{UFCMA}^A)$  is “small” for some class of adversaries is usually defined to be “secure”. In order for the definition to be meaningful, the game  $\mathbf{UFCMA}$  checks that the signature  $\sigma^*$  on  $m^*$  is valid, and also that  $m^*$  was not queried to the signing oracle. In our version of the definition, the signing oracle maintains a set  $S$  of messages that were queried, and the game uses  $S$  to check if  $m^*$  was queried.

The  $\mathbf{UFCMA}$  game is an example where we prefer  $\mathbf{LocalMem}$  to  $\mathbf{TotalMem}$ . Any adversary  $A$  playing  $\mathbf{UFCMA}$  will always have  $\mathbf{TotalMem}(A) = \Omega(q_S)$ , where  $q_S$  is the number of signature queries it issues, while it may have  $\mathbf{LocalMem}(A)$  much smaller. Restricting the number of signing queries  $q_S$  is an option but weakens the definition.

An alternative style of definition for unforgeability is to limit the class of adversaries  $A$  considered to those that are “well behaved” in that they never submit an  $m^*$  that was previously queried. The game no longer needs to track which messages were queried to the signing oracle in order to be meaningful. This definition is equivalent up to a small increase in (local) running time, but it is not clear if the same is true for memory. To convert *any* adversary to be well behaved, natural approaches mimic our version of the game, storing a set  $S$  and checking the final forgery locally before submitting.

We contend that there is good reason to prefer our definition over the version that only quantifies over well-behaved adversaries. In principle, it is possible that a signature construction is secure against a class of well-behaved adversaries (say, running in a bounded amount of time and memory) but not against general adversaries running with the same time/memory. Counter-intuitively, such a general adversary might produce a forgery without knowing itself if the forgery is fresh and thus wins the game. Since we cannot rule this out, we prefer our stronger definition.

**STRONGER UNFORGEABILITY.** Games in many crypto-definitions are chosen to be simple and compact but also general. The game  $\mathbf{UFCMA}$  only allows a single attempt at a forgery in order to shorten proofs, but the definition also tightly implies (up to a small increase in runtime) a version of unforgeability where the attacker gets many attempts, which more closely models usages where an attacker will have many chances to produce a forgery.

It is less clear how  $\mathbf{UFCMA}$  relates to more general definitions when memory tightness is taken into account. To make this more concrete, consider the game  $\mathbf{mUFCMA}$  (for “many  $\mathbf{UFCMA}$ ”) on the right side of Figure 2. In this game the adversary has an additional verification oracle. If it ever submits a

<p>Game UFCMA</p> <p>Procedure Init</p> <pre> 00 <math>S \leftarrow \emptyset</math> 01 <math>(pk, sk) \stackrel{\boxtimes}{\leftarrow} \text{Gen}</math> 02 Return <math>pk</math> </pre> <p>Procedure ProcSign(<math>m</math>)</p> <pre> 03 <math>S \leftarrow S \cup \{m\}; \sigma \stackrel{\boxtimes}{\leftarrow} \text{Sign}(sk, m)</math> 04 Return <math>\sigma</math> </pre> <p>Procedure Fin(<math>m^*, \sigma^*</math>)</p> <pre> 05 If <math>\text{Ver}(pk, m^*, \sigma^*) = 1 \wedge m^* \notin S</math> 06   Stop with 1 07 Stop with 0 </pre>	<p>Game mUFCMA</p> <p>Procedure Init</p> <pre> 00 <math>S \leftarrow \emptyset; \text{win} \leftarrow 0</math> 01 <math>(pk, sk) \stackrel{\boxtimes}{\leftarrow} \text{Gen}</math> 02 Return <math>pk</math> </pre> <p>Procedure ProcSign(<math>m</math>)</p> <pre> 03 <math>S \leftarrow S \cup \{m\}; \sigma \stackrel{\boxtimes}{\leftarrow} \text{Sign}(sk, m)</math> 04 Return <math>\sigma</math> </pre> <p>Procedure ProcVer(<math>m^*, \sigma^*</math>)</p> <pre> 05 If <math>\text{Ver}(pk, m^*, \sigma^*) = 1 \wedge m^* \notin S</math> 06   <math>\text{win} \leftarrow 1</math> </pre> <p>Procedure Fin</p> <pre> 07 Stop with win </pre>
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Figure 2: Games UFCMA, mUFCMA.

fresh forgery to this oracle, it wins the game. It is easy to give a tight, but non-memory-tight, reduction converting any  $(t, m, \varepsilon)$ -adversary playing mUFCMA into a  $(t', m', \varepsilon)$ -adversary playing UFCMA for  $t' \approx t$  but  $m' \gg m$ . Other trade-offs are also possible but achieving tightness in all three parameters seems difficult.

For the reasons described in the introduction, a memory-tight reduction from winning mUFCMA to winning UFCMA is desirable. In Section 4, we show that a certain class of black-box reductions for these problems in fact cannot be simultaneously tight in runtime, memory, and success probability. We conclude that signatures with dedicated memory-tight proofs against adversaries in the mUFCMA may provide stronger security assurance, especially when security is reduced to a memory-sensitive problem like RSA.

We remark that the common reduction from multi-challenge to single-challenge IND-CPA/IND-CCA security for public-key encryption is memory tight (but not tight in terms of the success probability).

## 2.4 Case Study II: Collision-Resistance Definitions

Collision-resistance, and multi-collision-resistance of hash functions, is used for security reductions in many contexts. Let  $H$  be a keyed hash function (with  $\kappa$ -bit keys), with standard syntax. On the left side of Figure 3 we recall the game  $\text{CR}_t$  used to define  $t$ -collision resistance. The game provides no extra oracles, and  $A$  wins if it can find  $t$  domain points that are mapped to the same point by  $H$ .

As we will see in later sections, it is sometimes feasible to fix typical memory-tight reductions to  $\text{CR}_t$ . We however now consider using collision-resistance (for  $t = 2$ ) for *domain extension of pseudorandom functions*. Let  $F : \{0, 1\}^\kappa \times \{0, 1\}^\delta \rightarrow \{0, 1\}^\rho$  be a keyed function with input-length  $\delta$  which should have random looking input/output behavior to some class of adversaries (see Section 3.1 for a formal definition of PRFs). We can define a new keyed function  $F^*$  that takes arbitrary-length inputs by

$$\begin{aligned}
F^* &: \{0, 1\}^{2\kappa} \times \{0, 1\}^* \rightarrow \{0, 1\}^\rho, \\
F^*((k, k_h), x) &= F(k, H(k_h, x)).
\end{aligned}$$

The proof that  $F^*$  is a PRF is an easy hybrid argument. One first bounds the probability that an adversary submits two inputs that collide in  $H$ . Once this probability is known to be small, the memory-tight reduction to the pseudorandomness of  $F$  is immediate.

Naive attempts at the reduction to collision-resistance are however not memory-tight. One can run the adversary attacking  $F^*$  and record its queries, checking for any collisions, but this increases memory usage.

To model what such a proof is trying to do, we formulate a new game for  $t$ -collision resistance called  $\text{mCR}_t$  in the right side of Figure 3. In the game, the adversary has an oracle  $\text{ProcInput}$  that takes a message and adds it to a set  $S$ . At the end of the game, the adversary wins if  $S$  contains any  $t$  inputs that are mapped to the same point. The game implements this check using counters stored in a dictionary.

Returning to the proof for  $F^*$ , one can easily construct an adversary to play  $\text{mCR}_2$  using any PRF adversary. The resulting reduction will be memory-tight. Thus it would be desirable to have a memory-tight reduction from  $\text{mCR}_2$  to  $\text{CR}_2$  to complete the proof. This however seems difficult or even impossible,

<p>Game <math>\text{CR}_t</math></p> <p>Procedure Init</p> <p>00 <math>k \xleftarrow{\\$} \{0, 1\}^\kappa</math></p> <p>01 Return <math>k</math></p> <p>Procedure Fin(<math>m_1, \dots, m_t</math>)</p> <p>02 If <math> \{m_1, \dots, m_t\}  &lt; t</math></p> <p>03 Stop with 0</p> <p>04 If <math>\forall i : H(k, m_1) = H(k, m_i)</math></p> <p>05 Stop with 1</p> <p>06 Stop with 0</p>	<p>Game <math>\text{mCR}_t</math></p> <p>Procedure Init</p> <p>00 <math>k \xleftarrow{\\$} \{0, 1\}^\kappa; S \leftarrow \emptyset</math></p> <p>01 Return <math>k</math></p> <p>Procedure Proclnput(<math>m</math>)</p> <p>02 <math>S \leftarrow S \cup \{m\}</math></p> <p>Procedure Fin</p> <p>03 Initialize dictionary <math>D</math></p> <p>04 For <math>m \in S</math>:</p> <p>05 Increment <math>D[H(k, m)]</math></p> <p>06 If <math>D[H(k, m)] \geq t</math></p> <p>07 Stop with 1</p> <p>08 Stop with 0</p>
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Figure 3: Games  $\text{CR}_t, \text{mCR}_t$  ( $t \geq 2$ ).

<p>Game Real</p> <p>Procedure Init</p> <p>00 <math>k \xleftarrow{\\$} \{0, 1\}^\kappa</math></p> <p>Procedure <math>\text{O}_F(x)</math></p> <p>01 Return <math>F(k, x)</math></p>	<p>Game Random</p> <p>Procedure Init</p> <p>Procedure <math>\text{O}_F(x)</math></p> <p>01 If <math>R[x]</math> undefined:</p> <p>02 <math>R[x] \xleftarrow{\\$} \{0, 1\}^\rho</math></p> <p>03 Return <math>R[x]</math></p>	<p>Game <math>\text{Random}_\alpha</math></p> <p>Procedure Init</p> <p>Procedure <math>\text{O}_F(x)</math></p> <p>01 If <math>R[x]</math> undefined:</p> <p>02 <math>R[x] \xleftarrow{\\$} \text{Ber}(\alpha)</math></p> <p>03 Return <math>R[x]</math></p>
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Figure 4: Games defining PRF and  $\alpha$ -PRF advantage.

and in Section 4 we show that a class of black-box reductions cannot be memory-tight. As discussed in the introduction,  $t$ -collision-resistance is not memory sensitive for  $t = 2$ , and thus the meaning of a memory-tight reduction is somewhat diminished (i.e. it does not justify more aggressive parameter settings). For  $t > 2$  the effect of memory-tightness is more significant.

### 3 Techniques to Obtain Memory Efficiency

In this section we describe four techniques to obtain memory-efficient reductions. In Section 5 we show how to apply those techniques to memory-tightly prove the security of the RSA Full Domain Hash signature scheme [BR93]. Using this example we also point to technical challenges that may arise when applying multiple techniques in the same proof.

#### 3.1 Pseudorandom Functions

First, we formally define pseudorandom functions. They are the main tool used in this section to make reductions memory efficient.

**Definition 3.1.** Let  $\kappa, \delta$  and  $\rho$  be integers. Further let  $F: \{0, 1\}^\kappa \times \{0, 1\}^\delta \rightarrow \{0, 1\}^\rho$  be a deterministic algorithm and let  $A$  be an adversary that is given access to an oracle and outputs a single bit. The PRF advantage of  $A$  is defined as

$$\text{Adv}(\text{PRF}^A) := |\text{Succ}(\text{Real}^A) - \text{Succ}(\text{Random}^A)| ,$$

where  $\text{Real}$  and  $\text{Random}$  are the games depicted in Figure 4.

If the range of  $F$  is just a single bit  $\{0, 1\}$ , we define the  $\alpha$ -PRF advantage with bias  $0 \leq \alpha \leq 1$  of  $A$  as

$$\text{Adv}(\text{PRF}_\alpha^A) := |\text{Succ}(\text{Real}^A) - \text{Succ}(\text{Random}_\alpha^A)| ,$$

where  $\text{Real}$  and  $\text{Random}_\alpha$  are the games in Figure 4.

$G_0$ : Standard Coin Generation	$G_1$ : Memory-Efficient Coin Generation
Procedure Init 00 $r \xleftarrow{\$} (\{0,1\}^\lambda)^L$	Procedure Init 00 $k \xleftarrow{\$} \{0,1\}^\kappa$
Procedure Coins 01 $i \leftarrow i + 1$ 02 Return $r_i$	Procedure Coins 01 $i \leftarrow i + 1$ 02 Return $F(k, i)$

Figure 5: Generating (pseudo)random coins in a memory-efficient way. By  $r_i$  we denote the  $i^{\text{th}}$  block of  $\lambda$  bits of the string  $r$ .

Note that a  $2^{-\rho}$ -PRF can be easily constructed from a standard PRF with range  $\{0,1\}^\rho$  by mapping  $1^\rho$  to 1 and all other values to 0. A  $1/q$ -PRF for arbitrary  $q$  can be constructed in a similar way from a standard PRF with sufficiently large image size  $\rho$ .

### 3.2 Generating (pseudo)random coins

Our first technique is the simplest, where we observe random coins used by adversaries can be replaced with pseudorandom coins, and that this substitution will save memory in certain reductions.

Consider a security game  $G$  and an adversary  $A$ . Both are probabilistic processes and therefore require randomness. When considering memory efficiency details on storing random coins could come to dominate memory usage. Specifically, some reductions run an adversary multiple times with the same random tape, which must be stored in between runs. One possibility to do this is by sampling all randomness required in game  $G^A$  (including the randomness used by  $A$ ) in advance. More formally let  $L \leq 2^\lambda$  be an upper bound on the amount of executions of the instruction filling a register with random bits in  $G^A$ . Then the sampling of random coins can be replaced by filling and storing  $L$  registers (memory units) with random bits at the beginning of Init and in the rest of the game replacing the  $i$ th call to the instruction with a procedure Coins returning the contents of the  $i$ th register. This is formalized in game  $G_0$  of Figure 5.

The game can be simulated in a memory-efficient way by replacing the random bits used by  $G$  and  $A$  with pseudorandom bits generated by a PRF  $F: \{0,1\}^\kappa \times \{0,1\}^\delta \rightarrow \{0,1\}^\lambda$ , as described in Game  $G_1$  of Figure 5. In this variant the game sets up the counter  $i$  in the usual way. Then a PRF key  $k$  is sampled from a key space  $\{0,1\}^\kappa$  and calls to Coins are simulated by returning the pseudorandom bits  $F(k, i)$ . We now compare the two ways of executing the game in terms of success probability, running time, and memory consumption.

**SUCCESS PROBABILITY.** By a simple reduction to the security of the PRF, there exists an adversary  $B$  with  $\mathbf{LocalTime}(B) = \mathbf{LocalTime}(A)$ ,  $\mathbf{LocalMem}(B) = \mathbf{LocalMem}(A) + 1$  such that

$$|\mathbf{Succ}(G_0^A) - \mathbf{Succ}(G_1^A)| \leq \mathbf{Adv}(\text{PRF}^B)$$

(see Definition 3.1). Observe that  $B$  perfectly simulates the Coins oracle as follows. For  $A$ 's  $i^{\text{th}}$  query to Coins, it queries  $\mathcal{O}_F$  of the PRF games on  $i$  and relays its response back to  $A$ . To do this, it needs to store a counter of  $\log L$  bits. All other procedures are simulated as specified in  $G_1$ .

**RUNNING TIME.** Game  $G_1$  needs to evaluate the PRF (via algorithm  $F$ )  $L$  times, hence we have  $\mathbf{TotalTime}(G_1^A) \leq \mathbf{TotalTime}(G_0^A) + L \cdot \mathbf{Time}(F)$ .

**MEMORY.** Both games have to store a counter  $i$  of size  $\log L \leq \lambda$  bits, which equals one memory unit. But while game  $G_0$  needs memory for storing  $L$  strings, the memory-efficient game  $G_1$  only needs additional memory  $\mathbf{Mem}(F)$ . Note that the PRF key is included in the memory of  $F$ . So overall, we have

$$\begin{aligned} \mathbf{TotalMem}(G_0^A) &= \mathbf{LocalMem}(A) + 1 + L \text{ ,} \\ \mathbf{TotalMem}(G_1^A) &= \mathbf{LocalMem}(A) + 1 + \mathbf{Mem}(F) \text{ .} \end{aligned}$$

Note that when applying this (and the following) techniques in a larger environment, special care has to be taken to keep the entire game consistent with the components changed by the technique. In particular, all intermediate reductions in a sequence of games have to be memory efficient to yield an overall memory-efficient reduction.

### 3.3 Random Oracles

Suppose a security game  $G$  is defined in the random oracle model, that is one of the game's procedures models a random oracle

$$H: \{0, 1\}^\delta \rightarrow \{0, 1\}^\lambda .$$

The standard way of implementing this is via a technique called lazy sampling [BR06], meaning that when an adversary  $A$  queries  $H$  on some value  $x$ , the game has to check if  $H(x)$  is already defined, and if not, it samples  $H(x)$  from some distribution and stores the value in a list, see  $G_0$  in Figure 6. This means that in the worst case, it needs to store as many strings as the number of adversarial queries.

However, there are several settings where the random oracle can be implemented by a PRF  $F: \{0, 1\}^\kappa \times \{0, 1\}^\delta \rightarrow \{0, 1\}^\lambda$  as described in  $G_1$  of Figure 6, thus making  $G$  more memory-efficient. Among these settings are the non-programmable random oracle model and certain random oracles, where only values obtained or computed during the `Init` procedure are used to program them.

$G_0$ : Standard Random Oracle	$G_1$ : Memory-Efficient Random Oracle
Procedure <code>Init</code>	Procedure <code>Init</code>
	00 $k \xleftarrow{\$} \{0, 1\}^\kappa$
Procedure <code>RO</code> ( $x_i$ )	Procedure <code>RO</code> ( $x_i$ )
01 If $H[x_i]$ undefined:	01 Return $F(k, x_i)$
02 $H[x_i] \xleftarrow{\$} \{0, 1\}^\lambda$	
03 Return $H[x_i]$	

Figure 6: The Random Oracle technique to simulate RO in a memory-efficient way. Here  $x_i$  denotes the  $i^{\text{th}}$  query to RO. Note that the queries  $x_1, \dots, x_q$  are not necessarily distinct.

In the following paragraph we analyze how success probability, running time and memory consumption change if we apply this technique.

**SUCCESS PROBABILITY.** There exists an adversary  $B$  with  $\mathbf{LocalTime}(A) = \mathbf{LocalTime}(B)$  and  $\mathbf{LocalMem}(A) = \mathbf{LocalMem}(B)$  such that

$$|\mathbf{Succ}(G_0^A) - \mathbf{Succ}(G_1^A)| \leq \mathbf{Adv}(\text{PRF}^B) .$$

$B$  perfectly simulates the RO by relaying all of  $A$ 's queries to  $O_F$  of the PRF games and forwarding the responses back to  $A$ . All other procedures are simulated as specified in  $G_1$ . When  $B$  is run with respect to game `Random` of Definition 3.1 it provides  $A$  with a perfect simulation of  $G_0$ , if it is run with respect to game `Real` with a perfect simulation of game  $G_1$ .

**RUNNING TIME.** Let  $q_H$  be the number of random oracle queries posed by the adversary. Then game  $G_1$  needs to evaluate the PRF  $q_H$  times, hence we have  $\mathbf{TotalTime}(G_1^A) \leq \mathbf{TotalTime}(G_0^A) + q_H \cdot \mathbf{Time}(F)$ .

**MEMORY.** Game  $G_0$  needs to store an array  $H$  of size at least  $q_H \cdot \lambda$  bits ( $= q_H$  memory units), while the memory-efficient game only needs memory to execute the PRF via algorithm  $F$ . So overall, we have

$$\begin{aligned} \mathbf{TotalMem}(G_0^A) &\geq \mathbf{LocalMem}(A) + q_H , \\ \mathbf{TotalMem}(G_1^A) &= \mathbf{LocalMem}(A) + \mathbf{Mem}(F) . \end{aligned}$$

### 3.4 Random Oracle Index Guessing Technique

This technique is used when random oracle queries are answered in two different ways, e.g., in a reduction where challenge values, like a discrete logarithm challenge  $X = g^x$ , are embedded in the programmable random oracle. Usually this is done by guessing some index  $i^*$  between 1 and  $q_H$  in the beginning, where  $q_H$  is the number of random oracle queries posed by the adversary. During the simulation, the challenge value is then embedded in the reduction's response to the  $i^{*\text{th}}$  random oracle query.

To do this, the game needs to keep a list of all queries and responses. Independently of the way the game answers all the other queries except for the  $i^{*\text{th}}$  one, simply keeping a counter is not sufficient, since an adversary posing the same query all the time would then receive two different responses and the random oracle thus wouldn't be well defined anymore. An example of such a game using the index

guessing technique is game  $G_0$  of Figure 7, where two deterministic procedures  $P_0$  and  $P_1$  are used to program  $H$  depending on  $i^*$ .

To make games of this kind memory-efficient, one can use a  $1/q_H$ -PRF (see Definition 3.1)  $F: \{0, 1\}^\kappa \times \{0, 1\}^\delta \rightarrow \{0, 1\}$ , associating to each value of the domain of the random oracle a bit 0 with probability  $1 - 1/q_H$  or 1 with probability  $1/q_H$  and then programming the random oracle accordingly as described in game  $G_1$  of Figure 7. This method of using a biased bit goes back to Coron [Cor00].

$G_0$ : Standard Index Guessing	$G_1$ : Memory-Efficient Index Guessing
Procedure Init 00 $i^* \xleftarrow{\$} \{1, \dots, q_H\}$	Procedure Init 00 $k \xleftarrow{\$} \{0, 1\}^\kappa$
Procedure RO( $x_i$ ) 01 If $H[x_i]$ undefined: 02   If $i = i^*$ : $H[x_i] \leftarrow P_0(x_i)$ 03   Else: $H[x_i] \leftarrow P_1(x_i)$ 04 Return $H[x_i]$	Procedure RO( $x_i$ ) 01 If $F(k, x_i) = 0$ : Return $P_0(x_i)$ 02 Else: Return $P_1(x_i)$

Figure 7: The random oracle index guessing technique. By  $x_i$  we denote the  $i^{\text{th}}$  query to RO.  $F$  is a  $1/q_H$ -PRF. Note that the queries to RO are not necessarily distinct.

We now compare the two games in terms of success probability, running time and memory efficiency.

**SUCCESS PROBABILITY.** Let  $A$  be an adversary that is executed in  $G_0$ . We define an intermediate game  $G'_0$ , as depicted in Figure 8, in which the index guessing is replaced by tossing a biased coin for each query.

$G'_0$
Procedure RO( $x_i$ ) 01 If $c[x_i]$ undefined: $c[x_i] \xleftarrow{\$} \text{Ber}(1/q_H)$ 02 If $c[x_i] = 0$ : Return $P_0(x_i)$ 03 Else: Return $P_1(x_i)$

Figure 8: Intermediate game for the transition to memory-efficient index guessing.

These games are identical if  $c[x_{i^*}] = 0$  and  $c[x_i] = 1$  for all  $i \neq i^*$ . Hence,

$$\mathbf{Succ}((G'_0)^A) \geq (1 - 1/q_H)^{q_H - 1} \cdot \mathbf{Succ}(G_0^A) \geq e^{-1} \cdot \mathbf{Succ}(G_0^A) .$$

Now it is easy to construct an adversary  $B$  against the security of  $F$  with  $\mathbf{LocalTime}(B) = \mathbf{LocalTime}(A)$  and  $\mathbf{LocalMem}(B) = \mathbf{LocalMem}(A)$  that provides  $A$  with a perfect simulation of  $G_0$ , when interacting with game  $\text{Random}_\alpha$  of Figure 4 or respectively with a perfect simulation of  $G_1$  when interacting with  $\text{Real}$ . Hence  $|\mathbf{Succ}((G'_0)^A) - \mathbf{Succ}(G_1^A)| \leq \mathbf{Adv}(\text{PRF}_{1/q_H}^B)$ . So overall, we have

$$\mathbf{Succ}(G_1^A) \geq e^{-1} \cdot \mathbf{Succ}(G_0^A) - \mathbf{Adv}(\text{PRF}_{1/q_H}^B) .$$

**RUNNING TIME.** Game  $G_1$  needs to evaluate the  $1/q_H$ -PRF  $q_H$  times, hence we have  $\mathbf{TotalTime}(G_1^A) = \mathbf{TotalTime}(G_0^A) + q_H \cdot \mathbf{Time}(F)$ .

**MEMORY.** The standard game needs to store an array of size at least  $q_H \cdot \lambda$  bits and the integer  $i^*$ , while the memory-efficient game only needs additional memory  $\mathbf{Mem}(F)$ . So overall, we have

$$\begin{aligned} \mathbf{TotalMem}(G_0^A) &\geq \mathbf{LocalMem}(A) + q_H + 1 , \\ \mathbf{TotalMem}(G_1^A) &= \mathbf{LocalMem}(A) + \mathbf{Mem}(F) . \end{aligned}$$

Note that for simplicity we ignored the memory consumption and running time for procedures  $P_0$  and  $P_1$ .

### 3.5 Single Rewinding Technique

This technique can be used for games containing a procedure **Query**, which can be called by an adversary **A** up to  $q$  times on inputs  $x_1, \dots, x_q$ . When **A** terminates, it queries **Fin** on a value  $x^*$ . Procedure **Fin** then checks whether there exists  $i \in \{1, \dots, q\}$  such that  $R(x_i, x^*) = 1$ , where  $R$  is an efficiently computable relation specific to the game. If so, it invokes **Stop** with 1. If no such  $i$  exists it invokes **Stop** with 0. Note that we do not specify how queries to **Query** are answered since it is not relevant here. To be able to check whether there exists an  $i$  such that  $R(x_i, x^*) = 1$ , the game usually stores the values  $x_1, \dots, x_q$  as described in  $G_0$  in Figure 9.

However it is possible to make the game memory efficient as described in  $G_1$  of Figure 9. In this variant the game no longer stores all the  $x_i$ 's. Instead, it only stores the adversarial input  $x^*$  to **Fin** and then *rewinds* **A** to the start, i.e., it runs it a second time providing it with the *exact same input and random coins*, and responding to queries to **Query** with the *same values* as in the first run. This means that from the adversary's view, the second run is an exact replication of the first one. Whenever **A** calls **Query** on a value  $x_i$ , the game checks whether  $R(x^*, x_i) = 1$  and —if so— invokes **Stop** with 1. Note that it is necessary to store the random coins given to **A** as well as random coins potentially used to answer queries to **Query** to be able to rewind. This can be done memory-efficiently with the technique of Section 3.2.

Standard Game $G_0^A$	Memory-efficient Game $G_1^A$
Procedure <b>Query</b> ( $x_i$ ) 00 $X_i \leftarrow x_i$ 01 ...	Procedure <b>Query</b> ( $x_i$ ) 00 During rewinding: 01 If $R(X^*, x_i) = 1$ : Stop with 1 02 ...
Procedure <b>Fin</b> ( $x^*$ ) 02 For $i = 1$ to $q$ 03 If $R(x^*, X_i) = 1$ : Stop with 1 04 Stop with 0	Procedure <b>Fin</b> ( $x^*$ ) 03 $X^* \leftarrow x^*$ 04 Rewind <b>A</b> to start 05 Stop with 0

Figure 9: The single rewinding technique.

**SUCCESS PROBABILITY.** Since after rewinding,  $G_1$  provides **A** with the exact same input as in the first execution, all values  $x_i$  are the same in both executions of **A**, so

$$\mathbf{Succ}(G_0^A) = \mathbf{Succ}(G_1^A) .$$

**RUNNING TIME.**  $G_0$  runs **A** once, while  $G_1$  runs **A** twice. Both games invoke the relation algorithm  $R$  a total number of  $q$  times, so overall we obtain

$$\mathbf{TotalTime}(G_1^A) \leq 2 \cdot \mathbf{TotalTime}(G_0^A) .$$

**MEMORY.**  $G_0^A$  stores all values  $x_1, \dots, x_q, x^*$  while  $G_1^A$  only stores  $x^*$  and one of the  $x_i, 1 \leq i \leq q$  at a time. Assuming each of the values  $x_1, \dots, x_q, x^*$  takes one memory unit, we obtain

$$\begin{aligned} \mathbf{TotalMem}(G_0^A) &= \mathbf{LocalMem}(\mathbf{A}) + \mathbf{Mem}(R) + q + 1 , \\ \mathbf{TotalMem}(G_1^A) &= \mathbf{LocalMem}(\mathbf{A}) + \mathbf{Mem}(R) + 2 . \end{aligned}$$

We remark that the single rewinding technique can be extended to a multiple-rewinding technique, in which the reduction runs the adversary  $m$  times (on the same random coins and with the same input). For example, in Theorem 4.6 we consider a reduction between  $t$ -multi-collision-resistance and  $t$ -collision-resistance that rewinds the adversary several times.

## 4 Streaming Algorithms and Memory-Efficiency

In this section we prove two lower bounds on the memory usage of black-box reductions between certain problems. The first shows that any reduction from  $m\text{UFCMA}$  to  $\text{UFCMA}$  must either use more memory,

run the adversary many times, or obey some tradeoff between the two options. The second gives a similar result for  $\text{mCR}_t$  to  $\text{CR}_t$  reductions. We start by recalling results from the data-stream model of computation which will provide the principle tools for our lower bounds.

In this section we also deal with bit-memory ( $\text{Mem}_2$ ) which measures the number of bits used, rather than  $\text{Mem}$  which measures the number of  $\lambda$ -bit words used.

## 4.1 The Data Stream Model

The *data stream model* is typically used to reason about algorithmic challenges where a very large input can only be accessed in discrete pieces in a given order, possibly over multiple passes. For instance, data from a high-rate network connection may often be too large to store and thus only be accessed in sequence.

**STREAMING FORMALIZATION.** We adopt the following notation for a streaming problem: An input is a vector  $\mathbf{y} \in U^n$  of dimension  $n$  over some finite universe  $U$ . We say that the number of elements in the stream is  $n$ . An algorithm  $\mathbf{B}$  accesses  $\mathbf{y}$  via a stateful oracle  $\mathbf{O}_{\mathbf{y}}$  that works as follows: On the first call it saves an initial state  $i \leftarrow 0$  and returns  $\mathbf{y}[0]$ . On future calls,  $\mathbf{O}_{\mathbf{y}}$  sets  $i \leftarrow (i + 1 \bmod n)$ , and returns  $\mathbf{y}[i]$ . The oracle models accessing a stream of data, one entry at a time. When the counter  $i$  is set to 0 (either at the start or by wrapping modulo  $n$ ), the algorithm  $\mathbf{B}$  is said to be initiating a *pass* on the data. The *number of passes* during a computation  $\mathbf{B}^{\mathbf{O}_{\mathbf{y}}}$  is thus defined as  $p = \lceil q/n \rceil$ , where  $q$  is the number of queries issued by  $\mathbf{B}$  to its oracle.

**A STREAMING LOWER BOUND.** Below we will use a well-known result lower bounding the trade-off between the number of passes and memory required to determining the most frequent element in a stream. We will also use a lower bound on a related problem that can be proven by the same techniques.

For a vector  $\mathbf{y} \in U^n$ , define  $F_{\infty}(\mathbf{y})$  as

$$F_{\infty}(\mathbf{y}) = \max_{s \in U} |\{i : \mathbf{y}[i] = s\}| .$$

That is,  $F_{\infty}(\mathbf{y})$  is the number of appearances of the most frequent value in  $\mathbf{y}$ . Our results will use the following modified version of  $F_{\infty}$ , denoted  $F_{\infty,t}$  that only checks if the most frequent value appears  $t$  times or not:

$$F_{\infty,t}(\mathbf{y}) = \begin{cases} 1 & \text{if } F_{\infty}(\mathbf{y}) \geq t \\ 0 & \text{otherwise} \end{cases} .$$

We also define the function  $G(\mathbf{y})$  as follows. It divides its input into two equal-length halves  $\mathbf{y} = \mathbf{y}_1 \parallel \mathbf{y}_2$ , each in  $U^{n/2}$ . We let

$$G(\mathbf{y}_1 \parallel \mathbf{y}_2) = \begin{cases} 1 & \text{if } \exists j \forall i : \mathbf{y}_2[j] \neq \mathbf{y}_1[i] \\ 0 & \text{otherwise} \end{cases} .$$

In words,  $G$  outputs 1 whenever  $\mathbf{y}_2$  contains an entry that is not in  $\mathbf{y}_1$ .

**Theorem 4.1** (Corollary of [KS92, Raz92]). *Let  $t$  be a constant and  $\mathbf{B}$  be a randomized algorithm such that for all sufficiently large  $n$ , and all sufficiently large finite universes  $U$ , and all  $\mathbf{y} \in U^n$ ,*

$$\Pr[\mathbf{B}^{\mathbf{O}_{\mathbf{y}}} = F_{\infty,t}(\mathbf{y})] \geq c ,$$

where  $1/2 < c \leq 1$  is a constant. Then  $\text{LocalMem}_2(\mathbf{B}) = \Omega(\min\{n/p, |U|/p\})$ , where  $p$  is the number of passes  $\mathbf{B}$  makes in the worst case.

The same statement holds if  $F_{\infty,t}$  is replaced with  $G$ .

This theorem is actually a simple corollary of a celebrated result on the communication complexity of the disjointness problem, which has several other applications. See also the lecture notes by Roughgarden [Rou15] that give an accessible theorem statement and discussion after Theorem 4.11 of that document.

The standard version of this theorem only states that computing  $F_{\infty}$  requires the stated space, but this version is easily obtained via the same techniques, and we give a proof in Appendix A. The proof for  $F_{\infty}$  works by showing that any  $p$ -pass streaming algorithm with local memory  $m$  can be used to construct

a  $pm$ -communication two-party protocol to compute whether sets  $\mathbf{x}_1, \mathbf{x}_2$  held by the parties are disjoint. One then proves a communication lower bound on any protocol to test for disjointness.

A simple modification of this argument shows that computing  $G$  also gives such a protocol: It easily allows two parties to compute if  $\mathbf{x}_1 \setminus \mathbf{x}_2$  is empty, which is equivalent to computing if  $\overline{\mathbf{x}_1}$  and  $\mathbf{x}_2$  are disjoint. Thus one can reduce disjointness to this problem by having the first party take the compliment of its set.

The modification for  $F_{\infty,t}$  is also easy. The essential idea is that one party can copy its set  $t - 1$  times when feeding it to the streaming algorithm. Then if the parties' sets are not disjoint, we will have  $F_{\infty,t}$  equal to 1 and 0 otherwise. Since  $t$  is a constant this affects the lower bound by only a constant factor.

## 4.2 mUFCMA-to-UFCMA Lower Bound

BLACK-BOX REDUCTIONS FOR mUFCMA TO UFCMA. Let  $R$  be an algorithm playing the UFCMA game. Recall that  $R$  receives input  $pk$  and has access to an oracle  $\text{ProcSign}$ , and stops the game by querying  $\text{Fin}(m^*, \sigma^*)$ . Below for an adversary  $A$  playing mUFCMA, we write  $R^A$  to mean that  $R$  has additionally "oracle access to  $A$ ", which means an oracle  $\text{NxtQ}_A$  that returns the "next query" of  $A$  after accepting a response to the previous query from  $R$ . When  $A$  halts (i.e.  $\text{NxtQ}_A$  returns a query to  $\text{Fin}$ ), the oracle resets itself to start again with the same random tape and input  $pk$ .

**Definition 4.2.** *A restricted black-box reduction from mUFCMA to UFCMA for signature scheme  $(\text{Gen}, \text{Sign}, \text{Ver})$  is an oracle algorithm  $R$ , playing UFCMA, that respects the following restrictions for any  $A$ :*

1.  $R^A$  starts by forwarding its initial input (consisting of the security parameter and public key) to  $\text{NxtQ}_A$ .
2. When the oracle  $\text{NxtQ}_A$  emits a query for  $\text{ProcSign}(m)$ ,  $R$  forwards  $m$  to its own signing oracle  $\text{ProcSign}$  and returns the result to  $\text{NxtQ}_A$ , possibly after some computation.
3. When  $\text{NxtQ}_A$  emits a query for  $\text{ProcVer}(m^*, \sigma^*)$ ,  $R$  performs some computation then returns an empty response to  $\text{NxtQ}_A$ .
4. When  $R$  queries  $\text{Fin}(m^*, \sigma^*)$ , the value  $(m^*, \sigma^*)$  will be amongst the values that  $\text{NxtQ}_A$  returned as a query to  $\text{ProcVer}$ .

Finally we say that  $R$  is advantage-preserving if there exists an absolute constant  $1/2 < c \leq 1$  such that for all adversaries  $A$  and all random tapes  $r$  for  $A$ ,

$$\text{Succ}(\text{UFCMA}^{R^A} \mid r) \geq c \cdot \text{Succ}(\text{mUFCMA}^A \mid r) , \quad (2)$$

where  $\text{Succ}(\cdot \mid r)$  is exactly  $\text{Succ}(\cdot)$  conditioned on the tape of  $A$  being fixed to  $r$ .

These restrictions force  $R$  to behave in a combinatorial manner that is amenable to a connection to streaming lower bounds. The final condition, requiring  $R$  to preserve the advantage of  $A$  for all random tapes, is especially restrictive. At the end of the section we discuss directions for considering more general  $R$ .

**Theorem 4.3.** *Let  $(\text{Gen}, \text{Sign}, \text{Ver})$  be any signature scheme with message length  $\delta = \lambda$ . Let  $R$  be a restricted black-box reduction from mUFCMA to UFCMA that is advantage-preserving, and let  $p$  be the number of times  $R$  runs  $A$ . Then there exists a family of adversaries  $A^* = A_q^*$ , each making  $q = q(\lambda)$  signing queries, and using memory  $\text{LocalMem}_2(A^*) = O(\text{LocalMem}_2(\text{Ver}))$ , such that*

$$\text{LocalMem}_2(R^{A^*}) = \Omega(\min\{\frac{q}{p+1}, \frac{2^\lambda}{p+1}\}) - O(\log q) - \max\{\text{LocalMem}_2(\text{Gen}), \text{LocalMem}_2(\text{Ver})\} .$$

*Proof.* Let  $R$  be a restricted black-box reduction for  $(\text{Gen}, \text{Sign}, \text{Ver})$  that is advantage-preserving for some  $c \geq 1/2$ . We proceed fixing an adversary  $A^*$  and using  $R^{A^*}$  to construct a streaming algorithm  $B$ , making  $p + 1$  passes on its stream, such that

$$\Pr[B^{\text{O}_y}(2^\delta, n) = G(\mathbf{y})] \geq c \quad (3)$$

for all  $n$  and all  $\mathbf{y} \in (\{0, 1\}^\lambda)^n$ . We will apply the streaming lower bound on computing  $G$  (Theorem 4.1) to  $\mathbf{B}$ , and then relate the memory used by  $\mathbf{B}$  to that of  $\mathbf{R}^{A^*}$  to obtain the theorem.

We start by fixing the adversary  $A^*$ . It takes as input the security parameter  $\lambda$  and public key  $pk$ . Then  $A^*$  selects  $q$  random messages  $m_1, \dots, m_q$ , queries them to  $\text{ProcSign}$ , and ignores the outputs. Next  $A^*$  selects  $q$  more random messages  $m'_1, \dots, m'_q$ , and for each  $m'_j$  it forges a signature  $\sigma'_j$  by brute force and queries  $(m'_j, \sigma'_j)$  to  $\text{ProcVer}$ . After the verification queries, it halts.

We record two facts about  $A^*$ . Let  $\mathbf{y} \in (\{0, 1\}^\lambda)^{2q}$  the vector consisting of all of its queried messages, in order (the first  $q$  to  $\text{ProcSign}$ , and the second  $q$  to  $\text{ProcVer}$  along with signatures). First, if  $G(\mathbf{y}) = 0$ , then  $\text{Succ}(\text{mUFCMA}^{A^*} \mid \mathbf{y}) = 0$  because  $A^*$  will not issue any queries with a fresh forgery. If however  $G(\mathbf{y}) = 1$ , then  $\text{Succ}(\text{mUFCMA}^{A^*} \mid \mathbf{y}) = 1$  because  $A^*$  will issue at least one fresh forgery to the verification oracle.

Algorithm  $\mathbf{B}^{\text{O}_y}$  will run  $\mathbf{R}^{A^*}$ , which expects input  $pk$ , oracles for  $\text{ProcSign}$ ,  $\text{Fin}$  (for the UFCMA game) and oracle  $\text{NxtQ}_{A^*}$  for an adversary.  $\mathbf{B}^{\text{O}_y}$  works as follows, on input  $(2^\lambda, n := 2q)$ :

- $\mathbf{B}$  starts by initializing a  $\log n$ -bit counter  $i \leftarrow 0$ , running  $(pk, sk) \xleftarrow{\text{Gen}} \text{Gen}(\lambda)$ , and running  $\mathbf{R}$  on input  $pk$ .
- $\mathbf{B}$  responds the oracle query  $\text{ProcSign}(m)$  from  $\mathbf{R}$  by returning  $\text{Sign}(sk, m)$ .
- When  $\mathbf{R}$  queries  $\text{NxtQ}_{A^*}$ ,  $\mathbf{B}$  ignores the input and responds as follows:
  - If  $i < n/2$ , then  $\mathbf{B}$  queries  $\text{O}_y$ , which returns  $\mathbf{y}_1[i]$ , and has  $\text{NxtQ}_{A^*}$  return  $\text{ProcSign}(\mathbf{y}_1[i])$  as the next query.
  - If  $i \geq n/2$ , it queries  $\text{O}_y$  to get  $\mathbf{y}_2[j]$  (where  $j = i - n/2$ ). Then  $\mathbf{B}$  computes a valid signature  $\sigma_j$  by brute force, and increments  $i$  modulo  $n$ . It then has  $\text{NxtQ}_{A^*}$  return  $\text{ProcVer}(\mathbf{y}_2[j], \sigma)$  as the next query.
- When  $\mathbf{R}$  queries  $\text{Fin}(m^*, \sigma^*)$ ,  $\mathbf{B}$  performs another pass on its stream and checks if  $m^*$  appears anywhere in  $\mathbf{y}_1$ . If it does, then it outputs 0 and otherwise it outputs 1.

We now verify (3). If  $G(\mathbf{y}) = 0$  then  $\mathbf{B}^{\text{O}_y}$  will output 0 with probability 1. This is because of our restrictions on  $\mathbf{R}$ , which restrict it to outputting a value  $m^*$  that was queried by  $A^*$  to  $\text{ProcVer}$ . On the other hand, if  $G(\mathbf{y}) = 1$  then  $\mathbf{B}^{\text{O}_y}$  will output 1 with probability at least  $c$ . The reason is that  $A^*$  will have success probability 1 when such a  $\mathbf{y}$  is fixed, so by (2)  $\mathbf{R}^{A^*}$  has success probability at least  $c$ , and  $\mathbf{B}$  outputs 1 whenever  $\mathbf{R}$  succeeds in the simulated  $\text{mUFCMA}$  game.

It is clear that  $\mathbf{B}$  makes  $p + 1$  passes on its stream, where  $p$  is the number of times  $\mathbf{R}^{A^*}$  runs  $A^*$ . Applying Theorem 4.1 to  $\mathbf{B}$  we have

$$\mathbf{LocalMem}_2(\mathbf{B}) = \Omega(\min\{n/(p + 1), 2^\lambda/(p + 1)\}) .$$

On the other hand, by the construction of  $\mathbf{B}$  we have that

$$\mathbf{LocalMem}_2(\mathbf{B}) = O(\mathbf{LocalMem}_2(\mathbf{R}^{A^*})) + \max\{\mathbf{LocalMem}_2(\text{Gen}), \mathbf{LocalMem}_2(\text{Ver})\} .$$

Combining the two bounds on  $\mathbf{LocalMem}_2(\mathbf{B})$ , and noting that  $q = \Theta(n)$ , gives the theorem.  $\blacksquare$

### 4.3 mCR<sub>t</sub>-to-CR<sub>t</sub> Lower Bound

BLACK-BOX REDUCTIONS FOR mCR<sub>t</sub> TO CR<sub>t</sub>. Similar to the case with signatures, we formalize a class of reductions from mCR<sub>t</sub> to CR<sub>t</sub> for a hash function  $H$ . Let  $\mathbf{R}$  be an oracle algorithm  $\mathbf{R}^A$  that plays the CR<sub>t</sub> game (with the only oracle being  $\text{Fin}$ ), and additionally has access to an oracle  $\text{NxtQ}_A$  that returns the next query of some adversary playing the game mCR<sub>t</sub>. The only oracles in mCR<sub>t</sub> are  $\text{ProcInput}$  and  $\text{Fin}$ , so  $\text{NxtQ}_A$  either returns a domain point  $m$  or halts  $A$ . As before, the oracle resets itself after the last query by  $A$ , with the same input and random tape.

**Definition 4.4.** A restricted black-box reduction from mCR<sub>t</sub> to CR<sub>t</sub> for a hash function  $H$  is an oracle algorithm  $\mathbf{R}$ , playing CR<sub>t</sub>, that respects the following restrictions for any  $A$ :

1.  $\mathbf{R}^A$  starts by forwarding its initial input (consisting of the security parameter and hashing key) to  $\text{NxtQ}_A$ .

2. When  $R$  queries  $\text{Fin}(m_1, \dots, m_t)$ , the values  $m_1, \dots, m_t$  will be amongst the values that  $\text{NxtQ}_A$  returned as a query to  $\text{ProInput}$ .

Finally we say that  $R$  is advantage-preserving if there exists an absolute constant  $1/2 < c \leq 1$  such that for all adversaries  $A$  and all random tapes  $r$  for  $A$ ,

$$\text{Succ}(\text{mCR}_t^A | r) \geq c \cdot \text{Succ}(\text{CR}_t^A | r) , \quad (4)$$

where  $\text{Succ}(\cdot | r)$  is exactly  $\text{Succ}(\cdot)$  conditioned on the tape of  $A$  being fixed to  $r$ .

**Theorem 4.5.** Let  $H$  be the function (with empty hash key) that truncates the last  $\lambda$  bits of its input. Let  $R$  be a restricted black-box reduction from  $\text{mCR}_t$  to  $\text{CR}_t$  that is advantage-preserving and let  $p$  be the number of times  $R$  runs  $A$ . Then there exists a family of adversaries  $A^* = A_q^*$ , each making  $q = q(\lambda)$  oracle queries, and using memory  $\text{LocalMem}_2(A^*) = O(\lambda)$ , such that

$$\text{LocalMem}_2(R^{A^*}) = \Omega(\min\{q/p, 2^\lambda/p\}) .$$

*Proof.* We proceed similarly to the proof of Theorem 4.3, but we now construct a streaming algorithm  $B^{\text{Oy}}$  for  $F_{\infty,t}$  instead of  $G$ . Let  $R$  be a restricted black-box reduction for  $H$  that is advantage-preserving for some  $c \geq 1/2$ . We will fix an adversary  $A^*$  and use  $R^{A^*}$  to construct a streaming algorithm  $B$ , making  $p$  passes on its stream, such that

$$\Pr[B^{\text{Oy}}(2^\delta, n) = F_{\infty,t}(\mathbf{y})] \geq c \quad (5)$$

for all  $n$  and all  $\mathbf{y} \in (\{0, 1\}^\lambda)^n$ .

The adversary  $A^*$  works as follows: On input  $\lambda$  (and empty hash key), it chooses  $q$  random messages  $m_1, \dots, m_q$  and queries  $m_i || i$  to its  $\text{ProInput}$  oracle, where  $i$  is encoded in  $\lambda$  bits. It then queries  $\text{Fin}$  and halts.

Let  $\mathbf{y} \in (\{0, 1\}^\lambda)^q$  be the vector consisting of all messages queried to  $\text{ProInput}$ . If  $F_{\infty,t}(\mathbf{y}) = 0$ , then  $\text{Succ}(\text{mCR}_t^{A^*} | \mathbf{y}) = 0$  because there will be no  $t$ -collision in the queries of  $A^*$ . If however  $F_{\infty,t}(\mathbf{y}) = 1$ , then  $\text{Succ}(\text{mUFCMA}^{A^*} | \mathbf{y}) = 1$  because there will be a  $t$ -collision, as the hash function  $H$  is defined to truncate the final  $\lambda$  bits of its inputs, which consist of the counter value.

The streaming algorithm  $B^{\text{Oy}}(2^\lambda, q)$  works as follows. It initializes a counter  $i$  to 0 and runs  $R$ . When  $R$  requests an input from  $\text{NxtQ}_{A^*}$ ,  $B^{\text{Oy}}$  queries its oracle for  $\mathbf{y}[i]$  and returns  $\mathbf{y}[i] || i$  to  $R$ . When  $R$  halts by calling  $\text{Fin}(m_1, \dots, m_t)$ ,  $B^{\text{Oy}}$  simply checks if the messages are all of the form  $y || i$  for a fixed  $y$  and different values of  $i$ . If so, it outputs 1 and otherwise it outputs 0.

It is easy to verify that  $B$  satisfies (5) and that it makes  $p$  passes on its input stream. Therefore by Theorem 4.1 we have

$$\text{LocalMem}_2(B) = \Omega(\min\{q/p, 2^\lambda/p\}) .$$

By construction we also have

$$\text{LocalMem}_2(B) = O(\text{LocalMem}_2(R^{A^*})) .$$

Combining these inequalities gives the theorem. ■

**SHARPNESS OF THE BOUNDS.** We observe that when one is not concerned with memory-tightness then it is trivial to reduce  $t$ -multi-collision-resistance to  $t$ -collision-resistance, by simply storing all inputs to  $\text{ProInput}$  and checking for collisions. This will however be non-tight if the  $\text{mCR}_t$  adversary uses small memory but produces a large number of domain points (i.e.  $q$  is large). Memory tightness can be achieved via rewinding  $O(q)$  times, but this increases the runtime of the reduction.

**Theorem 4.6.** Let  $H: \{0, 1\}^\kappa \times \{0, 1\}^\lambda \rightarrow \{0, 1\}^\lambda$  be a hash function and let  $t$  be a fixed natural number. Then for all adversaries  $A$  in the  $\text{mCR}_t$  game with parameter  $\lambda$  making  $q$  queries to  $\text{ProInput}$  and for all natural numbers  $1 \leq c, p, m \leq q < 2^\lambda$  such that  $c \cdot p \cdot m = q$  there exists an adversary  $B$  in the  $\text{CR}_t$  game such that

$$\begin{aligned} \text{Succ}(\text{CR}_t^B) &\geq \frac{1}{2c} \cdot \text{Succ}(\text{mCR}_t^A) , \\ \text{LocalTime}(B) &\leq (2p + 1) \cdot \text{LocalTime}(A) + (mp(q + 1) + q) \cdot \text{Time}(H) , \\ \text{LocalMem}(B) &= \text{LocalMem}(A) + \text{Mem}(H) + 3m + t + 3 . \end{aligned}$$

```

Adversary B
00  $k \xleftarrow{\$} \text{Init}_{\text{CR}_t}$ 
01 FOR  $\ell = 1$  to  $p$ :
02   sample distinct  $i_1, \dots, i_m$  from  $\{1, \dots, q\}$ 
03   run A on input  $k$ 
04   FOR  $j = 1$  to  $m$ :
05      $x_j \leftarrow \text{H}(k, \text{A}(i_j))$ 
06   run A on input  $k$ 
07   FOR  $i = 1$  to  $q$ :
08     FOR  $j = 1$  to  $m$ :
09       IF  $x_j = \text{H}(k, \text{A}(i)) \wedge i_j \neq i$ :
10          $c_j \leftarrow c_j + 1$ 
11         IF  $c_j = t$ :
12           run A on input  $k$ 
13           store all of A's  $t$  outputs  $y_1 \dots y_t$  such that  $\text{H}(y_\alpha) = x_j$ 
14         Stop with  $y_1 \dots y_t$ 

```

Figure 10: Adversary B in the  $\text{CR}_t$  game. By  $\text{A}(j)$  we denote the  $j$ -th out of  $q$  inputs of A to  $\text{ProInput}$ .

If we choose  $c = 1$  and  $m = q/p$ , this theorem proves that the lower bound from Theorem 4.5 is sharp.

*Proof.* By assumption  $m = q/cp$ . Let A be an adversary in the  $\text{mCR}_t$  game. For simplicity we assume that A is deterministic, otherwise we can apply the PRF coin fixing technique from Section 3.2.

Consider adversary B as defined in Figure 10. First, B stores the hash values of  $m$  out of the  $q$  inputs of A to  $\text{ProInput}$ . Note that A only needs to be run once to perform these operations in line 05, as the indices  $i_1$  to  $i_m$  can be sorted. Then it rewinds A to the start and checks for collisions of the stored hash values with all of the hash values of A's inputs to  $\text{ProInput}$ . Assume that at least  $t$  of A's inputs have the same hash value. Then in each execution of the loop starting in line 01 B succeeds in finding the colliding messages if it stored the corresponding hash value. The probability of this event is bounded from below by  $m/q = 1/cp$ . The loop is repeated  $p$  times with freshly sampled  $i_1, \dots, i_m$ . Thus

$$\Pr[\text{CR}_t^{\text{B}} \Rightarrow 1 \mid \text{mCR}_t^{\text{A}} \Rightarrow 1] \geq 1 - (1 - 1/cp)^p \geq 1 - e^{-1/c} \geq 1/2c .$$

This implies  $\text{Succ}(\text{CR}_t^{\text{B}}) \geq 1/2c \cdot \text{Succ}(\text{mCR}_t^{\text{A}})$ . When B finds a collision, it rewinds A one last time to obtain the preimages of the  $t$  colliding values.

So overall, B runs A at most  $2p + 1$  times and the hash algorithm H at most  $p(m + mn) + q$  times. It needs to store  $2m + 3$  counters of size  $\log q \leq \lambda$  (i.e.  $2m + 3$  memory units),  $m$  values from H's range  $\{0, 1\}^\rho$  (i.e.  $m$  memory units) and the  $t$  elements from  $\{0, 1\}^\delta$  that collide under H (i.e.  $t$  memory units) and provide memory for A and H. ■

LIMITATIONS, EXTENSIONS, AND OPEN PROBLEMS. Our notion of black-box reductions assumes that the reduction will only run the adversary A from beginning to end, each time with the same random tape. It would be interesting to generalize the reduction to allow for partial rewinding of A, and also for saving “snapshots” of the state of A that allow for rewinding.

Our restrictions on black-box reductions confine them to essentially work like combinatorial streaming algorithms. It seems likely that these restrictions can be greatly relaxed by using a different notion of black-box reduction and using pathological (unbounded) signature schemes and hash functions to enforce the combinatorial behavior of the reduction with high probability. We pursued our version of the results for simplicity.

## 5 Memory-tight reduction for RSA-FDH Signatures

This section gives an example of a memory-tight reduction obtained via the techniques of Section 3. We first recall the syntax of signature schemes and the RSA assumption. Then we show how the RSA Full Domain Hash (RSA-FDH) signature scheme can be proven secure in the random oracle model using coin replacement (3.2), random oracle replacement (3.3), the random oracle index guessing technique (3.4)

Game $\text{RSA}_\lambda$	
Procedure <b>Init</b>	Procedure <b>Fin</b> ( $x^*$ )
00 $(N, e, d) \stackrel{\boxplus}{\leftarrow} \text{GenRSA}_\lambda$	04 If $x = x^*$ :
01 $x \stackrel{\boxplus}{\leftarrow} \mathbb{Z}_N$	05 Stop with 1
02 $y \leftarrow x^e \bmod N$	06 Stop with 0
03 Return $(N, e, y)$	

Figure 11: The  $\text{RSA}_\lambda$  game relative to algorithm  $\text{GenRSA}_\lambda$ .

<b>Gen</b>	<b>Sign</b> ( $sk, m$ )	<b>Ver</b> ( $pk, m, \sigma$ )
00 $(N, e, d) \stackrel{\boxplus}{\leftarrow} \text{GenRSA}_\lambda$	04 $(N, d) \leftarrow sk$	07 $(N, e) \leftarrow pk$
01 $pk \leftarrow (N, e), sk \leftarrow (N, d)$	05 $\sigma \leftarrow \text{H}(m)^d \bmod N$	08 If $\sigma^e = \text{H}(m) \bmod N$ :
02 Pick RO $\text{H}: \{0, 1\}^\lambda \rightarrow \mathbb{Z}_N$	06 Return $\sigma$	09 Return 1
03 Return $(pk, sk)$		10 Return 0

Figure 12: The RSA-FDH signature scheme for parameter  $\lambda$ .

and single rewinding (3.5). For subtle reasons we implement all techniques using a single PRF to obtain a memory tight reduction.

**SIGNATURE SCHEMES.** A *signature scheme* consists of algorithms  $\text{Gen}, \text{Sign}, \text{Ver}$  such that: algorithm  $\text{Gen}$  generates a verification key  $pk$  and a signing key  $sk$ ; on input of a signing key  $sk$  and a message  $m$  algorithm  $\text{Sign}$  generates a signature  $\sigma$  or the failure indicator  $\perp$ ; on input of a verification key  $pk$ , a message  $m$ , and a candidate signature  $\sigma$ , deterministic algorithm  $\text{Ver}$  outputs 0 or 1 to indicate rejection and acceptance, respectively. A signature scheme is correct if for all correctly generated  $sk, pk$  and all  $m$ , if  $\text{Sign}(sk, m)$  outputs a signature then  $\text{Ver}$  accepts it. Recall that the standard security notion of existential unforgeability against chosen message attacks is defined in Section 2.3 via the game of Figure 2.

**RSA ASSUMPTION.** Let  $\text{GenRSA}_\lambda$  be an algorithm that returns  $(N = pq, e, d)$ , where  $p$  and  $q$  are distinct primes of bit size  $\lambda/2$  and  $e, d$  are such that  $e = d^{-1} \bmod \Phi(N)$ .

**Definition 5.1** (RSA Assumption). *Game  $\text{RSA}_\lambda$  defining the hardness of RSA relative to  $\text{GenRSA}_\lambda$  is depicted in Figure 11.*

**RSA-FDH.** The RSA Full Domain Hash (RSA-FDH) signature scheme [BR93] is defined in Figure 12. Its security can be reduced to the RSA assumption in the random oracle model (see [BR96, Cor00]). In the usual proof the reduction interacting with an adversary against RSA-FDH's existential unforgeability making up to  $q_H$  hash queries and up to  $q_s$  signing queries simulates the random oracle using lazy sampling and therefore has to store up to  $(q_H + q_s)$  messages making the reduction highly non-memory-tight. However, the proof can be made memory-efficient by using the coin replacement technique of Section 3.2, the random oracle technique of Section 3.3, the random oracle index guessing technique of Section 3.4, and the single rewinding technique of Section 3.5.

**Theorem 5.2.** *Let  $F: \{0, 1\}^\lambda \times \{0, 1\}^\lambda \rightarrow \{0, 1\}^{2\lambda+1}$  be a PRF. Then for every adversary  $A$  in the UFCMA game for RSA-FDH with parameter  $\lambda$  that poses  $q_H$  queries to the Hash and  $q_s$  queries to the ProcSign oracle, and samples at most  $L \leq 2^\lambda$  memory units of randomness, in the random oracle model there exist an adversary  $B_1$  in the  $\text{RSA}_\lambda$  game and an adversary  $B_2$  in the PRF game such that*

$$\text{Succ}(\text{UFCMA}^A) \leq e \cdot q_s \cdot \text{Succ}(\text{RSA}_\lambda^{B_2}) + e \cdot q_s \cdot \text{Adv}(\text{PRF}^{B_1}) .$$

Further it holds that

$$\begin{aligned} \text{LocalMem}(B_1) &= \text{LocalMem}(A) + \text{Mem}(\text{GenRSA}_\lambda) + 6 , \\ \text{LocalMem}(B_2) &= \text{LocalMem}(A) + \text{Mem}(F) + 6 , \\ \text{LocalTime}(B_1) &\approx 2 \cdot \text{LocalTime}(A) + \text{Time}(\text{RSA}_\lambda) , \\ \text{LocalTime}(B_2) &\approx \text{LocalTime}(A) + (q_H + q_s + L) \cdot \text{Time}(F) . \end{aligned}$$

Note that in the proof of Theorem 5.2 it is necessary to apply the random coins technique and the random oracle technique in the same step. Otherwise one obtains an intermediate reduction that is not

$G_0 / G_1$	$G_2$	$G_3$
Procedure Init	Procedure Init	Procedure Init
00 $(N, e, d) \xleftarrow{\$} \text{GenRSA}_\lambda$	00 $(N, e, d) \xleftarrow{\$} \text{GenRSA}_\lambda$	00 $(N, e, d) \xleftarrow{\$} \text{GenRSA}_\lambda$
01	01 $x \xleftarrow{\$} \mathbb{Z}_N$	01 $x \xleftarrow{\$} \mathbb{Z}_N$
02	02 $y \leftarrow x^e$	02 $y \leftarrow x^e$
03 $r \xleftarrow{\$} (\{0, 1\}^\lambda)^L$	03 $r \xleftarrow{\$} (\{0, 1\}^\lambda)^L$	03 $k \xleftarrow{\$} \{0, 1\}^\lambda$
04 Return $(N, e)$	04 Return $(N, e)$	04 Return $(N, e)$
Procedure Hash( $m_i$ )	Procedure Hash( $m_i$ )	Procedure Hash( $m_i$ )
05 If $H[m_i]$ undefined:	05 If $H[m_i]$ undefined:	05
06 $H[m_i] \xleftarrow{\$} \mathbb{Z}_N$	06 $H[m_i] \xleftarrow{\$} \mathbb{Z}_N$	06
07	07 $B[m_i] \xleftarrow{\$} \text{Ber}(1/q_s)$	07
08	08 If $B[m_i] = 1$ :	08 If $F_2(k, m_i) = 1$ :
09 Return $H[m_i]$ ( $G_0$ )	09 Return $H[m_i]^e y$	09 Return $F_1(k, m_i)^e y$
10 Return $H[m_i]^e$ ( $G_1$ )	10 Else: Return $H[m_i]^e$	10 Return $F_1(k, m_i)^e$
Procedure ProcSign( $m_i$ )	Procedure ProcSign( $m_i$ )	Procedure ProcSign( $m_i$ )
11 $M \leftarrow M \cup \{m_i\}$	11 $M \leftarrow M \cup \{m_i\}$	11 $M \leftarrow M \cup \{m_i\}$
12	12 If $B[m_i] = 1$ :	12 If $F_2(k, m_i) = 1$ :
13 Return Hash( $m_i$ ) <sup>d</sup> ( $G_0$ )	13 Abort	13 Abort
14 Return Hash( $m_i$ ) ( $G_1$ )	14 Return Hash( $m_i$ )	14 Return Hash( $m_i$ )
Procedure Coins	Procedure Coins	Procedure Coins
15 $j \leftarrow j + 1$	15 $j \leftarrow j + 1$	15 $j \leftarrow j + 1$
16 Return $r_j$	16 Return $r_j$	16 Return $F_0(k, j)$
Procedure Fin( $m^*, \sigma^*$ )	Procedure Fin( $m^*, \sigma^*$ )	Procedure Fin( $m^*, \sigma^*$ )
17	17 If $B[m^*] = 0$ :	17 If $F_2(k, m_i) = 0$ :
18 Stop with 0	18 Stop with 0	18 Stop with 0
19 If $m^* \in M$ :	19 If $m^* \in M$ :	19 If $m^* \in M$ :
20 Stop with 0	20 Stop with 0	20 Stop with 0
21 If $(\sigma^*)^e = \text{Hash}(m^*)$ :	21 If $(\sigma^*)^e = \text{Hash}(m^*)$ :	21 If $(\sigma^*)^e = \text{Hash}(m^*)$ :
22 Stop with 1	22 Stop with 1	22 Stop with 1
23 Stop with 0	23 Stop with 0	23 Stop with 0

Figure 13: Games  $G_0$  to  $G_3$ .

memory-tight: the reduction *either* has to simulate the random oracle by lazy sampling (in case the random coins technique is applied first) *or*, since rewinding is impossible, it has to store the messages asked to the signing oracle (if the random oracle technique is applied first).

*Proof.* Consider the sequence of games of Figure 13. For computations in  $\mathbb{Z}_N$  we omit writing mod  $N$  if it is clear from the context. We assume without loss of generality that any message procedures ProcSign or Fin are queried on was queried to Hash first.

Game  $G_0$  is the standard UFCMA game as in Figure 2 instantiated with the RSA-FDH algorithms and with the randomness for adversary A provided via procedure Coins, so

$$\text{Succ}(\text{UFCMA}^\Lambda) = \text{Succ}(G_0^\Lambda) . \quad (6)$$

In  $G_1$ , instead of returning  $H(m)$ , the Hash procedure returns  $H(m)^e$  and the ProcSign procedure computes signatures as  $(H(m)^e)^d = H(m)$  accordingly. This doesn't change the distribution of the hash values and the signatures, so

$$\text{Succ}(G_0^\Lambda) = \text{Succ}(G_1^\Lambda) . \quad (7)$$

Game  $G_2$  introduces a couple of aborting conditions. With probability  $1/q_s$  abort condition  $B[m^*] = 0$  of line 17 does not occur. Furthermore, for each message  $m_i$  the probability that abort condition  $B[m_i] = 1$  of line 12 does not occur is given by  $1 - 1/q_s$ . Adversary A makes at most  $q_s$  queries to ProcSign. Hence,

$$\text{Succ}(G_2^\Lambda) \geq 1/q_s (1 - 1/q_s)^{q_s} \cdot \text{Succ}(G_1^\Lambda) \geq 1/(eq_s) \cdot \text{Succ}(G_1^\Lambda) . \quad (8)$$

<b>B<sub>1</sub></b>	Procedure Hash( $m_i$ )	Procedure Fin( $m^*, \sigma^*$ )
Procedure Init	06 If $O_{F_2}(m_i) = 1$ :	14 Store $m^*$ , rewind A (1)
00 ( $N, e, d$ ) $\stackrel{\boxtimes}{\leftarrow}$ GenRSA $_\lambda$ (1)	07 Return $(O_{F_1}(m_i))^e \cdot y$	15 If $O_{F_2}(m_i) = 0$ : (2)
01 $x \stackrel{\boxtimes}{\leftarrow} \mathbb{Z}_N$ (1)	08 Return $(O_{F_1}(m_i))^e$	16 Stop with 0 (2)
02 $y \leftarrow x^e$ (1)	Procedure ProcSign( $m_i$ )	17 If coll = 1: (2)
03 Invoke A on ( $N, e$ )	09 If $m_i = m^*$ : (2)	18 Stop with 0 (2)
Procedure Coins	10 coll $\leftarrow 1$ (2)	19 If $(\sigma^*)^e = \text{Hash}(m^*)$ : (2)
04 $j \leftarrow j + 1$	11 If $O_{F_2}(m_i) = 1$ :	20 Stop with 1 (2)
05 Return $O_{F_0}(j)$	12 Abort	21 Stop with 0 (2)
	13 Return Hash( $m_i$ )	

Figure 14: Adversary  $B_1$  in the PRF game.  $B_1$  rewinds A once on the same inputs. Lines marked with ( $i$ ) are only executed during the  $i$ -th invocation.

<b>B<sub>2</sub></b>	Procedure Hash( $m_i$ )	Procedure Fin( $m^*, \sigma^*$ )
Procedure Init	05 If $F_2(k, m_i) = 1$ :	11 If $F_2(k, m^*) = 0$ :
00 ( $N, e, y$ ) $\stackrel{\boxtimes}{\leftarrow}$ Init <sub>RSA</sub>	06 Return $F_1(k, m_i)^e y$	12 Abort
01 $k \stackrel{\boxtimes}{\leftarrow} \{0, 1\}^\lambda$	07 Return $F_1(k, m_i)^e$	13 If $(\sigma^*)^e = \text{Hash}(m^*)$ :
02 Invoke A on ( $N, e$ )	Procedure ProcSign( $m_i$ )	14 $x^* \leftarrow \sigma^* / F_1(k, m^*)$
Procedure Coins	08 If $F_2(k, m_i) = 1$ :	15 Call Fin <sub>RSA</sub> ( $x^*$ )
03 $j \leftarrow j + 1$	09 Abort	
04 Return $F_1(k, j)$	10 Return Hash( $m_i$ )	

Figure 15: Adversary  $B_2$  in the RSA $_\lambda$  game with procedures Init<sub>RSA</sub> and Fin<sub>RSA</sub>.

In Game  $G_3$  we introduce PRF  $F$ , whose range we split into  $F(k, x) = F_0(k, x) || F_1(k, x) || F_2(k, x) \in \{0, 1\}^\lambda \times \{0, 1\}^\lambda \times \{0, 1\}$  for all  $k, x \in \{0, 1\}^\lambda$  (i.e.,  $F_0$  is the projection of  $F$  onto the first  $\lambda$  bits of its range and so on). Sampling of random coins is replaced by evaluating  $F_0$  on counter  $j$ , sampling the values  $H[m_i]$  and  $B[m_i]$  is replaced by evaluating  $F_1$  and  $F_2$  on  $m_i$ , respectively. For simplicity we assume that  $F_1$  is a pseudorandom function that outputs elements in  $\mathbb{Z}_N = \{0, 1\}^\lambda$  and that  $F_2$  is an  $\alpha$ -biased pseudorandom function with  $\alpha := 1/q_s$ . (These two formally incorrect assumptions are made in order not to distract from the main points of our proof. They can easily be waived with a more careful analysis.) We proceed by constructing an adversary  $B_1$  for the PRF game such that

$$\mathbf{Adv}(\text{PRF}^{B_1}) \geq |\mathbf{Succ}(G_2^A) - \mathbf{Succ}(G_3^A)|, \quad (9)$$

$$\mathbf{LocalTime}(B_1) \approx 2 \cdot \mathbf{LocalTime}(A) + \mathbf{Time}(\text{RSA}_\lambda), \quad (10)$$

$$\mathbf{LocalMem}(B_1) = \mathbf{LocalMem}(A) + \mathbf{Mem}(\text{GenRSA}_\lambda) + 6. \quad (11)$$

The definition of  $B_1$  is in Figure 14. Adversary  $B_1$  sets up the values  $(N, e, d)$  using GenRSA $_\lambda$ , samples  $x \stackrel{\boxtimes}{\leftarrow} \mathbb{Z}_N$ , sets  $y \leftarrow x^e$  and runs A on input  $(N, e)$ . It simulates the procedures Hash, ProcSign and Coins by invoking its PRF oracle  $O_F$ . When A calls Fin on message-signature pair  $(m^*, \sigma^*)$  adversary  $B_1$  invokes A for a second time with input  $(N, e)$  (line 03), answering all of its queries in the exact same way as during the first invocation. Note that this is possible, since all replies to queries on Hash, ProcSign and Coins are derived using  $O_F$ . During the rewinding  $B_1$  raises a flag coll if A queries procedure ProcSign on  $m^*$ . Hence the event  $\{\text{coll} = 1\}$  is equivalent to condition  $m^* \in M$  of line 19 of games  $G_2$  and  $G_3$ . When A calls Fin a second time on  $(m^*, \sigma^*)$ , adversary  $B_1$  stops with 0 or 1 as specified in Fin. If  $B_1$  interacts with PRF-game Random it provides A with a perfect simulation of game  $G_2$ , if it interacts with Real with a perfect simulation of game  $G_3$ . Hence Equation (9) follows.

We now analyze  $B_1$ 's running time and memory consumption.  $B_1$  runs GenRSA $_\lambda$  once and A twice and performs some minor bookkeeping. It furthermore has to store the code of A and GenRSA $_\lambda$  as well as up to  $6\lambda$  bits (the integers  $N, e, y$  and up to two messages of length  $\lambda$  each and a counter of size  $\log_2(L) \leq \lambda$ ) which equals 6 memory units.

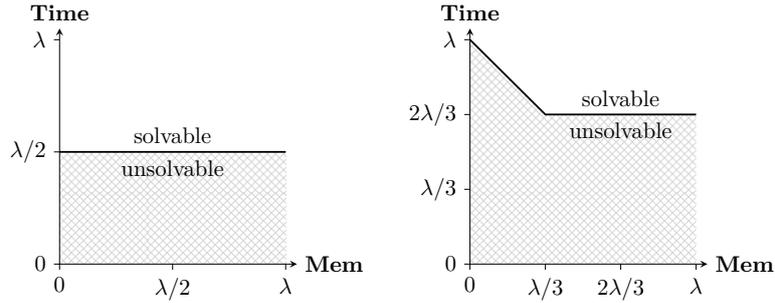


Figure 16: Time/memory graphs of  $\text{CR}_k$  for  $k = 2$  (left) and  $k = 3$  (right). Both **Time** and **Mem** are in log scale.

To conclude the proof we construct an adversary  $B_2$  for the  $\text{RSA}_\lambda$  game such that

$$\mathbf{Succ}(\text{RSA}_\lambda^{B_2}) \geq \mathbf{Succ}(G_3^A), \quad (12)$$

$$\mathbf{LocalTime}(B_2) \approx \mathbf{LocalTime}(A) + (q_H + q_s + L) \cdot \mathbf{Time}(F), \quad (13)$$

$$\mathbf{LocalMem}(B_2) = \mathbf{LocalMem}(A) + \mathbf{Mem}(\text{GenRSA}_\lambda) + 6. \quad (14)$$

Then the claim of the theorem follows from Equations 7, 8, 9 and 12. The definition of  $B_2$  is in Figure 15. It queries  $\text{Init}_{\text{RSA}}$  to receive an RSA challenge  $(N, e, y)$  and samples a PRF key  $k$ . Then it invokes  $A$  on input  $(N, e)$  providing it with a perfect simulation of the procedures  $\text{Hash}$ ,  $\text{ProcSign}$  and  $\text{Coins}$ . When  $A$  invokes procedure  $\text{Fin}$  on message-signature pair  $(m^*, \sigma^*)$ , adversary  $B_2$  checks whether  $F_2(k, m^*) = 0$  and —if so— aborts. Note that by definition of procedure  $\text{Hash}$  adversary  $B_2$  not aborting implies that  $\text{Hash}(m^*) = (F_1(k, m^*))^e y$ . Hence if  $B_2$  does not abort and if the signature is valid, i.e.  $(\sigma^*)^e = \text{Hash}(m^*)$  holds, then  $B_2$ 's answer  $x^* = \sigma^*/F_1(k, m^*)$  to the RSA challenge is valid. Since  $A$  succeeding in game  $G_3$  implies both aforementioned conditions, Equation 12 holds.

Finally we analyze  $B_2$ 's running time and memory consumption.  $B_2$  runs  $A$  once and  $F$  up to  $(q_H + q_s + L)$  times and performs some minor bookkeeping. Further it has to store the code of  $A$  and  $F$  as well as at any point in time  $6\lambda$  bits (a PRF key, a message and three integers of length  $\lambda$  each and a counter of size  $\log_2(L) \leq \lambda$ ) which equals 6 additional memory units. ■

## 6 Memory-Sensitive Problems

In this section we discuss the memory sensitivity of several important cryptographic problems, namely multi-collision-resistance, learning parities with noise, the shortest vector problem, discrete logarithms in prime fields, and factoring.

To visualize the memory sensitivity of a problem  $P$  we plot time/memory trade-offs as in Figure 1 of the Introduction. The horizontal axis is memory consumption and the vertical axis is running time, both on a log scale. A point  $(x, y)$  is either labeled with “solvable” or “unsolvable”, where solvable means that there exists an algorithm with memory consumption at most  $2^x$  and running time at most  $2^y$  that solves the problem. We refer to the boundary between the solvable and unsolvable regions as the *transition function*.

A time/memory trade-off plot of a non-memory-sensitive problem typically has transition function which is (approximately) a horizontal line. As discussed in Section 1, in this case a non-memory-tight reduction has less negative impact. The steeper the slope of the transition function, the more memory-sensitive the problem is. We refer to the introduction for examples with concrete numbers.

**$k$ -WAY COLLISION RESISTANCE.** The  $k$ -way collision problem  $\text{CR}_k$  is to find a  $k$ -collision in a hash function with  $\lambda$  output bits, see Section 2.4 for a formal definition. The following table provides an overview over known algorithms to solve  $\text{CR}_k$  with constant success probability for  $k \in \{2, 3\}$ .

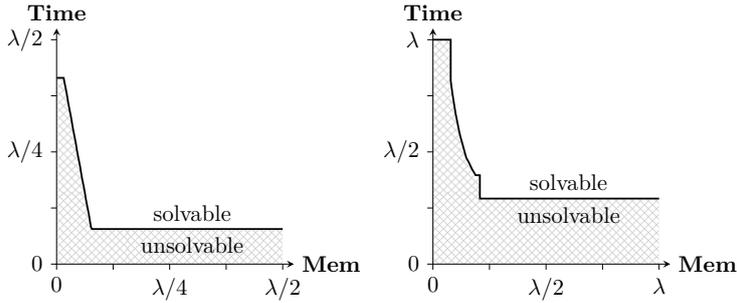


Figure 17: Time/memory graphs of  $\text{LPN}_{\lambda, \tau}$  for  $\lambda = 1024$  and  $\tau = 1/4$  (left) and  $\text{SVP}$  for lattice dimension  $\lambda$  (right). Both **Time** and **Mem** are in log scale.

Algorithm A	$\text{LocalMem}_2(\text{CR}_t^\Lambda)$	$\text{LocalTime}(\text{CR}_t^\Lambda)$
Birthday ( $k = 2$ )	$O(1)$	$2^{\lambda/2}$
Joux-Lucks [JL09] ( $k = 3$ )	$2^{\lambda\alpha}$	$2^{\lambda(1-\alpha)}$ ( $\alpha \leq 1/3$ )

From the table we derive the time/memory graph of  $\text{CR}_k$  in Figure 16.  $\text{CR}_3$  is memory sensitive, whereas  $\text{CR}_2$  is not (as its transition function is a horizontal line).

**LEARNING PARITY WITH NOISE.** Another example of a memory sensitive problem is the well-known *Learning Parity with Noise (LPN)* problem. Let  $\lambda \in \mathbb{N}$  be the dimension and  $\tau \in [0, 1/2)$  be a constant that defines the error probability. The problem  $\text{LPN}_{\lambda, \tau}$  is to compute a random secret  $s \leftarrow_{\boxtimes} \mathbb{F}_2^\lambda$ , given “noisy” random inner products with  $s$ , i.e. samples  $(a_i, \nu_i)$  where  $a_i \leftarrow_{\boxtimes} \mathbb{F}_2^\lambda$ , and  $\nu_i = \langle a_i, s \rangle + e_i$  for  $e_i \leftarrow_{\boxtimes} \text{Ber}(\tau)$ .

Memory usage and running time of the best known algorithms for  $\text{LPN}_{\lambda, \tau}$  with constant success probability are given in the following table.

Algorithm A	$\text{LocalMem}_2(\text{LPN}_{\lambda, \tau}^\Lambda)$	$\text{LocalTime}(\text{LPN}_{\lambda, \tau}^\Lambda)$
BKW [BKW03]	$2^{\lambda/\log(\lambda/\tau)}$	$2^{\lambda/\log(\lambda/\tau)}$
Gauss [EKM17]	$O(1)$	$2^{\lambda \log(1/(1-\tau))}$

Furthermore, [EKM17] consider a hybrid algorithm between Gauss and BKW with different trade-offs between running time and memory. Figure 17 (left) provides the corresponding time/memory graph: the lower horizontal line of the transition function stems from BKW, the upper (short) horizontal line stems from Gauss, and the line connecting the two takes the hybrid algorithms into account. (As there is no closed formula for the complexity of the hybrid algorithm, the connecting line was generated using concrete data points for  $\lambda = 1024$  and  $\tau = 1/4$ , kindly provided by the authors of [EKM17].)

We note that the situation for the Learning with Errors problem (LWE) and the Shortest Integer Solution problem (SIS) is similar to that of the LPN problem [CN11, APS15, HKM17].

**SHORTEST VECTOR PROBLEM.** A third example is the *Shortest Vector Problem (SVP)*. Given a lattice basis of dimension  $\lambda$ , the problem  $\text{SVP}_\lambda$  is to find a shortest non-zero vector (w.r.t. the Euclidean norm) in the lattice. The following table gives memory usage and running time of the best known algorithms for  $\text{SVP}_\lambda$  with constant success probability.

Algorithm A	$\text{LocalMem}_2(\text{SVP}_\lambda^\Lambda)$	$\text{LocalTime}(\text{SVP}_\lambda^\Lambda)$
[BDGL16]	$2^{0.208 \cdot \lambda}$	$2^{0.292 \cdot \lambda}$
[HK17]	$2^{0.079 \cdot \lambda}$	$2^{0.817 \cdot \lambda}$

Figure 17 (right) gives the corresponding time/memory graph of  $\text{SVP}_\lambda$ , also taking recent optimized algorithms of [HK17] into account. Again, as there is no closed formula for the running times of [HK17], the concrete data points for the transition graph were provided by the authors of [HK17].

**DISCRETE LOGARITHMS IN PRIME FIELDS.** The discrete logarithm problem  $\text{DLOG}_\lambda$  with respect to security parameter  $\lambda \in \mathbb{N}$  is, given a randomly chosen prime  $p$  of bit size  $\lambda$ , a generator  $g$  of  $\mathbb{Z}_p^*$  and a

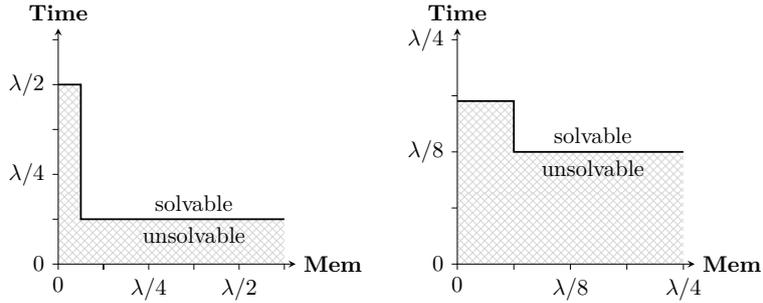


Figure 18: Time/memory graphs of  $\text{DLOG}_\lambda$  (left) and  $\text{FACT}_\lambda$  (right). Both **Time** and **Mem** are in log scale and  $\lambda = 512$  in both graphs.

group element  $X = g^x$  for a randomly chosen exponent  $x \in \mathbb{Z}_{p-1}$ , to compute  $x$ . Memory usage and running time in  $L$ -notation of the best known algorithms for  $\text{DLOG}_\lambda$  with constant success probability are given in the following table.

Algorithm A	$\text{LocalMem}_2(\text{DLOG}_\lambda^A)$	$\text{LocalTime}(\text{DLOG}_\lambda^A)$
NFS [LLJMP93]	$L_{2^\lambda}^{1/3, (8/9)^{1/3}}$	$L_{2^\lambda}^{1/3, (64/9)^{1/3}}$
PollardRho [Pol75]	$O(1)$	$2^{\lambda/2}$

From the table we derive the time/memory graph of  $\text{DLOG}_\lambda$  in Figure 18. For simplicity, all constants were ignored.

**FACTORIZING.** Let  $\lambda \in \mathbb{N}$  be a security parameter. The problem  $\text{FACT}_\lambda$  is to factor an RSA modulus  $N = pq$ , where  $p$  and  $q$  are distinct primes of bit size  $\lambda/2$ .

Memory usage and running time in  $L$ -notation of the best known algorithms for  $\text{DLOG}_\lambda$  with constant success probability are given in the following table.

Algorithm A	$\text{LocalMem}_2(\text{FACT}_\lambda^A)$	$\text{LocalTime}(\text{FACT}_\lambda^A)$
NFS [LLJMP93]	$L_{2^\lambda}^{1/3, (8/9)^{1/3}}$	$L_{2^\lambda}^{1/3, (64/9)^{1/3}}$
ECM [LJ87]	$O(1)$	$L_{2^{\lambda-1}}^{1/2, \sqrt{2}}$

From the table we derive the time/memory graph of  $\text{FACT}_\lambda$  in Figure 18.

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## A Proof of Theorem 4.1

Our proof is a minor modification of prior work that reduces a streaming algorithms for frequency moments to a communication complexity problem. Thus we start by recalling the randomized two-party communication model and the disjointness problem. Two parties  $P_1, P_2$  are given inputs  $\mathbf{x}_1, \mathbf{x}_2 \in \{0, 1\}^n$  respectively. The protocol execution starts by selecting a random string  $r$  (from some finite domain) and giving  $r$  to both parties. Then the parties, starting with  $P_1$ , proceed by alternately performing some arbitrary computation and sending a message to the other party. At some point,  $P_2$  halts with some output. We write  $P_1(\mathbf{x}_1) \leftrightarrow P_2(\mathbf{x}_2)$  for the random variable representing the output of  $P_2$  in such an execution. The *communication* of the protocol is the number of bits exchanged in the worst case over  $\mathbf{x}_1, \mathbf{x}_2$ , and  $r$ .

**Theorem A.1** ([KS92, Raz92]). *Let  $\text{DISJ}(\mathbf{x}_1, \mathbf{x}_2)$  be defined by*

$$\text{DISJ}(\mathbf{x}_1, \mathbf{x}_2) = \begin{cases} 1 & \text{if } \exists i : \mathbf{x}_1[i] = \mathbf{x}_2[i] = 1 \\ 0 & \text{otherwise} \end{cases} .$$

*Then any two-party protocol  $(P_1, P_2)$  such that*

$$\Pr[P_1(\mathbf{x}_1) \leftrightarrow P_2(\mathbf{x}_2) = \text{DISJ}(\mathbf{x}_1, \mathbf{x}_2)] > c \tag{15}$$

*for some constant  $c > 1/2$  and every  $\mathbf{x}_1, \mathbf{x}_2 \in \{0, 1\}^n$  must have communication  $\Omega(n)$ .*

*Proof (of Theorem 4.1).* We start with  $G$ . Suppose for that  $\mathbf{B}$  is a streaming algorithm making  $p$  passes such that for all sufficiently large  $n, U$  and all  $\mathbf{y}_1 \| \mathbf{y}_2 \in U^{n/2}$ ,

$$\Pr[\mathbf{B}^{\mathbf{O}_{\mathbf{y}_1 \| \mathbf{y}_2}} = G(\mathbf{y}_1 \| \mathbf{y}_2)] \geq c .$$

We use  $\mathbf{B}$  to construct a two party protocol as follows. Before exchanging messages, the parties locally use their inputs  $\mathbf{x}_1, \mathbf{x}_2$  to compute vectors  $\mathbf{y}_1, \mathbf{y}_2$  respectively. Party  $P_1$  takes input  $\mathbf{x}_1 \in \{0, 1\}^n$ , and constructs  $\mathbf{y}_1 \in U^{n-w_1}$ , where  $U = \{1, \dots, n\}$  and  $w_1$  is the Hamming weight of  $\mathbf{x}_1$ . It lets  $\mathbf{y}_1[i]$  be the index of the  $i$ -th “1” in  $\bar{\mathbf{x}}_1$ , the bit-complement of  $\mathbf{x}_1$ . Party  $P_2$  constructs  $\mathbf{y}_2 \in U^{w_2}$ , where  $w_2$  is Hamming weight of  $\mathbf{x}_2$ , by setting  $\mathbf{y}_2[i]$  to the index of the  $i$ -th “1” in  $\mathbf{x}_2$ .

The protocol executes starting with Party  $P_1$ , which runs  $\mathbf{B}^{\mathbf{O}_{\mathbf{y}_1}}$  (using its own randomness as the randomness for  $\mathbf{B}$ ), simulating oracle calls using  $\mathbf{y}_1$ , until  $\mathbf{B}$  has issued  $n/2$  calls. At this point,  $P_1$  sends the local memory state of  $\mathbf{B}$  to  $P_2$ . Party  $P_2$  runs  $\mathbf{B}^{\mathbf{O}_{\mathbf{y}_2}}$  starting from state  $s$ , again simulating oracle calls using  $\mathbf{y}_2$ . After  $n/2$  oracle calls,  $P_2$  sends the state  $s$  of  $\mathbf{B}$  to  $P_1$ , which continues to simulate  $\mathbf{B}$ . The parties iterate in this way until  $\mathbf{B}$  halts with some output, which  $P_2$  uses as its output (possibly forwarded from  $P_1$  if necessary).

We verify that our protocol is correct, in that it satisfies (15). If  $\text{DISJ}(\mathbf{x}_1, \mathbf{x}_2) = 1$ , then there is a position  $i$  such that  $\bar{\mathbf{x}}_1[i] = 0$  and  $\mathbf{x}_2[i] = 1$ . Thus the element  $i$  will be a member of  $\mathbf{y}_2$  but not  $\mathbf{y}_1$ , so  $G(\mathbf{y}_1 \| \mathbf{y}_2) = 1$ . On the other hand, if  $\text{DISJ}(\mathbf{x}_1, \mathbf{x}_2) = 0$ , then  $\mathbf{x}_2[i] = 1$  implies that  $\bar{\mathbf{x}}_1[i] = 1$ , and thus whenever  $i$  is in  $\mathbf{y}_2$  it will also be in  $\mathbf{y}_1$ , i.e.  $G(\mathbf{y}_1 \| \mathbf{y}_2) = 0$ . Since our protocol perfectly simulates the oracle  $\mathbf{O}_{\mathbf{y}_1 \| \mathbf{y}_2}$  for  $\mathbf{B}$ , we get that it computes  $\text{DISJ}$  with probability at least  $c$ .

The communication of our protocol is  $O(p \cdot \text{LocalMem}_2(\mathbf{B}))$  as the parties exchange the state of  $\mathbf{B}$  twice per pass, and the state of  $\mathbf{B}$  consists of its memory plus constant storage in its registers. Applying Theorem A.1, we get  $\text{LocalMem}_2(\mathbf{B}) = \Omega(n/p)$ . Since the length of the stream is  $n$  and  $|U| = n$ , this implies that  $\text{LocalMem}_2(\mathbf{B}) = \Omega(\min\{n/p, |U|/p\})$ , completing the proof of the Theorem for  $G$ .

The proof for  $F_{\infty, t}$  follows the same template and we only describe the differences. Party  $P_1$  translates  $\mathbf{x}_1$  into a vector  $\mathbf{y}_1 \in U^{(t-1)w_1}$ , where  $U$  and  $w_1$  are the same as before. It computes  $\mathbf{y}_1$  by appending  $(t-1)$  copies of  $i$  for each  $i \in U$  such that  $\mathbf{x}_1[i] = 1$ . Party  $P_2$  computes  $\mathbf{y}_2$  exactly as before. By construction it is easy to check that  $\text{DISJ}(\mathbf{x}_1, \mathbf{x}_2) = 1$  iff  $F_{\infty, t}(\mathbf{y}_1, \mathbf{y}_2) = 1$ , and the same argument then applies. ■