

# Securing Systems with Scarce Entropy: LWE-Based Lossless Computational Fuzzy Extractor for the IoT

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**Abstract.** With advent of the Internet of Things, lightweight devices necessitate secure and cost-efficient key storage. Since traditional secure key storage is expensive, novel solutions have been developed based on the idea of deriving the key from noisy entropy sources. Such sources when combined with fuzzy extractors allow cryptographically strong key derivation. Information-theoretic fuzzy extractors require large amounts of input entropy to account for entropy loss in the key extraction process. It has been shown by Fuller *et al.* (ASIACRYPT'13) that the entropy loss can be reduced if the requirement is relaxed to computational security based on the hardness of the Learning with Errors problem. Using this computational fuzzy extractor, we show how to construct a device-server authentication system providing outsider chosen perturbation security and pre-application robustness. We present the first implementation of a *lossless* computational fuzzy extractor where the entropy of the source equals the entropy of the key on a constrained device. The implementation needs only 1.45KB of SRAM and 9.8KB of Flash memory on an 8-bit microcontroller. Furthermore, we also show how a device-server authentication system can be constructed and efficiently implemented in our system. We compare our implementation to existing work in terms of security, while achieving no entropy loss.

## 1 Introduction

After file sharing, e-commerce, and social media, the next generation of the Internet – the Internet of Things (IoT) – is connecting machines to machines. These IoT devices range from sensors and security cameras to vehicles, production machines, buildings and smart cities. It is expected that there will be 50 billion connected IoT devices by 2020 [22]. Due to connectivity, security is a major concern for IoT systems.

### 1.1 Security in the IoT and Problem Description

The IoT will consist of countless devices with a connection to the Internet and constrained in terms of memory, power supply and computational power. Sensor

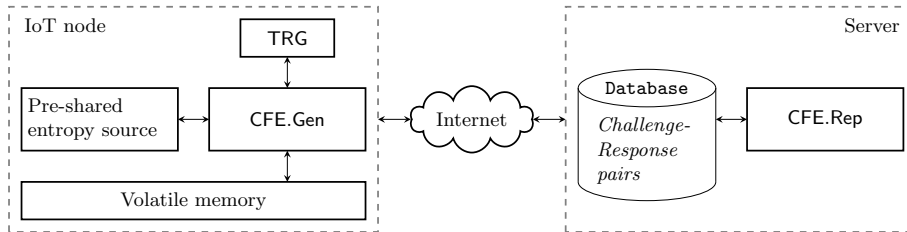


Fig. 1: Our computational fuzzy extractor in an IoT setting.

nodes, in particular, change the way we used to think about computer-based systems. They will become the eyes and ears for our everyday ubiquitous computing world. That way, cyber-physical systems can directly influence our physical environment with their collected data, e.g. a fire door unlocks upon smoke detection or a car brakes due to input from various sensors detecting an obstacle. Therefore, it is widely recognized that authenticity of their data and communication will be a requirement to guarantee the safe and secure operation of IoT systems.

Cryptographic mechanisms ensuring secure deployment and operation of IoT devices rely on high-entropy keys. Harvesting entropy in IoT devices, however, turns out to be a challenging task because of their inherently constrained nature. The number and type of peripherals available on such devices is kept at a minimum for cost reasons. Moreover, since noise-free high-entropy sources are not generally available, IoT devices must rely on noisy entropy sources [5]. Examples of noisy entropy sources<sup>4</sup> include biometrics [15], quantum information [8] and physical unclonable functions (PUF) [27,43,24,51]. Especially PUFs are an emerging trend in IoT systems. They can be found in devices ranging from small chip card microcontrollers like NXP’s SmartMX2 to modern high-performance FPGAs and MPSoCs like Xilinx UltraScale family. Due to the lightweight, resource-constrained nature of IoT systems, securely storing keys on IoT devices remains a challenge. Here, PUFs are considered an attractive solution as the key can be seen to be embedded intrinsically in hardware. They provide for secure and cheap cryptographic key storage, even in constrained environments as those found in IoT systems.

## 1.2 Background and Aim

To deal with the PUF noise and, more generally, be able to derive secure cryptographic keys from noisy sources, fuzzy extractors were introduced [20]. Fuzzy extractors are comprised of two procedures. The generate procedure establishes a key and helper data from a measurement. The reproduce procedure takes a noisy measurement, alongside with the helper data, and reproduces the same key created during the generate procedure. It can be formally shown that the public helper data leaks negligible (or no) information about the derived

<sup>4</sup> Clearly, not all such sources are meant to be used with constrained IoT devices.

key [20,12,23]. Because of this, fuzzy extractors can be found in cryptographic protocols [12,26,4,16]. Security of (robust) fuzzy extractors constructions has been shown in the information-theoretic sense [20,19] and the random oracle model [12]. However, it comes at the cost of an entropy loss equal to the difference between the measurement (source) entropy and the entropy of the extracted key. In constrained devices, such entropy loss results in increased costs for the overall system (more entropy from a PUF, implies additional SRAM cells, oscillators, etc. which, in turn result in additional area and therefore increased cost).

In [23], Fuller *et al.* introduced a *computational* fuzzy extractor (CFE), which can be transformed into a *lossless* fuzzy extractor, i.e., an extractor that has *no* entropy loss. In contrast to information theoretic constructions where the extracted key is derived from the noisy source measurement, the main idea behind a computational fuzzy extractor is to use the measurement to *encrypt* a uniformly chosen secret. Because the encryption and decryption relies on the Learning with Errors (LWE) problem [45], recovering the secret is still possible with a noisy measurement that is sufficiently close to the initial one. Such a construction has the additional advantage of being post-quantum secure, thanks to inherited security from LWE. These advantages come at the cost of downgrading security guarantees from information-theoretic security to computational security.

In this paper, our aim is to design, implement and evaluate the feasibility of a cheap IoT node and corresponding system supporting lightweight mutual authentication in a post-quantum world. We achieve this via algorithms which minimize the required (software or hardware) resources and the entropy required for key derivation (and therefore for authentication). Post quantum security follows directly from our use of computational fuzzy extractors whose construction is based on the LWE problem. Our evaluation indicates that *it is* feasible to implement such (CFE) schemes in highly constrained environments. In the process of showing this feasibility results, we provide novel constructions of random number generators for ultra-constrained environments and algorithms which minimize the area required for the CFE implementation. Our results are fully implemented on two IoT node platforms corresponding to ultra-constrained devices (Atmel 8-bit AVR microcontroller) and a more powerful node, which we expect will be more widely available in the future (a 32-bit ARM Cortex-M3).

### 1.3 System Architecture

A high-level overview of our system architecture is shown in Fig. 1. An IoT node is connected with a server over the Internet. The aim of the IoT node is to prove its identity to the server, without leaking its secret information and without being easily impersonated to the server by other malicious nodes. Informally, our aim is to achieve the implementation (hardware and software) of such a node at a cheap cost and to provide strong security guarantees. We assume that the node is equipped with a true random number generator (TRG), a memory, a CPU which runs the generate procedure (CFE.Gen) and a pre-shared secret entropy source. We show in this work that the TRG can be implemented without any

additional hardware and therefore, it is provided “for free” in our IoT node. Furthermore, we assume the existence of a strong PUF as a pre-shared secret entropy source in our IoT node. The particular implementation details of the PUF are, however, outside the scope of this paper. The TRG provides freshness for each protocol run and the volatile memory stores intermediate values during calculation.

The server, on the other hand, has access to a database which holds the corresponding challenge-response pairs for each legitimate PUF (and therefore for each legitimate device). The PUF’s challenge-response behavior is assumed to have been measured during an enrollment phase in a secure environment. Also, the server runs the reproduce logic of our computational fuzzy extractor (CFE.Rep). We assume the server – or the cloud – to be protected with high-security measures and to behave semi-honestly (honest but curious setting).

#### 1.4 Adversarial Model

We assume a strong adversary that can control the communication channel between a node and a server at will, due to the wireless nature of the IoT. In addition, the adversary has limited access to the node itself. In particular, we assume that the adversary cannot perform extensive physical attacks or invasive or semi-invasive side-channels attacks (which require unlimited access to the device for extended periods of time) but he has the ability to read out secrets from standard non-volatile memory and change them (replace them). As it is standard, we assume that the PUF in the system possesses a tamper evidence property, so that if the adversary tries to learn the secret stored in the PUF, the PUF behavior will change significantly or be destroyed. Finally, we assume that all the security functionality (algorithms) related to the CFE is implemented in such a way that it cannot be modified but is well-known to the adversary (as it is standard in cryptography).

#### 1.5 Contribution

In this paper, we investigate the feasibility of a lossless CFE for typical IoT devices. We explore the implementation trade-offs of a system based on the lossless CFE construction in terms of efficiency and complexity. We summarize our contributions as follows: We summarize our contributions as follows:

- **CFE system.** We show how a computational fuzzy extractor can be securely instantiated in the system model of Sect. 1.3 by taking advantage of reverse and robust fuzzy extractors. We show outsider chosen perturbation security and we prove pre-application robustness in the sense of [12]. Additionally, since our construction is based on the hardness of the LWE problem, it immediately provides post-quantum security guarantees.
- **Client-side implementation of a reverse and robust CFE on constrained devices.** To our knowledge, we present the first software imple-

mentation<sup>5</sup> of a *lossless* computational fuzzy extractor [23] on resource-constrained devices (an 8-bit AVR microcontroller with 2.5KB RAM and a 32-bit ARM Cortex-M3 microcontroller with 96 KBytes of RAM). We show that the CFE generate procedure requires about 40 seconds when implemented on the 8-bit platform. On the other hand, the more powerful ARM-based processor allows us to speed up the client’s generate procedure to 400 msec, which is within the realm of practicality today.

- **True random number generation.** We propose a new construction for generating random numbers from a physical noise source available on off-the-shelf microprocessors. The proposed construction achieves uniform randomness required in our CFE system, as demonstrated by the output of our new random generator passing the NIST randomness tests [42,6].
- **Parameter setting and optimized implementation of a lossless CFE.** We show how to optimize the algorithms given by Fuller *et al.* [23] in a way that reduces their memory footprint. This enables the application of lossless CFE in the embedded domain where the devices generally have a limited amount of memory. In addition, we show how to determine suitable parameters and discuss the impact of the parameters’ choice on the implementation size and performance. Finally, we compare our setting with related work for 80-bit, 128-bit and 256-bit security.

## 1.6 Outline

The remainder of this paper is organized as follows: In Section 2, we introduce notation, necessary background and previous constructions. We then present our reverse and robust computational fuzzy extractor in Section 3. In Section 4 we show how to use our previous system construction for an authentication protocol. We give implementation details and optimizations for the used algorithms in Section 5. In Section 6 we discuss parameters for a lossless implementation and present resulting memory requirement. We evaluate our implementation in Section 7 in terms of memory and performance. A thorough security analysis for our system construction and our protocol, as well as a comparison with related work is conducted in Section 8. At last, we discuss possible pre-shared secret entropy sources for our system in Section 9. We conclude this paper in Section 10.

## 1.7 Note on outsider chosen perturbation security

As Apon *et al.* [3] point out, the computational fuzzy extractor as defined by Fuller *et al.* [23] is in fact *not* outsider chosen perturbation secure. Note that Apon *et al.* [3] refer to this property as *weak reusability*. As our construction is directly based on Fuller *et al.*’s fuzzy extractor, their attack also applies to

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<sup>5</sup> The work of [29] describes the implementation of a Trapdoor CFE. Our focus is on a *plain* CFE, where the additional confidence information of a Trapdoor CFE is not available. In contrast, we have implemented a *lossless* CFE, based on LWE instead of LPN, and on a constrained device rather than a normal computer.

our construction. Nevertheless, Apon *et al.* also provide a solution, which can be utilized here: simply fix a random matrix  $\mathbf{A}$  as part of the public helper data for all executions of Gen. Therefore, we changed Construction 4.1 by Fuller *et al.* [23] to our Construction 1. Here, the random matrix  $\mathbf{A}$  is assumed to be an input to Gen, as it is only generated once, compared to the Gen procedure by Fuller *et al.* [23]. Note that the implementation of this solution would actually result in improved performance as  $\mathbf{A}$  does not need to be resampled across different invocations of Gen.

Additionally, as Van Herrewege *et al.* [52] point out, the notion of secure fuzzy extractors alone does not guarantee that *multiple* public helper data for slightly perturbed responses can be securely published. Therefore, in order for the presented authentication protocol to be secure, the stronger notion of outsider-chosen perturbation security is required for the underlying reverse fuzzy extractor. Hence, for the security guarantees of our authentication scheme to hold, it has to be assumed that the public matrix  $\mathbf{A}$  is indeed fixed across executions of Gen.

## 2 Preliminaries

In this section, we start describing the notation and we recall cryptographic building blocks, used throughout this article. We introduce the LWE problem, on which the computational fuzzy extractor, as well as the lossless computational fuzzy extractor, relies. Furthermore, we recall the reverse and the robust fuzzy extractor.

### 2.1 Notation

We follow the same notation as in [23]. We denote random variables by capitalized letters, e.g.,  $X = X_1 || \dots || X_n$ , where each  $X_i$  is over some alphabet  $\mathcal{Z}$ , we denote by  $X_{1,\dots,k} = X_1 || \dots || X_k$ . Matrices and vectors are denoted by bold capital ( $\mathbf{A}$ ) and lower-case letters ( $\mathbf{x}$ ), respectively. Coordinates of a vector or samples from a random variable are written as plain lowercase letters, e.g.  $x$ . We write for a distinguisher  $D$  (or a class of distinguishers  $\mathcal{D}$ ) the *computational distance* between  $X$  and  $Y$  as  $\delta^D(X, Y) = |\mathbb{E}[D(X)] - \mathbb{E}[D(Y)]|$ . We denote by  $\mathcal{D}_{s_{sec}}$  the class of randomized circuits which output a single bit and have size at most  $s_{sec}$ .  $U_n$  denotes the uniformly distributed random variable on  $\{0, 1\}^n$ . We will write  $\mathcal{M}$  to denote a metric space with an associated distance function  $\text{dis}$ . We will denote the finite field with  $q$  elements by  $\mathbb{F}_q$  and the corresponding vector space of dimension  $m$  over  $\mathbb{F}_q$  by  $\mathbb{F}_q^m$ . We denote the binary logarithm with  $\log$ . We denote an efficient algorithm as probabilistic polynomial time (PPT).

### 2.2 Cryptographic Building Blocks

We use Message Authentication Codes (MAC). A MAC is defined as a triple of algorithms: (i)  $x \leftarrow \text{MAC.KGen}(1^\lambda)$ , which accepts a security parameter  $\lambda$

and outputs a random and uniformly distributed key  $x$ , (ii) a tag algorithm, denoted  $\sigma \leftarrow \text{MAC.Tag}_x(m)$ , which accepts as inputs the secret key  $x$  and a message  $m$  and outputs a tag  $\sigma$ , and (iii) a MAC verification algorithm  $\text{decision} \leftarrow \text{MAC.Verify}_x(\sigma, m)$  with inputs a key  $x$ , a message  $m$  and a tag  $\sigma$  and outputs a decision  $\in \{\text{accept}, \text{reject}\}$  indicating whether the tag is valid or not.

For our constructions we use an encoding function  $\text{Enc}$  which uses a key  $\mathbf{x}$ , a matrix  $\mathbf{A}$  and a string  $\mathbf{w} \in \mathcal{M}$ . The corresponding decoding function  $\text{Dec}$  takes a vector  $\mathbf{b}'$  and matrix  $\mathbf{A}$  as inputs and outputs key  $\mathbf{x} = \text{Dec}(\mathbf{b}', \mathbf{A})$  if  $\mathbf{b} = \text{Enc}_{\mathbf{x}}(\mathbf{A}, \mathbf{w})$  and where  $\mathbf{b}' = \mathbf{b} + \mathbf{e}$  and  $\mathbf{e}$  is some small noise vector.

### 2.3 Learning with Errors

Regev introduced the LWE problem [45,46] as a generalization of the Learning Parity with Noise (LPN) problem. We recall the decisional version of the problem introduced in [45] following the presentation and notation of Fuller et al. [23]:

**Definition 1 (Decisional LWE [45])** *Let  $n$  be a security parameter. Let  $m = m(n) = \text{poly}(n)$  be an integer and  $q = q(n) = \text{poly}(n)$  be a prime. Let  $\mathbf{A}$  be uniformly distributed over  $\mathbb{F}_q^{m \times n}$ ,  $X$  be uniformly distributed over  $\mathbb{F}_q^n$  and  $\chi$  be an arbitrary distribution on  $\mathbb{F}_q^m$ . The decisional version of the LWE problem, denoted  $\text{dist-LWE}_{(n,m,q,\chi)}$ , is to distinguish the distribution  $(\mathbf{A}, \mathbf{A}X + \chi)$  from the uniform distribution over  $(\mathbb{F}_q^{m \times n}, \mathbb{F}_q^m)$ .*

*We say that  $\text{dist-LWE}_{(n,m,q,\chi)}$  is  $(\epsilon, s_{\text{sec}})$ -secure if no (probabilistic) distinguisher of size  $s_{\text{sec}}$  can distinguish the LWE instances from uniform except with probability  $\epsilon$ .*

As observed in previous works [21,23], Regev [45] shows security assuming that  $\chi$  is a Gaussian distribution. In [21], the authors show that security can also be proven even if  $\chi$  is uniformly distributed over a small interval. This result turns out to be essential for the construction of computational fuzzy extractors [23].

### 2.4 Computational Fuzzy Extractors

Formalized in [20], fuzzy extractors (FE) can be used to derive keys from noisy measurements. They have been proven secure in the information-theoretical sense. A FE consists of two procedures –  $\text{Gen}$  and  $\text{Rep}$ . Whereas  $\text{Gen}$  "generates" public helper data from a measurement,  $\text{Rep}$  tries to "reproduce" a shared secret from a noisy measurement and the helper data.

Fuller *et al.* [23] show how to build computational fuzzy extractors (CFE) to derive longer keys compared to information-theoretical secure fuzzy extractors when input entropy remains the same at the cost of achieving only computational security. Their construction is based on the LWE problem. This is achieved by using the variant of LWE introduced in [21], which uses a uniformly random distribution (rather than a discretized Gaussian) and choosing suitable parameters. Moreover, Fuller *et al.* also show how to construct a *lossless* CFE, i.e., a CFE exhibiting no entropy loss. We first recall the definition of a CFE [23].

**Definition 2 (Computational Fuzzy Extractor [23, Definition 2.5])** Let  $\mathcal{W}$  be a family of probability distributions over  $\mathcal{M}$ . A pair of randomized procedures "generate" (**Gen**) and "reproduce" (**Rep**) is a  $(\mathcal{M}, \mathcal{W}, \ell, t)$ -computational fuzzy extractor that is  $(\epsilon, s_{\text{sec}})$ -hard with error  $\delta$  if **Gen** and **Rep** satisfy the following properties:

- The generate procedure **Gen** on input  $w \in \mathcal{M}$  outputs an extracted string  $R \in \{0, 1\}^\ell$  and a helper string  $P \in \{0, 1\}^*$ .
- The reproduction procedure **Rep** takes an element  $w' \in \mathcal{M}$  and a bit string  $P \in \{0, 1\}^*$  as inputs. The correctness property guarantees that if  $\text{dis}(w, w') \leq t$  and  $(R, P) \leftarrow \text{Gen}(w)$ , then  $\Pr[\text{Rep}(w', P) = R] \geq 1 - \delta$ , where the probability is over the randomness of the procedures (**Gen**, **Rep**). If  $\text{dis}(w, w') > t$  then no guarantee is provided about the output of **Rep**.
- The security property guarantees that for any distribution  $W \in \mathcal{W}$ , the string  $R$  is pseudorandom conditioned on  $P$ , that is  $\delta^{\mathcal{D}_{\text{sec}}}((R, P), (U_\ell, P)) \leq \epsilon$ .

## 2.5 Lossless Computational Fuzzy Extractor

As mentioned previously, [23] observes that by careful choice of parameters and using the LWE version introduced in [21], one can achieve a lossless CFE as shown in Construction 1. Intuitively, the **Gen** procedure takes  $\mathbf{w} \leftarrow W$ , where  $W$  is a uniform distribution over  $\mathbb{F}_{\rho q}^m$ , as input and outputs a key  $r$  and the helper data  $p$ . The secret vector  $\mathbf{x} \in \mathbb{F}_q^n$  is chosen uniformly, but only the first  $k$  blocks of  $\mathbf{x}$  result in the key  $r$ . This follows directly from the ability to extract pseudorandom bits, which, in turn, follows from [1, Theorem 3], that proves that  $\mathbf{x}$  has simultaneously many hardcore bits.

The **Rep** outputs the key  $r$  for a given noisy  $\mathbf{w}'$  and helper data  $p$ , if the error  $t$  is not too big. Basic operations in **Gen** and **Rep** are matrix-vector multiplications and vector-vector additions, or subtractions, in a prime field. The overall efficiency of this construction relies on the function **Dec**, which we describe in Section 5.2.

**Construction 1** Let  $n$  be a security parameter and let  $m \geq 3n$  and  $k = n/2$ . Let  $q$  be a prime. Let a common  $\mathbf{A} \in \mathbb{F}_q^{m \times n}$  be sampled uniformly. Define **Gen**, **Rep** as follows:

**Gen**

1. **Input:**  $\mathbf{w} \leftarrow W$  (where  $W$  is some distribution over  $\mathbb{F}_{\rho q}^m$ )
2. Sample  $\mathbf{x} \in \mathbb{F}_q^n$  uniformly
3. Compute  $p = (\mathbf{A}, \mathbf{A}\mathbf{x} + \mathbf{w})$ ,  $r = \mathbf{x}_k$
4. **Output:**  $(r, p)$

**Rep**

1. **Input:**  $(\mathbf{w}', p)$  (the Hamming distance between  $\mathbf{w}'$  and  $\mathbf{w}$  is  $\leq t$ )
2. Parse  $p$  as  $(\mathbf{A}, \mathbf{c})$ ; let  $\mathbf{b} = \mathbf{c} - \mathbf{w}'$
3. Let  $x = \text{Dec}(\mathbf{A}, \mathbf{b})$



4. **Output:**  $r = x_k$

For Construction 1 to be lossless, the parameters in the construction need to satisfy several conditions. The results from Döttling and Müller-Quade [21] enable [23] to split a sampled measurement  $\mathbf{w}$  into blocks  $w_i$ . Each block then represents a coordinate in the vector  $\mathbf{w}$ , where  $m$  is the number of coordinates in  $\mathbf{w}$  and each  $w_i$  has a bit width of  $\rho q$ . The key  $r$  gets derived from  $k$  hardcore samples  $x_i$  from  $\mathbf{x}$ , so the total number of bits in  $r$  is  $k \log q$ . Fuller *et al.* observe that for a lossless construction we need to satisfy  $m \log \rho q = k \log q$ , meaning the measurement vector  $\mathbf{w}$  has a higher dimension, but each element has less bits, than the key  $r$ . The above discussion is *visualized* in (1), where we are abusing the log notation to illustrate the number of bits needed to represent the operands. The formal statement is contained in Theorem 1. Theorem 2 summarizes the security of the lossless CFE construction and it is proven in [23].

$$\log \mathbf{w} = \log \begin{pmatrix} \overbrace{w_1}^{\log \rho q} \\ w_2 \\ \vdots \\ w_k \\ \vdots \\ w_m \end{pmatrix} = m \log \rho q = k \log q = \log \begin{pmatrix} \overbrace{x_1}^{\log q} \\ x_2 \\ \vdots \\ x_k \end{pmatrix} = \log \mathbf{x} \quad (1)$$

**Theorem 1 ([21, Theorem 6])** *Let  $n$  be a security parameter and let  $\sigma \in (0, 1)$  be an arbitrarily small constant. Let  $q = q(n)$  be a prime and  $m = m(n) = \text{poly}(n)$  be a integer with  $m \geq 3n$ . Let  $\rho = \rho(n) \in (0, 1/10)$  be such that  $\rho q \geq 2n^{1/2+\sigma}m$ . If there exists a PPT-algorithm that solves  $\text{dist-LWE}_{(n,m,q,\mathcal{U}([- \rho q, \rho q])}$  with non-negligible probability, then there exists an efficient quantum-algorithm that approximates the decision-version of the shortest vector problem (GAPSVP) and the shortest independent vectors problem (SIVP) to within  $\tilde{O}(n^{1+\sigma}m/\rho)$  in the worst case.*

**Theorem 2 ([23, Theorem 4.7])** *Let  $n$  be a security parameter and let the number of errors  $t = c \log n$  for some positive constant  $c$ . Let  $d$  be a positive constant (giving us a trade-off between running time of  $\text{Rep}$  and  $|w|$ ). Consider the Hamming metric over the alphabet  $\mathcal{Z} = [-2^{b-1}, 2^{b-1}]$ , where  $b = \log 2(c/d + 2)n^2 = O(\log n)$ . Let  $W$  be uniform over  $\mathcal{M} = \mathcal{Z}^m$ , where  $m = (c/d + 2)n = O(n)$ . If GAPSVP and SIVP are hard to approximate within polynomial factors using quantum algorithms, then there is a setting of  $q = \text{poly}(n)$  such that for any polynomial  $s_{\text{sec}} = \text{poly}(n)$  there exists  $\epsilon = \text{ngl}(n)$  such that the following holds: Construction 1 is a  $(\mathcal{M}, W, m \log |\mathcal{Z}|, t)$ -computational fuzzy extractor that is  $(\epsilon, s_{\text{sec}})$ -hard with error  $\delta = e^{-\Omega(n)}$ . The generate procedure  $\text{Gen}$  takes  $O(n^2)$  operations over  $\mathbb{F}_q$ , and the reproduce procedure  $\text{Rep}$  takes expected time  $O(n^{4d+3})$  operations over  $\mathbb{F}_q$ .*

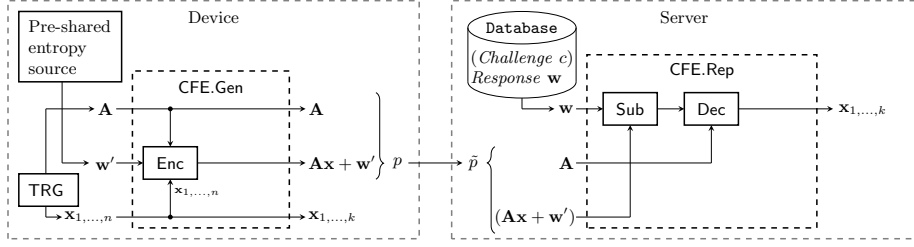


Fig. 2: Our reverse computational fuzzy extractor authentication scheme.

**Remark 1** *We have chosen to include the formal theorems because they allow us to discuss the parameters in a precise manner, which will become useful in the next sections.*

Construction 1 is defined by its parameters. As motivated before, it is possible to achieve a lossless setting. In this section we state the parameters, their relation and constraints.

- $|W|$ : The length of the source.
- $|X_{1,\dots,k}|$ : The length of the key.
- $t$ : Number of errors that can be supported.
- $n$ : LWE security parameter (number of field elements in  $X$ ).
- $q$ : The size of the field.
- $\rho$ : The fraction of the field needed for error sampling.
- $m$ : The size of each number of samples in the LWE instance.
- $k$ : The number of hardcore bits in  $X$ . ( $k \log q = m \log \rho q$ )

The goal is to minimize the entropy loss, meaning  $|W| - |X_{1,\dots,k}|$  should be as small as possible. In the best case this would yield  $|W| = |X_{1,\dots,k}|$ , translating to  $m \log(\rho q) = k \log q$ . For security we need  $n$  to be greater than some minimum  $n_0$  and  $q = \text{poly}(n)$ . Also, we need a bound on the error  $t$  so that efficient decoding with function Dec is still possible. The larger we choose the dimension  $m$ , the more samples our decoder can extract, so the more errors we can support. Substituting, the error  $t$  depends on  $m$ , which we chose minimal. The previous discussion results in the following collection of constraints. A detailed derivation is given in [23]:

$$n_0 < n - k \quad (2)$$

$$m = 3n \quad (3)$$

$$q = \text{poly}(n) \quad (4)$$

$$\rho q = 2n^{\frac{1}{2} + \sigma} m \quad (5)$$

$$m \log(\rho q) = k \log q \quad (6)$$

The parameter  $t$ , i.e., the level of noise our construction can tolerate, is further explored in Section 7.2.

## 2.6 Reverse and Robust Fuzzy Extractor

While there exists a number of (partly overlapping) security notions for fuzzy extractors, we focus on the following three security properties, which each target a different type of attack. In the following we restate the corresponding definitions and argue their relevance in the context of our construction.

In the following we adapt the definition of a *reverse fuzzy extractor* from [52] to our construction, since our **Rep**-procedure does not reproduce the original response  $w$  but computes the extracted string  $r$  that is generated by **Gen**.

**Definition 3 (Reverse Fuzzy Extractor [52])** *A pair of PPT algorithms  $(\text{Gen}, \text{Rep})$  is a  $(\mathcal{M}, m, m', t)$ -reverse fuzzy extractor if it has the following two properties for correctness and security, respectively:*

- *If  $r \leftarrow (r, p) = \text{Gen}(w)$  and  $\text{dis}(w, w') \leq t$ , then w.h.p  $\text{Rep}(w', p) = r$*
- *A PPT adversary  $\mathcal{A}$  with input  $p \leftarrow (r, p) = \text{Gen}(w)$  outputs  $w$  with probability negligible in  $m'$*

where  $w' \in \mathcal{M}$  and  $w$  is sampled according to distribution  $W$  over  $\mathcal{M}$  with min-entropy  $m$ .

Security against outsider chosen perturbation attacks describes a stronger notion of security for reverse fuzzy extractors.

**Definition 4 (Outsider Chosen Perturbation Security [52])** *A  $(\mathcal{M}, m, m', t)$ -reverse fuzzy extractor as defined in Definition 3 is secure against adaptive outsider chosen perturbation attacks if there is no PPT adversary  $\mathcal{A}$  that wins the following security game with more than negligible advantage in  $m'$ :*

- *$\mathcal{A}$  chooses a distribution  $W \in \mathcal{M}$  with min-entropy  $m$*
- *Challenger  $\mathcal{C}_{PS}$  randomly chooses  $w \xleftarrow{\$} W$*
- *$\mathcal{A}$  adaptively chooses  $e_i \in \mathcal{M}$ , s.t.  $\forall i : |e_i| \leq t$  and invokes the oracle **Gen***
- ***Gen** computes  $(r_i, p_i) = \text{Gen}(w_i = w + e_i)$  and outputs  $p_i$  to  $\mathcal{A}$*
- *$\mathcal{A}$  outputs a guess  $w^*$  to  $\mathcal{C}_{PS}$*
- *$\mathcal{A}$  wins if  $w^* = w$*

Boyer [12] also proposes the more general notion of *insider chosen perturbation attacks*, which contains outsider chosen perturbation attacks and additionally lets the adversary access the **Rep** routine on adversarially chosen  $p_i$ . We prefer to separate security from these active compromise attacks using the notion of *robustness* by Dodis *et al.* [19], which we restate below.

**Definition 5 (Robust Fuzzy Extractor [19])** *A  $(m, l, t, \epsilon)$ -fuzzy extractor that is  $(\epsilon, s_{\text{sec}})$ -hard has pre-application robustness  $\delta$  if there is no PPT adversary that given  $p$  from  $(r, p) = \text{Gen}(w)$  outputs a  $p' \neq p$  and  $\text{Rep}(w', p') \neq \perp$  where  $\text{dis}(w, w') \leq t$  with probability higher than  $\delta$ ,  $|r| = l$  and  $w$  has min-entropy  $m$ .*

Note that specific to our construction we do not consider post-application robustness as defined in [19, Definition 6], where the adversary is provided with  $r$  from  $(r, p) = \text{Gen}(w')$  since in our case  $r$  corresponds to a secret value that is not communicated over a (possibly insecure) channel.

Canetti *et al.* [13] gives another notion of security, namely *reusability*: in addition to seeing helper strings  $\{p_i\}$  for correlated  $\{w_i\}$ , the adversary also has access to the corresponding secret  $\{r_i\}$ . Given all of this information, the adversary should still not be able to recover  $r$  from a new helper string  $p$  for another correlated  $w$ , which is clearly a much stronger security guarantee.

However, reusability assumes that there are multiple servers that all share the same or some correlated  $w$ . In this work we focus on a setting where a single device and a single server aim to securely establish a common secret, i.e. a 1-to-1 device-server setting. Therefore, in order to apply the notion of reusability, one would have to assume that the adversary takes over the server, which is a very extreme case. It is therefore not surprising that known measures in order to add reusability to fuzzy extractor constructions, [11,13] result in significant implementation overhead. Since this work focuses on the implementation aspects of the pure CFE-construction in the common 1-to-1 device-server setting, we focus on outsider-chosen perturbation security and robustness.

### 3 Reverse and Robust CFE

We use the reverse fuzzy extractor mechanism of van Herrewege *et al.* [52] for authentication and enhance it to a robust fuzzy extractor secure against outsider chosen perturbation attacks.

The reverse fuzzy extractor effectively flips the **Gen** and **Rep** procedures for a prover and a verifier, here device and server respectively. The server transmits a challenge  $c$  to the device holding a pre-shared entropy source, e.g. a PUF. The device stimulates the source and gets a noisy response  $\mathbf{w}'$ . Then a helper data  $p$  is generated for this noisy response  $\mathbf{w}'$  and  $p$  is sent back to the server. The server knows a  $\mathbf{w}$  close to  $\mathbf{w}'$  for the given challenge  $c$  from a previous enrollment phase. The server can correct his  $\mathbf{w}$  with the helper data  $p$  to retrieve  $\mathbf{x}$ . That way the resource-constrained device does not have to do the computationally intense decoding of a linear random code. A reverse computational fuzzy extractor is depicted in Fig. 2, which further implements our system from Fig. 1.

Since the manipulation of the public helper data  $p$  poses an attack vector [17], we enhance our reverse computational fuzzy extractor to a reverse and robust computational fuzzy extractor. The paper by Boyen *et al.* [12] shows how to construct a generic robust sketch with a hash function and Dodis *et al.* [19] constructs keyed robust fuzzy extractors with MACs. Building upon these ideas, we integrate a MAC to prove the helper data  $p$  was generated by the device, i.e.,  $\sigma = \text{MAC}_{\mathbf{x}_1, \dots, \mathbf{x}_n}(\mathbf{A}, \mathbf{A}\mathbf{x} + \mathbf{w}')$ . This enhancement is depicted in Fig. 3. Our construction can detect tampered helper data  $\tilde{p}$ , with  $p \neq \tilde{p}$ . Possible outputs of our **Rep** construction are therefore extended with a failure symbol  $\perp$ , when the verification function **Ver** fails.

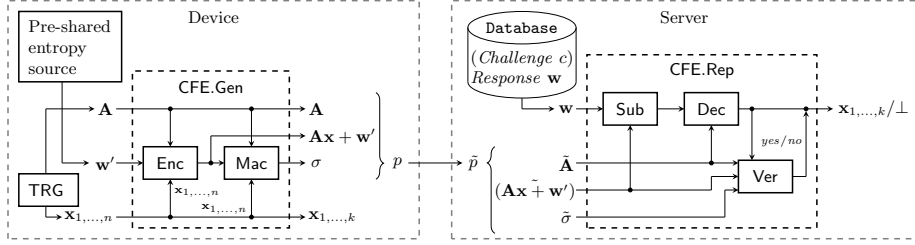


Fig. 3: Our reverse and robust computational fuzzy extractor authentication scheme.

As in the work by Dodis *et al.* [19], the construction of  $\sigma = \text{MAC}_{\mathbf{x}_{1,\dots,n}}(\mathbf{A}, \mathbf{Ax} + \mathbf{w}')$  is fine for a one-time usage. Because the secret  $\mathbf{x}_{1,\dots,n}$  should not leak, we note that  $\mathbf{x}_{1,\dots,n}$  is not a fixed shared secret as in [19] but it gets freshly sampled for every authentication. Therefore, an adversary cannot sample multiple signatures and learn some information about a single secret  $\mathbf{x}_{1,\dots,n}$ .

Information-theoretic secure fuzzy extractors can leak entropy with a code-offset or syndrome construction [20]. The leakage between the generated helper data and secret key can be prevented by adapting techniques from [37], i.e., debiasing. Another solution is presented in [47] where a small noise is added to the response to still be correctable. This is where Construction 1 benefits from the LWE problem. If the measurement  $\mathbf{w}$  is biased, then we can also add a small (pseudo)random noise for debiasing and the definition of a  $(\mathcal{M}, \mathcal{W}, \ell, t)$ -computational fuzzy extractor still holds.

The server knows a challenge-response pair  $(c, \mathbf{w})$ . The device outputs the helper data  $p$ , which consists of matrix  $\mathbf{A}$ , the encoded  $\mathbf{Ax} + \mathbf{w}' = \text{Enc}_{\mathbf{x}_{1,\dots,n}}(\mathbf{A}, \mathbf{w}')$  and a MAC  $\sigma = \text{Mac}_{\mathbf{x}_{1,\dots,n}}(\mathbf{A}, \mathbf{Ax} + \mathbf{w}')$  of the previous two. The server then subtracts  $\mathbf{b} = \mathbf{Ax} + \mathbf{w}' - \mathbf{w} = \text{Sub}(\mathbf{Ax} + \mathbf{w}', \mathbf{w})$  and decodes  $\mathbf{b}$  to retrieve  $\mathbf{x}_{1,\dots,n} = \text{Dec}(\mathbf{b}, \mathbf{A})$ . With this retrieved  $\mathbf{x}_{1,\dots,n}$  and the public matrix  $\mathbf{A}$ , the server can verify if the helper data was changed. For verification the server calculates  $\tilde{\mathbf{w}}' = \tilde{\mathbf{A}} \cdot \mathbf{x}_{1,\dots,n} - (\mathbf{Ax} + \tilde{\mathbf{w}}')$ , where  $\tilde{\mathbf{w}}'$  should be close to  $\mathbf{w}$ . If the verification is successful the first  $k$  hardcore bits of  $\mathbf{x}_{1,\dots,n}$  are recovered as a shared secret  $\mathbf{x}_{1,\dots,k}$ .

Security proofs of our construction being a reverse and a robust computational fuzzy extractor, secure against outsider chosen perturbation attacks, are given in Section 8.1.

## 4 Mutual Authentication Protocol

In this section, we demonstrate how to actually use the authentication scheme from Fig. 3. Delvaux *et al.* reviewed several PUF-based lightweight entity authentication protocols [16]. For our authentication scheme we chose the reverse FE protocol [52] as a basis, working with strong PUFs. A modified version of the reverse FE protocol also offers mutual authentication, but uses weak PUFs [36].

Also, the generate and reproduce procedures are the ones from our reverse and robust computational fuzzy extractor as depicted in Fig. 3. Our system model and assumptions are the same as in Section 1.3, but are formally described in the following.

#### 4.1 System Model

Our scheme consists of at least three parties, namely an issuer  $\mathcal{I}$ , a device  $\mathcal{D}$  and a server  $\mathcal{S}$ . The adversary is denoted with  $\mathcal{A}$ .  $\mathcal{S}$  can access a database DB, where all IDs of legitimate devices  $\mathcal{D}$  alongside their pre-measured challenge-response pairs are listed.  $\mathcal{I}$  initializes and maintains DB.

#### 4.2 Trust Model and Assumptions

**Issuer  $\mathcal{I}$  and server  $\mathcal{S}$**  We assume  $\mathcal{I}$  and  $\mathcal{S}$  to be trusted, which is a typical assumption in PUF-based authentication protocols.  $\mathcal{D}$ ,  $\mathcal{S}$  and DB are initialized in a secure environment.

**Device  $\mathcal{D}$**  We assume  $\mathcal{D}$  to be a passive device, meaning it cannot start a communication. Also, we assume a present strong PUF, i.e. the pre-shared entropy source in Fig. 3 is implemented as a strong PUF, denoted as  $f_i(\cdot)$  with  $i$  stating the PUF’s uniqueness. Furthermore, we assume a TRG, a hash function and the generate procedure of Fig. 3 on  $\mathcal{D}$ .

**Adversary  $\mathcal{A}$**  We assume an active adversary who has full control over the communication channel between  $\mathcal{D}$  and  $\mathcal{S}$ , i.e.,  $\mathcal{A}$  can eavesdrop, modify and intercept all protocol messages and can send arbitrary messages to  $\mathcal{S}$  and  $\mathcal{D}$  alike. The goal of the adversary is to impersonate either the server or the device. We allow  $\mathcal{A}$  to know whether an authentication was successful or not. Additionally,  $\mathcal{A}$  can read any information stored in non-volatile memory before and after protocol execution, e.g. during an insecure distribution chain. However,  $\mathcal{A}$  cannot get responses of the entropy source  $f(\cdot)$  and cannot access temporary data of  $\mathcal{D}$ , e.g. intermediate results, while the protocol is executed<sup>6</sup>.

#### 4.3 Protocol

The system is initialized by issuer  $\mathcal{I}$ , who stores a random identifier  $ID$  in the non-volatile memory of device  $\mathcal{D}$ . Also,  $\mathcal{I}$  creates  $r > 0$  challenge-response pairs  $(c_1, \mathbf{w}_1), \dots, (c_r, \mathbf{w}_r)$  during a secure enrollment phase from  $f(\cdot)$  of device  $\mathcal{D}$ . Challenge-response pairs are stored in database DB with the corresponding  $ID$ . If the authentication is run on the first time, then device  $\mathcal{D}$  also generates a common random matrix  $\mathbf{A}$ , as part of the helper data  $p$ , which is reused for every further authentication.

<sup>6</sup> We note that an adversary could use side-channel attacks to extract these intermediate values and one should harden a system with side-channels aware designs, which are outside the scope of this paper.

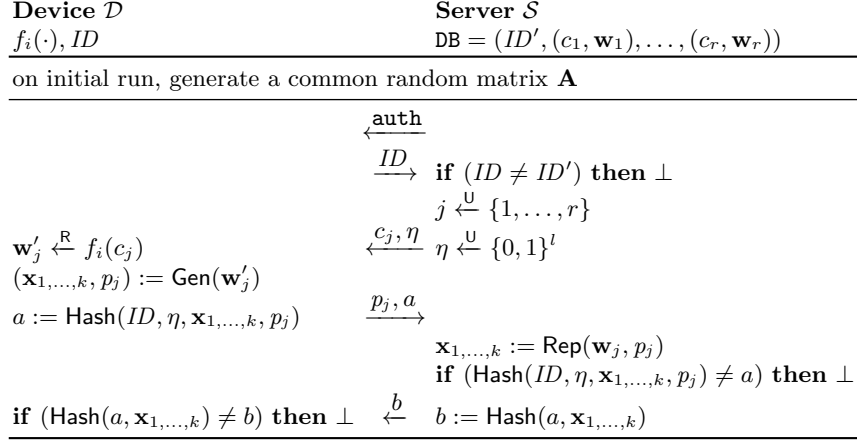


Fig. 4: Mutual authentication protocol

For the actual authentication protocol, as shown in Fig. 4, the server  $\mathcal{S}$  starts by sending an authentication request `auth` to device  $\mathcal{D}$ .  $\mathcal{D}$  answers with its identifier  $ID$  and if  $ID$  is not present in  $DB$  then the protocol aborts. Next,  $\mathcal{S}$  selects a random challenge-response pair  $(c_j, \mathbf{w}_j)$  from  $DB$  and a random nonce  $\eta$  and transmits  $(c_j, \eta)$  to  $\mathcal{D}$ . Upon reception,  $\mathcal{D}$  stimulates the pre-shared entropy source  $\mathbf{w}'_j \xleftarrow{\mathcal{R}} f_i(c_j)$ , generates  $(\mathbf{x}_{1, \dots, k}, p_j) := \text{Gen}(\mathbf{w}'_j)$  with our reverse and robust computational fuzzy extractor, calculates hash  $a := \text{Hash}(ID, \eta, \mathbf{x}_{1, \dots, k}, p_j)$  and sends  $(p_j, a)$  to  $\mathcal{S}$ . In return,  $\mathcal{S}$  reproduces the secret  $\mathbf{x}_{1, \dots, k}$  with  $\mathbf{x}_{1, \dots, k} := \text{Rep}(\mathbf{w}_j, p_j)$  using the premeasured  $\mathbf{w}_j$  from  $DB$ .  $\mathcal{S}$  checks if  $\text{Hash}(ID, \eta, \mathbf{x}_{1, \dots, k}, p_j) = a$  and aborts if not. Otherwise,  $\mathcal{S}$  computes  $b := \text{Hash}(a, \mathbf{x}_{1, \dots, k})$  and sends  $b$  to  $\mathcal{D}$  which accepts if  $\text{Hash}(a, \mathbf{x}_{1, \dots, k}) = b$  and aborts otherwise.

We show in Section 8.2 that our protocol holds correctness and mutual authentication.

## 5 Optimizations and Details for Used Algorithms

We implemented the reverse and robust computational fuzzy extractor-based authentication scheme shown in Fig. 3. As the client, we use a device with an 8-bit ATmega32u4 microprocessor, the server implementation runs on a 3.2GHz single core machine with 8GB RAM.

### 5.1 Generate Procedure

For the `Gen` procedure, as defined in Construction 1, notice that there are three main variables, namely  $\mathbf{A}$ ,  $\mathbf{x}$  and  $\mathbf{w}$ . These three variables are drawn from a

---

**Algorithm 1** Gen

---

```
1: (Input  $\mathbf{w} \in \mathbb{F}_{\rho q}^m$ )
2: (Input  $\mathbf{A} \in \mathbb{F}_q^{m \times n}$ )
3: Sample  $\mathbf{x} \in \mathbb{F}_q^n$ 
4: for  $i = 1 \dots m$  do
5:    $acc = 0$ 
6:   for  $j = 1 \dots n$  do
7:     Sample  $a_{ij} \leftarrow \text{TRNG}$  // if run initially
8:     Output  $a_{ij}$ 
9:      $acc = a_{ij} \times x_j + acc$ 
10:  end for
11:  Sample  $w_i \leftarrow \text{Pre-shared entropy source}$ 
12:   $b_i = acc + w_i$  //  $\mathbf{Ax} + \mathbf{w} = b$ 
13:  Output  $b_i$ 
14: end for
15: Output  $r = \mathbf{x}_{1, \dots, n/2}$ 
```

---

predefined distribution, i.e.,  $\mathbf{w} \leftarrow W$  (where  $W$  is some distribution over  $\mathbb{F}_{\rho q}^m$ ),  $\mathbf{A} \in \mathbb{F}_q^{m \times n}$  uniformly and  $\mathbf{x} \in \mathbb{F}_q^n$  uniformly.

The size of matrix  $\mathbf{A}$  is a bottleneck. We solve this by not storing  $\mathbf{A}$  in memory, but rather computing it "on the fly" as every element  $a_{ij} \in \mathbf{A}$  is used only once in the **Gen** procedure. The elements  $a_{ij}$  are the outputs of a true random number generator TRG, described in Section 5.1. We also apply the same idea for the measurement  $\mathbf{w}$ , so that the complete vector  $\mathbf{w}$  does not need to be held in memory, but rather gets measured element by element. The pseudocode for our encoding is given in Algorithm 1. With this algorithm the memory overhead gets reduced as  $\mathbf{A}$  gets streamed out of the **Gen** procedure. The public helper data  $p$  is still  $p = (\mathbf{A}, \mathbf{Ax} + \mathbf{w})$  and also the output of the secret  $r = \mathbf{x}_{1, \dots, n/2}$ . So, our improvement meets the definition of Construction 1. The memory footprint can be further reduced by shifting the sampling of  $\mathbf{x}$  in the outer loop. That way only the upper half of  $\mathbf{x}$  needs to be stored, as it is the output secret  $r$ . The lower half of  $\mathbf{x}$  is not reused and can be stored in a temporary variable.

**Multiplication** Our implementation requires a fast multiplication of two 30-bit values, i.e.,  $a_{ij} \times x_j$  in Algorithm 1. For this, we adopt the column-wise multiplication from Gura *et al.* [28]. We represent each number by an array of four bytes and the multiplication result by an array of eight bytes. This leaves the two, or four respectively, most significant bits without meaning. The idea is shown in Fig. 5.

**Modular Reduction** Solinas describes a way to efficiently calculate a result  $b$  of reducing  $a$  modulo  $p$ , where  $p$  is a prime and  $a$  is less than  $p^2$  [49]. We choose our 30-bit prime  $p = 2^{30} - 2^{18} - 1 = 1073479679$  and every 60-bit integer  $a$  can



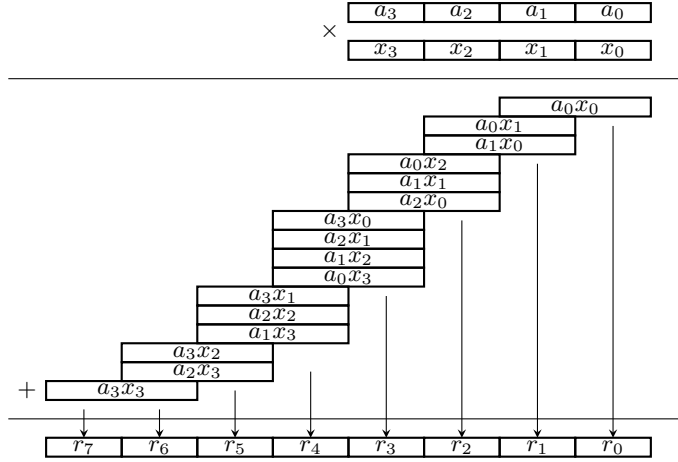


Fig. 5: Implemented multi-precision multiplication from [28]. The operation shows  $r = a \times x$ , where  $a$  and  $x$  are 30-bit numbers and  $r$  is a 60-bit number.

be written as

$$a = a_9 \cdot 2^{54} + a_8 \cdot 2^{48} + a_7 \cdot 2^{42} + a_6 \cdot 2^{36} + a_5 \cdot 2^{30} \\ + a_4 \cdot 2^{24} + a_3 \cdot 2^{18} + a_2 \cdot 2^{12} + a_1 \cdot 2^6 + a_0$$

where each  $a_i$  is a 6-bit integer. As a concatenation of 6-bit words, this can be denoted by  $a = (a_9 || a_8 || \dots || a_0)$ . The expression for  $b$  is then

$$b := T + S_1 + S_2 + S_3 + S_4 \pmod{p}$$

where the 30-bit terms are given by

$$T = ( a_4 || a_3 || a_2 || a_1 || a_0 ) \\ S_1 = ( a_6 || a_5 || 0 || a_6 || a_5 ) \\ S_2 = ( 0 || a_7 || a_7 || 0 || a_7 ) \\ S_3 = ( a_8 || a_8 || 0 || a_8 || 0 ) \\ S_4 = ( a_9 || a_9 || a_9 || 0 || a_9 )$$

We apply this modular reduction after every multiplication and addition in Algorithm 1.

**True Random Number Generation** Kristinsson explored in his work [33] the feasibility of using the noise delivered by one unconnected analog pin to an internal voltage comparator as a TRG. He also showed the presence of a strong bias, when using a single pin for measurement. This bias depends mostly on the environmental temperature.

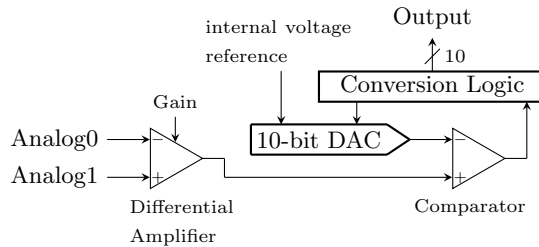


Fig. 6: Internal analog comparator.

We overcome this bias by measuring two analog pins and comparing them. Thus, we cancel out any biasing effects from the environment. The idea is depicted in Fig. 6 with the two unconnected analog input pins – Analog0 and Analog1. As noise source we utilize the random atmospheric noise, which occurs during the analog to digital conversion. We first amplify the unconnected analog pins by a gain of  $200\times$ , meaning that we further amplify the noise. Second, the signal is converted with a 10-bit digital-to-analog converter (DAC), which works with a 2.56V internal voltage reference. We note that the unconnected pins are prone for physical attacks, which could introduce a bias to the random bit stream itself. However, these kind of attack is outside our adversarial model, but needs to be protected to be future-proof.

As a post-processing step, we take the least significant bit out of each 10-bit output value to generate a stream of random bits. We further estimate the min-entropy of our random bit sequence with the tests described in [6], yielding an estimated min-entropy of 3.95 bits per byte. We input at least twice the estimated min-entropy into the HMAC-SHA-256 as privacy amplification in order to guarantee a nearly full entropy random number [6]. All in all, we need to sample at least 122 bits from our noise source to generate a 30-bit random number. Our random numbers pass the tests of the NIST Statistical Test Suite [42] after the described post-processing steps.

If the microcontroller lacks an analog comparator, the entropy source for a TRG can also be, e.g., the SRAM [30] with the construction proposed in [4].

## 5.2 Decode Function

The Construction 1 is only efficient if the function Dec is efficient. Fuller *et al.* [23] presented a simple decoding algorithm given in Construction 2 that can correct  $O(\log n)$  errors in polynomial time using the given random linear code.

**Construction 2** ([23, Construction 4.5]) *We consider a setting of  $(n, m, q, \chi)$  where  $m \geq 3n$ . We describe Dec:*

1. *Input  $\mathbf{A}, \mathbf{b} = \mathbf{Ax} + \mathbf{w} - \mathbf{w}'$ .*
2. *Randomly select rows without replacement  $i_1, \dots, i_{2n} \leftarrow [1, m]$ .*
3. *Restrict rows from  $\mathbf{A}, \mathbf{b}$  to rows  $i_1, \dots, i_{2n}$ ; denote these  $\mathbf{A}_{i_1, \dots, i_{2n}}, \mathbf{b}_{i_1, \dots, i_{2n}}$ .*
4. *Find  $n$  linearly independent rows in  $\mathbf{A}_{i_1, \dots, i_{2n}}$ . If no such rows exist, output  $\perp$  and stop.*
5. *Denote  $\mathbf{A}', \mathbf{b}'$  as these  $n$  restrictions of  $\mathbf{A}_{i_1, \dots, i_{2n}}, \mathbf{b}_{i_1, \dots, i_{2n}}$  (respectively) to these rows. Compute  $\mathbf{x}' = (\mathbf{A}')^{-1}\mathbf{b}'$ .*
6. *If  $\mathbf{b} - \mathbf{Ax}'$  has more than  $t$  nonzero coordinates, go to step 2.*
7. *Output  $\mathbf{x}'$ .*

As the authors of Construction 2 remark, their construction has not been optimized for constants. Also, the presented algorithm, when used in the computationally setting of Construction 1 will always output a correct key  $R$ , meaning Construction 2 will always have  $\mathbf{x}' = \mathbf{x}$  as an output or it aborts when an non-invertible  $\mathbf{A}'$  was selected. Note that Construction 2 does not necessary terminate when the error is too big or the row restrictions in step 5 are chosen badly, i.e., the same  $n$  linearly independent rows in  $\mathbf{A}$  are selected, so Construction 2 could run infinitely. To avoid this issues, we optimized the decoding algorithm such that the behavior is defined for the case  $\text{dis}(\mathbf{w}, \mathbf{w}') > t$ . We specifically optimized the following points:

- The row selection and restriction in steps 2, 3 and 5 can be replaced by a deterministic selection, so that rows will not be selected twice and that the algorithm terminates when all possibilities have been tried. This avoids the infinite loop problem of Construction 2.
- The algorithm could end too early in step 4 when disadvantageous rows were selected, e.g., in the first selection round, even if the error  $t = 0$ . To avoid this and to make our algorithm more robust, we introduce a parameter *limit* to try a minimum amount of selected row combinations.

Algorithm 2 describes our Dec function. The input *limit* is the maximum number of decoding attempts to find a solution and thereby avoids an infinite loop. If the algorithm outputs a  $\perp$  then the error  $t$  was too big with high probability. We implemented the inversion of  $\mathbf{A}'$  via Gauss-Jordan elimination, which runs in  $O(n^3)$ . Note that all operations are calculated in  $\mathbb{F}_q$ . Our Dec function returns a valid solution, if it finds  $n$  noise-free elements in  $\mathbf{b}$ . If the error  $t$  gets large, say  $t > m/2$ , and a solution has to be found, then the randomly selected restrictions can be replaced by a deterministic selection. The number of all possible restrictions is given by  $\binom{m}{n}$ . In an IoT setting this number of possible restrictions can still be feasible, since the decoding happens on a server.

---

**Algorithm 2** Dec

---

```
1: Input  $\mathbf{A}, \mathbf{b} = \mathbf{A}\mathbf{x} + \mathbf{w} - \mathbf{w}', limit$ 
2: while  $limit > 0$  do
3:    $\mathbf{A}' = n$  rows of  $\mathbf{A}$  randomly selected
4:   if  $\mathbf{A}'$  has full rank then
5:      $\mathbf{b}' =$  same  $n$  restrictions of  $\mathbf{b}$  as in  $\mathbf{A}'$ 
6:      $\mathbf{x}' = (\mathbf{A}')^{-1}\mathbf{b}'$ 
7:     if  $\mathbf{b} - \mathbf{A}\mathbf{x}'$  has  $\leq t$  nonzero coordinates then
8:       Output  $\mathbf{x}'$ 
9:     end if
10:  end if
11:   $limit = limit - 1$ 
12: end while
13: Output  $\perp$ 
```

---

## 6 Lossless Implementation Parameters

Regev [45] and Peikert [44] show that the  $\text{dist-LWE}_{(n,m,q,\chi)}$ , as defined in Definition 1, is secure when the distribution  $\chi$  is Gaussian. This holds with a discretized Gaussian distribution  $\Psi_\alpha$  with variance  $(\alpha q)^2/(2\pi)$ .

If we change the error source from a discretized Gaussian distribution to a uniform one, we are bounded by Theorem 1. There, the problem is reduced to  $\text{dist-LWE}_{(n,m,q,\mathcal{U}([- \rho q, \rho q])}$ . Note that the error ranges from  $[-\rho q, \rho q]$  and no longer from  $[-q, q]$ . Since this uniform error distribution is the noise level  $\alpha$ , it should be high enough to hold security. Since we can not influence  $\alpha$  directly, we have to increase  $\rho$  to increase the noise level. By Theorem 1 [21] we know the following bound on  $2\sqrt{n} \leq \alpha q \leq \frac{\rho q}{mn^\sigma}$ . It is clear, in order to maximize  $\alpha$ , we have

$$\alpha = \frac{\rho}{mn^\sigma}, \quad (7)$$

where  $\rho$  has the most influence on the noise level. In order to still have a lossless construction we substitute this bound with the constraints above, clarifying that the noise level is given by the dividing factor  $\rho$ .

$$\alpha = \frac{\rho}{n^\sigma 3n} \quad (8)$$

The constraint  $m \log(\rho q) = k \log q$ , with  $k = n/2$  and  $m = 3n$ , of the lossless construction dictates this  $\rho$ :

$$\log(\rho q) = \frac{1}{6} \log q, \quad (9)$$

meaning that by increasing the sample size  $m = 3n$  we need the bit width of one element in  $w$  to be  $1/6$  of the bit width of one element in  $\mathbf{x}$ , so that we still can extract  $k = n/2$  hardcore blocks as key. This is, again, visualized in (1).

For a *lossless* construction we choose parameters as described in Section 2.5. We found that parameters  $n = 256, m = 768, k = 128, q = 1073479679$  yield

$\log(q) \approx 30$  and  $\log(\rho q) \approx 5$ , thus making the construction lossless with  $m \log(\rho q) - k \log(q) = 768 \times 5 - 128 \times 30 = 0$ . This results in a minimum security parameter  $n_0 \approx 128$ . The parameters match with the ones chosen by Lindner and Peikert [34]. Their parameters of  $n = 256, m = 768, q = 4093$  achieve a security level of 128 bit. From an implementation perspective our 30-bit prime fits well into 4 byte machine words, as do the elements of the key, each with a bit width of 30. The bit width of our source  $\mathbf{w}$  is 5 for each element, which also is well suited as it fits into a single byte. There is room for further optimization so that elements of  $\mathbf{w}$  fill a byte completely. However, this would also increase the dimension  $n$  and  $m$  to maintain the lossless construction, thus making decoding harder. We found our parameters are a good trade-off between security parameter  $n_0$ , unused bits in the byte architecture and the decoding time.

We conduct a security analysis in Section 8.3.

## 7 Evaluation

Our system, as shown in Fig. 3, requires  $m \log(\rho q) = 3840$  bits from the fuzzy source and the lossless CFE extracts  $k \log(q) = 3840$  bits as key. The key has full entropy, since the secret  $\mathbf{x}$  is chosen uniformly. For the lossless construction, the fuzzy measurement  $\mathbf{w}$  is assumed to come from an uniform distribution. The helper data  $p$  is matrix  $\mathbf{A}$ , vector  $\mathbf{A}\mathbf{x} + \mathbf{w}'$  and the MAC  $\sigma$ , summing up to a total of 5,921,536 bits (ca. 740KB).

### 7.1 Implementation Cost on the Device

We implemented our system, as described in Sections 3 and 5, on the low-cost Atmel 8-bit AVR RISC-based microcontroller with 32KB self-programming flash program memory, 2.5KB SRAM and 1KB EEPROM.

For an efficient encoding, which is a matrix-vector multiplication and a vector-vector addition, the size of the parameter  $n$  is important. As we chose  $\log(\rho q) = 5, \log(q) = 30, n = 256$  and  $m = 768$ , then  $\mathbf{w}$  consists of  $\log(\rho q) \times m = 3840$  bits,  $\mathbf{x}$  consists of  $\log(q) \times n = 7680$  bits and  $\mathbf{A}$  needs  $\log(q) \times n \times m = 5898240$  bits. Whereas,  $\mathbf{w}$  and  $\mathbf{x}$  is still feasible on a microcontroller in terms of memory usage, matrix  $\mathbf{A}$  does not scale. With the optimizations from Section 5 we only need to store  $\mathbf{x}$  in memory during the Gen procedure.

Additionally, we need, e.g., a serial connection, mathematical operations and generation of a MAC on the microcontroller. As MAC we use a HMAC-SHA-256. Our own files require ca. 6KB and the auxiliary files need ca. 17.8KB of the SRAM.

Table 2 gives a detailed memory footprint of our reverse and robust lossless computational fuzzy extractor implementation on the device (Gen procedure). Our own files are marked with an \*.

Table 3 gives the overall memory footprint of our reverse and robust lossless computational fuzzy extractor implementation on the device (Gen procedure).

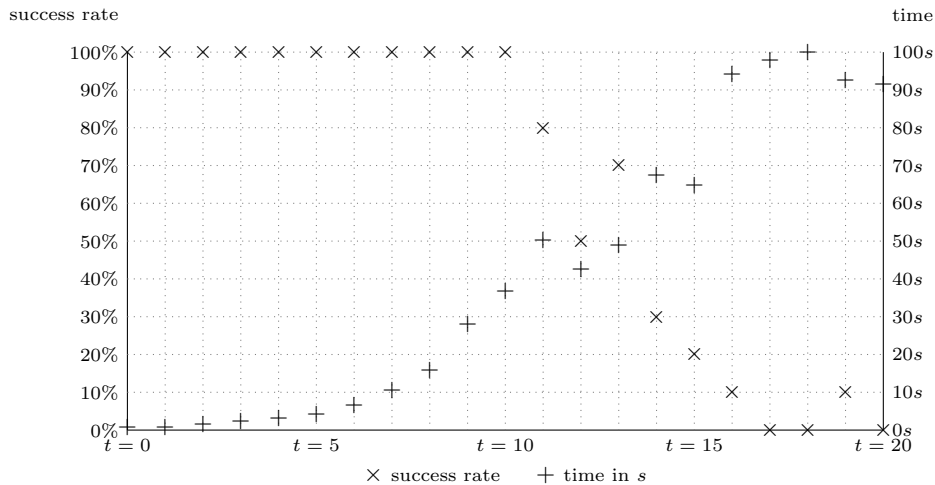


Fig. 7: Simulation of  $\text{Rep}(\text{Gen}(w))$  with  $n = 256, m = 3n$  and a 30-bit prime  $q = 2^{30} - 2^{18} - 1$ . It shows the time one decoding takes in seconds with an increasing error  $t$  and also the average rate of a successful decoding with the same increasing error. The machine has a 3.2GHz single core with 8GB RAM. The figure shows that we can correct  $O(\log n) = 10$  errors. The maximum number of tries for decoding is  $n$ .

One run of the generation procedure, i.e., sampling of the source, encoding and construction of the MAC takes 34.9 seconds on average. A detailed timing profile is given in Table 4 on an Atmega32u4. If all random numbers would be sampled via a TRG, the generation time would be infeasible, so we use these as a seed for a pseudorandom function (PRG). We also implemented the generation procedure on a 32-bit ARM Cortex-M3, which performs a  $\text{Gen}$  in 441ms, due to the internal 32-bit architecture and an overall higher clock speed.

## 7.2 Performance on the Server

We implemented the other part of our system, the server, as described in Construction 1 and 2. We also implemented our improvements for Algorithm 2. For this we used NTL<sup>7</sup> for fast matrix operations on finite fields.

We can correct  $t = O(\log n)$  errors, as given in Theorem 2, and are bounded by the same limitations as Fuller *et al.* [23] for decoding in polynomial time. Recall that an error refers to a word in source  $\mathbf{w}$ , so a single or multiple bit flips in one element of vector  $\mathbf{w}$  is one error. In Fig. 7 one can see that the decoding time increases with an increasing error  $t$  for  $n = 256, m = 3n$  and  $q = 1073479679$ . We set a limit for the decoding algorithm at a maximum of  $n$  decoding attempts. As a result, no simulation runs longer than ca. 100 seconds.

<sup>7</sup> <http://www.shoup.net/ntl/>

However, this speedup comes at the cost that not all tests can be successfully decoded, starting with an error of  $t = 11$ . Thus, with our implementation we can correct up to

$$\frac{t}{m} = \frac{10}{768} = 1.3\% \text{ word errors.} \quad (10)$$

We motivate use cases for this rather low error rate in Section 9. Note that decoding can be allowed more time to correct more errors and that the time our implementation takes is independent from the bit width of  $\mathbf{w}, \mathbf{w}' \in \mathbb{F}_{\rho q}^m$ .

## 8 Security Analysis

In this section we provide the necessary proofs and analysis to show the security of our system.

### 8.1 Security for Reverse and Robust CFE

For the sake of completeness we formally state that our construction is a computational fuzzy extractor.

**Theorem 3 (Computational Fuzzy Extractor)** *Our reverse computational fuzzy extractor as shown in Fig. 2 is an  $(\mathcal{M}, W, m \log |\mathcal{Z}|, t)$ -computational fuzzy extractor that is  $(\epsilon, s_{sec})$ -hard with error  $\delta = e^{-\Omega(n)}$  as in Definition 2.*

*Proof.* Our construction is based on the computational fuzzy extractor of Fuller *et al.* [23]. They are identical in terms of the high-level structure as well as the chosen parameters (see Section 2.5). Therefore our construction inherits the hardness properties stated in Theorem 2 and equally meets the requirements of Definition 2 for being a  $(\mathcal{M}, W, m \log |\mathcal{Z}|, t)$ -computational fuzzy extractor.

Our construction shown in Fig. 2 is also a reverse fuzzy extractor.

**Theorem 4 (Reverse Fuzzy Extractor)** *Our reverse computational fuzzy extractor as shown in Fig. 2 is an  $(\mathcal{M}, m, n, t)$ -reverse fuzzy extractor as in Definition 3.*

*Proof (Sketch).* The correctness property in Definition 3 corresponds to the correctness property of computational fuzzy extractors (see Definition 2). By Theorem 3 our construction is an  $(\mathcal{M}, W, m \log |\mathcal{Z}|, t)$ -computational fuzzy extractor that is  $(\epsilon, s_{sec})$ -hard with error  $\delta = e^{-\Omega(n)}$ . Therefore, it is also correct in the sense of Definition 3.

The security property in Definition 3, on the other hand, ensures that the public helper data  $p$  generated by **Gen** does not reveal anything about the input  $\mathbf{w}$  to the adversary.

$p$  being an LWE-term, it hides both the secret  $\mathbf{x}$  and the error-term  $\mathbf{w}$ . Therefore, intuitively,  $p$  can be regarded as a public key and  $\mathbf{w}$  as the corresponding secret key in an encryption scheme. For example, Ben-Sasson *et al.* construct a generalized encryption scheme based on the LWE-assumption where the noise in

the LWE-term is used as the secret key  $\mathbf{e} \in \mathbb{F}_q^m$  and the public key is constructed by choosing a random matrix  $\mathbf{G}$  in  $\mathbb{F}_q^{m \times n}$ , selecting a random  $\mathbf{g} \in \text{Image}(\mathbf{G})$  and computing  $(\mathbf{G}, \mathbf{b} = \mathbf{g} + \mathbf{e})$  [7].

Looking at our construction, it is straightforward to see that  $\mathbf{w}$  is analogue to  $\mathbf{e}$  in Ben-Sasson *et al.*'s construction and therefore  $p$  can be expressed as the public key in their scheme: let  $\text{lm}(\mathbf{M})$  be a function that takes a matrix  $\mathbf{M} \in \mathbb{F}_q^{m \times n}$ , chooses a random vector  $\mathbf{x} \in \mathbb{F}_q^n$  and outputs  $\mathbf{M}\mathbf{x}$ .

$$\mathbf{G} := \mathbf{A}, \quad \mathbf{e} := \mathbf{w}, \quad \mathbf{g} := \text{lm}(\mathbf{G}) = \text{lm}(\mathbf{A}) \quad (11)$$

$$(\mathbf{G}, \mathbf{g} + \mathbf{e}) = (\mathbf{A}, \mathbf{A}\mathbf{x} + \mathbf{w}) = p \quad (12)$$

Hence, the security of the reverse fuzzy extractor built from our construction corresponds to the hardness of recovering the secret key from the public key in Ben-Sasson *et al.*'s unified framework. The latter in turn is hard under the LWE-assumption, therefore our construction is a secure reverse fuzzy extractor under the assumption that LWE is hard, which is the case due to Theorem 1.

In fact our construction fulfills the stronger notion of security against adaptive outsider chosen perturbation attacks.

**Theorem 5 (Outsider Chosen Perturbation Security)** *Our reverse computational fuzzy extractor as shown in Fig. 2 is an  $(\mathcal{M}, m, m' = m, t)$ -reverse fuzzy extractor secure against adaptive outsider chosen perturbation attacks as in Definition 4.*

The proof for Theorem 5 is given by Apon *et al.* [3, Theorem 1]<sup>8</sup>.

As before, we formally state that our construction is a robust fuzzy extractor in Theorem 6 below. Note that we do not consider post-application robustness as defined in [19, Definition 6], where the adversary is provided with  $r$  from  $(r, p) = \text{Gen}(\mathbf{w}')$ , as in our case  $r$  corresponds to the shared secret between device and server.

**Theorem 6 (Robust Fuzzy Extractor)** *Our reverse and robust computational fuzzy extractor as shown in Fig. 3 is an  $(\epsilon, s_{\text{sec}})$ -hard  $(|W|, k, t, \epsilon)$ -fuzzy extractor with pre-application robustness  $\text{negl}(m)$  as in Definition 5.*

*Proof (Sketch).* As described above we add a Mac-tag  $\sigma$  to the output generated from  $\text{Gen}$ .  $\sigma$  is calculated over  $p = (\mathbf{A}, \mathbf{A}\mathbf{x} + \mathbf{w}')$  using the secret  $\mathbf{x}_{1, \dots, n}$  as key. In fact, recovering  $\mathbf{x}_{1, \dots, n}$  from  $p$  corresponds to recovering the secret from an LWE-term, i.e. the search version of LWE [45]. Hence, given  $p$ , no efficient adversary can compute  $\mathbf{x}_{1, \dots, n}$  except with negligible probability.

Therefore tampering with  $p$  i.e. producing  $p'$  will be detected, since the adversary cannot compute a valid  $\sigma'$  without knowledge of  $\mathbf{x}_{1, \dots, n}$ .

Concretely,  $\text{Ver}(\tilde{\sigma}, \tilde{\mathbf{A}}, (\mathbf{A}\mathbf{x} + \tilde{\mathbf{w}}'), \mathbf{x}_{1, \dots, n})$  computes  $\tilde{\mathbf{A}} \cdot \mathbf{x}_{1, \dots, n} - (\mathbf{A}\mathbf{x} + \tilde{\mathbf{w}}') = \tilde{\mathbf{w}}'$ . Since by Theorem 4 it is hard to recover  $\mathbf{w}'$  from  $p$ ,  $\text{dis}(\tilde{\mathbf{w}}', \mathbf{w}) > t$  except with negligible probability in  $m$ . Therefore  $\text{Ver}(\tilde{p})$  will always reject if  $\tilde{p} \neq p$  and cause  $\text{Rep}(\tilde{p})$  to output  $\perp$ .

<sup>8</sup> The proof for [3, Theorem 1] can be found in the full version at <http://eprint.iacr.org/2017/755>.



## 8.2 Security of the Authentication Protocol

In order to show the security of our authentication protocol, we give proofs for correctness and mutual authentication.

**Correctness** In the context of authentication *correctness* means that an honest party is always able to authenticate itself to an other honest party. If this relation holds both ways, we call it correct *mutual* authentication.

**Definition 6 (Correct Mutual Authentication [52, Definition 4])** *A mutual authentication scheme between a device  $\mathcal{D}$  and a server  $\mathcal{S}$  is correct if an honest  $\mathcal{D}$  always makes an honest  $\mathcal{S}$  accept and vice versa.*

**Theorem 7 (Correctness: Mutual Authentication)** *Our mutual authentication scheme as shown in Fig. 4 is correct as in Definition 6.*

*Proof.* The correctness property of the reverse fuzzy extractor ensures that  $\text{Rep}(\mathbf{w}, p) = r$  where  $(r, p) = \text{Gen}(\mathbf{w}')$  as long as  $\text{dis}(\mathbf{w}, \mathbf{w}') \leq t$ . The responses  $\mathbf{w}'$  and  $\mathbf{w}$  for an honest  $\mathcal{D}$  and an honest  $\mathcal{S}$  fulfill the distance requirement. Therefore  $\mathcal{S}$  will always reconstruct the same  $r = \mathbf{x}_{1, \dots, k}$  using  $\text{Rep}$  when provided with the helper data  $p$  from  $\mathcal{D}$ 's  $\text{Gen}$ . This implies both the acceptance of  $\mathcal{D}$  by an honest  $\mathcal{S}$  and the acceptance of  $\mathcal{S}$  by an honest  $\mathcal{D}$ , since both depend on the correct calculation of  $p$  (on  $\mathcal{D}$ 's side), reconstruction of the secret  $r$  (on  $\mathcal{S}$ 's side) and leaving the secret unchanged throughout one protocol run (on both sides).

**Device Authentication** A device authentication mechanism is considered secure when no PPT adversary  $\mathcal{A}$  succeeds in making an honest  $\mathcal{S}$  accept  $\mathcal{A}$  as a legitimate  $\mathcal{D}$ . The resulting *device authentication game* allows  $\mathcal{A}$  to freely interact with an honest  $\mathcal{S}$  and  $\mathcal{D}$ , record exchanged messages between them or manipulate messages in order to make  $\mathcal{S}$  accept after a polynomial (in the chosen security parameter) number of adversarial queries.

**Definition 7 (Device Authentication [52, Definition 5])** *An authentication scheme achieves  $\mu$ -device authentication if any PPT-adversary wins the device authentication game with probability at most  $\text{negl}(\mu)$ .*

Note that we only give a high-level description of the security notion, since the proof of security is in fact directly inherited from [52, Theorem 4].

**Theorem 8 (Security: Device Authentication)** *Our mutual authentication scheme as shown in Fig. 4 achieves  $\mu = m$ -device authentication in the random oracle model as in Definition 7 when using the reverse and robust fuzzy extractor as shown in Fig. 3.*

*Proof (Sketch).* According to [52] the existence of a PPT adversary  $\mathcal{A}$  that wins the device authentication game with non-negligible advantage implies the existence of a PPT adversary  $\mathcal{B}$  that has non-negligible advantage in winning the

outsider chosen perturbation security game as in Definition 4. Since the structure of our authentication scheme as shown in Fig. 4 corresponds entirely to the authentication scheme in [52], we can reuse this result. I.e. by Theorem 5 there is no PPT adversary  $\mathcal{B}$  that wins the outsider chosen perturbation security game with probability higher than  $\text{negl}(m)$ . Therefore, from the non-existence of  $\mathcal{B}$  follows by contraposition the non-existence of  $\mathcal{A}$  with probability at least  $1 - \text{negl}(m)$ .

**Server Authentication** A device authentication mechanism is considered secure when no PPT adversary  $\mathcal{A}$  succeeds in making an honest  $\mathcal{D}$  accept  $\mathcal{A}$  as a legitimate  $\mathcal{S}$ . Analogous to the device authentication game (see 8.2), the *server authentication game* lets  $\mathcal{A}$  conduct passive and active attempts in order to make an honest  $\mathcal{D}$  accept  $\mathcal{A}$  as a legitimate  $\mathcal{S}$ .

**Definition 8 (Server Authentication [52, Definition 6])** *An authentication scheme achieves  $\mu$ -server authentication if any PPT-adversary wins the server authentication game with probability at most  $\text{negl}(\mu)$ .*

As before, we only give a high-level description of the security notion, since the proof of security is equivalent to the proof of secure server authentication in [52, Theorem 5].

**Theorem 9 (Security: Server Authentication)** *Our mutual authentication scheme as shown in Fig. 4 achieves  $\mu = k$ -server authentication in the random oracle model as in Definition 8 when using the reverse and robust fuzzy extractor as shown in Fig. 3.*

*Proof (Sketch).* Similar to the proof of Theorem 8 we use the fact that the existence of a PPT adversary  $\mathcal{A}$  that wins the server authentication game with non-negligible advantage implies the existence of a PPT adversary  $\mathcal{B}$  that has non-negligible advantage in winning the outsider chosen perturbation security game as in Definition 4 [52]. Since the structure of our authentication scheme as shown in Fig. 4 corresponds entirely to the authentication scheme in [52], we can reuse this result. I.e. by Theorem 5 there is no PPT adversary  $\mathcal{B}$  that wins the outsider chosen perturbation security game with probability higher than  $\text{negl}(m)$ . Therefore, from the non-existence of  $\mathcal{B}$  follows by contraposition the non-existence of  $\mathcal{A}$  with probability at least  $1 - \text{negl}(m)$ . Note that a replay attack would only be successful if  $\mathcal{D}$  selects the same secret  $\mathbf{x}_{1,\dots,k}$  twice, s.t.  $\mathcal{B}$  can reuse a previously recorded  $b$  for the last message of the protocol. Since  $\mathcal{D}$  selects  $\mathbf{x}$  randomly in every round, the probability of a successful replay attack is negligible in the length of the secret  $k$ . Since  $m > k$ , the overall probability of adversary  $\mathcal{A}$  succeeding in the server authentication game is therefore  $\text{negl}(k)$ .

### 8.3 Computational Security and Related Work

Our CFE deals with two noises. The error  $t$  is from the pre-shared entropy source, measured in  $\mathbf{w}$ . The second noise,  $\alpha$ , describes the error in the LWE problem

Table 1: Different parameter settings for three security levels from the literature.

Security	80-bit	128-bit	256-bit
Herder <i>et al.</i> [29] (LPN)	–	$n = 540$	–
	–	$\tau = 0.4$	–
	–	$(\alpha \approx 0.13698)$	–
	–	$q = 2$	–
Dagdelen <i>et al.</i> [14] (LWE)	$n = 240$	$n = 320$	$n = 550$
	$\alpha \approx 0.00026$	$\alpha \approx 0.00024$	$\alpha \approx 0.00022$
	$q = 327680$	$q = 327680$	$q = 327680$
Regev [45] (LWE)	$n = 160$	$n = 256$	$n = 480$
	$\alpha \approx 0.00147$	$\alpha \approx 0.00098$	$\alpha \approx 0.00058$
	$q = 25601$	$q = 65537$	$q = 230431$
LindnerPeikert [34] (LWE)	$n = 192$	$n = 256$	$n = 660$
	$\alpha \approx 0.00217$	$\alpha \approx 0.00205$	$\alpha \approx 0.00168$
	$q = 4093$	$q = 4093$	$q = 4093$
this work, lossless construction	$n = 256$	$n = 256$	$n = 256$
	$\rho \geq 0.003072$	$\rho \geq 0.0384$	$\rho \geq 0.09984$
	$(\alpha \geq 0.000004)$	$(\alpha \geq 0.00005)$	$(\alpha \geq 0.0009)$
	$q = 1073479679$	$q = 1073479679$	$q = 1073479679$

making it hard, as  $\tau$  for LPN and  $\rho$  for the lossless CFE, respectively. A lower  $\alpha$ -noise allows a better decoding and a higher  $\alpha$ -noise increases security. This is why we state  $\alpha$  and  $\rho$  as a lower bound in Table 1, it is the minimal noise we need from our pre-shared entropy source while still having, e.g., 128-bit security.

Herder *et al.* [29] give parameters for a security of 128 bit for their computational fuzzy extractor based on the LPN problem. LPN was introduced by Hopper and Blum [31] and has  $(n, \tau)$  as parameters. Herder *et al.* conclude that their construction meets the required security with parameters  $n = 540$  and  $\tau = 0.4$  with respect to the attack by Bernstein and Lange [9]. We find, while maintaining 128 bit of security, these parameters translate to  $n = 540$ ,  $\alpha = 0.13698$  and  $q = 2$  in the LWE problem with the estimator from Albrecht *et al.* [2]. Note that the modulus  $q$  is inherently 2 in LPN. Also, Herder *et al.* implemented their fuzzy extractor on a resource rich computer, so there was no need for optimization for an embedded environment.

Dagdelen *et al.* [14] give parameter settings for three different security levels. We also compare our work with Regev’s example choices for parameter [45], i.e.,  $q \approx n^2$  and  $\alpha = 1/(\sqrt{2\pi n} \log_2^2 n)$ . Lindner and Peikert [34] chose a rela-

tively small modulus  $q$ , while increasing the noise level  $\alpha$  for their optimized construction.

In 2015, Albrecht *et al.* [2] published their work on the hardness of the LWE problem, collecting and estimating parameters for different attack algorithms. They surveyed lattice reduction, strategies, attacks and estimation algorithms, e.g., [39,40,41,34,10]. We used their estimator to compare the needed number of bit operations for a successful attack. However, our problem is based on a  $m$ -bounded  $\text{dist-LWE}_{(n,m,q,\chi)}$  problem, whereas the estimations assume an unlimited amount of elements  $w_i$ , meaning  $m$  is unbounded. Nevertheless we used the estimator, because Lindner and Peikert [34] state that for sufficiently large  $m$ , with  $m \geq 200$ , the number of rows  $m$  in the matrix  $\mathbf{A}$  becomes irrelevant.

We collect parameter values from the literature in Table 1. The parameters are the dimension  $n$ , the noise size  $\alpha$  and the modulus  $q$ . Note that all parameters  $n$ ,  $\alpha$  and  $q$  increase the security. We estimate the security of our implementation with a given  $n$  and  $q$  and a needed minimum noise level  $\alpha$ . The Security of our  $\text{dist-LWE}_{(n,m,q,\mathcal{U}([- \rho q, \rho q])}$  problem has  $\rho$  as parameter, but we know it is at least as hard as  $\text{dist-LWE}_{(n,m,q,\Psi_\alpha)}$  [21]. Also due to Döttling and Müller-Quade, we know that  $\alpha = \frac{\rho}{mn^\sigma}$ , with  $\sigma \in (0, 1)$ . We further assume, as in [14] that computers execute about  $2^{10}$  operations per second.

Information-theoretically secure FE deal with PUF bit error rates ranging from ca. 1% to 15% [38,32]. BCH codes are popular for correcting these PUFs [18] and robustness can be increased by, e.g., an interleaved code [25,4]. For comparison, a  $(n, k, t)$ -BCH code has a decoding complexity of  $O(nt)$  [48]. Clearly, traditional FEs can tolerate more errors, compared to our 1.3% *word* errors, and have a smaller decoding complexity, but they always have an entropy loss. When focusing on low-noise entropy sources and relaxed time constrains, our CFE is a no entropy loss alternative, even for lightweight IoT systems.

## 9 Potential Pre-shared Entropy Sources

A specific PUF construction is not relevant as long as the error parameters of the CFE can be combined with a good use case, e.g., biometrics, passwords or PUFs. Nevertheless, we provide several practical use cases for our lossless construction, matching the error constraint given in (10).

### 9.1 Passwords

Our construction excels at sources of entropy where the error occurs as a representation of multiple bits, rather than a single bit flip. This means that whether just a single bit is flipped in the vector element  $w_i$  or all bits in  $w_i$ , it counts as one error.

A suitable problem for this type of error are passwords. For Construction 1 a password is vector  $\mathbf{w}$  and  $r$  is the retrieved key. Here, we can allow an user to enter up to  $t$  wrong symbols and key derivation or authentication would still be correct. An incorrect symbol, e.g., represented by a char, results in the

desired error type, refraining from a pure bit representation. One symbol would be represented by one element in  $\mathbf{w}$  and the symbols have to be chosen at uniform to hold Theorem 2. However, in this use case we are able to correct  $O(\log(n))$  errors efficiently and security still relies computationally on  $\mathbf{w}$ . Again, we emphasize that the lost entropy is zero, i.e.,  $H(w) - H(r) = 0$ .

## 9.2 Low Noise PUFs

The parameters of the lossless construction do not provide error correcting capabilities to work with the error rate of every PUF. For our system to work, we need low noise PUFs. An example of such PUFs is the  $V_T$ -PUF proposed by Lofstrom *et al.* [35] with an error rate of 1.3%. Another example is a ring oscillator PUF (RO-PUF) with reliability enhancement by Suh and Devadas [50] with an error rate of 0.48%.

## 9.3 RO-PUF with Trapdoor

One way to improve the decoding is the introduction of a trapdoor. Herder *et al.* [29] proposed a Trapdoor CFE working with a RO-PUF, where the trapdoor is the PUF itself. Their PUF outputs an additional confidence information alongside with the response and based on this confidence information the more robust values of  $\mathbf{w}$  are chosen first for decoding. Our algorithms become more efficient by adapting the constructions of [29] in a straightforward manner. If doing this, the results will not change in terms of size requirements, only decoding performance changes for the better due to provided confidence information.

## 9.4 Randomness Extraction Before Error Correction

Another idea is to extract the randomness of  $\mathbf{w}$  *before* the error correction. For this, we split our source  $\mathbf{w}$  into  $m$  blocks with an arbitrary length (here,  $i, j, l, \dots$ ). Then each block  $w_i$  gets reduced within a privacy amplification step  $\text{Ext}$  to a bit width  $\rho q$  and each element  $w_i$  is then close to uniform. The idea is sketched in (13).

Note that privacy amplification or randomness extraction does not hold the distance between these blocks, meaning  $\text{dis}(w_i, w'_i) \neq \text{dis}(w_i, \text{Ext}(w'_i))$ , but this is just the case where the computational fuzzy extractor happens to be good at. Error correction, i.e., decoding of a random linear code, on high entropy words can be done more efficient. Also, this construction enables the input from sources with different length.

## 10 Conclusion

We showed how a client-server authentication system can be secured with a computational fuzzy extractor while providing outsider chosen perturbation security and pre-application robustness.

We presented the first actual implementation of a lossless computational fuzzy extractor in an authentication system, thereby bridging the gap between the CFE theory and practice. Our implementation needs 1.45KB of SRAM and 9.8KB of Flash memory and runs on the low-cost Atmel 8-bit AVR RISC-based microcontroller in 34.9 seconds. The CFE needs 0.4 seconds, when implemented on a 32-bit IoT device. Our implementation has a (very) small memory footprint due to the optimizations we performed on the original CFE algorithms presented by Fuller *et al.* in [23]. As a side effect, the resource efficiency of our implementation demonstrates the feasibility of computational fuzzy extractors for resource-constrained ecosystems like the Internet of Things.

We discussed the relations between the parameters of lossy and lossless CFE constructions as well as the conditions the parameters must satisfy to ensure the security of CFE schemes. Based on these considerations, we selected an exemplary set of CFE parameter values that satisfies the constraints of our hardware platform. Finally, we compared our results to existing work under different security assumptions related to the available noise level.

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## A Detailed Memory Footprint

Table 2 gives a detailed memory footprint of our reverse and robust lossless computational fuzzy extractor implementation on the device (`Gen` procedure). Our own files are marked with an `*`.

Table 3 gives the overall memory footprint of our reverse and robust lossless computational fuzzy extractor implementation on the device (`Gen` procedure).

## B Detailed Timing Results on Device

Table 4 provides detailed `Gen` timing results on an Atmega32u4 device. Note that the generation of all random numbers via TRG would be infeasible, so we use it as seed for a PRG.

## C Sketched Randomness Extraction before Error Correction

In (13) the idea is sketched of extracting the randomness of  $\mathbf{w}$  *before* the error correction. The source  $\mathbf{w}$  is split into  $m$  blocks with an arbitrary length (here,  $i, j, l, \dots$ ). Then privacy amplification `Ext` reduces each block  $w_i$  to a bit width  $\rho q$ , so that each element  $w_i$  is close to uniform.

$$\mathbf{w} \rightarrow \begin{pmatrix} \overbrace{w_1}^{\log i} \\ \vdots \\ \overbrace{w_k}^{\log j} \\ \vdots \\ \overbrace{w_m}^{\log l} \end{pmatrix} \rightarrow \begin{pmatrix} \overbrace{\text{Ext}(w_1)}^{\log \rho q} \\ \vdots \\ \overbrace{\text{Ext}(w_k)}^{\log \rho q} \\ \vdots \\ \overbrace{\text{Ext}(w_m)}^{\log \rho q} \end{pmatrix} \tag{13}$$

Table 2: Memory footprint of on the device.

file	.text (byte)	.data (byte)	.bss (byte)	total (byte)
SampleX*	38	0	0	38
modularreduction*	582	0	0	582
getrand*	128	0	0	128
add*	230	0	0	230
multiplication*	228	0	0	228
sha256*	3060	0	297	3357
Generate*	438	0	1071	1509
<b>total own files</b>	<b>4704</b>	<b>0</b>	<b>1368</b>	<b>6072</b>
abi	8	0	0	8
CDC	576	8	80	664
HardwareSerial	752	0	0	752
HardwareSerial1	332	0	157	489
HID	1094	2	13	1109
hooks	2	0	0	2
IPAddress	320	0	6	326
main	46	0	0	46
new	16	0	0	16
Print	1620	0	0	1620
Stream	1381	0	0	1381
Tone	1509	1	42	1552
USBCore	1998	0	9	2007
WInterrupts	670	0	10	680
wiring	514	0	9	523
wiring_analog	436	1	0	437
wiring_digital	600	0	0	600
wiring_pulse	266	0	0	266
wiring_shift	232	0	0	232
WMath	298	0	0	298
WString	4747	0	1	4748
<b>total auxiliary files</b>	<b>17417</b>	<b>327</b>	<b>297</b>	<b>17756</b>

Table 3: Overall memory footprint on the device.

<b>AVR memory usage on device atmega32u4</b>	
Program:	9840 bytes (30.0% .text+.data+.bootloader)
Data:	1451 bytes (56.7% .data+.bss+.noinit)

Table 4: Detailed Timing Results on Device.

<b>function name</b>	<b>single call time (ms)</b>	<b>total time (ms)</b>
multiplication	0.045	8847
modularreduction	0.015	2949
add	0.003	590
sha256	34.168	34
getrand (PRG)	0.094	18481
getrand (TRG)	(41.836)	(8225292)
remaining overhead	–	3983
<b>Generate</b>	–	<b>34885</b>