

# Indistinguishability Obfuscation from Functional Encryption for Simple Functions

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## Abstract

We show how to construct indistinguishability obfuscation (*iO*) for circuits from any non-compact functional encryption (FE) scheme with sub-exponential security against unbounded collusions. We accomplish this by giving a generic transformation from any such FE scheme into a compact FE scheme. By composing this with the transformation from sub-exponentially secure compact FE to *iO* (Ananth and Jain [CRYPTO'15], Bitansky and Vaikuntanathan [FOCS'15]), we obtain our main result.

Our result provides a new pathway to *iO*.

We use our technique to identify a *simple* function family for FE that suffices for our general result. We show that the function family  $\mathcal{F}_{\text{simple}}$  is complete, where every  $f_{\text{simple}} \in \mathcal{F}_{\text{simple}}$  consists of three evaluations of a Weak PRF followed by finite operations. We believe that this may be useful for realizing *iO* from weaker assumptions in the future.

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# 1 Introduction

Program obfuscation [Had00, BGI<sup>+</sup>01] concerns with the problem of making a computer program “unintelligible” while still preserving its functionality. While general-purpose program obfuscation remained an elusive goal for several decades, Garg et al [GGH<sup>+</sup>13b] changed this picture by providing the first candidate construction of indistinguishability obfuscation (*iO*). Since then, *iO* has found tremendous appeal in cryptography by enabling several advanced cryptographic goals such as deniable encryption [SW14], functional encryption [GGH<sup>+</sup>13b], round-optimal secure computation [GGHR14], digital watermarking [NW15, CHV15], time-lock puzzles [BGJ<sup>+</sup>15], and more.

While the research direction of using *iO* to build other cryptographic primitives has met much success, building *iO* itself from standard cryptographic assumptions has so far proven to be notoriously difficult. The security of candidate *iO* constructions in the works of [GGH<sup>+</sup>13b, BGK<sup>+</sup>14, BR14, AGIS14, Zim15, SZ14, AB15] is only proven in generic models and lacks a reduction in the standard model. The recent works of Pass et al. [PST14] and Gentry et al. [GLSW15] provide the first standard model reductions based on different assumption on multilinear maps (see [PST14] and [GLSW15] for the description of their respective assumptions).

However, *all* of these candidates rely on the same core cryptographic primitive, namely, multilinear maps [GGH13a, CLT13, GGH15, CLT15]. This situation is unsatisfactory in light of several recent attacks on [CHL<sup>+</sup>15, GHMS14, BWZ14, CLT14, CGH<sup>+</sup>15] on most multilinear maps candidates known so far.<sup>1</sup>

Very recently, the independent works of Ananth and Jain [AJ15] and Bitansky and Vaikuntanathan [BV15a] presented a new direction for building *iO*. They give a transformation via functional encryption [SW05, BSW11], specifically transforming *compact* public-key functional encryption (FE) for  $\text{NC}^1$  to *iO* for P/Poly. Very roughly, in a compact FE scheme, the running time of the encryption algorithm must only have a sublinear dependence on the size complexity of functions from the function family supported by the scheme. However, presently, the only known FE constructions that achieve this compactness property are based on *iO* [GGH<sup>+</sup>13b, Wat14]. As such, this approach, so far, has not yielded any new *iO* candidates.

## 1.1 This Work

In this work, we continue to explore this line of research. Our vision is to progressively reduce compact FE to weaker primitives, eventually culminating in its realization from standard cryptographic assumptions. While we do not know how to fully realize this vision yet, we make some progress in this work.

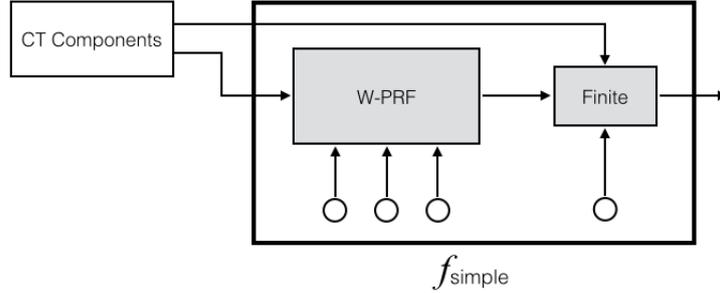
We focus on weakening the requirements on FE for building *iO*. In particular, we consider the following two goals: (a) While [AJ15, BV15a] require FE for  $\text{NC}^1$  to build *iO*, we set out to identify the simplest, concrete function family for FE that suffices for building *iO*. (b) Further, we aim to remove the “compactness” requirement from FE for realizing *iO*. We now proceed to describe our results.

***iO* from FE for “simple” functions.** We consider the function family  $\mathcal{F}_{\text{simple}}$  where every  $f_{\text{simple}} \in \mathcal{F}_{\text{simple}}$  corresponds to three weak PRF evaluations followed by some simple, finite operations. See Figure 1 for an illustration of  $f_{\text{simple}}$  and Figure 3 in Section 4 for a formal description.

Our main result is that collusion-resistant FE for  $\mathcal{F}_{\text{simple}}$  is sufficient to obtain *iO* for P/Poly.

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<sup>1</sup>To further emphasize this point, we remark that while [GLSW15] provides a reduction to a concrete assumption on multilinear maps, no instantiation of multilinear maps where their assumption can be conjectured to hold is presently known.



**Figure 1** A figure illustrating the functionalities for which keys are needed to obtain  $iO$ . The circles represent the constants found in the function key, that are fed to the components in boxes. The box labeled “Finite” represents a finite (constant) set of operations.

**Theorem 1 (Informal)** *Public-key functional encryption for  $\mathcal{F}_{\text{simple}}$  with sub-exponential indistinguishability security in the selective model against unbounded collusions implies indistinguishability obfuscation for  $P/\text{Poly}$ .*

We can, in fact, further simplify  $\mathcal{F}_{\text{simple}}$  such that each function in  $\mathcal{F}_{\text{simple}}$  corresponds to a “local” evaluation of a polynomial-stretch PRG followed by some simple, finite operations.<sup>2</sup>

We stress that Theorem 1 holds even if the FE scheme is *not* compact. Therefore, in addition to simplifying the class of functions for FE required to obtain  $iO$ , we also remove the compactness requirement from FE.

**Compact FE from Non-Compact Collusion-Resistant FE.** The key step underlying the above result is an unconditional transformation from any (not necessarily compact) public-key FE for  $\text{NC}^1$  that is secure against unbounded collusions (w.r.t. selective indistinguishability security definition of [BSW11]) to a selective-secure *compact* public-key FE for  $\text{NC}^1$ .<sup>3</sup> The resulting scheme also inherits security against unbounded collusions. While our focus is on the public-key setting, our transformation also works in the secret-key setting. That is, if we start with a secret-key collusion-resistant FE scheme, then we obtain a secret-key compact FE scheme.

Our transformation relies on a specific form of randomized encodings [IK00, AIK04, AIK06] that we refer to as *program-decomposable* randomized encodings. By instantiating our transformation with a variant of the randomized encoding of Kilian [Kil88] (which we show satisfies the program-decomposability property) and then combining it with the compact FE to  $iO$  transformation of [AJ15, BV15a], we obtain Theorem 1.

**Bootstrapping  $iO$ .** As an application of Theorem 1, we prove a bootstrapping theorem for  $iO$ . Specifically, we define a different function family  $\mathcal{F}$ , similar at a high level to  $\mathcal{F}_{\text{simple}}$ . We then show that  $iO$  for  $\mathcal{F}$  implies  $iO$  for  $P/\text{Poly}$ . (See Appendix A for a description of  $\mathcal{F}$ .)

**Theorem 2 (Informal)** *Indistinguishability obfuscation for  $\mathcal{F}$  with sub-exponential indistinguishability security implies indistinguishability obfuscation for  $P/\text{Poly}$ .*

Previously, [GIS<sup>+</sup>10, BCG<sup>+</sup>11, App14] established bootstrapping theorems for virtual black-box obfuscation [BGI<sup>+</sup>01]. The work of [GGH<sup>+</sup>13b] gave the first bootstrapping theorem for

<sup>2</sup>This approach only yields compact FE with bounded collusion-resistance, but it suffices for constructing  $iO$ . See Remark 4 in Section 3 for details.

<sup>3</sup>There exist general bootstrapping theorems that transform any compact FE scheme for  $\text{NC}^1$  into a compact FE scheme that supports circuits in  $P/\text{Poly}$  [GVW12, ABSV15]. Hence for simplicity, we only focus on  $\text{NC}^1$ .

$iO$ , reducing  $iO$  for general functions to  $iO$  for  $NC^1$  assuming fully-homomorphic encryption. Subsequently, Canetti et al [CLTV15] showed a similar bootstrapping theorem assuming sub-exponentially secure puncturable PRFs computable in  $NC^1$ . Recently, Bitansky et al. [BGL<sup>+</sup>15] established the first bootstrapping theorem for  $iO$  where obfuscating a circuit of size  $k$  allows the obfuscation of all circuits of size any polynomial in  $k$ . Our bootstrapping theorem can be viewed as an alternative proof to their result (in particular, our functionality  $F$  is different from their work).

**Concurrent Work.** In a concurrent and independent work, [BV15b]<sup>4</sup> show how to build  $iO$  from the GGHZ assumption [GGHZ14] on composite order multilinear maps (see [GGHZ14] for a formal description of their assumption). They obtain this result via a similar approach as in our work, namely, they show a transformation from non-compact collusion-resistant FE to compact FE.

While our focus is on simplifying the requirements on FE for building  $iO$ , we note that we can also use Theorem 1 to obtain this result by instantiating the non-compact FE scheme with the FE scheme of Garg et al [GGHZ14].

**Other Related Work.** Initial definitional works on FE [BSW11, O’N10] primarily considered the fully collusion-resistant setting where the adversary can obtain any polynomial number of decryption keys. However, FE for general circuits was also considered and achieved in the setting where the adversary can only obtain a single decryption key [SS10]. This was later generalized to the bounded-key setting [GVW12]. Both these schemes achieved non-compact FE. Goldwasser et al. [GKP<sup>+</sup>13] made partial progress towards realizing the goal of making such FE schemes compact. They achieve a single-key FE scheme for single-bit-output functions, where the size of the ciphertexts depend only on the depth of the functions. Note however, that while none of these schemes require  $iO$  or multilinear maps, we do not currently know how to achieve  $iO$  starting with these works, due to the limitations discussed in this paragraph.

## 2 Preliminaries

Throughout the paper, we denote the security parameter by  $\lambda$ . We assume that the reader is familiar with basic cryptographic concepts.

Given a PPT sampling algorithm  $A$ , we use  $x \stackrel{\$}{\leftarrow} A$  to denote that  $x$  is the output of  $A$  when the randomness is sampled from the uniform distribution.

### 2.1 Indistinguishability Obfuscation

Here we recall the notion of indistinguishability obfuscation ( $iO$ ) that was defined by Barak et al. [BGI<sup>+</sup>01].

**Definition 1 (Indistinguishability Obfuscator ( $iO$ ))** *A uniform PPT algorithm  $iO$  is called an indistinguishability obfuscator for a circuit class  $\{\mathcal{C}_\lambda\}$ , where  $\mathcal{C}_\lambda$  consists of circuits  $C$  of the form  $C : \{0, 1\}^\lambda \rightarrow \{0, 1\}$ , if the following holds:*

- **Completeness:** *For every  $\lambda \in \mathbb{N}$ , every  $C \in \mathcal{C}_\lambda$ , every input  $x \in \{0, 1\}^\lambda$  (i.e., it belongs to the input space of  $C$ ), we have that*

$$\Pr[C'(x) = C(x) : C' \leftarrow iO(\lambda, C)] = 1.$$

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<sup>4</sup>This refers to a revision of their ePrint paper.

- **Indistinguishability:** For any PPT distinguisher  $D$ , there exists a negligible function  $\text{negl}(\cdot)$  such that the following holds: for all sufficiently large  $\lambda \in \mathbb{N}$ , for all pairs of circuits  $C_0, C_1 \in \mathcal{C}_\lambda$  such that  $C_0(x) = C_1(x)$  for all inputs  $x$ , we have:

$$\left| \Pr[D(iO(\lambda, C_0)) = 1] - \Pr[D(iO(\lambda, C_1)) = 1] \right| \leq \text{negl}(\lambda)$$

## 2.2 Public-Key Functional Encryption

**Syntax.** Let  $\mathcal{X} = \{\mathcal{X}_\lambda\}_{\lambda \in \mathbb{N}}$  and  $\mathcal{Y} = \{\mathcal{Y}_\lambda\}_{\lambda \in \mathbb{N}}$  be ensembles where each  $\mathcal{X}_\lambda, \mathcal{Y}_\lambda$  are sets of size, functions in  $\lambda$ . Let  $\mathcal{F} = \{\mathcal{F}_\lambda\}_{\lambda \in \mathbb{N}}$  be an ensemble where each  $\mathcal{F}_\lambda$  is a finite collection of functions. Each function  $f \in \mathcal{F}_\lambda$  takes as input a string  $x \in \mathcal{X}_\lambda$  and outputs  $f(x) \in \mathcal{Y}_\lambda$ .

A public-key functional encryption (FE) scheme FE for  $\mathcal{F}$  consists of four algorithms (FE.Setup, FE.KeyGen, FE.Enc, FE.Dec):

- **Setup.** FE.Setup( $1^\lambda$ ) is a PPT algorithm that takes as input a security parameter  $\lambda$  and outputs a public key, (master) secret key pair (FE.pk, FE.msk).
- **Key Generation.** FE.KeyGen(FE.msk,  $f$ ) is a PPT algorithm that takes as input a master secret key FE.msk and a function  $f \in \mathcal{F}_\lambda$  and outputs a functional key FE.sk $_f$ .
- **Encryption.** FE.Enc(FE.pk,  $x$ ) is a PPT algorithm that takes as input a public key FE.pk and a message  $x \in \mathcal{X}_\lambda$  and outputs a ciphertext ct.
- **Decryption.** FE.Dec(FE.sk $_f$ , ct) is a deterministic algorithm that takes as input a functional key FE.sk $_f$  and a ciphertext ct and outputs a string  $y \in \mathcal{Y}_\lambda$ .

**Correctness.** There exists a negligible function  $\text{negl}(\cdot)$  such that for all sufficiently large  $\lambda \in \mathbb{N}$ , for every message  $x \in \mathcal{X}_\lambda$ , and for every function  $f \in \mathcal{F}_\lambda$ ,

$$\Pr \left[ f(m) \leftarrow \text{FE.Dec}(\text{FE.KeyGen}(\text{FE.msk}, f), \text{FE.Enc}(\text{FE.pk}, m)) \right] \geq 1 - \text{negl}(\lambda)$$

where  $(\text{FE.pk}, \text{FE.msk}) \leftarrow \text{FE.Setup}(1^\lambda)$ , and the probability is taken over the random coins of all algorithms.

**Selective Security.** We recall indistinguishability-based selective security for FE. This security notion is modeled as a game between the challenger and the adversary where the adversary can request functional keys and ciphertexts from the challenger. Specifically, the adversary can submit function queries  $f$  to the challenger and receive corresponding functional keys. It can also submit a message query of the form  $(x_0, x_1)$  and in response, the challenger encrypts message  $x_b$  and sends the ciphertext back to the adversary. The adversary wins the game if she can guess  $b$  with probability significantly greater than  $1/2$  and if  $f(x_0) = f(x_1)$  for all function queries  $f$ . The only constraint here is that the adversary has to declare the challenge messages at the beginning of the game itself.

**Definition 2 (IND-secure FE)** A public-key functional encryption scheme  $\text{FE} = (\text{FE.Setup}, \text{FE.KeyGen}, \text{FE.Enc}, \text{FE.Dec})$  for a function family  $\mathcal{F}$  is said to be  $q_{\text{key}}$ -selectively secure if for any PPT adversary  $\mathcal{A}$ , for all sufficiently large  $\lambda \in \mathbb{N}$ , the advantage of  $\mathcal{A}$  is

$$\text{Adv}_{\mathcal{A}}^{\text{FE}} = \left| \Pr[\text{Expt}_{\mathcal{A}}^{\text{FE}}(1^\lambda, 0) = 1] - \Pr[\text{Expt}_{\mathcal{A}}^{\text{FE}}(1^\lambda, 1) = 1] \right| \leq \text{negl}(\lambda),$$

where for each  $b \in \{0, 1\}$  and  $\lambda \in \mathbb{N}$ , the experiment  $\text{Expt}_{\mathcal{A}}^{\text{FE}}(1^\lambda, b)$  is defined as follows:

1. **Challenge message query:**  $\mathcal{A}$  submits a message pair  $(x_0, x_1)$  to  $C$ .
2. The challenger  $C$  computes  $(\text{FE.pk}, \text{FE.msk}) \leftarrow \text{FE.Setup}(1^\lambda)$  and sends  $\text{FE.pk}$  to the adversary. It then computes  $\text{ct} = \text{FE.Enc}(\text{FE.msk}, x_b)$  and sends  $\text{ct}$  to  $\mathcal{A}$ .
3. **Function queries:** The following is repeated up to  $q_{\text{key}}$  times:  $\mathcal{A}$  submits a function query  $f \in \mathcal{F}_\lambda$  to  $C$ . The challenger  $C$  computes the function key  $\text{FE.sk}_f \leftarrow \text{FE.KeyGen}(\text{FE.msk}, f)$  and sends it to  $\mathcal{A}$ .
4. If there exists a function query  $f$  such that  $f(x_0) \neq f(x_1)$ , then the output of the experiment is  $\perp$ . Otherwise, the output of the experiment is  $b'$ , where  $b'$  is the output of  $\mathcal{A}$ .

**Remark 1 (Selective-security against unbounded collusions)** One can consider a strengthening of the above definition where the adversary is allowed to make any unbounded polynomial number of function queries. We refer to this as selective security against unbounded collusions.

### 2.2.1 Compactness

We now recall the notion of *compact* FE from [AJ15]. In a compact FE scheme, the running time of the encryption algorithm only depends on the security parameter and the input message length. In particular, it is independent of the complexity of the function family supported by the FE scheme.

**Definition 3 (Compact FE)** Let  $p(\cdot)$  be a polynomial. A selectively secure public-key FE scheme  $\text{FE} = (\text{FE.Setup}, \text{FE.KeyGen}, \text{FE.Enc}, \text{FE.Dec})$ , defined for an input space  $\mathcal{X} = \{\mathcal{X}_\lambda\}$  and function space  $\mathcal{F} = \{\mathcal{F}_\lambda\}$  is said to be **compact** if for all  $\lambda \in \mathbb{N}$ , the running time of the encryption algorithm  $\text{FE.Enc}$ , on input  $1^\lambda$ ,  $\text{FE.pk}$  and a message  $x \in \mathcal{X}_\lambda$ , is  $p(\lambda, q_{\text{key}}, |x|)$ .

**Remark 2 (Sublinear dependence)** As observed in [BV15a], a milder form of compact FE where the running time of the encryption algorithm is sublinear in the size of any  $f \in \mathcal{F}$  is sufficient to obtain iO. For simplicity of exposition, however, we will use Definition 3 in this manuscript. Indeed, our main transformation presented in Section 3 holds for this stronger definition.

## 3 Compact FE from Collusion-Resistant FE

We give a generic transformation from any collusion-resistant FE scheme (CRFE) that is *not* necessarily compact to a compact FE (CFE) scheme. We start by giving an overview of our approach in Section 3.1. Next, in Section 3.2 we define the notion of program decomposable randomized encodings that is used in our transformation. We then describe our transformation in Section 3.3 followed by its security proof in Section 3.4

### 3.1 Overview

Recall that in a non-compact FE scheme, the running time of the encryption algorithm may depend polynomially on the complexity of functions from the function family supported by the scheme. Our goal is to remove this dependence generically, i.e., give a transformation from any non-compact FE scheme into a compact FE scheme where the running time of the encryption algorithm is *independent* of the function family.

Towards that end, let us first recall some related results known in the literature and folklore. The works of [GVW12, ABSV15] show that dependence on the depth of the function can be removed generically by using any (efficient) randomized encoding [IK00, AIK04, AIK06]. Recall that a randomized encoding of any polynomial-sized circuit can be computed in only logarithmic depth. Given this observation, their transformation is simple: instead of issuing a key for a function  $f$ , we issue a key for a function  $f'$  where  $f'$  takes input  $x$  for  $f$  and computes an RE of  $(f, x)$ . Indeed, this idea has been used extensively throughout cryptography (see [App11] for a survey).

Next, we note the folklore observation that dependence on the function output-size can also be removed generically if the underlying FE scheme is *collusion-resistant*. Very roughly, if a function  $f$  has  $\ell$ -bit outputs, then the idea is to issue  $\ell$  different keys  $K_{f_1}, \dots, K_{f_\ell}$ , where  $K_{f_i}$  corresponds to computing the  $i$ th bit of the output of  $f$ . Now, since each function key only corresponds to binary functions, the resulting scheme will not have output-size dependence.

Of course, in a non-compact FE scheme, the running time of the encryption algorithm may grow polynomially with the *size* of the function (in particular, the number of gates in the circuit representation of the function). As such, the above simple transformations do not suffice for our purpose.

**Our Idea.** Towards that end, our main idea is to further leverage collusion-resistance to achieve compactness generically. Very roughly, instead of issuing a key for a circuit  $C$ , our idea is to issue multiple keys  $K_{G_1}, \dots, K_{G_\ell}$  where each key  $K_{G_i}$  corresponds to computing a “small component” of  $C$ . From an efficiency viewpoint, we require that  $\ell$  is some fixed polynomial in the security parameter and for every  $i$ , the size of the circuit  $G_i$  computed by  $K_{G_i}$  is *independent* of  $|C|$ . From a security viewpoint, intuitively, we want that given a key set  $K_{G_1}, \dots, K_{G_\ell}$  corresponding to a circuit  $C$  and an encryption of a message  $x$ , an evaluator should only learn  $C(x)$ .

Put differently, on the one hand, we want to “decompose” the process of computing  $C(x)$  into  $\ell$  different parts such that the size of each part is independent of  $|C|$ . At the same time, these parts should be “tied” together in such a manner that when put together, they reveal  $C(x)$ , and nothing else otherwise. A natural approach to achieve the efficiency requirement is to simply let  $G_i$  correspond to evaluating the  $i$ th gate of  $C$ . Note, however, that this must be done in a manner that preserves the security of the FE.

**Program-Decomposable Randomized Encodings.** To address this problem, we once again turn to randomized encodings. Our idea is to use a specific form of RE that we refer to as *program decomposable* RE (PD-RE). In a PD-RE scheme, the encoding process includes a “decomposition” process for programs<sup>5</sup> that decomposes a circuit  $C$  into several parts  $C_1, \dots, C_\ell$ , where for every  $i$ , we have that  $|C_i|$  is independent of  $|C|$ . For every  $i$ , the encoding algorithm  $Enc$  on input  $C_i$  and  $x$  outputs an encoding  $\widehat{C_i, x}$ . The decoding algorithm takes as input the tuple  $\{\widehat{C_i, x}\}$  and should output  $y = C(x)$ . The efficiency requirement is that for every  $i$ ,  $|Enc|$  is independent of  $|C|$ . The security requirement, however, is still the same as in standard RE: for any  $C = C_1, \dots, C_\ell$  and input pair  $(x^0, x^1)$ , the encodings  $(\widehat{C_1, x^0}, \dots, \widehat{C_\ell, x^0})$  and  $(\widehat{C_1, x^1}, \dots, \widehat{C_\ell, x^1})$  are computationally indistinguishable as long as  $C(x^0) = C(x^1)$ .

We observe that many RE schemes from the literature directly yield PD-RE schemes. For example, Yao’s garbling technique [Yao86] yields a PD-RE scheme for general circuits. For any circuit  $C$ ,  $C_i$  corresponds to its  $i$ th gate and  $Enc$  on input  $(C_i, x)$  corresponds to computing the  $i$ th “garbled gate table.” Later, we also identify another PD-RE scheme by modifying the RE scheme of Kilian [Kil88] (which in turn uses Barrington’s theorem [Bar86]). This allows

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<sup>5</sup>For concreteness, we will restrict our discussion to circuits here, although this notion is compatible with other computing models such as branching programs. See Section 3 for details.

us to identify a simple, concrete function family for collusion-resistant FE that suffices for our transformation. (See Section 4 for details.)

Given such a PD-RE scheme, we obtain a compact FE construction as follows: a key for a circuit  $C$  consists of a key set  $\{K_{G_i}\}_{i \in \ell}$ . For every  $i$ , the function  $G_i$  associated with the key  $K_i$  takes as input a message  $x$  and computes  $Enc(C_i, x)$ . Note that this is a randomized procedure, and that the randomness among different evaluations must be correlated. (We address this further below.) An evaluator who is given a key set  $\{K_{G_i}\}_{i \in \ell}$  and an encryption  $ct$  of  $x$  can now first perform  $\ell$  FE decryptions of  $ct$  (one with each key  $K_i$ ) to obtain a PD-RE  $(\widehat{C_1, x}, \dots, \widehat{C_\ell, x})$  of  $(C, x)$ . Next, it can perform the RE decoding procedure to obtain  $C(x)$ . From the security of PD-RE, we are guaranteed that the evaluator cannot learn anything else.

We remark that the “program decomposability” property of garbled circuits has been used in many cryptographic schemes in the past. To the best of our knowledge, the first such use is due to Beaver et al. [BMR90] who used garbled circuits to construct constant-round multiparty computation protocols. We note, however, that in their construction, they only use the fact that each gate table can be computed in constant *depth*; for our purposes, it is important that the *size* of each decomposed unit is independent of the total size of the circuit. This property of garbled circuits was recently used by Bitansky et al. [BGL<sup>+</sup>15] in their construction of succinct REs.

**More Details.** We now provide some more details of the above construction. First note that the program encoding procedure is a randomized functionality. To provide randomness to each invocation of the program encoding procedure  $Enc$ , as an initial “straw man” proposal, we consider the following: we can modify the encryption algorithm of FE scheme to additionally encrypt a random key  $K$  for a weak pseudo-random function (PRF) along with the input message  $x$ . The function  $G_i$  computed by the key  $K_{G_i}$  now consists of the following steps: on input  $(K, x)$ , it first evaluates  $K$  on a random tag hardwired in its description to obtain  $r_i$ . Next, it computes and outputs  $Enc(C_i, x)$  using randomness  $r_i$ .

We highlight a couple of problems with the above approach: first, we note that different invocations of program encoding procedure  $Enc$  of known PD-RE schemes critically use *correlated* randomness – intuitively, this use of correlated randomness is needed to make different parts of program decomposition “talk with each other” when decoding. We address this need for correlation by explicitly considering set systems that capture the necessary correlations, and incorporating this into our construction: we refer the reader to Section 3 for details. A more important problem is that we cannot directly rely upon the standard security of the underlying FE scheme to prove the security of the new scheme. This is because  $Enc$  is a randomized functionality whereas standard FE only considers *deterministic* functions. The recent work of [GJKS15] studies the problem of public-key FE for randomized functions; however, they give a specific construction using  $iO$  which is therefore not suitable for our purposes.<sup>6</sup>

To address this problem, we apply the “trapdoor circuits” technique of De Caro et al [CIJ<sup>+</sup>13]. Very roughly, we modify  $G_i$  such that it works in two modes: in the “honest” mode, it performs the same functionality as discussed above. In the “trapdoor” mode, it outputs a fixed value hardwired in its description. Using this idea, in our proof, we can switch from honest computation of  $Enc_i$  to the PD-RE simulator. This step only relies on the security of the underlying PD-RE. Now, we can simply change the message  $x_0$  in the ciphertext to  $x_1$  by relying upon the security of the underlying FE scheme. Finally, we change  $G_i$  again to the honest mode, completing the proof. We remark that several recent works [GGHR14, BS15, ABSV15]) make a similar use of the trapdoor circuits technique in the context of FE.

<sup>6</sup>In the secret-key setting, [KS15] show a generic transformation from any secret-key FE for deterministic functions into one that supports randomized functions. However, no such transformation is known in the public-key setting.

### 3.2 Program Decomposable Randomized Encodings

Let  $x$  be a string of length  $\ell$  and let  $S$  be a subset of  $[\ell]$ . We define  $x|_S$  to be the string that is obtained by concatenating all the bits of  $x$  corresponding to positions in  $S$ . We refer to this as “ $x$  being restricted to  $S$ ”.

**Syntax.** A Program Decomposable RE, defined for a function family  $\mathcal{F}$ , consists of a tuple of algorithms (Decomp, PrgEnc, Dec) described below:

- *Program Decomposition:* Let  $f$  be a function in  $\mathcal{F}$  with input length  $\ell_{\text{inp}}$ . The deterministic algorithm Decomp takes as input security parameter  $\lambda$ , a description of  $f$  and performs the following steps:
  1. Compute a set of program components  $\mathbf{P} = (P_1, \dots, P_{\ell_{\text{prg}}})$  representing  $f$ .
  2. Generate two set systems  $\mathcal{S} = \{S_1, \dots, S_{\ell_{\text{prg}}}\}$  and  $\mathcal{I} = \{I_1, \dots, I_{\ell_{\text{prg}}}\}$ , where  $S_i \subseteq [\ell_R], I_i \subseteq [\ell_{\text{inp}}]$  for all  $i \in [\ell_{\text{prg}}]$ , and  $\ell_R$  is a polynomial in  $(\lambda, \ell_{\text{prg}})$ .
  3. Output  $(\mathbf{P}, \mathcal{S}, \mathcal{I}, \ell_R)$ .
- *Program Encoding:* Let  $\mathbf{P} = P_1, \dots, P_{\ell_{\text{prg}}}$  be a program decomposition and  $x = x_1, \dots, x_{\ell_{\text{inp}}}$  be an input for  $P$  that we wish to encode. Let  $\mathcal{S} = \{S_1, \dots, S_{\ell_{\text{prg}}}\}$  and  $\mathcal{I} = \{I_1, \dots, I_{\ell_{\text{prg}}}\}$  be two set systems with  $S_i \subseteq [\ell_R], I_i \subseteq [\ell_{\text{inp}}]$ . Let  $r$  be a string of length  $\ell_R$  chosen uniformly at random.

PrgEnc is a PPT algorithm that takes as input a program component  $P_i$ , string  $x|_{I_i}$ , random string  $r|_{S_i}$  and outputs an encoding  $\widehat{P_i, x}$ .

- *Output Decoding:* Dec is a deterministic polynomial-time algorithm that takes as input an encoding tuple  $\left( \left\{ \widehat{P_i, x} \right\}_{i \in [\ell_{\text{prg}}]} \right)$  and outputs a value  $y$ .

This completes the description of the algorithms of a program-decomposable RE. We now state our efficiency requirements and then formally define correctness and security of PD-RE.

**Efficiency.** On any input  $f$ , we require the output  $(\mathbf{P} = \{P_i\}_{i \in [\ell_{\text{prg}}]}, \mathcal{S} = \{S_i\}_{i \in [\ell_{\text{prg}}]}, \mathcal{I} = \{I_i\}_{i \in [\ell_{\text{prg}}]})$  of the Decomp algorithm to be such that:

- For every  $i \in [\ell_{\text{prg}}]$ ,  $|P_i| = p(\lambda)$  where  $p(\cdot)$  is a fixed polynomial that is independent of  $|P|$ .
- Every set in  $\mathcal{S}$  and  $\mathcal{I}$  is of size  $q = q(\lambda)$ , where  $q(\cdot)$  is a fixed polynomial that is independent of  $|P|$ .

A direct consequence of the above two properties is that the running time of PrgEnc is  $t(\lambda)$ , where  $t(\cdot)$  is a fixed polynomial that is independent of  $|P|$ .

**Correctness.** We say that a program decomposable RE (Decomp, PrgEnc, Dec) for  $\mathcal{F}$  is *correct* if for every  $f \in \mathcal{F}$  and input  $x$  to  $f$ , we have the following quantity to be at least  $\text{negl}(\lambda)$ :

$$\Pr \left[ \text{Dec} \left( \text{PrgEnc} \left( P_1, x|_{I_1}; r|_{S_1} \right), \dots, \text{PrgEnc} \left( P_{\ell_{\text{prg}}}, x|_{I_{\ell_{\text{prg}}}}; r|_{S_{\ell_{\text{prg}}}} \right) \right) = P(x) \right]$$

where  $(\mathbf{P}, \mathcal{S} = \{S_1, \dots, S_{\ell_{\text{prg}}}\}, \mathcal{I} = \{I_1, \dots, I_{\ell_{\text{prg}}}\}, \ell_R) \leftarrow \text{Decomp}(f)$ , and  $r$  is a string of length  $\ell_R$  picked uniformly at random.

**Security.** We say that a program decomposable RE (Decomp, PrgEnc, Dec) for  $\mathcal{F}$  is *secure* if for every PPT adversary  $\mathcal{A}$ , every  $f \in \mathcal{F}$  and input pair  $(x^0, x^1)$  such that  $f(x^0) = f(x^1)$ , we have that:

$$\left| \Pr \left[ \mathcal{A} \left( \widehat{P_1, x^0}, \dots, \widehat{P_{\ell_{\text{prg}}}, x^0} \right) = 1 \right] - \Pr \left[ \mathcal{A} \left( \widehat{P_1, x^1}, \dots, \widehat{P_{\ell_{\text{prg}}}, x^1} \right) = 1 \right] \right| = \text{negl}(\lambda),$$

where  $\widehat{P_i, x^b} \leftarrow \text{PrgEnc} \left( P_i, x^b_{|I_i}; r_{|S_i} \right)$ ,  $(P, \mathcal{S} = \{S_1, \dots, S_{\ell_{\text{prg}}}\}, \mathcal{I} = \{I_1, \dots, I_{\ell_{\text{prg}}}\}, \ell_R) \leftarrow \text{Decomp}(f)$ , and  $r$  is a random string of length  $\ell_R$ .

### 3.3 Our Transformation: From CRFE to CFE

Let  $\text{CRFE} = (\text{CRFE.Setup}, \text{CRFE.KeyGen}, \text{CRFE.Enc}, \text{CRFE.Dec})$  be any public-key FE scheme for a function family  $\mathcal{F}_{\text{CRFE}}$  that is selective-secure against unbounded collusions. We defer the description of  $\mathcal{F}_{\text{CRFE}}$  to later. Given CRFE, we construct a *compact* public-key FE scheme  $\text{CFE} = (\text{CFE.Setup}, \text{CFE.KeyGen}, \text{CFE.Enc}, \text{CFE.Dec})$  for a function family  $\mathcal{F}$ . The family  $\mathcal{F}_\lambda = \{\mathcal{F}_\lambda\}_{\lambda \in \mathbb{N}}$  comprises of functions with input length  $\lambda$ . The associated message space is denoted by  $\mathcal{X} = \{\mathcal{X}_\lambda\}_\lambda$ , where  $\mathcal{X}_\lambda = \{0, 1\}^\lambda$ . The resulting scheme CFE inherits the security properties of CRFE, namely, it achieves selective-security against unbounded collusions.

Our transformation uses the following additional tools:

- A program-decomposable RE scheme  $\text{PDRE} = (\text{PDRE.Decomp}, \text{PDRE.PrgEnc}, \text{PDRE.Dec})$  for the function family  $\mathcal{F}$ .
- Weak pseudorandom function<sup>7</sup> family  $\mathcal{PRF} = \{\text{PRF}_K(\cdot) : \{0, 1\}^\lambda \rightarrow \{0, 1\}\}$  and a symmetric encryption scheme  $\text{Sym} = (\text{Sym.Setup}, \text{Sym.Enc}, \text{Sym.Dec})$ . We assume that the ciphertexts produced by Sym are pseudorandom.

We now describe the compact FE scheme scheme CFE below.

**Setup**  $\text{CFE.Setup}(1^\lambda)$ : On input security parameter  $\lambda$ , execute  $\text{CRFE.Setup}(1^\lambda)$  to obtain  $(\text{CRFE.MSK}, \text{CRFE.PK})$ . Output the master secret key  $\text{CFE.MSK} = \text{CRFE.MSK}$  and the public key  $\text{CFE.PK} = \text{CRFE.PK}$ .

**Key Generation**  $\text{CFE.KeyGen}(\text{CFE.MSK}, f)$ : On input a master secret key  $\text{CFE.MSK} = \text{CRFE.MSK}$  and a function  $f \in \mathcal{F}_\lambda$ ,

- Execute  $\text{PDRE.Decomp}(f)$  to obtain  $(P, \mathcal{S}, \mathcal{I}, \ell_R)$ . Parse  $P = (P_1, \dots, P_{\ell_{\text{prg}}})$ .
- Pick tags  $\text{tag}_1, \dots, \text{tag}_{\ell_R}$ , where  $\text{tag}_i \in \{0, 1\}^\lambda$  with  $\ell_R = \text{poly}(\ell_{\text{prg}}, \lambda)$ .
- Parse  $\mathcal{S}$  as  $\{S_1, \dots, S_{\ell_{\text{prg}}}\}$ . Assign  $\text{TAG}_i = (\text{tag}_k)_{k \in S_i}$  for  $i \in [\ell_{\text{prg}}]$ .
- Pick strings  $CT_1, \dots, CT_{\ell_{\text{prg}}}$  uniformly at random. (The length of  $CT_i$  will be clear later).
- Execute  $\text{CRFE.KeyGen}(\text{CRFE.MSK}, \text{Encode}[P_i, \text{TAG}_i, I_i, CT_i])$  with  $i \in [\ell_{\text{prg}}]$  to obtain  $\text{CRFE.sk}_i$ . The function  $\text{Encode}[\cdot, \cdot, \cdot, \cdot]$  is described in Figure 2.

Output  $\text{CFE.sk}_f = (\text{CRFE.sk}_1, \dots, \text{CRFE.sk}_{\ell_{\text{prg}}})$ .

**Encryption**  $\text{CFE.Enc}(\text{CFE.PK}, x)$ : On input a public key  $\text{CFE.PK} = \text{CRFE.PK}$  and a message  $x$ , sample a PRF key  $K$ . Execute  $\text{CRFE.Enc}(\text{CRFE.PK}, (x, K, \perp, \text{mode} = 0))$  to obtain  $\text{CFE.CT}$ .

<sup>7</sup>A weak pseudorandom function is a type of pseudorandom function wherein the adversary, in the security game, is handed evaluations of the weak PRF on random points. This is in contrast to the scenario of PRFs, where the adversary can choose his queries.

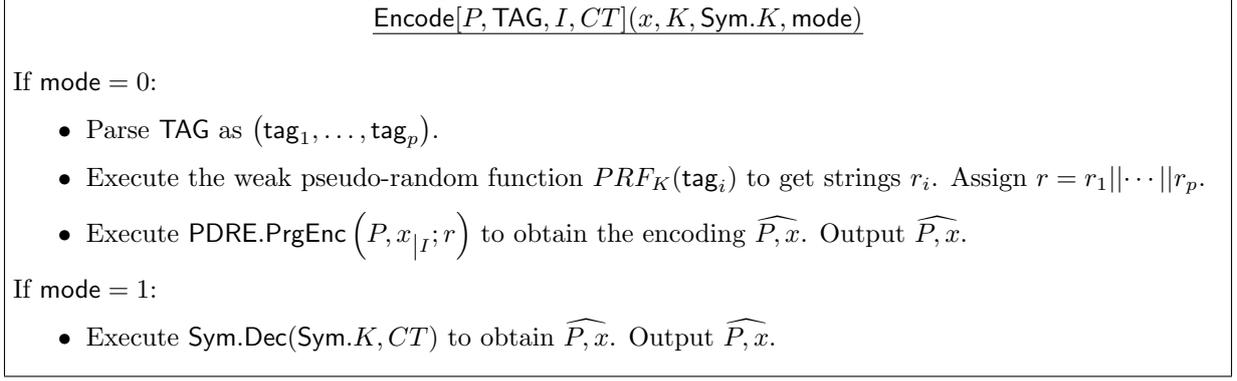


Figure 2

Output the ciphertext  $\text{CFE.CT}_x = \text{CRFE.CT}$ .

**Decryption**  $\text{CFE.Dec}(\text{CFE.sk}_f, \text{CFE.CT}_x)$ : On input a ciphertext  $\text{CFE.CT}_x = \text{CRFE.CT}$  and a functional key  $\text{CFE.sk}_f = (\text{CRFE.sk}_1, \dots, \text{CRFE.sk}_{\ell_{\text{prg}}})$ , execute the decryption algorithm  $\text{CRFE.Dec}(\text{CRFE.sk}_i, \text{CRFE.CT})$  to obtain  $\widehat{P}_i, x$ . Execute  $\text{PDRE.Dec}\left(\left\{\widehat{P}_i, x\right\}_{i \in [\ell_{\text{prg}}]}\right)$  to obtain  $y$ . Output  $y$ .

This completes the description of the compact FE scheme.

**Correctness.** Observe that the output of  $\text{CRFE.Dec}(\text{CRFE.sk}_i, \text{CRFE.CT})$  is an encoding  $\widehat{P}_i, x$ . These encodings are “valid”, meaning that they can be obtained by first running the decomposition algorithm on  $f$  and then encoding the resulting decomposed program components along with  $x$ . Therefore, by the correctness of PDRE, we have that the output of  $\text{PDRE.Dec}\left(\left\{\widehat{P}_i, x\right\}_{i \in \ell_{\text{prg}}}\right)$  is  $f(x)$ .

**Compactness.** First observe that the run-time of  $\text{CFE.Enc}$  depends only on  $|x|$ , run-time of  $\text{CRFE.Enc}$  and  $\lambda$ . So it suffices for us to focus on  $\text{CRFE.Enc}$ . Since we make no assumptions on the compactness of CRFE, it could very well be the case that the run-time of  $\text{CRFE.Enc}$  depends polynomially on the size complexity of functions in  $\mathcal{F}_{\text{CRFE}}$ . Note, however, that the size of any  $\text{Encode} \in \mathcal{F}_{\text{CRFE}}$  is simply a fixed polynomial in  $\lambda$  since it only involves weak PRF evaluations and computing  $\text{PrgEnc}$ , whose complexity is polynomial in  $\lambda$ . Summing up, we have that the run-time of  $\text{CFE.Enc}$  is  $\text{poly}(\lambda, |x|)$ , as required.

**Security.** We prove the following theorem in Section 3.4:

**Theorem 3 (Security of CFE)** *If CRFE is a public-key FE with selective-security against unbounded collusions, PDRE is a secure PD-RE scheme and PRF is a weak PRF, we have that CFE is a public-key compact FE with selective-security against unbounded collusions.*

**Remark 3 (Secret-key setting)** *The above transformation is presented in the public-key setting. It is easy to see that the same transformation also works in the secret-key setting. Namely, if we start with a secret-key collusion-resistant FE scheme, we obtain a secret-key compact FE scheme.*

**Remark 4 (Replacing weak PRF with PRG)** *We can replace the weak PRF in the above approach with a particular type of PRGs. We require the property that each block in the output*

of PRG can be computed in time independent of the stretch of PRG. Now, the  $i^{\text{th}}$  program component can be encoded using (as randomness) the corresponding  $i^{\text{th}}$  block in the output of PRG. Also note that the generic symmetric-key encryption (used in mode 1) can also be instantiated with a one-time pad implemented using a standard PRG. This is because we only need one-time security of the hardwired ciphertext in our proof. Finally, we note that with this approach, the resulting scheme will not be collusion-resistant, although this does not affect the implication to  $i\text{O}$ .

**Remark 5 (Bootstrapping theorem)** *If we choose weak PRFs in  $\text{NC}^1$  and suitably instantiate Program Decomposable RE (for ex., garbled circuits), Theorem 3 yields a bootstrapping mechanism for transforming a non-compact collusion-resistant FE for  $\text{NC}^1$  into a compact collusion-resistant FE for  $P/\text{poly}$  (assuming DDH or LWE). This is a generalization of the bootstrapping theorem of [ABSV15] which was (non-)compactness preserving.*

### 3.4 Proof of Theorem 3

The main idea is to hardwire the output program encodings in the functional keys. After this, we can use the security of Program Decomposable RE to switch from one input to another. However, functional keys do not hide its associated function and hence to enable the hardwiring process, we use the trapdoor branch. We compute a symmetric encryption of the output encoding. We then switch the mode in the message to now decrypt this (symmetric) ciphertext instead of executing the encoding procedure. Once this is done, we have the program encoding hardwired as desired. We now explain the technical details.

We define the advantage of a PPT adversary  $\mathcal{A}$  in  $\text{Hybrid}_{i,b}$  to be  $\text{Adv}_{\mathcal{A},i,b}$ .

$\forall b \in \{0, 1\}$ ,  $\text{Hybrid}_{1,b}$ : This corresponds to the real experiment when the challenger uses the challenge bit  $b$ . That is, when the adversary submits a message pair  $(x_0, x_1)$ , the challenger encrypts the message  $x_b$  as part of the challenge ciphertext. The hybrid outputs whatever the adversary outputs.

$\forall b \in \{0, 1\}$ ,  $\text{Hybrid}_{2,b}$ : The output of the functional keys w.r.t the challenge ciphertext is hardwired in their respective CT components.

At the beginning of the game, the challenger samples a symmetric key  $\text{Sym}.K$  by executing  $\text{Sym.Setup}$ . It answers challenge message query from the adversary as in the previous hybrid. Denote the challenge ciphertext answered by the challenger to be  $\text{CFE.CT}^* = \text{CFE.Enc}(\text{CFE.PK}, (x_b, K, 0))$ .

Upon receiving a function query  $f$ , the challenger first executes  $\text{PDRE.Decomp}(f)$  to obtain  $(P, \mathcal{S}, \mathcal{I}, \ell_R)$ . It then picks the tags  $\text{tag}_1, \dots, \text{tag}_{\ell_R}$  uniformly at random with  $\text{tag}_i \in \{0, 1\}^\lambda$ . Let the set family  $\mathcal{S}$  (resp.,  $\mathcal{I}$ ) be denoted by  $\{S_1, \dots, S_{\ell_{\text{prg}}}\}$  (resp.,  $\{I_1, \dots, I_{\ell_{\text{prg}}}\}$ ). For  $i = 1, \dots, \ell_{\text{prg}}$ , it does the following:

1. Assigns  $\text{TAG}_i = \cup_{k \in S_i} (\text{tag}_k)$  for  $i \in [\ell_{\text{prg}}]$ . Alternately, denote  $\text{TAG}_i = (\text{tag}_1, \dots, \text{tag}_p)$ , where  $p = |S_i|$ .
2. Execute the weak pseudo-random function  $\text{PRF}_{K_j}(\text{tag}_j)$  to get strings  $r_j$ . Assign  $r = r_1 || \dots || r_p$ .
3. Execute  $\text{PrgEnc}(P_i, \mathbf{x}_{I_i}; r)$  to obtain the encoding  $\widehat{P_i, \mathbf{x}}$ , where  $\mathbf{x} = x_b$ . Output  $\widehat{P_i, \mathbf{x}}$ .
4. The challenger encrypts  $\widehat{P_i, \mathbf{x}}$  by executing  $\text{Sym.Enc}(\text{Sym}.K, \widehat{P_i, \mathbf{x}})$  to obtain  $\text{Sym.ct}_i$ . It sets  $\text{CT}_i = \text{Sym.ct}_i$ .
5. In the final step, it executes  $\text{CRFE.KeyGen}(\text{CRFE.MSK}, \text{Encode}[P_i, \text{TAG}_i, I_i, \text{CT}_i])$  to obtain  $\text{CRFE.sk}_i$ .

The challenger then sends across the functional key  $\text{CFE.sk}_f = (\text{CRFE.sk}_i)_{i \in [\ell_{\text{prg}}]}$  to the adversary. The challenger repeats the above process for every function query.

**Lemma 1** *Assuming the security of Sym, we have  $|\text{Adv}_{\mathcal{A},1,b} - \text{Adv}_{\mathcal{A},2,b}| \leq \text{negl}(\lambda)$  for  $b \in \{0, 1\}$ , where  $\text{negl}$  is a negligible function.*

$\forall b \in \{0, 1\}$ , **Hybrid<sub>3,b</sub>**: The challenger changes the mode in the challenge ciphertext from  $\text{mode} = 0$  to  $\text{mode} = 1$ . That is, upon receiving the message query  $(x_0, x_1)$ , the challenger first samples the symmetric secret key  $\text{Sym.K}$  by executing  $\text{Sym.Setup}$ . It then computes the challenge ciphertext  $\text{CFE.CT}^* \leftarrow \text{CFE.Enc}(\text{CFE.PK}, (\perp, \perp, \text{Sym.K}, \text{mode} = 1))$ . It sends  $\text{CFE.CT}^*$  to the adversary. The functional queries are answered as in the previous hybrid.

**Lemma 2** *Assuming the selective security of CRFE, we have  $|\text{Adv}_{\mathcal{A},2,b} - \text{Adv}_{\mathcal{A},3,b}| \leq \text{negl}(\lambda)$  for  $b \in \{0, 1\}$ , where  $\text{negl}$  is a negligible function.*

$\forall b \in \{0, 1\}$ , **Hybrid<sub>4,b</sub>**: This hybrid is identical to **Hybrid<sub>2,b</sub>** except that the randomness supplied to  $\overline{\text{PDRE.PrgEnc}}$  is picked uniformly at random. Recall that the randomness in **Hybrid<sub>2,b</sub>** was generated by executing weak pseudorandom functions. More precisely, we pick a random string  $\mathbf{r} \in \{0, 1\}^{\ell_R}$  at random. replace Bullet 2 in **Hybrid<sub>2,b</sub>** with the following.

2. Set  $r = \mathbf{r}|_{S_i}$ .

As before, the output of this hybrid is the adversary's output.

**Lemma 3** *Assuming the security of PRF, we have  $|\text{Adv}_{\mathcal{A},3,b} - \text{Adv}_{\mathcal{A},4,b}| \leq \text{negl}(\lambda)$  for  $b \in \{0, 1\}$ , where  $\text{negl}$  is a negligible function.*

**Lemma 4** *Assuming the security of PDRE, we have  $|\text{Adv}_{\mathcal{A},4,0} - \text{Adv}_{\mathcal{A},4,1}| \leq \text{negl}(\lambda)$ , where  $\text{negl}$  is a negligible function.*

### 3.5 Proof of Theorem 3 cont'd: Proofs of Lemma 1,2,3,4

**Proof of Lemma 1.** We construct a reduction  $\mathcal{B}$  that uses  $\mathcal{A}$  to break the security of Sym.

The message query by the adversary is answered by  $\mathcal{B}$  as in **Hybrid<sub>1</sub>**. The When  $\mathcal{B}$  receives a function query of  $f$ , it first decomposes  $f$  into program components and then computes its encodings using the randomness derived from a weak PRF. This is performed as described in **Hybrid<sub>2,b</sub>**. We denote the resulting encodings to be  $\widehat{P_i, \mathbf{x}}$ , for  $i \in [\ell_{\text{prg}}]$ , where  $\mathbf{x} = x_b$ . At this point,  $\mathcal{B}$  submits  $\{\widehat{P_i, \mathbf{x}}\}_{i \in [\ell_{\text{prg}}]}$  to the challenger of Sym. In return it receives the ciphertexts  $\{CT_i = \text{Sym.ct}_i\}_{i \in [\ell_{\text{prg}}]}$ . Finally,  $\mathcal{B}$  computes  $\text{CRFE.sk}_i \leftarrow \text{CRFE.KeyGen}(\text{CRFE.MSK}, \text{Encode}[P_i, \text{TAG}_i, I_i, CT_i])$  for  $i \in [\ell_{\text{prg}}]$ . It then sends  $\text{CFE.sk}_f = \{\text{CRFE.sk}_i\}_{i \in [\ell_{\text{prg}}]}$  to the adversary.

If Sym's challenger answers with a random string then we are in **Hybrid<sub>1,b</sub>** otherwise we are in **Hybrid<sub>2,b</sub>**. Thus, the advantage of  $\mathcal{B}$  breaking the security of Sym is  $|\text{Adv}_{\mathcal{A},1,b} - \text{Adv}_{\mathcal{A},2,b}|$  which is negligible in  $\lambda$ .

**Proof of Lemma 2.** We construct a reduction  $\mathcal{B}$  that uses  $\mathcal{A}$  to break the security of CRFE.

When the reduction receives message query  $(x_0, x_1)$ , it computes the following pairs of messages:

$$\left( \tilde{y}_0 = (x_b, K, \perp, 0), \tilde{y}_1 = (\perp, \perp, \text{Sym.K}, 1) \right),$$

where  $K$  is a weak PRF key and  $\text{Sym.K}$  is the secret key sampled using  $\text{Sym.Setup}$ . It then forwards the message query  $(\tilde{y}_0, \tilde{y}_1)$  to the challenger of CRFE. The reduction  $\mathcal{B}$  then forwards

the challenge ciphertext, received from the challenger of CRFE, to  $\mathcal{A}$ . When  $\mathcal{B}$  receives a functional query  $f$ , it computes the functions  $G_i = \text{Encode}[P_i, \text{TAG}_i, I_i, CT_i]$ , for  $i \in [\ell_{\text{prg}}]$  as in  $\text{Hybrid}_{2,b}$  (or  $\text{Hybrid}_{3,b}$ ). It then forwards the functions  $G_1, \dots, G_{\ell_{\text{prg}}}$  to the challenger of CRFE. Upon receiving  $\{\text{CRFE.sk}_i\}_{i \in [\ell_{\text{prg}}]}$  from the challenger,  $\mathcal{B}$  then sends  $\text{CFE.sk}_f = \{\text{CRFE.sk}_i\}_{i \in [\ell_{\text{prg}}]}$  to the adversary.

We have to first argue that  $\mathcal{B}$  is a valid adversary in the game of CRFE. To do this we argue that for every query  $G_i = \text{Encode}[P_i, \text{TAG}_i, I_i, CT_i]$  submitted by  $\mathcal{B}$ , it holds that  $G_i(\tilde{y}_0) = G_i(\tilde{y}_1)$ . This is because we encrypt the output  $G_i(\tilde{y}_0)$  in  $CT_i$  and the output of  $G_i(\tilde{y}_1)$  is the decryption of  $CT_i$ . Now that we have shown that  $\mathcal{B}$  is a valid adversary, observe that if the challenger of CRFE uses  $\tilde{y}_0$  to encrypt the challenge message then we are in  $\text{Hybrid}_{2,b}$  and if it uses  $\tilde{y}_1$  to encrypt the challenge message then we are in  $\text{Hybrid}_{3,b}$ . Thus, the advantage of  $\mathcal{B}$  in breaking the security of CRFE is  $|\text{Adv}_{\mathcal{A},2,b} - \text{Adv}_{\mathcal{A},3,b}|$  which, by the security of CRFE, is negligible in  $\lambda$ .

**Proof of Lemma 3.** We construct a reduction  $\mathcal{B}$  that uses  $\mathcal{A}$  to break the security of  $\mathcal{PRF}$ .

$\mathcal{B}$  answers the challenge message query as in the previous hybrid. Upon receiving a function query  $f$ , it first computes  $\text{Decomp}(f)$  as in  $\text{Hybrid}_{3,b}$  (or  $\text{Hybrid}_{4,b}$ ). Let  $\ell_{\text{prg}}$  be the number of program components. The reduction then picks tags  $\text{tag}_1, \dots, \text{tag}_{\ell_R}$  at random from  $\{0, 1\}^\lambda$ , where  $\ell_R$  is a polynomial in  $(\ell_{\text{prg}}, \lambda)$ . It then queries the PRF oracle to get the evaluations of  $\text{tag}_i$  for every  $i \in [\ell_R]$ . Denote by  $\mathbf{r}$  the concatenation of the bits obtained from the evaluations. Then,  $\mathcal{B}$  proceeds as in  $\text{Hybrid}_{3,b}$  or  $\text{Hybrid}_{4,b}$ .

If the PRF oracle returned PRF evaluations then we are in  $\text{Hybrid}_{3,b}$  otherwise we are in  $\text{Hybrid}_{4,b}$ . Thus, the advantage of  $\mathcal{B}$  breaking the security of  $\mathcal{PRF}$  is  $|\text{Adv}_{\mathcal{A},3,b} - \text{Adv}_{\mathcal{A},4,b}|$  which by the security of  $\mathcal{PRF}$  is negligible in  $\lambda$ .

**Proof of Lemma 4.** We construct a reduction  $\mathcal{B}$  that uses  $\mathcal{A}$  to break the security of PDRE. We just focus on the case when  $\mathcal{A}$  makes a single message and function query. The general case when  $\mathcal{A}$  makes multiple message and function queries follows from a standard hybrid argument.

$\mathcal{B}$  handles the challenge message query  $(x_0, x_1)$  as in  $\text{Hybrid}_{4,0}$  or  $\text{Hybrid}_{4,1}$ . Upon receiving a function query  $f$  from  $\mathcal{A}$ , it forwards this along with  $(x_0, x_1)$  to the challenger of PDRE. In return it receives  $(\mathcal{P}, \mathcal{S}, \mathcal{I})$  and encodings  $\{\widehat{P_i, \mathbf{x}}\}_{i \in [\ell_{\text{prg}}]}$ . It then uses these encodings and the set systems to generate the functional key  $\text{CFE.sk}_f = \{\text{CRFE.sk}_i\}_{i \in [\ell_{\text{prg}}]}$ . This functional key is then forwarded to the adversary.

We first remark that  $\mathcal{B}$  is a valid adversary in the security game of PDRE. To show this, it suffices to argue that  $f(x_0) = f(x_1)$ . This plainly follows from the fact that  $\mathcal{A}$  is a valid adversary in the game of CFE.

If the challenger of PDRE hands  $\mathcal{B}$  the encodings of  $\{\widehat{P_i, \mathbf{x}}\}_{i \in [\ell_{\text{prg}}]}$  where  $\mathbf{x} = x_0$  then we are in  $\text{Hybrid}_{4,0}$ , else if  $\mathbf{x} = x_1$  we are in  $\text{Hybrid}_{4,1}$ . This translates to the fact that  $\mathcal{B}$  wins the security game of PDRE with advantage  $|\text{Adv}_{\mathcal{A},4,0} - \text{Adv}_{\mathcal{A},4,1}|$  which, by the security of PDRE is negligible in  $\lambda$ .

## 4 Instantiations of Program Decomposable RE

We describe two different instantiations of PD-RE: one based on based on Kilian's RE for polynomial-size branching programs [Kil88, Bar86] and another based on Yao's garbled circuits technique [Yao86]. The former instantiation helps us identify a simple function family  $\mathcal{F}_{\text{simple}}$  such that collusion-resistant FE for  $\mathcal{F}_{\text{simple}}$  suffices for our transformation in Section 3.

**NC<sup>1</sup> randomized encodings.** We show how to instantiate program-decomposable RE used in our transformation in Section 3 with a variant of Kilian’s RE [Kil88]. Since Kilian’s RE is described for polynomial-size branching programs, we start by first briefly recalling the notion of branching programs.

*Kilian’s RE.* We work over the symmetric group  $S_5$ . A branching program with input length  $\ell_{\text{inp}}$  over  $S_5$  is represented by  $\text{BP} = (BP, \chi)$ , where  $BP = ((g_1^0, g_1^1), \dots, (g_{\ell_{\text{prg}}}^0, g_{\ell_{\text{prg}}}^1))$  and  $\chi : [\ell_{\text{prg}}] \rightarrow [\ell_{\text{inp}}]$ . Here,  $g_i^b \in S_5$ . The evaluation of BP on input  $x$  is  $\prod_{i=1}^{\ell_{\text{prg}}} g_i^{x_{\chi(i)}}$ .

A randomized encoding of  $(\text{BP}, x)$  is just  $\left\{ r_i g_i^{x_{\chi(i)}} r_{i+1}^{-1} \right\}_{i \in [\ell_{\text{prg}}]}$ , where  $r_1, r_{\ell_{\text{prg}}+1} = \mathbf{1}_{S_5}$  (the identity in  $S_5$  is denoted by  $\mathbf{1}_{S_5}$ ) and  $r_i, \forall i \in [2, \ell_{\text{prg}}]$ , is sampled at random from  $S_5$ . To evaluate, just compute the product of all the group elements in the encoding. That is, the evaluation is  $\prod_{i=1}^{\ell_{\text{prg}}} r_i g_i^{x_{\chi(i)}} r_{i+1}^{-1}$ . Note that this is same as evaluating BP on  $x$ .

*Program-decomposable RE.* We now describe how to derive a PD-RE scheme from Kilian’s RE. We refer to the resulting PD-RE scheme as  $\text{PDRE}_{\text{BP}}$ .

- **Decomp:** It takes as input a branching program  $\text{BP} = (BP, \chi)$ , where  $BP$  and  $\chi$  are as defined above. It then assigns the program component  $P_i = (i, g_i^0, g_i^1)$ . The final program is  $\mathbf{P} = \{P_i\}_{i \in [\ell_{\text{prg}}]}$ . The set systems are computed in the following manner: first, construct a string  $r$  of length  $\ell_R$ . This string comprises of blocks with  $\text{Block}_1 = (\perp, r_2)$ ,  $\text{Block}_{\ell_{\text{prg}}} = (r_{\ell_{\text{prg}}}, \perp)$  and  $\text{Block}_i = (r_i, r_{i+1})$  for  $i \in [2, \ell_{\text{prg}} - 1]$ . The set  $S_i$  is set to be all the positions in  $r$  corresponding to  $\text{Block}_i$ . The set system  $\mathcal{S}$  is set to  $\{S_i\}_{i \in [\ell_{\text{prg}}]}$ . Similarly, the set  $I_i$  is set to be  $\{\chi(i)\}$ . And the set system  $\mathcal{I}$  is just  $\{I_i\}_{i \in [\ell_{\text{prg}}]}$ . The final output is  $(\mathbf{P}, \mathcal{S}, \mathcal{I}, \ell_R)$ .
- **PrgEnc:** It takes as input  $(P_i = (i, g_i^0, g_i^1), x_{\chi(i)}; \mathbf{r}_i = r_{|S_i})$ . It then parses  $\mathbf{r}_i$  as  $(u, v)$ . There are three cases:
  - Case 1.  $i = 1$ : It computes  $\tilde{g} = g_i^{x_{\chi(i)}} \cdot v^{-1}$ . It outputs  $\widehat{P_i, x} = \tilde{g}$ .
  - Case 2.  $i = \ell_{\text{prg}}$ : It computes  $\tilde{g} = u \cdot g_i^{x_{\chi(i)}}$ . It outputs  $\widehat{P_i, x} = \tilde{g}$ .
  - Case 3.  $i \in [2, \ell_{\text{prg}} - 1]$ : It computes  $\tilde{g} = u \cdot g_i^{x_{\chi(i)}} \cdot v^{-1}$ . It outputs  $\widehat{P_i, x} = \tilde{g}$ .

This completes the description of PrgEnc.

- **Dec:** It takes as input  $(\widehat{P_i, x})_{i \in [\ell_{\text{prg}}]}$  and outputs  $\prod_{i=1}^{\ell_{\text{prg}}} \widehat{P_i, x}$ .

The output distribution of the program encoding algorithm is identical to the output distribution of Kilian’s RE.

We remark that the above PD-RE scheme can only be defined for NC<sup>1</sup> circuits since the existence of poly-sized branching programs for  $P/poly$  is not known.

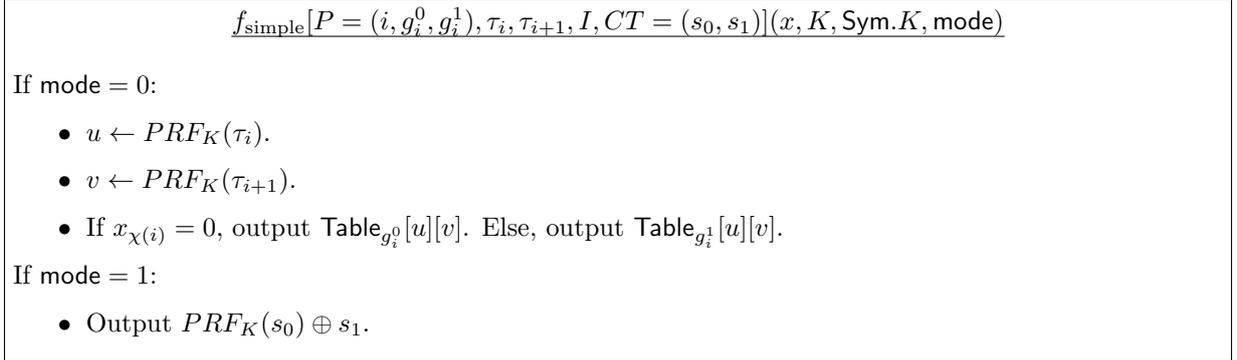
**Compact FE from Collusion-Resistant FE for “Simple” Functions.** We now describe a concrete function family  $\mathcal{F}_{\text{simple}}$  that suffices for our transformation in Section 3.

Let BP be a polynomial-size branching program. Let  $\text{BP} = (BP, \chi)$ , where  $BP = ((g_1^0, g_1^1), \dots, (g_{\ell_{\text{prg}}}^0, g_{\ell_{\text{prg}}}^1))$ . With respect to every element in the branching program BP, we define a  $120 \times 120$  table ( $\cdot : |S_5| = 120$ ).

*The Table function.* We now define a function Table as follows:

- Case 1.  $i = 1$ : For  $b \in \{0, 1\}$ , we have  $\text{Table}_{g_i^b}[u][v] = g_i^b \cdot v^{-1}$ .
- Case 2.  $i = \ell_{\text{prg}}$ : For  $b \in \{0, 1\}$ , we have  $\text{Table}_{g_i^b}[u][v] = u \cdot g_i^b$ .
- Case 3.  $i \in [2, \ell_{\text{prg}} - 1]$ : For  $b \in \{0, 1\}$ , we have  $\text{Table}_{g_i^b}[u][v] = u \cdot g_i^b \cdot v^{-1}$ .

*Function family  $\mathcal{F}_{\text{simple}}$ .* Given, the function `Table`, we can now replace the generic function family  $\mathcal{F}_{\text{CRFE}}$  (consisting of functions `Encode`) used in our transformation in Section 3 with a simple function family  $\mathcal{F}_{\text{simple}}$  consisting of functions  $f_{\text{simple}}$  described in Figure 3. We replace the generic encryption scheme used in `Encode` with the concrete symmetric encryption scheme defined in [Gol09], where an encryption of a message  $m$  is  $(s, \text{PRF}_K(s) \oplus m)$ .



**Figure 3**

By making the above modifications in our transformation, we obtain the following theorem:

**Theorem 4** *Let  $\mathcal{F}_{\text{simple}}$  be the function family described in Figure 3. A public-key FE scheme for  $\mathcal{F}_{\text{simple}}$  with selective-security against unbounded collusions implies a compact FE scheme for  $\text{NC}^1$  with selective-security.*

**Garbled circuits.** We show that Yao’s garbled circuits [Yao86] can be viewed as an instantiation of the Program Decomposable RE scheme we define in Section 3. Before we show this, we briefly recall the notion of garbled circuits. We first define an encoder that takes as input a circuit  $C$  and input  $x$ . In the following description, we assume that  $C$  has fan-in 2 and fan-out 1. The encoder then computes an encoding of  $C(x)$  as follows. First, two wire keys are associated to every wire denoting bits 0 and 1.

1. A table of ciphertexts is then created for every gate in the circuit. To be more precise, there is a PPT algorithm `GateGarb` that takes as input a description of gate  $G$ , keys  $(K_0^a, K_1^a)$  corresponding to its first input wire  $w_a$ , keys  $(K_0^b, K_1^b)$  corresponding to its first second wire  $w_b$  and keys  $(K_0^c, K_1^c)$  corresponding to its output wire  $w_c$  of  $G$ . It then outputs a table  $T_G$ . We denote the randomness taken by `GateGarb` to be  $r_G$ . It is important to note here that  $|r_G|$  depends only on  $\lambda$  (and not on the size of  $C$ ).
2. There is a deterministic procedure `ChooseInp` that chooses one of the input wire keys corresponding to the appropriate bit of  $x$ . That is, `ChooseInp` takes as input  $W_i$ , the description of  $i^{\text{th}}$  input wire of  $C$ , along with its associated keys  $(K_0^i, K_1^i)$  and it outputs the key  $K_{x_i}^i$ .

So the final garbled circuit is  $(\{T_G\}_{G \in \text{Gates}(C)}, \{K_{x_i}^i\}_{i \in [|x|]})$ , where  $\text{Gates}(C)$  is a set of all gates in  $C$ .

We now show to build an Program Decomposable RE scheme using garbled circuits.

- **Decomp( $C$ )**: It takes as input a circuit  $C$ . The program components are nothing but the gates in  $C$  and its input wires. That is,  $\mathbf{P} = \left\{ \{P_G = G\}_{G \in \text{Gates}(C)}, \{P_i\}_{i \in [\ell_{\text{inp}}]} \right\}$ , where  $P_i$  is the description of  $i^{\text{th}}$  input wire of  $C$  and  $\ell_{\text{inp}}$  is the input length of  $C$ . We now deal with computing the set systems. We first construct a string  $r$ . This string is made up of blocks, one for every gate and every input wire of  $C$ . A block corresponding to a gate  $G$ , denoted by  $\text{Block}_G$ , contains the wire keys of  $G$ 's input wires and its output wire. Furthermore,  $\text{Block}_G$  also contains  $r_G$  which is the randomness used by **GateGarb** to compute the table of ciphertexts corresponding to  $G$ . We note that two blocks corresponding to two different gates could contain same strings. For example, let the output wire of  $G$  be fed to the gate  $G'$  through the wire  $w$ . Then, both  $\text{Block}_G$  and  $\text{Block}_{G'}$  contain wire keys of  $w$ . A block corresponding to the  $i^{\text{th}}$  input wire key  $w_i$  of  $G$ , denoted by  $\text{Block}_i$ , contains the wire keys corresponding to  $w_i$ .

Let  $\ell_R = |r|$ . The set  $S_G \subseteq [\ell_R]$  comprises of all the positions in  $r$  corresponding to  $\text{Block}_G$ -substring of  $r$ . Further the set  $S_i$ , associated to the  $i^{\text{th}}$  input wire of  $C$ , comprises of all the positions in  $r$  corresponding to  $\text{Block}_i$ -substring of  $r$ . It then computes the set system  $\mathcal{S} = \left\{ \{S_G\}_{G \in \text{Gates}(C)}, \{S_i\}_{i \in [\ell_{\text{inp}}]} \right\}$ . On the other hand,  $I_i = \{i\}$  for all  $i \in [\ell_{\text{inp}}]$ . The set system  $\mathcal{I}$  is then set to be  $\{I_i\}_{i \in [\ell_{\text{inp}}]}$ .

Finally, it outputs  $\left( \{P_G\}_{G \in \text{Gates}(C)}, \mathcal{S}, \mathcal{I}, \ell_R \right)$ .

- **PrgEnc( $P_i, x_i; r_i = r|_{S_i}$ )**: It takes as input program component  $P_i$ , input bit  $x_i$  and randomness  $r_i$ . If  $P_i$  is a gate, it does the following. It parses  $r_i$  as a sequence of wire keys, denoted by  $\mathbf{K}$ , and string  $r_G$ . It then executes **GateGarb( $P_i, \mathbf{K}; r_G$ )** and the resulting  $T_G$  is output. Else if  $P_i$  is an input wire key, it executes **ChooseInp( $P_i, r_i$ )** to obtain  $K_{x_i}^i$  which is then output.
- **Dec( $\{T_G\}_{G \in \text{Gates}(C)}, \{K_{x_i}^i\}_{i \in [\ell_{\text{inp}}]}$ )**: It takes as input table of ciphertexts w.r.t to every gate  $G$  in the circuit and input wire keys  $K_{x_i}^i$ . It then executes the garbled circuit decoding procedure to recover the output  $y$ .

## 5 Implications to $i\text{O}$

Here we state the implications of our main result towards achieving general-purpose  $i\text{O}$ .

**$i\text{O}$  from Collusion-Resistant FE.** We first recall the main result of [AJ15, BV15a]:

**Theorem 5** ([AJ15, BV15a]) *Public-key compact FE for  $\text{NC}^1$  with sub-exponential security in the selective model for a single key query implies  $i\text{O}$  for  $P/\text{Poly}$ .*

Combining Theorem 5 with our transformation from Section 3, we obtain the following:

**Theorem 6** *Public-key FE for  $\text{NC}^1$  with sub-exponential security in the selective model against unbounded collusions implies  $i\text{O}$  for  $P/\text{Poly}$ .*

Combining Theorem 4 in Section 4 with Theorem 5, we obtain:

**Theorem 7** *Public-key FE for  $\mathcal{F}_{\text{simple}}$  (see Figure 3) with sub-exponential security in the selective model against unbounded collusions implies  $i\text{O}$  for  $P/\text{Poly}$ .*

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## A Bootstrapping Theorem for iO

In this section, we prove a bootstrapping theorem for iO. Concretely, we show how to construct FE for  $\mathcal{F}_{\text{simple}}$  from iO for function family  $\mathcal{F}$ . This, when combined with our main result yields iO for arbitrary circuits.

Prior works [GGH<sup>+</sup>13b, Wat14] present constructions of FE based on iO and additional well studied cryptographic assumptions. Of particular interest is the work of [Wat14] who show how to build FE based on iO and one-way functions. Using this work, we get a bootstrapping theorem for iO: from [Wat14], we have FE for  $\mathcal{F}_{\text{simple}}$  from iO for  $\mathcal{F}$  (where  $\mathcal{F}$  is described next) and then using the result on iO for P/poly from FE for  $\mathcal{F}_{\text{simple}}$ , we achieve iO for P/poly from iO for  $\mathcal{F}$ .

We describe the function family  $\mathcal{F}$  in Figure 4 that is sufficient to obtain FE for  $\mathcal{F}_{\text{simple}}$  via [Wat14]. In [Wat14], there are different functions that are obfuscated as part of the public key and the functional key whose description also change in the hybrids. We take all this into account while designing the template for all functions in  $\mathcal{F}_{\text{simple}}$ . Furthermore, in the description of the obfuscated program as part of the functional key for  $f$ , we substitute  $f$  with the concrete functions in  $\mathcal{F}_{\text{simple}}$ .

We formally state the bootstrapping theorem below.

**Theorem 8** *For any circuit class  $\mathcal{C} = \{C : \{0, 1\}^n \rightarrow \{0, 1\}\}$ , there exists an iO scheme for  $\mathcal{C}$  assuming the existence of sub exponentially secure iO for  $\mathcal{F}$  and sub exponentially secure one way functions. Further,  $|f| = \text{poly}(\lambda, n)$ , for every  $f \in \mathcal{F}$ .*

$$f \in \mathcal{F}$$

Hardwired value:  $v$ , (puncturable) PRF key  $K$ .

1. Execute one of the following steps:

- $\perp$
- PRG evaluation
- Simple IF-ELSE check based on  $v$  and the input.

2. Puncturable PRF evaluation.

3. Execute one of the following steps:

- $\perp$
- Two levels of successive PRF evaluations, followed by constant operations.

*Remark: In Waters [Wat14], the last step of the program in the functional key for  $f$ , is the evaluation of  $f$  on the decryption of a ciphertext. The decryption ciphertext is one level of PRF evaluation. Also,  $f \in \mathcal{F}_{\text{simple}}$  also comprises of PRF evaluations followed by constant operations. This is the second level of PRF evaluations.*

**Figure 4** Every function in the function family  $\mathcal{F}$  has the above template.  $\perp$  indicates that this particular step is empty.

A consequence of the above theorem is that for every (sufficiently large) input length  $n \in \mathbb{N}$ , in order to obfuscate  $C \in P/\text{poly}$  it suffices to obfuscate a class of functions whose size is a *fixed* polynomial in the security parameter for  $n$ . And in particular, only depends on the input length of circuit  $C$ .