

## Foreword

Subsequent to the publication of our paper “Deniable Encryption with Negligible Detection Probability: An Interactive Construction” in *EUROCRYPT 2011*, Chris Peikert and Brent Waters [16] found an attack on our system that detects with non-negligible probability whether the sender is faking her message and randomness. This attack contradicts our assertion in Theorem 4.3, and we have concluded that the theorem is in fact not true. It thus remains an open question to construct a deniable encryption scheme with this property.

In this Foreword we describe the attack of Peikert and Waters as well as the error in the proof of Theorem 4.3. The remainder of this document contains the April 1, 2011 version of our paper, which describes our construction and includes the erroneous theorem and proof.

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## The Attack

We now describe the attack found by Peikert and Waters and give their analysis. The adversary is given a bit  $b$ , sender randomness  $r_S$ , and a transcript  $tr$  (whose components are described on page 10) from a single execution of the protocol  $\pi_{\mathcal{E}}$ . The adversary works as follows:

1. Choose a random pair  $(u_i, v_i)$  sent in Step 4 with  $i$  not equal to the index sent in Step 5.
2. If one of  $(u_i, v_i)$  is in  $A$ , output 1; otherwise output 0.

**Theorem.** *Let  $\mathcal{A}$  be the adversary described above, and let  $b, r_S, \tilde{r}_S, tr, \tilde{tr}$  be as in (2.3). Then*

$$\left| \Pr [\mathcal{A}(b, r_S, tr) = 1] - \Pr [\mathcal{A}(b, \tilde{r}_S, \tilde{tr}) = 1] \right| \geq \frac{1}{\Theta(n)}.$$

**Proof.** We condition on the event that Sarah does not abort in Step (5a), i.e., that some pair  $(u', v')$  sent by Ronald contains one index in  $A$  and one index in  $C$ . Conditioning in this way allows us to define an alternative method of selecting pairs: first, select a random  $A$ – $C$  pair  $(u', v')$  with opposite plaintexts (recall that we are conditioning on the existence of a suitable element in  $C$ ). Then throw out  $u'$  and  $v'$  and continue selecting pairs of indices corresponding to opposite plaintexts (without replacement). In fact, we only need to consider the very next selected pair  $(u, v)$  (after throwing out  $u', v'$ ), because without loss of generality this is the one our distinguisher will use for its test. We now consider the two cases; without loss of generality we may assume the encrypted bit is 1.

**Case 1: Real encryption of 1 with real opening.** We calculate the probability that  $\mathcal{A}$  outputs 1. First throw out  $(u', v')$ , which has one element of  $A$  (corresponding to a ciphertext encrypting 1) and one element of  $C$  (corresponding to a ciphertext encrypting 0). Now we have  $|A| = |B| = n$ , and the number of encryptions of 1 with indices in  $C$  has distribution (exponentially close to) the binomial distribution  $\text{Binom}(2n, 1/2)$ . (It is exponentially close because we are conditioning on the event that  $C$  contains a plaintext 0, which biases the number of plaintext 1’s downward by an exponentially small amount.) The next pair of indices we select must correspond to a one plaintext 1 and one plaintext 0. The index with plaintext 1 will be selected uniformly from the union of  $A$  and whatever elements of  $C$  have plaintext 1. So, the probability of the distinguisher outputting 1 in this case is exactly the probability that the selected element comes from  $A$  rather than  $C$ . This probability is  $n/(n + X)$ , where  $X$  itself has distribution  $\text{Binom}(2n, 1/2)$ .

**Case 2: Real encryption of 0 with fake opening as 1.** In this case, the revealed set  $\tilde{A}$  is actually the union of the original  $B$  and  $z$ , where  $z$  comes from the “special” pair  $(u', v')$ , so  $z$  will never be  $u$  or  $v$ . Therefore we care about the probability that  $u$  or  $v$  is in the original  $B$ . First throw out  $(u', v')$  from the original  $A$  (which has plaintext all 0) and  $C$  (so we throw out a 1 here). We again have  $|A| = |B| = n$ , but now the number of plaintext 1s remaining in  $C$  has distribution exponentially close to  $\text{Binom}(2n, 1/2) - 1$ . The next pair we select must have exactly one plaintext 1, which must come the union of  $B$  and whatever elements of  $C$  have plaintext 1. The probability that it comes from  $B$  is  $n/(n + X - 1)$ , where again  $X$  has distribution  $\text{Binom}(2n, 1/2)$ . So compared to the above, it is more likely that we select from  $B$  than that we select from  $A$  in the previous case, with a gap of  $1/\Theta(n)$  in the probabilities.  $\square$

One final observation is that when the protocol  $\pi_{\mathcal{E}}$  is run in parallel to amplify correctness, the distinguishing advantage grows as well. For example, suppose that the probabilities that  $\mathcal{A}$  outputs 1 in the above experiment are  $p$  and  $p + \delta = p + 1/\Theta(n)$ . Then given  $n$  independent transcripts, we test whether the distinguisher accepts on fewer than  $n \cdot (p + \delta/2)$  of the individual transcripts. By a Chernoff bound, this test will identify the case correctly with high probability.

### Error in the Proof of Theorem 4.3

The error in the proof of Theorem 4.3 stems from the fact that the analysis of the  $\text{Game}_4 \rightarrow \text{Game}_5$  transition does not take into account all of the information available to the adversary. Specifically, if the output distributions of the two games are identical, then the permutation  $\sigma$  must take each pair  $(u_i, v_i)$  to itself, as Ronald sends the same pairs in each game. Note that the sets  $I$  and  $\{y, z\}$  are revealed to the adversary as part of the transcript. It follows that the distribution of plaintexts corresponding to indices in  $A \cap I \setminus \{y\}$  in  $\text{Game}_4$  must be identical to the corresponding distribution for  $\tilde{A} \cap I \setminus \{z\}$  in  $\text{Game}_5$ . However, the analysis above shows that in  $\text{Game}_4$  the probability that a randomly selected plaintext  $b$  in  $I \setminus \{y, z\}$  comes from  $A$  is exponentially close to  $n/(n + X)$  (where  $X$  is sampled from a binomial distribution), while in  $\text{Game}_5$  the probability that such a plaintext comes from  $\tilde{A}$  is exponentially close to  $n/(n + X - 1)$ . Thus the two distributions are not identical as claimed.

# Deniable Encryption with Negligible Detection Probability: An Interactive Construction

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## Abstract

*Deniable encryption*, introduced in 1997 by Canetti, Dwork, Naor, and Ostrovsky, guarantees that the sender or the receiver of a secret message is able to “fake” the message encrypted in a specific ciphertext in the presence of a coercing adversary, without the adversary detecting that he was not given the real message. To date, constructions are only known either for weakened variants with separate “honest” and “dishonest” encryption algorithms, or for single-algorithm schemes with non-negligible detection probability.

We propose the first sender-deniable public key encryption system with a single encryption algorithm and negligible detection probability. We describe a generic interactive construction based on a public key bit encryption scheme that has certain properties, and we give two examples of encryption schemes with these properties, one based on the quadratic residuosity assumption and the other on trapdoor permutations.

**Keywords.** Deniable encryption, electronic voting, multi-party computation.

## 1 Introduction

One of the central goals of cryptography is protecting the secrecy of a transmitted message. The secrecy property of an encryption scheme is usually formalized as semantic security [13], which guarantees that an adversary cannot gain even partial information about an encrypted message.

The notion of semantic security has proven to be very useful in a large number of applications. However, there are some scenarios where semantic security is not sufficient. For example, semantic security does not ensure message secrecy if the adversary can coerce the sender or the receiver of a message to reveal the secret keys and/or the randomness that was used to form an encryption. Specifically, semantic security does not prevent an encryption scheme from being committing, in the sense that if an adversary sees a ciphertext and then tries to coerce the sender to reveal all of the input to the encryption (i.e., both the message and the randomness), any inputs that the sender can reveal that are consistent with the ciphertext must reveal the true message encrypted. In fact, many encryption schemes have only one set of possible inputs per ciphertext.

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This committing property of encryption can be problematic in applications such as electronic voting [19] or keeping information secret when facing a coercer using physical force, or in the case of secure multi-party computation in the presence of an adaptive adversary [5].

*Deniable encryption*, introduced by Canetti, Dwork, Naor, and Ostrovsky in 1997 [4], possesses a stronger security property than semantic security that avoids these shortcomings. Informally, a (possibly interactive) public key encryption scheme is *sender-deniable* if, given an encryption  $c = \text{Enc}_{\text{pk}}(m_1; r_1)$  of a message  $m_1$  with randomness  $r_1$ , for every message  $m_2$  the sender can compute alternative randomness  $r_2$  such that  $(\text{Enc}_{\text{pk}}(m_1; r_1), r_1)$  and  $(\text{Enc}_{\text{pk}}(m_2; r_2), r_2)$  are computationally indistinguishable. Thus when coerced, the sender can reveal either the “real” randomness  $r_1$  or the “fake” randomness  $r_2$ , and the adversary cannot tell whether  $m_1$  or  $m_2$  was really encrypted in the ciphertext  $c$ . A similar property defines *receiver-deniability*, and a system with both properties is *sender-and-receiver-deniable* or *bi-deniable*.

Canetti et al. also considered a weaker form of deniability, called *flexible deniability*. In a flexibly deniable system, the sender has two different encryption algorithms, *honest* and *dishonest* encryption. At the time of encryption the sender chooses either honest or dishonest encryption. When choosing honest encryption he is unable to fake later when coerced, but when choosing dishonest encryption he can create randomness such that the distribution matches randomness for *honest* encryption. What makes this notion weak for practical purposes is that if the adversary believes that the sender used the dishonest encryption, then the adversary can coerce the sender to reveal randomness for the dishonest encryption, and the sender loses his ability to fake. The system’s security relies on the fact that the adversary really believes that the sender used the honest encryption.

Canetti et al. constructed a non-interactive sender-deniable encryption scheme that transmits bits, based on a primitive called *translucent sets* that can be realized under trapdoor permutations and other standard assumptions. The system is semantically secure, but it has only  $1/O(n)$ -deniability. That is, an adversary can detect whether the user is “faking” messages and randomness with probability  $1/O(n)$ , where  $n$  is the system’s security parameter. Canetti et al. left open the problem of constructing an encryption scheme with negligible deniability. This problem has remained open for more than 13 years.

**Our contribution.** We give the first public key encryption scheme that satisfies the definition of sender-deniability in [4] with a single encryption algorithm and negligible probability of detection. We describe a generic interactive construction based on a public key bit encryption scheme that has certain properties, and we give two examples of encryption schemes with these properties, one based on the quadratic residuosity assumption and the other on trapdoor permutations.

## 1.1 Overview of our Construction

The basic idea of our construction is the following. We use a public key bit encryption scheme that admits an “oblivious ciphertext generation” algorithm, which allows a public key holder to sample a ciphertext that is distributed as an encryption of a random bit. To encrypt a bit  $b \in \{0, 1\}$ , we first obtain  $4n + 1$  public keys for the underlying encryption scheme. We construct  $n + 1$  encryptions of  $b$ , construct  $n$  encryptions of  $1 - b$ , and sample  $2n$  ciphertexts obliviously, each under a different public key, and then permute the output randomly. Decrypting all ciphertexts individually and taking the majority recovers the original message with noticeable probability. Repeating the protocol multiple times in parallel reduces the decryption error.

To fake the sender’s input, we claim that a constructed ciphertext encrypting  $b$  was sampled obliviously. This trick has been used before, but it usually gives an adversary a non-negligible probability of detecting the faking (e.g., inverse linear in the ciphertext length as in [4]). What is unique in our construction is that we

additionally construct “real-looking” randomness for a *sampled* ciphertext encrypting  $1 - b$ , so we obtain a distribution which is computationally indistinguishable with *negligible* advantage for any efficient adversary.

In order to compute fake randomness for a sampled ciphertext, we need two ingredients. First, the encryption scheme needs to have the property that given a ciphertext, the secret key holder can compute randomness that is indistinguishable from the randomness used to compute the ciphertext as a real encryption. Second, the sender must know which sampled ciphertext she should use in her faking and she must obtain a secret key for that ciphertext. (After all, she doesn’t know which sampled ciphertexts encrypt  $b$  and which encrypt  $1 - b$ .) On the other hand, the receiver doesn’t know which ciphertexts were sampled and which were constructed. Our basic idea for giving the sender the necessary information is to have the receiver send back pairs of indices for ciphertexts that decrypt to opposite plaintexts, so that with high probability one of the pairs corresponds to a constructed encryption of  $b$  and a sampled encryption of  $1 - b$ . The sender then indicates one such pair and obtains from the receiver the secret keys for both elements of that pair. Since the two ciphertexts encrypt opposite messages, these revealed keys do not compromise the secrecy of the system.

Once in possession of the correct secret key, the sender can fake randomness. Deniability ultimately rests on the semantic security of the underlying encryption scheme, as our manipulation changes the distribution on the set of sampled ciphertexts whose indices were *not* sent back to the sender in the above process.

To instantiate our system, we observe that two well known encryption schemes have the properties necessary for our construction: the Goldwasser-Micali bit encryption scheme based on the quadratic residuosity assumption [13], and a simple bit encryption scheme constructed from a trapdoor permutation using a hard-core predicate.

## 1.2 Related work

In addition to their sender-deniable scheme with  $1/O(n)$ -deniability, Canetti et al. [4] also constructed a flexible (i.e., two-algorithm) sender-deniable encryption scheme with negligible deniability. More recently, O’Neill, Peikert, and Waters [15] announced a flexible *bi*-deniable encryption scheme with negligible deniability based on lattice assumptions. We view this latter work as orthogonal to our own: it is non-interactive and achieves deniability for both sender and receiver simultaneously, but the construction uses in an essential way the fact that there are different honest and dishonest encryption algorithms.

A related concept originating from adaptive security of multi-party computation is *non-committing encryption* [5, 7, 9, 2]. Informally, a public key bit encryption scheme is non-committing if a simulator can efficiently sample a distribution of ciphertexts  $c$  and two sets of randomness  $r_0, r_1$  such that the ciphertext  $c$  along with  $r_b$  is indistinguishable from a legitimate encryption of  $b$  along with the true randomness. The main difference between non-committing encryption and deniable encryption is while the *simulator* can generate ciphertexts and randomness corresponding to either message, a *user* cannot, in general, compute randomness that reveals a different message than was actually encrypted. While deniable encryption implies non-committing encryption, the converse does not hold; in particular, the non-committing encryption scheme in [5] is not deniable.

Another concept originating from the same line of research is security against *selective opening attacks* [10, 3]. Informally, an encryption scheme has SOA security if an adversary can force  $t$  out of  $n$  senders to reveal their plaintexts and their random coins and still learn nothing about the remaining  $n - t$  plaintexts. A sender-deniable encryption scheme automatically provides SOA security.

A different concept is *plausible deniability*. This term usually describes engineering techniques that allow one to deny the existence of encrypted data or knowledge of the secret key. A well known example is the TrueCrypt file system encryption [21], where one can add secret containers inside an encrypted volume such

that one can deny their existence. Another example [6] uses steganographic measures to hide encrypted data in cover text. These techniques come without formal proof or even definition, and attacks exist that can reveal the presence and content of encrypted data [8]. In addition, this form of deniability usually is not suitable for online applications such as electronic voting.

### 1.3 Outline

In Section 2 we give the formal definition of a deniable encryption scheme. In Section 3 we describe the building block for our deniable protocol, which we call a *samplable* public key bit encryption scheme. In Section 4 we construct an interactive encryption scheme from a samplable public key bit encryption scheme. We prove our scheme is secure and deniable under the assumption that the underlying scheme is samplable and semantically secure. In Section 5 we describe two samplable public key bit encryption schemes, one based on the quadratic residuosity assumption and one based on trapdoor permutations. Finally, in Section 6 we discuss some open questions related to our work.

## 2 Deniable Encryption

We begin by fixing some notation. If  $X$  is a set and  $\Delta$  is a distribution on  $X$ , we use  $x \leftarrow \Delta$  to denote an element of  $X$  sampled according to the distribution  $\Delta$ , and we use  $x \leftarrow y$  to denote assignment of the value  $y$  to  $x$ . If  $X$  is finite we use  $x \stackrel{R}{\leftarrow} X$  to denote an element sampled uniformly at random from  $X$ . For a two-party protocol  $\pi$  between  $S$  and  $R$  we write

$$(o_S, o_R, tr) \leftarrow \pi((i_S; r_S), (i_R; r_R))$$

for the execution of  $\pi$  with input  $i_S, i_R$  and randomness  $r_S, r_R$  from  $S$  and  $R$ , respectively, producing output  $o_S, o_R$  for the respective parties, and a public transcript  $tr$ .

A function  $\mu: \mathbb{N} \rightarrow \mathbb{R}$  is said to be *negligible* iff for all  $c \in \mathbb{N}$  we have that  $|\mu(n)| \leq \frac{1}{n^c}$  for sufficiently large  $n$ . If this is the case, we write  $\mu(n) = \text{negl}(n)$ . A probability  $p$  is said to be *overwhelming* if  $p = 1 - \text{negl}(n)$ .

Two sequences of random variables  $(X_n)_{n \in \mathbb{N}}, (Y_n)_{n \in \mathbb{N}}$  are  $\mu(n)$ -*computationally indistinguishable*, and denoted by  $(X_n) \approx^{\mu(n)} (Y_n)$ , if for all polynomial-time adversaries  $\mathcal{A}$  we have  $|\Pr[\mathcal{A}(x) = 1; x \leftarrow X_n] - \Pr[\mathcal{A}(x) = 1; x \leftarrow Y_n]| \leq \mu(n)$  for sufficiently large  $n$ . We say  $(X_n)$  and  $(Y_n)$  are *statistically indistinguishable*, denoted  $(X_n) \approx (Y_n)$ , if the same holds for *all* adversaries  $\mathcal{A}$ , regardless of running time.

Our definition of deniable encryption is a slight rephrasing of the definition of Canetti et al. [4].

**Definition 2.1.** Let  $n \in \mathbb{N}$  be a security parameter. An efficiently computable protocol<sup>1</sup>  $\pi$  between two parties  $S$  and  $R$  (*sender* and *receiver*, respectively) is called a  $\mu(n)$ -*sender-deniable public key bit encryption scheme* if the following three conditions are satisfied:

*Correctness:* We say  $\pi$  is *correct* if for all messages  $b \in \{0, 1\}$  we have

$$\Pr \left[ b' \neq b; r_S, r_R \stackrel{R}{\leftarrow} \{0, 1\}^*, (\cdot, b', \cdot) \leftarrow \pi((b; r_S), (n; r_R)) \right] \leq \nu(n)$$

for some negligible function  $\nu: \mathbb{N} \rightarrow \mathbb{R}$ .

<sup>1</sup>I.e., all computations run in (expected) time polynomial in  $n$  and the number of rounds is polynomial in  $n$ .

*Passive secrecy:* The two random variables  $tr_0, tr_1$  defined by

$$\begin{aligned} r_S, r_R &\stackrel{R}{\leftarrow} \{0, 1\}^*, & (\cdot, \cdot, tr_0) &\leftarrow \pi((0; r_S), (n; r_R)), \\ & & (\cdot, \cdot, tr_1) &\leftarrow \pi((1; r_S), (n; r_R)), \end{aligned} \quad (2.1)$$

are  $\nu(n)$ -computationally indistinguishable for some negligible  $\nu(n)$ .

*Deniability:* There is an efficient *faking algorithm* Fake that takes input a message  $b \in \{0, 1\}$ , sender randomness  $r_S \in \{0, 1\}^*$ , and a transcript of the protocol  $\pi$ , such that for any  $b \in \{0, 1\}$  and

$$\begin{aligned} r_S, r_R &\stackrel{R}{\leftarrow} \{0, 1\}^*, & (\cdot, \cdot, \tilde{tr}) &\leftarrow \pi((1 - b; r_S), (n; r_R)), \\ \tilde{r}_S &\leftarrow \text{Fake}(b, r_S, \tilde{tr}), & (\cdot, \cdot, tr) &\leftarrow \pi((b; r_S), (n; r_R)), \end{aligned} \quad (2.2)$$

the following two distributions are  $\mu(n)$ -computationally indistinguishable:

$$(b, r_S, tr) \approx^{\mu(n)} (b, \tilde{r}_S, \tilde{tr}). \quad (2.3)$$

The distribution on the left is produced by a real encryption of  $b$  with randomness  $r_S$ . The distribution on the right is produced when we actually encrypt  $1 - b$  with randomness  $r_S$ , but tell the adversary that we encrypted  $b$  with randomness  $\tilde{r}_S$ .

We call  $\pi$  a *sender-deniable public key bit-encryption scheme* if it is  $\mu(n)$ -sender-deniable for a negligible  $\mu(n)$ . We can define a *receiver-deniable* encryption scheme analogously by having Fake produce fake receiver randomness  $\tilde{r}_R$ . A *sender-and-receiver-deniable* or *bi-deniable* scheme has both properties, with a faking algorithm for each party.

A straightforward reduction shows that deniability (with negligible  $\mu(n)$ ) implies passive secrecy.

**Lemma 2.2.** *A two-party protocol  $\pi$  that has the deniability property of Definition 2.1 for a negligible  $\mu(n)$  also has the passive secrecy property.*

**Proof.** Assume there exists an efficient adversary  $\mathcal{A}$  that can distinguish transcripts of  $\pi$  corresponding to messages 0 and 1 with non-negligible probability. Now if we are given a challenge  $(m, r_S, tr)$  for deniability, we can use  $\mathcal{A}$  to decide if  $tr$  is an encryption of  $b$  or  $1 - b$ , and thus can win the deniability game with the same probability and the same running time. This contradicts the hypothesis that  $\pi$  has the deniability property for negligible  $\mu(n)$ .  $\square$

### 3 Samplable Public Key Encryption

As a building block for our deniable encryption scheme, we use a public key bit encryption scheme with two special properties: first, anyone can “obliviously” generate a ciphertext that encrypts a random bit; and second, there are algorithms that recover the randomness used in both real encryption and oblivious ciphertext generation. We do not require this recovered randomness to be exactly that used to generate the ciphertext, as this may be impossible to compute, but rather that it be indistinguishable from the real randomness. In this section we formalize this idea.

Let  $\mathcal{E} = (\text{KeyGen}, \text{Enc}, \text{Dec})$  be a public key encryption system encrypting messages in  $\{0, 1\}$ . We write the encryption algorithm as

$$\text{Enc}: \mathcal{PK} \times \{0, 1\} \times \mathcal{R} \rightarrow \mathcal{C}$$

and the decryption algorithm as

$$\text{Dec}: \mathcal{SK} \times \mathcal{C} \rightarrow \{0, 1\},$$

where  $\mathcal{PK}$ ,  $\mathcal{SK}$ ,  $\mathcal{R}$ , and  $\mathcal{C}$  are the spaces of public keys, secret keys, sender randomness, and ciphertexts, respectively. The key spaces  $\mathcal{PK}$  and  $\mathcal{SK}$  depend on the security parameter  $n$ , and the spaces  $\mathcal{R}$  and  $\mathcal{C}$  also depend on the public key  $\text{pk}$  used in the encryption; for the sake of readability we omit these dependencies from the notation. We also assume that every secret key  $\text{sk}$  includes a description of a corresponding public key  $\text{pk}$ .

We denote the encryption of a bit  $b$  under public key  $\text{pk}$  with randomness  $r$  by  $\text{Enc}_{\text{pk}}(b; r)$ , and the system's security parameter (input to  $\text{KeyGen}$ ) by  $n$ . We let  $\Delta_{\mathcal{R}}$  denote the distribution on  $\mathcal{R}$  that the encryption algorithm samples. We require the usual correctness condition: for all  $\text{pk} \in \mathcal{PK}$ , all  $\text{sk} \in \mathcal{SK}$ , and  $b \in \{0, 1\}$ , we have  $\text{Dec}_{\text{sk}}(\text{Enc}_{\text{pk}}(b; r)) = b$  with overwhelming probability over  $r \leftarrow \Delta_{\mathcal{R}}$ .

We now define samplable public key encryption. Our definition incorporates two concepts introduced by Damgård and Nielsen [9]: a samplable encryption scheme is one that has “oblivious ciphertext generation” (property (1) below) and for which both the real encryption algorithm and the oblivious ciphertext generation algorithm have “invertible sampling” (properties (2) and (3) below).

**Definition 3.1.** We say that a public key bit encryption scheme  $\mathcal{E}$  is *samplable* if the following conditions hold for all  $\text{pk}, \text{sk}$  output by  $\text{KeyGen}$ :

1. There is an efficient algorithm  $\text{RandomCT}$  that takes as input a public key  $\text{pk}$  and a random element  $s$  chosen from some set  $\mathcal{S}$ , and outputs a ciphertext  $c \in \mathcal{C}$ . Let  $\Delta_{\mathcal{S}}$  be the distribution on  $\mathcal{S}$  sampled by  $\text{RandomCT}$ . We require that for all public keys  $\text{pk}$ , the two distributions on the ciphertext space  $\mathcal{C}$  given by

$$\left( \text{Enc}_{\text{pk}}(b; r) : b \stackrel{\text{R}}{\leftarrow} \{0, 1\}, r \leftarrow \Delta_{\mathcal{R}} \right) \quad \text{and} \quad \left( \text{RandomCT}_{\text{pk}}(s) : s \leftarrow \Delta_{\mathcal{S}} \right) \quad (3.1)$$

are statistically indistinguishable.

2. There is an efficient algorithm  $\text{SampleEncRand}$  that takes as input a secret key and a ciphertext and outputs a value in  $\mathcal{R}$ , such that for all public/secret key pairs  $(\text{pk}, \text{sk})$ , if we choose

$$\begin{aligned} b &\stackrel{\text{R}}{\leftarrow} \{0, 1\}, & r &\leftarrow \Delta_{\mathcal{R}}, \\ c &\leftarrow \text{Enc}_{\text{pk}}(b; r), & \tilde{r} &\leftarrow \text{SampleEncRand}(\text{sk}, c), \end{aligned}$$

then the following two distributions are statistically indistinguishable:

$$(\text{sk}, c, r) \approx (\text{sk}, c, \tilde{r}). \quad (3.2)$$

3. There is an efficient algorithm  $\text{SampleCTRand}$  that takes as input a public key and a ciphertext and outputs a value in  $\mathcal{S}$ , such that for all public/secret key pairs  $(\text{pk}, \text{sk})$ , if we choose

$$s \leftarrow \Delta_{\mathcal{S}}, \quad c \leftarrow \text{RandomCT}_{\text{pk}}(s), \quad \tilde{s} \leftarrow \text{SampleCTRand}(\text{pk}, c),$$

then the following two distributions are statistically indistinguishable:

$$(\text{sk}, c, s) \approx (\text{sk}, c, \tilde{s}). \quad (3.3)$$



We note that the second condition implies that for all but a negligible fraction of  $c \in \mathcal{C}$ , we have

$$\text{Enc}_{\text{pk}}(\text{Dec}_{\text{sk}}(c); \text{SampleEncRand}(\text{sk}, c)) = c.$$

We also note that while it does not violate Definition 3.1 to instantiate the RandomCT algorithm as encryption of a random bit, this instantiation is not possible if  $\mathcal{E}$  is semantically secure, since in this case the SampleCTRand algorithm would recover the random bit using only the public key.

We present two examples of a samplable encryption scheme in Section 5.

## 4 A Deniable Encryption Protocol

We are now ready to construct a sender-deniable encryption scheme from any samplable public key bit-encryption scheme. Let  $n \in \mathbb{N}$  be a security parameter. At a high level, our protocol consists of the following exchange, iterated  $n$  times to ensure correctness of decryption. Since the “sender” and “receiver” are both sending and receiving messages, for clarity we describe our protocol in terms of two players Sarah and Ronald. Sarah has a bit  $b$  that she wishes to transmit to Ronald in a deniable manner.

To encrypt the bit  $b$ , first Ronald sends  $4n + 1$  freshly generated public keys  $\text{pk}_i$  to Sarah. Sarah partitions the indices  $\{0, \dots, 4n\}$  into three random disjoint subsets and computes  $4n + 1$  ciphertexts as follows:

- Choose a set  $A$  containing  $n + 1$  indices and encrypt  $b$  under the key  $\text{pk}_i$  for  $i \in A$ .
- Choose a set  $B$  disjoint from  $A$  containing  $n$  indices and encrypt  $1 - b$  under the key  $\text{pk}_i$  for  $i \in B$ .
- Let  $C$  denote the remaining  $2n$  indices, and use the RandomCT algorithm to sample ciphertexts  $c_i$  from  $\mathcal{C}$  for  $i \in C$ . Definition 3.1 guarantees that these ciphertexts are distributed as real encryptions of random bits, so approximately half decrypt to  $b$ .

Ronald computes a bit  $b'$  by decrypting all of the received ciphertexts and taking the majority of the plaintexts. We will show that the probability that  $b' = b$  is at least  $1/2 + 1/(5\sqrt{n})$ ; thus repeating the protocol independently  $n$  times ensures that Ronald can compute  $b$  correctly with overwhelming probability.<sup>2</sup>

When coerced to reveal her randomness, Sarah will reveal sets  $\tilde{A}, \tilde{B}, \tilde{C}$  in which a real encryption of  $b$  from the set  $A$  is exchanged with a sampled encryption of  $1 - b$  from the set  $C$ . She must also reveal appropriately distributed randomness for these two ciphertexts. However, to do this she needs Ronald’s help; in particular, she needs a secret key for an index  $i \in C$  such that  $c_i$  encrypts  $1 - b$ . Since Ronald cannot tell which encryptions were sampled randomly, he sends back  $n/2$  random pairs of indices  $(u_i, v_i)$  such that  $c_{u_i}$  and  $c_{v_i}$  decrypt to different messages. Almost certainly, he will choose at least one pair  $(u_j, v_j)$  such that  $u_j \in A$  corresponds to an encryption of  $b$  and  $v_j \in C$  corresponds to an encryption of  $1 - b$ , or vice versa. Sarah indicates such a pair, and Ronald sends the corresponding secret keys  $\text{sk}_{u_j}, \text{sk}_{v_j}$ .

Knowing the secret key for a sampled ciphertext enables Sarah to use the SampleEncRand algorithm to generate fake randomness that, when input to the Enc algorithm, produces the given encryption of  $1 - b$  in the set  $C$ . Finally, Sarah can use the SampleCTRand algorithm to generate fake randomness which, when input to the RandomCT algorithm, produces one of the real encryptions of  $b$  in the set  $A$ .

We now formally describe our scheme.

### 4.1 The Protocol

Let  $\mathcal{E} = (\text{KeyGen}, \text{Enc}, \text{Dec}, \text{SampleRand})$  be a samplable public key bit encryption scheme. Define a protocol  $\pi_{\mathcal{E}}$  between a sender Sarah and a receiver Ronald as follows:

<sup>2</sup>More precisely, we can obtain correctness with overwhelming probability by repeating the protocol  $f(n)$  times for any  $\omega(\sqrt{n \log n})$  function  $f$ ; we use  $f(n) = n$  for simplicity.

1. Ronald's input is a security parameter  $n \in \mathbb{N}$ ; we assume for simplicity that  $n$  is even.
  - (a) Choose key pairs  $(pk_i, sk_i) \leftarrow \text{KeyGen}(1^n)$  for  $i = 0, \dots, 4n$ .
  - (b) Send  $(pk_0, \dots, pk_{4n})$  to Sarah.
  
2. Sarah's input is a bit  $b \in \{0, 1\}$ . Sarah computes  $n + 1$  encryptions of  $b$  and  $n$  encryptions of  $1 - b$  under different keys, and chooses  $2n$  additional random ciphertexts:
  - (a) Choose a random partition of  $\{0, \dots, 4n\}$  into disjoint subsets  $A, B, C$  of cardinality  $n + 1$ ,  $n$ , and  $2n$ , respectively.
  - (b) For  $i \in A$ , choose encryption randomness  $\alpha_i \leftarrow \Delta_{\mathcal{R}}$ , set  $\beta_i = b$ , and compute  $c_i \leftarrow \text{Enc}_{pk_i}(\beta_i; \alpha_i)$ .
  - (c) For  $i \in B$ , choose encryption randomness  $\alpha_i \leftarrow \Delta_{\mathcal{R}}$ , set  $\beta_i = 1 - b$ , and compute  $c_i \leftarrow \text{Enc}_{pk_i}(\beta_i; \alpha_i)$ .
  - (d) For  $i \in C$ , choose sampling randomness  $\gamma_i \leftarrow \Delta_{\mathcal{S}}$  and compute  $c_i \leftarrow \text{RandomCT}_{pk_i}(\gamma_i)$ .
  - (e) Send  $(c_0, \dots, c_{4n})$  to Ronald.
  
3. Ronald decrypts all ciphertexts: he sets  $\beta'_i \leftarrow \text{Dec}_{sk_i}(c_i)$  for  $i = 0, \dots, 4n$ , and outputs the majority  $b'$ .
  
4. Ronald sends pairs of indices corresponding to ciphertexts with opposite messages back to Sarah.
  - (a) Set  $I = \emptyset$ . Do the following for  $i = 1, \dots, n/2$ .
    - i. Choose a random pair of indices  $(u_i, v_i) \in \{0, \dots, 4n\}$  such that  $u_i, v_i \notin I$  and  $\beta'_{u_i} \neq \beta'_{v_i}$ .
    - ii. Set  $I \leftarrow I \cup \{u_i, v_i\}$ .
  - (b) Ronald sends  $(u_1, v_1), \dots, (u_{n/2}, v_{n/2})$  to Sarah.
  
5. Sarah chooses a pair of indices such that one index is in the set  $A$  and one is in the set  $C$ :
  - (a) Choose a random  $j \in \{1, \dots, n/2\}$  such that either  $u_j \in A$  and  $v_j \in C$  or  $u_j \in C$  and  $v_j \in A$ . If no such index exists, then abort the protocol.
  - (b) Send  $j$  to Ronald.
  
6. Ronald sends the secret keys  $sk_{u_j}, sk_{v_j}$  to Sarah.

This completes the description of the protocol.

**The transcript.** The protocol's transcript consists of:

1. The public keys  $(pk_0, \dots, pk_{4n})$  sent by Ronald in Step 1,
2. The ciphertexts  $(c_0, \dots, c_{4n})$  sent by Sarah in Step 2e,
3. The tuples  $(u_i, v_i)$  sent by Ronald in Step 4,
4. The index  $j$  Sarah has chosen in Step 5 (or the decision to abort), and
5. The secret keys  $sk_{u_j}, sk_{v_j}$  sent by Ronald in step 6.

**The faking algorithm.** The algorithm Fake is defined as follows: suppose we are given a message  $b$ , randomness

$$r_S = (A, B, C, (\alpha_i)_{i \in A \cup B}, (\gamma_i)_{i \in C}) \quad (4.1)$$

and a transcript  $tr$ . If  $tr$  indicates that the protocol has aborted in Step 5a, then Fake outputs  $\tilde{r}_S = \perp$ . Otherwise, do the following:

1. Let  $y = \{u_j, v_j\} \cap A$  and  $z = \{u_j, v_j\} \cap C$ .  
(In particular, we have  $\beta'_y = b$  and  $\beta'_z = 1 - b$ .)

2. Compute

- $\tilde{A} \leftarrow B \cup \{z\}$ ,  $\tilde{B} \leftarrow A \setminus \{y\}$ ,  $\tilde{C} \leftarrow (C \setminus \{z\}) \cup \{y\}$ ,
- $\tilde{\alpha}_i \leftarrow \alpha_i$  for  $i \in (A \setminus \{y\}) \cup B$ ,
- $\tilde{\alpha}_z \leftarrow \text{SampleEncRand}(\text{sk}_z, c_z)$ ,
- $\tilde{\gamma}_i \leftarrow \gamma_i$  for  $i \in (C \setminus \{z\})$ ,
- $\tilde{\gamma}_y \leftarrow \text{SampleCTRand}(\text{pk}_y, c_y)$ .

3. Output

$$\tilde{r}_S = \left( \tilde{A}, \tilde{B}, \tilde{C}, (\tilde{\alpha}_i)_{i \in \tilde{A} \cup \tilde{B}}, (\tilde{\gamma}_i)_{i \in \tilde{C}} \right). \quad (4.2)$$

We denote the  $n$ -fold independent execution of the encryption protocol by  $\pi_{\mathcal{E}}^n$ , where the final output is determined as the majority of the output bits of the individual instances.

It is clear that the protocol runs in time polynomial in  $n$ . We now show that the protocol almost never aborts in Step 5a.

**Proposition 4.1.** *The probability that Sarah aborts the protocol  $\pi_{\mathcal{E}}$  in Step 5a is negligible in  $n$ .*

**Proof.** For  $\beta \in \{0, 1\}$ , let  $C_\beta := C \cap \{i : \text{Dec}_{\text{sk}_i}(c_i) = \beta\}$ . By Property (1) of Definition 3.1 and Chernoff bounds [18, Ch. 8, Prop. 5.3] we have, with overwhelming probability,  $\frac{7n}{8} \leq |C_\beta| \leq \frac{9n}{8}$  for  $\beta \in \{0, 1\}$ . Now in each iteration of Step 4(a)i, the probability of choosing a pair  $(u_i, v_i)$  with either  $u_i \in A$  and  $v_i \in C$  or  $v_i \in A$  and  $u_i \in C$  is at least

$$\frac{|A| - n/2}{|A| + |C_b|} \cdot \frac{|C_{1-b}| - n/2}{|C_{1-b}| + |B|} \geq \frac{n/2}{18n/8} \cdot \frac{3n/8}{17n/8} = \frac{2}{51}$$

whenever  $n \geq 8$ . Since  $n/2$  pairs are chosen in total, by another application of Chernoff bounds we obtain that the probability that no suitable pair is chosen is negligible in  $n$ .  $\square$

## 4.2 Correctness

**Lemma 4.2.** *Let  $\mathcal{E}$  be a samplable public key bit encryption scheme, and let  $b'$  be Ronald's output computed by  $\pi_{\mathcal{E}}((b, r_S), (n, r_R))$ . Then the probability (over  $r_S$  and  $r_R$ ) that  $b' = b$  is at least  $1/2 + 1/(5\sqrt{n})$ .*

**Proof.** A single instance of  $\pi_{\mathcal{E}}$  outputs the majority of messages obtained from decrypting all  $4n + 1$  ciphertexts  $c_i$ . Out of these,  $c_i$  encrypts  $b$  for  $i \in A$  and  $c_i$  encrypts  $1 - b$  for  $i \in B$ . This means that  $b' = b$  if and only if least half of the remaining  $2n$  ciphertexts  $\{c_i : i \in C\}$  decrypt to  $b$ .

Property (1) of Definition 3.1 implies that a single  $c_i$  decrypts to  $b$  with probability  $p(n)$  satisfying  $|1/2 - p(n)| = \nu(n)$  for some negligible  $\nu(n)$ . The probability that at least half of the ciphertexts  $\{c_i : i \in C\}$  decrypt to  $b$  is thus

$$\begin{aligned}
\sum_{i=n}^{2n} \binom{2n}{i} p(n)^i \cdot (1 - p(n))^{2n-i} &\geq \sum_{i=n}^{2n} \binom{2n}{i} \left(\frac{1}{2} - \nu(n)\right)^{2n} \\
&= \left(\frac{1}{2} - \nu(n)\right)^{2n} \cdot \frac{1}{2} \left( \binom{2n}{n} + \sum_{i=0}^{2n} \binom{2n}{i} \right) \\
&\stackrel{(*)}{\geq} (1 - 2\nu(n))^{2n} \cdot \left( \frac{1}{4\sqrt{n}} + \frac{1}{2} \right) \\
&\geq 1/2 + \frac{1}{5\sqrt{n}},
\end{aligned}$$

where  $(*)$  follows from the inequality  $\binom{2n}{n} \geq 2^{2n-1}/\sqrt{n}$ , which in turn follows from Stirling's approximation  $n! \sim \sqrt{2\pi n} \cdot e^{-n} \cdot n^n$  [20, p. 13].  $\square$

### 4.3 Deniability

Our main result is the following:

**Theorem 4.3.** *Let  $\mathcal{E} = (\text{KeyGen}, \text{Enc}, \text{Dec})$  be a public key encryption scheme. If  $\mathcal{E}$  is semantically secure and samplable, then  $\pi_{\mathcal{E}}^n$  is a sender-deniable encryption scheme.*

**Proof.** We must show that the  $n$ -fold repetition of  $\pi_{\mathcal{E}}$  satisfies the three conditions of Definition 2.1 for negligible  $\mu(n)$ . Lemma 4.2 shows that the probability that Ronald's message  $b'$  is equal to Sarah's message  $b$  is at least  $1/2 + 1/(5\sqrt{n})$ . Thus repeating the protocol  $n$  times and taking the majority of the  $b'$  gives the correct answer  $b$  with overwhelming probability by using Chernoff bounds [18, Ch. 8, Prop. 5.3].

Next, Lemma 2.2 shows that passive secrecy follows from deniability. It thus only remains to prove deniability. We show that if  $\mathcal{E}$  is semantically secure and samplable, then for a *single* execution of  $\pi_{\mathcal{E}}$ , the two distributions of (2.3) are  $\mu(n)$ -computationally indistinguishable for some negligible  $\mu(n)$ . Assuming this is the case, a standard hybrid argument shows that the corresponding distributions for the  $n$ -fold parallel repetition of  $\pi_{\mathcal{E}}$  are  $\mu'(n)$ -computationally indistinguishable for some negligible  $\mu'(n)$ .

We now consider a single execution of  $\pi_{\mathcal{E}}$  and define a series of games.  $\text{Game}_0$  will output the distribution of the left hand side of (2.3), while  $\text{Game}_8$  will output the distribution of the right hand side of (2.3). We will then show that for all  $i$ , the outputs of  $\text{Game}_i$  and  $\text{Game}_{i+1}$  are  $\mu_i(n)$ -computationally indistinguishable for some negligible  $\mu_i(n)$ . By the triangle inequality, this implies that the two distributions in (2.3) are  $\mu(n)$ -computationally indistinguishable for some negligible  $\mu(n)$ .

We now define our series of games. In each game Ronald's randomness  $r_R$  and the security parameter  $n$  are taken to be the same. Unless otherwise stated, the output of  $\text{Game}_i$  is the same as that of  $\text{Game}_{i-1}$ .

$\text{Game}_0$ : The two parties run the protocol  $\pi_{\mathcal{E}}$  as defined in Section 4.1, with Sarah's input message  $b$  and randomness  $r_S$  given by (4.1). The game outputs the message  $b$ , the randomness  $r_S$ , and the transcript  $tr$ .

Game<sub>1</sub>: The two parties run the protocol as in Game<sub>0</sub>, but Sarah computes the ciphertexts in  $C$  as encryptions of random bits, and uses SampleCTRand to compute fake randomness for the RandomCT algorithm:

(2d)' For  $i \in C$ , choose random  $\beta_i \stackrel{R}{\leftarrow} \{0, 1\}$  and  $\alpha_i \leftarrow \Delta_{\mathcal{R}}$ . Compute  $c_i \leftarrow \text{Enc}_{\text{pk}_i}(\beta_i; \alpha_i)$  and  $\gamma_i \leftarrow \text{SampleCTRand}(\text{pk}_i, c_i)$ .

The computed  $\gamma_i$  (for  $i \in C$ ) are output in the randomness  $r_S$  and the ciphertexts  $c_i$  (for all  $i$ ) are output in the transcript  $tr$ .

Game<sub>2</sub>: The two parties run the protocol as in Game<sub>1</sub>, but change Steps 2e and 3 as follows:

(2e)' Send  $(\beta_0, \dots, \beta_{4n})$  to Ronald.

(3)' Ronald sets  $\beta'_i \leftarrow \beta_i$  for all  $i$  and outputs the majority  $b'$  of the  $\beta'_i$ .

The ciphertexts  $c_i \in tr$  and the randomness  $\gamma_i \in r_S$  are now computed at the end of the protocol:

7. Compute  $c_i \leftarrow \text{Enc}_{\text{pk}}(\beta_i; \alpha_i)$  for all  $i$  and  $\gamma_i \leftarrow \text{SampleCTRand}(\text{pk}_i, c_i)$  for  $i \in C$ .

The output is the same as in Game<sub>1</sub>.

Game<sub>3</sub>: The two parties run the protocol as in Game<sub>2</sub>, with an additional step that flips the bit of one ciphertext:

8. (a) Choose a random  $x \in C$ , not equal to any of the indices sent in Step 4, with  $\beta_x = b$ .

(b) Compute  $\tilde{c}_x \leftarrow \text{Enc}_{\text{pk}_x}(1 - \beta_x; \alpha_x)$  and  $\tilde{\gamma}_x \leftarrow \text{SampleCTRand}(\text{pk}_x, \tilde{c}_x)$ .

The output is the same as in Game<sub>2</sub>, except the ciphertext  $\tilde{c}_x \in tr$  and the randomness  $\tilde{\gamma}_x \in r_S$  are output instead of  $c_x, \gamma_x$ , respectively.

Game<sub>4</sub>: The two parties run the protocol as in Game<sub>3</sub>, but now Sarah flips *all* of the bits that she sends to Ronald (including the bit in ciphertext  $c_x$ ), while still computing the ciphertexts in the transcript from the *original* bits (with only  $\beta_x$  flipped). For easier readability we restate most of the protocol.

1. Ronald sends  $4n + 1$  key pairs  $(\text{pk}_i, \text{sk}_i)$  to Sarah.

2. Sarah does the following:

(a) Choose a random partition of  $\{0, \dots, 4n\}$  into disjoint subsets  $A, B, C$  of cardinality  $n + 1$ ,  $n$ , and  $2n$ , respectively.

(2b)' For  $i \in A$ , choose encryption randomness  $\alpha_i \leftarrow \Delta_{\mathcal{R}}$ , set  $\beta_i \leftarrow b$  and  $\tilde{\beta}_i \leftarrow 1 - b$ .

(2c)' For  $i \in B$ , choose encryption randomness  $\alpha_i \leftarrow \Delta_{\mathcal{R}}$ , set  $\beta_i \leftarrow 1 - b$  and  $\tilde{\beta}_i = b$ .

(2d)'' For  $i \in C$  choose random  $\beta_i \stackrel{R}{\leftarrow} \{0, 1\}$  and  $\alpha_i \leftarrow \Delta_{\mathcal{R}}$ , set  $\tilde{\beta}_i \leftarrow 1 - \beta_i$ .

(2e)'' Send  $(\tilde{\beta}_0, \dots, \tilde{\beta}_{4n})$  to Ronald.

3. Ronald sets  $\beta'_i = \tilde{\beta}_i$  and outputs the majority  $b'$ .

4. Ronald sends pairs of indices corresponding to ciphertexts with opposite messages back to Sarah. (This step is identical to the corresponding step in the real protocol.)

5. Sarah chooses a pair of indices such that one index is in the set  $A$  and one is in the set  $C$ . (This step is identical to the corresponding step in the real protocol.)

6. Ronald sends the secret keys  $\text{sk}_{u_j}, \text{sk}_{v_j}$  to Sarah.

7. Set  $c_i \leftarrow \text{Enc}_{\text{pk}}(\beta_i; \alpha_i)$  for all  $i$  and  $\gamma_i \leftarrow \text{SampleCTRand}(\text{pk}_i, c_i)$  for  $i \in C$ .
8. (a) Choose a random  $x \in C$ , not equal to any of the indices sent in Step 4, with  $\beta_x = b$ .  
 (b) Compute  $\tilde{c}_x \leftarrow \text{Enc}_{\text{pk}_x}(1 - \beta_x; \alpha_x)$  and  $\tilde{\gamma}_x \leftarrow \text{SampleCTRand}(\text{pk}_x, \tilde{c}_x)$ .

The output is the same as in Game<sub>3</sub>; in particular,  $\tilde{c}_x$  and  $\tilde{\gamma}_x$  are output in the appropriate positions of  $tr$  and  $r_S$ , respectively.

Game<sub>5</sub>: The two parties run the protocol as in Game<sub>4</sub>, but now Sarah uses the flipped bits  $\tilde{\beta}_i$  to compute all ciphertexts (including the ciphertext  $c_x$ ).

- 7.' Set  $c_i \leftarrow \text{Enc}_{\text{pk}}(\tilde{\beta}_i; \alpha_i)$  for all  $i$  and  $\gamma_i \leftarrow \text{SampleCTRand}(\text{pk}_i, c_i)$  for  $i \in C$ .

The game outputs the protocol's transcript (including  $c_x$  in the appropriate position of  $tr$ ) and computes fake randomness:

- $\tilde{A} \leftarrow B \cup \{z\}$ ,  $\tilde{B} \leftarrow A \setminus \{y\}$ ,  $\tilde{C} \leftarrow (C \setminus \{z\}) \cup \{y\}$ ,
- $\tilde{\alpha}_i \leftarrow \alpha_i$  for  $i \in \tilde{A} \cup \tilde{B}$ ,
- $\tilde{\gamma}_i \leftarrow \gamma_i$  for  $i \in C \setminus \{z\}$ ,
- $\tilde{\gamma}_y \leftarrow \text{SampleCTRand}(\text{pk}_y, c_y)$ .

We define  $\tilde{r}_S$  as in (4.2), and the game outputs  $\tilde{r}_S$  instead of  $r_S$ .

Game<sub>6</sub>: The two parties run the protocol as in Game<sub>5</sub>, but Sarah fakes the randomness for the ciphertext with index  $z$  by choosing  $\tilde{\alpha}_z \leftarrow \text{SampleEncRand}(\text{sk}_z, c_z)$ . (Recall  $z = \{u_j, v_j\} \cap C$ .) This  $\tilde{\alpha}_z$  is output in the appropriate position of  $\tilde{r}_S$ . Other than this change, the output is the same as in Game<sub>5</sub>.

Game<sub>7</sub>: We reverse the change made between Game<sub>1</sub> and Game<sub>2</sub>, by setting

- (2b–2d) Set  $c_i \leftarrow \text{Enc}_{\text{pk}}(\tilde{\beta}_i; \alpha_i)$  for all  $i$  and  $\gamma_i \leftarrow \text{SampleCTRand}(\text{pk}_i, c_i)$  for  $i \in C$ .
- (2e) Send  $(c_0, \dots, c_{4n})$  to Ronald.
- (3) Set  $\beta'_i \leftarrow \text{Dec}_{\text{sk}_i}(c_i)$  for  $i = 0, \dots, 4n$ , and output the majority  $b'$ .

Game<sub>8</sub>: We reverse the change made between Game<sub>0</sub> and Game<sub>1</sub>, by setting

- (2d) For  $i \in C$ , choose sampling randomness  $\gamma_i \leftarrow \Delta_S$  and compute  $c_i \leftarrow \text{RandomCT}_{\text{pk}_i}(\gamma_i)$ .

Therefore the output of Game<sub>8</sub> is the message  $b$ , the transcript  $\tilde{tr}$  of a real encryption of  $1 - b$  using Sarah's randomness  $r_S$ , and the fake randomness  $\tilde{r}_S = \text{Fake}(b, r_S, \tilde{tr})$ .

Since the output of Game<sub>0</sub> is distributed as the left hand side of (2.3) and the output of Game<sub>8</sub> is distributed as the right hand side of (2.3), it suffices to show that for each  $i$  in  $1, \dots, 8$ , if there is an efficient adversary that can distinguish the output of Game <sub>$i$</sub>  from that of Game <sub>$i-1$</sub> , then this adversary can be used to solve some problem that we have assumed to be (computationally or statistically) infeasible. We now consider each pair of games in turn.

Game<sub>0</sub>  $\rightarrow$  Game<sub>1</sub>: The fact that  $\mathcal{E}$  is samplable implies that the outputs of these two games are statistically indistinguishable. To show this, we put an ordering on  $C$  and define hybrid games for  $j = 0, \dots, 2n$  in which the first  $j$  ciphertexts  $c_i$  for  $i \in C$  are sampled using RandomCT, and the last  $2n - j$  are computed as real encryptions of random bits. The 0th hybrid game is Game<sub>0</sub> and the 2nth hybrid game is Game<sub>1</sub>.

Suppose that we are given a secret key  $sk^*$  (which, by our convention, includes a corresponding public key  $pk^*$ ) and a pair  $(X, \Gamma) \in \mathcal{C} \times \mathcal{S}$  chosen in one of two ways:

$$\left( (X, \Gamma) : \begin{array}{l} b \xleftarrow{R} \{0, 1\}, r \xleftarrow{R} \Delta_{\mathcal{R}}, X \leftarrow \text{Enc}_{pk^*}(b; r), \\ \Gamma \leftarrow \text{SampleCTRand}(pk^*, X) \end{array} \right) \text{ or } \left( (X, \Gamma) : \begin{array}{l} \Gamma \leftarrow \Delta_{\mathcal{S}}, \\ X \leftarrow \text{RandomCT}_{pk^*}(\Gamma) \end{array} \right). \quad (4.3)$$

Let  $x$  be the index of the ciphertext that changes between the  $j$ th and  $(j + 1)$ th hybrid games. We can simulate the protocol by choosing secret keys  $sk_i$  for all  $i \neq x$  and using  $sk^*$  as  $sk_x$ ,  $X$  as  $c_x$ , and  $\Gamma$  as  $\gamma_x$ . When  $(X, \Gamma)$  is drawn from the left hand side of (4.3) we are in the  $j$ th hybrid game, and when  $(X, \Gamma)$  is drawn from the right hand side of (4.3) we are in the  $(j + 1)$ th. Thus any adversary that can distinguish these two hybrid games can distinguish the two sides of (4.3).

We now observe that the hybrid distribution on  $\mathcal{C} \times \mathcal{S}$  given by

$$\left( (X, \Gamma) : \tilde{\Gamma} \leftarrow \Delta_{\mathcal{S}}, X \leftarrow \text{RandomCT}_{pk^*}(\tilde{\Gamma}), \Gamma \leftarrow \text{SampleCTRand}(pk^*, X) \right),$$

is statistically indistinguishable from the left hand side of (4.3) by property (1) of Definition 3.1, and from the right hand side of (4.3) by property (3) of Definition 3.1. It follows that the output of the  $j$ th hybrid game is indistinguishable from the output of the  $(j + 1)$ th hybrid game.

**Game<sub>1</sub> → Game<sub>2</sub>:** Note that the output of Ronald in Step 4, as well as the remainder of the protocol, depends only on the *plaintexts* of the messages he receives in Step 2e. Since Sarah now knows all of the plaintexts, she can send these plaintexts instead in Step 2e and compute the  $c_i$  for the transcript later. Thus the output distributions of Game<sub>1</sub> and Game<sub>2</sub> are identical.

**Game<sub>2</sub> → Game<sub>3</sub>:** The fact that  $\mathcal{E}$  is semantically secure implies that the outputs of these two games are  $\nu(n)$ -computationally indistinguishable for some negligible  $\nu(n)$ . To show this, first note that the indices Ronald sends in Step 4 are now all determined before Sarah computes any ciphertexts. Thus we can choose a random  $x \in \mathcal{C}$  not equal to any of these indices (assuming such an  $x$  exists) without using any public or secret keys.

Now let  $X$  be a semantic security challenge for  $pk_x$ . We can simulate the protocol by choosing secret keys  $sk_i$  for all  $i \neq x$  and setting  $c_x \leftarrow X$  and  $\gamma_x \leftarrow \text{SampleCTRand}(pk_x, X)$ . When  $X$  is an encryption of  $b$  we are in Game<sub>2</sub>, and when  $X$  is an encryption of  $1 - b$  we are in Game<sub>3</sub>. Thus any adversary that can distinguish the outputs of Game<sub>2</sub> and Game<sub>3</sub> can be used to break the semantic security of  $\mathcal{E}$ .

Finally, we show that an index  $x$  as above exists with overwhelming probability. Let  $C_\beta$  be defined as in the proof of Proposition 4.1, and recall that, with overwhelming probability, we have  $\frac{7n}{8} \leq |C_\beta| \leq \frac{9n}{8}$ . Since Ronald chooses  $n/2$  indices in Step 4 that correspond to encryptions of  $b$ , with overwhelming probability there remain at least  $3n/8$  indices in  $C_b$  from which to choose  $x$ .

**Game<sub>3</sub> → Game<sub>4</sub>:** First, the distribution of the  $\beta_i$ 's does not change, and consequently the distribution of the  $c_i$ 's and  $\gamma_i$ 's does not change. Second, note that choosing the process of choosing the pairs  $(u_i, v_i)$  in Step 4 is identical in Game<sub>3</sub> and Game<sub>4</sub>: since Ronald chooses pairs of indices with opposite plaintexts, flipping all of Ronald's bits does not affect these choices. Furthermore, since Sarah's computation of  $j$  in Step 5 depends only on the location of the indices  $u_i, v_i$  in the sets  $A, B, C$ , Sarah's computation of  $j$  using flipped bits is exactly the same as her computation of  $j$  in Game<sub>3</sub>. Thus the output distributions of Game<sub>3</sub> and Game<sub>4</sub> are identical.

**Game<sub>4</sub> → Game<sub>5</sub>:** Let  $\sigma$  be a permutation of order 2 acting on the set  $\{0, \dots, 4n\}$ , such that

$$\sigma(y) = z, \quad \sigma(x) = x, \quad \sigma(A \setminus \{y\}) = B.$$

Note that in particular, this means  $\sigma(A) = \tilde{A}$ ,  $\sigma(B) = \tilde{B}$ , and  $\sigma(C) = \tilde{C}$ .

For all  $i \in A$ , we have  $\beta_i = b$  and  $\beta_{\sigma(i)} = 1 - b$ , and for all  $i \in B \cup \{z\}$  we have  $\beta_i = 1 - b$  and  $\beta_{\sigma(i)} = b$ . The index  $x$  only appears in the output as the ciphertext  $\tilde{c}_x$  and randomness  $\tilde{\gamma}_x$ , so the output of  $\text{Game}_4$  is distributed as if  $\beta_x = 1 - b$ ; furthermore, we have  $\tilde{\beta}_x = 1 - b$  in  $\text{Game}_5$ .

Next, observe that the random bits  $\beta_i$  for  $i \in C$  are distributed such that inverting all of them does not change their distribution. Because  $\beta_x = b$  and  $\beta_z = 1 - b$ , the distribution on the  $\beta_i$  for  $i \in C \setminus \{x, z\}$  is also symmetric, so permuting just these indices does not change the distribution of the corresponding  $\beta_i$ ; the same is true for flipping just these bits. We thus conclude that the following two distributions are identical:

- Run  $\text{Game}_4$  to encrypt bit  $b$  with randomness  $r_S$ , producing transcript  $tr$ , and output  $\sigma(tr)$  and  $\sigma(r_S)$ . (That is, we apply the permutation  $\sigma$  to all of the indices of the computed elements.)
- Run  $\text{Game}_5$  to encrypt bit  $1 - b$  with randomness  $r_S$ , producing transcript  $\tilde{tr}$ , and output  $\tilde{tr}$  and  $\tilde{r}_S$ .

Since the sets  $A, B, C$  are uniformly random disjoint subsets of  $\{0, \dots, 4n\}$  of cardinality  $n + 1, n,$  and  $2n$ , respectively, applying a permutation to the indices cannot change the output distribution of  $\text{Game}_4$ . It follows that the output distributions of  $\text{Game}_4$  and  $\text{Game}_5$  are identical.

$\text{Game}_5 \rightarrow \text{Game}_6$ : The fact that  $\mathcal{E}$  is samplable implies that these two distributions are statistically indistinguishable.

Suppose we are given a secret key  $sk^*$ , a ciphertext  $X$ , and randomness  $R$  from one of the two distributions of (3.2). We can simulate the protocol by using  $sk^*$  as  $sk_z$ ,  $X$  as  $c_z$ , and  $R$  as  $\tilde{\alpha}_z$ . When  $R$  is chosen from  $\Delta_{\mathcal{R}}$  we are in  $\text{Game}_5$ , and when  $R$  is computed using  $\text{SampleRand}$  we are in  $\text{Game}_6$ . Thus any adversary that can distinguish these two games can distinguish the two distributions of (3.2), which contradicts the assumption that  $\mathcal{E}$  is samplable.

$\text{Game}_6 \rightarrow \text{Game}_7$ : By the same argument as above for  $\text{Game}_1 \rightarrow \text{Game}_2$ , the outputs of these two games are identical.

$\text{Game}_7 \rightarrow \text{Game}_8$ : By the same argument as above for  $\text{Game}_0 \rightarrow \text{Game}_1$ , the fact that  $\mathcal{E}$  is samplable implies that the outputs of these two games are statistically indistinguishable.  $\square$

**Remark 4.4.** We can eliminate the need to repeat  $\pi_{\mathcal{E}}$  multiple times to achieve correctness by increasing the size of  $A$  relative to  $B$ . In particular, if we let  $|A| = n + \tau(n)$  for some  $\omega(\sqrt{n \log n})$  function  $\tau$  and leave  $B$  and  $C$  as before, then we can achieve correctness with overwhelming probability in a single iteration of the protocol. The denying step still works as long as Ronald sends at least  $\tau$  pairs in Step 4 with one index in  $A$  and one in  $C$ ; our analysis in the proof of Proposition 4.1 shows that we can expect this to happen with overwhelming probability as long as  $\tau = o(n)$ . Sarah then indicates  $\tau$  such pairs in Step 5 and obtains the corresponding secret keys in Step 6. The faking algorithm computes fake randomness for the  $\tau$  indices in these pairs that are in  $C$ .

The proof of Theorem 4.3 carries over to this modified construction essentially unchanged; the only difference is that we flip  $\tau$  bits in  $\text{Game}_3$  and sample randomness for  $\tau$  ciphertexts in  $\text{Game}_6$ . The proof now requires hybrid constructions for the  $\text{Game}_2 \rightarrow \text{Game}_3$  and  $\text{Game}_5 \rightarrow \text{Game}_6$  arguments.

While this modified construction is asymptotically more efficient than repeating  $\pi_{\mathcal{E}}$   $n$  times, the value of  $n$  necessary to achieve both high correctness probability and small abort probability might be quite large. For example, if  $\tau = \sqrt{n \log n}$ , then the analysis of Proposition 4.1 suggests that we need  $n > 10^6$  to obtain at least  $\tau$  “good” pairs in Step 4. This analysis can certainly be improved; computer simulations suggest that for this value of  $\tau$ , choosing  $n = 1000$  is sufficient to achieve 0.1% abort probability.



## 5 Instantiations

### 5.1 Quadratic Residuosity

Our first example of a samplable encryption system is the Goldwasser-Micali bit encryption system [13], which is secure under the quadratic residuosity assumption.

For a positive integer  $N$  that is a product of two distinct odd primes, we define the set  $\mathcal{J}(N) = \{x \in \mathbb{Z}_N^* : \left(\frac{x}{N}\right) = 1\}$  and let  $\mathcal{Q}(N)$  be the subgroup of squares in  $\mathbb{Z}_N^*$ , which has index 2. The *quadratic residuosity assumption* states that when  $N$  is the product of two randomly chosen  $n$ -bit primes, the two distributions obtained by sampling uniformly at random from  $\mathcal{Q}(N)$  and from  $\mathcal{J}(N) \setminus \mathcal{Q}(N)$  are  $\mu(n)$ -computationally indistinguishable for negligible  $\mu(n)$ .

#### Construction 5.1.

- **KeyGen( $n$ ):** Compute  $N = pq$ , where  $p, q$  are  $n$ -bit primes. Choose a quadratic non-residue  $g \in \mathcal{J}(N) \setminus \mathcal{Q}(N)$ . The public key is  $\text{pk} = (N, g)$  and the secret key is  $\text{sk} = p$ .
- **Enc<sub>pk</sub>( $b; r$ ):** Choose  $r \xleftarrow{\text{R}} \mathbb{Z}_N^*$  and output  $c = g^b r^2 \pmod{N}$ .
- **Dec<sub>sk</sub>( $c$ ):** Let  $\text{sk} = p$ . If  $\left(\frac{c}{p}\right) = 1$ , output 0; otherwise output 1.
- **RandomCT<sub>pk</sub>( $x_1, x_2, \dots$ ):** Let  $\text{pk} = (N, g)$ . Choose random elements  $x_i \xleftarrow{\text{R}} \mathbb{Z}_N$  for  $i = 1, 2, \dots$ . Output the first  $x_i$  such that  $\left(\frac{x_i}{N}\right) = 1$ .
- **SampleEncRand( $\text{sk}, c$ ):** If  $\text{Dec}_{\text{sk}}(c) = 0$ , output a random solution to  $X^2 = c \pmod{N}$ ; otherwise output a random solution to  $X^2 = c/g \pmod{N}$ .
- **SampleCTRand( $\text{pk}, c$ ):** Let  $\text{pk} = (N, g)$  and  $i = 0$ . Choose random elements  $x_i \xleftarrow{\text{R}} \mathbb{Z}_n$  for  $i = 1, 2, \dots$ . Let  $\ell$  be the smallest index  $i$  such that  $\left(\frac{x_i}{N}\right) = 1$ . Output the sequence of randomness  $(x_1, \dots, x_{\ell-1}, c)$ .

It is a standard result [13] that the encryption scheme is semantically secure under the quadratic residuosity assumption. We now show the samplable properties.

**Proposition 5.2.** *The public key encryption scheme of Construction 5.1 is samplable.*

**Proof.** In the notation of Definition 3.1, the set  $\mathcal{R}$  is  $\mathbb{Z}_N^*$  and the set  $\mathcal{C}$  is  $\mathcal{J}(N)$ . For the first condition, we observe that the distribution  $\Delta_{\mathcal{R}}$  is the uniform distribution on  $\mathbb{Z}_N^*$ . Since  $\mathcal{J}(N) = (\mathbb{Z}_N^*)^2 \cup g \cdot (\mathbb{Z}_N^*)^2$ , it follows that left hand side of the distribution (3.1) is the uniform distribution on  $\mathcal{J}(N)$ . Furthermore, it is clear that RandomCT samples from the uniform distribution on  $\mathcal{J}(N)$ .

For the second condition, we observe that for a given  $c \in \mathcal{J}(N)$  the value  $x = \text{SampleRand}_{\text{sk}}(c)$  is distributed uniformly amongst the four possible values of  $r$  such that  $c = \text{Enc}_{\text{pk}}(\text{Dec}_{\text{sk}}(c), r)$ . Since real randomness comes from the uniform distribution on  $\mathbb{Z}_N^*$ , it follows that the two distributions (3.2) are identical.

For the third condition, observe that the length of the sequence of random elements  $x_i$  chosen by SampleCTRand has the correct distribution, as it is chosen identically to the sequence of  $x_i$  used by RandomCT. The last value in the sequence necessary equals  $c$ , and all previous values are independently random with  $\left(\frac{x}{N}\right) = -1$ . We conclude that the two distributions (3.3) are identical.  $\square$

## 5.2 Trapdoor permutations

Our second samplable encryption system is the bit encryption scheme built from a generic trapdoor permutation (cf. [14, Construction 10.27]).

Recall that the definition of a trapdoor permutation includes an algorithm  $\text{Samp}$  that samples a uniformly random element from the domain [14, Definitions 7.69 and 10.25]. We make the additional assumption that our trapdoor permutations have *canonical domain sampling* in the sense of Goldreich, Levin, and Nisan [12], which means that  $\text{Samp}$  consists of uniformly selecting a string of specific length and testing whether the string resides in the domain. Under this assumption, we may identify the input and output of  $\text{Samp}$  with each other.

### Construction 5.3.

- $\text{KeyGen}(n)$ : Let  $f : \mathcal{R} \rightarrow \mathcal{R}$  be sampled from a family  $\mathcal{F}$  of trapdoor permutations with canonical domain sampling (using  $n$  as the security parameter) and let  $g = f^{-1}$ . Let  $H : \mathcal{R} \rightarrow \{0, 1\}$  be a hard-core predicate for  $f$ . The public key is  $\text{pk} = (f, H)$  and the secret key is  $\text{sk} = g$ .
- $\text{Enc}_{\text{pk}}(b; r)$ : Use  $\text{Samp}$  to choose  $r \xleftarrow{\mathcal{R}} \mathcal{R}$  and output  $c = (f(r), H(r) \oplus b) \in \mathcal{R} \times \{0, 1\}$ .
- $\text{Dec}_{\text{sk}}(c)$ : Write  $c = (y, z) \in \mathcal{R} \times \{0, 1\}$ . Output  $H(g(y)) \oplus z$ .
- $\text{RandomCT}_{\text{pk}}(s, b)$ : Use  $\text{Samp}$  to choose  $s \xleftarrow{\mathcal{R}} \mathcal{R}$  and  $b \xleftarrow{\mathcal{R}} \{0, 1\}$ . Output  $(s, b)$ .
- $\text{SampleEncRand}(\text{sk}, c)$ : Write  $c = (y, z)$  and output  $g(y)$ .
- $\text{SampleCTRand}(\text{pk}, c)$ : Write  $c = (y, z)$  and output  $(y, z)$ .

It is a standard result (see e.g. [14, Theorem 10.28]) that if  $\mathcal{F}$  is a family of trapdoor permutations, then the encryption scheme is semantically secure. In particular, under the RSA assumption we can let  $f$  be the function  $x \mapsto x^e \pmod{N}$  with the hard-core predicate  $H(x) = \text{lsb}(x)$  [1]. It is also clear that the RSA family has the canonical domain sampling property.

**Proposition 5.4.** *The public key encryption scheme of Construction 5.3 is samplable.*

**Proof.** It is clear that  $\text{RandomCT}$  outputs a uniformly random element of  $\mathcal{C} = \mathcal{R} \times \{0, 1\}$ . Since  $r$  is sampled uniformly from  $\mathcal{R}$  and  $f$  is a permutation, the first component of the output of  $\text{Enc}$  is uniformly distributed in  $\mathcal{R}$ . Furthermore, if  $b$  is a uniformly random bit, the second component is random and independent of the first component. Thus both distributions in (3.1) are the uniform distribution on  $\mathcal{C}$ .

For the second condition, since  $f$  is a permutation the map from  $\mathcal{R} \times \{0, 1\}$  to itself given by  $(r, b) \mapsto \text{Enc}_{\text{pk}}(b; r)$  is a bijection. Thus there is a unique value of the randomness  $r$  for any ciphertext (which is exactly what is recovered by  $\text{SampleEncRand}$ ), and the two distributions (3.2) are identical.

The third condition is obvious, as under our assumption of canonical domain sampling the algorithms  $\text{RandomCT}$  and  $\text{SampleCTRand}$  both act as the identity function on their inputs.  $\square$

## 6 Conclusion and Open Problems

We have presented a sender-deniable public key encryption scheme that has a single (interactive) protocol for encryption and has negligible detection probability. It is the first construction that satisfies these strict

requirements. The security of our construction is based on well established assumptions; we give one construction based on the hardness of deciding quadratic residuosity and one based on the existence of trapdoor permutations.

Receiver-deniable encryption can be obtained from sender-deniable encryption by a straightforward construction [4]; basically, the roles of sender and receiver are reversed, and the receiver encrypts a bit that is used by the sender as a one-time pad. It is an open problem to construct a bi-deniable encryption scheme with a single encryption algorithm and no trusted third party. (The recent work of O’Neill, Peikert, and Waters [15] achieves this goal for a weaker notion of deniability that allows two encryption algorithms.) Another open problem arising from our work is to remove the interaction from our encryption protocol.

Both instantiations of our scheme rely on the hardness of factoring. It is an open problem to construct deniable encryption schemes from other assumptions. A promising direction for this problem is cryptosystems based on the hardness of Learning With Errors (LWE), a lattice-related problem. In particular, the variant of Regev’s LWE cryptosystem [17] presented by Gentry, Peikert, and Vaikuntanathan [11, §8] has the property that a trapdoor can be embedded in the secret key that allows the key holder to efficiently sample from the distribution of randomness used in the encryption. However, the scheme does not have a dense ciphertext space, so there is no obvious way to generate ciphertexts obliviously. We are currently working on modifying the scheme to overcome this difficulty.

Finally, the overhead of our construction is significant: for a security parameter  $n$ , one execution of our protocol requires transmission of  $O(n)$  secret keys and ciphertexts, each of length  $n$  (not to mention the computational cost of generating  $O(n)$  keys and ciphertexts); furthermore, to ensure correctness we must repeat the protocol  $n$  times. Since we optimized our presentation for clarity rather than efficiency, several straightforward improvements are possible, such as that discussed in Remark 4.4. However, a significantly more efficient construction seems to require substantial new ideas.

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