

Forward Security in Threshold Signature Schemes

MICHEL ABDALLA*

SARA MINER[†]

CHANATHIP NAMPREMPRE[‡]

June 16, 2000

Abstract

We consider the usage of forward security with threshold signature schemes. This means that even if more than the threshold number of players are compromised, some security remains: it is not possible to forge signatures relating to the past.

In this paper, we describe the first *forward-secure threshold* signature schemes whose parameters (other than signing or verifying time) do not vary in length with the number of time periods in the scheme. Both are threshold versions of the Bellare-Miner forward-secure signature scheme, which is Fiat-Shamir-based. One scheme uses multiplicative secret sharing, and tolerates mobile eavesdropping adversaries. The second scheme is based on polynomial secret sharing, and we prove it forward-secure based on the security of the Bellare-Miner scheme. We then sketch modifications which would allow this scheme to tolerate malicious adversaries. Finally, we give several general constructions which add forward security to any existing threshold scheme.

Keywords: threshold cryptography, forward security, signature schemes, proactive cryptography.

*Dept. of Computer Science & Engineering, University of California at San Diego, 9500 Gilman Drive, La Jolla, California 92093. E-Mail: mabdalla@cs.ucsd.edu. URL: <http://www-cse.ucsd.edu/users/mabdalla>. Supported by CAPES under Grant BEX3019/95-2.

[†]Dept. of Computer Science & Engineering, University of California at San Diego, 9500 Gilman Drive, La Jolla, California 92093. E-Mail: sminer@cs.ucsd.edu. URL: <http://www-cse.ucsd.edu/users/sminer>. Supported in part by Mihir Bellare's 1996 Packard Foundation Fellowship in Science and Engineering and NSF CAREER Award CCR-9624439.

[‡]Dept. of Computer Science & Engineering, University of California at San Diego, 9500 Gilman Drive, La Jolla, CA 92093, USA. E-Mail: cnamprem@cs.ucsd.edu. URL: <http://www-cse.ucsd.edu/users/cnamprem>. Supported in part by Mihir Bellare's 1996 Packard Foundation Fellowship in Science and Engineering and NSF CAREER Award CCR-9624439.

Contents

1	Introduction	1
2	Definitions	3
2.1	Communication Model and Types of Adversaries	3
2.2	Threshold Signature Schemes	4
2.3	Forward Security	4
3	Forward security based on multiplicative secret sharing	5
4	Forward security based on polynomial secret sharing	6
4.1	Construction	8
4.2	Building Blocks	8
4.3	Security	10
5	Discussion	11
6	Acknowledgments	12
A	Adding forward security to any threshold signature scheme	14
B	Proofs of Security	15

1 Introduction

Exposure of a secret key for “non-cryptographic” reasons —such as compromise of the underlying machine or system, human error, or insider attacks— is, in practice, the greatest threat to many cryptographic protocols. The most commonly proposed remedy is distribution of the secret key across multiple servers via secret sharing. For digital signatures, the primitive we consider in this paper, the main instantiation of this idea is threshold signature schemes [11]. The signature is computed in a distributed way based on the shares of the secret key, and a sufficiently large set of servers must be compromised in order to obtain the key and generate signatures.

Distribution of the key makes it harder for an adversary to expose the secret key, but does not remove this risk. Common mode failures —flaws that may be present in the implementation of the protocol or the operating system being run on all servers— imply that breaking into several machines may not be much harder than breaking into one. Thus, it is realistic to assume that even a distributed secret key can be exposed.

Proactive signatures address this to some extent, requiring all of the break-ins to occur within a limited time frame [17]. This again makes the adversary’s task harder, but not impossible. Once a system hole is discovered, it can quite possibly be exploited across various machines almost simultaneously.

A common principle of security engineering is that one should not rely on a single line of defense. We suggest a second line of defense for threshold signature schemes which can mitigate the damage caused by complete key exposure, and we show how to provide it. The idea is to provide *forward security*.

Forward security for digital signature schemes was suggested by [2], and solutions were designed in [3]. The idea is that compromise of the *present* secret signing key does not enable an adversary to forge signatures pertaining to the *past*. Bellare and Miner [3] focus on the single-signer setting and achieve this goal through the *key evolution paradigm*: the user produces signatures using different secret keys during different time periods while the public key remains fixed. Starting from an initial secret key, the user “evolves” the current secret key at the end of each time period to obtain the key to be used in the next. She then erases the current secret key to prevent an adversary who successfully breaks into the system at a later time from obtaining it. Therefore, the adversary can only forge signatures for documents pertaining to time periods after the exposure, but not before. The integrity of documents signed before the exposure is left intact.

Combining forward security and threshold cryptography will yield a scheme that can provide some security guarantees *even if* an adversary has taken control of *all* servers and, as a result, has completely exposed the secret. In particular, he cannot produce valid signatures as if they were legitimately generated before the break-in. The complete knowledge of the secret signing key is useless for him with regard to signatures from “the past”.

It is worth noting that historically forward-secure signature schemes and signature schemes based on secret sharing have been viewed as two different alternatives for addressing the same problem, namely the key exposure problem. However, they do, in fact, provide complementary security properties. Forward security prevents an adversary from forging documents pertaining to the past *even if* he is able to obtain the current secret key. On the other hand, threshold and proactive signature schemes make it harder for an adversary to learn the secret key altogether. The crucial distinction between the two notions is that forward security involves *changing the actual secret* while a secret sharing scheme distributes the secret which remains *unchanged* throughout the execution of the protocol. This is true for *both* threshold *and* proactive schemes. In particular, the refresh steps performed in a proactive scheme update the shares of the secret, but not the secret itself. Therefore, without forward security, if an adversary ever successfully obtains this secret, the validity of all documents signed by the group can be questioned, regardless of when the documents were claimed to have been signed. A related line of work deals with *partial* key exposure, giving “ n out of n ”-threshold schemes, where exposure of up to $n-1$ shares of a secret key gives no information [8].

Furthermore, one can think of the addition of forward security to threshold schemes as a deterrent to attempts at exposing the key. Specifically, in a forward-secure scheme, a stolen key is less useful to an adversary (i.e. it can’t help her forge past signatures) than in a non-forward-secure scheme, since it only yields the ability to generate signatures in the future. In fact, as time progresses, the potential benefits of

exposing the key at the current time dwindle, since there are fewer time periods in which it can generate a signature. Thus, an adversary’s “cost-benefit analysis” may prevent her from attacking such a scheme in the first place.

Not only does forward security provide security improvements to an existing threshold signature scheme, it does so in both of our schemes without adding any “online cost” to the scheme. (By “online cost,” we mean the cost incurred during signing such as the number of interactions or rounds in the protocol.) That is, with some pre-computation performed off-line, no more interactions are required to sign a message beyond those needed in the non-forward-secure threshold version of the scheme. This makes forward security an especially attractive improvement upon a distributed signature scheme.

FACTORING-BASED SCHEMES. In this paper, we present the first forward-secure threshold signature schemes whose cost parameters do not grow proportionally to the number of time periods during the lifetime of a public key. We present two schemes in this paper, both of which are based on the scheme proposed in [3], which in turn is based on the schemes proposed in [12] and [21]. The first scheme is based on a new kind of secret sharing, namely, multiplicative sharing. The second scheme is based on the standard polynomial sharing of secrets. Under the assumption that only mobile eavesdropping adversaries are allowed, the first scheme tolerates up to $n - 1$ compromised players and does not rely on point-to-point communication channels among the players. More importantly, the scheme is extremely efficient, since the update protocol does not require any interactions among the players. It is not clear, however, how the first scheme can be extended to handle halting and malicious adversaries. In contrast, not only is the second scheme resistant to mobile halting adversaries, but it is also extensible to cope with malicious adversaries, as we sketch in Section 5.

Our schemes are based on various multiparty-computation “primitives”. This leads to a scheme that is easy to understand, design, and implement. However, we emphasize that our approach to the proof does *not* treat the primitives merely as black boxes that will automatically help us achieve our goal. Rather, we recognize the following principle in cryptographic protocol design: simply putting together various primitives that have been proven secure does *not* guarantee that the resulting scheme is secure. There could be interactions among the primitives in such a way that weakens the overall security of the scheme. In addition, it is possible, if not likely, that the primitives being used were constructed under a different set of assumptions and, thus, should not be assumed to provide the properties that we need under our set of assumptions. A case-study of how this could happen is the first distributed key generation protocol proposed in [24]. This scheme and many variations of it have been used for a long time as building blocks in various cryptographic protocols [13, 17, 16, 22]. Nevertheless, as recently pointed out in [14], this scheme is, in fact, *not* secure in the presence of a malicious adversary.

Therefore, in proving our scheme correct, we make sure that all assumptions made by the primitives being used and the interactions among them are carefully analyzed. Consequently, we are able to rigorously prove that our second scheme meets both the notion of forward security and that of threshold security against mobile eavesdropping adversaries assuming that the underlying Bellare-Miner scheme is secure. (In turn, the Bellare-Miner scheme was shown in [3] to be secure in the random oracle model [4] assuming the hardness of factoring Blum integers.) Our proof ideas are based on the proofs presented in [20, 3, 25, 13].

There are several technical difficulties in adding the distribution of secrets to the Bellare-Miner scheme. One of the them is to jointly generate a random value in Z_N^* where N is the modulus as is required during the signature generation. We found that even though joint-random secret sharing algorithms, such as that proposed in [18], do not guarantee that the generated secret will be in Z_N^* , the probability that it will be is extremely high.¹ Another challenge is that, like many other threshold schemes, we are not working over a field as required by polynomial-interpolation based schemes. Nevertheless, by choosing the right “labels” for the participants, we are able to get around this technical issue with a simple solution. We provide a detailed proof that doing so ensures that all computations in our scheme are performed over a field.

¹Note that even in the unlikely event that the secret value does not belong in Z_N^* , the scheme will still generate valid signatures. Of course, when this happens, the scheme becomes insecure, since an adversary would learn a multiple of a non-trivial factor of N and could therefore factor it. This issue is reflected in the proof of security for our scheme.

Overall, our schemes are reasonably efficient. Clearly, there are additional costs due to the interactions incurred in sharing secrets. However, as previously mentioned, with a small amount of pre-computation performed off-line, forward security adds no additional online cost to the threshold (but non-forward-secure) version of the underlying scheme. (We note that this threshold scheme is of independent interest.) An overview of the characteristics of our two schemes is presented in Figure 1. A more detailed comparison of the schemes and their costs is presented in Section 5.

Scheme Characteristic	Multiplication-based	Polynomial-based
t = Number of compromises tolerated	$t = n - 1$	$t = (n - 1)/3$
k_s = Number of players needed to sign	n	$(2n + 1)/3$
Rounds of (on-line) communication to sign	1	$2l$
k_u = Number of players needed to update	n	$(2n + 1)/3$
Rounds of communication to update	0	2
Type of adversary tolerated	mobile eavesdropping	mobile halting

Figure 1: Comparing our two schemes. The value n represents the total number of players in the scheme, and l is a security parameter.

GENERIC CONSTRUCTIONS. In addition to specific constructions of forward-secure threshold signature schemes, we also explore several generic constructions which add forward security to any existing threshold scheme. These constructions are described in Appendix A. At least one of the parameters (other than signing or verifying time) that are relevant to the efficiency of these generic constructions is proportional to the number of time periods in the lifetime of a public key. This inefficiency is the price we pay for the wide application of the constructions. In contrast, the particular factoring-based schemes we propose in this work achieve our security goals while maintaining its efficiency because we are able to exploit knowledge about the underlying scheme to our advantage.

2 Definitions

In this section, we describe our communication model and the capabilities of an adversary in this model. Then we formalize what is meant by a forward-secure threshold signature scheme. The definitions relating to key evolution and forward security given here are heavily based on those provided in [3].

2.1 Communication Model and Types of Adversaries

The participants in our scheme include a set of n players who are connected by a broadcast channel. We assume that they are capable of private point-to-point communication implemented on the broadcast channel using cryptographic techniques. In addition, we assume that there exists a trusted dealer during the setup phase and that the players are capable of both broadcast and point-to-point communication with him. Finally, we assume a synchronous communication model; that is, all participating players have a common concept of time and, thus, can send their messages simultaneously in a particular round.

We assume that any adversary attacking our scheme can listen to all broadcasted information and may “compromise” the players in some way to learn their secret information. However, the adversary might work in a variety of contexts. We categorize the different types of adversaries here. In both categories described below, the last option listed describes the most powerful adversary, since it always encompasses the preceding options in that category.

The first category we consider is the power an adversary can have over a compromised player. We list the options, as outlined in [13]. First, an adversary may be *eavesdropping*, meaning that she may learn the secret information of a player but may not affect his behavior in any way. A more powerful adversary is one that not only can eavesdrop but can also stop the player from participating in the protocol. We refer to

such an adversary as a *halting* adversary. Finally, the most powerful notion in this category is a *malicious* adversary, who may cause a player to deviate from the protocol in an unrestricted fashion.

The second category which defines an adversarial model describes the manner in which an adversary selects the set of players to compromise. The first type is a *static* adversary, who decides before the protocol begins which set of players to compromise. An *adaptive* adversary, on the other hand, may decide “on the fly” which player to corrupt based on knowledge gained during the run of the protocol. Finally, a *mobile* adversary is traditionally one which is not only adaptive, but also may decide to control different sets of players during different time periods. In this case, there may be no player which has not be compromised throughout the run of the protocol, but the adversary is limited to controlling some maximum number of players at any one time.

The first scheme we present in this paper uses multiplication-based secret sharing, and with regard to the first category above, tolerates eavesdropping adversaries only. The second scheme is based on polynomial secret sharing, and is secure against halting adversaries. In addition, we sketch modifications in Section 5 that could allow the second scheme to tolerate malicious adversaries. In terms of the second category described above, both schemes we present are secure against even mobile adversaries.

2.2 Threshold Signature Schemes

Informally, a (t, k, n) -*threshold* signature scheme is one in which the secret signing key is distributed among a set of n players, and the generation of any signature requires the cooperation of some size- k subset of honest players. In addition, any adversary who learns t or fewer shares of the secret key can learn no information about the secret, and hence is unable to forge signatures. It is often the case that $k = t + 1$; that is, the number of honest players required for signature generation is exactly one more than the number of compromised shares that the scheme can tolerate. A threshold scheme has the advantages of a distributed secret without the limitation of requiring all n players to participate each time a signature is generated.

In this paper, we are concerned with *key-evolving* threshold signature schemes. These are schemes where operation is divided into time periods. Throughout the lifetime of the scheme, the public key is fixed, but the secret key changes at each time period. As in standard signature schemes, there is a key generation protocol, a signing protocol, and a verification algorithm. In a key-evolving scheme, however, there is an additional component known as the evolution or update protocol, which specifies how the secret key is to evolve throughout the lifetime of the scheme. Consequently, we use (t, k_s, k_u, n) to characterize a key-evolving threshold signature scheme that can tolerate at most t corrupted players and works as follows.

First, there is a key generation phase. Given a security parameter κ , the public and the secret keys are generated and distributed to the players. This can be accomplished with a dealer or jointly by the players.

The operation of the scheme is divided into time periods. At the start of a time period, an update protocol is executed among any subset of at least k_u players out of a total of n players. The protocol modifies the secret key for the signature scheme. After participating in the update protocol, each player will have a share of the new secret for that time period.

To generate signatures, any subset of k_s players may execute the signing protocol, which generates a signature for a message M using the secret key of the current time period. The signature is a pair consisting of the current time period and a tag. Assuming that all players behave honestly, the signature will be accepted as valid by the verification algorithm.

Verification works the same as in a normal digital signature scheme. The verifying algorithm can be executed by any individual who possesses the public key. It returns either “Accept” or “Reject” to specify whether a given signature is valid for a given message. We say that $\langle j, tag \rangle$ is a *valid* signature of M during time period j if performing the verification algorithm on the message-signature pair returns “Accept.”

2.3 Forward Security

In a non-threshold *forward-secure signature scheme*, if an adversary learns the secret signing key for a particular time period γ , it should be computationally infeasible for her to generate a signature $\langle j, tag \rangle$ for

any message M such that $\text{verify}_{PK}(M, \langle j, \text{tag} \rangle) = 1$ and $j < \gamma$, where verify is the scheme’s verification algorithm. That is, the adversary should not gain the ability to generate signatures for time periods prior to the time the secret key is compromised. Forward security of a key-evolving scheme requires that the secret key from the previous time period be deleted from the user’s machine as part of the update protocol. Otherwise, an adversary who breaks into the user’s machine will learn signing keys from earlier time periods, and hence have the ability to generate signatures for earlier time periods.

Below, we formalize the property of forward security in terms of key-evolving *threshold* signature schemes, where what is usually meant by “compromising the secret key” is actually compromising $t + 1$ or more *shares* of the secret key. The security properties we desire for such a scheme are two-fold. First, as in any other threshold scheme, no adversary with access to t or fewer shares of the secret key should be able to forge signatures. Second, in order for the scheme to be forward-secure, no adversary who gains $t + 1$ or more shares of the secret in a particular time period should be able to generate signatures for time periods *earlier* than that one. Our notion of security, given below, addresses forward security directly *and* captures threshold security as a special case.

The adversary, working against a forward-secure threshold signature scheme $\text{KETS} = (\text{KETS.keygen}, \text{KETS.update}, \text{KETS.sign}, \text{KETS.verify})$, is viewed as functioning in three stages: the chosen message attack phase (denoted cma), the over-threshold phase (denoted overthreshold), and the forgery phase (denoted forge).

In the chosen message attack phase, the adversary submits queries to the KETS.sign protocol on messages of her choice. She is also allowed oracle access to H , the public hash function used in the KETS.sign protocol. During this phase, she may be breaking into servers and learning shares of the secret, but we assume that no more than t of them are compromised during any one time period. Note that if a player is corrupted during the update protocol at the beginning of a time period, we consider that player to be compromised in *both* the current time period and the immediately preceding one. This is a standard assumption in threshold schemes since the secret information a player holds during the update protocol contains the secrets of both of the time periods.

In the over-threshold phase, for a particular time period b , the adversary may learn shares of the secret key for a set of players of size $t + 1$ or greater. This allows the adversary to compute the secret key. For simplicity in the simulation, we simply give the adversary the entire current state of the system (e.g. actual secret key and all of the shares of the key during this phase). If the adversary selects b to be a time period *after* the very last one, note that the secret key is defined to be an empty string, so the adversary learns no secret information.

In the forgery phase, the adversary outputs a message-signature pair $(M, \langle k, \text{tag} \rangle)$ for some message M and time period k . We consider an adversary *successful* if M was not asked as a query in the chosen message attack phase for time k and *either* of the following holds: (1) her output is accepted by KETS.verify , and k is earlier than the time period b in which the adversary entered the over-threshold phase; (2) she is able to output a message-signature pair accepted by KETS.verify without compromising more than t players.

NOTATION. There are n players in our protocols, and the total number of time periods is denoted by T . The overall public key is denoted PK , and is comprised of l values, denoted U_1, \dots, U_l . In each time period j , the corresponding l components of the secret key, denoted by $S_{1,j}, \dots, S_{l,j}$, are shared among all players. The share of the i -th secret key value $S_{i,j}$ for time period j held by player ρ is denoted $S_{i,j}^{(\rho)}$ and the overall secret information held by player ρ in that time period (all l values) is denoted $SK_j^{(\rho)}$. In general, the notation $X^{(\rho)}$ indicates the share of X held by player ρ .

3 Forward security based on multiplicative secret sharing

Here, we introduce a simple $(t, t + 1, t + 1, t + 1)$ -threshold scheme forward-secure against eavesdropping adversaries, which is based on multiplicative sharing. A value X is shared multiplicatively by having each player ρ hold a random share $X^{(\rho)}$ subject to $X = X^{(1)} X^{(2)} \dots X^{(n)} \pmod N$, for a given modulus N . The

main advantage of this scheme is that no information about the secret is compromised even in the presence of up to $n - 1$ corrupted players out of the total of n players. Its disadvantage, on the other hand, is that it requires n honest players to participate in the signing and, optionally, the refreshing protocols. First, we describe a version of the scheme that can handle (static and) adaptive eavesdropping adversaries. Then, we present a small addition to the scheme that makes it resilient to mobile eavesdropping adversaries.

KEY GENERATION. The key generation protocol is executed by a trusted dealer, who begins with knowledge of the security parameter κ and the total number of time periods T . As in [3], it first picks two random, distinct $\kappa/2$ -bit primes p, q , each congruent to $3 \pmod 4$ and sets $N = pq$. Then, for each player $\rho = 1, \dots, n$, the dealer picks l values $S_{1,0}^{(\rho)}, \dots, S_{l,0}^{(\rho)}$ at random from Z_N^* . It then computes, for each $i = 1, \dots, l$, the i -th shared secret key $S_{i,0} = \prod_{\rho=1}^n S_{i,0}^{(\rho)}$ and its respective public key $U_i = S_{i,0}^{2^{(T+1)}} \pmod N$. Finally, the dealer sets the public key PK to (N, T, U_1, \dots, U_l) , publishes it and sends to each player ρ its initial secret $SK_0^{(\rho)}$ consisting of $(N, T, 0, S_{1,0}^{(\rho)}, \dots, S_{l,0}^{(\rho)})$.

KEY EVOLUTION. At the beginning of every time period j , each player ρ computes each of its secret shares $S_{i,j}^{(\rho)}$ by simply squaring the old share $S_{i,j-1}^{(\rho)}$. It then updates the time index j and deletes its share of the secret key from the previous time period.

SIGNING. The signing protocol is executed in a distributed fashion by all of the n players. Let j denote the current time period and m denote the message to be signed; these are publicly known. First, each player ρ from 1 to n computes its shares of values R and Y by picking a value $R^{(\rho)}$ at random from Z_N^* and computing $Y^{(\rho)} = (R^{(\rho)})^{2^{T+1-j}}$. Each player ρ then broadcasts its share $Y^{(\rho)}$. After receiving the shares of all other players, every player ρ can reconstruct $Y = Y^{(1)} \dots Y^{(n)} \pmod N$ and then computes $c_1 \dots c_l \leftarrow H(j, Y, m)$. Each player ρ locally computes its share of Z by making $Z^{(\rho)} = R^{(\rho)} \prod_{i=1}^l S_{i,j}^{(\rho)c_i}$ and then broadcasts it. After receiving all shares $Z^{(1)}, \dots, Z^{(n)}$, every player ρ can reconstruct $Z = Z^{(1)} \dots Z^{(n)} \pmod N$. We then set the signature on m to $\langle j, (Y, Z) \rangle$ and output it.

VERIFICATION. The verification portion of our scheme is an algorithm, not a protocol. By this, we mean that any person in possession of the public key can verify a signature individually. There is no interaction of parties. As in [3], the algorithm accepts a signature $\langle j, (Y, Z) \rangle$ on m as valid by first computing $c_1 \dots c_l \leftarrow H(j, Y, m)$ and then checking if $Z^{2^{(T+1-j)}} = Y \cdot \prod_{i=1}^l U_i^{c_i} \pmod N$.

As it stands, this scheme is secure against adaptive eavesdropping adversaries (although we do not present the proof here). To deal with mobile eavesdropping adversaries, we simply add a refresh protocol that is executed at the end of every refreshing periods (which may or may not coincide with the key evolution). This renders any knowledge about the shares that an adversary may have gained prior to the execution of the refresh protocol useless, and thus, makes the scheme proactive. The refreshing of shares is done by having each player distribute a sharing of 1 and then multiply its current share by the product of all shares received during the refreshment phase (including its own share).

REFRESH. Each player i participates in the refresh protocol by picking n random numbers $x_1^{(i)}, \dots, x_n^{(i)}$ such that $\prod_{j=1}^n x_j^{(i)} = 1 \pmod N$. Then, for each j between 1 and n , it sends the value $x_j^{(i)}$ to player j through a private channel. Once a player j receives these values from all other players, it computes its share of the new secret by multiplying its current share by $\prod_{i=1}^n x_j^{(i)}$.

4 Forward security based on polynomial secret sharing

Our $(t, 2t + 1, 2t + 1, 3t + 1)$ -threshold scheme is displayed in Figure 2. It is based on polynomial secret sharing, and we prove it to be forward-secure against mobile halting adversaries. In this section, we describe the construction, which relies on several standard building blocks tailored for our purposes. These tools are described in Section 4.2. Finally, at the end of this section, we give details about the security of our scheme.

<p>protocol FST-SIG.keygen(κ, T)</p> <ol style="list-style-type: none"> (1) Dealer picks random, distinct $k/2$-bit primes p, q, each congruent to 3 mod 4 (2) Dealer sets $N \leftarrow pq$ (3) for $i = 1, \dots, l$ do <ol style="list-style-type: none"> (3.1) Dealer sets $S_{i,0} \xleftarrow{R} Z_N^*$ (3.2) Dealer sets $U_i \leftarrow S_{i,0}^{2^{(T+1)}} \bmod N$ (3.3) Dealer uses Shamir-SS protocol over Z_N to create shares $S_{i,0}^{(1)}, \dots, S_{i,0}^{(n)}$ of $S_{i,0}$. (4) for $\rho = 1, \dots, n$ do <ol style="list-style-type: none"> (4.1) Dealer sets $SK_0^{(\rho)} \leftarrow (N, T, 0, S_{1,0}^{(\rho)}, \dots, S_{l,0}^{(\rho)})$ (4.2) Dealer sends $SK_0^{(\rho)}$ to player ρ (5) Dealer sets $PK \leftarrow (N, T, U_1, \dots, U_l)$ and publishes PK. 	<p>protocol FST-SIG.update(j)</p> <ol style="list-style-type: none"> (1) if $j = T$ then return the empty string (2) else <ol style="list-style-type: none"> (2.1) The players jointly compute the updated secret key shares $S_{1,j}, \dots, S_{l,j}$ by squaring the previous values $S_{1,j-1}, \dots, S_{l,j-1} \bmod N$ using the Mult-SS protocol. (2.2) Each player ρ deletes $SK_{j-1}^{(\rho)}$ from his machine.
<p>protocol FST-SIG.sign(m, j)</p> <ol style="list-style-type: none"> (1) Using the Joint-Shamir-RSS protocol, the players jointly generate a random value $R \in Z_N$ so that player ρ is given share $R^{(\rho)}$ of R. (2) The players jointly compute $Y = R^{2^{(T+1-j)}} \bmod N$ using the Mult-SS protocol and their shares of R. (3) Each player ρ computes $c_1 \dots c_l \leftarrow H(j, Y, m)$. (4) Each player ρ executes $Z^{(\rho)} \leftarrow R^{(\rho)}$, so that Z is initialized with the value R. (5) for $i = 1, \dots, l$ do <ol style="list-style-type: none"> (5.1) if $c_i = 1$ then the players jointly compute $Z \leftarrow Z \cdot S_{i,j} \bmod N$ using Mult-SS. (6) The signature of m is set to $\langle j, (Y, Z) \rangle$, and is made public. 	<p>algorithm FST-SIG.verify$_{PK}(m, \langle j, (Y, Z) \rangle)$</p> <ol style="list-style-type: none"> (1) if $Y \equiv 0 \pmod{N}$, then return 0. (2) $c_1 \dots c_l \leftarrow H(j, Y, m)$ (3) if $Z^{2^{(T+1-j)}} = Y \cdot \prod_{i=1}^l U_i^{c_i} \bmod N$, then return 1 else return 0

Figure 2: Our threshold signature scheme forward-secure against halting adversaries. The scheme is based on polynomial secret sharing.

4.1 Construction

KEY GENERATION. The key generation protocol is executed by a trusted dealer, who begins with knowledge of the security parameter κ , and the total number of time periods in our scheme, denoted T . In the protocol, the dealer generates l values for the base secret key denoted $S_{1,0}, \dots, S_{l,0}$. The dealer then generates the l values U_i which are repeated squarings of the corresponding $S_{i,0}$ value. These, along with the modulus N and the total number of time periods T constitute the scheme’s public key, which remains fixed throughout the scheme.

The secret key is then shared among all of the n participants using a modified version of Shamir’s secret sharing as described in Section 4.2. Each player ρ ’s share of the base key $SK_0^{(\rho)}$ consists of each of his shares of the $S_{i,0}$ values (there are l of them). Player ρ ’s secret key is then $(N, T, 0, S_{1,0}^{(\rho)}, \dots, S_{l,0}^{(\rho)})$.

KEY EVOLUTION. At the beginning of each time period, the evolution of the secret key is accomplished via the key update protocol in which at least $2t + 1$ players must participate. (Note the difference from our earlier scheme, which uses multiplicative-sharing and needs *all* players to participate.) At the start of the protocol in time period j , each player who participated in the previous update protocol has $SK_{j-1}^{(\rho)}$, i.e. his share of the previous time period’s secret. The new secret key is computed by squaring the l values in the previous secret key. The players compute this new secret key using the `MULT-SS` protocol (as described in Section 4.2) l times. At the end of the protocol, player ρ holds $SK_j^{(\rho)}$. For security purposes, it is crucial that each player immediately deletes his share of the secret key from the previous time period. Note that a player who had been halted by the adversary during the previous update protocol but is no longer controlled by the adversary will now be given a share of the new secret, which was computed by the “un-halted” players.

SIGNING. The signing protocol is executed in a distributed fashion. Let m denote the message to be signed; this is publicly known. Each player ρ knows $SK_j^{(\rho)}$. As in the update protocol, signing does not require participation by all of the n players. Instead, only $2t + 1$ active players are required.

Because it is the threshold version of [3], this protocol is based on a commit-challenge-response framework. Using `JOINT-SHAMIR-RSS` as described in Section 4.2, the participating players jointly generate a random value R from Z_N , then repeatedly square it $T - j + 1$ times using the `MULT-SS` protocol, to get the commitment Y . The challenge is an l -bit string determined by a public hash function H given the current time period, the commitment, and the message as input. These bits determine a subset of values from the secret key. Finally, the response is the jointly-computed product of R with the subset of secret key values determined by the challenge. This value, called Z , is made known to all the players over the broadcast channel. The signature is then j along with the pair Y, Z .

VERIFICATION. The verification portion of our scheme is an algorithm, not a protocol. This is because any person in possession of the public key can verify a signature individually. There is no interaction of parties. The individual makes use of the public hash function H to determine the positions of the subset of secret key values which were used in the signature. Then he checks that Z squared $T + 1 - j$ times is truly equal to the product of the challenge and the corresponding subset of public key values. If the check is verified, the signature is accepted (denoted by a “1” in our protocol), else the signature is deemed invalid (“denoted by a “0”).

In order to distribute the scheme in [3] across many players, we made one important modification to the underlying signature protocol, which we highlight here. In the Bellare-Miner scheme, R is a random element in Z_N^* , while here R is a random value in Z_N . As explained in Section 4.2, the signature generated by the signing algorithm is still valid.

4.2 Building Blocks

We will now describe the sub-protocols used in our scheme as shown in Figure 2.

SHAMIR-SS . Shamir’s standard secret sharing protocol operates over a finite field. A *dealer* chooses a secret value a_0 and a random polynomial $p(x)$ of degree k whose coefficients are denoted a_0 to a_k . He then sets the coefficient of the constant term to be the secret a_0 and sends to a *shareholder* i the value of $p(i)$. The proof of the privacy of this scheme is typically based on the fact that the computations are performed over a finite field. However, the computations in our scheme are performed over Z_N , which is not a field. Nevertheless, we can still guarantee that the system has a unique solution over Z_N by ensuring that the determinant of the Vandermonde matrix is relatively prime to N , and therefore, the matrix is invertible modulo N .

First, we require that the number of players in the protocol must be less than both p and q . Second, the share of the protocol given to player i must be $f(i)$. This way, none of the x_i ’s in the shares used to reconstruct contain a factor of p or q . Next, we recognize that all elements in the $k + 1 \times k$ Vandermonde matrix are relatively prime to N since none of them contains a factor of p or q . Finally, the determinant of the Vandermonde matrix is given by $\prod_{1 \leq j < k \leq k+1} (x_{i_k} - x_{i_j}) \pmod N$, and therefore the determinant must be relatively prime to N . Note that a similar approach has been taken by Shoup [26] when sharing an RSA key over $Z_{\Phi(N)}$.

MULT-SS . In our scheme, we need the ability to jointly multiply two distributed secrets. We use such a protocol in several places in our scheme, namely, during the generation of signatures and also during the updates of the secret key.

We formulate the problem as follows: two secrets α and β are shared among n players via degree- t polynomials $f_\alpha(x)$ and $f_\beta(x)$, respectively, so that $f_\alpha(0) = \alpha$ and $f_\beta(0) = \beta$. The goal is for the players to jointly compute a sharing of a new polynomial G , such that $G(0) = \alpha\beta$. Several previous works have addressed this problem, starting with the observation by [6] that simple multiplication by player P_i of his individual secrets $f_\alpha(i)$ and $f_\beta(i)$ determines a non-random polynomial with degree $2t$. We describe a modified version of a protocol proposed in [15], which describes a step accomplishing degree-reduction and randomization in a model with only eavesdropping adversaries.² In contrast, our model allows halting adversaries.

The degree reduction and randomization step in [15] assumes that the $2t + 1$ participating players are those with indices $1, 2, \dots, 2t + 1$, and therefore make use of precomputed constants in this step. However, in our model, the adversary may arbitrarily choose which players to halt, so we cannot assume that the participants are a particular subset of players. Instead, during the run of the protocol, we can jointly determine which players are available to participate. To do this, every player P_i who is functioning *and* was not halted during the most recent update phase will broadcast an “I’m alive” message. From the set of those that respond, we will select the players with the $2t + 1$ smallest indices to actually perform the computation. Then, the constants corresponding to that subset of players can be computed efficiently, in time $O(2t + 1)$.

We point out that, if at any time during the execution of the MULT-SS protocol, a participating player is halted by the adversary, this will be noticed by at least one other participant, and the protocol can be aborted and restarted with a different subset of (currently) participating players. Furthermore, the multiplication protocol will never need to be restarted more than t times, due to the bound on the number of players the adversary can halt during one time period. In addition, in the case of a MULT-SS restart, we stress that the entire update or signature protocol which is using the MULT-SS protocol *need not* be restarted. This is true because at each step of these protocols, we ensure that all n players are sent shares of the input of the next step. That is, when a new set of $2t + 1$ players is selected during the restart of the multiplication protocol, we are guaranteed to find a sufficient set of players which possesses the required input information for the multiplication.

JOINT-SHAMIR-RSS . Standard joint-random secret-sharing protocols such as that proposed in [18] and [14] allow a group of players to jointly generate a secret without a trusted dealer. In the instantiation used in our scheme, each participant chooses a random secret and a polynomial to share the secret as in

²A second protocol is given in [15] which requires players to commit to their input shares, so that it tolerates even malicious adversaries. In our model, however, we do not need this functionality, so we have modified their simpler protocol to meet our needs.

Shamir’s secret sharing scheme. Each participant then plays the role of a dealer by distributing its secret using Shamir’s secret sharing scheme. The jointly defined secret value is then the sum of the secrets of all participants.³ Furthermore, we require that the shares from player P_i be dealt out in one broadcast message, with the share for each player P_j encrypted under P_j ’s public key. This ensures an “atomic” sharing, so that, regardless of when the adversary chooses to halt players, all players receive shares from the same subset of players. If no such message is broadcast from a particular player P_j , he is assumed to be halted, and the sum of shares for any individual player will clearly not include a share from P_j .

Our scheme requires that the jointly created random value R belongs in Z_N^* , but clearly, this protocol does not provide such a guarantee. However, the probability that R , which is known to be in Z_N , is *not* in Z_N^* is negligible. Specifically, the numbers in Z_N which are not in Z_N^* are precisely those numbers which are multiples of p and q . There are approximately $p + q$ of these, out of a total of pq values in Z_N . Therefore, the probability of finding an R which is in Z_N but not Z_N^* is approximately $\frac{1}{q} + \frac{1}{p}$, a negligible probability.

4.3 Security

In this section, we give several statements about the security of our FST-SIG scheme. Proofs of the statements are given in Appendix B. First, we state a lemma demonstrating the correctness of our construction.

Lemma 4.1 Let $PK = (N, T, U_1, \dots, U_l)$ and $SK_0^{(j)} = (N, T, 0, S_{1,0}^{(j)}, \dots, S_{l,0}^{(j)})$ ($j = 1, \dots, n$) be, respectively the public key and player j ’s secret key generated by FST-SIG.keygen. Let $\langle j, (Y, Z) \rangle$ be a signature generated by FST-SIG.sign on input m when all n players participated in the distributed protocol. Then $\text{FST-SIG.verify}_{PK}(m, \langle j, (Y, Z) \rangle) = 1$

In the following lemma, we state the threshold-related parameters of our scheme.

Lemma 4.2 FST-SIG is a *key-evolving* (t, k_s, k_u, n) -*threshold digital signature scheme* for $n = 3t + 1$, $k_s = 2t + 1$, $k_u = 2t + 1$. That is, it tolerates up to t halting faults when the total number of players $n = 3t + 1$, requires the involvement of $2t + 1$ players to evolve the secret key, and requires the involvement of $2t + 1$ players to generate a valid signature.

The following theorem relates the forward security of our construction to that of underlying signature scheme given in [3]. It shows that, as long as we believe that Bellare-Miner scheme is secure, any adversary working against our scheme would have only a negligible probability of success forging a signature with respect to some time period prior to that in which it gets the secret key.

Theorem 4.3 Let FST-SIG be our key-evolving $(t, 2t + 1, 2t + 1, 3t + 1)$ -threshold digital signature scheme and let FS-SIG be the (single-user) digital signature scheme given in [3]. Then, FST-SIG is a forward-secure threshold digital signature scheme in the presence of *halting* adversaries as long as FS-SIG is a forward-secure signature scheme in the standard (single-user) sense.

PROOF IDEA. As the security of our threshold scheme is based on that for the forward security of the single-user scheme in [3], familiarization with their notion of security might be helpful in understanding our proof. Our proof also uses ideas from [13] regarding the simulation of the adversary’s view of the protocol.

Let F be an adversary against the forward security of our threshold signature scheme. The idea is to construct an adversary A against the forward security of Bellare-Miner scheme in the standard (single-user) sense and then relate the success probability of both adversaries. To do so, we need to simulate the signing and hashing oracles to which F has access, and also F ’s view of the protocol. However, we can do this by using our own signing and hashing oracles and using the fact that, as long as t players are corrupted, all sets of t shares have the same probability. To provide F with the current secret key for the period in

³Note that this scheme is secure for our purpose since only halting adversaries are allowed. It is not secure, however, under attacks by malicious adversaries as pointed out in [14].

which it decides to switch to the overthreshold phase, we simply use our break-in option. Then, when F outputs a forgery for some previous time period, we return the same forgery as the output of our algorithm. See Appendix B for details.

5 Discussion

COST ANALYSIS AND COMPARISONS. Distributed computation can be somewhat costly, but our signature schemes are quite efficient compared to the forward-secure single-user scheme of [3]. For example, in the multiplicative-sharing based scheme, the only added cost for the key generation protocol, which uses a trusted dealer, is the actual sharing of the secret. The update protocol is also very efficient, requiring l local multiplications and *no interactions*. Finally, the signing protocol requires only one round of interaction.

It is clear that our multiplicative-sharing based scheme is very simple, efficient, and highly resilient, i.e. it can protect the secret even in the presence of up to $n - 1$ corrupted players where n is the number of players. Furthermore, the costs of signing and update is very low. The price of this simplicity and low overhead, however, is that the scheme can only cope with eavesdropping adversaries.

In contrast, the proposed scheme based on polynomial secret sharing can tolerate halting adversaries which are more powerful, although it can tolerate fewer of them. It also is not as efficient as the multiplicative-sharing based scheme. We can improve the performance of this scheme, however, by speeding up the multiplication in terms of communication. In particular, during the update, we can perform all l computations in parallel, and thus, use only one instantiation of the multiplication protocol. Signing can also be expedited by moving some of the computation off-line. Specifically, since generation of the random value R and computation of the commitment Y do not depend on the message or the current time period, they can be precomputed. This is a significant improvement since the computation of Y is costly, given its $\frac{(T+1)}{2}$ squarings on average. With this optimization, the on-line signing costs of our new threshold scheme are the same as those in [3]. We can improve upon this slightly, by multiplying pairs of numbers together, and using their product as input into the next round of multiplication. In this way, on average we still perform $\frac{l}{2}$ multiplications, but only use $\lg \frac{l}{2}$ rounds of communication among players. The verification costs of the two schemes are identical, since the verifying algorithm is the same in both cases.

In terms of space efficiency, the sizes of the public keys in the two schemes are identical. It is not surprising that our scheme requires a larger amount of secret key memory overall, since the secret is distributed among a group of players. However, the secret key memory required per player is the same in both schemes.

It is interesting to note that in our scheme, the size of the actual secret (as opposed to the size of the set of *shares* of the secret) is not any larger than that of the base scheme. This indicates that actual storage space required for players' shares of the secret in our scheme is the same as that required for the related threshold scheme without forward security. Therefore, with these improvements, adding forward security in this scheme imposes no additional online costs.

ADDING ROBUSTNESS. In order to make our polynomial-secret-sharing based threshold scheme resilient to malicious adversaries, we need to make quite a few changes to it. We list here the most significant ones.

First, all secret sharings would need to be verifiable so that malicious behavior can be detected. For that purpose, we can use the Pedersen Verifiable Secret Sharing protocol, `Pedersen-VSS`, in place of `Shamir-SS` protocol. This protocol has the advantage of being information-theoretically secure in terms of privacy. The `Pedersen-VSS` protocol works as follows. Let $z \in Z_{q'}$ be the secret value being shared, where q' is a large prime. Let p' be a large prime such that q' divides $p' - 1$, let $g \in Z_{p'}^*$ be an element of order q' , and let h be a random element generated by g . In order to share z , we first generate two random polynomials $f(x) = a_0 + a_1x + \dots + a_t x^t$ and $f'(x) = a'_0 + a'_1x + \dots + a'_t x^t$ over $Z_{q'}$ with $a_0 = z$, then commit to the coefficients by broadcasting the values $C_\alpha = g^{a_\alpha} h^{a'_\alpha} \bmod p'$ for $\alpha = 0, \dots, t$, and then give to player i the shares $z^{(i)} = f(i)$ and $z'^{(i)} = f'(i)$. In our case, however, we need $q' = N$, where N is the product of two unknown large primes. Fortunately, as in the case of the modified `Shamir-SS` protocol, this is not a problem since Z_N is an excellent approximation of a field.

Second, the `Joint-Shamir-RSS` protocol would also have to be replaced by a robust version. For that purpose, we can use the Joint-Pedersen Verifiable Secret Sharing, `Joint-Pedersen-VSS`, protocol [9, 14, 23]. This protocol works as follows. In a first step, each player i commits to a random value in $z_i \in Z'_q$ using `Pedersen-VSS` protocol. Then, in a second step, each player verifies the commitments sent by all other players and builds a set of good players containing all those players whose commitments passed the test. It can be shown [9, 14] that all good players will agree on the same set, which we call `QUAL`. At a last step, all players i in `QUAL` will compute their shares $x^{(i)}$ of the common shared secret $x = \sum_{i \in \text{QUAL}} z_i \bmod q'$ by using the secret information they received in the first step. More specifically, if $z_i^{(j)}$ is the share of z_i received by player j from player i , then $x^{(i)} = \sum_{j \in \text{QUAL}} z_i^{(j)} \bmod q'$. Again, the only modification we would need to make in our case is to have $q' = N$.

Lastly, we need to modify the `Mult-SS` protocol so that it works even in the presence of malicious adversaries. In order to do so, we suggest here a variant of the robust multiplication protocol given in [15], which we call `Robust-Mult-SS`, in which secrets are shared using the `Pedersen-VSS` protocol. This variant is very similar in spirit to the multiplication protocol given in [9]. Let α and β be the two shared secret values being multiplied. Let $\alpha^{(i)}$ and $\beta^{(i)}$ be the shares of α and β held by player i respectively. Let The protocol works as follows. First, each player i commits to the value $\alpha^{(i)}\beta^{(i)}$ by using the `Pedersen-VSS` protocol and then proves in Zero Knowledge that the value it has just committed is the correct one. Examples of such proofs can be found in [10, 15]. Having done that, then each player can compute locally their shares of the new secret $\alpha\beta$ by computing a linear combination of correct shares it has received. As in the modified version of the multiplication protocol presented in the previous section, the exact values of the coefficients for the linear combination will depend on which set of qualified players is considered in the computation. As in the previous cases, we would have to use $q' = N$ in the `Pedersen-VSS` protocol. As one can see, this protocol involves a lot of interaction due to the ZK proofs contained in it. To avoid that, one can resort to solutions for robust multiplication like the one presented in [1] which avoid the use of ZK proofs altogether.

6 Acknowledgments

We would like to thank Mihir Bellare for his advice and for his comments on earlier drafts of this paper.

References

- [1] M. Abe. Robust distributed multiplication without interaction. In M. Wiener, editor, *Advances in Cryptology – CRYPTO ’ 99*, volume 1666 of *Lecture Notes in Computer Science*, pages 130–147. Springer-Verlag, Berlin Germany, Aug. 1999.
- [2] R. Anderson. Invited lecture. Fourth Annual Conference on Computer and Communications Security, 1997.
- [3] M. Bellare and S. Miner. A forward-secure digital signature scheme. In M. Wiener, editor, *Advances in Cryptology – CRYPTO ’ 99*, volume 1666 of *Lecture Notes in Computer Science*, pages 431–448. Springer-Verlag, Berlin Germany, Aug. 1999.
- [4] M. Bellare and P. Rogaway. Random oracles are practical: A paradigm for designing efficient protocols. In V. Ashby, editor, *1st ACM Conference on Computer and Communications Security*. ACM Press, Nov. 1993.
- [5] M. Bellare and B. Yee. Design and application of pseudorandom number generators with forward security. Authors’ working draft, oct 1998.

- [6] M. Ben-Or, S. Goldwasser, and A. Wigderson. Completeness theorems for noncryptographic fault-tolerant distributed computations. In ACM, editor, *Proceedings of the 20th Annual ACM Symposium on Theory of Computing, Chicago, Illinois, May 2–4, 1988*, pages 1–10, New York, NY 10036, USA, 1988. ACM Press.
- [7] D. Boneh and M. Franklin. Efficient generation of shared RSA keys. In B. K. Jr., editor, *Advances in Cryptology – CRYPTO ’ 97*, volume 1294 of *Lecture Notes in Computer Science*, pages 425–439. Springer-Verlag, Berlin Germany, Aug. 1997.
- [8] R. Canetti, Y. Dodis, S. Halevi, E. Kushilevitz, and A. Sahai. Exposure-resilient functions and all-or-nothing transforms. In B. Preneel, editor, *Advances in Cryptology – EUROCRYPT ’ 2000*, volume 1807 of *Lecture Notes in Computer Science*, pages 453–469. Springer-Verlag, May 2000.
- [9] R. Canetti, R. Gennaro, S. Jarecki, H. Krawczyk, and T. Rabin. Adaptive security for threshold cryptosystems. In M. Wiener, editor, *Advances in cryptology — CRYPTO ’99*, volume 1666 of *Lecture Notes in Computer Science*, pages 98–115. Springer-Verlag, 1999.
- [10] R. Cramer and I. Damgaard. Zero-knowledge proofs for finite field arithmetic, or: Can zero-knowledge be for free? *Lecture Notes in Computer Science*, 1462:424–441, 1998.
- [11] Y. Desmedt and Y. Frankel. Shared generation of authenticators and signatures. In J. Feigenbaum, editor, *Advances in cryptology – CRYPTO ’ 91*, volume 576 of *Lecture Notes in Computer Science*, pages 457–469. Springer-Verlag, Aug. 1991.
- [12] A. Fiat and A. Shamir. How to prove yourself: Practical solutions to identification and signature problems. In A. M. Odlyzko, editor, *Advances in Cryptology – CRYPTO ’ 86*, volume 263 of *Lecture Notes in Computer Science*, pages 186–194. Springer-Verlag, 1987, Aug. 1986.
- [13] R. Gennaro, S. Jarecki, H. Krawczyk, and T. Rabin. Robust threshold dss signatures. In U. Maurer, editor, *Advances in Cryptology – EUROCRYPT ’ 96*, volume 1070 of *Lecture Notes in Computer Science*, pages 354–371. Springer-Verlag, Berlin Germany, May 1996.
- [14] R. Gennaro, S. Jarecki, H. Krawczyk, and T. Rabin. Secure distributed key generation for discrete-log based cryptosystems. In J. Stern, editor, *Advances in Cryptology – EUROCRYPT ’ 99*, volume 1592 of *Lecture Notes in Computer Science*, pages 295–310. Springer-Verlag, Berlin Germany, May 1999.
- [15] R. Gennaro, M. Rabin, and T. Rabin. Simplified VSS and fast-track multiparty computations with applications to threshold cryptography. In *Proceedings 17th ACM Symposium on Principles of Distributed Computing (PODC)*, 1998.
- [16] A. Herzberg, M. Jakobsson, M. Jarecki, H. Krawczyk, and M. Yung. Proactive public key and signature systems. In *4th ACM Conference on Computer and Communications Security*, pages 100–110, 1997.
- [17] A. Herzberg, M. Jarecki, H. Krawczyk, and M. Yung. Proactive secret sharing or: How to cope with perpetual leakage. In D. Coppersmith, editor, *Advances in Cryptology – CRYPTO ’ 95*, volume 963 of *Lecture Notes in Computer Science*, pages 339–352. Springer-Verlag, Berlin Germany, Aug. 1995.
- [18] I. Ingemarsson and G. Simmons. A protocol to set up shared secret schemes without the assistance of a mutually trusted party. In I. Damgård, editor, *Advances in Cryptology – EUROCRYPT ’ 90*, volume 473 of *Lecture Notes in Computer Science*, pages 266–282. Springer-Verlag, 1991, May 1990.
- [19] H. Krawczyk. Practical forward-secure signature schemes from any signature scheme. Manuscript.
- [20] S. Micali and L. Reyzin. Improving the exact security of digital signature schemes. In *CQRE 99*, *Lecture Notes in Computer Science*. Springer-Verlag, 1999.

- [21] H. Ong and C. Schnorr. Fast signature generation with a Fiat Shamir–like scheme. In I. Damgård, editor, *Advances in Cryptology – EUROCRYPT ’90*, volume 473 of *Lecture Notes in Computer Science*, pages 432–440. Springer-Verlag, 1991, May 1990.
- [22] Park and Kurosawa. New elgamal type threshold digital signature scheme. *TIEICE: IEICE Transactions on Communications/Electronics/Information and Systems*, 1996.
- [23] T. Pedersen. Non-interactive and information-theoretic secure verifiable secret sharing. In J. Feigenbaum, editor, *Advances in cryptology — CRYPTO ’91*, volume 576 of *Lecture Notes in Computer Science*, pages 129–140. Springer-Verlag, 1991.
- [24] T. Pedersen. A threshold cryptosystem without a trusted party. In D. W. Davies, editor, *Advances in Cryptology – EUROCRYPT ’91*, volume 547 of *Lecture Notes in Computer Science*, pages 522–526. Springer-Verlag, Apr. 1991.
- [25] D. Pointcheval and J. Stern. Security proofs for signature schemes. In U. Maurer, editor, *Advances in Cryptology – EUROCRYPT ’96*, volume 1070 of *Lecture Notes in Computer Science*, pages 387–398. Springer-Verlag, May 1996.
- [26] V. Shoup. Practical threshold signatures. In B. Preneel, editor, *Advances in Cryptology – EUROCRYPT ’96*, volume 1807 of *Lecture Notes in Computer Science*. Springer-Verlag, May 2000.

A Adding forward security to any threshold signature scheme

In this section, we present several ways one could add forward security to any existing threshold signature scheme. All the solutions presented here will have at least one of its parameters (i.e. public key, secret key, signature length, or memory size) growing linearly with the total number of time periods T . Except for the last one, all of methods described here follow ideas given in [3].

LONG SECRET AND PUBLIC KEYS. In the first method, we have separate secret and public keys for each time period. We achieve this by running the key generation protocol of the underlying threshold scheme T times, once for each time period. The secret share of each player is then the collection of the shares for all T time periods. Likewise, the public key is the collection of the the public keys of each period. Signature generation and verification are done as in the underlying scheme, by using keys for the current time period to sign and verify. The only difference is that we attach to the original signature an index j indicating the time period in which the signature was generated. The update protocol deletes those shares which are no longer needed. As one can see, this clearly achieves forward security, but at the cost of very long secret and public keys. We note that proactivity can be easily added in this case by updating the shares of each player at the beginning of each time period.

REDUCING THE PUBLIC KEY LENGTH. The second method is based on an idea suggested by Anderson [2], which trades public key length for storage space. As before, we create secret and public key pairs for each time period, but we think of these public keys as verification keys, since they will not actually be included in the scheme’s public key. We also generate an additional pair (sk, pk) which we use to certify each of the verification keys. We then delete this additional secret key sk and set pk to be the public key of the scheme. The secret shares of each player are the same as in the previous method. Additionally, however, we need to store all the verification keys for each time period, and their respective certificates. These will be attached to the signature, so any verifying party can check the validity of the the verification key (using pk and the certificate) as well as the validity of the signature itself (using the verification key). As before, the update protocol only involves deleting the secret shares of old time periods. Proactivity can be added to this scheme in the same way suggested for the previous method.

REDUCING THE SECRET KEY LENGTH. A slight but significant variation to the previous method is proposed in [19]. It assumes a base threshold scheme with distributed key generation protocol in order to avoid

the need for a trusted third party during a key update. Such protocols are known for both RSA [7] and discrete-log [14] based cryptosystems. The operation of the scheme is similar to the method we described immediately above, except that the coins used by each player in the key generation protocol are actually generated by means of a forward-secure pseudorandom number generator [5]. This type of generator differs from a standard one in that its seed is periodically updated and its output in previous stages are indistinguishable from random. The method which uses this type of generator works as follows.

Each player i will initially hold a random seed s_i for a forward-secure pseudorandom generator. This seed is then used to generate all the pseudorandom bits needed by i in its participation in the distributed key generation protocol in all T time periods. Then, as above, we run the key generation protocol of the base scheme for each time period with the difference that each player uses its own sequence of pseudorandom bits. We then generate an additional pair (sk, pk) of secret and public keys, use sk to create certificates for each of the public keys, delete sk , and set the public key to pk . The certificates are then stored and all the secret keys deleted. The initial secret share of player i is simply s_i . In the update protocol, each player i will run the forward-secure pseudorandom generator using its seed as input to obtain a new block of pseudorandom bits and a new seed. Then, all players engage in a key generation protocol using their new blocks of pseudorandom bits to obtain shares of the (recreated) secret key for the new period and the matching public key. Signing and verification work as in the previous method. One can easily see that this scheme achieves forward security against eavesdropping adversaries.

SHARING THE SEEDS. Despite being very efficient both in terms of signing costs and key sizes, the previous method requires all the players to be present during a key update so that the exact same sequence of keys get generated. The absence of even a single player during a key update may be enough to obstruct its operation for that time period (since the key generation protocol most likely would not generate the secret-public key pair for which we have a certificate). To get around this constraint and thereby tolerate halting adversaries, we add one more level of secret sharing to the scheme. Let $s_{i,j}$ denote the seed held by player i in time period j . Now, in each time period j , each user would have a share of the seed $s_{i,j}$ held by player i (by working over a sufficiently large prime field). This way, if a player i becomes faulty in a time period j , thus not taking part in the key generation protocol for that period, then a threshold k of players can reconstruct the seed $s_{i,j}$ for that player, compute its sequence of pseudorandom bits, and then play its role in the the key generation protocol. As a result, only a threshold k of players is required to generate keys of signatures at any time. The main drawback of such an approach, which might be too severe in some cases, is that once a player becomes faulty, its secret is revealed to all other players. These players can then compute the sequence of pseudorandom bits for that player for all subsequent time periods.

B Proofs of Security

Proof of Lemma 4.1: In order to verify that $(m, \langle j, (Y, Z) \rangle)$ is a valid signature, we need to check whether $Z^{2^{(T+1-j)}} = Y \prod_{i=1}^l U_i^{c_i} \bmod N$. From the description of the protocol, we know that R is a random element in Z_N , $Y = R^{2^{(T+1-j)}} \bmod N$, $c_1 \dots c_l = H(j, Y, m)$, and $Z = R \prod_{i=1}^l S_{i,j}^{c_i} \bmod N$. Hence, $Z^{2^{(T+1-j)}} = (R \prod_{i=1}^l S_{i,j}^{c_i})^{2^{(T+1-j)}} = R^{2^{(T+1-j)}} \prod_{i=1}^l S_{i,j}^{c_i 2^{(T+1-j)}} = Y \prod_{i=1}^l S_{i,0}^{c_i 2^{(T+1)}} = Y \prod_{i=1}^l U_i^{c_i} \bmod N$, and $\text{FST-SIG.verify}_{PK}$ returns 1 on input $(m, \langle j, (Y, Z) \rangle)$ as desired. ■

Proof of Lemma 4.2: The proof follows directly from the description of FST-SIG and Lemma 4.1. ■

Proof of Theorem 4.3: Let F be the adversary working against the security of our scheme. We want to construct an algorithm against the forward security of the underlying scheme using F as a subroutine. Following the model of [3], our algorithm runs in three phases: the chosen message attack phase, *cma*; the over-threshold phase, *overthreshold*; and the forgery phase, *forge*. It also has access to both a signing oracle, \mathcal{O} , and a hashing oracle, H . Let the public key $PK = (N, T, U_1, \dots, U_l)$ be the input of our algorithm during the *cma* phase. We then start running F in its *cma* phase, feeding it PK .

Initially, we pick a number $w \in Z_N^*$ at random and set $v = w^2 \bmod N$. We then choose at random a time period a to be our guess for the time period of the over-threshold phase, so $1 \leq a \leq T$. In addition, we randomly choose a value $1 \leq i \leq l$ and set $U_i = v^{2^{T-a}} \bmod N$ so that we know the value of $S_{i,j}$ for any time period $j > a$. For all other values $i' \neq i$ between 1 and l , we pick a random value $S_{i',0} \in Z_N^*$ and compute $U_{i'} = S_{i',0}^{2^{(T+1)}} \bmod N$. We then set $PK = (N, T, U_1, \dots, U_l)$, choose a random tape for F and remember it, and start running F for the first time in its cma phase, feeding it PK .

During the cma phase, F is allowed to make queries to a H oracle and a KETS.sign oracle. Therefore, we need to simulate both these oracles. In doing so, we have to simulate F 's view of the protocol. F is allowed to corrupt up to t players (of its choice) per time period in this phase, and it can corrupt by either simply eavesdropping or actually halting the player. Therefore, we need to be able to simulate the actions and views of the corrupted players.

At the beginning of each time period, F has the option of either staying in cma phase or switching to an overthreshold phase. If it chooses the first option, so does our algorithm. We only switch to a breakin phase when F switches to its overthreshold phase.

DISTRIBUTING SHARES OF THE SECRET KEYS. Let \mathcal{B}_j denote the set of corrupted players in the current time period j . We know that $|\mathcal{B}_j| \leq t$. We need to provide each player $b \in \mathcal{B}_j$ with a share of the current secret key $S_{i,j}$ for $i = 1, \dots, l$. However, we do not know these secret values. Fortunately, we can get around this problem by simply picking a value for the share $S_{i,j}^{(b)}$ of $S_{i,j}$ at random from Z_N for each player $b \in \mathcal{B}_j$. We are allowed to do so because the sharing is information theoretically secure, and all sets of t shares have the same probability. Moreover, because the Mult-SS protocol we use in the update protocol not only reduces the degree of the polynomial used to share the new secret key but also re-randomizes the shares, the values that we pick for the shares of the secret key of each corrupted player in different time periods are independent as long as at most t are corrupted. (Here we make use our assumption that if a player is corrupted at the beginning of the update protocol, then it is corrupted in both the previous and current time period.) Notice, nevertheless, that if we define t shares of $S_{i,j}$, then all other shares are implicitly defined (although we cannot compute them because we do not know the value of $S_{i,j}$ itself).

SIMULATING THE SIGNING ORACLE. We can easily simulate the signing oracle KETS.sign of F using our signing oracle \mathcal{O} , and also simulate F 's view of the signing protocol. Let m be the message being queried to the signing oracle. We first query our oracle \mathcal{O} for a signature $\langle j, (Y, Z) \rangle$ on m . This is the signature we return to F as the answer to its signing query.

In order to simulate F 's view of the signing protocol, we do the following. First, we need to simulate the generation of R and then simulate the successive runs of the Mult-SS protocol until we get Y . But the problem is that we do not know the value R such that $R^{2^{T+1}} = Y$. However, we can get around this problem in a way similar to the method we used with the shares of the secret key. In the generation of R , each player picks a random value and shares it with the other players. Since there are at most t players in \mathcal{B}_j , we can pick their shares of R at random in Z_N . If $|\mathcal{B}_j| = t$ and since R is implicitly defined by Y , then all the other shares are also implicitly defined. But that poses no problem, because we can still provide all players $b \in \mathcal{B}_{j-1}$ with up to t random shares of these other shares without actually knowing their values.

The simulation of the run of the Mult-SS protocol in the computation of the shares of R^2 from the shares of R can also be done in a way similar to that of the update protocol. Let $R^{(b)}$ denote the share of R of a player $b \in \mathcal{B}_j$. We can compute the shares of R^2 as follows. For each player $b \in \mathcal{B}_j$, we create shares $(R^2)^{(b)} = (R^{(b)})^2$ using the Shamir-SS protocol and give them to all other players in \mathcal{B}_j . We also give each player $b \in \mathcal{B}_j$ a set of $n - |\mathcal{B}_j|$ random values in Z_N representing the shares of the shares of R^2 of all other players participating in the protocol. This defines, for each player not in \mathcal{B}_j , a set of $|\mathcal{B}_j|$ shares of the share of R^2 held by that player. And since $|\mathcal{B}_j| \leq t$, that player's share of R^2 is equally distributed in Z_N . We then repeat the same process in order to compute all the shares (and "sub-shares" thereof) of $R^4, \dots, R^{2^{T+1}} = Y$ viewed by the corrupted players in \mathcal{B}_j . At this point, we also need to compute all the

other shares and broadcast them so that Y can be computed by all players. Let $R^{2^{T+1}(b)}$ denote the resulting share of $R^{2^{T+1}}$ held by a player $b \in \mathcal{B}_j$. We can compute the values of the other shares of $R^{2^{T+1}}$ by using both the value Y we obtained above from our signing query, and the values of the shares $R^{2^{T+1}(b)}$ of each player $b \in \mathcal{B}_j$. If $|\mathcal{B}_j| < t$, then we pick $t - |\mathcal{B}_j|$ shares for $R^{2^{T+1}}$ at random, then compute the rest of the shares from the ones we have. Having done this, we give Y and all shares of $R^{2^{T+1}}$ to F . Now, it still remains to simulate of the adversary's view during the last part of the signing protocol, in which the shares of Z are computed from the challenge $c_1 \dots c_l$ and the shares of R and $S_{i,j}$ ($i = 1, \dots, l$). However, we can do this using an argument similar to the one above since we know the value Z and the values of the shares $R^{(b)}$ and $S_{i,j}^{(b)}$ held by each player $b \in \mathcal{B}_j$.

SIMULATING THE H ORACLE. We can easily simulate the hashing oracle H of F using our oracle H . For each query (j, Y, m) made by F , we query our oracle H on the same input and return to F the answer we receive.

OBTAINING A FORGERY. Let a the time period in which F decides to switches to an overthreshold phase. At this point, we must provide F with the current secret key. To do so, we first switch to a breakin phase to get the current secret $(S_{1,a}, \dots, S_{l,a})$ and then return it to F . Let $(m, \langle a, (Y, Z) \rangle)$ be the forgery output by F . We simply return $(m, \langle a, (Y, Z) \rangle)$ as the output of our algorithm.

PROBABILITY ANALYSIS. The probability of success of our algorithm will be very close to that of F . The only difference is that in simulating the signing oracle above, all the values we use are in Z_N^* (since the single-user version of the protocol works over Z_N^*) while, in the real signing oracle, it is possible for some of the values it outputs to be in Z_N but not Z_N^* . But since the value R in the signing protocol is picked at random from Z_N , the probability that it is not in Z_N^* is negligible. Given that $N = pq$, the probability is at most $p + q/(pq) = 1/q + 1/p$. Hence, if the total number of queries to signing oracle is q_s , then $q_s(1/p + 1/q)$ is exactly the amount by which the probability of success of our algorithm is reduced with respect to that of F . ■