# Computational integrity with a public random string from quasi-linear PCPs.

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A party running a computation remotely may benefit from misreporting its output, say, to lower its tax. Cryptographic protocols that detect and prevent such falsities hold the promise to enhance the security of decentralized systems with stringent computational integrity requirements, like Bitcoin [Nak09]. To gain public trust it is imperative to use publicly verifiable protocols that have no "backdoors" and which can be set up using only a short public random string. *Probabilistically Checkable Proof (PCP)* systems [BFL90, BFLS91, AS98, ALM<sup>+</sup>98] can be used to construct astonishingly efficient protocols [Kil92, Mic00] of this nature but some of the main components of such systems — *proof composition* [AS98] and low-degree testing via *PCPs of Proximity (PCPPs)* [BGH<sup>+</sup>05, DR06] — have been considered efficient only asymptotically, for unrealistically large computations; recent cryptographic alternatives [PGHR13, BCG<sup>+</sup>13a] suffer from a non-public setup phase.

This work introduces SCI, the first implementation of a scalable PCP system (that uses both PCPPs and proof composition). We used SCI to prove correctness of executions of up to  $2^{20}$  cycles of a simple processor (Figure 1) and calculated (Figure 2) its *break-even point* [SVP<sup>+</sup>12, SMBW12]. The significance of our findings is two-fold: (i) it marks the transition of core PCP techniques (like *proof composition* and *PCPs of Proximity*) from mathematical theory to practical system engineering, and (ii) the thresholds obtained are nearly achievable and hence show that PCP-supported computational integrity is closer to reality than previously assumed.

### 1 Introduction

**Computational Integrity** An unobserved party is often required to execute a program  $\mathbb{P}$  on data x. Yet, that party might benefit from misreporting the output y. For example:

1. Individuals and companies may benefit financially from reporting lower tax payments; in this case  $\mathbb{P}$  is the program that computes tax, x is the tax-relevant data and y is the resulting tax.

- Criminals may benefit if an innocent individual (or no individual) is prosecuted based on faulty crime-scene data analysis, and corrupt law enforcement officials to reach this outcome. In this case ℙ is the program that analyzes crime-scene data, x may contain the cryptographic hashes of (i) a criminal DNA database and (ii) DNA fingerprints taken from the crime-scene, and y would be the name of a suspect.
- 3. Health-care and other insurance companies may benefit from mis-computing policy rates. In this case  $\mathbb{P}$  may be a government-approved program that computes policy rates, x is the medical history of an individual (including, perhaps, her genetic profile) and y is her policy rate.

Naturally, correctness and integrity of the input data x are preliminary requirements for obtaining a correct output y; the input x often arrives from third parties hence changing it maliciously to  $x' \neq x$  would require their collusion and can be deterred by existing cryptographic means. Instead, the main focus of this work is on ensuring the integrity of the *computation*  $\mathbb{P}$  itself, e.g., ensuring that reported tax y is correct with respect to input x and program  $\mathbb{P}$ . In spite of incentives to cheat, we often assume that unobserved parties operate with *computational integrity* (CI) meaning that CI statements like

$$\tau_{(\mathbb{P},x,y,T)} := "y \text{ is the output of program } \mathbb{P} \text{ on input } x \text{ after } T \text{ steps"}$$
(\*)

are considered true, even when the party making the statement could benefit from replacing y with  $y' \neq y$ . The assumption that parties operate with computational integrity is backed by (i) legislation and (ii) regulation, and also relies on (iii) the economic value of "integrity" to individuals, businesses and government. Manual enforcement of CI via audits and reports by trusted third parties is labor-intensive, and yet leaves the door open to corruption of those third parties. Automated CI based on cryptography (also called *delegation of computation* [GKR08], *certified computation* [CMT12] and *verifiable computation* [GGP10]) could potentially replace this manual labor and, more importantly, introduce integrity to settings in which it is currently too costly to achieve. Bitcoin [Nak09] serves as an ongoing large-scale attempt of building a monetary system based on cryptographic CI; irrespective of the outcome of this experiment, it inspires us to try and broaden the applications of cryptographic CI to other areas of life.

Interactive proof (IP) systems [BM88, GMR89] revolutionized cryptographic CI by initiating an approach that led (see below) to a viable theoretical solution to the problem of discovering false CI statements. In such systems the party that makes the CI statement (\*) is represented by a *prover* which is a (randomized) algorithm. The prover tries to convince a *verifier* — an efficient randomized algorithm — that (\*) is true via a court-of-law-style *interactive* protocol in which the verifier "interrogates" the prover over several rounds of communication. The protocol ends with the verifier announcing its verdict which is either to "accept"  $\tau_{(\mathbb{P},x,y,T)}$  as true, or to "reject" it. The systems we focus on have only one-sided error: all true statement can be supported by a prover that causes the verifier to accept them but the verifier may err and accept falsities; the probability of error is known as the *soundness-error*.

**Probabilistically checkable proof (PCP) systems**<sup>1</sup> [BFL90, BFLS91, AS98, ALM<sup>+</sup>98] are a particularly efficient multi-prover interactive proof (MIP) system [BGKW88] in terms of the amount of communication between prover and verifier, verification time, the number of rounds of interaction and soundness-error. Here, the prover writes once a string of bits  $\pi_{(\mathbb{P},x,y,T)}$  known as a

<sup>&</sup>lt;sup>1</sup>PCPs are also known as *holographic*, and *transparent* proof systems.

PCP; its length is polynomial in the execution time T. Total verifier running time is polylog T, which is (i) negligible compared to the naïve solution of re-executing  $\mathbb{P}$  at a cost of T steps and (ii) nearly-optimal because every proof system for general CI statements must have the verifier running time be at least  $\Omega(\log T)$ . Using a single round, the verifier asks to read a small (randomly selected) number of bits of  $\pi_{(\mathbb{P},x,y,T)}$ ; clearly the verifier cannot read more bits than its running time (poly log T) allows, and this amount can be further reduced to a small constant that is independent of T (cf. [Raz95, Hås01, Din07, MR08]). Initial constructions required proofs of length poly(T) but length has been reduced since then [HS00, BSVW03, BGH+06] and state-of-the-art proofs are of quasi-linear length in T, i.e., length  $T \cdot \text{poly log } T$  [BS08, Din07, BGH+05, Mie09] and can be computed in quasi-linear time as well [BCGT13a]. The system reported — called Scalable Computational Integrity (SCI) — implements the quasi-linear PCP system with certain improvements (described later).

In certain cases the program  $\mathbb{P}$  uses auxiliary inputs that the prover wishes to keep private, like passwords, financial data and medical information. Privacy-preserving, or zero knowledge (ZK), proofs [GMR89] can be constructed from any PCP system in polynomial time [Kil92, DFK<sup>+</sup>92, KPT97] (cf. [IKOS09, IMS12, MX13, IMSX15]). Certain "algebraic" PCP systems, including SCI, can be converted to zero-knowledge with only a quasilinear increase in running time [BCGV16]; implementing this enhancement is left to future work.

A PCP verifier requires random access to bits of  $\pi_{(\mathbb{P},x,y,T)}$ ; a naïve implementation in which prover sends the whole proof to the verifier would cost poly(T) communication (and verification time) but a Cryptographically Strong Hash (CSH) function, like SHA256, can be used to reduce communication and verifier running time to poly log T [Kil92]. The three messages transmitted between prover and verifier ((1) prover sends proof; (2) verifier sends queries; (3) prover answers queries) can be reduced to a single message from the prover, if we assume both parties have access to the same random function [Mic00]; this is simulated, typically as well as here, by applying the Fiat-Shamir heuristic [FS87]. The single message (published by the prover) is known as a succinct computationally sound (CS) proof  $\hat{\pi}$ ; its length is poly log T and it can now be appended to  $\tau_{(\mathbb{P},x,y,T)}$ and then publicly verified in time poly log T with no further interaction with the prover. We refer to  $\hat{\pi}$  as a hash-based (CI) proof to emphasize that the only cryptographic primitive needed to implement it is a CSH.

**Prior CI solutions** In spite of the asymptotic efficiency of PCPs, prior CI approaches (recounted below) did not implement a PCP system. To quote from the recent authoritative survey [WB15], the reason for this was that "the proofs arising from the PCP theorem (despite asymptotic improvements) were so long and complicated that it would have taken thousands of years to generate and check them, and would have needed more storage bits than there are atoms in the universe". Due to this view (which this work challenges), four main alternatives have been explored recently. Like SCI, all rely on arithmetization [LFKN92], the reduction of computational integrity statements (\*) to systems of low-degree polynomials over finite fields. But in contrast to SCI, all previous solutions circumvent the use of core PCP techniques like proof composition [AS98], low-degree testing and the use of PCPs of proximity (PCPP) [BGH<sup>+</sup>05, DR06]; these techniques are crucial for obtaining succinct proofs with a public setup process, discussed below.

**IP-based:** The *proofs for muggles* approach [GKR08] scales down Interactive Proofs (IP) and leads to excellent solutions for a limited yet interesting class of programs: those with high parallelism and small memory consumption; prover time for *IP-based* systems was reduced to

quasi-linear [CTY11] and implemented in a number of works [CMT12, Tha13, VSBW13].

- **LPCP-based:** [IKO07] proposed using additively homomorphic encryption (AHE) and *linear PCPs (LPCP)* to build CI proof systems that are interactive, and where the verifier's work is amortized over multiple statements; cf. [SBW11, SVP<sup>+</sup>12, SMBW12] for implementations of *LPCP-based* systems.
- KOE-based: A sequence of works [Gro10, GGP10, Lip12, BCI<sup>+</sup>13, GGPR13] improved on [IK007] by relying on *Knowledge Of Exponent (KOE)* assumptions and bilinear pairings over elliptic curves. *KOE-based* systems were implemented in [PGHR13, SBV<sup>+</sup>13, BCG<sup>+</sup>13a, BCTV14b, WSR<sup>+</sup>15], and further optimizations of this latter system for specific applications related to Bitcoin [Nak09] such as smart contracts [KMS<sup>+</sup>15] and anonymous payment systems [BCG<sup>+</sup>14] are already being evaluated by commercial entities [Gre16].
- **IVC-based:** KOE-based systems require a proving key  $k_P$  (discussed below) that is longer than T, the number of computation cycles. Incrementally verifiable computation (IVC) [Val08] and bootstrapping [BCCT13] shorten the length of  $k_P$  to poly log T and an *IVC-based* system has been implemented recently [BCTV14a].

**Comparing SCI to prior CI solutions** SCI improves qualitatively on all previous solutions in terms of its setup (or "preprocessing"): it requires only a short *public random string*. Like IVC-based systems, SCI is *one-shot universally scalable (OSUS)*, a property not obtained by IP-, LPCP- and KOE-based systems, and thus is *the first system that is OSUS with a public setup*. (Quantitatively, SCI is more efficient than the IVC-based system [BCTV14a] in the OSUS setting; see Table 1 for more quantitative comparisons.) We discuss the significance of these properties after explaining them.

**One-shot universal scalability (OSUS)** A CI system is *universally scalable* if for any fixed program  $\mathbb{P}$ , prover running time is bounded by Tpoly log T and verification time is at most poly log T where T is the number of machine cycles<sup>2</sup>. If the same asymptotic running times hold even for a single execution of  $\mathbb{P}$ , and where the setup ("preprocessing") is carried out by the verifier (and hence setup-cost is part of the total verification-cost), we shall say the CI solution is *one-shot universally scalable* (OSUS). IP-based systems are efficient only for highly-parallel computations, thus are not *universally scalable*. LPCP- and KOE-based systems are universally scalable but not OSUS because they require a proving key  $k_P$  that is longer than T which must be generated by the verifier (in the one-shot setting). Of all prior solutions, only IVC-based ones are OSUS, like SCI.

**Public setup** All implemented solutions prior to SCI, if instantiated as publicly verifiable CI systems, require a setup phase ("preprocessing"), the output of which is a pair of keys  $(k_P, k_V)$ , one needed for proving statements, the other for verifying them. A "trapdoor key"  $k_{tpdr}$  is associated with  $(k_P, k_V)$  and can be used to forge pseudo-proofs of false statements. Furthermore,  $k_{tpdr}$  can be recovered by the parties that run the preprocessing phase. Secure multi-party computation can boost security by "distributing knowledge" of the trapdoor among several parties [BCG<sup>+</sup>15] so that all of them have to be compromised to recover  $k_{tpdr}$ ; but this does not remove the concern that  $k_{tpdr}$  has been recovered by collusion of all parties, or retrieved by a central party eavesdropping to all of them. Even if  $k_{tpdr}$  has not been recovered by anyone, its mere existence may erode trust

<sup>&</sup>lt;sup>2</sup>Formally, a CI system is *universally scalable* if for any language  $L \in \mathbf{NTIME}(T(n))$  prover running time is T(n) poly log T(n) and verifier running time is poly log T(n) where n denotes input length.

in such systems. (Cf. [BFS16] for a recent discussion of setup-attacks and their implications and mitigations.) In contrast, SCI requires only a short public random string when instantiated as a publicly verifiable noninteractive CI system.

**Discussion** The combination of OSUS and public setup which is unique to SCI has three implications: (i) the ease of setting up and modifying CI systems based on it is relatively small, (ii) the trust assumptions made by parties using it are comparatively minor and hence (iii) it seems more suitable than existing solutions for use in decentralized and public settings, like Bitcoin. We repeat and stress that many such applications require zero-knowledge proofs, a property achieved by prior solutions and not achieved by SCI; augmenting SCI to obtain zero knowledge seems within reach [BCGV16] but is outside the scope of our work.

The challenges involved in building scalable universal PCP systems We faced three main challenges when attempting to construct PCP systems that scale well and apply to general programs: (i) implementing the recursive proof composition [AS98] technique applied to PCPs of proximity (PCPPs) [BGH<sup>+</sup>05, DR06] (ii) constructing quasi-linear PCPP systems for Reed-Solomon (RS) error correcting codes [RS60] of huge message length [BS08] that require, in particular, quasi-linear time algorithms for interpolation and multi-point evaluation of large-degree polynomials over finite fields of characteristic 2; and (iii) reducing general programs that include jumps, loops, and random access memory (RAM) instructions to succinct Algebraic Constraint Satisfaction Problem (sACSP) instances that "capture" the corresponding CI statement (\*). To overcome the blowup (i) that is due to recursive PCPP composition, we replace PCPPs with interactive oracle proofs of proximity (IOPPs) [EB16, BBGR16, BCG<sup>+</sup>16] and increase the number of rounds of interaction between prover and verifier; the extra rounds can be removed in the random oracle model [EB16]. To address (ii) we built a dedicated library that implements finite field arithmetic efficiently [BHST16] and used it to further implement additive Fast Fourier Transforms (aFFT) [GM10] that perform interpolation and multi-point evaluation in quasi-linear time and in parallel (via multi-threading). To solve (iii) and reduce general programs to PCP systems efficiently, we devise a novel reduction from general programs for random access machines to sACSP instances. We describe these three contributions in more detail in the *Methods* and *Supplemental Information* sections.

#### 2 Measurements

SCI was applied to two programs computing the NP-complete subset-sum problem (cf. Appendix C in Supplemental Information); we explain this choice after introducing the two programs. The input to the subset-sum problem is an integer array A of size n and a target integer t; the problem is to decide whether there exists a subset  $A' \subset A$  that sums to t. The CI statement addressed here is the co-NP version of the problem, stating "no subset of A sums to t" and denoted by  $\tau_{(A,n,t)}$ . The two programs differ in their time and space consumption. The first one *exhaustively* tries all possible subsets, requiring  $2^n$  cycles but only O(1) memory, hence can be executed using only the local registers of the machine and with no random access to memory. The second program uses *sorting* and runs in time  $O(2^{n/2})$ , a quadratic improvement over the exhaustive solution but it also requires  $\Theta(2^{n/2})$  memory and hence uses the random access memory. We denote the two programs by  $\mathbb{P}_{exh}$  and  $\mathbb{P}_{sort}$ , respectively.

**On choice of programs** We would like to run SCI on "real-world" applications like the examples given in the introduction but our current scalability is not up to par. This situation is similar to that of the very first works on other CI solutions (cf. [CTY11, SBW11, PGHR13, BCG<sup>+</sup>13a]): initial reports discussed only small word-size machines, restricted functionality and simple programs. Like some of those works (most notably, [BCTV14b]) we use the 16-bit version of the TinyRAM architecture as our model of computation, and support all of its assembly code even though these two programs use only a subset of it. We focus on subset-sum for two reasons: (i) it is a natural NP-complete problem that is often used in cryptographic applications but more importantly (ii) it allows us to display the effect of time–space tradeoffs on our CI solution (cf. Figure 2).

**Measurement range** Input array size n ranged between 3–16. Prover data was measured on a "large" server with 32 AMD Opteron cores at clock rate 3.2 GHz and 512 Gigabytes of RAM, running with two threads per core (total of 64 threads); to bound the single-core/thread prover time one may multiply the stated times by  $\times 32/\times 64$  respectively. Verifier data was measured on a "standard" laptop, a Lenovo T440s with Intel core i7-4600 at clock rate 2.1 GHz and 12 Gigabytes RAM. We stress that verifier succinctness for one-shot programs allows us to measure verifier running time independently of prover running time, all the way up to  $2^{47}$  machine cycles. Both prover and verifier were measured for 1-bit security and 80-bit security using state-of-the-art PCPP and IOPP security estimates [BBGR16].

**Prover time and memory** The left column of Figure 1 presents the running time (top) and memory consumption (bottom) of the Prover for both  $\mathbb{P}_{exh}$  and  $\mathbb{P}_{sort}$  as a function of the number of machine cycles of the simulated machine for both 1-bit and 80-bit security level. The two main observations from these figures are that (i) resources scale quasi-linearly with number of cycles and (ii)  $\mathbb{P}_{sort}$  is more costly than  $\mathbb{P}_{exh}$  due to its random access memory usage, which increases proof length by  $\times \log^{O(1)} T$  factor for a *T*-cycle execution (cf. Methods). Figure 2 compares time and memory as a function of the size on the input array *n* and shows that for  $n \geq 8$  the quadratic running-time improvement of  $\mathbb{P}_{sort}$  over  $\mathbb{P}_{exh}$  outweighs the  $\times O(\log T)$  factor required by random access to memory, both for 1-bit and 80-bit security level.

Verifier time and query complexity The right column of Figure 1 shows verifier running time (top) and query complexity (bottom) for both programs for both 1-bit and 80-bit security levels. Notice the  $\approx 2^{13}-2^{23} \times$  factor improvement of verifier over prover in both parameters (recall  $1MB = 2^{10}KB$ ) and the increase in running time as a function of security due to repetition. For small *n* verifier running time is greater than that of the naïve verifier which re-runs the program. However, since naive verification grows like  $2^n$  for  $\mathbb{P}_{exh}$  and like  $2^{n/2}$  for  $\mathbb{P}_{sort}$ , for  $n \geq 22$  (at 80-bit security) our verifier is more efficient than the naïve one for  $\mathbb{P}_{exh}$ , and for  $n \geq 48$  the verifier for  $\mathbb{P}_{sort}$  is more efficient than the naïve one (cf. Figure 3).

Quantitative comparison with other CI implementations Table 1 compares SCI to two recent CI systems, one KOE-based [BCG<sup>+</sup>13a] and the other IVC-based GGPR [BCTV14a]. One sees that SCI has shorter and simpler setup but larger post-setup communication complexity and verification time, as predicted by theory. Two other important differences are: (i) proofs in SCI are not zero-knowledge whereas prior solutions are, and (ii) the setup of SCI is not only shorter but also

Table 1: Quantitative comparison of SCI with KOE-based [BCG<sup>+</sup>13a] and IVC-based [BCTV14a] solutions. Data measured on executions of  $2^{16}$  cycles of  $\mathbb{P}_{exh}$  at an 80-bit security level on the same machine with 32 AMD Opteron cores at clock rate 3.2 GHz and 512 Gigabytes of RAM. Notice the proving time of SCI is ~ ×2 slower than KOE-based and ~ ×150 faster than IVC-based. Regarding total communication complexity, SCI is more efficient than prior solutions but less efficient when measuring only post-processing communication. The main difference between SCI and prior solutions is quantitative: in SCI, setup requires only a public random string whereas prior solutions require private randomness associated with a trapdoor that may compromise security.

		KOE-based	IVC-based	SCI
Verifier	time	$\sim 28 \min$	$\sim 10 \text{ sec}$	<0.01 sec
setup	key length	$\sim 18.9 \text{ GB}$	43 MB	16 bytes
Prover	time	$\sim 18 \min$	4.2  days	$\sim 41 \min$
TIOVEL	memory	$\sim 216 \text{ GB}$	2.9 GB	$\sim 135 \text{ GB}$
Verifier	time	<10 ms	$\sim 25 \text{ ms}$	$\sim 0.5 \text{ sec}$
postprocessing	communication	230 bytes	374 bytes	$\sim 42.5 \text{ MB}$
	$\operatorname{complexity}$	250 bytes	J14 Dytes	10 42.0 MD
Verifer total	$\operatorname{time}$	$\sim 28 \min$	$\sim 10 \text{ sec}$	$\sim$ 0.5 sec
communication		$\sim 18.9 \text{ GB}$	43 MB	$\sim 42.5 \text{ MB}$
	complexity	10.0 GD	10 MD	

comprised only of a public random string, whereas prior solutions require private setup and involve a trapdoor that can be used to forge proofs of false statements.

#### **3** Overview of construction

The construction of the PCP  $\pi_{(\mathbb{P},x,y,T)}$  for the computational statement  $\tau_{(\mathbb{P},x,y,T)}$  follows the rather complex process detailed in [BS08, BGH<sup>+</sup>06, BCGT13b, BCGT13a] which we summarize next (see Appendix A in Supplemental Information). The statement  $\tau_{(\mathbb{P},x,y,T)}$  is converted into an instance  $\psi_{(\mathbb{P},x,y,T)}$  of an algebraic constraint satisfaction problem (ACSP) over a finite field<sup>3</sup>  $\mathbb{F}$  of characteristic 2 and  $\tau_{(\mathbb{P},x,y,T)}$  is used by prover and verifier as described next.

**Prover** To construct the PCP, the prover executes  $\mathbb{P}$  on input x and encodes the execution trace by a Reed-Solomon [RS60] codeword  $\mathbf{a}_{(\mathbb{P},x,y,\mathsf{T})}$  evaluated over an additive sub-group of  $\mathbb{F}$ . The ACSP instance  $\psi_{(\mathbb{P},x,y,T)}$  is applied to  $\mathbf{a}_{(\mathbb{P},x,y,\mathsf{T})}$  as described in [BS08, Equation (3.2)] to obtain an additional RS-codeword, denoted  $\mathbf{b}_{(\mathbb{P},x,y,\mathsf{T})} = \psi_{(\mathbb{P},x,y,\mathsf{T})}(\mathbf{a}_{(\mathbb{P},x,y,\mathsf{T})})$ , that "attests" to the fact that  $\mathbf{a}_{(\mathbb{P},x,y,\mathsf{T})}$  encodes a valid execution trace, and hence, in particular, its output is correct. Each of the two codewords is appended with a PCP of proximity (PCPP) for the RS-code [BS08], denoted  $\pi_{\mathsf{a}}, \pi_{\mathsf{b}}$ , respectively. The PCP  $\pi_{(\mathbb{P},x,y,T)}$  is defined to be the concatenation of  $\mathbf{a}_{(\mathbb{P},x,y,\mathsf{T})}, \mathbf{b}_{(\mathbb{P},x,y,\mathsf{T})}, \pi_{\mathsf{a}}$ and  $\pi_{\mathsf{b}}$ .

**Verifier** The verifier queries the four parts of the PCP in the following manner: First it invokes an RS-PCPP verifier that queries  $a_{(\mathbb{P},x,y,T)}$  and  $\pi_a$  to "check" that  $a_{(\mathbb{P},x,y,T)}$  is close in Hamming distance to a codeword of the RS-code; it repeats this process with respect to  $b_{(\mathbb{P},x,y,T)}$  and  $\pi_b$ . Second and last, the verifier queries  $a_{(\mathbb{P},x,y,T)}$  and  $b_{(\mathbb{P},x,y,T)}$  and uses  $\psi_{(\mathbb{P},x,y,T)}$  to check that the two

 $<sup>{}^{3}</sup>SCI$  uses the field of size  $2^{64}$  which suffices for the computations measured here.

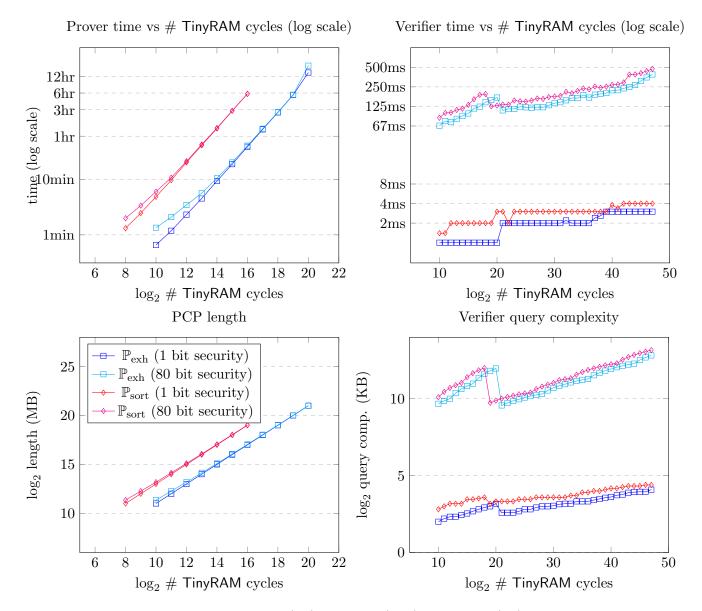


Figure 1: Comparison of prover (left) and verifier (right) running time (top) and memory consumption (bottom). The sharp drop in query complexity is due to transition from 2 to 3 levels of recursion in the RS-PCPP; as seen in the top-right, this has little effect on overall verifier running time, which is significantly smaller than prover running time, and also grows at a considerably slower rate as a function of # cycles. Answers to verifier queries provided by random strings which simulates accurately actual proofs because verifier is non-adaptive, i.e., its running time is independent of the proof content.

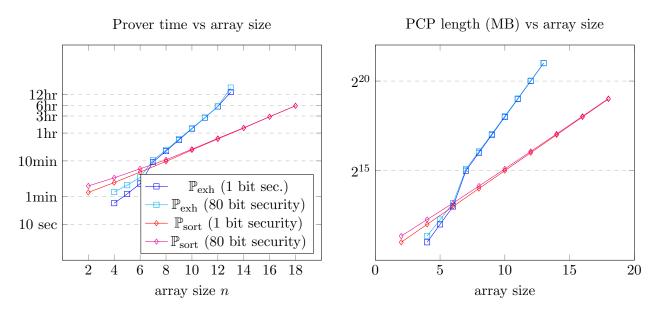


Figure 2: Prover running time (left) and memory consumption (right) as a function of input array size n. For  $n \geq 8$  the quadratic running-time improvement of  $\mathbb{P}_{sort}$  over  $\mathbb{P}_{exh}$  overcomes the  $\times poly \log T$  factor overhead of  $\mathbb{P}_{exh}$  due to random memory access; this holds for both 1-bit and 80-bit security level.

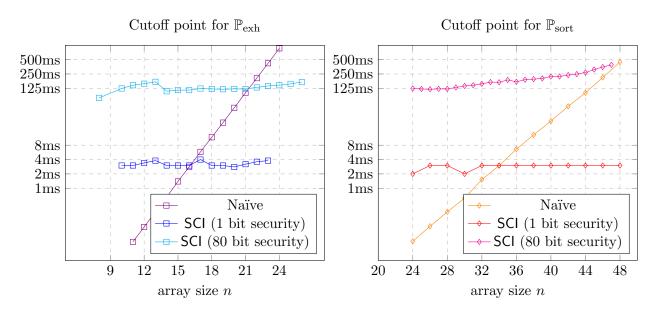


Figure 3: Computation of the *break-even point* [SVP<sup>+</sup>12, SMBW12], the minimal input size n for which naïve verification via re-execution becomes more costly than PCP-based verification. For  $\mathbb{P}_{exh}$  at 80-bit security this threshold is at n = 22 and for  $\mathbb{P}_{sort}$  it is significantly higher, estimated around n = 48, due to quadratic improvement in running time of the latter program.

codewords encode a valid computation of  $\mathbb{P}$  that starts with x and reaches y within T cycles. In this process we rely on the "locality" of the mapping  $\psi_{(\mathbb{P},x,y,T)} : a_{(\mathbb{P},x,y,T)} \to b_{(\mathbb{P},x,y,T)}$  which means that each entry of  $b_{(\mathbb{P},x,y,T)}$  depends on a small number of entries of  $a_{(\mathbb{P},x,y,T)}$ . In what follows we elaborate on the novel aspects of this reduction as implemented in SCI.

From assembly code to succinct ACSP The efficiency of the ACSP instance  $\psi_{(\mathbb{P},x,y,T)}$  is measured by three parameters that we seek to minimize: *circuit, degree,* and *query complexity*, denoted  $C_{(\mathbb{P},x,y,T)}$ ,  $D_{(\mathbb{P},x,y,T)}$ ,  $Q_{(\mathbb{P},x,y,T)}$  respectively. Circuit size affects both proving and verification time; degree affects PCP length and reducing it decreases running time and memory consumption on the prover side; query complexity affects the length of communication between prover and verifier (and the length of computationally sound (CS) proofs  $\hat{\pi}$ ) as well as verifier running time. Each parameter can be optimized at the expense of the other two, and the challenge is to reach an efficient balance between all three.

Our starting point is a program  $\mathbb{P}$ , i.e., a sequence of *instructions* for a random access machine (RAM). For simplicity we first focus on instructions that access only (local) registers; random access memory instructions are discussed below. Each instruction specifies the input and output register locations and an operation applied to the inputs, called the *opcode*. We build  $\psi_{(\mathbb{P},x,y,T)}$  bottom-up (cf. Appendix B in Supplemental Information for a detailed example). Each opcode **op** appearing in  $\mathbb{P}$  (like xor, add, jump, etc.) is specified by an *algebraic definition* over  $\mathbb{F}$ ; in other words, we specify a set of multi-variate polynomials  $\mathcal{P}_{op} \subseteq \mathbb{F}[X_1, X_2, \ldots, X_m]$  such that the set of common zeros of  $\mathcal{P}_{op}$  correspond to correct input-output tuples for **op**. Program flow is controlled by multiplying each polynomial in  $\mathcal{P}_{op}$  by a multivariate Lagrange "selector" polynomial that, based on the value v of the program counter (PC), annihilates all constraints that are irrelevant for enforcing the vth instruction of  $\mathbb{P}$ . For a program with  $\ell$  lines these selector polynomials have degree  $\lceil \log \ell \rceil$ . The resulting ACSP has circuit size  $O(\ell)$  and degree and query complexity are  $\log \ell + O(1)$ ; the constants hidden by asymptotic notation depend on the machine specification.

**Random access memory instructions** The execution trace of  $\mathbb{P}$  is the length-T sequence of machine states that describes the computation. To verify the integrity of random access memory instructions (such as load and store) we follow [BCGT13a, BCGT13b] and use a *pair* of execution traces. The first trace, trace<sup>time</sup>, is sorted increasingly by time, and the second, trace<sup>mem</sup>, is sorted lexicographically first by memory location, then by time. RAM-related execution validity is verified "locally" by inspecting pairs of consecutive elements in trace<sup>mem</sup>, just like non-RAM related instructions are verified "locally" by inspecting pairs of consecutive elements in trace<sup>time</sup>. To further reduce proof length and query complexity, each state of trace<sup>mem</sup> contains only the information needed to check memory consistency — an address, its content and the type of memory access (load/store); let s denote the number of field elements in a single line of trace<sup>mem</sup>.

To prove that trace<sup>mem</sup> and trace<sup>time</sup> refer to the same execution, the prover must describe a permutation between the two, and the verifier must check its validity. To achieve this SCI uses a non-blocking Beneš switching network [Clo53, Ben65a] embedded in an affine graph over  $\mathbb{F}$  (cf. [BS08, BCGT13b] for definitions). Using this method, adding RAM-related instructions to a program adds only  $O(T \cdot \log T)$  field elements to the PCP and increases query complexity by a small constant.

Reducing proof construction time via Interactive Oracle Proofs of Proximity (IOPP) A significant portion of the prover running time and memory consumption are dedicated to the construction of the PCP of Proximity (PCPP) for  $\mathbf{a}_{(\mathbb{P},\mathsf{x},\mathsf{y},\mathsf{T})}$  and for  $\mathbf{b}_{(\mathbb{P},\mathsf{x},\mathsf{y},\mathsf{T})}$ . The full PCPP for an RS-codeword of degree N is of length  $O(N \log^{2.6} N)$  which is quite large in our applications. Observing that (i) these PCPPs are built using recursive *PCPP composition* [BGH<sup>+</sup>06], and (ii) only a small fraction of recursive branches are explored by the verifier, we increase the number of rounds of interaction and use a *notarized interactive proof of proximity* (NIPP) [BBGR16], a special case of *interactive oracle proofs of proximity* (IOPP) [EB16, BCG<sup>+</sup>16] to reduce proof length to  $4N + O(\sqrt{N})$ . The added rounds of interaction can be removed in the random oracle model to obtain computationally sound proofs [EB16].

**Parallel implementation of PCPPs for RS codes** To reduce the time required to encode the execution trace into a pair of RS-codewords, SCI uses parallel algorithms for finite field operations and for dealing with polynomials over finite fields of characteristic 2. To speed up basic field operations (most notably, multiplication) a dedicated algebraic library was built, that utilizes parallel hardware on multi-core CPU. Interpolation and evaluation of polynomials over affine spaces of size N are computed in quasilinear time using so-called *additive Fast Fourier Transform* (aFFT) [GM10].

## 4 Concluding remarks

SCI is the first implementation of a system of computational integrity that achieves asymptotic one shot universal scalability (OSUS) with a setup key that is merely a public random string. Prior solutions required a setup procedure that involves keys which could be used to forge proofs of falsities. While the computer programs on which SCI was tested are of limited applicability, the simpler setup assumptions of SCI make it a natural starting point for building further applications — most notably zero knowledge proofs — for use in decentralized networks.

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### A Detailed PCP construction

We describe the way a PCP is generated for  $\tau_{(\mathbb{P},x,y,T)}$ , then discuss its verification.

**Proof generation** The PCP proof  $\pi_{(\mathbb{P},x,y,T)}$  for  $\tau_{(\mathbb{P},x,y,T)}$  is a concatenation of four sub-proofs: two codewords in a Reed-Solomon code [RS60] and two quasilinear size PCPs of Proximity (PCPP) for the RS-codewords [BS08]. To obtain these four sub-proofs, the prover starts by executing the program  $\mathbb{P}$  on input x for T steps and records its *execution trace* — the length-T sequence of machine states that the machine goes through during execution. Each state is converted to a sequence of elements in the finite field  $\mathbb{F}$  of size  $2^{64}$ ; Auxiliary field elements are appended to each state to reduce the degree complexity of  $\psi_{(\mathbb{P},x,y,T)}$  as described in Section B; let s denote the total number of field elements per state. The resulting *algebraic trace*  $trace trace^{aug}$  is thus a table of  $N = T \cdot s$  elements of  $\mathbb{F}$ , and is viewed as a function from  $S \subset \mathbb{F}, |S| = N$  to  $\mathbb{F}$ , where S is an affine space over the two-element field. Prover now computes the low-degree extension (LDE) of trace<sup>*aug*</sup> by interpolating and then evaluating trace<sup>*aug*</sup> on a set  $S' \subset \mathbb{F}$  that is significantly larger than S. This results in a codeword  $a_{(\mathbb{P},x,y,\mathsf{T})}$  of a Reed-Solomon (RS) code [RS60] over  $\mathbb{F}$  of degree N-1 and rate  $\rho = |S|/|S'|$ . Next, the ACSP instance  $\psi_{(\mathbb{P},x,y,T)}$  is applied to  $a_{(\mathbb{P},x,y,T)}$  as described in [BS08, Equation (3.2)], producing another RS-codeword  $\mathbf{b}_{(\mathbb{P},\mathsf{x},\mathsf{y},\mathsf{T})} = \psi_{(\mathbb{P},\mathsf{x},\mathsf{y},\mathsf{T})}(\mathbf{a}_{(\mathbb{P},\mathsf{x},\mathsf{y},\mathsf{T})})$ , of degree  $D_{(\mathbb{P},x,y,T)} \cdot (N-1)$  and rate  $\rho' = D_{(\mathbb{P},x,y,T)} \cdot \rho$  (SCI uses  $\rho' = \frac{1}{8}$ ). Finally, a PCP of proximity (PCPP) for RS-codes [BS08] is appended to each of  $a_{(\mathbb{P},x,y,T)}$  and  $b_{(\mathbb{P},x,y,T)}$  to prove that indeed each belongs to the RS-code of the designated rate —  $\rho$  for  $a_{(\mathbb{P},x,y,T)}$  and  $\rho'$  for  $b_{(\mathbb{P},x,y,T)}$ ; denote these PCPPs by  $\pi_{a}, \pi_{b}$ , respectively. Summing up, the PCP proof  $\pi_{(\mathbb{P},x,y,T)}$  is the concatenation of the four strings  $a_{(\mathbb{P},x,y,T)}, \pi_a, b_{(\mathbb{P},x,y,T)} \text{ and } \pi_b.$ 

**Proof verification** On the verifier side, given  $\psi_{(\mathbb{P},x,y,T)}$  as input and oracle access to

$$\pi_{(\mathbb{P},x,y,T)} = (\mathsf{a}_{(\mathbb{P},\mathsf{x},\mathsf{y},\mathsf{T})}, \pi_{\mathsf{a}}, \mathsf{b}_{(\mathbb{P},\mathsf{x},\mathsf{y},\mathsf{T})}, \pi_{\mathsf{b}})$$

as above, the verifier invokes the RS-PCPP verifier of [BS08] on each of  $(a_{(\mathbb{P},x,y,T)}, \pi_a)$  and  $(b_{(\mathbb{P},x,y,T)}, \pi_b)$ . Then it checks that  $a_{(\mathbb{P},x,y,T)} = \psi_{(\mathbb{P},x,y,T)}(b_{(\mathbb{P},x,y,T)})$  by sampling both  $a_{(\mathbb{P},x,y,T)}$  and  $b_{(\mathbb{P},x,y,T)}$  at a small number of locations  $(1 + Q_{(\mathbb{P},x,y,T)})$  per test). To boost soundness, each of the aforementioned tests is repeated a number of times, using fresh randomness (SCI uses 14 repetitions to reduce the probability of error to error  $= \frac{1}{2}$ ). The verifier "accepts"  $\tau_{(\mathbb{P},x,y,T)}$  (i.e., proclaims it to be likely true) if and only if  $\pi_{(\mathbb{P},x,y,T)}$  passes all these checks; the security analysis guarantees that this verdict is correct with probability 1 - error.

## B Algebraic definition of general programs as zero locus of lowdegree polynomial system

Our goal here is to explain how SCI converts programs into succinct algebraic CSP (ACSP) instances. For concreteness this is described for the TinyRAM machine specification [BCG<sup>+</sup>13b] — a simple random access machine (RAM) with 16 registers and 16-bit size words that includes opcodes for logical operations, integer arithmetic, conditional jumps and random access memory instructions; the same techniques could be adapted to other machine specifications. Algebra preliminaries Fix a basis  $\beta_0, \ldots, \beta_{63}$  for  $\mathbb{F}_{2^{64}}$  over  $\mathbb{F}_2$  generated by an irreducible polynomial h(X). Any sequence of w bits  $a_0, \ldots, a_{w-1}$  can be naturally mapped to the field element  $\sum_{i=0}^{w-1} a_i \beta_i$  as long as w < 64 and vice versa, field elements can be converted to sequences of bits; we assume this natural mapping and in particular will often identify the a 16-bit sequence  $(a_0, \ldots, a_{15})$  with the field element  $\sum_{i=0}^{15} a_i \beta_i$ .

**Overview of reduction** The reduction from RAM programs to ACSPs has been described in detail in [BCGT13a] and further improved in [CZ15]; we follow this route. In particular, instructions that involve the random access memory are verified using affine routing networks as explained in [BCGT13a] (cf. [CZ15]), although **SCI** uses an affine graph in which the Beneš network [Ben65b] is embedded. Boundary constraints (such as the initial and final state of the machine) are enforced as explained in [BCGT13a]. A remaining problem of great practical importance that remained from previous works has been how to reduce efficiently the transition function described by a program into a set of low-degree polynomials whose zero-locus corresponds to a valid evolution of the program's transition function. We describe this below. Our reduction works bottom up and has two main steps. (i) First, we define the input–output relation of each opcode as the zero-locus of a system of low-degree polynomials. (ii) In similar manner we define the transition function of the program as the zero-locus of a (larger) system of polynomials, one that uses the definitions of opcodes in terms of polynomials. The resulting set of polynomials is "glued" into a single large polynomial as described, e.g., in [BS08, Equation (5.5)] and [BCGT13a, Section 10].

#### **B.1** Algebraic definition of opcodes

Our basic data-unit is called a *word*, in TinyRAM its size is 16 bits. The atoms of a computer program are *opcodes*; each opcode has a fixed amount of input and output words. For example, XOR receives two words  $A = (a_0, \ldots, a_{15}), B = (b_0, \ldots, b_{15})$  and its output is a single word  $C = (c_0, \ldots, c_{15})$  where  $c_i = a_i \oplus b_i$  and  $\oplus$  denotes exclusive-or; the AND opcode outputs  $c_i = a_i \wedge b_i$ , the ADD opcode performs integer addition, etc. (cf. [BCG<sup>+</sup>13b] for details).

An opcode op with k inputs and  $\ell$  outputs defines a relation  $R_{op}$  that contains all sequences of inputs and outputs that correspond to valid executions of op. Continuing with the examples above and using f to denote the flag,

$$\begin{split} R_{\mathsf{XOR}} &= \left\{ (a, b, c) \in \{0, 1\}^{3 \cdot 16} \mid a_i \oplus b_i \oplus c_i = 0 \right\} \\ R_{\mathsf{AND}} &= \left\{ (a, b, c) \in \{0, 1\}^{3 \cdot 16} \mid (a_i \wedge b_i) \oplus c_i = 0 \right\} \\ R_{\mathsf{ADD}} &= \left\{ (a, b, c) \in \{0, 1\}^{3 \cdot 16}, f \in \{0, 1\} \mid \sum_{i=0}^{15} a_i 2^i + \sum_{i=0}^{15} b_i 2^i - \left( f \cdot 2^{16} + \sum_{i=0}^{15} c_i 2^i \right) = 0 \right\} \end{split}$$

An algebraic opcode is an opcode (as defined above) over an alphabet that is a finite field, i.e.,  $R_{op} \subset \mathbb{F}^{k+\ell}$ . Any finite set is an algebraic set, meaning it can be described as the zerolocus of a system of polynomials, however, these polynomials may have large degree and/or large arithmetic complexity, which would harm the efficiency of our reduction. To reduce degree and arithmetic complexity we shall allow *auxiliary* variables and consider algebraic sets S over  $\mathbb{F}^{k+\ell+m}$ such that  $R_{op}$  is the projection of S to the first  $k + \ell$  variables. Formally, an algebraic constraint system  $A_{op}$  corresponding to an opcode op with k inputs and  $\ell$  outputs is a set of polynomials  $A_{op} \subset \mathbb{F}[X_1, \dots, X_k, Y_1, \dots, Y_{\ell}, Z_1, \dots, Z_m] \text{ such that}$  $R_{op} = \{x_1, \dots, x_k, y_1, \dots, y_{\ell} \mid \exists z_1, \dots, z_m, A_{op}(x_1, \dots, x_k, y_1, \dots, y_{\ell}, z_1, \dots, z_m) = 0\}$ (1)

We call  $X_1, \ldots, X_k$  the *input* variables,  $Y_1, \ldots, Y_\ell$  the *output* variables and  $Z_1, \ldots, Z_m$  are *auxiliary* variables. While any relation can be defined without any auxiliary variables, the degree of such  $A_{op}$  may be very large (e.g., in the case of AND, ADD), therefor, to minimize ACSP degree we shall often use auxiliary variables as shown in the following examples; explanations appear below but notice XOR uses no auxiliary variables and the AND opcode uses 48 of them. We defer the explanation of the more complicated ADD opcode to later on.

$$A_{\text{XOR}} = \{X_1 + X_2 + Y_1\}$$
(2)

$$A_{\text{AND}} = \left\{ X_1 + \sum_{i=0}^{15} Z_i \beta_i, X_2 + \sum_{i=0}^{15} Z_{16+i} \beta_i, Y_1 + \sum_{i=0}^{15} Z_{32+i} \beta_i \right\}$$
(3)

$$\bigcup \{ Z_j \cdot (Z_j + 1) \mid j = 0, \dots, 47 \}$$
(4)

$$\bigcup \left\{ (Z_i \cdot Z_{16+i}) + Z_{32+i} \mid i = 0, \dots, 15 \right\}$$
(5)

Recall that addition in  $\mathbb{F}$  corresponds to exclusive-or, hence XOR has an algebraic constraint system with a single polynomial of degree 1 and no auxiliary variables, and it satisfies (1). To see that (3)–(5) form an algebraic constraint system for AND we argue as follows. Suppose  $(x_1, x_2, y_1, z_0, \ldots, z_{47})$  belongs to the zero-locus of  $A_{\text{AND}}$ , i.e., all polynomials in  $A_{\text{AND}}$  vanish on this input. Then by (4) we have  $z_j \in \{0, 1\}$  for  $j = 0, \ldots, 47$ . By (3) we see that  $z_{32+i} = z_i \wedge z_{16+i}$  for  $i = 0, \ldots, 15$ . Finally, by (3) we see that  $x_1$  "packs"  $z_0, \ldots, z_{15}$  into a single field elements, meaning  $x_1$ is the field element whose representation in the basis  $\beta_0, \ldots, \beta_{63}$  is the sequence  $z_0, \ldots, z_{15}, 0, 0, \ldots, 0$ and similarly  $x_2$  "packs"  $z_{16}, \ldots, z_{31}$  and  $y_1$  "packs"  $z_{32}, \ldots, z_{47}$ . Therefore,  $y_1$  is the bitwise and of  $x_1$  and  $x_2$ , as required by (1).

The constraints of the ADD opcode correspond to the operation of a full binary adder and appear below ((6)– (10)). In what follows auxiliary variables  $Z_0, \ldots, Z_{15}$  are used to "unpack"  $X_1$ , auxiliary variables  $Z_{16}, \ldots, Z_{31}$  "unpack"  $X_2$ , auxiliary variables  $Z_{32}, \ldots, Z_{47}$  are the carry bits and  $Z_{48}, \ldots, Z_{63}$  "unpack" the output  $Y_1$ ; the overflow flag is stored in  $Y_2$ . The constraint set (6) "unpacks" both inputs and the output using 16 auxiliary variables each as done in (3) above. The constraint set (7) checks that each auxiliary variable is boolean (as done in (4)) but now we have 16 additional auxiliary variables for the carry bits, reaching a total of 64 auxiliary variables. The set of constraints (8) checks that the carry bits ( $Z_{32}, \ldots, Z_{47}$ ) are computed correctly. In (9) the output is checked to be equal to the exclusive-or of the relevant input and carry bits. Finally, in (10) we check that the least significant carry and output bits are correct, and that the most significant carry bit ( $Z_{47}$ ) equals the overflow flag ( $Y_2$ ).

$$A_{\text{ADD}} = \left\{ X_1 + \sum_{i=0}^{15} Z_i \beta_i, X_2 + \sum_{i=0}^{15} Z_{16+i} \beta_i, Y_1 + \sum_{i=0}^{15} Z_{48+i} \beta_i \right\}$$
(6)

$$\int \{Z_j \cdot (Z_i + 1) \mid j = 0, \dots, 63\}$$
(7)

$$\bigcup \{ Z_i Z_{16+i} + Z_i Z_{31+i} + Z_{16+i} Z_{31+i} + Z_{32+i} \mid i = 1, \dots, 15 \}$$
(8)

$$\bigcup \{Z_i + Z_{16+i} + Z_{32+i} + Z_{48+i} \mid i = 1, \dots, 15\}$$
(9)

$$\bigcup \{Z_0 \cdot Z_{16} + Z_{32}, Z_0 + Z_{16} + Z_{48}, Z_{63} + Y_2\}$$
(10)

**Complexity of other opcodes** The opcodes described above, applied to *w*-bit registers, require O(w) constraints and auxiliary variables ( $R_{XOR}$  requires O(1) constraints and auxiliary variables). All other opcodes of the TinyRAM assembly specification [BCG<sup>+</sup>13b] can be implemented with O(w) complexity. For most opcodes this can be verified by inspection. For integer multiplication — i.e., to prove that

$$\left(\sum_{i=0}^{w-1} a_i 2^i\right) \cdot \left(\sum_{i=0}^{w-1} b_i 2^i\right) = \sum_{i=0}^{2w-2} c_i 2^i, \quad a_i, b_i, c_i \in \{0, 1\}$$

we fix a generator g for the multiplicative group of  $\mathbb{F}$  (the order of g is  $2^{63} - 1$  for our choice of field) and then apply repeated squaring to verify that

$$\left(g^{\left(\sum_{i}a_{i}2^{i}\right)}\right)^{\left(\sum_{i}b_{i}2^{i}\right)} = g^{\left(\sum_{i}c_{i}2^{i}\right)}$$

Inspection reveals this solution scales asymptotically like O(w) and for small values,  $R_{MUL}$  is twice as costly as  $R_{ADD}$  in terms of number of constraints and auxiliary variables.

#### B.2 Program flow via multi-linear Lagrange polynomials

A program  $\mathbb{P}$  of length s is a sequence of instructions  $I_0, \ldots, I_{s-1}$ , each instruction contains an opcode and a list of k inputs and  $\ell$  outputs, where k and  $\ell$  should match the number of inputs and outputs consumed and produced by the opcode, respectively. An input is either a constant (also known as immediate) or a register location and outputs are invariably register locations. (Instructions related to random access memory are dealt with separately, below; until then we assume our programs do not access it and use only the 16 registers.) Each instruction also points to the next instruction in the program; by default  $I_j$  points to  $I_{j+1}$  but certain instructions (jumps and conditional jumps) may point to a different instruction, and the pointer may further depend on the value of certain registers. The *program counter* (PC) is a special register that contains the number of the current instruction, and thus takes values in  $\{0, \ldots, s-1\}$ .

A machine state is a pair  $S = (P\dot{C}, \dot{R})$  where  $P\dot{C}$  holds the value of the program counter and  $\dot{R}$  contains the values of all registers. The program  $\mathbb{P}$  induces a natural relation  $R_{\mathbb{P}}$  that contains all pairs  $(S = (P\dot{C}, \vec{R}), S' = (P\dot{C}', \vec{R'}))$  of machine states such that a single cycle of the machine in state S (with program counter being  $P\dot{C}$  and registers holding values  $\vec{R}$ ) results in state S'. As done for opcodes in (1), our purpose in this subsection is to define a system of constraints, denoted  $A_{\mathbb{P}}$ , that

defines  $R_{\mathbb{P}}$  as its zero-locus, projected onto its first few variables. Formally, let  $\vec{\mathsf{PC}}, \vec{\mathsf{PC}}', \vec{\mathsf{R}}, \vec{\mathsf{R}}'$  denote variables ranging over  $\mathbb{F}$ , and recall  $\vec{x}, \vec{y}, \vec{z}$  denote variables for opcode inputs, outputs and auxiliary variables, respectively. Then

$$R_{\mathbb{P}} = \left\{ \left( \left( \vec{\mathsf{PC}}, \vec{\mathsf{R}} \right), \left( \vec{\mathsf{PC}}', \vec{\mathsf{R}'} \right) \right) \mid \exists \vec{x}, \vec{y}, \vec{z} A_{\mathbb{P}} \left( \vec{\mathsf{PC}}, \vec{\mathsf{R}}, \vec{\mathsf{PC}}', \vec{\mathsf{R}'}, \vec{x}, \vec{y}, \vec{z} \right) = 0 \right\}$$
(11)

In words,  $A_{\mathbb{P}}$  is a set of polynomials whose zero-locus, projected to  $\vec{\mathsf{PC}}, \vec{\mathsf{R}}, \vec{\mathsf{PC'}}, \vec{\mathsf{R'}}$ , equals the "program evolution" relation  $R_{\mathbb{P}}$ .

To minimize degree complexity, the program counter value is recorded via  $r = \lceil \log s \rceil$  many variables, denoted  $\mathsf{PC}_1, \ldots, \mathsf{PC}_r$ , each ranging over  $\{0, 1\}$ . For  $\alpha \in \{0, 1\}^r$  let

$$L_{\alpha}(\mathsf{PC}_1,\ldots,\mathsf{PC}_r) = \prod_{i=1}^r (\mathsf{PC}_i + \alpha_i + 1)$$

be the Lagrange multi-linear polynomial that evaluates to 1 on  $\alpha$  and evaluates to 0 on  $\{0,1\}^r \setminus \{\alpha\}$ . We multiply the polynomials in the algebraic constraint system appearing in the *i*th instruction by  $L_{\overline{i}}(\mathsf{PC}_1, \ldots, \mathsf{PC}_r)$  where  $\overline{i} \in \{0,1\}^r$  is the binary representation of *i*. Informally, this has the effect of applying the set of constraints  $A_{\mathsf{op}}$  only when the PC points to an instruction that contains  $\mathsf{op}$ . Formally, for each opcode  $\mathsf{op}$  appearing in the program  $\mathbb{P}$ , let  $I_{\mathsf{op} \in \mathbb{P}} \subseteq \{0, \ldots, s-1\}$  be the set of program instructions in which  $\mathsf{op}$  is executed. Then define

$$\hat{A}^{\mathsf{op}\in\mathbb{P}} = \left\{ P \cdot \sum_{i \in I_{\mathsf{op}}} L_{\overline{i}} \left(\mathsf{PC}_{1}, \dots, \mathsf{PC}_{r}\right) \mid P \in A_{\mathsf{op}} \right\}$$
(12)

Inputs and outputs to an opcode are checked in a similar way. In particular, let  $i_{i,1}, \ldots, i_{i,k_i}$  denote the indices of the registers that are the inputs of the opcode in instruction *i* and let  $o_{i,1}, \ldots, o_{i,\ell_i}$  be the indices of output registers of that instruction, then we define

$$\hat{A}_{i}^{i/o} = \{ (X_{j} - \mathsf{R}_{i_{i,j}}) \cdot L_{\overline{i}} (\mathsf{PC}_{1}, \dots, \mathsf{PC}_{r}) \mid j = 1, \dots, k_{i} \}$$

$$\bigcup \{ (Y_{j} - \mathsf{R}_{o_{i,j}}') \cdot L_{\overline{i}} (\mathsf{PC}_{1}, \dots, \mathsf{PC}_{r}) \mid j = 1, \dots, \ell_{i} \}$$

$$\bigcup \{ (\mathsf{R}_{j} - \mathsf{R}_{j}') \cdot L_{\overline{i}} (\mathsf{PC}_{1}, \dots, \mathsf{PC}_{r}) \mid j \text{ is not an output register of instruction } i \}$$

$$(13)$$

In similar fashion, updating the program counter during the *i*th instruction is defined using a set of polynomials whose zero locus corresponds to the correct update of PC value. Typically, this modification simply increments the value of the PC by 1, and this can be done by multiplying each polynomial in (6)–10) by  $L_{\tilde{i}}(\mathsf{PC}_1,\ldots,\mathsf{PC}_r)$ . Let  $\hat{A}_i^{\mathsf{pc}}$  denote the corresponding set of polynomials. The final set  $A_{\mathbb{P}}$  that defines the "program evolution" relation  $R_{\mathbb{P}}$  is

$$A_{\mathbb{P}} \triangleq \left\{ \hat{A}_{\mathsf{op}\in\mathbb{P}} \mid \mathsf{op} \text{ appears in } \mathbb{P} \right\} \bigcup \left\{ \hat{A}_{i}^{\mathsf{i/o}} \mid i = 0, \dots, s - 1 \right\}$$

$$\bigcup \left\{ \hat{A}_{i}^{\mathsf{pc}} \mid i = 0, \dots, s - 1 \right\}$$

$$(14)$$

and the discussion above shows that its zero locus  $A_{\mathbb{P}}$ , projected to  $\vec{\mathsf{PC}}, \vec{\mathsf{R}}, \vec{\mathsf{PC}}', \vec{\mathsf{R}'}$ , indeed equals  $R_{\mathbb{P}}$ .

## C Two programs computing subset-sum

Code 1 shows a high-level description of the exhaustive subset sum program, and Code 2 gives an equivalent TinyRAM hand-optimized implementation (cf. Appendix D for discussion of machine compiled assembly). In Code 1, the variable k is treated as a binary vector that iterates over all the possible combinations of the inputs. The inputs that correspond to each combination are summed up by inspecting whether the least significant bit (LSB) of k is 1, and then shifting k rightward. Code 2 uses the AND,CMPE,SHR TinyRAM instructions for these inspections and shifts. It should be noted that the instruction set that is needed for Code 2 is uncostly, in particular the cost of the DIV instruction would have been about twice higher than SHR in terms of the number of field elements that the prover commits to in a time step.

The total number of time steps T of the ACSP for Code 2 is sufficiently large if the inequality  $2^n \cdot (9n+7) < T$  holds, where n is the size of the input array. With 16-bit TinyRAM architecture,  $n \leq 16$  is also required, unless extra logic is added to Code 2. In this inequality, the term 9n can be inferred by amortizing the number of TinyRAM instructions that are executed when the LSB of k is either 0 or 1. For example,  $T = 2^{20}$  is sufficient for n = 13 inputs. For a further demonstration of the dependency between T and n, see Figure 2.

The TinyRAM architecture relies on 16 or less registers, in particular Code 2 needs 5 registers in total. This helps with keeping the complexity low, as it implies that a relatively small number of field elements are required per time step. However, this also means that we do not have enough registers to store the entire input array. Since it is preferable to avoid the poly-logarithmic blowup of programs with memory, Code 2 employs a special "read-only memory" (ROM) instruction. The ROM instruction takes a single operand, treats it as an index  $J \leq n$ , and returns the corredponding array[J] input value. The algebraic constraints of the ROM instruction consist of unpacking the bits of J and using a selector polynomial to force the prover to use the predefined array[J] field element. For example, with n = 8, the ROM instruction can be implemented as

$$\bigcup_{k=0}^{2} \{b_{k}(b_{k}+1)\} \bigcup \{J + \sum_{k=0}^{2} b_{k}x^{k}, \sum_{\alpha,\beta,\gamma \in \{0,1\}} (b_{0}+\alpha)(b_{1}+\beta)(b_{2}+\gamma)(R + C_{\alpha,\beta,\gamma})\},$$

where R is the returned operand and  $C_{\alpha,\beta,\gamma}$  are the array input values that the ACSP instance specifies. Thus, the degree of the ROM constraints is bounded by  $\lceil \log n \rceil + 1$ , and overall the ROM instruction is far less complex than deploying the full read/write memory construction.

Code 3 is a subset sum program that computes all the partial sums of half of the input numbers, as well as the other half, and then does a linear scan to look for two partial sums that add up to the target value [?]. The partial sums are first stored in memory in a sorted order, which can be done in O(n) time due to the following observation: given a sorted list  $S_1, S_2, \ldots, S_{2^k}$  of all the possible sums that can be produced from combinations of certain k numbers, and another number m, the sorted list  $S_1 + m, S_2 + m, \ldots, S_{2^k} + m$  can be merged into  $S_1, S_2, \ldots, S_{2^k}$  to obtain one sorted list of size  $2^{k+1}$ , in linear time. Hence, Code 3 needs to store  $O(\sqrt{2^n})$  elements in memory, where n is the size of the input array.

A

Code 4 gives a hand-optimized TinyRAM implementation of this high-level pseudocode, in which the dependecy between n and the total number of time steps T is  $n \approx 2(T-7)$ . Section D discusses the machine compiled code for the same program. As can be seen in Figure 2, Code 4 can thus cope with greater values of n than Code 2, even after the poly-logarithmic blowup in complexity that is due to memory handling is taken into account. Notice that unlike the high-level description in Code 3, the Code 4 implementation that we benchmark actually outputs a bit-string of the correct combination, if one exists (Code 5 and Code 6 do this as well). This extra work is done for a fair comparison with Code 2, that does this "for free". However, since subset sum is an NP-complete problem, it makes sense to generate the PCP on unsatisfiable instances. Therefore, this extra work can be regarded as unnecessary in this context.

#### Code 1 Pseudocode of the exhaustive search subset sum program

<b>input:</b> $n, \texttt{array}[n], \texttt{target}$	
1: for $k=1$ to $2^n-1$ do	$\triangleright k \text{ loops over all } \{0,1\}^n \setminus \{0^n\} \text{ combinations}$
2: $curr \leftarrow k, \ idx \leftarrow 0, \ sum \leftarrow 0$	
3: while $curr \neq 0$ do	
4: <b>if</b> $1 = (curr \text{ bitwise-and } 1)$ then	$\triangleright$ LSB of <i>curr</i> is 1?
5: $sum \leftarrow sum + array[idx]$	
6: end if	
7: $curr \leftarrow curr/2, \ idx \leftarrow idx + 1$	
8: end while	
9: <b>if</b> $sum = \texttt{target then}$	
10: <b>return</b> k	
11: <b>end if</b>	
12: end for	
13: return 0	

Code 2	TinyRAM ass	embly code of the	exhaustive search	ch subset sum pro	ogram
1: <b>MOV</b>	r0, 1	9: <b>CJMP</b>	Line#12	17: <b>CMPE</b>	r1, target
2: <b>CMPE</b>	r0, $2^{n}$	10: <b>ROM</b>	r4, r3	18: <b>CJMP</b>	Line#22
3: CJMP	Line#21	11: <b>ADD</b>	r1, r1, r4	19: <b>ADD</b>	r0, r0, 1
4: <b>MOV</b>	r1, 0	12: <b>SHR</b>	r2, r2, 1	20: <b>JMP</b>	Line#2
5: <b>MOV</b>	r2, r0	13: <b>CMPE</b>	r2, 0	21: <b>MOV</b>	r0, 0
6: <b>MOV</b>	r3, 0	14: <b>CJMP</b>	Line#17	22: <b>ANSW</b>	r0
7: <b>AND</b>	r4, r2, 1	15: <b>ADD</b>	r3, r3, 1		
8: <b>CMPE</b>	r4, 0	16: <b>JMP</b>	Line#7		

## D Compiling C code to TinyRAM

Our TinyRAM compiler is implemented as a GCC back end, with support for some optimization techniques. Code 5 shows C source for the memory based subset sum program, and the corresponding compiled code is given as Code 6. As shown, Code 6 has 21 more instruction than the hand-written assembly of Code 4. Likewise, the running time of Code 6 is somewhat greater than that of Code 4, for example with n = 14 it takes 13582 time steps until Code 6 terminates, while Code 4 terminates in 11231 time steps.

Code 3 Pseudocode of the memory based subset sum program

```
input: n = 2h, array[n], target
 1: H_1 \leftarrow \{ \operatorname{array}[0], \operatorname{array}[1], \dots, \operatorname{array}[h-1] \}
 2: H_2 \leftarrow \{ \texttt{array}[h], \texttt{array}[1], \dots, \texttt{array}[n-1] \}
 3: for m \in \{1, 2\} do
                                                                                                                  \triangleright sort each half
          let A_{m,0} be an array of size 1 with A_{m,0}[0] = 0
 4:
          i \leftarrow 0
 5:
 6:
          for x \in H_m do
              let B_{m,i} be an array of size i and C_{m,i} be an array of size 2i
 7:
              for k \in \{0, 1, 2, \dots, 2^i - 1\} do
 8:
                   B_{m,i}[k] \leftarrow A_{m,i}[k] + x
 9:
              end for
10:
              C_{m,i} \leftarrow \mathsf{merge}(A_{m,i}, B_{m,i})
                                                                               \triangleright note: A_{m,i} and B_{m,i} are already sorted
11:
              A_{m,i+1} \leftarrow C_{m,i}
12:
              i \leftarrow i + 1
13:
14:
          end for
15: end for
16: i \leftarrow 0, \ k \leftarrow 2^h - 1
17: while True do
                                                                                                         \triangleright search for the target
          if target = A_{1,h}[i] + A_{2,h}[k] then return 1 end if
18:
          if target > A_{1,h}[i] + A_{2,h}[k] then
19:
              if i = 2^h - 1 then return 0 end if
20:
              i \leftarrow i + 1
21:
          else
22:
              if k = 0 then return 0 end if
23:
24:
              k \leftarrow k-1
          end if
25:
26: end while
```

Code 4 TinyRAM assembly code of the memory based subset sum program

input: n = 2h, array[n], target,  $\ell = 2^{h+1} - 2$ constants: INPADDR =  $2^{16} - 2^6$ , ADDR1 = 0, ADDR2 =  $2^{14}$ , OFFSET =  $2^{15}$ preprocess: store array[n] at INPADDR

1:	MOV	r0, INPADDR	31:	ADD	r6, r2, OFFSET	61:	CJMP	Line#64
2:	MOV	r1, ADDR1	32:	LOAD	r6, r6	62:	MOV	r1, ADDR2
3:	MOV	r9, 0	33:	XOR	r6, r6, r8	63:	JMP	Line#3
4:	STOR	r9, r1	34:	ADD	r2, r2, 1	64:	MOV	$r0, addr1 + \ell$
5:	ADD	r2, r1, OFFSET	35:	JMP	Line#21	65:	LOAD	r2, r0
6:	STOR	r9, r2	36:	CMPE	r5, r2	66:	LOAD	r3, r1
7:	MOV	r2, r1	37:	CNJMP	Line#44	67:	ADD	r4, r2, r3
8:	ADD	r4, r1, 1	38:	LOAD	r6, r1	68:	CMPE	r4, target
9:	MOV	r5, r4	39:	STOR	r6, r4	69:	CJMP	Line#L83
10:	MOV	r8, 1	40:	ADD	r6, r1, OFFSET	70:	CMPG	r4, target
11:	ADD	r9, h	41:	LOAD	r6, r6	71:	CJMP	Line#77
12:	LOAD	r3, r0	42:	ADD	r1, r1, 1	72:	CMPE	r1, ADDR2 + $\ell$
		Line#44	43:	JMP	Line#21		CJMP	Line#82
	ADD	r0, r0, 1		LOAD	r6, r1		ADD	r1, r1, 1
		r9, r0			r7, r2		LOAD	r3, r1
		Line#60		ADD	r7, r7, r3		JMP	Line#67
		r3, r0		CMPG	r6, r7		CMPE	r0, ADDR1
		r8, r8, 1			Line#54		CJMP	Line#82
		r5, r4			r6, r4		SUB	r0, r0, 1
		Line#44		ADD	r6, r1, OFFSET		LOAD	r2, r0
		r7, r4, OFFSET		LOAD	r6, r6		JMP	Line#67
		r6, r7		ADD	r1, r1, 1		ANSW	0
	ADD	r4, r4, 1		JMP	Line#21		ADD	r2, r0, OFFSET
		r5, r1			r7, r4		LOAD	r2, r2
		Line#36		ADD	r6, r2, OFFSET		ADD	r3, r1, OFFSET
		r5, r2		LOAD	r6, r6		LOAD	r3, r3
		Line#14		XOR	r6, r6, r8		SHL	r3, r3, H
		r6, r2		ADD	r2, r2, 1		XOR	r2, r2, r3
		r6, r6, r3		JMP	Line#21	89:	ANSW	r2
30:	STOR	r6, r4	60:	CMPA	r1, ADDR2			

Code 5 C source of the memory based subset sum program

```
#define N 7
#define TARGET 123
int input[2*N] = {10,20,30,40,50,60,70,-10,-20,-30,-40,-50,-60,70};
int arr[ 4 * ( (1 << (N+1)) - 1 ) ];</pre>
int main(void) {
  register int *inp = &input[0], *last_inp, *p1, *p2, *next, *next_backup, b;
p1 = p2 = &arr[0]; //phase1: prepare arrays
   for(;;) { //prepare each half array
      next = next_backup = (p1+2);
      *p1 = *(p1+1) = 0; b = 1; last_inp = inp + N;
      for(;;) { //iterate over each input
         for(;;) { //merge
             if(p1 == next_backup) {
                while(p2 < next_backup) {</pre>
                  *(next++) = *(p2++) + *inp;
                   *(next++) = *(p2++) ^ b;
               }
                break;
             }
            if(p2 == next_backup) {
                while(p1 < next_backup) {</pre>
                  *(next++) = *(p1++);
*(next++) = *(p1++);
               }
                break;
            }
             if(*p1 > *p2 + *inp) {
               *(next++) = *(p2++) + *inp;
                *(next++) = *(p2++) ^ b;
             }
             else {
               *(next++) = *(p1++);
                *(next++) = *(p1++);
            }
         }
         if(++inp == last_inp) break;
         b = b << 1;
         next_backup = next;
      }
      if( p1 > &arr[0] + (1 << (N+2)) ) break;
      p1 = p2 = next;
   }
   p1 = &arr[ 2*((1 << (N+1)) - 1) - 2 ]; //phase2: search
   for(;;) {
      if(TARGET == *p1 + *p2)
        return *(p1+1) ^ (*(p2+1) << N);
      if(TARGET > *p1 + *p2) {
        if(p2 == &arr[0] + 4*((1 << (N+1))-1) - 2) break;
         p2 = p2 + 2;
      }
      else {
        if(p1 == &arr[0]) break;
        p1 = p1 - 2;
      }
   }
   return 0;
}
```

Code 6	TinyRAM	assembly	code of	the	compiled	subset	sum program	L

input: n = 2h, array[n], target preprocess: store array[n] at address 0

1:	MOV	r9, 0	38:	LOAD	r2, r8	75:	SHL	r14, r14, 1
2:	MOV	r12, 28	39:	ADD	r8, r8, 2	76:	MOV	r13, r4
3:	MOV	r8, r12	40:	STOR	r2, r4	77:	JMP	Line#12
4:	ADD	r13, r8, r4	41:	ADD	r4, r4, 2	78:	CMPA	r8, 1052
5:	MOV	r4, r13	42:	CMPAE	r8, r13	79:	CJMP	Line#83
6:	MOV	r2, 0	43:	CNJMP	Line#34	80:	MOV	r12, r4
7:	ADD	r0, r8, 2	44:	JMP	Line#72	81:	MOV	r8, r4
8:	STOR	r2, r0	45:	LOAD	r2, r12	82:	JMP	Line#4
9:	STOR	r2, r8			r3, r9	83:	MOV	r4, 1044
	MOV	r14, 1			r3, r2, r3	84:	LOAD	r3, r4
11:	ADD	r5, r9, 14			r2, r8		LOAD	r2, r12
12:	CMPE	r8, r13			r2, r3	86:	ADD	r2, r3, r2
		Line#30			Line#63			r2, target
		r12, r13			r3, r12			Line#96
	CJMP	Line#72			r2, r9		ADD	r0, r12, 2
	LOAD	r3 r12			r2, r3, r2			r12, r0
	LOAD	r2, r9			r12, r12, 2		SHL	r12, r12, h
	ADD	r2, r3, r2			r2, r4		ADD	r0, r4, 2
	ADD	r12, r12, 2			r4, r4, 2			r4, r0
	STOR	r2, r4			r2, r12		XOR	r2, r12, r4
	ADD	r4, r4, 2			r2, r14, r2		JMP	Line#110
	LOAD	r2, r12			r12, r12, 2			r3, r4
	XOR	r2, r14, r2			r2, r4			r2, r12
	ADD	r12, r12, 2			r4, r4, 2		ADD	r2, r3, r2
	STOR	r2, r4			Line#12			r2, target-1
	ADD	r4, r4, 2			,		CJMP	Line#106
		r12, r13			, ,		MOV	r2, 2064
		Line#16			,		CMPE	r12, r2
	JMP	Line#72			, ,		CJMP	Line#109
		r12, r13			, ·		ADD	r12, r12, 4
		Line#45			, ,		JMP	Line#84
		r8, r13			,		CMPE	r4, 28
		Line#72						Line#109
		r2, r8					JMP	Line#84
	ADD	r8, r8, 2			, ,		MOV	r2, 0
		r2, r4			, ·	110:	ANSW	r2
37:	ADD	r4, r4, 2	(4:	CJMP	Line#78			