

# Secret Sharing Schemes for Very Dense Graphs\*

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## Abstract

A secret-sharing scheme realizes a graph if every two vertices connected by an edge can reconstruct the secret while every independent set in the graph does not get any information on the secret. Similar to secret-sharing schemes for general access structures, there are gaps between the known lower bounds and upper bounds on the share size for graphs. Motivated by the question of what makes a graph “hard” for secret-sharing schemes (that is, require large shares), we study very dense graphs, that is, graphs whose complement contains few edges. We show that if a graph with  $n$  vertices contains  $\binom{n}{2} - n^{1+\beta}$  edges for some constant  $0 \leq \beta < 1$ , then there is a scheme realizing the graph with total share size of  $\tilde{O}(n^{5/4+3\beta/4})$ . This should be compared to  $O(n^2/\log n)$  – the best upper bound known for the share size in general graphs. Thus, if a graph is “hard”, then the graph and its complement should have many edges. We generalize these results to nearly complete  $k$ -homogeneous access structures for a constant  $k$ . To complement our results, we prove lower bounds for secret-sharing schemes realizing very dense graphs, e.g., for linear secret-sharing schemes we prove a lower bound of  $\Omega(n^{1+\beta/2})$  for a graph with  $\binom{n}{2} - n^{1+\beta}$  edges.

**Key words.** Secret sharing, share size, graph access structures, equivalence cover number.

## 1 Introduction

A secret-sharing scheme, introduced by [9, 45, 32], is a method by which a dealer, which holds a secret string, can distribute strings, called shares, to a set of participants, enabling only predefined subsets of participants to reconstruct the secret from their shares. The collection of predefined subsets authorized to reconstruct the secret is called the access structure. We consider perfect schemes, in which any unauthorized set of participants should learn nothing about the secret from their combined shares (even if they have unlimited

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power). Secret-sharing schemes are useful cryptographic building blocks, used in many secure protocols, e.g., multiparty computation [7, 17, 19], threshold cryptography [25], access control [41], attribute-based encryption [31, 53], and oblivious transfer [46, 52].

For a scheme to be efficient and be useful for the above mentioned applications, the size of the shares should be small (i.e., polynomial in the number of participants). There are access structures that have efficient schemes, e.g., the threshold access structure, in which the authorized sets are all sets containing at least  $\ell$  participants (for some threshold  $\ell$ ) [9, 45]. For every access structure there exist secret-sharing schemes realizing it [32]. However, the best known schemes for general access structures, e.g., [8, 47, 13, 35], are highly inefficient, that is, for most access structures the size of shares is  $2^{O(n)}$ , where  $n$  is the number of parties in the access structure. The best lower bound known on the total share size for an explicit or implicit access structure is  $\Omega(n^2/\log n)$  [21]. Thus, there exists a large gap between the known upper and lower bounds. Bridging this gap is one of the most important questions in the study of secret-sharing schemes. We lack sufficient methods for proving lower bounds on the share size. Furthermore, we lack the sufficient understanding of which access structures are “hard”, that is, which access structures require large shares (if any). In contrast to general secret-sharing schemes, super-polynomial lower bounds are known for *linear* secret-sharing schemes, that is, for schemes where the shares are generated using a linear transformation. That is, there exists an explicit access structure such that the total share size of any linear secret-sharing scheme realizing it is  $n^{\Omega(\log n)}$  [3, 29, 30]. Linear secret-sharing schemes are important as most known secret-sharing schemes are linear and many cryptographic applications require that the scheme is linear. For more background on secret sharing see [4].

In this paper we consider a special family of access structures, in which all minimal authorized sets are of size 2. These access structures can be described by a graph, where each participant is represented by a vertex and each minimal authorized set is represented by an edge. Graph access structures are useful and interesting and have been studied in, e.g., [10, 12, 14, 22, 23, 24, 26, 38, 49, 51]. Some of the results found for graph access structures, using graph theory, were later extended to apply to all access structures. This is illustrated by the next example.

*Example 1.1.* Blundo et al. [12] proved that the best share size of a scheme for a graph access structures is either the size of the secret or at least 1.5 times larger than that size. This was generalized later to many other families of access structures. Martí-Farré and Padró [39] proved that the share size of every access structure that is not *matroidal* is at least 1.5 times larger than the size of the secret.

Other results on graph access structures have been extended to homogeneous access structures [37, 43, 48], which are access structures whose minimal authorized subsets are of the same size, and access structures described by simple hypergraphs [20, 50].

Every graph access structure can be realized by a secret-sharing scheme in which the total share size is  $O(n^2/\log n)$  [15, 11, 27]; this scheme is linear. The best lower bound for the total share size required to realize a graph access structure by a general secret-sharing scheme is  $\Omega(n \log n)$  [26, 10, 22]. The best lower bound for the total share size required to realize a graph access structure by a linear secret-sharing scheme is  $\Omega(n^{3/2})$  [6]. Although the gap between the lower and upper bounds is smaller than that of general access structures, studying this gap might reveal new insight that could be applied to the share size of general access structures.

There are 3 main techniques for proving lower bounds on the size of shares in linear secret-sharing schemes, namely, the self-avoiding criterion [6], Gál’s criterion [29], and Gál and Pudlák’s criterion [30]. Mintz [40] studied the limitations of these techniques for proving lower bounds for linear secret-sharing schemes realizing graphs. He proved that the criteria of [6] and [30] cannot prove lower bounds better than  $\Omega(n^{3/2})$ , and Gál’s criterion [29] cannot improve upon this lower bound under some restriction (namely,

using rank 1 matrices). All applications of Gál’s criterion are under this restriction. The conclusion from Mintz’s results is that proving a lower bound better than  $\Omega(n^{3/2})$  for graph access structures requires some new ideas.

## 1.1 Our Results

In this work we study a natural family of graphs – the very dense graphs. These are graphs that have  $\binom{n}{2} - \ell$  edges for  $\ell \ll n^2$  (where  $n$  is the number of vertices in the graph). The motivation for this work is trying to understand which graphs are “hard”, that is, which graphs require total share size of  $\Omega(n^2 / \text{polylog } n)$  (if any). For example, if a graph contains  $\ell$  edges, then it can be realized by a trivial secret-sharing in which the total share size is  $2\ell$  times the size of the secret [32]. Thus, if there exists a “hard” graph then it has to have  $\Omega(n^2 / \text{polylog } n)$  edges. We are interested in the question if these “hard” graphs can be very dense. Our results show that this is not possible.

Our main result is that if a graph has  $\binom{n}{2} - n^{1+\beta}$  edges for some  $0 \leq \beta \leq 1$ , then it can be realized by a secret-sharing scheme in which the total share size is  $\tilde{O}(n^{5/4+3\beta/4})$ ,<sup>1</sup> this scheme is linear. In particular, if  $\beta$  is a constant smaller than 1, the total share size is  $\ll n^2$ , that is, these are not “hard” graphs as discussed above. Similarly, if  $\beta < 1/3$ , then the share size is  $o(n^{3/2})$ ; thus, these graphs are easier than the graphs for which [6] proved their lower bounds for linear secret-sharing schemes. As a corollary of our main result we prove that if a graph has  $\binom{n}{2} - \ell$  edges, where  $\ell < n/2$ , then it can be realized by a scheme in which the share size is  $n + O(\ell^{5/4})$ . Thus, if  $\ell \ll n^{4/5}$ , then the total share size is  $n + o(n)$ , which is optimal up to an additive factor of  $o(n)$ .

We extend the techniques used in this result to the study of two additional problems. First, we consider the following scenario: we start with a graph and remove few edges from it. The question is how much the share size of a secret-sharing scheme realizing the graph can grow as a result of the removed edges. If we add edges, then trivially the share size grows at most linearly in the number of added edges. We show that also when removing edges, the share size does not increase too much. We study this problem also for general access structures, considering the removal of minimal authorized subsets for any access structure. We show that for certain access structures the share size does not increase too much either. Second, we study the removal of  $\ell$  minimal authorized subsets from  $k$ -out-of- $n$  threshold access structures. We present a construction with total share size  $\tilde{O}(\ell n)$  for  $k \ll n$ .

To complement our results, we prove lower bounds on the share size of secret-sharing schemes realizing very dense graphs. For graph access structures, the known lower bounds for general secret-sharing schemes [26, 10, 22] and linear secret-sharing schemes [6] use sparse graphs with  $\theta(n \log n)$  edges and  $\theta(n^{3/2})$  edges, respectively. Using the above lower bounds, we prove lower bounds of  $\Omega(\beta n \log n)$  and  $\Omega(n^{1/2+\beta/2})$  for general and linear secret-sharing schemes respectively for some graphs with  $\binom{n}{2} - n^{1+\beta}$  edges. In addition, we prove lower bounds of  $n + \ell$  for graphs with  $\binom{n}{2} - \ell$  edges, where  $\ell < n/2$ . Our lower bounds are not tight, however, they prove, as can be expected, that for linear secret-sharing schemes the total share size grows as a function of the number of excluded edges. The lower bounds for linear schemes are interesting as most known secret-sharing schemes, including the schemes constructed in this paper, are linear.

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<sup>1</sup>We use the  $\tilde{O}$  notation which ignores polylogarithmic factors.

## 1.2 Techniques

Brickell and Davenport [14] proved that a connected graph has an ideal scheme (that is, a scheme in which the total share size is  $n$  times the size of the secret) if and only if the graph is a complete multipartite graph.<sup>2</sup> To construct a scheme realizing a very dense graph, we cover the graph by complete multipartite graphs (in particular, cliques), that is, we construct a sequence of multipartite graphs  $G_1, G_2, \dots, G_r$  such that each graph  $G_i$  is a subgraph of  $G$  and each edge of  $G$  is an edge in at least one graph  $G_i$ . We next, for every  $i$ , share the secret independently using the ideal secret-sharing scheme realizing  $G_i$ . The total share size in the resulting scheme is the sum of the number of vertices in the graphs  $G_1, G_2, \dots, G_r$ . This idea of covering a graph was used in previous schemes, e.g., [11, 12]. The contribution of this paper is how to find a “good” cover for every dense graph.

Our starting point is constructing a scheme for graphs in which every vertex is adjacent to nearly all other vertices, that is, graphs where the degree of every vertex in the complement graph is bounded by some  $d \ll n$ . We cover such graphs by equivalence graphs, that is, graphs which are union of disjoint cliques. Alon [1] proved, using a probabilistic proof, that every such graph can be covered by  $O(d^2 \log n)$  equivalence graphs. We improve on this result, and prove, using a different probabilistic proof, that every such graph can be covered by  $O(d \log n)$  equivalence graphs. The total share size of the resulting scheme is  $\tilde{O}(dn)$ .

We use the above scheme to realize very dense graphs. We first cover all vertices whose degree in the complement graph is “big”. There are not too many such vertices in the complement graph, and the share size in realizing each star (namely, a vertex and its adjacent edges) is at most  $n$ . Once we removed all edges adjacent to vertices whose degree is “big”, we use the cover by equivalence graphs to cover the remaining edges. To achieve a better scheme, we first remove vertices of high degree using stars, then use covers of bipartite graphs of [34] to further reduce the degree of the vertices in the complement graph, and finally use the cover by equivalence graphs.

**Additional Related Work.** Sun and Shieh [50] consider access structures that are defined by a *forbidden graph*, where each party is represented by a vertex, and 2 parties are an unauthorized set iff their vertices are connected by an edge. They give a construction with information ratio of  $n/2$ . In [50], every set of size 3 can reconstruct the secret. Our problem is much harder as every independent set in the graph is unauthorized.

## 2 Preliminaries

In this section we define secret-sharing schemes and provide some background material used in this work. We present a definition of secret-sharing as given in [18, 5].

### 2.1 Secret Sharing

**Definition 2.1.** Let  $P = \{p_1, \dots, p_n\}$  be a set of parties. A collection  $\Gamma \subseteq 2^P$  is monotone if  $B \in \Gamma$  and  $B \subseteq C$  imply that  $C \in \Gamma$ . An access structure is a monotone collection  $\Gamma \subseteq 2^P$  of non-empty subsets of  $P$ . Sets in  $\Gamma$  are called authorized, and sets not in  $\Gamma$  are called unauthorized. The family of minimal authorized subsets is denoted by  $\min \Gamma$ .

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<sup>2</sup>A graph is a complete multipartite if its vertices can be partitioned into disjoint sets, called parts, such that there is an edge between two vertices iff they are from different parts. For additional graph terminology used in the rest of this section, see Section 2.2.

A distribution scheme  $\Sigma = \langle \Pi, \mu \rangle$  with domain of secrets  $K$  is a pair, where  $\mu$  is a probability distribution on some finite set  $R$  called the set of random strings and  $\Pi$  is a mapping from  $K \times R$  to a set of  $n$ -tuples  $K_1 \times K_2 \times \dots \times K_n$ , where  $K_j$  is called the domain of shares of  $p_j$ . A dealer distributes a secret  $k \in K$  according to  $\Sigma$  by first sampling a random string  $r \in R$  according to  $\mu$ , computing a vector of shares  $\Pi(k, r) = (s_1, \dots, s_n)$ , and privately communicating each share  $s_j$  to party  $p_j$ . For a set  $A \subseteq P$ , we denote  $\Pi(s, r)_A$  as the restriction of  $\Pi(s, r)$  to its  $A$ -entries. The (normalized) total share size of a distribution scheme is  $\sum_{1 \leq j \leq n} \log |K_j| / \log |K|$ .

**Definition 2.2** (Secret Sharing). *Let  $K$  be a finite set of secrets, where  $|K| \geq 2$ . A distribution scheme  $\langle \Pi, \mu \rangle$  with domain of secrets  $K$  is a secret-sharing scheme realizing an access structure  $\Gamma$  if the following two requirements hold:*

**CORRECTNESS.** *The secret  $k$  can be reconstructed by any authorized set of parties. That is, for any set  $B = \{p_{i_1}, \dots, p_{i_{|B|}}\} \in \Gamma$ , there exists a reconstruction function  $\text{Recon}_B : K_{i_1} \times \dots \times K_{i_{|B|}} \rightarrow K$  such that for every  $k \in K$ ,*

$$\Pr \left[ \text{Recon}_B \left( \Pi(k, r)_B \right) = k \right] = 1. \quad (1)$$

**PRIVACY.** *Every unauthorized set cannot learn anything about the secret (in the information theoretic sense) from their shares. Formally, for any set  $T \notin \Gamma$ , for every two secrets  $a, b \in K$ , and for every possible vector of shares  $\langle s_j \rangle_{p_j \in T}$ ,*

$$\Pr[ \Pi(a, r)_T = \langle s_j \rangle_{p_j \in T} ] = \Pr[ \Pi(b, r)_T = \langle s_j \rangle_{p_j \in T} ]. \quad (2)$$

*Remarks 2.3.* There is an alternative definition of secret-sharing schemes (e.g., [36, 16]) using the entropy function. For this definition, it is assumed that there is some known probability distribution on the domain of secrets  $K$  and require that the secret and the shares of every unauthorized subset are independent random variables (this can be formulated, e.g., using the entropy function). The two definitions are equivalent [4].

In this work we mainly consider graph access structures. Let  $G = (V, E)$  be an undirected graph. We consider the graph access structure, where the parties are the vertices of the graph and the minimal authorized sets are the edges. In other words, a set of vertices can reconstruct the secret iff it contains an edge. In the rest of the paper we will not distinguish between the graph and the access structure it describes and we will not distinguish between vertices and parties.

## 2.2 Graph Terminology

We define the graph terminology that we use throughout this paper. The *degree* of a graph is the maximum degree of vertices in a graph. A graph  $G' = (V', E')$  is a *subgraph* of a graph  $G = (V, E)$  if  $V' \subseteq V$  and  $E' \subseteq E$ . We next define covers of graphs, which is used for our construction of secret-sharing schemes.

**Definition 2.4.** *Let  $G = (V, E)$  be a graph. We say that a collection of graphs  $G_1 = (V_1, E_1), \dots, G_r = (V_r, E_r)$  cover  $G$  if each  $G_i$  is a subgraph of  $G$  and  $E = \cup_{i=1}^r E_i$ .*

A  $k$ -partite graph  $G = (V_1, \dots, V_k, E)$ , where  $V_1, \dots, V_k$  are disjoint, is a graph, whose vertices are  $V = \cup_{i=1}^k V_i$ , such that if  $(u, v) \in E$ , then there are indices  $i \neq j$  such that  $u \in V_i$  and  $v \in V_j$  (that is, there are edges only between vertices in different parts). A  $k$ -partite graph is *complete* if it contains all edges between vertices in different parts. A graph is a *multipartite* graph if it is  $k$ -partite for some  $k$ . For example, a clique is a complete  $k$ -partite graph, where  $k$  is the number of vertices in the clique. A bipartite graph in which  $|V_1| = 1$  is called a *star*; the vertex in  $V_1$  is the *center* and the ones in  $V_2$  are the *leaves*.

### 2.3 Graphs and Secret Sharing

Brickell and Davenport [14] proved that a connected graph can be realized by an ideal scheme (that is, by a scheme with total share size  $n$ ) iff the graph is a complete multipartite graph. As we use the ideal scheme for multipartite graphs we describe it below.

**Theorem 2.5** ([14]). *Let  $G = (V_1, \dots, V_k, E)$  be a complete multipartite graph and  $p > k$  be a prime. There is a linear secret-sharing realizing  $G$  where the domain of secrets and the domain of shares of each party are  $\{0, \dots, p - 1\}$ .*

*Proof.* Let  $s \in \{0, \dots, p - 1\}$  be the secret. We first generate shares in Shamir's 2-out-of- $k$  scheme [45] for the secret  $s$ . That is, we choose  $a \in \{0, \dots, p - 1\}$  at random with uniform distribution and we compute the share  $s_i = a \cdot i + s \pmod{p}$  for  $1 \leq i \leq k$ . Next, we give  $s_i$  to all vertices in  $V_i$ . Two vertices from different parts, say  $V_i$  and  $V_j$ , can reconstruct the secret as follows:  $s = (js_i - is_j)/(j - i)$  (where the arithmetic is in  $\mathbb{F}_p$  – the finite field with  $p$  elements). On the other hand, if a set  $T$  is unauthorized, then it is contained in some  $V_i$  and all the vertices in  $T$  hold the same share in Shamir's scheme and do not have any information on the secret, that is, this share is uniformly distributed in  $\{0, \dots, p - 1\}$ .  $\square$

*Remarks 2.6.* The total share size in the above scheme is  $n$ . However, it requires that  $p > k$ . In the rest of the paper we assume that  $p > n$ , thus, we can realize every multipartite subgraph of a graph  $G$  with  $n$  vertices. This is a reasonable requirement that assumes that the number of bits in the secret is at least  $\log n$ . We will not mention the size of the secret in the rest of the paper and only consider the total share size of the scheme.

In the rest of the paper we will construct schemes, where we choose subgraphs of  $G$  which are multipartite, and share the secret  $s$  independently for each subgraph. The following is a well-known lemma.

**Lemma 2.7.** *Let  $G = (V, E)$  be a graph and  $G_1 = (V_1, E_1), \dots, G_r = (V_r, E_r)$  be a cover of  $G$  such that each  $G_i$  is a complete multipartite graph. Assume that we share a secret  $s$  independently for each  $G_i$  using the multipartite scheme. Then, the resulting scheme realizes  $G$  with total share size  $\sum_{i=1}^r |V_i|$ .*

*Proof.* First, let  $(u, v) \in E$  be a minimal authorized set. Then, there exists at least one  $i$  such that  $(u, v) \in E_i$  and  $u, v$  can reconstruct the secret from the shares of the scheme realizing  $G_i$ .

On the other hand, let  $T$  be an unauthorized set in  $G$ , that is,  $T$  is an independent set in  $G$ . Since  $E_i \subseteq E$  for every  $i$ , the parties in  $T$  get at most one different share in the scheme realizing  $G_i$ . As in each scheme we share the secret  $s$  independently (i.e., choose  $a$  independently), the unauthorized set  $T$  gets at most  $r$  random elements independent of each other, thus, they have no information on the secret.

For every  $i$ , in the scheme realizing  $G_i$  we give each party in  $V_i$  a share whose size is the size of the secret, thus, the total share size to realize all the graphs in the cover is  $\sum_{i=1}^r |V_i|$ .  $\square$

### 2.4 Description of the Problem

In this work we study the problem of realizing a graph access structure, where the graph has few excluded edges. Specifically, let  $G = (V, E)$  be an undirected graph with  $|V| = n$  and  $|E| = \binom{n}{2} - \ell$  for some  $0 < \ell < \binom{n}{2}$ . We consider the complement graph  $\overline{G} = (V, \overline{E})$ , where  $e \in \overline{E}$  iff  $e \notin E$ . We call  $\overline{G}$  the *excluded graph* and call its edges the *excluded edges*. In the rest of the paper, the excluded graph  $\overline{G}$  is a sparse graph with  $\ll \binom{n}{2}$  edges.

*Example 2.8.* Assume  $\ell = 1$ , that is, there is one excluded edge, say  $(v_{n-1}, v_n)$ . In this case, the graph can be realized by an ideal scheme as the graph is the complete  $(n - 1)$ -partite graph, where  $v_{n-1}, v_n$  are in the same part.

*Example 2.9.* Assume  $\ell = 2$ , and there are two adjacent excluded edges, say  $(v_{n-2}, v_n)$  and  $(v_{n-1}, v_n)$ . In this case, the graph  $G$  is not a complete multipartite graph, hence it cannot be realized by an ideal scheme [14]. However, it can be realized by a scheme in which each of the parties  $v_1, \dots, v_{n-3}, v_n$  gets a share whose size is the size of the secret and  $v_{n-2}, v_{n-1}$  get a share whose size is twice the size of the secret. Thus, the total share size is  $n + 2$ .

The scheme is as follows: Generate shares according to the Shamir's 2-out-of- $(n - 2)$  secret-sharing scheme, and give party  $v_i$  the  $i$ th share in Shamir's scheme for  $1 \leq i \leq n - 2$ . In addition give to  $v_{n-1}$  and  $v_n$  the  $(n - 2)$ th share in Shamir's scheme. Using the above shares every pair of parties, except for pairs contained in  $\{v_{n-2}, v_{n-1}, v_n\}$ , can reconstruct the secret. As the only authorized pair in  $\{v_{n-2}, v_{n-1}, v_n\}$  is  $(v_{n-2}, v_{n-1})$ , we give them additional shares: we choose two random strings  $r_1$  and  $r_2$  whose exclusive-or is the secret, and give  $r_1$  to  $v_{n-2}$  and  $r_2$  to  $v_{n-1}$ .

The above scheme is a special case of the complete multipartite cover scheme, where we cover the graph  $G$  by two graphs: A graph  $G_1 = (\{v_{n-2}, v_{n-1}\}, \{(v_{n-2}, v_{n-1})\})$  (that is,  $G_1$  contains two parts and one edge), and an  $n - 2$  complete multipartite graph where every  $v_i$ , for  $1 \leq i \leq n - 3$ , is a part, and  $\{v_{n-2}, v_{n-1}, v_n\}$  is a part.

By [11], the total size of shares to realize  $G$  is at least  $n + 2$ . That is, the above scheme is optimal.

### 3 Constructions for Bounded Degree Excluded Graphs

If the excluded graph contains few edges, then the average degree of its vertices is small. We first construct a scheme for graphs such that the degree of all vertices in its excluded graph is bounded by some  $d$ . In Section 4 we show how we can use this construction for any graph with few excluded edges.

The construction of a secret-sharing scheme for a graph  $G$  whose excluded graph  $\overline{G}$  has bounded degree uses a cover of  $G$  by cliques such that each vertex is contained in a relatively small number of cliques. This is useful as cliques have an ideal scheme. To construct this cover we use colorings of the excluded graph.

**Definition 3.1.** An equivalence graph is a vertex-disjoint union of cliques. An equivalence cover of  $G = (V, E)$  is a cover  $G_1 = (V, E_1), \dots, G_r = (V, E_r)$  of  $G$  such that each  $G_i$  is an equivalence graph.

A coloring of a graph  $\overline{G} = (V, \overline{E})$  with  $c$  colors is a mapping  $\mu : V \rightarrow \{1, \dots, c\}$  such that  $\mu(u) \neq \mu(v)$  for every  $(u, v) \in \overline{E}$ .

**Lemma 3.2.** Let  $G = (V, E)$  be a graph such that the degree of every vertex in its excluded graph  $\overline{G}$  is at most  $d$ . Then there exists an equivalence cover of  $G$  with  $r = 16d \ln n$  equivalence graphs.

Furthermore, there exists an equivalence cover of  $G$  with  $r = 64d \ln n$  equivalence graphs such that each  $(u, v) \in E$  is an edge in at least  $\ln n$  graphs in the cover.

*Proof.* An equivalence cover of  $G$  can be described by a coloring of  $\overline{G}$  and vice versa: given a coloring  $\mu$  of  $\overline{G}$  we construct an equivalence graph  $G' = (V, E')$ , which is a subgraph of  $G$ , where two vertices in  $G'$  are connected if they are colored by the same color, that is,  $E' = \{(u, v) : \mu(u) = \mu(v)\}$ . For every color, the set of vertices colored by such color is an independent set in  $\overline{G}$ , hence a clique in  $G$ .

The existence of an equivalence cover of  $G$  of size  $r$  is proved by using the *probabilistic method* (see, e.g., [2]). We choose  $r$  random colorings  $\mu_1, \dots, \mu_r$  of  $\overline{G}$  with  $4d$  colors. That is, each coloring is chosen independently with uniform distribution among all colorings of  $\overline{G}$  with  $4d$  colors. For every coloring  $\mu_i$ ,

we consider the equivalence graph  $G_i$  as described above. We next prove that with probability at least half  $G_1, \dots, G_r$  is an equivalence cover of  $G$ .

Let  $(u, v) \in E$ . We first fix  $i$  and compute the probability that  $u$  and  $v$  have the same color in the random coloring  $\mu_i$ . Fix an arbitrary coloring of all vertices except for  $u$  and  $v$ . We prove that conditioned on this coloring, the probability that  $u$  and  $v$  are colored in the same color is at least  $1/(8d)$ : The number of colors not used by the neighbors of  $u$  and  $v$  is at least  $2d$ , thus, the probability that  $u$  is colored by such color is at least half, and the probability that in this case  $v$  is colored in the same color as  $u$  is at least  $1/(4d)$ . That is, with probability at least  $1/(8d)$ , the edge  $(u, v)$  is covered by the graph  $G_i$ .

The probability that an edge  $(u, v)$  is not covered by the  $r$  random equivalence graphs  $G_1, \dots, G_r$  is at most

$$\left(1 - \frac{1}{8d}\right)^r \leq e^{-\frac{r}{8d}} = \frac{1}{n^2}.$$

Thus, the probability that there exists an edge  $(u, v) \in E$  that is not covered by the  $r$  random equivalence graphs  $G_1, \dots, G_r$  is at most  $\binom{n}{2}/n^2 < 1/2$ . In particular, such cover with  $r$  equivalence graphs exists.

Furthermore, assume that we take  $r = 64d \ln n$  random colorings. For an edge  $(u, v) \in E$ , define a Boolean random variable  $X_i$ , where  $X_i = 1$  iff in the  $i$ th coloring  $u$  and  $v$  are colored in the same color, and  $X_i = 0$  otherwise. Let  $X = \sum_{i=1}^{64d \ln n} X_i$ . Notice that  $E(X) \geq 64d \ln n / 8d = 8 \ln n$ . By a Chernoff bound

$$\Pr[X \leq \ln n] \leq \Pr[X \leq E(x)/8] \leq e^{-E(X)(1-1/8)^2/2} < e^{-2 \ln n} = 1/n^2.$$

Thus, there exists a sequence of  $64d \ln n$  colorings such that, for every  $(u, v) \in E$ , in at least  $\ln n$  colorings  $u$  and  $v$  are colored in the same color.  $\square$

*Remarks 3.3.* The existence of the equivalence cover in Lemma 3.2 is not constructive as we need to choose a random coloring of a graph of bounded degree. Such coloring can be chosen with nearly uniform distribution in polynomial time using a Markov process [33, 44]. Given a collection of equivalence graphs, it is easy to check that for every edge  $(u, v) \in E$  there is at least one graph in the collection that covers  $(u, v)$ . If this is not the case we repeat the process of choosing  $r$  random colorings until we find a good collection. The expected number of collections of colorings that have to be chosen before finding a good one is  $O(1)$ . Thus, we get a randomized polynomial-time algorithm to construct the equivalence cover.

Alon [1] observed that the size of the smallest equivalence cover of a graph  $G$  is smaller than the smallest clique cover of  $G$ . He further proved that if the degree of every vertex in  $\overline{G}$  is at most  $d$ , then  $G$  can be covered by  $O(d^2 \ln n)$  cliques. We directly analyze the size of the smallest equivalence cover and get an equivalence cover of size  $O(d \ln n)$ . To the best of our knowledge such bound was not known prior to our work.

**Lemma 3.4.** *Let  $G = (V, E)$  be a graph such that the maximum vertex degree in  $\overline{G} = (V, \overline{E})$  is less or equal to  $d$ . Then,  $G$  can be realized by a secret-sharing scheme in which the total share size is  $\tilde{O}(nd)$ .*

*Proof.* Consider a collection of  $r = 16d \ln n$  equivalence graphs that cover  $G$  (as guaranteed by Lemma 3.2). We realize the access structure of each equivalence graph  $G_i$  in the collection by an ideal scheme: For every clique  $C$  in  $G_i$ , generate shares in Shamir's 2-out-of- $|C|$  secret-sharing scheme, and distribute the shares among the parties of  $C$ .

For every excluded edge  $(u, v) \notin E$ , the vertices  $u$  and  $v$  are in different cliques in each  $G_i$  (as  $G_i$  is a subgraph of  $G$ ). Thus, in the above scheme  $u$  and  $v$  do not get any information. On the other hand, every edge  $(u, v) \in E$  is covered by at least one graph  $G_i$ , that is,  $u$  and  $v$  are in the clique in  $G_i$ , thus,  $u$  and  $v$  can reconstruct the secret. As in each graph  $G_i$  each party gets one share, the total share size of the resulting scheme is  $nr = O(dn \ln n) = \tilde{O}(nd)$ .  $\square$

*Remarks 3.5.* We can save a factor of  $O(\ln n)$  by using Stinson decomposition techniques [49]. Assume that the secret is in  $\mathbb{F}^\lambda$  with  $\lambda = \ln n$  and  $\mathbb{F}$  a field with  $|\mathbb{F}| > n$ . By Lemma 3.2, there exists an equivalence cover  $G_1, \dots, G_r$  with  $r = O(d \ln n)$  such that each edge  $(u, v) \in E$  is covered by  $\lambda$  graphs in the cover. Since  $G_1, \dots, G_r$  is a  $\lambda$ -decomposition of  $G$  (see [49] for more details), we can construct a scheme with total share size  $O(nd)$ .

### 3.1 Constructions for Bipartite Graphs with Bounded Degree

As a step in constructing a secret-sharing scheme realizing a graph with few excluded edges, we will need to realize certain bipartite graphs. In this section we show how to realize them using bipartite covers.

**Definition 3.6** (Complete-bipartite cover and bipartite complement). *Let  $H = (U, V, E)$  be a bipartite graph. A complete-bipartite cover of  $H = (U, V, E)$  is a cover  $H_1 = (U_1, V_1, E_1), \dots, H_r = (U_r, V_r, E_r)$  of  $H$  such that each  $H_i$  is a complete bipartite graph.*

*The bipartite complement of a graph  $H$  is the bipartite graph  $\overline{H} = (U, V, \overline{E})$ , where every  $u \in U$  and  $v \in V$  satisfy  $(u, v) \in \overline{E}$  iff  $(u, v) \notin E$ .*

Note that the bipartite complement of a bipartite graph is a bipartite graph and it differs from the complement of the bipartite graph. We next quote a lemma of Jukna [34] on the existence of small bipartite covers. For completeness we present the proof of this lemma.

**Lemma 3.7** (Jukna [34, Theorem 1]). *Let  $H = (U, V, E)$  be a bipartite graph such that  $|U| \leq |V|$  and the degree of every vertex in  $V$  in the bipartite complement graph  $\overline{H}$  is at most  $d$ . Then there exists a cover of  $H$  with  $O(d \ln n)$  complete bipartite graphs, where  $|V| = n$ .*

*Proof.* Let  $p = 1/d$  and  $r = \ln(2|E|)/(p(1-p)^d) = O(d \ln n)$ . We choose the graphs  $H_1, \dots, H_r$  as follows. Choose a set  $U_i \subseteq U$  such that for every  $u \in U$  we add  $u$  to  $U_i$  with probability  $p$  independently of all other choices. We construct  $V_i$  as the set of all vertices in  $V$  that are adjacent to every  $u \in U_i$  (that is,  $v \in V_i$  iff  $(u, v) \in E$  for every  $u \in U_i$ ).

Fix  $(u, v) \in E$  and  $1 \leq i \leq r$ . The edge  $(u, v)$  is in  $E_i$  if  $u \in U_i$  and all neighbors of  $v$  in  $\overline{H}$  are not in  $U_i$ . Thus,

$$\Pr[(u, v) \in E_i] \geq p(1-p)^d.$$

As we choose  $r$  complete bipartite graphs independently,

$$\Pr[(u, v) \notin \cup_{i=1}^r E_i] \leq \left( (1 - p(1-p)^d)^{\frac{1}{p(1-p)^d}} \right)^{\ln(2|E|)} \leq \frac{1}{2|E|}.$$

By the union bound, the probability that there is an edge not covered by the  $r$  complete bipartite graphs is less than  $1/2$ .  $\square$

Note that in the above process, the construction of the bipartite graphs is efficient. As we can efficiently check if a sequence of bipartite graphs cover  $H$ , we can repeat the process again if the bipartite graphs that we choose do not cover  $H$ . The expected number of times that we need to repeat this process is at most 2.

**Lemma 3.8.** *Let  $d < n$  and  $H = (U, V, E)$  be a bipartite graph such that  $|U| = k$ ,  $|V| = n \geq k$ , and the degree of every vertex in  $U$  in  $\overline{H}$  is at most  $d$ . Then,  $H$  can be realized by a secret-sharing scheme in which the total share size is  $\tilde{O}(n + k^{3/2}d)$ . If  $k = (n/d)^{2/3}$ , the total share size is  $\tilde{O}(n)$ .*

*Proof.* Let  $D = \{v \in V : \exists u \in U \text{ such that } (u, v) \in \overline{E}\}$ . As the degree of every vertex in  $U$  in  $\overline{H}$  is at most  $d$ , the size of  $D$  is at most  $dk$ . Furthermore, the complete bipartite graph  $H_1 = (U, V \setminus D, U \times (V \setminus D))$  is a subgraph of  $H$ . We realize  $H_1$  by an ideal scheme in which the total share size is less than  $|U| + |V| = O(n)$ .

Now, define  $D_2 = \{v \in D : \text{The degree of } v \text{ in } \overline{H} \text{ is at least } \sqrt{k}\}$ . As  $\overline{H}$  contains at most  $dk$  edges,  $|D_2| \leq d\sqrt{k}$ . Let  $H_2 = (U, D_2, E \cap (U \times D_2))$ . The number of edges in  $H_2$  is less than  $|U||D_2| \leq k^{3/2}d$ , thus, we can realize  $H_2$  by a secret-sharing scheme in which the total share size is  $O(k^{3/2}d)$ .

Finally, let  $V_3 = D \setminus D_2$  and  $H_3 = (U, V_3, E \cap (U \times V_3))$ . The degree of each vertex in  $V_3$  in the graph  $\overline{H}_3$  is at most  $\sqrt{k}$ , thus, by Lemma 3.7,  $H_3$  can be covered by  $r = O(\sqrt{k} \ln n)$  complete bipartite graphs. We realize each such complete bipartite graph by an ideal scheme in which the total share size is at most  $|U| + |V_3| \leq k + kd = O(kd)$ . Thus, we realize  $H_2$  by a scheme in which the total share size is  $O(rkd) = O(k^{3/2}d \ln n)$ . As  $H_1, H_2,$  and  $H_3$  cover  $H$ , we constructed a scheme realizing  $H$  in which the total share size is  $\tilde{O}(n + k^{3/2}d)$ . Taking  $k = (n/d)^{2/3}$ , the total share size is  $\tilde{O}(n)$ .  $\square$

## 4 Constructions for Excluded Graph with Few Edges

We next show how to use the schemes of Lemma 3.4 and Lemma 3.8 to realize excluded graphs with  $\ell = n^{1+\beta}$  edges, where  $0 \leq \beta < 1$ . We will start with a simple approach and then use more complicated constructions to achieve better upper bounds. We construct our scheme in steps, where in each step: (1) We choose a set of vertices  $V' \subseteq V$ . (2) We give shares to the parties in  $V'$  and the rest of the parties, such that each edge adjacent to a party in  $V'$  can reconstruct the secret, and all other pairs of parties (i.e., unauthorized pairs containing parties in  $V'$  and all pairs not adjacent to  $V'$ ) get no information on the secret. (3) We remove the vertices in  $V'$  and all their adjacent edges from the graph. We repeat the following step until all vertices in  $\overline{G}$  have small degree and then use the equivalence covering scheme of Section 3 to realize the remaining graph. In this process we will ensure that the total share size remains relatively small. In the following,  $n$  will always refer to the number of vertices in the original graph.

Our first step is removing all vertices whose degree in  $\overline{G}$  is ‘‘high’’.

**Lemma 4.1.** *Let  $G$  be a graph such that its excluded graph  $\overline{G}$  contains at most  $n^{1+\beta}$  edges, where  $0 \leq \beta < 1$ . Then, for every  $d < n$ , we can give shares of size  $O(n^{2+\beta}/d)$  and remove a set of vertices from  $G$  and all adjacent edges and obtain an induced subgraph  $G'$  of  $G$  such that  $\overline{G}'$  contains at most  $n^{1+\beta}$  edges and the degree of  $\overline{G}'$  is at most  $d$ .*

*Proof.* We choose a vertex  $v$  whose degree in  $\overline{G}$  is greater than  $d$  and consider the star whose center is  $v$  and its leaves are all neighbors of  $v$  in  $G$ . We realize this star using an ideal scheme and remove  $v$  and its adjacent edges from  $G$ . The total share size in this step is at most  $n$ .

We choose another vertex whose degree in  $\overline{G}$  is greater than  $d$  and do the same until no vertices with degree greater than  $d$  exist in  $\overline{G}$ . As in the beginning there are  $n^{1+\beta}$  edges in  $\overline{G}$  and in each step we remove at least  $d$  edges from  $\overline{G}$ , the number of steps is at most  $n^{1+\beta}/d$ . Thus, the total share size of the resulting scheme for the removed vertices is  $O(nn^{1+\beta}/d)$ .  $\square$

We can combine the constructions of Lemma 4.1 and Lemma 3.4. That is, we choose some  $d \leq n$ , remove vertices with degree higher than  $d$  in  $\overline{G}$ , and then apply the equivalence cover construction to the remaining graph  $G$ , where the degree of  $\overline{G}$  is  $d$ . Thus, the total share size of the resulting scheme (including the scheme from of Lemma 3.4) is  $\tilde{O}(n^{2+\beta}/d + dn)$ . To minimize the share size we take  $d = \sqrt{n^{1+\beta}}$  and get a scheme in which the total share size is  $\tilde{O}(n^{1.5+\beta/2})$ .

Using Lemma 4.1 we decrease the degree of the vertices in  $\overline{G}$ . Instead of applying the construction of Lemma 3.4 to the resulting graph, we will apply some intermediate steps to further reduce the degree and only then use the construction of Lemma 3.4.

**Lemma 4.2.** *Let  $\alpha' < \alpha \leq 1$  such that  $\alpha \geq 0.25$  and  $G = (V, E)$  be a graph such that the degree of  $\overline{G}$  is at most  $n^\alpha$  and  $\overline{G}$  contains  $\ell$  edges. Then, we can remove a set of vertices and all adjacent edges from the graph and obtain a graph  $G'$  such that the degree of  $\overline{G}'$  is at most  $n^{\alpha'}$ , the graph  $\overline{G}'$  contains  $\ell - \ell'$  excluded edges for some  $\ell' > 0$ , and the total share size for the removed edges is  $\tilde{O}(\ell' n^{1/3+2\alpha/3-\alpha'})$ .*

*Proof.* Let  $d = n^\alpha$  and  $d' = n^{\alpha'}$ . We remove the vertices of degree larger than  $d'$  in steps. In each step we choose an arbitrary set  $F$  of  $k = (n/d)^{2/3}$  vertices of degree at least  $d'$  in  $\overline{G}$  (if the number of vertices of degree  $d'$  is smaller than  $k$ , then we take the remaining vertices of degree  $d'$  and put them in  $F$ ). Consider all edges between vertices of  $F$ , there are less than  $k^2 = n^{4/3}/d^{4/3} \leq n$  such edges (since  $d \geq n^{1/4}$ ). Next consider the bipartite graph  $H = (F, V \setminus F, E \cap (F \times (V \setminus F)))$ . By Lemma 3.8, we can realize  $H$  with a scheme in which the total share size is  $O(n)$ . Thus, we can remove the vertices in  $F$  and all edges adjacent to them, and the total share size in the scheme for every step is  $\tilde{O}(n)$ .

Let  $\ell'$  the total number of edges we removed from  $\overline{G}$  in these steps until the degree of  $\overline{G}$  is at most  $d'$ . As each vertex we remove has degree at least  $d'$  in  $\overline{G}$ , the number of vertices we remove is at most  $\ell'/d'$ . In each step, except for the last, we remove a set  $F$  with  $(n/d)^{2/3}$  vertices, thus, the number of sets we remove is at most  $1 + \ell'/(d'(n/d)^{2/3}) = O(\ell' d^{2/3}/(d' n^{2/3}))$ . As in each step the share size is  $\tilde{O}(n)$ , the total share size for the edges we removed from  $G$  is  $\tilde{O}(\ell' n^{1/3} d^{2/3}/d') = \tilde{O}(\ell' n^{1/3+2\alpha/3-\alpha'})$ .  $\square$

We next show how to construct secret-sharing schemes for graphs with few excluded edges using the three building blocks presented so far: (1) initial degree reductions using stars, (2)  $O(\log \log n)$  steps of degree reduction using complete bipartite graphs and stars, and (3) using the equivalence cover construction on the graph with reduced degree.

**Theorem 4.3.** *Let  $G = (V, E)$  be a graph with  $|V| = n$  and  $|E| = \binom{n}{2} - n^{1+\beta}$  for some  $0 \leq \beta < 1$ . There exists a secret-sharing scheme realizing  $G$  with total share size  $\tilde{O}(n^{5/4+3\beta/4})$ .*

*Proof.* Let  $\alpha_0$  be a constant to be determined later. We first apply Lemma 4.1 with  $d = n^{\alpha_0}$  and obtain a graph  $G$  such that the degree of  $\overline{G}$  is at most  $d$ . The total share size in this step is

$$O(n^{2+\beta}/d) = O(n^{2+\beta-\alpha_0}). \quad (3)$$

Next define  $\alpha_i = (3 - 2(2/3)^i)\alpha_0 - 2 + 2(2/3)^i$  for  $1 \leq i \leq \log \log n$ . We choose these constants such that  $2\alpha_i/3 - \alpha_{i+1} = 2/3 - \alpha_0$ . We next repeatedly apply the degree reduction of Lemma 4.2; we apply it  $\log \log n$  times. In the  $i$ th invocation of the lemma, where  $0 \leq i < \log \log n$ , we take  $\alpha = \alpha_i$  and  $\alpha' = \alpha_{i+1}$ . The cost of each invocation is

$$\tilde{O}\left(\ell_i n^{\frac{1}{3} + \frac{2\alpha_i}{3-\alpha_{i+1}}}\right) = \tilde{O}(\ell_i n^{1-\alpha_0}),$$

where  $\ell_i$  is the number of edges removed from  $\overline{G}$  in the  $i$ th invocation. As the number of edges removed in all invocations is at most  $n^{1+\beta}$ , the total share size in all these invocations is

$$\tilde{O}(n^{1+\beta} n^{1-\alpha_0}) = \tilde{O}(n^{2+\beta-\alpha_0}). \quad (4)$$

After the  $\log \log n$  invocations of Lemma 4.2, the degree of each vertex in  $\overline{G}$  is at most  $n^{\alpha_{\log \log n}} = O(n^{3\alpha_0 - 2})$ . In the final stage we use Lemma 3.4 and realize the graph with total share size

$$\tilde{O}(nn^{3\alpha_0 - 2}) = \tilde{O}(n^{3\alpha_0 - 1}). \quad (5)$$

The total share of realizing  $G$  (by (3), (4), and (5)) is  $O(n^{2+\beta-\alpha_0}) + \tilde{O}(n^{2+\beta-\alpha_0}) + \tilde{O}(n^{3\alpha_0-1})$ . To minimize this expression, we require that  $2 + \beta - \alpha_0 = 3\alpha_0 - 1$ , thus,  $\alpha_0 = 3/4 + \beta/4$  and the total share size in the scheme is  $\tilde{O}(n^{5/4+3\beta/4})$ .  $\square$

*Remarks 4.4.* It can be checked that the construction of the cover of  $G$  by multipartite graphs, as done in the above scheme, can be done by a probabilistic algorithm in expected polynomial time. Thus, the computation of the dealer and the parties in our scheme is efficient.

In Theorem 4.3 we showed how to realize a graph where the number of excluded edges is small, however it is at least  $n$ . We next show how to realize graphs where the number of excluded edges is less than  $n$ .

**Corollary 4.5.** *Let  $G = (V, E)$  be a graph with  $|V| = n$  and  $|E| = \binom{n}{2} - \ell$  for some  $\ell < n/2$ . There exists a secret-sharing scheme realizing  $G$  with total share size  $n + \tilde{O}(\ell^{5/4})$ .*

*Proof.* Let  $V' \subseteq V$  be the set of vertices adjacent to excluded edges. As there are  $\ell$  excluded edges, the size of  $V'$  is at most  $2\ell$ . Without loss of generality, let  $V = \{v_1, \dots, v_n\}$  and  $V' = \{v_t, \dots, v_n\}$  for some  $t > n - 2\ell$ . We first execute Shamir's 2-out-of- $t$  secret-sharing scheme and give the share  $s_i$  to party  $v_i$  for  $1 \leq i < t$ , and give the share  $s_i$  to  $v_i$  for  $t \leq i \leq n$ .

Let  $V''$  be such that  $V' \subseteq V''$  and  $|V''| = 2\ell$ . Furthermore, let  $G' = (V'', E')$  be the subgraph of  $G$  induced by  $V''$ . The graph  $G'$  has  $n' = 2\ell$  vertices and  $\ell \leq n'$  excluded edges, thus, by Theorem 4.3 (with  $\beta = 0$ ), it can be realized by a scheme in which the total share size is  $\tilde{O}(\ell^{5/4})$ . The total share size in realizing  $G$  is, therefore,  $n + \tilde{O}(\ell^{5/4})$ .  $\square$

## 5 Constructions for Homogeneous Access Structures

In this section we extend the techniques used in the construction of graph secret-sharing schemes to the construction of schemes for homogeneous access structures, which are access structures whose minimal authorized subsets are of the same size. Every  $k$ -homogeneous access structure has a monotone formula of size  $O(n^k / \log n)$  (see [54, Theorem 7.3]), thus, by [8], it can be realized by a secret-sharing scheme with total share size  $O(n^k / \log n)$ . Other upper bounds for hypergraphs are presented in [37, 43, 48, 50]; however they are useful for sparse access structures. In this section, we present constructions for dense  $k$ -homogeneous access structures for a constant  $k$ . We will describe these access structures by hypergraphs.

A *hypergraph* is a pair  $H = (V, E)$  where  $V$  is a set of vertices and  $E \subseteq 2^V \setminus \{\emptyset\}$  is the set of *hyperedges*. In this work we only consider hypergraphs in which no hyperedge properly contains any other hyperedge. A hypergraph is *k-uniform* if  $|e| = k$  for every  $e \in E$ . A  $k$ -uniform hypergraph is *complete* if  $E = \binom{V}{k} = \{e \subseteq V : |e| = k\}$ . For any  $k$ -uniform hypergraph we define the *complement* hypergraph  $\overline{H} = (V, \overline{E})$ , with  $\overline{E} = \binom{V}{k} \setminus E$ . Observe that there is a one-to-one correspondence between uniform hypergraphs and homogeneous access structures, and that complete hypergraphs correspond to threshold access structures.

By analogy to graphs, we define an *equivalence k-hypergraph* as a vertex-disjoint union of complete  $k$ -uniform hypergraphs, and the equivalence cover of a  $k$ -uniform hypergraph  $H = (V, E)$  as a collection of equivalence  $k$ -hypergraphs  $H_1 = (V, E_1), \dots, H_r = (V, E_r)$  with  $E_i \subseteq E$  for  $i = 1, \dots, r$  and

$\cup_{1 \leq i \leq r} E_i = E$ . A weak coloring with  $c$  colors of a hypergraph  $H = (V, E)$  is a mapping  $\mu : V \rightarrow \{1, \dots, c\}$  such that for every  $e \in E$  there exist  $u, v \in e$  with  $\mu(u) \neq \mu(v)$ .

**Lemma 5.1.** *Let  $H = (V, E)$  be a  $k$ -uniform hypergraph such that the degree of every vertex in its excluded hypergraph is at most  $d$ . Then there exists an equivalence cover of  $H$  with  $r = 2^k k^k d^{k-1} \ln n$  equivalence hypergraphs.*

*Proof.* The proof of this lemma is similar to the one of Lemma 3.2, and is also based on the probabilistic method. Given a coloring  $\mu$  of  $\overline{H}$  we construct an equivalence  $k$ -hypergraph  $H' = (V, E')$ , which is the sub-hypergraph of  $H$ , where  $\{v_1, \dots, v_k\} \subset V$  is in  $E'$  if and only if  $\mu(v_i) = \mu(v_j)$  for  $1 \leq i < j \leq k$ . For every color, the set of vertices colored by such a color is a  $k$ -uniform complete sub-hypergraph of  $H$ .

We choose  $r$  random colorings  $\mu_1, \dots, \mu_r$  of  $\overline{H}$  with  $2kd$  colors, and for each coloring we consider the equivalence hypergraph as described above. With probability at least half  $H_1, \dots, H_r$  is an equivalence cover of  $H$ :

Let  $e = (v_1, \dots, v_k) \in E$ . Following arguments analogous to the ones in Lemma 3.2, we obtain that for each  $\mu_i$  the hyperedge  $e$  is monochromatic with probability at least  $\frac{1}{2(2kd)^{k-1}}$ . The probability that an edge  $e \in E$  is not covered by the  $r$  random equivalence hypergraphs  $H_1, \dots, H_r$  is at most  $1/n^k$ . Thus, the probability that there exists an edge in  $E$  not covered by the  $r$  random equivalence hypergraphs is less than half.  $\square$

**Lemma 5.2.** *Let  $H = (V, E)$  be a  $k$ -uniform hypergraph such that the maximum vertex degree of  $\overline{H} = (V, \overline{E})$  is less or equal to  $d$ . There exists a secret-sharing scheme realizing  $H$  in which the total share size is  $\tilde{O}(2^k k^k d^{k-1} n)$ .*

*Proof.* Take the equivalence cover of  $H$  of size  $r = 2^k k^k d^{k-1} \ln n$  guaranteed by Lemma 5.1. Now, we realize each equivalence hypergraph  $H_i$  in the collection by an ideal scheme: For every complete hypergraph  $C$  in  $H_i$ , generate shares in Shamir's  $k$ -out-of- $|C|$  secret-sharing scheme. Using arguments similar to the ones used in the proof of Lemma 3.4, this scheme realizes  $H$  and the total share size of the resulting scheme is  $nr = \tilde{O}(2^k k^k d^{k-1} n)$ .  $\square$

In Theorem 5.4 below, we construct a secret-sharing scheme for every excluded hypergraph with few edges. For this purpose, we use a recursive argument based on the construction illustrated in the following example.

*Example 5.3.* Let  $H = (V, E)$  be a hypergraph and let  $v \in V$  be a vertex satisfying that  $v \in e$  for every  $e \in E$ . Consider the hypergraph  $H' = (V', E')$  with  $V' = V \setminus \{v\}$  and  $E' = \{e \setminus \{v\} : e \in E\}$ . If there exists a secret-sharing scheme realizing  $H'$  with total share size  $r$ , then we can construct a scheme realizing  $H$  with total share size  $r + 1$  as follows. In order to share a secret  $s$ , the dealer chooses at random  $s_1$  and  $s_2$  satisfying  $s = s_1 + s_2$ , sends  $s_1$  to  $v$ , and shares  $s_2$  among  $V'$  using the scheme realizing  $H'$ .

**Theorem 5.4.** *Let  $H = (V, E)$  be a  $k$ -hypergraph with  $|V| = n$  and  $|E| = \binom{n}{k} - n^{1+\beta}$  for some  $0 \leq \beta < k - 1$ . There exists a secret-sharing scheme realizing  $H$  with total share size  $\tilde{O}(2^k k^k n^{2+\beta})$ .*

*Proof.* By induction on  $k$ , we prove that for every  $H = (V, E)$  satisfying the hypothesis there exists a secret-sharing scheme with total share size  $\tilde{O}(2^k k^k \ell^{1-\varepsilon_k} n)$ , where  $\ell = n^{1+\beta}$  and  $\varepsilon_k$  is defined by the equation  $\varepsilon_{i+1} = \frac{\varepsilon_i}{i+\varepsilon_i}$  and  $\varepsilon_1 = 1$ . By Theorem 4.3 this property is satisfied for  $k = 2$ . Let  $H = (V, E)$  be a  $k$ -hypergraph with  $k > 2$ . Define  $d = \frac{1}{\ell^{k-1+\varepsilon_{k-1}}}$ .

We choose a vertex  $v$  adjacent to  $\ell_1 > d$  excluded hyperedges. By the hypothesis, there is a secret sharing scheme with total share size  $\tilde{O}(2^{k-1}(k-1)^{k-1}\ell_i^{1-\varepsilon_{k-1}}n)$  for the  $(k-1)$ -hypergraph  $H' = (V', E')$ , with  $V' = V \setminus \{v\}$  and  $E' = \{e \in \binom{V'}{k-1} : e \cup \{v\} \in E\}$ . Following Example 5.3, we construct a scheme for the sub-hypergraph determined by all hyperedges adjacent to  $v$ . Then we remove  $v$  and its adjacent hyperedges from  $H$ . We choose another vertex  $v'$  adjacent to  $\ell_2 > d$  excluded hyperedges and do the same until no vertices with degree greater than  $d$  in  $\overline{H}$  exist.

Since in the beginning there are  $\ell$  excluded hyperedges, and in each step we remove  $\ell_i > d$  hyperedges, the number of steps is at most  $\ell/d$ . Thus, the total share size of the resulting scheme is

$$\tilde{O}\left(2^{k-1}(k-1)^{k-1}n \sum_{i=1}^{\ell/d} \ell_i^{1-\varepsilon_{k-1}}\right).$$

As  $\sum_{i=1}^{\ell/d} \ell_i \leq \ell$ , the above expression is maximized when  $\ell_1 = \dots = \ell_{\ell/d} = d$ , and the total share size of the scheme is  $\tilde{O}(2^{k-1}(k-1)^{k-1}n\ell/d^{\varepsilon_{k-1}})$ .

Finally, since the degree of  $\overline{H}$  is at most  $d$ , we use Lemma 5.1 to construct a secret-sharing scheme realizing  $H$  with total share size  $\tilde{O}(2^k k^k d^{k-1}n)$ .  $\square$

**Corollary 5.5.** *Let  $H = (V, E)$  be a  $k$ -hypergraph with  $|V| = n$  and  $|E| = \binom{n}{k} - \ell$  for some  $\ell k < n$ . There exists a secret-sharing scheme realizing  $H$  with total share size  $n + \tilde{O}(2^k k^{k+2} \ell^2)$ .*

*Proof.* Define  $W \subseteq V$  as the set of vertices of degree zero in  $\overline{H}$ . Since  $\ell k < n$ ,  $|W| > 0$ . Consider the  $k$ -hypergraph  $H' = (V, E')$  with  $E' = \{e \in \binom{V}{k} : |e \cap W| \geq 1\}$ . Observe that  $H' \subseteq H$ . By [42], there exists an ideal secret-sharing scheme realizing  $H'$ . Now it remains to find a secret-sharing scheme for  $H \setminus H'$ , a hypergraph defined on  $V \setminus W$  whose complement has at most  $\ell k$  vertices and  $\ell$  hyperedges. The proof is completed by using Theorem 5.4.  $\square$

*Remarks 5.6.* By [28], the scheme constructed in the first step of the proof of Corollary 5.5 can be constructed over any finite field  $\mathbb{F}$  with  $|\mathbb{F}| > \binom{n+1}{k}$ .

## 6 Removing Few Authorized Sets from Access Structures

Our main result (Theorem 4.3) shows that if we start with the complete graph and remove “few” edges, then the share size required to realize the new graph is not “too big”. We then generalize these results to complete homogeneous hypergraphs. In this section we address the effect of removing few authorized sets from other access structures. We first consider arbitrary graph access structures and then consider access structures where the minimal authorized sets are small and, for each party, we remove few sets containing the party (this generalizes the case where the complement graph has constant degree).

### 6.1 Removing Few Edges from an Arbitrary Graph

We show that if we start with any graph and remove “few” edges, then the total share size required to realize the new graph is not much larger than the total share size required to realize the original graph.

**Theorem 6.1.** *Let  $G = (V, E)$  and  $G' = (V, E')$  be two graphs with  $E' \subset E$ ,  $|E \setminus E'| = \ell$ , and  $|V| = n$ . Assume  $G$  can be realized by a scheme in which the total share size is  $m$  (clearly,  $m \leq \binom{n}{2}$ ). If  $\ell > m/n$ , then  $G'$  can be realized by a scheme in which the total share size is  $\tilde{O}(\sqrt{\ell mn})$ . If  $\ell \leq m/n$ , then  $G'$  can be realized by a scheme in which the total share size is  $m + 2\ell n \leq 3m$ .*

*Proof.* Let  $\Sigma$  be a secret-sharing scheme realizing  $G$  with total share size  $m$ . Suppose that  $\ell > m/n$ . Define  $d = \sqrt{\ell n/m}$ . Let  $G'' = (V, E'')$  be the graph satisfying that  $e \in \overline{E''}$  if and only if  $e \in E \setminus E'$  (that is,  $\overline{G''}$  is the graph of the excluded edges, and  $G''$  is its complement).

First we construct a scheme similar to the one described in the proof of Lemma 4.1. For every party  $v$  adjacent to at least  $d$  excluded edges, we consider the star whose center is  $v$  and its leaves are all neighbors in  $G'$ . We realize this star using an ideal scheme and we remove  $v$  and its adjacent edges from  $G'$  and from  $G''$ . The total share size in this step is at most  $n$ . We do the same process until all vertices have less than  $d$  excluded vertices. The total share size of the resulting scheme is  $O(n\ell/d)$ .

Now the degree of every vertex in  $G''$  is at most  $d$ . By Lemma 3.2 there exists an equivalence cover of  $G''$  with  $\tilde{O}(d)$  equivalence graphs. For every equivalence graph, and for every clique  $C$  in it, we independently share the secret  $s$  among the parties in  $C$  using  $\Sigma$ , that is, we generate shares of  $s$  using  $\Sigma$  and give the shares only to the participants of  $C$ . In this way, an edge contained in  $C$  is authorized if and only if it is contained in  $E$ . Since  $E'' \cap E = E'$ , the resulting scheme realizes  $G'$ . The total share size of realizing each equivalence graph is  $m$  (since each participant is in a single clique), thus, the total share size of realizing all graphs in the cover is  $\tilde{O}(md)$ .

If  $\ell < m/n$ , we first execute  $\Sigma$  and give shares to parties not adjacent to excluded edges. The total share size in this step is less than  $m$ . For every party  $v$  adjacent to at least one excluded edge, we construct a secret-sharing scheme realizing the star whose center is  $v$  and the leaves are those  $u \in V$  with  $(u, v) \in E'$ . As there are at most  $2\ell$  such vertices, the total share size in realizing the stars is less than  $2\ell n$ . The total share size in both steps is  $m + 2\ell n \leq 3m$ .  $\square$

In the interesting case in Theorem 6.1 when  $\ell > m/n$ , the total share size is  $\tilde{O}(\sqrt{\ell mn})$ . This is better than the trivial scheme giving shares of total size  $O(n^2)$  only when  $\ell$  is not too large, namely,  $\ell \ll n^3/m$ .

## 6.2 Construction for General Access Structures

In the previous sections we studied access structures in which the minimal subsets are of the same size. In this section we use some of these techniques to study a more general scenario: we start with an access structure and we delete some minimal authorized subsets of it. The question is how much the share size of the schemes realizing the access structure grow as a result of the removed subsets.

We next consider removing authorized sets from more general access structures. We say that access structure  $\Gamma$  is of *degree*  $d$  if for every  $p \in P$  there are at most  $d$  subsets in  $\min \Gamma$  containing  $p$ .

**Theorem 6.2.** *Let  $\Gamma_1$  and  $\Gamma_2$  be two access structures on  $P$  with  $\min \Gamma_2 \subset \min \Gamma_1$  satisfying that  $|A| \leq k$  for every  $A \in \min \Gamma_1$ . If  $\Gamma_2$  is of degree  $d$  and there exists a scheme realizing  $\Gamma_1$  with total share size  $m$ , then the access structure determined by  $\min \Gamma_1 \setminus \min \Gamma_2$  can be realized by a secret-sharing scheme with total share size  $\tilde{O}(2^k k^k d^{k-1} m)$ .*

*Proof.* Let  $H = (P, E)$  and  $H' = (P, E')$  be the hypergraphs defined by  $\min \Gamma_1$  and  $\min \Gamma_2$ , respectively. By the hypothesis, the hyperedges of  $H$  are of size smaller or equal than  $k$ , and  $H'$  is a sub-hypergraph of  $H$  of degree less or equal to  $d$ . Let  $\Sigma$  be a the scheme realizing  $H$ , and let  $H'' = (P, E'')$  be the hypergraph with  $E = E \setminus E''$ , which is the hypergraph associated with  $\min \Gamma_1 \setminus \min \Gamma_2$ . We construct a scheme realizing  $H''$ .

Define  $r = 2^k k^k d^{k-1} \ln n$ . Following the arguments in the proof of Lemma 5.1, it is clear that there exists a family of  $r$  weak colorings  $\mu_1, \dots, \mu_r$  of  $H'$  with  $2kd$  colors satisfying the following property: For every  $e \in E''$  there exists  $i \in \{1, \dots, r\}$  with  $\mu_i(u) = \mu_i(v)$  for every  $u, v \in e$ .

At this point, we can describe  $H''$  as follows: A set  $e \subseteq \binom{V}{k}$  is in  $E''$  if and only if  $e \in E$  and there exists a coloring  $\mu_i$  for which  $e$  is monochromatic. Hence, we can construct a secret-sharing scheme for  $H''$  by sharing the secret independently, for every coloring  $\mu_i$  and for every color  $j \in \{1, \dots, 2kd\}$ , with  $\Sigma$  restricted to  $V_{i,j} = \{u \in P : \mu_i(u) = j\}$ . The total share size of the resulting scheme is  $mr = \tilde{O}(2^k k^k d^{k-1} m)$ .  $\square$

Observe that if  $k \ll n$ , the removal of minimal authorized subsets from an access structure does not increase so much the share size. Therefore, for  $k \ll n$ , access structures close to an access structure realized by an efficient scheme are not “hard”.

## 7 Lower Bounds for Very Dense Graphs

In this section we show lower bounds on the total share size for realizing very dense graphs. Recall that the best lower bound on the total share size for realizing a graph is  $\Omega(n \log n)$  [26, 10, 22] and the best lower bound on the total share size for realizing a graph by a linear scheme is  $\Omega(n^{3/2})$  [6]. However, these lower bounds use sparse graphs with  $\Theta(n \log n)$  and  $\Omega(n^{3/2})$  edges respectively. In this section we will show how to use these sparse graphs to prove lower bounds for very dense graphs. In particular, we show that there exists a graph with  $n^{1+\beta}$  excluded edges such that in every linear secret-sharing realizing it, the total share size is  $\Omega(n^{1+\beta/2})$  (for every  $0 \leq \beta < 1$ ). This lower bound shows that the total share size grows as a function of  $\beta$ . However, there is still a gap between our upper and lower bounds. We start with a lower bound for graphs with less than  $n$  excluded edges.

**Theorem 7.1.** *For every  $n$  and every  $2 < \ell < n/2$ , there exists a graph with  $n$  vertices and  $\ell$  excluded edges such that the total share size of every secret-sharing realizing it is at least  $n + \ell$ .*

*Proof.* We construct a graph  $G = (V, E)$  with  $n \geq 2\ell + 1$  vertices. We denote the vertices of the graph by  $V = \{a, b_0, \dots, b_{\ell-1}, c_0, \dots, c_{\ell-1}, v_{2\ell+2}, \dots, v_n\}$ . The graph  $G$  has all edges except for the following  $\ell$  excluded edges:  $\overline{E} = \{(a, c_i) : 0 \leq i \leq \ell - 1\}$ .

For every  $0 \leq i \leq \ell - 1$ , consider the graph  $G$  restricted to the vertices  $a, b_i, c_i, c_{(i+1) \bmod \ell}$ . This graph has two excluded edges  $(a, c_i)$  and  $(a, c_{(i+1) \bmod \ell})$ . Blundo et al. [11] proved that in any secret-sharing realizing this graph, the sum of the sizes of the shares of  $b_i$  and  $c_i$  is at least 3 times the size of the secret. Thus, in any secret-sharing realizing  $G$ , the sum of the sizes of the shares of  $b_i$  and  $c_i$  is at least 3 times the size of the secret. By [36], the size of the share of each party in any secret-sharing realizing any graph with no isolated vertices is at least the size of the secret. Thus, the total share size in any secret-sharing realizing  $G$  is at least  $n + \ell$ .  $\square$

**Theorem 7.2.** *For every  $\beta$ , where  $0 \leq \beta < 1$ , there exists a graph with  $n$  vertices and less than  $n^{1+\beta}$  excluded edges, such that the total share size in any linear secret-sharing realizing it is  $\Omega(n^{1+\beta/2})$ .*

*Proof.* By [6], for every  $n$  there exists a graph with  $n$  vertices such that the total share size in any linear secret-sharing realizing it is  $\Omega(n^{3/2})$ . We use this graph to construct a dense graph  $G = (V, E)$  with  $n$  vertices. We partition the vertices of  $G$  into  $n^{1-\beta}$  disjoint sets of vertices  $V_1, \dots, V_{n^{1-\beta}}$ , where  $|V_i| = n^\beta$  for  $1 \leq i \leq n^{1-\beta}$ . We construct the edges as follows: First, for every 2 vertices  $u$  and  $v$  such that  $u \in V_i$  and  $v \in V_j$  for  $i \neq j$ , we add the edge  $(u, v)$  to  $E$ , i.e., there is an edge connecting every 2 vertices from different parts. Second, for every  $i$  (where  $1 \leq i \leq n^{1-\beta}$ ), we construct a copy of the graph from [6] with  $n^\beta$  vertices among the vertices of  $V_i$ . We denote this graph by  $G_i$ .

Since all excluded edges in the above construction are between vertices in the same part, the number of excluded edges is at most  $\binom{n^\beta}{2}n^{1-\beta} < n^{1+\beta}$ . The total share size of any linear secret-sharing scheme realizing  $G_i$  (for  $1 \leq i \leq n^{1-\beta}$ ) is  $\Omega((n^\beta)^{3/2}) = \Omega(n^{3\beta/2})$ . Thus, the total share size of any linear secret-sharing scheme realizing  $G$  is at least  $\Omega(n^{1-\beta}n^{3\beta/2}) = \Omega(n^{1+\beta/2})$ .  $\square$

**Theorem 7.3.** *For every  $\beta$ , where  $0 < \beta < 1$ , there exists a graph with  $n$  vertices and less than  $n^{1+\beta}$  excluded edges such that the share size of any secret-sharing scheme realizing it is  $\Omega(\beta n \log n)$ .*

*Proof.* We use the construction from the proof of Theorem 7.2, where for every  $1 \leq i \leq n^{1-\beta}$  we set  $G_i$  to be a  $\log n^\beta$ -dimensional cube. By [22], any secret-sharing scheme realizing  $G_i$  has a total share size of  $\Omega(\beta n^\beta \log n)$ . Thus, any secret-sharing scheme realizing  $G$  must have a total share size of  $\Omega((n^{1-\beta} \cdot \beta n^\beta \log n)) = \Omega(\beta n \log n)$ .  $\square$

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