

Improved upper bound on root number of linearized polynomials and its application to nonlinearity estimation of Boolean functions

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Abstract. To determine the dimension of null space of any given linearized polynomial is one of vital problems in finite field theory, with concern to design of modern symmetric cryptosystems. But, the known general theory for this task is much far from giving the exact dimension when applied to a specific linearized polynomial. The first contribution of this paper is to give a better general method to get more precise upper bound on the root number of any given linearized polynomial. We anticipate this result would be applied as a useful tool in many research branches of finite field and cryptography. Really we apply this result to get tighter estimations of the lower bounds on the second order nonlinearities of general cubic Boolean functions, which has been being an active research problem during the past decade, with many examples showing great improvements. Furthermore, this paper shows that by studying the distribution of radicals of derivatives of a given Boolean functions one can get a better lower bound of the second-order nonlinearity, through an example of the monomial Boolean function $g_\mu = Tr(\mu x^{2^{2r}+2^r+1})$ over any finite field \mathbb{F}_{2^n} .

Keywords: Boolean Functions · Nonlinearity · Linearized Polynomial · Root Number. [11T71, 14G50, 68P25, 81P94]

1 Introduction

To determine the dimension of null space of linearized polynomials is one of vital problems in finite field theory, with concern to design of modern symmetric cryptosystems. But, the known general theory for this task is much far from giving the exact dimension when applied to a specific linearized polynomial. The first contribution of this paper is to give a better general method to get more precise upper bound on the root number of any given linearized polynomial.

As the second contribution we apply this result to get tighter estimations of the lower bounds on the second order nonlinearities of cubic Boolean functions,

which has been being an active research problem during the past decade as summarized below.

The r -th order nonlinearity of n -variable Boolean function f is the minimum Hamming distance between f and all n -variable Boolean functions of degree at most r . Computing the r -th order nonlinearity of a given function with algebraic degree strictly greater than r is a hard task for $r > 1$. Even the second-order nonlinearity is unknown for all functions except for a few peculiar ones and for functions in small numbers of variables. The best known upper bound on the r -th nonlinearity for $r > 1$ credits to Carlet and Mesnager [10]. Proving lower bounds on the r -th order nonlinearity of functions is also a quite difficult task, even for the second order [8].

In 2006, Carlet [11] and Carlet et al. [12] have presented two lower bounds involving the algebraic immunity on the r th-order nonlinearity. None of them improves upon the other one in all situations. In 2007, the first author [26] presented an improved lower bound on the r -th-order nonlinearity profile of Boolean functions, given their algebraic immunity. Her results improve significantly upon the lower bound in [12] for all orders and upon the bound in [11] for low orders (which play the most important role for attacks). Note that relation between nonlinearity and algebraic immunity have been studied further in [24,32].

In 2008, Carlet [8] introduced a method to determine the lower bound of the r -th order nonlinearity of a function from the maximum value or the lower bounds of the $(r - 1)$ -th order nonlinearity of its first derivatives, and obtained the lower bounds on the second order nonlinearities of some functions including Welch function and multiplicative inverse function and so on. Carlet [7] also lower bounded the nonlinearity profile of the Dillon bent functions. In [22], Kolokotronis and Limniotis get a tighter lower bound on the second-order nonlinearity of the cubic Boolean functions within the Maiorana-McFarland class. In 2009, Sun and Wu [30] have found lower bounds of the second-order nonlinearities of three classes of cubic bent Boolean functions, and Gangopadhyay, Sarkar and Telang [16] improved lower bounds on the second order nonlinearities of the cubic monomial Boolean functions $Tr(\lambda x^{2^{2r}+2^r+1})$ over \mathbb{F}_{2^n} with $n = 6r$. Gode and Gangopadhyay [18] lower bound the second-order nonlinearities of the cubic monomial Boolean functions. In 2010, Li, Hu, Gao [23] extend these results from monomial Boolean functions to Boolean functions with more trace terms, and get better lower bound than those of Gode and Gangopadhyay [18] for monomial functions. In 2011, Singh [29] lower bounded the second-order nonlinearity of $Tr(\lambda x^{2^{2r}+2^r+1})$ over \mathbb{F}_{2^n} with $n = 3r$. Sun and Wu [31] obtained a better lower bound of second-order nonlinearity of $Tr(\lambda x^{2^{2r}+2^r+1})$ over \mathbb{F}_{2^n} with $n = 4r$. Gangopadhyay and Garg [15] obtain a better lower bound of second nonlinearity of $Tr(\lambda x^{2^{2r}+2^r+1})$ over \mathbb{F}_{2^n} with $n = 5r$. Garg and Gangopadhyay [17] obtained a better lower bound of second-order nonlinearity for a bent function via Niho power function. In 2018, Carlet [9] has obtained an upper bound on the nonlinearity of monotone Boolean functions in even dimension and showed a deep weakness of such functions.

In this paper, new results which significantly improve all these previous estimations on lower bound of the second-order nonlinearity of general cubic Boolean functions are achieved by applying the improved upper-bound estimation of root number of linearized polynomials, together with a set of examples.

Furthermore, this paper shows that one can get a better lower bound of the second-order nonlinearity by studying the distribution of radicals of derivatives of a given Boolean functions, by an example of the Boolean function $g_\mu = Tr(\mu x^{2^{2^r}+2^r+1})$ over any finite field \mathbb{F}_{2^n} .

The paper is structured as follows. Section 2 sets main notations and gives background on Boolean functions. In Section 3, we present the known lower-bounds on the second-order nonlinearity of Boolean functions. In Section 4, new upper bound on the root number of linearized polynomials is given (Theorem 17). We also focus on the related Problem 18 and presents an algorithmic approach to this problem. In Section 5, we apply the results of the previous sections to derive a better estimation on the second order nonlinearity of cubic Boolean functions (Theorem 21). By examining examples, we show in Section 6 that our estimation is more precise than the one given by Li, Hu and Gao [23]. In Section 7, a deep analysis toward a better lower bound on the nonlinearity of cubic functions is presented as well as several open problems for future considerations.

2 Preliminaries

Let L be a Galois extension of a field K and $\text{Gal}(L/K)$ be the Galois group of L over K . Let $\sigma^0(x) = x, \sigma^j(x) = \sigma(\sigma^{j-1}(x))$ for $\sigma \in \text{Gal}(L/K)$ and $x \in L$. Then for a given polynomial $w(t) = \sum_{j=0}^l c_j t^j \in L[t]$, a homomorphism $w(\sigma)$ is defined to act as $w(\sigma)x = \sum_{j=0}^l c_j \sigma^j(x)$ on the element $x \in L$. The following lemma characterizes the size of kernel space of the homomorphism $w(\sigma)$.

Lemma 1. ([19,14]). *Let L be a cyclic Galois extension of K of degree n and suppose that σ generates the Galois group of L over K . Let m be an integer satisfying $1 \leq m \leq n$ and $w(t)$ be a polynomial of degree m in $L[t]$. Let $R = \{x \in L | w(\sigma)x = 0\}$. Then we have $\dim_K R \leq m$.*

Let $K = \mathbb{F}_2$ and $L = \mathbb{F}_{2^n}$. Because given $\gcd(n, s) = 1$, $\sigma(x) = x^{2^s}$ is a generator of the Galois group of L over K , as a corollary we can get following.

Lemma 2. [2] *Let $g(x) = \sum_{i=0}^{\nu} r_i x^{2^{si}}$ ($r_i \in \mathbb{F}_{2^n}$) be a linearized polynomial over \mathbb{F}_{2^n} with $\gcd(n, s) = 1$. Then, equation $g(x) = 0$ has at most 2^ν solutions in \mathbb{F}_{2^n} .*

A Boolean function f is an \mathbb{F}_2 -valued function on the vectorspace \mathbb{F}_2^n over the prime field \mathbb{F}_2 formed by all binary vectors of length n . We shall need a representation of Boolean functions by univariate polynomials over the Galois field \mathbb{F}_{2^n} of order 2^n . To this end, we identify the field \mathbb{F}_{2^n} with \mathbb{F}_2^n by choosing a basis of \mathbb{F}_{2^n} , viewed as vector space over \mathbb{F}_2 . We denote the *absolute trace* over \mathbb{F}_2 of an element $x \in \mathbb{F}_{2^n}$ by $Tr_1^n(x) = \sum_{i=0}^{n-1} x^{2^i}$. The function Tr_1^n from \mathbb{F}_{2^n} to its prime field \mathbb{F}_2 is \mathbb{F}_2 -linear and satisfies $(Tr_1^n(x))^2 = Tr_1^n(x) = Tr_1^n(x^2)$

for every $x \in \mathbb{F}_{2^n}$. The function $(x, y) \rightarrow Tr_1^n(xy)$ is an inner product in \mathbb{F}_{2^n} . For any positive integer k , and r dividing k , the trace function from \mathbb{F}_{2^k} to \mathbb{F}_{2^r} , denoted by Tr_r^k , is the mapping defined as:

$$\forall x \in \mathbb{F}_{2^k}, \quad Tr_r^k(x) := \sum_{i=0}^{\frac{k}{r}-1} x^{2^{ir}} = x + x^{2^r} + x^{2^{2r}} + \cdots + x^{2^{k-r}}.$$

Recall that, for every integer r dividing k , the trace function Tr_r^k satisfies the transitivity property.

Given an integer e , $0 \leq e \leq 2^n - 1$, having the binary expansion: $e = \sum_{i=0}^{n-1} e_i 2^i$, $e_i \in \{0, 1\}$, the 2-weight of e , denoted by $w_2(e)$, is the Hamming weight of the binary vector $(e_0, e_1, \dots, e_{n-1})$. Every non-zero Boolean function f defined on \mathbb{F}_{2^n} has a (unique) trace expansion of the form:

$$\forall x \in \mathbb{F}_{2^n}, \quad f(x) = \sum_{j \in \Gamma_n} Tr_1^{o(j)}(a_j x^j) + \epsilon(1 + x^{2^n-1}), \quad a_j \in \mathbb{F}_{2^{o(j)}} \quad (1)$$

called its polynomial form, where Γ_n is the set of integers obtained by choosing one element in each cyclotomic class of 2 modulo $2^n - 1$, the most usual choice being the smallest element in each cyclotomic class, called the coset leader of the class, and $o(j)$ is the size of the cyclotomic coset containing j , $\epsilon = wt(f)$ modulo 2. The algebraic degree of f , denoted by $\deg(f)$, is equal to the maximum 2-weight of an exponent j for which $a_j \neq 0$ if $\epsilon = 0$ and to n if $\epsilon = 1$. Note that $\epsilon = 0$ when $wt(f)$ is even, that is, when the algebraic degree of f is less than n . Note that when the integers modulo $2^n - 1$ are partitioned into cyclotomic classes of 2 modulo $2^n - 1$, all the elements in a cyclotomic class have the same 2-weight.

From now, we shall denote Tr the trace function from \mathbb{F}_{2^n} to \mathbb{F}_2 defined by $Tr(x) = x + x^2 + x^{2^2} + \cdots + x^{2^{n-1}}$.

A Boolean function on \mathbb{F}_{2^n} is a function can be expressed as $Tr(g[x])$, where $g[x]$ is any polynomial in $\mathbb{F}_{2^n}[x]$. The Hamming weight of binary representation of integer $\deg g[x]$ is the degree of Boolean function $Tr(g[x])$ on \mathbb{F}_{2^n} . The (Hamming) distance between Boolean functions f_1 and f_2 is defined by $d(f_1, f_2) = \#\{x \in \mathbb{F}_{2^n} \mid f_1(x) \neq f_2(x)\}$.

Let f be any n -variable Boolean function on \mathbb{F}_{2^n} . The r -th order nonlinearity of f , denoted by $nl_r(f)$, is the minimum Hamming distance between f and all n -variable Boolean functions of degree at most r , a nonnegative integer less than or equal to n . The sequence of values $nl_r(f)$ for r ranging from 1 to $n - 1$ is said to be the nonlinearity profile of f . The first order nonlinearity of f is referred to as the nonlinearity of f and denoted by $nl(f)$.

The Walsh transform of function f at $u \in \mathbb{F}_{2^n}$ is defined by

$$W_f(u) = \sum_{x \in \mathbb{F}_{2^n}} (-1)^{f(x) + Tr(ux)}, \quad u \in \mathbb{F}_{2^n},$$

and the Walsh spectrum of f as the set $\{W_f(u) \mid u \in \mathbb{F}_{2^n}\}$. The nonlinearity and the Walsh transform of f are related as:

$$nl(f) = 2^{n-1} - \frac{1}{2} \max_{u \in \mathbb{F}_{2^n}} |W_f(u)|. \quad (2)$$

The derivative of f with respect to $b \in \mathbb{F}_{2^n}$ is the Boolean function $D_b f : x \mapsto f(x) + f(x+b)$. The kernel ε_f of quadratic Boolean function f is the \mathbb{F}_2 -linear subspace of \mathbb{F}_{2^n} , defined by $\varepsilon_f = \{x \in \mathbb{F}_{2^n} \mid \forall y \in \mathbb{F}_{2^n}, f(0) + f(x) + f(y) + f(x+y) = 0\}$.

Lemma 3. [4] *Let f be any quadratic Boolean function. The kernel ε_f of f is the subspace consisting of those $b \in \mathbb{F}_{2^n}$ such that the derivative $D_b f$ is constant.*

Lemma 4. [4] *The dimension of the kernel ε_f of quadratic Boolean function f on \mathbb{F}_{2^n} has the same parity as one of n .*

Lemma 5. [4] *The Walsh Spectrum of quadratic Boolean function f depends only on the dimension k of the kernel. The weight distribution of the Walsh spectrum is*

$W_f(u)$	Number of $u \in \mathbb{F}_{2^n}$
0	$2^n - 2^{n-k}$
$2^{\frac{n+k}{2}}$	$2^{n-k-1} + (-1)^{f(0)} 2^{\frac{n-k-2}{2}}$
$-2^{\frac{n+k}{2}}$	$2^{n-k-1} - (-1)^{f(0)} 2^{\frac{n-k-2}{2}}$

Note Any quadratic Boolean form can be represented by $Tr(\sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} \delta_i x^{2^i+1})$, $\delta_i \in \mathbb{F}_{2^n}$ [27].

Any cubic Boolean function over \mathbb{F}_{2^n} can be written as

$$f(x) = Tr(xQ(x)) + Tr(xL(x)) + a(x), \quad (3)$$

where Q is a quadratic polynomial, L is a linearized polynomial and a is an affine Boolean function. Denote ϕ the polar form associated to Q : $\phi(x, y) = Q(x+y) + Q(x) + Q(y)$.

Set $\tilde{f}(x) = Tr(xQ(x))$ for every $x \in \mathbb{F}_{2^n}$. Note that $nl_2(\tilde{f}) = nl_2(f)$. Now, for $a \in \mathbb{F}_{2^n}^*$,

$$\begin{aligned} D_a \tilde{f}(x) &= Tr((x+a)Q(x+a) + xQ(x)) \\ &= Tr(x\phi(a, x) + aQ(x)) + Tr(xQ(a) + a\phi(a, x) + aQ(a)). \end{aligned}$$

Hence, $nl(D_a \tilde{f}) = nl(\psi_a)$, where for every $x \in \mathbb{F}_{2^n}$

$$\psi_a(x) = Tr(x\phi(a, x) + aQ(x)).$$

By the relation (2) and Lemma 5, the nonlinearity of a nonzero quadratic form can be expressed in terms of its radical:

$$nl(\psi_a) = 2^{n-1} - 2^{\frac{n+ra}{2}-1}$$

where r_a is the dimension of the vector space $\varepsilon_{f,a} := \{x \in \mathbb{F}_{2^n} \mid \forall y \in \mathbb{F}_{2^n}, B_a(x, y) = 0\}$ over \mathbb{F}_2 , i.e. the radical of ψ_a , where B_a is the polar form of ψ_a : $B_a = a\phi(x, y) + x\phi(a, y) + y\phi(a, x)$. Note always $a \in \varepsilon_{f,a}$ and therefore

$$r_a \geq 1, \text{ for every } a \in \mathbb{F}_{2^n}^*. \quad (4)$$

The reader can consult [5] for more background on Boolean functions.

3 Known results on the lower bounds on the second-order nonlinearity of Boolean functions

Let us now recall the following lower bound on the second-order nonlinearity of Boolean functions. Let f be any Boolean function on \mathbb{F}_{2^n} and r a positive integer smaller than n .

Theorem 6. [8]

$$nl_r(f) \geq \frac{1}{2} \max_{a \in \mathbb{F}_{2^n}} nl_{r-1}(D_a f). \quad (5)$$

Theorem 7. [8]

$$nl_r(f) \geq 2^{n-1} - \frac{1}{2} \sqrt{2^{2n} - 2 \sum_{a \in \mathbb{F}_{2^n}} nl_{r-1}(D_a f)}. \quad (6)$$

If we apply these lower bounds to a cubic function of the form (3), we get

$$nl_2(f) \geq \max \left(\frac{1}{2} \max_{a \in \mathbb{F}_{2^n}^*} (2^{n-1} - 2^{\frac{n+r_a}{2}-1}), 2^{n-1} - \frac{1}{2} \sqrt{2^{2n} - 2 \sum_{a \in \mathbb{F}_{2^n}} (2^{n-1} - 2^{\frac{n+r_a}{2}-1})} \right),$$

or,

$$nl_2(f) \geq \max \left(2^{n-2} - \frac{1}{4} \min_{a \in \mathbb{F}_{2^n}^*} 2^{\frac{n+r_a}{2}}, 2^{n-1} - \frac{1}{2} \sqrt{2^n + \sum_{a \in \mathbb{F}_{2^n}^*} 2^{\frac{n+r_a}{2}}} \right). \quad (7)$$

From (7), immediately it follows:

Corollary 8. [8] *For any cubic Boolean function f not possessing affine derivatives,*

$$nl_2(f) \geq 2^{n-1} - 2^{n-\frac{3}{2}} \quad (8)$$

Gode and Gangopadhyay [18] have improved on this for monomial Boolean functions:

Theorem 9. [18] *Let $f_\mu(x) = \text{Tr}(\mu x^{2^i+2^j+1})$, where $\mu \in \mathbb{F}_{2^n}$, and i, j are integers such that $n > i > j > 0$.*

For $n > 2i$, if n is an even, then

$$nl_2(f_\mu) \geq 2^{n-1} - \frac{1}{2}\sqrt{2^n + (2^n - 1)2^{\frac{n+2i}{2}}}, \quad (9)$$

and if n is an odd, then

$$nl_2(f_\mu) \geq 2^{n-1} - \frac{1}{2}\sqrt{2^n + (2^n - 1)2^{\frac{n+2i-1}{2}}}. \quad (10)$$

Theorem 10. [18] Let $g_\mu(x) = Tr(\mu x^{2^{2r}+2^r+1})$, where $\mu \in \mathbb{F}_{2^n}$ and $\gcd(n, r) = 1$.

For $n > 3$, if n is an even, then

$$nl_2(g_\mu) \geq 2^{n-1} - \frac{1}{2}\sqrt{2^n + (2^n - 1)2^{\frac{n+4}{2}}}, \quad (11)$$

and if n is an odd, then

$$nl_2(g_\mu) \geq 2^{n-1} - \frac{1}{2}\sqrt{2^n + (2^n - 1)2^{\frac{n+3}{2}}}. \quad (12)$$

Li, Hu and Gao [23] have improved on Corollary 8 for general cubic Boolean functions, while for cubic monomial Boolean functions the improved estimation are better than ones given in Theorem 9:

Theorem 11. [23] Let $F_\mu = Tr(\sum_{l=1}^m \mu_l x^{d_l})$, where $\mu_l \in \mathbb{F}_{2^n}$ and $d_l = 2^{i_l+j_l+1}$, $n > i_l > j_l > 0$. Let us suppose that any derivative of F_μ be a quadratic function. Let $h_u(x) = Tr(\sum_{i=1}^{n-1} c_{i,u} x^{2^i+1})$, $c_{i,u} \in \mathbb{F}_{2^n}$, be the quadratic part of the derivative of F_μ at $u \in \mathbb{F}_{2^n}$.

Let $s = \min\{i \mid \exists u, c_{i,u} \neq 0, 1 \leq i \leq n-1\}$, $t = \max\{i \mid \exists u \in \mathbb{F}_{2^n}, c_{i,u} \neq 0, 1 \leq i \leq n-1\}$ and $t_1 = \max\{i \mid \exists u \in \mathbb{F}_{2^n}, c_{i,u} \neq 0, i \neq t\}$ if $s \neq t$ or $n \neq 2t$.

① If $n < s+t$,

$$nl_2(F_\mu) \geq 2^{n-1} - \frac{1}{2}\sqrt{2^n + (2^n - 1)2^t}, \quad (13)$$

② If $2t > n \geq s+t$,

$$nl_2(F_\mu) \geq 2^{n-1} - \frac{1}{2}\sqrt{2^n + (2^n - 1)2^{n-s}}, \quad (14)$$

③ If $n = 2t$ and $s \neq t$, let $p = \min\{n - 2s, 2t_1\}$,

$$nl_2(F_\mu) \geq 2^{n-1} - \frac{1}{2}\sqrt{2^n + (2^n - 1)2^{\frac{n+p}{2}}}, \quad (15)$$

④ If $n > 2t$ is an even, let $p = \min\{n - 2s, 2t\}$,

$$nl_2(F_\mu) \geq 2^{n-1} - \frac{1}{2}\sqrt{2^n + (2^n - 1)2^{\frac{n+p}{2}}}, \quad (16)$$

If $n > 2t$ is an odd, let $q = \min\{n - 2s, 2t - 1\}$,

$$nl_2(F_\mu) \geq 2^{n-1} - \frac{1}{2}\sqrt{2^n + (2^n - 1)2^{\frac{n+q}{2}}}. \quad (17)$$

Li, Hu and Gao also generalized the Gode-Gangopadhyay estimation for cubic monomial Boolean functions g_μ (Theorem 10) to cubic Boolean functions $G_\mu = \text{Tr}(\sum_{l=1}^m \mu_l x^{d_l})$, where $\mu_l \in \mathbb{F}_{2^n}$ and $d_l = 2^{i_l r + j_l r + 1}$, $i_l > j_l > 0$, $\gcd(n, r) = 1, r \neq 1$.

Theorem 12. [23] *Let $t = \max\{i_l \mid 1 \leq l \leq m\}$. Let us suppose that any derivative of G_μ be quadratic function. For $n \geq 2t$, if n is an even, then*

$$nl_2(G_\mu) \geq 2^{n-1} - \frac{1}{2} \sqrt{2^n + (2^n - 1)2^{\frac{n+2t}{2}}}. \quad (18)$$

And if n is an odd, then

$$nl_2(G_\mu) \geq 2^{n-1} - \frac{1}{2} \sqrt{2^n + (2^n - 1)2^{\frac{n+2t-1}{2}}}. \quad (19)$$

Note that Theorem 12 restricted to g_μ coincides with Theorem 10 and (a generalization of) this is reformulated as Corollary 5 in [23].

4 On the root number of linearized polynomials

In this section, we present an improvement of the upper bound on the root number of linearized polynomials as well as an algorithmic solution of Problem 18.

4.1 Improved upper bound on the root number of linearized polynomials

To begin with, recall some simple facts which are found in elementary number theory.

Definition 13. *Let p be a prime. The p -adic norm (or, also called p -adic valuation) of a rational number $d = p^r \frac{B}{A}$, where $A, B \in \mathbb{Z}$ and $\gcd(A, p) = \gcd(B, p) = 1$, is denoted by $\|d\|_p$ and defined by $\|d\|_p = p^{-r}$.*

Definition 14. *We define a function $gg : \mathbb{Z}^* \times \mathbb{Z}^* \rightarrow \mathbb{Z}^*$ by $gg(A, B) = \frac{1}{\prod_{p|B: \text{prime}} \|A\|_p}$.*

Proposition 15. *For any two nonzero integers A and B , followings are facts.*

1. $\gcd(A, B) | gg(a, B)$. In particular, $\gcd(A, B) \leq gg(a, B)$.
2. $gg(A, B)$ and $gg(B, A)$ have the same prime factors, and $\gcd(gg(A, B), gg(B, A)) = \gcd(A, B)$.
3. the value $\frac{A}{gg(A, B)}$ is an integer and it holds

$$\gcd\left(\frac{A}{gg(A, B)}, B\right) = 1.$$

In fact, $\frac{A}{gg(A, B)}$ is the greatest divisor of A that is coprime to B .

4. If A divides A' , then $\frac{A}{gg(A,B)}$ divides $\frac{A'}{gg(A',B)}$.

Then we are going to deduce an improved upper bound estimation on numbers of roots of linearized polynomials.

Lemma 16. *Let $r_1 < r_2$ be integers. Any linearized polynomial $L(x) = \sum_{i=r_1}^{r_2} \alpha_i x^{2^i}$ ($\alpha_i \in \mathbb{F}_{2^n}$) over \mathbb{F}_{2^n} has the same number of roots in \mathbb{F}_{2^n} as $L'(x) = \sum_{i=r_1}^{r_2} \alpha_i^{2^k} x^{2^{i+k+k_i n}}$ has in \mathbb{F}_{2^n} , where $k, k_i (i \in \overline{\{r_1, r_2\}})$ are arbitrarily given integers.*

Proof. $x \in \mathbb{F}_{2^n}$ is a root of $L(x) \iff L(x) = 0 \iff L(x)^{2^k} = 0 \iff \sum_{i=r_1}^{r_2} \alpha_i^{2^k} x^{2^{i+k}} = 0 \iff \sum_{i=r_1}^{r_2} \alpha_i^{2^k} x^{2^{i+k+k_i n}} = 0$
(Regarding to $x^{2^{k_i n}} = x$ which follows from $x \in \mathbb{F}_{2^n}$)
 $\iff x \in \mathbb{F}_{2^n}$ is a root of $L'(x)$.

Theorem 17. *Let $r_1 < r_2$ be integers and $L(x) = \sum_{i=r_1}^{r_2} \alpha_i x^{2^i}$ ($\alpha_i \in \mathbb{F}_{2^n}$) be a linearized polynomial over \mathbb{F}_{2^n} . Let us introduce following notations: $\Delta = \{i \mid \alpha_i \neq 0, r_1 \leq i \leq r_2\} = \{i_0, i_1, \dots, i_{t-1}\}$ and $U = \{K = (k, k_0, k_1, \dots, k_{t-1}) \in \mathbb{Z}^{t+1} \mid \forall j \in \overline{\{0, t-1\}}, i_j + k + k_j n \geq 0\}$. For $K \in U$, let us define following quantities sequentially: $T_K = \gcd(\{i_j + k + k_j n \mid j \in \overline{\{0, t-1\}}\})$, $S_K = T_K / gg(T_K, n)$, $V_K = \max_{j \in \overline{\{0, t-1\}}} \{\frac{i_j + k + k_j n}{S_K}\}$ and $V = \min_{K \in U} V_K$.*

Then $L(x)$ has at most 2^V solutions in \mathbb{F}_{2^n} .

Proof. By Lemma 16, we know that the number of \mathbb{F}_{2^n} -roots of $L(x)$ equals to the number of \mathbb{F}_{2^n} -roots of $L'(x) = \sum_{i=r_1}^{r_2} \alpha_i^{2^k} x^{2^{i+k+k_i n}}$ for any $K = (k, k_0, \dots, k_{t-1}) \in U$. $L'(x) = \sum_{i \in \Delta} \alpha_i^{2^k} x^{2^{\frac{i+k+k_i n}{S_K}}} = \sum_{l=0}^{V_K} \beta_l x^{2^{S_K \cdot l}}$, where $\beta_l = \sum \alpha_i^{2^k}$ and the sum is over all $i \in \Delta$ such that $l = \frac{i+k+k_i n}{S_K}$. (If there no exists such $i \in \Delta$, then we think $\beta_l = 0$.) Since $\gcd(S_K, n) = 1$ by Proposition 15, Lemma 2 says that the number of $L'(x)$'s roots belonging to \mathbb{F}_{2^n} is not greater than 2^{V_K} , so that the number of $L(x)$'s roots belonging to \mathbb{F}_{2^n} is not greater than 2^{V_K} , from which the theorem are validated.

4.2 Search for the Minimum V

In this subsection, we consider following problem.

Problem 18. Given an integer n and an integer set $\Delta = \{i_0, i_1, \dots, i_{t-1}\}$, where $n > i_0 > i_1 > \dots > i_{t-1}$ be assumed, and let $U = \{K = (k, k_0, k_1, \dots, k_{t-1}) \in \mathbb{Z}^{t+1} \mid \forall j \in \overline{\{0, t-1\}}, i_j + k + k_j n \geq 0\}$. For $K = (k, k_0, k_1, \dots, k_{t-1}) \in U$, let us define $T_K = \gcd(\{i_j + k + k_j n \mid j \in \overline{\{0, t-1\}}\})$, $S_K = T_K / gg(T_K, n)$, $V_K = \max_{j \in \overline{\{0, t-1\}}} \{\frac{i_j + k + k_j n}{S_K}\}$ and $V = \min_{K \in U} V_K$. Find a K such that $V_K = V$.

Seemingly, it looks like one has to scan the infinite space U to solve this problem. But, below we show that there exists a polynomial-time algorithm to solve this problem.

To begin with, we have following useful fact:

Proposition 19. For every $K = (k, k_0, \dots, k_{t-1})$ attaining the minimum $V = V_K$ to be found in Problem 18,

$$\min_{j \in \{0, t-1\}} \{i_j + k + k_j n\} = 0.$$

Proof. Let us assume the opposition: $\min_{j \in \{0, t-1\}} \{i_j + k + k_j n\} \neq 0$ (i.e. > 0). We can assume wlog that $\min_{j \in \{0, t-1\}} \{i_j + k + k_j n\} = i_0 + k + k_0 n$. Let us set $k' = -i_0 - k_0 n$ and $K' = (k', k_0, \dots, k_{t-1})$. Then, because $i_j + k' + k_j n = i_j - i_0 - k_0 n + k_j n = (i_j + k + k_j n) - (i_0 + k + k_0 n)$ for every $j \in \{0, t-1\}$, it holds $T_K | T_{K'}$ and so $S_K \leq S_{K'}$ by the item 4 of Proposition 15. Also, since $i_j + k' + k_j n = (i_j + k + k_j n) - (i_0 + k + k_0 n) < (i_j + k + k_j n)$ for every j , we get

$$V_{K'} = \max_{j \in \{0, t-1\}} \left\{ \frac{i_j + k' + k_j n}{S_{K'}} \right\} < \max_{j \in \{0, t-1\}} \left\{ \frac{i_j + k + k_j n}{S_K} \right\} = V_K,$$

which is a contradiction to the assumption that K attains the minimum $V = V_K$.

On the other hand, since $K' = (k \bmod n, k_0 + \lfloor \frac{k}{n} \rfloor, \dots, k_{t-1} + \lfloor \frac{k}{n} \rfloor)$ gives the same T_K, S_K, V_K as $K = (k, k_0, \dots, k_{t-1})$ gives, i.e. $T_{K'} = T_K, S_{K'} = S_K, V_{K'} = V_K$, though there are infinite number of K 's such that $V_K = V$, we can restrict the range of k into the sub-opened interval $[0, n)$. Further specifically, by making use of the assumption $n > i_0 > i_1 > \dots > i_{t-1}$ and Proposition 19, we can restrict the range of k into the set $k_S = \{(n - i_j) \bmod n\}_{j \in \{0, t-1\}}$.

Denote $V_0 = (i_0 - i_{t-1}) \bmod n$. Letting $K_0 = (-i_{t-1}, -\lfloor \frac{i_0 - i_{t-1}}{n} \rfloor, \dots, -\lfloor \frac{i_{t-2} - i_{t-1}}{n} \rfloor, 0)$, we have $K_0 \in U$ and $V_{K_0} \leq V_0$, and therefore it follows

$$V \leq V_0 < n.$$

Let us introduce denotations $L_j = \frac{i_j + k + k_j n}{S_K}, 0 \leq j \leq t-1$ and $a = S_K^{-1} \bmod n$ (This value exists because $\gcd(S_K, n) = 1$). It is true $L_j \bmod n = a(i_j + k) \bmod n$. Also, we know that if K is a solution to Problem 18, then $0 \leq L_j \leq V_K = V < n, 0 \leq j \leq t-1$, and therefore identically

$$L_j = a(i_j + k) \bmod n, 0 \leq j \leq t-1.$$

With all these information, we are reduced to explore all possible $\phi(n)$ a 's, i.e. such as $\gcd(a, n) = 1$, where ϕ is Euler Phi-function.

Algorithm searching for a K attaining the minimum V

1. $V \leftarrow (i_0 - i_{t-1}) \bmod n$;
2. For *index* = 0 up to $t-1$;
3. $k \leftarrow (n - i_{\text{index}}) \bmod n$;
4. For $a = 1$ up to $n-1$;
5. Compute $d = \gcd(a, n)$;
6. If $d = 1$ Then;
7. For $j = 1$ up to $t-1$;
8. $L_j \leftarrow (a \times (k + i_j)) \bmod n$;

9. End For;
 10. If $V > \max_j L_j$ Then;
 11. $V \leftarrow \max_j L_j$;
 12. $a' \leftarrow a^{-1} \pmod n$;
 13. $K \leftarrow (k, \frac{a' * L_0 - k - i_0}{n}, \dots, \frac{a' * L_{t-1} - k - i_{t-1}}{n})$;
 14. End If;
 15. End If;
 16. End For;
 17. End For;
 18. Output K ;

5 Application to second order nonlinearity estimation of cubic Boolean functions

Following Lemma describes lower bounds of the second-order nonlinearities of cubic Boolean functions by the dimensions of root sets of linearized polynomials.

Lemma 20. *Let f be any cubic Boolean function. Define $Q_f := \{a \in \mathbb{F}_{2^n} \mid nl(D_a f) \neq 0\}$. Let us suppose that for every element $a \in Q_f$, the dimension of the kernel of the derivative $D_a f$ (or, equivalently, its quadratic part) of f at a is not greater than t , where $t \geq 0$ is some fixed integer. Then*

$$nl_2(f) \geq 2^{n-1} - \frac{1}{2} \sqrt{2^{2n} - 2|Q_f|(2^{n-1} - 2^{\lfloor \frac{n+t}{2} \rfloor - 1})}.$$

Proof. This is an immediate corollary from (2), Lemma 4, Lemma 5 and Theorem 7.

Following theorem gives the most precise estimation for lower bound of the second-order nonlinearity of any cubic Boolean function not possessing affine derivatives, including the special form $G_\mu = Tr(\sum_{l=1}^m \mu_l x^{d_l})$, where $d_l = 2^{i_l \gamma + j_l \gamma + 1}$.

Theorem 21. *Let $F_\mu = Tr(\sum_{l=1}^m \mu_l x^{d_l})$, where $\mu_l \in \mathbb{F}_{2^n}^*$ and $d_l = 2^{i_l \gamma + j_l \gamma + 1}$, $i_l > j_l > 0$, be any cubic Boolean function. Define $Q_{F_\mu} := \{a \in \mathbb{F}_{2^n} \mid nl(D_a F_\mu) \neq 0\}$. Let $\psi_a(x) = Tr(\sum_{i=1}^{n-1} c_{i,a} x^{2^i + 1})$, $c_{i,a} \in \mathbb{F}_{2^n}$, be the quadratic part of the derivative of F_μ at $a \in Q_{F_\mu}$.*

Let $\Delta = \{i \mid \exists a \in Q_{F_\mu}, c_{i,a} \neq 0, 1 \leq i \leq n-1\} \cup \{-i \mid \exists a \in Q_{F_\mu}, c_{i,a} \neq 0, 1 \leq i \leq n-1\} = \{i_0, i_1, \dots, i_{t-1}\}$ and $U = \{K = (k, k_0, k_1, \dots, k_{t-1}) \in \mathbb{Z}^{t+1} \mid \forall j \in \overline{\{0, t-1\}}, i_j + k + k_j n \geq 0\}$. For $K \in U$, let us define following quantities sequentially: $T_K = \gcd(\{i_j + k + k_j n \mid j \in \overline{\{0, t-1\}}\})$, $S_K = T_K / gg(T_K, n)$, $V_K = \max_{j \in \overline{\{0, t-1\}}} \{\frac{i_j + k + k_j n}{S_K}\}$ and $V = \min_{K \in U} V_K$.

Then

$$nl_2(F_\mu) \geq 2^{n-1} - \frac{1}{2} \sqrt{2^{2n} - 2|Q_{F_\mu}|(2^{n-1} - 2^{\lfloor \frac{n+V}{2} \rfloor - 1})}, \quad (20)$$

and this estimation is at least as much precise as ones in Theorem 9 and 10.

In particular, if $|Q_{F_\mu}| = 2^n - 1$, i.e. for every $a \in \mathbb{F}_{2^n}^*$, $D_a F_\mu$ is not affine, then it holds

$$nl_2(F_\mu) \geq 2^{n-1} - \frac{1}{2} \sqrt{2^n + (2^n - 1)2^{\lfloor \frac{n+V}{2} \rfloor}}. \quad (21)$$

Proof. From Lemma 20, one can see that a lower bound of second-order non-linearity of F_μ is obtained from an upper bound for the dimension of the kernel of $\psi_a(x) = \text{Tr}(\sum_{i=1}^{n-1} c_{i,a} x^{2^i+1})$, the quadratic part of the derivative $D_a F_\mu$. The kernel $\varepsilon_{F_\mu, a}$ of $\psi_a(x)$ is given as the set of $x \in \mathbb{F}_{2^n}$ such that for any $y \in \mathbb{F}_{2^n}$ $B_a(x, y) = \psi_a(x) + \psi_a(y) + \psi_a(x+y) = \text{Tr}(y \sum_{i=1}^{n-1} (c_{i,a} x^{2^i} + (c_{i,a} x)^{2^{-i}})) = 0$, i.e. the root set of a linearized polynomial

$$\sum_{i=1}^{n-1} (c_{i,a} x^{2^i} + (c_{i,a} x)^{2^{-i}}). \quad (22)$$

Applications of Theorem 17 and Lemma 20 give the main assertion of the theorem.

Let us compare the lower bound estimation given in Theorem 11 with ones of Li, Hu and Gao. First remark that by the Note we made in Section 2 we can suppose $t \leq \lfloor \frac{n}{2} \rfloor$ and therefore the cases ① and ② of Theorem 11 can be excluded from consideration. The Li-Hu-Gao estimation is obtained as a special case of our discussion: Let $t = \max\{i \in \Delta \mid i > 0\}$, $s = \min\{i \in \Delta \mid i > 0\}$, $t_1 = \min\{i \in \Delta \mid i > 0, i \neq t\}$, using Δ introduced by us. Taking two integer vectors $K_1 = \{t, 0, \dots, 0\}$ ($|\Delta|$ 0's), $K_2 = \{-s, 0, \dots, 0, 1, \dots, 1\}$ ($\frac{|\Delta|}{2}$ 1's and $\frac{|\Delta|}{2}$ 0's) for ③ (case $n > 2t$) of Theorem 11 and taking $K_1 = \{t, 0, \dots, 0\}$ ($|\Delta|$ 0's), $K_2 = \{-s, 0, \dots, 0, -1, 0, \dots, 0, 1, \dots, 1\}$ (the numbers of 0's and 1's are $\frac{|\Delta|}{2} - 1$, $\frac{|\Delta|}{2}$, respectively and the place number of -1's is k_t) for ④ (case $n = 2t$) of Theorem 11, then letting $V_0 = \min\{V_1, V_2\}$, give

$$nl_2(F_\mu) \geq 2^{n-1} - \frac{1}{2} \sqrt{2^n + (2^n - 1)2^{\lfloor \frac{n+V_0}{2} \rfloor}}.$$

Obviously $V_0 \geq V$, therefore our estimation would be at least as precise as ones given by Li-Hu-Gao. Comparison with Theorem 12 is also similar.

Finally, we note that an assumption $c_{i,a} = 0$ when $i > \lfloor \frac{n}{2} \rfloor$ can be made in the formulation of Theorem 21.

6 Examples and comparisons

As shown in below examples, for almost all cases, our estimation would be more precise than ones of Li, Hu and Gao [23].

Example 22. (Example 1 of [23]) Let $F_\mu = f_\mu = \text{Tr}(\mu x^{2^i+2^j+1})$. For every $u \in \mathbb{F}_{2^n}^*$, the quadratic part of the derivative of F_μ is represented as $h_u(x) = \text{Tr}(\lambda_u^{2^{n-j}} x^{2^i-j+1} + \lambda_u x^{2^i+1} + \lambda_u x^{2^j+1})$ for some $\lambda_u \in \mathbb{F}_{2^n}^*$.

1. $n = 20, i = 9, j = 5$

Theorem 9 says

$$nl_2(f_\mu) \geq 2^{19} - \frac{1}{2}\sqrt{2^{20} + (2^{20} - 1)2^{19}} \approx 153561,$$

and Theorem 11 says (in this case $s = i - j = 4, t = i = 9$, and since $n > 2t$ is an even, we can set $p = \min\{12, 18\} = 12$ by ④ of Theorem 11)

$$nl_2(f_\mu) \geq 2^{19} - \frac{1}{2}\sqrt{2^{20} + (2^{20} - 1)2^{16}} \approx 393216.$$

Now we will apply Theorem 21 to this case. By definition, $\Delta = \{i, j, i - j, -i, -j, j - i\} = \{9, 5, 4, -9, -5, -4\}$. For $K = \{-5, 2, 0, 5, 4, 6, 1\}$, $T_K = \gcd(9 - 5 + 40, 5 - 5, 4 - 5 + 100, -9 - 5 + 80, -5 - 5 + 120, -4 - 5 + 20) = \gcd(44, 0, 99, 66, 110, 11) = 11$, $S_K = T_K = 11$. Thus $V \leq V_K = \max\{4, 0, 9, 6, 10, 1\} = 10$ and by Theorem 21 we have

$$nl_2(f_\mu) \geq 2^{19} - \frac{1}{2}\sqrt{2^{20} + (2^{20} - 1)2^{15}} \approx 431605.$$

2. $n = 19, i = 9, j = 5$

Theorem 9 asserts

$$nl_2(f_\mu) \geq 2^{18} - \frac{1}{2}\sqrt{2^{19} + (2^{19} - 1)2^{18}} \approx 76781.$$

Theorem 11 gives (in this case $s = i - j = 4, t = i = 9$ and since $n > 2t$ is an odd, we can set $q = \min\{11, 18\} = 11$ by ④ of Theorem 11)

$$nl_2(f_\mu) \geq 2^{18} - \frac{1}{2}\sqrt{2^{19} + (2^{19} - 1)2^{15}} \approx 196608.$$

On the other hand, the application of our Theorem 21 can improve these estimations as follows. By definition, $\Delta = \{i, j, i - j, -i, -j, j - i\} = \{9, 5, 4, -9, -5, -4\}$. For $K = \{-4, 0, 1, 0, 2, 1, 2\}$, $T_K = \gcd(9 - 4, 5 - 4 + 19, 4 - 4, -9 - 4 + 38, -5 - 4 + 19, -4 - 4 + 38) = \gcd(5, 20, 0, 25, 10, 30) = 5$, $S_K = T_K = 5$. Thus $V \leq V_K = \max\{1, 4, 0, 5, 2, 6\} = 6$ and Theorem 21 shows

$$nl_2(f_\mu) \geq 2^{18} - \frac{1}{2}\sqrt{2^{19} + (2^{19} - 1)2^{12}} \approx 238971.$$

The lower bound given by Theorem 21 also improves the Li-Hu-Gao estimation (Theorem 12) for Boolean functions G_μ .

Example 23. Let $G_\mu(x) = Tr(\mu x^{2^{i\gamma} + 2^{j\gamma} + 1})$. The quadratic part of the derivative of G_μ at $u \in \mathbb{F}_{2^n}^*$ is represented as $h_u(x) = Tr(\lambda_u^{2^{n-j\gamma}} x^{2^{i\gamma-j\gamma} + 1} + \lambda_u x^{2^{i\gamma} + 1} + \lambda_u x^{2^{j\gamma} + 1})$ for some $\lambda_u \in \mathbb{F}_{2^n}^*$.

1. $n = 20, i = 9, j = 5, \gamma = 2$.

Since $n \neq (i + j)\gamma, n \neq (2i - j)\gamma$, by Theorem 2 of [18] G_μ has no affine derivative. Due to $n > 2i$, by Theorem 12 we have

$$nl_2(G_\mu) \geq 2^{19} - \frac{1}{2}\sqrt{2^{20} + (2^{20} - 1)2^{19}} \approx 153561.$$

At this time, let us use Theorem 21 to estimate $nl_2(G_\mu)$. By definition, $\Delta = \{2i, 2j, 2i - 2j, -2i, -2j, 2j - 2i\} = \{18, 10, 8, -18, -10, -8\}$. For $K = \{8, -1, 0, 1, 2, 1, 0\}$, $T_K = \gcd(18 + 8 - 20, 10 + 8, 8 + 8 + 20, -18 + 8 + 40, -10 + 8 + 20, -8 + 8) = \gcd(6, 18, 36, 30, 18, 0) = 6$, $gg(T_K, n) = 2$, $S_K = T_K/2 = 3$. Thus $V \leq V_K = \max\{2, 6, 12, 10, 6, 0\} = 12$ and Theorem 21 gives an improved estimation

$$nl_2(G_\mu) \geq 2^{19} - \frac{1}{2}\sqrt{2^{20} + (2^{20} - 1)2^{16}} \approx 393216.$$

2. $n = 19, i = 9, j = 5, \gamma = 2$.

Since $n \neq (i + j)\gamma, n \neq (2i - j)\gamma$, G_μ has no affine derivative. Due to $n > 2i$, Theorem 12 says

$$nl_2(G_\mu) \geq 2^{18} - \frac{1}{2}\sqrt{2^{19} + (2^{19} - 1)2^{18}} \approx 76781.$$

Next, we will estimate $nl_2(G_\mu)$ by using Theorem 21. By definition, $\Delta = \{2i, 2j, 2i - 2j, -2i, -2j, 2j - 2i\} = \{18, 10, 8, -18, -10, -8\}$. For $K = \{8, 1, 0, 2, 1, 2, 0\}$, $T_K = \gcd(18 + 8 + 19, 10 + 8, 8 + 8 + 38, -18 + 8 + 19, -10 + 8 + 38, -8 + 8) = \gcd(45, 18, 54, 9, 36, 0) = 9$, $S_K = T_K = 9$. Thus $V \leq V_K = \max\{5, 2, 6, 1, 4, 0\} = 6$ and Theorem 21 proves the improved estimation

$$nl_2(G_\mu) \geq 2^{18} - \frac{1}{2}\sqrt{2^{19} + (2^{19} - 1)2^{12}} \approx 238971.$$

Example 24. For f_μ , the case of $n = i + j, n \neq 2i - j$ is treated as Corollary 4 in [23]. Apply Theorem 21 to this case: $\Delta = \{i, j, i - j, -i, -j, j - i\}$. For $K = \{2j, -1, 0, -1, 1, 0, 1\}$, $T_K = j, S_K = j/gg(j, n)$. Thus $V \leq V_K = 4gg(j, n)$ and Theorem 21 indicates

$$nl_2(f_\mu) \geq 2^{n-1} - \frac{1}{2}\sqrt{2^n + (2^n - 1)2^{\lfloor \frac{n+4gg(j,n)}{2} \rfloor}}. \quad (23)$$

And in particular, if $\gcd(j, n) = 1$ (so $gg(j, n) = 1$), then

$$2^{n-1} - \frac{1}{2}\sqrt{2^n + (2^n - 1)2^{\lfloor \frac{n+4}{2} \rfloor}}.$$

This lower bound is better than ones (with complicated representations) given by Corollary 4 of [23]. In fact, since

$$f_\mu = g_{\mu^p},$$

this is not other than Corollary 5 of [23] applied to g_μ , or, Theorem 10. How to improve this lower bound is discussed in Section 7.

The exact values for the maximum second-order nonlinearity that a n -variable Boolean function can achieve (i.e. the covering radius of $RM(2, n)$) are known only for $3 \leq n \leq 6$ [28]; its value is 1, 2, 6 and 18 respectively. It is conjectured in [20] that the exact value of the maximum second-order nonlinearity is attained by a coset of $RM(2, n)$ in $RM(3, n)$ (i.e. by a cubic function). Following examples also confirm this conjecture.

Example 25. For the modified-Welch Boolean function $f_{welch'} = Tr(x^{2^t+3})$, $t = \frac{n+1}{2}$, n odd, Carlet's lower bound (Proposition 5 of [8]) states

$$nl_2(f_{welch'}) \geq 2^{n-1} - \frac{1}{2} \sqrt{2^n + (2^n - 1)2^{\frac{n+3}{2}}}.$$

For odd $n > 1$ (i.e. $n = 3$) smaller than 5, this lower bound becomes zero (the approximation also becomes equality) and therefore non-meaningful.

But Theorem 21 gives a meaningful lower bound as follows: We have $D_a f_{welch'}(x) = Tr(ax^{2^t+2} + a^2x^{2^t+1} + a^{2^t}x^3) + l(x) = Tr(a^4x^3) + l(x)$ where l is affine. Therefore $\Delta = \{1, -1\}$. Take $K = \{1, 0, 0\}$. Then $V_K = 1$. In fact, the kernel of the quadratic Boolean function $Tr(a^4x^3)$ is $\{0, a\}$ when $a \neq 0$, and therefore has the exact dimension 1. Hence for $n = 3$ we have

$$nl_2(f_{welch'}) \geq 2^{n-1} - \frac{1}{2} \sqrt{2^n + (2^n - 1)2^{\frac{n+1}{2}}} = 1,$$

that is, $nl_2(f_{welch'}) = 1$ over \mathbb{F}_{2^3} .

Example 26. For $n = 4$, consider the function $f = Tr(x^{2^3+2^2+1})$. Note $f = Tr((x^{2^3+2^2+1})^4) = Tr(x^{2^2+2+1})$. At $a \in \mathbb{F}_{2^4}$, it has derivative $D_a f = Tr(ax^{2^3+2^2} + a^2x^{2^3+1} + a^2x^{2^2+1}) = Tr((a^2 + a^2)x^{2^3+1} + a^2x^{2^2+1})$. If $a = 0$ or $a = 1$, then $D_a f = 0$ and $Q_f = \mathbb{F}_{2^4} \setminus \{0, 1\}$. For $a \neq 0, 1$, We have $\Delta = \{3, 2, -2, -3\}$, and taking $K = \{3, -1, -1, 0, 0\}$, we get $V \leq V_K = 2$. Following discussion shows really $V = 2$: The kernel $\varepsilon_{f,a}$ of $D_a f$ is the null space of

$$\begin{aligned} & (a^2 + a^2)x^{2^3} + ((a^2 + a^2)x)^{2^{-3}} + a^{2^3}x^{2^2} + (a^{2^3}x)^{2^{-2}} \\ &= (a + a^2)^2x^8 + (a + a^2)^4x^2 + (a^8 + a^2)x^4 \\ &= [(a + a^2)x^4 + (a^4 + a)x^2 + (a^4 + a^2)x]^2 \\ &= [(a + a^2)(x^2 + x)^2 + (a^4 + a^2)(x^2 + x)]^2 \\ &= (a + a^2)^2(x^2 + x)^2(x^2 + x + a^2 + a)^2, \end{aligned}$$

i.e. $\varepsilon_{f,a} = \{0, 1, a, 1 + a\}$ and $V = r_a = 2$.

By using Theorem 21, we have

$$nl_2(f) \geq 2^{n-1} - \frac{1}{2} \sqrt{2^{2n} - 2(2^n - 2)(2^{n-1} - 2^{\frac{n}{2}})} = 2^3 - \frac{1}{2} \sqrt{2^8 - 2 \times 14 \times 4} = 2,$$

that is, $nl_2(f) = 2$ over \mathbb{F}_{2^4} .

Example 27. The second-order nonlinearity of $g_\lambda = \text{Tr}(\lambda x^{2^{2r}+2^r+1})$ over \mathbb{F}_{2^n} with $n = sr$ has been studied for $s = 3, 4, 5, 6$ by independent papers:

1. Singh [29] discussed the case $s = 3$. Li-Hu-Gao [23] also discussed this case (Corollary 3 of [23]).
2. Sun and Wu [31] discussed the case $s = 4$.
3. Gangopadhyay and Garg [15] discussed the case $s = 5$.
4. Gangopadhyay, Sarkar and Telang [16] discussed the case $s = 6$.

The lower bounds proved by all these works can be shown or even improved by corollaries of Theorem 21: Remind $\Delta = \{2r, r, -r, -2r\}$.

1. For $n = 3r$, by taking $K = \{2r, -1, -1, 0, 0\}$, $V \leq V_K = r$.

$$nl_2(g_\lambda) \geq 2^{n-1} - \frac{1}{2}\sqrt{2^n + (2^n - 1)2^{2r}}. \quad (24)$$

2. For $n = 4r$, by taking $K = \{3r, -1, -1, 0, 0\}$, $V \leq V_K = 2r$.

$$nl_2(g_\lambda) \geq 2^{n-1} - \frac{1}{2}\sqrt{2^n + (2^n - 1)2^{3r}}. \quad (25)$$

3. For $n = 5r$, by taking $K = \{4r, -1, -1, 0, 0\}$, $V \leq V_K = 3r$.

$$nl_2(g_\lambda) \geq 2^{n-1} - \frac{1}{2}\sqrt{2^n + (2^n - 1)2^{4r}}. \quad (26)$$

4. For $n = 6r$, by taking $K = \{2r, 0, 0, 0, 0\}$, $V \leq V_K = 4r$.

$$nl_2(g_\lambda) \geq 2^{n-1} - \frac{1}{2}\sqrt{2^n + (2^n - 1)2^{5r}}. \quad (27)$$

Furthermore, while for $s \geq 8$ the minimum V search program gives only $V \leq 4r$ which is trivial, for $n = 7r$ a better result is shown: One can choose an integer k such that $\gcd(n, 7k+4) = 1$. Then, by taking $K = \{6r, 2k, -1, 3k+1, k\}$ we have $V \leq V_K = \max\{(14k+8)r/(7k+4), 0, (21k+12)r/(7k+4), (7k+4)r/(7k+4)\} = 3r$ and thus a novel result:

Corollary 28. *If $n = 7r$, then*

$$nl_2(g_\lambda) \geq 2^{n-1} - \frac{1}{2}\sqrt{2^n + (2^n - 1)2^{5r}}. \quad (28)$$

7 Towards better lower bounding

In this section, it is shown that (7) based on studying the distribution of $\{r_a, a \in \mathbb{F}_{2^n}^*\}$ would lead to better lower bound on the second-order nonlinearity.

7.1 Specific Case

Consider the cubic Boolean function $f_7 = \text{Tr}(x^7) = \text{Tr}(x^{2^2+2+1})$. This function is a special case (with $r = 1, \mu = 1$) of the wider Boolean function family $g_\mu = \text{Tr}(\mu x^{2^{2r}+2^r+1})$ which will be considered in the next subsection. It was known that when $n = 4r$, g_μ is highly nonlinear permutation [13], and has differential uniformity of four [3], and thus the same resistance to both differential and linear attacks as the inverse function.

In Example 25 and Example 26, we considered that for the cases $n = 3$ and $n = 4$ this Boolean function achieves the maximum second-order nonlinearity. For $n \geq 5$ Theorem 21 can give only the same lower bound as Theorem 12 because $V = 3$ for $n = 5, 7$ and $V = 4$ for other values of n . In this section, we show that (7) based on studying the distribution of $\{r_a, a \in \mathbb{F}_{2^n}^*\}$ leads to a better lower bounding for $nl_2(f_7)$.

The quadratic part of derivative $D_a f_7$ of f_7 at $a \in \mathbb{F}_{2^n}$ is $\text{Tr}(a^4 x^3 + a^2 x^5 + a x^6)$, and $\varepsilon_{f_7, a}$ is the root set of the linearized polynomial $a^4 x^2 + (a^4 x)^{2^{-1}} + a^2 x^4 + (a^2 x)^{2^{-2}} + (a x^2)^{2^{-2}} + (a x^4)^{2^{-1}}$ (refer to (22)). We have

$$\begin{aligned}
& a^4 x^2 + (a^4 x)^{2^{-1}} + a^2 x^4 + (a^2 x)^{2^{-2}} + (a x^2)^{2^{-2}} + (a x^4)^{2^{-1}} = 0 \\
& \iff a^{16} x^8 + a^8 x^2 + a^8 x^{16} + a^2 x + a x^2 + a^2 x^8 = 0 \\
& \iff a^8 x^{16} + (a^{16} + a^2) x^8 + (a^8 + a) x^2 + a^2 x = 0 \\
& \iff (a x)^8 (a + x)^8 + (a x)^2 (a^3 + x^3)^2 + a x (a + x) = 0 \\
& \iff (a x)^8 (a + x)^8 + (a x)^2 (a + x)^2 (a^2 + a x + x^2)^2 + a x (a + x) = 0 \\
& \iff a x (a + x) [(a x)^7 (a + x)^7 + a x (a + x) (a^2 + a x + x^2)^2 + 1] = 0 \\
& \iff a x (a + x) [a^7 (a x + x^2)^7 + a (a x + x^2) (a^4 + (a x + x^2)^2) + 1] = 0 \\
& \iff a x (a + x) [a^5 (a x + x^2) (a^2 (a x + x^2)^6 + 1) + a (a x + x^2)^3 + 1] = 0 \\
& \iff a x (a + x) [a^5 (a x + x^2) (a (a x + x^2)^3 + 1)^2 + (a (a x + x^2)^3 + 1)] = 0 \\
& \iff a x (a + x) [a (a x + x^2)^3 + 1] [a^5 (a x + x^2) (a (a x + x^2)^3 + 1) + 1] = 0 \\
& \iff (a x + x^2) \cdot \left[(a x + x^2)^3 + \frac{1}{a} \right] \cdot \left[(a x + x^2)^4 + \frac{1}{a} (a x + x^2) + \frac{1}{a^6} \right] = 0.
\end{aligned}$$

Consequently, $\varepsilon_{f_7, a} = K_{a,1} \cup K_{a,2} \cup K_{a,3}$, where $K_{a,1} = \{x \in \mathbb{F}_{2^n} | a x + x^2 = 0\} = \{0, a\}$, $K_{a,2} = \{x \in \mathbb{F}_{2^n} | (a x + x^2)^3 = \frac{1}{a}\}$, $K_{a,3} = \{x \in \mathbb{F}_{2^n} | (a x + x^2)^4 + \frac{1}{a} (a x + x^2) = \frac{1}{a^6}\}$. Note the polynomial $(a x + x^2) \cdot [(a x + x^2)^3 + \frac{1}{a}] \cdot [(a x + x^2)^4 + \frac{1}{a} (a x + x^2) + \frac{1}{a^6}]$ is separable and so K_1, K_2, K_3 are disjoint each one to another.

Now, we will consider $|K_{a,2}|$ and $|K_{a,3}|$. First, note that

$$|K_{a,2}| \leq 6, |K_{a,3}| \leq 8 \quad (29)$$

and that Lemma 4 let us know that

$$|K_{a,2}| + |K_{a,3}| = \begin{cases} 2 \text{ or } 14, & \text{if } n \text{ is even;} \\ 0 \text{ or } 6, & \text{if } n \text{ is odd.} \end{cases} \quad (30)$$

Then, from an easy consideration, one can see: $K_{a,2} \neq \emptyset$ iff a is a cubic element in \mathbb{F}_{2^n} and $Tr(\frac{1}{a^2b}) = 0$ for a cubic root b of a , i.e. such as $b^3 = a$.

There are two cases to consider:

1. If n is even, then the 3-th powering is a three-to-one mapping of $\mathbb{F}_{2^n}^*$, and so there are $\frac{2(2^n-1)}{3}$ a 's with $K_{a,2} = \emptyset$ (in this case, by (29) and (30) it must be $|K_{a,3}| = 2$). For remained $\frac{(2^n-1)}{3}$ a 's,

$$|K_{a,2}| = \begin{cases} 6, & \text{if } Tr(\frac{\zeta^i}{a^{7/3}}) = 0 \text{ for all } 0 \leq i < 3; \\ 2, & \text{otherwise,} \end{cases}$$

$$|K_{a,3}| = \begin{cases} 8, & \text{if } Tr(\frac{\zeta^i}{a^{7/3}}) = 0 \text{ for all } 0 \leq i < 3; \\ 0, & \text{otherwise.} \end{cases}$$

After all, for even n , denoting

$$\Psi_e = \{a \in \mathbb{F}_{2^n}^* \mid a \text{ is a cubic and } Tr(\frac{1}{a^2b}) = 0 \text{ for every cubic root } b \text{ of } a\},$$

we have

$$\begin{aligned} \{a \in \mathbb{F}_{2^n}^* \mid r_a = 4\} &= \Psi_e, \\ \{a \in \mathbb{F}_{2^n}^* \mid r_a = 2\} &= \mathbb{F}_{2^n}^* \setminus \Psi_e. \end{aligned}$$

It should be stressed that $|\Psi_e| \leq \frac{(2^n-1)}{3}$. By (7), we get

$$\begin{aligned} nl_2(f_7) &\geq \max\left(2^{n-2} - 2^{\frac{n-2}{2}}, 2^{n-1} - \frac{1}{2}\sqrt{2^n + |\Psi_e|2^{\frac{n+4}{2}} + (2^n - 1 - |\Psi_e|)2^{\frac{n+2}{2}}}\right) \\ &= \max\left(2^{n-2} - 2^{\frac{n-2}{2}}, 2^{n-1} - \frac{1}{2}\sqrt{2^n + |\Psi_e|2^{\frac{n+2}{2}} + (2^n - 1)2^{\frac{n+2}{2}}}\right) \\ &\geq \max\left(2^{n-2} - 2^{\frac{n-2}{2}}, 2^{n-1} - \frac{1}{2}\sqrt{2^n + \frac{(2^n - 1)}{3}2^{\frac{n+2}{2}} + (2^n - 1)2^{\frac{n+2}{2}}}\right), \end{aligned}$$

i.e. for even $n \geq 6$ we have

$$nl_2(f_7) \geq 2^{n-1} - \frac{1}{2}\sqrt{2^n + \frac{8}{3} \cdot 2^{\frac{3n}{2}} - \frac{8}{3}2^{\frac{n}{2}}}. \quad (31)$$

If $3 \nmid n$ and therefore the 7-th powering is a permutation of \mathbb{F}_{2^n} , then for any cubics $a \neq a' \in \mathbb{F}_{2^n}^*$, when $b^3 = a$ and $b'^3 = a'$, one has $\frac{1}{a^2b} \neq \frac{1}{a'^2b'}$, because third powering to the both side of $\frac{1}{a^2b} = \frac{1}{a'^2b'}$ leads to $a^7 = a'^7$ i.e. $a = a'$ i.e.

a contradiction. Thus, when a takes all cubics of $\mathbb{F}_{2^n}^*$ and b takes all three cubic roots of a , $\frac{1}{a^2b}$ takes all $2^n - 1$ elements in $\mathbb{F}_{2^n}^*$. Since in $\mathbb{F}_{2^n}^*$ there are $2^{n-1} - 1$ elements with absolute trace 0, it follows that $|\Psi_e| \leq \frac{(2^{n-1}-2)}{3}$. Hence,

$$\begin{aligned} nl_2(f_7) &\geq 2^{n-1} - \frac{1}{2} \sqrt{2^n + |\Psi_e| 2^{\frac{n+2}{2}} + (2^n - 1) 2^{\frac{n+2}{2}}} \\ &\geq 2^{n-1} - \frac{1}{2} \sqrt{2^n + \frac{(2^{n-1} - 2)}{3} 2^{\frac{n+2}{2}} + (2^n - 1) 2^{\frac{n+2}{2}}}, \end{aligned}$$

i.e. when $n \equiv 2, 4 \pmod{6}$, we have

$$nl_2(f_7) \geq 2^{n-1} - \frac{1}{2} \sqrt{2^n + \frac{7}{3} \cdot 2^{\frac{3n}{2}} - \frac{10}{3} \cdot 2^{\frac{n}{2}}}. \quad (32)$$

2. If n is odd, then the 3-th power mapping is a permutation of \mathbb{F}_{2^n} and therefore we have:

$$K_{a,2} \neq \emptyset \quad \text{iff} \quad Tr\left(\frac{1}{a^{7/3}}\right) = 0 \quad \text{iff} \quad |K_{a,2}| = 2.$$

The 7-th power mapping in $\mathbb{F}_{2^n}^*$ is injective if $3 \nmid n$ and eight-to-one if $3|n$. Therefore, the number of $a (\neq 0)$'s with $|K_{a,2}| = 2$ is $2^{n-1} - 1$ if $2, 3 \nmid n$ (i.e. $n \equiv \pm 1 \pmod{6}$) and $2^n - wt(f_7) - 1$ if $2 \nmid n$ and $3|n$ (i.e. $n \equiv 3 \pmod{6}$). Furthermore, with regard to (29) and (30), if $|K_{a,2}| = 2$ then $|K_{a,3}| = 4$. On the other hand, it can not happen $|K_{a,3}| = 6$. In fact, $|K_{a,3}| = 6$ means that the degree-4 equation $T^4 + \frac{1}{a}T + \frac{1}{a^6} = 0$ with $T = ax + x^2$ has exactly 4 solutions T_1, T_2, T_3, T_4 in \mathbb{F}_{2^n} such that $Tr\left(\frac{T_1}{a^2}\right) = Tr\left(\frac{T_2}{a^2}\right) = Tr\left(\frac{T_3}{a^2}\right) = 0$ and $Tr\left(\frac{T_4}{a^2}\right) = 1$, which can not happen because $T_1 + T_2 + T_3 + T_4 = 0$. Hence, if $|K_{a,2}| = 0$ then $|K_{a,3}| = 0$. After all, for odd n , denoting

$$\Psi_o = \{a \in \mathbb{F}_{2^n}^* \mid Tr\left(\frac{1}{a^{7/3}}\right) = 0\},$$

we have

$$\begin{aligned} \{a \in \mathbb{F}_{2^n}^* \mid r_a = 3\} &= \Psi_o, \\ \{a \in \mathbb{F}_{2^n}^* \mid r_a = 1\} &= \mathbb{F}_{2^n}^* \setminus \Psi_o. \end{aligned}$$

Here, if $n \equiv \pm 1 \pmod{6}$ then $|\Psi_o| = 2^{n-1} - 1$, and if $n \equiv 3 \pmod{6}$ then $|\Psi_o| = 2^n - wt(f_7) - 1$.

By (7), we get

$$\begin{aligned} nl_2(f_7) &\geq \max\left(2^{n-2} - 2^{\frac{n-3}{2}}, 2^{n-1} - \frac{1}{2} \sqrt{2^n + |\Psi_o| 2^{\frac{n+3}{2}} + (2^n - 1 - |\Psi_o|) 2^{\frac{n+1}{2}}}\right) \\ &= \max\left(2^{n-2} - 2^{\frac{n-3}{2}}, 2^{n-1} - \frac{1}{2} \sqrt{2^n + |\Psi_o| 2^{\frac{n+1}{2}} + (2^n - 1) 2^{\frac{n+1}{2}}}\right). \end{aligned}$$

If $n \equiv \pm 1 \pmod 6$, then this gives

$$nl_2(f_7) \geq \max \left(2^{n-2} - 2^{\frac{n-3}{2}}, 2^{n-1} - \frac{1}{2} \sqrt{2^n + (2^{n-1} - 1)2^{\frac{n+1}{2}} + (2^n - 1)2^{\frac{n+1}{2}}} \right),$$

i.e. for n such as $n \equiv \pm 1 \pmod 6$ and $n \geq 5$,

$$nl_2(f_7) \geq 2^{n-1} - \frac{1}{2} \sqrt{2^n + 3 \cdot 2^{\frac{3n+1}{2}} - 2^{\frac{n+3}{2}}}. \quad (33)$$

When $n \equiv 3 \pmod 6$ and $n \geq 5$, we obtain

$$nl_2(f_7) \geq 2^{n-1} - \frac{1}{2} \sqrt{2^n + (2^n - 1)2^{\frac{n+3}{2}} - wt(f_7)2^{\frac{n+1}{2}}}. \quad (34)$$

7.2 Generalization to g_μ with $\gcd(n, r) = 1$

An improved lower bound on second-nonlinearity of the cubic Boolean function $g_\mu = Tr(\mu x^{2^{2r}+2^r+1})$, where $\mu \in \mathbb{F}_{2^n}$ and $\gcd(n, r) = 1$, is derived in this subsection, which can be seen as a generalization of Subsection 7.1.

Denote $p = 2^r$. The quadratic part of derivative $D_a g_\mu$ of g_μ at $a \in \mathbb{F}_{2^n}$ is $Tr(\mu a x^{p^2+p} + \mu a^p x^{p^2+1} + \mu a^{p^2} x^{p+1}) = Tr(\mu a^p x^{p^2+1} + ((\mu a)^{\frac{1}{p}} + \mu a^{p^2}) x^{p+1})$, and $\varepsilon_{g_\mu, a}$ is the root set of the linearized polynomial

$$L_{\mu, a}(x) = \mu a^p x^{p^2} + ((\mu a)^{\frac{1}{p}} + \mu a^{p^2}) x^p + (\mu a^p x)^{\frac{1}{p^2}} + (((\mu a)^{\frac{1}{p}} + \mu a^{p^2}) x)^{\frac{1}{p}}$$

(refer to (22)). We have

$$\begin{aligned} & \mu a^p x^{p^2} + ((\mu a)^{\frac{1}{p}} + \mu a^{p^2}) x^p + (\mu a^p x)^{\frac{1}{p^2}} + (((\mu a)^{\frac{1}{p}} + \mu a^{p^2}) x)^{\frac{1}{p}} = 0 \\ \iff & \mu^{p^2} a^{p^3} x^{p^4} + (\mu^p a^p + \mu^{p^2} a^{p^4}) x^{p^3} + \mu a^p x + (\mu a + \mu^p a^{p^3}) x^p = 0, \end{aligned}$$

i.e.

$$\mu^{p^2} (ax^p + a^p x)^{p^3} + \mu^p (ax^{p^2} + a^{p^2} x)^p + \mu (ax^p + a^p x) = 0. \quad (35)$$

Now, we let $z := ax^p + a^p x$. Then, $x^p = \frac{z+a^p x}{a}$ and $x^{p^2} = \frac{z^p+a^{p^2} x^p}{a^p}$, and

$$ax^{p^2} + a^{p^2} x = \frac{z^p + a^{p^2} x^p}{a^{p-1}} + a^{p^2} x = \frac{z^p + a^{p^2} x^p + a^{p^2+p-1} x}{a^{p-1}} = \frac{z^p + a^{p^2-1} z}{a^{p-1}}.$$

Therefore, the above equation becomes

$$\mu^{p^2} z^{p^3} + \mu^p \frac{z^{p^2} + a^{p(p^2-1)} z^p}{a^{p(p-1)}} + \mu z = 0,$$

or, equivalently

$$\begin{aligned} & \mu^{p^2} a^{p^2} z^{p^3} + \mu^p (a^p z^{p^2} + a^{p^3} z^p) + \mu a^{p^2} z = 0 \\ \iff & \mu^{p^2} a^{p^2} z^{p^3} + \mu^p a^p z^{p^2} + \mu^p a^{p^3} z^p + \mu a^{p^2} z = 0 \\ \iff & (\mu^{p^2} a^{p^2} z^{p^3} + \mu^p a^{p^3} z^p) + (\mu^p a^p z^{p^2} + \mu a^{p^2} z) = 0 \\ \iff & (\mu^p a^p z^{p^2} + \mu a^{p^2} z)^p + (\mu^p a^p z^{p^2} + \mu a^{p^2} z) = 0, \end{aligned}$$

i.e.

$$(\mu^p a^p z^{p^2} + \mu a^{p^2} z) \in \mathbb{F}_p = \mathbb{F}_{2^r}. \quad (36)$$

Given $\gcd(n, r) = 1$, since $\mathbb{F}_{2^n} \cap \mathbb{F}_{2^r} = \{0, 1\}$, (36) means that $\mu^p a^p z^{p^2} + \mu a^{p^2} z = 0$ or $\mu^p a^p z^{p^2} + \mu a^{p^2} z = 1$. When $z \neq 0$, we have

$$\mu^p a^p z^{p^2} + \mu a^{p^2} z = 0 \iff z^{p^2-1} = \left(\frac{a^p}{\mu}\right)^{p-1} \iff z^{p+1} = \frac{a^p}{\mu},$$

where it was regarded $(p-1, 2^n-1) = 1$ which follows from $\gcd(n, r) = 1$.

Consequently, $\varepsilon_{g_{\mu,a}} = K_{a,1} \cup K_{a,2} \cup K_{a,3}$, where $K_{a,1} = \{x \in \mathbb{F}_{2^n} \mid ax^p + a^p x = 0\}$, $K_{a,2} = \{x \in \mathbb{F}_{2^n} \mid z^{p+1} = \frac{a^p}{\mu}, z = ax^p + a^p x\}$, $K_{a,3} = \{x \in \mathbb{F}_{2^n} \mid z^{p^2} + \left(\frac{a^p}{\mu}\right)^{p-1} z + \frac{1}{\mu^p a^p} = 0, z = ax^p + a^p x\}$.

Now, we need following fact.

Lemma 29. (Lemma 11.1 in [25]) For $1 \leq r \leq n$,

$$\gcd(2^r + 1, 2^n - 1) = \begin{cases} 1, & \text{if } \gcd(2r, n) = \gcd(r, n) \\ 2^{\gcd(r, n)} + 1, & \text{if } \gcd(2r, n) = 2\gcd(r, n). \end{cases}$$

Therefore, when $\gcd(n, r) = 1$,

$$\gcd(p+1, 2^n - 1) = \begin{cases} 1, & \text{if } n \text{ is an odd} \\ 3, & \text{if } n \text{ is an even.} \end{cases} \quad (37)$$

Since $K_{a,1} = \{x \in \mathbb{F}_{2^n} \mid (\frac{x}{a})^p + \frac{x}{a} = 0\} = \{x \in \mathbb{F}_{2^n} \mid \frac{x}{a} \in \mathbb{F}_{2^r}\} = \{x \in \mathbb{F}_{2^n} \cap a\mathbb{F}_{2^r}\} = \{0, a\}$, for every $z \in \mathbb{F}_{2^n}$, the linear equation $z = ax^p + a^p x$ has at most two solutions. By using Lemma 2, we can see:

$$|K_{a,2}| \leq 6, |K_{a,3}| \leq 8 \quad (38)$$

and that Lemma 4 let us know that

$$|K_{a,2}| + |K_{a,3}| = \begin{cases} 2 \text{ or } 14, & \text{if } n \text{ is even;} \\ 0 \text{ or } 6, & \text{if } n \text{ is odd.} \end{cases} \quad (39)$$

On the other hand, when $\gcd(n, r) = 1$, if the equation $z = ax^p + a^p x$ for $z \in \mathbb{F}_{2^n}$ has a solution $x \in \mathbb{F}_{2^n}$, then $Tr(\frac{z}{a^{p+1}}) = 0$. The reverse of this proposition is no generally validate and thus it seems hard to get the exact distribution of $|K_{a,2}|$ as done in Subsection 7.1.

However the exactly same lower-bound-estimations as in Subsection 7.1 still hold as described below. To begin with, let us note $\gcd(p^2 + p + 1, 2^n - 1) = \gcd((p^2 + p + 1)(p - 1), 2^n - 1) = \gcd(p^3 - 1, 2^n - 1) = 2^{\gcd(3, n)} - 1$.

1. For even n , there are $\frac{2(2^n-1)}{3}$ a 's such that $\frac{a^p}{\mu}$ is not a $(p+1)$ -th power (or, by (37), equivalently, $\frac{a^p}{\mu}$ is a non-cubic) in \mathbb{F}_{2^n} , i.e., $|K_{a,2}| = 0$ (in this case

$|K_{a,3}| = 2$ by (38) and (39), and $r_a = 2$). That is, there are at most $\frac{(2^n-1)}{3}$ a 's such that $r_a = 4$.

Furthermore, if $3 \nmid n$ and therefore the (p^2+p+1) -th powering is a permutation of \mathbb{F}_{2^n} , then for any $a \neq a' \in \mathbb{F}_{2^n}^*$ such that $\frac{a^p}{\mu}$ and $\frac{a'^p}{\mu}$ are $(p+1)$ -th powerings, when $b^{p+1} = \frac{a^p}{\mu}$ and $b'^{p+1} = \frac{a'^p}{\mu}$, one has $\frac{b}{a^{p+1}} \neq \frac{b'}{a'^{p+1}}$, because $(p+1)$ -th powering to the both side of $\frac{b}{a^{p+1}} = \frac{b'}{a'^{p+1}}$ leads to $a^{p^2+p+1} = a'^{p^2+p+1}$ i.e. $a = a'$ i.e. a contradiction. Thus, when a takes all elements of $\mathbb{F}_{2^n}^*$ such that $\frac{a^p}{\mu}$ are $(p+1)$ -th powerings and b takes all three $(p+1)$ -th power roots of $\frac{a^p}{\mu}$, $\frac{b}{a^{p+1}}$ takes all $2^n - 1$ elements in $\mathbb{F}_{2^n}^*$. On the other hand, by (38) and (39), if $r_a = 4$, then $K_{a,2} = 6$ and so it must be true that $Tr(\frac{b}{a^{p+1}}) = 0$ for all three $(p+1)$ -th power root b 's of $\frac{a^p}{\mu}$. Since in $\mathbb{F}_{2^n}^*$ there are $2^{n-1} - 1$ elements with absolute trace 0, it follows that there are only at most $\frac{(2^{n-1}-2)}{3}$ a 's with $r_a = 4$.

2. For odd n , by (37) every element of \mathbb{F}_{2^n} is a $(p+1)$ -th power and it holds

$$Tr\left(\frac{z}{a^{p+1}}\right) = Tr\left(\frac{\left(\frac{a^p}{\mu}\right)^{1/(p+1)}}{a^{p+1}}\right) = Tr\left(\left(\frac{1}{\mu a^{p^2+p+1}}\right)^{\frac{1}{p+1}}\right). \quad (40)$$

First, we will show that $|K_{a,3}| = 6$ can not happen. Let us suppose the opposite: $|K_{a,3}| = 6$. This is possible only when the equation $z^{p^2} + \left(\frac{a^p}{\mu}\right)^{p-1} z + \frac{1}{\mu^p a^p} = 0$ has 4 solutions z_1, z_2, z_3, z_4 (please, regard Lemma 2) and for exactly one (assuming it is z_4 wlog) among these solutions the equation $z_4 = ax^p + a^p x$ has no solution, which is a contradiction because given x_1, x_2, x_3 that are solutions of $z_1 = ax^p + a^p x, z_2 = ax^p + a^p x, z_3 = ax^p + a^p x$ respectively, $x = x_1 + x_2 + x_3$ is a solution of $z_4 = ax^p + a^p x$ (since $z_4 = z_1 + z_2 + z_3$).

- (a) If $n \equiv \pm 1 \pmod{6}$, then $\gcd(p^2 + p + 1, 2^n - 1) = 1$ and by (40) there are exactly 2^{n-1} a 's such that $Tr\left(\frac{z}{a^{p+1}}\right) = 1$ for $z = \left(\frac{a^p}{\mu}\right)^{\frac{1}{p+1}}$. Thus, there are at least 2^{n-1} a 's such that $|K_{a,2}| = 0$ (in this case, by (39) $|K_{a,3}| = 0$ and so $r_a = 1$).

- (b) If $n \equiv 3 \pmod{6}$, then $\gcd(p^2 + p + 1, 2^n - 1) = 2^3 - 1 = 7$ and therefore there are exactly $wt(f_7)$ a 's such that $Tr\left(\frac{z}{a^{p+1}}\right) = 1$ for $z = \left(\frac{a^p}{\mu}\right)^{\frac{1}{p+1}}$. Thus, there are at least $wt(f_7)$ a 's such that $|K_{a,2}| = |K_{a,3}| = 0$ and $r_a = 1$.

The exactly same derivation as done in Subsection 7.1 gives:

Theorem 30. *Let $g_\mu = Tr(\mu x^{2^{2r}+2^r+1})$, where $\mu \in \mathbb{F}_{2^n}$ $\gcd(n, r) = 1$ and $n \geq 4$.*

1. For $n \equiv 2, 4 \pmod{6}$,

$$nl_2(g_\mu) \geq 2^{n-1} - \frac{1}{2} \sqrt{2^n + \frac{7}{3} \cdot 2^{\frac{3n}{2}} - \frac{10}{3} 2^{\frac{n}{2}}}. \quad (41)$$

2. For $n \equiv 0 \pmod{6}$,

$$nl_2(g_\mu) \geq 2^{n-1} - \frac{1}{2} \sqrt{2^n + \frac{8}{3} \cdot 2^{\frac{3n}{2}} - \frac{8}{3} 2^{\frac{n}{2}}}. \quad (42)$$

3. If $n \geq 5$ and $n \equiv \pm 1 \pmod{6}$, then

$$nl_2(g_\mu) \geq 2^{n-1} - \frac{1}{2} \sqrt{2^n + 3 \cdot 2^{\frac{3n+1}{2}} - 2^{\frac{n+3}{2}}}. \quad (43)$$

4. If $n \equiv 3 \pmod{6}$ and $n \geq 5$, then

$$nl_2(g_\mu) \geq 2^{n-1} - \frac{1}{2} \sqrt{2^n + (2^n - 1)2^{\frac{n+3}{2}} - wt(f_7)2^{\frac{n+1}{2}}}. \quad (44)$$

As evident, the new obtained lower bounds are significantly better than ones given by Theorem 10.

7.3 Second-Order Nonlinearity of g_μ with $\gcd(n, r) \neq 1$

If $n = 3r$, then (35) reduces to $(\mu^{p^2} + \mu^p + \mu)(ax^p + a^p x) = 0$ and therefore has p solutions (to be precise, under the condition $Tr_r^n(\mu) \neq 0$), that is, $r_a \leq r$ for every $a \in \mathbb{F}_{2^n}$. So, the lower bound stated in the item 1 of Example 27 follows.

When $\gcd(n, r) \neq 1$ and $n \neq 3r$, from (36) it follows that $\varepsilon_{g_\mu, a}$ is the solution set of

$$z = ax^p + a^p x, \quad \prod_{\omega \in \mathbb{F}_{2^{\gcd(n, r)}}} \left(z^{p^2} + \left(\frac{a^p}{\mu} \right)^{p-1} z + \frac{\omega}{\mu^p a^p} \right) = 0.$$

Consequently, $\varepsilon_{g_\mu, a} = K_{a,1} \cup K_{a,2} \cup K_{a,3}$, where $K_{a,1} = \{x \in \mathbb{F}_{2^n} | ax^p + a^p x = 0\}$, $K_{a,2} = \{x \in \mathbb{F}_{2^n} | z^{p+1} = \frac{a^p}{\mu} \mathbb{F}_{2^{\gcd(n, r)}}^*, z = ax^p + a^p x\}$, $K_{a,3} = \{x \in \mathbb{F}_{2^n} | \prod_{\omega \in \mathbb{F}_{2^{\gcd(n, r)}}^*} (z^{p^2} + \left(\frac{a^p}{\mu} \right)^{p-1} z + \frac{\omega}{\mu^p a^p}) = 0, z = ax^p + a^p x\}$.

Since $K_{a,1} = \{x \in \mathbb{F}_{2^n} | (\frac{x}{a})^p + \frac{x}{a} = 0\} = a \mathbb{F}_{2^{\gcd(n, r)}}$, for every $z \in \mathbb{F}_{2^n}$, the linear equation $z = ax^p + a^p x$ has at most $2^{\gcd(n, r)}$ solutions. And, if the linear equation $z^{p^2} + \left(\frac{a^p}{\mu} \right)^{p-1} z + \frac{\omega}{\mu^p a^p} = 0$ has a solution in \mathbb{F}_{2^n} , then it has the same number of solutions as $z^{p^2} + \left(\frac{a^p}{\mu} \right)^{p-1} z = 0$ has in \mathbb{F}_{2^n} , i.e. $z^{p+1} = \frac{a^p}{\mu} \mathbb{F}_{2^{\gcd(n, r)}}^*$ or $z = 0$.

Corollary 1 and Corollary 2 of [13] states the upper bound on root number of the special linearized polynomial $z^{p^2} + az^p + bz$ where $a, b \in \mathbb{F}_{2^n}$, $p = 2^r$ and $\gcd(n, r) = 1$, to be 4. When $a = 0$, but without the restriction $\gcd(n, r) = 1$, we can get the exact root number by using Lemma 29.

Proposition 31. *For the linearized polynomial $z^{p^2} + bz$ where $b \in \mathbb{F}_{2^n}^*$ and $p = 2^r$, its root number is*

1. 1 if b is not a $(p^2 - 1)$ -power in \mathbb{F}_{2^n} ;
2. $2^{\gcd(n,r)}$ if $\|n\|_2 \geq \|r\|_2$ and b is a $(p-1)$ -power (so also a $(p^2 - 1)$ -power) in \mathbb{F}_{2^n} ;
3. $2^{2\gcd(n,r)}$ if $\|n\|_2 < \|r\|_2$ and b is a $(p^2 - 1)$ -power in \mathbb{F}_{2^n} .

From the facts mentioned above, following inequalities follow.

$$|K_{a,2}| \leq \begin{cases} 2^{\gcd(n,r)}(2^{\gcd(n,r)} - 1), & \text{if } \|n\|_2 \geq \|r\|_2 \\ 2^{2\gcd(n,r)}(2^{2\gcd(n,r)} - 1), & \text{if } \|n\|_2 < \|r\|_2. \end{cases}$$

$$|K_{a,3}| \leq \begin{cases} 2^{2\gcd(n,r)}(2^{\gcd(n,r)} - 1), & \text{if } \|n\|_2 \geq \|r\|_2 \\ 2^{3\gcd(n,r)}(2^{\gcd(n,r)} - 1), & \text{if } \|n\|_2 < \|r\|_2. \end{cases}$$

Thus

$$r_a \leq \begin{cases} 3\gcd(n,r), & \text{if } \|n\|_2 \geq \|r\|_2 \\ 4\gcd(n,r), & \text{if } \|n\|_2 < \|r\|_2, \end{cases}$$

and by using Lemma 4 we improve on the lower bound (23) as follows:

$$nl_2(g_\mu) \geq \begin{cases} 2^{n-1} - \frac{1}{2} \sqrt{2^n + (2^n - 1)2^{\lfloor \frac{n+3\gcd(n,r)}{2} \rfloor}}, & \text{if } \|n\|_2 \geq \|r\|_2 \\ 2^{n-1} - \frac{1}{2} \sqrt{2^n + (2^n - 1)2^{\lfloor \frac{n+4\gcd(n,r)}{2} \rfloor}}, & \text{if } \|n\|_2 < \|r\|_2. \end{cases} \quad (45)$$

Since $\gcd(p+1, 2^{\gcd(n,r)} - 1) | \gcd(p+1, 2^r - 1) = \gcd(2^r + 1, 2^r - 1) = 1$, every element of $\mathbb{F}_{2^{\gcd(n,r)}}^*$ has unique $(p+1)$ -th power root in the field itself. Hence, when $\|n\|_2 < \|r\|_2$, for the $\frac{2^{\gcd(n,r)}}{2^{\gcd(n,r)+1}}(2^n - 1)$ a 's such that $\frac{a^p}{\mu}$ is not a $(p+1)$ -th power of some entry in \mathbb{F}_{2^n} , the equation $z^{p+1} = \frac{a^p}{\mu} \mathbb{F}_{2^{\gcd(n,r)}}^*$ has no solution, and so $z^{p^2} + \left(\frac{a^p}{\mu}\right)^{p-1} z + \frac{\omega}{\mu^p a^p} = 0$ for any $\omega \in \mathbb{F}_{2^{\gcd(n,r)}}^*$ has at most one solution. Thus, when $\|n\|_2 < \|r\|_2$, for such $\frac{2^{\gcd(n,r)}}{2^{\gcd(n,r)+1}}(2^n - 1)$ a 's,

$$|K_{a,2}| = 0, |K_{a,3}| = 2^{\gcd(n,r)}(2^{\gcd(n,r)} - 1)$$

and

$$r_a \leq 2\gcd(n,r).$$

By (7), when $\|n\|_2 < \|r\|_2$ (note that in this case n is even), we get

$$\begin{aligned} nl_2(g_\mu) &\geq 2^{n-1} - \frac{1}{2} \sqrt{2^n + (2^n - 1) \left(\frac{1}{2^{\gcd(n,r)+1}} 2^{\frac{n+4\gcd(n,r)}{2}} + \frac{2^{\gcd(n,r)}}{2^{\gcd(n,r)+1}} 2^{\frac{n+2\gcd(n,r)}{2}} \right)} \\ &= 2^{n-1} - \frac{1}{2} \sqrt{2^n + \frac{2^{2\gcd(n,r)+1}}{2^{\gcd(n,r)+1}} \left(2^{\frac{3n}{2}} - 2^{\frac{n}{2}} \right)}. \end{aligned}$$

Theorem 32. For $g_\mu = \text{Tr}(\mu x^{2^{2r}+2^r+1})$, where $\mu \in \mathbb{F}_{2^n}$, $\gcd(n, r) \neq 1$ and $n \geq 4$.

$$nl_2(g_\mu) \geq \begin{cases} 2^{n-1} - \frac{1}{2} \sqrt{2^n + (2^n - 1) 2^{\lfloor \frac{n+3\gcd(n,r)}{2} \rfloor}}, & \text{if } \|n\|_2 \geq \|r\|_2 \\ 2^{n-1} - \frac{1}{2} \sqrt{2^n + (2^n - 1) 2^{\frac{n}{2} \frac{2^{2\gcd(n,r)+1}}{2\gcd(n,r)+1}}}, & \text{if } \|n\|_2 < \|r\|_2. \end{cases} \quad (46)$$

This lower bound is better than one which we showed in (23) in particular as $gg(n, r) \geq \gcd(n, r)$.

Corollary 33. If $n = sr$ where s is an odd greater than 3, then

$$nl_2(g_\mu) \geq 2^{n-1} - \frac{1}{2} \sqrt{2^n + (2^n - 1) 2^{\frac{n+3r}{2}}} \quad (47)$$

If $n = sr$ where s is an even greater than 2, then

$$nl_2(g_\mu) \geq 2^{n-1} - \frac{1}{2} \sqrt{2^n + (2^n - 1) 2^{\frac{n}{2} \frac{2^{2r+1}}{2r+1}}} \quad (48)$$

The lower bounds presented by this corollary are better than ones given by Items 2-4 of Example 27 which can be reformulated as: For $n = sr, 4 \leq s \leq 6$

$$nl_2(g_\mu) \geq 2^{n-1} - \frac{1}{2} \sqrt{2^n + (2^n - 1) 2^{\frac{n}{2} 2^{(\frac{s}{2}-1)r}}.$$

On the other hand, when $s = 7$, this corollary gives the same lower bound with Corollary 28.

7.4 Problems for further considerations

If $\text{Tr}(\frac{z}{a^{p+1}}) = 1$, then the equation $z = ax^p + a^p x$ has no solution in \mathbb{F}_{2^n} .

Problem 34. Use this fact to improve on the lower bound of second-order nonlinearity given in Theorem 32 for $g_\mu = \text{Tr}(\mu x^{2^{2r}+2^r+1})$, where $\mu \in \mathbb{F}_{2^n}$, $\gcd(n, r) \neq 1$ and $n \geq 4$.

Consider generic cubic monomial Boolean function $f_\mu = \text{Tr}(\mu x^{2^i+2^j+1})$, where $\mu \in \mathbb{F}_{2^n}$ and $n > i > j > 0$. Let us introduce denotations: $p = 2^j, q = 2^i$. The quadratic part of derivative $D_a f_\mu$ of f_μ at $a \in \mathbb{F}_{2^n}$ is $\text{Tr}(\mu a x^{q+p} + \mu a^p x^{q+1} + \mu a^q x^{p+1}) = \text{Tr}(\mu^{1/p} a^{1/p} x^{q/p+1} + \mu a^p x^{q+1} + \mu a^q x^{p+1})$. With reference to (22), $\varepsilon_{f_\mu, a}$ is the solution set of linear equation

$$\mu^{1/p} a^{1/p} x^{q/p} + \mu a^p x^q + \mu a^q x^p + \mu^{1/q} a^{1/q} x^{p/q} + \mu^{1/q} a^{p/q} x^{1/q} + \mu^{1/p} a^{q/p} x^{1/p} = 0,$$

or, equivalently

$$L(x) = [(a\mu)x^p + (a^p\mu)x + (a^q\mu^q)x^{p^q}]^p + [(a\mu)x^q + (a^q\mu)x + (a^{p^2}\mu^p)x^{p^q}]^q = 0. \quad (49)$$

Problem 35. Determine the set of a 's such that the equation (49) has solutions of smaller number than 2^V in \mathbb{F}_{2^n} where V is given by Theorem 17 (or computed by Section 4.2).

8 Conclusion

When a linearized polynomial is given, to determine its root number is an important task in finite field and symmetric cryptography theory. This paper contributes to give a better general method to get more precise upper bound on the root number of any given linearized polynomial.

Then, as an application of this result, we improve the estimation for lower bound of the second-order nonlinearities of cubic Boolean functions. For example, for cubic monomial Boolean function $f_\mu(x) = \text{Tr}(\mu x^{2^9+2^5+1})$, the best previous result [23] can say $nl_2(f_\mu) \geq 393216$ over $F_{2^{20}}$ and $nl_2(f_\mu) \geq 196608$ over $F_{2^{19}}$. By this paper, now we know $nl_2(f_\mu) \geq 431605$ over $F_{2^{20}}$ and $nl_2(f_\mu) \geq 238971$ over $F_{2^{19}}$. And, while the best previous result can show only $nl_2(\text{Tr}(\mu x^{2^{18}+2^{10}+1})) \geq 76781$ over $F_{2^{19}}$, this paper proves $nl_2(\text{Tr}(\mu x^{2^{18}+2^{10}+1})) \geq 238971$.

Furthermore, this paper shows that by studying the distribution of radicals of derivatives of a given Boolean functions one can get a better lower bound of the second-order nonlinearity, through an example of the Boolean function $g_\mu = \text{Tr}(\mu x^{2^{2r}+2^r+1})$ over any finite field \mathbb{F}_{2^n} .

These results show that many cubic Boolean functions such as $g_\mu = \text{Tr}(\mu x^{2^{2r}+2^r+1})$ over any finite field \mathbb{F}_{2^n} have larger Hamming distance to the affine functions and quadratic functions than it was known (thus could be expected). They can be used in choice of cubic Boolean functions which are resistant against linear and quadratic approximation attacks.

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