

# Universally Composable Oblivious Transfer Protocol based on the RLWE Assumption

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## Abstract

We use an RLWE-based key exchange scheme to construct a simple and efficient post-quantum oblivious transfer based on the Ring Learning with Errors assumption. We prove that our protocol is secure in the Universal Composability framework against static malicious adversaries in the random oracle model. The main idea of the protocol is that the receiver and the sender interact using the RLWE-based key exchange in such a way that the sender computes two keys, one of them shared with the receiver. It is infeasible for the sender to know which is the shared key and for the receiver to get information about the other one. The sender encrypts each message with each key using a symmetric-key encryption scheme and the receiver can only decrypt one of the ciphertexts. The protocol is extremely efficient in terms of computational and communication complexity, and thus a strong candidate for post-quantum applications.

## 1 Introduction

Oblivious transfer (OT) [Rab81] is a cryptographic primitive involving two parties, usually called the sender  $S$  and the receiver  $R$ . It allows  $S$  to send two messages to  $R$  in such a way that: i)  $R$  chooses one message to receive and gets no information on the other one, and ii)  $S$  does not know which message the other party has received. Despite its simplicity, OT is a powerful tool since it can be used to create other more complex protocols. Undoubtedly, the most important application of OT is its use in the construction of (almost) every efficient and secure multi-party computation protocol (e.g., Yao's protocol [Yao86]).

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Since OT is mostly used as a building block inside other more sophisticated protocols, its security should be analyzed in the Universal Composability (UC) framework of Canetti [Can01]. Using this framework, security under arbitrary composition can be guaranteed.

In this work, we present a practical UC-secure OT protocol. Since its security is based on the RLWE assumption, the protocol is conjectured to be robust to quantum adversaries.

**Previous work.** In recent years, several proposals for UC-secure OT protocols have been made [PVW08, DDN14, BDD<sup>+</sup>17, HL17, BPRS17, DKLas18], being the most efficient ones based on number-theoretic assumptions [PVW08, BDD<sup>+</sup>17, HL17, DKLas18]. Unfortunately, Shor’s algorithm makes them useless in case a quantum computer ever exists [Sho97]. Hence, the development of efficient post-quantum OT protocols is crucial if we want to securely implement oblivious transfer in the post-quantum era. The post-quantum UC-secure proposals for OT include a McEliece-based OT protocol [DNMQ12], a LPN-based OT protocol [DDN14] and the ones that can be obtained from instantiating the frameworks of [PVW08, BDD<sup>+</sup>17] using post-quantum cryptosystems. However, these proposals require the use of public-key encryption schemes and this leads to extremely high communication costs since public keys need to be sent from one party to the other, at some point in the protocol. Another approach, usually more efficient in terms of communication and computational complexity, is to use a key exchange scheme and encrypt with a symmetric-key encryption scheme. OT proposals based on this paradigm were presented in [CO15, BOB18], although none of them is UC-secure (the protocol in [CO15] was originally claimed to be universally composable but, later, this turned out to be false [BDD<sup>+</sup>17, BPRS17, DKLas18]).

The Ring Learning with Errors (RLWE) problem [LPR10] is a variant of the LWE problem [Reg05], which allows to construct more efficient and compact cryptosystems. It is conjectured that this problem is hard, even for quantum adversaries. Therefore, cryptographic primitives based on this problem are usually very efficient and conjectured to be post-quantum secure. However, it was still an open problem to construct UC-secure OT protocols based on the RLWE problem, until this moment. Recall that the framework of [PVW08] requires a dual-mode encryption scheme and it can be instantiated using the LWE public-key encryption scheme. It is not known if there exists a dual-mode variant of the RLWE public-key encryption scheme, a problem stated as open in [LKHB17]. Thus, it is not known if it is possible to use the framework of [PVW08] to construct a RLWE-based OT protocol. Moreover, the framework of [BDD<sup>+</sup>17] requires a group structure in the set of the public keys of the cryptosystem to be used. For this reason, it is not possible to use the LWE nor the RLWE public-key encryption schemes in the framework of [BDD<sup>+</sup>17] since the set of the public keys of these schemes do not have a group structure for any operation.

After our work was essentially finished, there appeared a paper [LH18] where

a proposal for OT based on the RLWE assumption and achieving security in the UC-framework was presented. However, the scheme does not provide security for the receiver. More precisely, the signal function (used in the reconciliation mechanism of the RLWE-based key exchange) is sent from the receiver R to the sender S. This allows S to figure out R's input, since the signal function matches the right input, and this is very much related to the fact that the signal function leaks information [DRAC18]. Also, the same argument in [BDD<sup>+</sup>17, BPRS17, DKLas18] that invalidates the proof in the UC-framework of the simplest OT can be applied to the scheme [LH18]. Hence, the claim that the protocol achieves security in the UC-framework is false. More details on this are presented below.

**Contribution of this work.** The RLWE-based key exchange by Ding *et al.* [DXL12] has proven to be very versatile as it is the core of several lattice-based constructions (e.g. [ZZD<sup>+</sup>15, DAL<sup>+</sup>17]). In this work, we use the RLWE-based key exchange protocol to build a post-quantum universally composable  $\binom{2}{1}$ -OT, in the random oracle model. We also show how the protocol can be easily extended to obtain a  $\binom{N}{1}$ -OT protocol. Our protocol can be seen as a adaptation of the simplest OT protocol [CO15] using the RLWE key exchange scheme instead of Diffie-Hellman key exchange scheme [DH76]. In a nutshell, the receiver and the sender interact using the key exchange protocol and, at the end, the sender has two keys, one of them shared with the receiver. The sender encrypts each message with each key, and the receiver will only be able to decrypt one of them.

Contrarily to [CO15, LH18], where the interaction begins with S, in our protocol the interaction begins with R. The reasons for this are: i) it allows to reduce the number of rounds of the protocol, and ii) it avoids the attack of [DRAC18] since, in this case, the signal function is sent by S and not by R. As mentioned before, if the signal function is sent from R to S, then S has enough information to extract the input of R with non-negligible probability. Informally, in [CO15, LH18], S plays the role of Alice in the key exchange, while in our protocol it is R that plays the role of Alice.

In the simplest OT protocol, R uses as key an output of the random oracle. To argue security in the UC-framework against a corrupted R, the simulator uses R's queries to the random oracle to extract its input. However, as noted in [BDD<sup>+</sup>17, BPRS17, DKLas18], the corrupted receiver can just delay the query of the key to the random oracle and the decryption and the simulator will have no information to perform the simulation. In this case, S in the real-world execution halts while the simulator in the ideal-world is still running and, thus, an environment could distinguish both executions. To achieve security in the UC-framework for our protocol, we have to somehow force R to query the random oracle with the key. This idea was already explored in [BDD<sup>+</sup>17], where S makes a challenge to R, that it can only answer correctly if it queries its key to the random oracle. However, the scheme of [BDD<sup>+</sup>17] uses a public-key encryption scheme, while ours uses a key exchange followed by a symmetric-key encryption scheme. Therefore, the adaptation of this technique is not

straightforward, since, in our case, every key in the protocol is secret. We solve this problem by allowing  $R$  to know the output of both secret keys by the random oracle, and using these outputs as keys in the challenge. Note that this does not affect the security of the scheme, since the random oracle should output a completely random value, and, thus, it should be infeasible for  $R$  to know their pre-image.

Although the scheme proposed in this paper has four rounds, after analyzing its efficiency, we conclude that it improves state-of-the-art post-quantum OT protocols in terms of computational and communication complexity. Let  $q \in \mathbb{Z}$ ,  $R_q = \mathbb{Z}_q[X]/\langle X^n + 1 \rangle$  and  $\kappa$  be the security parameter of the OT protocol. Our scheme achieves a communication complexity of  $\mathcal{O}(\lambda \log q + \kappa)$  and it just requires five multiplications in the ring  $R_q$  and six samples from the RLWE error distribution to obliviously send a  $\lambda$ -bit message. Whereas the frameworks of [PVW08, BDD<sup>+</sup>17] require a public key of a post-quantum public-key encryption scheme to be generated and transmitted. Recall that the size of public keys of post-quantum public-key encryption schemes is one of the main drawbacks of post-quantum cryptography. Hence, the use of post-quantum public-key encryption schemes in OT leads to high communication and computational complexity since their public keys are, generally, too large for practical purposes. More precisely, the framework of [PVW08] using the LWE public-key encryption scheme has communication and computational complexity of  $\mathcal{O}(\lambda^3)$  to obliviously send a  $\lambda$ -bit message, and the framework of [BDD<sup>+</sup>17], when instantiated with (for example) McEliece public-key encryption scheme, achieves complexity  $\mathcal{O}(\lambda^2)$ . Therefore, as far as we know, our protocol is the most efficient post-quantum OT protocol presented so far. In real-life application, using hardware implementations of AES and SHA-3, we believe that our protocol should be very practical and it should be considered when implementing post-quantum secure multi-party computation.

**Roadmap.** In Section 2 we state relevant concepts and definitions. Then we present our universally composable OT protocol based on the RLWE problem in Section 3. Section 4 shows the details of the proof of security in the UC framework. Finally, we analyze the communication and computation complexity of the protocol in Section 5.

## 2 Preliminaries

As usual,  $\mathbb{N}$  denotes the set of natural numbers,  $\mathbb{Z}$  denotes the set of integers,  $\mathbb{Z}_q = \mathbb{Z}/q\mathbb{Z}$ , for any  $q \in \mathbb{N}$ , and  $\mathbb{Z}[X]$  (resp.  $\mathbb{Z}_q[X]$ ) denotes the ring of polynomials on variable  $X$  with coefficients in  $\mathbb{Z}$  (resp.  $\mathbb{Z}_q$ ). If  $\mathcal{A}$  is an algorithm, we denote by  $y \leftarrow \mathcal{A}(x)$  the output of the experiment of running  $\mathcal{A}$  on input  $x$ . If  $S$  is a set, we denote by  $x \leftarrow_{\$} S$  the experiment of choosing uniformly at random an element  $x$  from  $S$ . If  $\chi$  is a probabilistic distribution over some set  $S$ ,  $x \leftarrow_{\$} \chi$  denotes the experiment of sampling an element  $x$  from  $S$  according to  $\chi$ . If  $x$  and  $y$  are two binary strings, we denote by  $x|y$  their concatenation and by  $x \oplus y$

their bit-wise XOR. If  $X$  and  $Y$  are two probability distributions,  $X \approx Y$  means that they are computationally indistinguishable. A negligible function  $\text{negl}(n)$  is a function such that  $\text{negl}(n) < 1/\text{poly}(n)$  for every polynomial  $\text{poly}(n)$  and sufficiently large  $n$ . By a PPT algorithm we mean a probabilistic polynomial-time algorithm.

We present the definition of a symmetric-key encryption scheme which will be an important tool to design the new OT protocol.

**Definition 1.** A *symmetric-key encryption scheme*  $\Delta = (\text{SEnc}_\Delta, \text{SDec}_\Delta)$  is a pair of algorithms such that:

- $c \leftarrow \text{SEnc}_\Delta(k, M; r)$  is a PPT algorithm that takes as input a shared key  $k$ , a message to encrypt  $M$  and some randomness  $r$  and outputs the ciphertext  $c$ . Whenever  $r$  is omitted, it means that it was chosen uniformly at random;
- $M/\perp \leftarrow \text{SDec}_\Delta(k, c)$  is a PPT algorithm that takes as input a key  $k$  and a ciphertext  $c$  and outputs either a message  $M$ , if  $c$  was encrypted using  $k$ , or an error message  $\perp$ , otherwise.

A symmetric-key encryption scheme must be sound, that is,  $M \leftarrow \text{SDec}_\Delta(k, \text{SEnc}_\Delta(k, M; r))$  for any message  $M$  and any  $r$ . It also should be secure, that is, it is infeasible for any PPT adversary to recover  $M$  from a ciphertext  $c$  without knowing the secret key  $k$ .

## 2.1 UC-security and ideal functionalities

The Universal Composability (UC) framework, introduced by Canetti [Can01], ensures that the security of a protocol does not depend on other executions of the same or other protocols. In a nutshell, given any protocol  $\pi$ , we say that it is UC-secure if no environment  $\mathcal{E}$  (an entity that oversees both executions) can distinguish between the execution of  $\pi$  in the real-world and the execution of an ideal functionality  $\mathcal{F}$  (defined *a priori*) in the ideal-world. By proving that both executions are indistinguishable from the point-of-view of the environment  $\mathcal{E}$ , we show that any cheating strategy that an adversary uses in the real-world execution of the protocol, it could also be used in the ideal-world execution by a simulator. Since the ideal functionality  $\mathcal{F}$  is defined in such a way that each party involved learns nothing more than its own input and output, we conclude that it is infeasible to extract more information than this one.

Let  $\pi$  be a protocol where  $n$  parties and an adversary  $\mathcal{A}$  are involved. We denote the output of the environment  $\mathcal{E}$  in the end of the real-world execution of  $\pi$  with adversary  $\mathcal{A}$  by  $\text{EXEC}_{\pi, \mathcal{A}, \mathcal{E}}$ . The output of  $\mathcal{E}$  at the end of the ideal-world execution of a functionality  $\mathcal{F}$  with adversary  $\text{Sim}$  is denoted by  $\text{IDEAL}_{\mathcal{F}, \text{Sim}, \mathcal{E}}$ . The following definition introduces the notion of a protocol emulating (in a secure way) some ideal functionality.

**Definition 2.** We say that a protocol  $\pi$  *UC-realizes*  $\mathcal{F}$  if for every PPT adversary  $\mathcal{A}$  there is a PPT simulator  $\text{Sim}$  such that for all PPT environments  $\mathcal{E}$ ,

$$\text{IDEAL}_{\mathcal{F}, \text{Sim}, \mathcal{E}} \approx \text{EXEC}_{\pi, \mathcal{A}, \mathcal{E}}$$

where  $\mathcal{F}$  is an ideal functionality.

**Using random oracles in the UC framework.** Since UC-security is a very strong level of security, it is not odd if some cryptographic primitives turned out to be impossible to achieve within this framework. That is what happens with oblivious transfer or bit commitment, for example [CF01]. For this reason, we work on the so called  $\mathcal{F}_{\text{RO}}$ -hybrid model in order to model random oracles in the UC framework. The random oracle ideal functionality  $\mathcal{F}_{\text{RO}}$  is presented below. Let  $\mathcal{D}$  be the range of the random oracle and  $L$  be a list, which is initially empty. The value  $\text{sid}$  represents the session ID and the parties involved in the protocol.

$\mathcal{F}_{\text{RO}}$ functionality
<p>Upon receiving a query <math>(\text{sid} q)</math> from a party <math>\text{P}</math> or from an adversary <math>\mathcal{A}</math>, <math>\mathcal{F}_{\text{RO}}</math> proceeds as follows:</p> <ul style="list-style-type: none"> <li>• If there is a pair <math>(q, h) \in L</math> it returns <math>(\text{sid} h)</math>;</li> <li>• Else, it chooses <math>h \leftarrow_s \mathcal{D}</math>, stores the pair <math>(q, h) \in L</math> and returns <math>(\text{sid} h)</math>.</li> </ul>

In the  $\mathcal{F}_{\text{RO}}$ -hybrid model, every party involved in the real-world execution of the protocol (and the adversary) have access to an auxiliary ideal functionality  $\mathcal{F}_{\text{RO}}$ . The environment has access to this ideal functionality through the adversary. Similarly, we denote by  $\text{EXEC}_{\pi, \mathcal{A}, \mathcal{E}}^{\mathcal{F}_{\text{RO}}}$  the output of the environment after the real-world execution of the protocol  $\pi$  with adversary  $\mathcal{A}$ , in which every party involved in the protocol have access to the ideal functionality  $\mathcal{F}_{\text{RO}}$ , apart from the environment which has access through the adversary. An analogous definition of UC-security can be made in the  $\mathcal{F}_{\text{RO}}$ -hybrid model. More precisely, we say that a protocol  $\pi$  UC-realizes  $\mathcal{F}$  in the  $\mathcal{F}_{\text{RO}}$ -hybrid model if for every PPT adversary  $\mathcal{A}$  there is a PPT simulator  $\text{Sim}$  such that for all PPT environments  $\mathcal{E}$ ,

$$\text{IDEAL}_{\mathcal{F}, \text{Sim}, \mathcal{E}} \approx \text{EXEC}_{\pi, \mathcal{A}, \mathcal{E}}^{\mathcal{F}_{\text{RO}}}$$

**Adversarial model.** The adversarial model we adopt in this work is the static (or non-adaptive) corruption adversarial model [Can01]. In this model, adversaries may deviate in any way from the protocol but the corruption of each party happens before the beginning of the protocol.

**Oblivious transfer.** Oblivious transfer (OT) [Rab81] is a crucial primitive in cryptography since it is used as a building block to construct other primitives, such as bit commitment or secure multiparty computation. The  $\binom{2}{1}$ -OT ideal functionality  $\mathcal{F}_{\text{OT}}$  is presented below, as in [CLOS02]. The functionality involves two parties, the sender  $S$  and the receiver  $R$ . Let  $\lambda \in \mathbb{N}$  be a fixed value known to both parties,  $M_0, M_1 \in \{0, 1\}^\lambda$  and  $b \in \{0, 1\}$ .

**$\mathcal{F}_{\text{OT}}$  functionality**

- Upon receiving  $(\text{sid}|M_0|M_1)$  from  $S$ ,  $\mathcal{F}_{\text{OT}}$  stores  $M_0, M_1$  and ignores future messages from  $S$  with the same  $\text{sid}$ ;
- Upon receiving  $(\text{sid}|b)$  from  $R$ ,  $\mathcal{F}_{\text{OT}}$  checks if it has recorded  $(\text{sid}|M_0|M_1)$ . If so, returns  $(\text{sid}|M_b)$  to  $R$  and  $(\text{sid}|receipt)$  to  $S$  and halts. Else, it sends nothing but continues running.

## 2.2 RLWE problem and RLWE key exchange

The RLWE problem [LPR10] is the ring version of the LWE problem [Reg05] and it is conjectured to be hard for both classical and quantum computers. Before presenting the problem, we define the RLWE distribution. Let  $n = 2^a$  for some  $a \in \mathbb{N}$ ,  $q \geq 2$ ,  $R_q = \mathbb{Z}_q[X]/\langle f(X) \rangle$  where  $f(X) = X^n + 1$  and  $\chi$  be the error distribution (which is usually a discrete Gaussian [LPR10]) and which satisfies  $\Pr[\|p\| > \beta : p \leftarrow_s \chi] \leq \text{negl}(n)$  for some  $\beta \in \mathbb{N}$ , where  $\|p\| = \|p\|_\infty = \max\{p_i\}_i$  denotes the largest coefficient of the polynomial  $p = p_0 + p_1X + \dots + p_{n-1}X^{n-1} \in R_q$ . For  $s \in R_q$ , the RLWE distribution  $A_{s,\chi}$  is obtained by choosing  $a \leftarrow_s R_q$ ,  $e \leftarrow_s \chi$  and outputting  $(a, as + e \pmod q)$ .

**Problem 3** (Ring Learning with Errors). *Let  $n, q, R_q, \chi$  and  $A_{s,\chi}$  be as above. The decision version of the RLWE problem is the following: for  $s \leftarrow_s R_q$ , distinguish the case when it is given a polynomial number of samples from  $A_{s,\chi}$  or when it is given uniformly chosen at random values.*

The problem is proven to be as hard as quantumly solving a worst-case lattice problem (the approximate SVP) which is conjectured to be hard for both classical and quantum computers [LPR10]. The advantages of using the RLWE assumption instead of the LWE assumption is that the keys of the primitives based on RLWE are smaller than the ones based on LWE, and, since the RLWE uses polynomials in the ring  $R_q$  we can use the Fast Fourier Transform (FFT) to enhance the speed of the multiplication. Here, we use the Hermite Normal Form of the RLWE problem, usually called HNF-RLWE, in which the secret  $s$  is sampled from the error distribution  $\chi$  instead of being chosen uniformly at random from the ring  $R_q$ . This version of the problem is also assumed to be hard [ACPS09].

We define the signal function  $\text{Sig}$  and the extraction function  $\text{Mod}_2$  as in [DXL12]. Both of these functions are used in the reconciliation mechanism of the key exchange protocol in [DXL12] and allows the parties involved to compute a

shared key. Let  $\sigma_0, \sigma_1 : \mathbb{Z}_q \rightarrow \{0, 1\}$  such that

$$\sigma_0(a) = \begin{cases} 0, & a \in [-\lfloor \frac{q}{4} \rfloor, \lfloor \frac{q}{4} \rfloor] \\ 1, & \text{otherwise} \end{cases}$$

and

$$\sigma_1(a) = \begin{cases} 0, & a \in [-\lfloor \frac{q}{4} + 1 \rfloor, \lfloor \frac{q}{4} + 1 \rfloor] \\ 1, & \text{otherwise} \end{cases}$$

for  $a \in \mathbb{Z}_q$ . When  $a = \sum_{i=0}^{n-1} a_i X^i \in R_q$ , then  $\sigma_0(a) = \sum_{i=0}^{n-1} \sigma_0(a_i) X^i$  and  $\sigma_1(a) = \sum_{i=0}^{n-1} \sigma_1(a_i) X^i$ . The signal function  $\text{Sig} : R_q \rightarrow R_2$  is defined as  $\text{Sig}(a) = \sigma_b(a)$  where  $b \leftarrow_{\$} \{0, 1\}$ . The extraction function  $\text{Mod}_2 : R_q \times R_2 \rightarrow R_2$  is defined as

$$\text{Mod}_2(a, \sigma) = \left( a + \sigma \frac{q-1}{2} \pmod{q} \right) \pmod{2}.$$

We now present Ding's key exchange (KE) protocol based on RLWE. Let  $q, n, \chi, R_q$  be as above and  $m \leftarrow_{\$} R_q$ .

RLWE-based key exchange	
<b>Alice</b>	<b>Bob</b>
$s_A, e_A, e'_A \leftarrow_{\$} \chi$	
$p_A \leftarrow m s_A + 2e_A \pmod{q}$	$s_B, e_B, e'_B \leftarrow_{\$} \chi$
	$p_B \leftarrow m s_B + 2e_B \pmod{q}$
	$k_B \leftarrow p_A s_B + 2e'_B \pmod{q}$
$k_A \leftarrow s_A p_B + 2e'_A \pmod{q}$	$\sigma \leftarrow \text{Sig}(k_B)$
$\text{sk} \leftarrow \text{Mod}_2(k_A, \sigma)$	$\text{sk} \leftarrow \text{Mod}_2(k_B, \sigma)$

The soundness of the scheme as well as its security are proven in [DXL12]. The protocol has its security based on the HNF-RLWE problem since the secret is sampled from the error distribution.

Regarding its efficiency, the scheme requires  $2\mu \log q + \mu$  bits of information to be exchanged, where  $\mu$  is the length of the key exchanged, and four multiplications in the ring  $R_q$  and six samplings from  $\chi$  [DXL12].

### 3 An universally composable OT based on the RLWE problem

Let  $\kappa$  be the security parameter,  $\Delta = (\text{SEnc}_\Delta, \text{SDec}_\Delta)$  be a symmetric-key encryption protocol and  $n, q, R_q, \chi$  as described in the previous section. Let



$m \leftarrow_{\$} R_q$  be a polynomial common to every party in the protocol. In our construction we need four instances of the random oracle functionality  $\mathcal{F}_{\text{RO}}$ :  $H_1$  with range  $R_q$ ,  $H_2$  with range  $\mathcal{K} = \{0, 1\}^\beta$  where  $\beta$  is the size of the keys outputted by the RLWE-based key exchange,  $H_3$  with range  $\{0, 1\}^{2\kappa+\beta}$  and  $H_4$  with range  $\{0, 1\}^\kappa$ .

Suppose that the sender  $S$  wants to obviously send  $M_0$  and  $M_1$ , and that the receiver  $R$  wants to receive the message  $M_b$ , where  $b \in \{0, 1\}$  is the input of  $R$ . Let  $\text{sid}$  denote the session ID.

**The protocol.** The new OT protocol has 4 rounds. We will call it  $\pi_{\text{OT}}$ .

- $R$  starts by sampling  $s_R, e_R, e'_R \leftarrow_{\$} \chi$  and computing  $p_R^b \leftarrow ms_R + 2e_R \pmod q$ . It also chooses  $r \leftarrow_{\$} \{0, 1\}^\kappa$ . It queries the random oracle  $H_1$  with  $(\text{sid}|r)$ , setting the output to  $h$ . If  $b = 1$ , it computes  $p_R^0 = p_R^1 - h \pmod q$ . When  $b = 0$ , the receiver does not have to compute  $p_R^1$  since, in this case, it will never use this value. It sends  $\text{sid}$ ,  $p_R^0$  and  $r$  to  $S$ .
- Upon receiving  $\text{sid}$ ,  $p_R^0$  and  $r$ ,  $S$  samples  $s_S, e_S, e'_S \leftarrow_{\$} \chi$  and computes  $p_S \leftarrow ms_S + 2e_S \pmod q$ . It queries  $H_1$  with  $(\text{sid}|r)$  to obtain  $h'$  and sets  $p_R^1 = p_R^0 + h' \pmod q$ . Now, it can compute the values

$$k_S^0 \leftarrow s_S p_R^0 + 2e'_S \pmod q \quad \text{and} \quad k_S^1 \leftarrow s_S p_R^1 + 2e'_S \pmod q,$$

and the respective signal of these values, that is,

$$\sigma_0 \leftarrow \text{Sig}(k_S^0) \quad \text{and} \quad \sigma_1 \leftarrow \text{Sig}(k_S^1).$$

It computes the keys

$$\text{sk}_S^0 \leftarrow \text{Mod}_2(k_S^0, \sigma_0) \quad \text{and} \quad \text{sk}_S^1 \leftarrow \text{Mod}_2(k_S^1, \sigma_1).$$

Now,  $S$  will make a challenge to  $R$ . It chooses  $w_0, z_0, w_1, z_1 \leftarrow \{0, 1\}^\kappa$ . It queries  $H_2$  with  $(\text{sid}|\text{sk}_0)$  and  $(\text{sid}|\text{sk}_1)$ , setting the outputs to  $\bar{\text{sk}}_S^0$  and  $\bar{\text{sk}}_S^1$ , and queries  $H_3$  with  $(\text{sid}|w_0)$  and  $(\text{sid}|w_1)$ , setting the output to  $\bar{w}_0$  and  $\bar{w}_1$ , respectively. It computes

$$a_0 \leftarrow \text{SEnc}_\Delta(\bar{\text{sk}}_S^0, w_0; z_0) \quad \text{and} \quad a_1 \leftarrow \text{SEnc}_\Delta(\bar{\text{sk}}_S^1, w_1; z_1)$$

and sets

$$u_0 = \bar{w}_0 \oplus (w_1 | \bar{\text{sk}}_S^1 | z_1) \quad \text{and} \quad u_1 = \bar{w}_1 \oplus (w_0 | \bar{\text{sk}}_S^0 | z_0).$$

Finally, it asks  $H_4$  for  $(\text{sid}|w_0|w_1|z_0|z_1)$  and sets the result to a challenge  $ch$  that it keeps to itself. It sends  $\text{sid}$ ,  $p_S$ ,  $\sigma_0$ ,  $\sigma_1$ ,  $a_0$ ,  $a_1$ ,  $u_0$  and  $u_1$  to  $R$ .

- After receiving these values, the goal of  $R$  is to compute  $ch$ . First, it obtains the secret shared key by computing  $k_R \leftarrow p_S s_R + 2e'_R \pmod q$  and then  $\text{sk}_R \leftarrow \text{Mod}_2(k_R, \sigma_b)$ . Then, it decrypts  $x_b \leftarrow \text{SDec}_\Delta(\bar{\text{sk}}_R, a_b)$

where  $\bar{\text{sk}}_R$  is the value returned by  $H_2$  on input  $(\text{sid}|\text{sk}_R)$ . It asks  $H_3$  for  $(\text{sid}|x_b)$  to get  $\bar{x}_b$  and then it can recover  $(x_{1-b}|\bar{\text{sk}}_R^{1-b}|y_{1-b}) = u_b \oplus \bar{x}_b$ . To recover  $y_b$ , it asks  $H_3$  for  $(\text{sid}|x_{1-b})$  to get  $\bar{x}_{1-b}$  and then it recovers  $(x'_b|\bar{\text{sk}}_R^b|y_b) = u_{1-b} \oplus \bar{x}_{1-b}$ . Having recovered  $x_0, y_0, x_1$  and  $y_1$ , it can query  $H_4$  on input  $(\text{sid}|x_0|x_1|y_0|y_1)$  and sets the output to  $ch'$ . To be sure that  $S$  has not cheated,  $R$  verifies if  $a'_0 = a_0$ , if  $a'_1 = a_1$  where  $a'_0 \leftarrow \text{SEnc}_\Delta(\bar{\text{sk}}_R^0, x_0; y_0)$  and  $a'_1 \leftarrow \text{SEnc}_\Delta(\bar{\text{sk}}_R^1, x_1; y_1)$ , if  $\bar{\text{sk}}_R^b = \bar{\text{sk}}_R$  and if  $x'_b = x_b$ . It aborts if any of these conditions fail. Else, it sends  $\text{sid}$  and  $ch'$  to  $S$ .

- After receiving  $ch'$  from the  $R$ , the sender checks if  $ch = ch'$ . If the test fails, it aborts the protocol. Otherwise, it encrypts both messages  $M_0$  and  $M_1$  using  $\text{SEnc}_\Delta$  with keys  $\text{sk}_S^0$  and  $\text{sk}_S^1$ , respectively, and sends the resulting ciphertexts  $c_0$  and  $c_1$  and the session ID  $\text{sid}$  to the receiver. It halts.

Finally, after receiving  $c_0$  and  $c_1$ ,  $R$  uses  $\text{sk}_R$  to compute  $M_b \leftarrow \text{SDec}(\text{sk}_R, c_b)$ . It outputs  $M_b$  and halts.

A scheme of the protocol is presented below. By  $x \stackrel{?}{=} y$ , we mean that the user checks if  $x$  and  $y$  have the same value. If they do not have the same value, then the user aborts the protocol. In the scheme, the index  $i$  should take the values 0 and 1.

RLWE KE-based OT protocol:  $\pi_{\text{OT}}$

Sender S	Receiver R
<b>Input:</b> $M_0, M_1$	<b>Input:</b> $b \in \{0, 1\}$
$s_S, e_S, e'_S \leftarrow_{\$} \chi$	$s_R, e_R, e'_R \leftarrow_{\$} \chi$
$p_S \leftarrow ms_S + 2e_S \pmod q$	$p_R^b \leftarrow ms_R + 2e_R \pmod q$
	$r \leftarrow_{\$} \{0, 1\}^\kappa$
$h' \leftarrow H_1(\text{sid} r)$	$h \leftarrow H_1(\text{sid} r)$
$p_R^1 \leftarrow p_R^0 + h' \pmod q$	$p_R^0 \leftarrow p_R^1 - h \pmod q$ (if $b=1$ )
$k_S^i \leftarrow s_S p_R^i + 2e'_S \pmod q$	
$\sigma_i \leftarrow \text{Sig}(k_S^i)$	
$\text{sk}_S^i \leftarrow \text{Mod}_2(k_S^i, \sigma_i)$	$k_R \leftarrow p_S s_R + 2e'_R \pmod q$
$w_0, z_0, w_1, z_1 \leftarrow_{\$} \{0, 1\}^\kappa$	$\text{sk}_R \leftarrow \text{Mod}_2(k_R, \sigma_b)$
$\bar{\text{sk}}_S^i \leftarrow H_2(\text{sid} \text{sk}_S^i)$	$\bar{\text{sk}}_R \leftarrow H_2(\text{sid} \text{sk}_R)$
$a_i \leftarrow \text{SEnc}_\Delta(\bar{\text{sk}}_S^i, w_i; z_i)$	$x_b \leftarrow \text{SDec}_\Delta(\bar{\text{sk}}_R, a_b)$
$\bar{w}_i \leftarrow H_3(\text{sid} w_i)$	$\bar{x}_b \leftarrow H_3(\text{sid} x_b)$
$u_i \leftarrow \bar{w}_i \oplus (w_{1-i}  \bar{\text{sk}}_S^{1-i} _{z_{1-i}})$	$(x_{1-b}, \bar{\text{sk}}_R^{1-b}, y_{1-b}) \leftarrow u_b \oplus \bar{x}_b$
$ch \leftarrow H_4(\text{sid} w_0 w_1 z_0 z_1)$	$a_{1-b} \stackrel{?}{=} \text{SEnc}_\Delta(\bar{\text{sk}}_R^{1-b}, x_{1-b}; y_{1-b})$
	$\bar{x}_{1-b} \leftarrow H_3(\text{sid} x_{1-b})$
	$(x'_b, \bar{\text{sk}}_R^b, y_b) \leftarrow u_{1-b} \oplus \bar{x}_{1-b}$
	$x'_b \stackrel{?}{=} x_b, \quad \bar{\text{sk}}_R^b \stackrel{?}{=} \bar{\text{sk}}_R$
	$a_b \stackrel{?}{=} \text{SEnc}_\Delta(\bar{\text{sk}}_R^b, x_b; y_b)$
	$ch' \leftarrow H_4(\text{sid} x_0 x_1 y_0 y_1)$
$ch \stackrel{?}{=} ch'$	
$c_i \leftarrow \text{SEnc}_\Delta(\bar{\text{sk}}_S^i, M_i)$	$M_b \leftarrow \text{SDec}_\Delta(\text{sk}_R, c_b)$

In the protocol, S and R run the RLWE-based KE where R plays the role of Alice. Observe that R cannot play the role of Bob. More precisely, R cannot send a signal of its key, since, in this case, S would be able to tell if R has a secret  $s_R$  for  $p_R^0$  or for  $p_R^1$  [DRAC18], thus, revealing  $b$ .

The purpose of the challenge sent by the sender to the receiver in the second round is to force the receiver to ask the random oracle with the key it has, in order to perform the simulation when the receiver is corrupted. The simulation when the sender is corrupted is done by programming the random oracle, in order to extract both keys.

By the soundness of the RLWE key exchange, we have that  $\text{sk}_R$  will be equal to  $\text{sk}_S^b$ . Thus, the receiver will be able to decrypt the ciphertext  $c_b$  and recover the message  $M_b$ .

**A  $\binom{N}{1}$ -OT protocol.** The scheme can be easily extended to create a  $\binom{N}{1}$ -OT protocol: R sends  $p_R^0$  and also  $r_1, \dots, r_{N-1}$  in the first message. The challenge computed by S is  $H_4(\text{sid}, w_0, \dots, w_{N-1}, z_0, \dots, z_{N-1})$  and it sends  $a_0, \dots, a_{N-1}, u_0, \dots, u_{N-1}$  where

$$a_i \leftarrow \text{SEnc}_\Delta(\bar{\text{sk}}_S^i, w_i; z_i)$$

and

$$u_i \leftarrow \bar{w}_i \oplus (w_{i+1 \bmod N} | \bar{\text{sk}}_S^{i+1 \bmod N} | z_{i+1 \bmod N})$$

, for  $i = 0, \dots, N - 1$ .

**Sender's and receiver's privacy.** Note that the receiver is not able to get both messages. Since the hash value  $h$  is a uniformly chosen value, then  $p_R^{1-b}$  is also a uniformly chosen value. Without knowing the secret  $s$  such that  $p_R^{1-b} = ms + f \bmod q$  where  $f \leftarrow_s \chi$  (which may not even exist), the receiver is not able to compute the value  $k_R^{1-b} = p_S s + 2e'_R \bmod q$  that would allow it to find a key equal to  $\text{sk}_S^{1-b}$ . This follows immediately from the HNF-RLWE assumption and from the security of the RLWE-based key exchange. Moreover, the receiver gets to know  $\sigma_0$  and  $\sigma_1$ , where  $(p_S, \sigma_i)$  are two messages of the KE protocol, and while the receiver R has the secret key  $s_R$  for one of them, the other yields no information by the security of the KE (again, since it does not have the secret key for  $p_R^{1-b}$ ). Later, the receiver receives  $a_0, a_1, u_0, u_1$  from the sender from which it is only able to recover the hash of the secret keys. Since the hash functions are modeled as random oracles, the value  $\bar{\text{sk}}_R^{1-b}$  will not be correlated with the key  $\text{sk}_S^{1-b}$  which will be used to encrypt  $M_{1-b}$ . This can be proven using a simple hybrid argument and replacing the hash of  $\text{sk}_S^{1-b}$  by a uniformly chosen value. For these reasons, the receiver will not have any information on the secret key  $\text{sk}_S^{1-b}$ . Hence, it is infeasible for it to get the message  $M_{1-b}$ , given that  $\Delta$  is secure.

Also, we argue that it is infeasible for the sender to know the input  $b$  of R. Observe that the sender only receives  $p_R^0$  (from which it can derive  $p_R^1$ ) from the receiver, and a random value  $r$ . From the HNF-RLWE assumption, it follows that the sender has no information on which of these values,  $p_R^0$  or  $p_R^1$ , was computed using the receiver's secret  $s_R$  and which will allow it to compute the shared key. The sender S also receives  $ch'$ , which R can compute no matter its input  $b$ .

## 4 Security proof

The following theorem states the security of the scheme in the UC-framework.

**Theorem 4.** *The protocol  $\pi_{OT}$  UC-realizes  $\mathcal{F}_{OT}$  in the  $\mathcal{F}_{RO}$ -hybrid model against static malicious adversaries, given that the symmetric-key encryption scheme  $\Delta$  is secure.*

*Proof.* In order to prove the theorem stated above, we have to show that both the real-world execution of the protocol and the ideal-world execution of  $\mathcal{F}_{\text{OT}}$  are indistinguishable for any environment  $\mathcal{E}$ .

As usual, the communication with the environment  $\mathcal{E}$  can be simulated by  $\text{Sim}$  in the following way: whenever  $\text{Sim}$  receives a message from  $\mathcal{E}$ ,  $\text{Sim}$  forwards it to  $\mathcal{A}$ ; and whenever  $\mathcal{A}$  outputs some message,  $\text{Sim}$  outputs the same message that will be read by  $\mathcal{E}$ .

In the (trivial) cases where both the sender and the receiver are honest and controlled by the simulator  $\text{Sim}$  (the simulator just runs the protocol honestly) or when both of them are corrupted by an adversary  $\mathcal{A}$  (the simulator just runs internally the adversary which will generate messages from both the corrupted sender and the corrupted receiver) the executions are indistinguishable. We still have to consider the cases where only the receiver is corrupted and when only the sender is corrupted by an adversary. This is done by proving the existence of an ideal-world simulator  $\text{Sim}$  that mimics the behavior of any real-world adversary  $\mathcal{A}$  corrupting only one of the parties.

**Security when only the receiver  $R$  is corrupted by  $\mathcal{A} = \mathcal{A}(R)$ .** We start by proving security against a corrupted receiver. Given any real-world adversary  $\mathcal{A}$  corrupting  $R$  (denoted by  $\mathcal{A}(R)$ ), we have to construct a simulator  $\text{Sim}$  that is able to extract the inputs of  $\mathcal{A}(R)$ . In this case,  $\text{Sim}$  must be able to extract the bit  $b$  representing the message that  $R$  wants to receive. After knowing  $b$ ,  $\text{Sim}$  will be able to send it to the ideal functionality.  $\text{Sim}$  will then receive a message  $M_b$  and proceeds the interaction with the real-world adversary sending the message  $M_b$  and some other randomly chosen message, in order to complete the protocol. In the end, both executions will be indistinguishable from the point-of-view of the environment.

Given a real-world adversary  $\mathcal{A}(R)$ , we describe how the simulator  $\text{Sim}$  works. The adversary can make queries to the random oracles  $H_1, H_2, H_3$  and  $H_4$ , here simulated by  $\text{Sim}$ .

*Simulation of  $H_1, H_2, H_3$  and  $H_4$ :* In this case, when  $\mathcal{A}(R)$  queries any of these random oracles with  $(\text{sid}|t)$ ,  $\text{Sim}$  answers as the random oracles would do, returning a value  $h$  chosen uniformly at random. It keeps the pair  $(t, h)$  of query and respective answer in a list.

At some point,  $\text{Sim}$  receives  $(\text{sid}, p_R^0, r)$  from  $\mathcal{A}(R)$ .  $\text{Sim}$  computes  $k_S^i, \sigma_i$  and the keys  $\text{sk}_S^i \leftarrow \text{Mod}_2(k_S^i, \sigma_i)$ , for  $i = 0, 1$ . It proceeds as the honest sender would do and sends  $(\text{sid}, p_S, \sigma_0, \sigma_1, a_0, a_1, u_0, u_1)$  to the adversary.

After sending these values to the adversary,  $\text{Sim}$  sets  $b = \perp$ . When  $\text{sk}_S^{\bar{b}}$  is asked to the random oracle  $H_2$  by  $\mathcal{A}(R)$ , it sets  $b = \bar{b}$ . If  $w_{1-b}$  is asked to the random oracle  $H_3$  before  $w_b$ , then  $\text{Sim}$  aborts the execution. If  $k_S^{1-b}$  is asked to  $H_2$ , then it also aborts the execution. At some point,  $\text{Sim}$  receives  $ch'$  from  $\mathcal{A}(R)$ . It checks if  $ch = ch'$ : if the test fails, it aborts as the honest  $S$  would do. Otherwise, it sends  $(\text{sid}|b)$  to the ideal functionality  $\mathcal{F}_{\text{OT}}$ , which returns back  $M_b$ .

Sim computes  $c_b \leftarrow \text{SEnc}_\Delta(\text{sk}_S^b, M_b)$  and  $c_{1-b} \leftarrow \text{SEnc}_\Delta(\text{sk}_S^{1-b}, 0^\lambda)$  where  $\lambda$  is the size of  $M_b$ . Finally, it sends  $c_0$  and  $c_1$  to  $\mathcal{A}(\text{R})$ , waits for it to halt and halts.

Both the real-world and the ideal-world executions are indistinguishable, except when the simulator Sim aborts when it is not supposed to do it. From the specification of Sim, we conclude that it aborts if the key  $k_S^{1-b}$  is asked to the random oracle by the adversary, which by the security of the RLWE key exchange scheme used has negligible probability of happening; or if  $w_{1-b}$  is asked to the random oracle  $\text{H}_3$  before  $w_b$ , which happens also with negligible probability on the security parameter  $\kappa$ ; or if none of the keys  $k_S^0$  and  $k_S^1$  are queried to the random oracle, but in this case, the receiver will fail to compute the right  $ch'$ , except with negligible probability. We conclude that

$$\text{IDEAL}_{\mathcal{F}_{\text{OT}}, \text{Sim}, \mathcal{E}} \approx \text{EXEC}_{\pi_{\text{OT}}, \mathcal{A}(\text{R}), \mathcal{E}}^{\mathcal{F}_{\text{RO}}}$$

for any  $\mathcal{A}$  corrupting only R, that is, both executions are indistinguishable.

**Security when only the receiver S is corrupted by  $\mathcal{A} = \mathcal{A}(\text{S})$ .** When the real-world adversary is corrupting S, we have to construct a simulator (controlling the dummy receiver) that is able to extract the adversary's input, that is, the simulator must be able to extract the input of the corrupted sender, which are the messages  $M_0$  and  $M_1$ .

The simulator proceeds as follows. It starts by choosing  $s_R^0, e_R^0 \leftarrow_{\$} \chi$  and computes  $p_R^0 \leftarrow ms_R^0 + 2e_R^0 \pmod q$  and, as the honest R would do, it samples  $r \leftarrow_{\$} \{0, 1\}^\kappa$  and sends  $(\text{sid}, p_R^0, r)$  to  $\mathcal{A}(\text{S})$ . Moreover, the simulator chooses  $s_R^1, e_R^1 \leftarrow_{\$} \chi$  and computes another key  $p_R^1 \leftarrow ms_R^1 + 2e_R^1 \pmod q$ .

The adversary can make queries to the random oracles  $\text{H}_1, \text{H}_2, \text{H}_3$  and  $\text{H}_4$ . We specify how the simulator simulates each of these random oracles.

*Simulation of  $\text{H}_2, \text{H}_3$  and  $\text{H}_4$ :* Whenever  $\mathcal{A}(\text{S})$  queries  $\text{H}_2, \text{H}_3$  or  $\text{H}_4$  with a query  $(\text{sid}|t)$ , the simulator returns a value  $h$  as the ideal functionality would do and keeps  $(t, h)$  on a list of queries and respective answers.

*Simulation of  $\text{H}_1$ :* Whenever the adversary queries  $\text{H}_1$  with  $(\text{sid}|r)$ , the simulator answers with  $h = p_R^1 - p_R^0$ , in all other cases it returns  $h$  as the ideal functionality would do, and again keeps  $(t, h)$  on a list of queries and respective answers. Note that the value  $h$  for the query  $(\text{sid}, r)$  is computationally indistinguishable from a uniformly chosen value since  $p_R^1 - p_R^0$  is indistinguishable from random given that the HNF-RLWE assumption holds. Consequently, the probability that the environment distinguishes both the real and the simulated execution of the random oracle  $\text{H}_1$  is negligible.

At some point, Sim receives  $(\text{sid}, p_S, \sigma_0, \sigma_1, a_0, a_1, u_0, u_1)$  from  $\mathcal{A}(\text{S})$ . Note that, from the information  $(p_S, \sigma_0, \sigma_1)$ , the simulator can compute both

$$k_R^0 \leftarrow p_S s_R^0 + 2e'_R \pmod q \quad \text{and} \quad k_R^1 \leftarrow p_S s_R^1 + 2e'_R \pmod q$$

and the keys

$$\text{sk}_R \leftarrow \text{Mod}_2(k_R^0, \sigma_0) \quad \text{and} \quad \text{sk}_R \leftarrow \text{Mod}_2(k_R^1, \sigma_1)$$

that should be equal to the keys computed by  $\mathcal{A}(S)$ . Sim proceeds as the honest R would do and sends  $ch'$  to the  $\mathcal{A}(S)$ .

$\mathcal{A}(S)$  sends  $c_0$  and  $c_1$  to the dummy R. Since Sim has both keys, it can compute

$$M_0 \leftarrow \text{SDec}_\Delta(\text{sk}_R^0, c_0) \quad \text{and} \quad M_1 \leftarrow \text{SDec}_\Delta(\text{sk}_R^1, c_1).$$

It sends both  $(\text{sid}|M_0|M_1)$  to the ideal functionality  $\mathcal{F}_{\text{OT}}$ .

Finally, upon receiving a message  $(\text{sid}|receipt)$  from the ideal functionality, Sim waits for the adversary to halt and halts.

We have to show that both the ideal-world and the real-world executions are indistinguishable from the point-of-view of any environment. The ideal-world simulation just differs from the real-world execution in the outputs of the random oracle  $H_1$ . As mentioned above, it is infeasible for an adversary (or environment) to distinguish the value  $h$  outputted by  $H_1$  when queried on  $r$  from a truly execution of the random oracle, by the HNF-RLWE assumption. Hence, we conclude that

$$\text{IDEAL}_{\mathcal{F}_{\text{OT}}, \text{Sim}, \mathcal{E}} \approx \text{EXEC}_{\pi_{\text{OT}}, \mathcal{A}(S), \mathcal{E}}^{\mathcal{F}_{\text{RO}}}$$

for any adversary  $\mathcal{A}$  corrupting  $S$ . □

## 5 Efficiency

In this section, we analyze the communication and computational complexity of the OT scheme presented in this paper. We also compare it with other post-quantum UC-secure protocols. Finally, we propose some optimizations that should be considered when implementing the protocol in real-life.

Let  $\lambda$  be the length of the messages that  $S$  wants to obviously send to  $R$ ,  $\Delta$  be the usual one-time pad and  $\kappa$  be the security parameter. Note that the most expensive part of our protocol is the key exchange since all the other operations (sum modulo  $q$ , sum modulo 2 and concatenation) are linear on the number of bits.

Recall that the communication complexity of the RLWE-based KE is  $2\mu \log q + \mu$  and that it requires four multiplications in the ring  $R_q$  where  $\mu$  is the length of the key exchanged [DXL12]. Since we are using one-time pad  $\mu = \lambda$ .

**Communication Complexity.** The first two rounds of the OT protocol are almost the same as the RLWE-based KE. Hence, the communication complexity of the OT protocol is  $2\lambda \log q + \lambda$  (corresponding to the execution of the RLWE-based KE) plus  $\kappa$  (corresponding to the length of  $r$ ) plus  $8\kappa$  bits of information,

where  $2\kappa$  bits corresponds to  $(a_0, a_1)$  and  $2(3\kappa)$  bits to  $(u_0, u_1)$ .<sup>1</sup> This results in  $2\lambda \log q + \lambda + 9\kappa$  bits of information. The third message exchanged is just a  $\kappa$ -bit message, which is the answer to the challenge. Finally, the fourth message, composed by two ciphertexts  $(c_0, c_1)$ , requires  $2\lambda$  bits of information. So in total, our scheme requires  $2\lambda \log q + 3\lambda + 10\kappa$  bits of information to be exchanged.

**Computational complexity.** The scheme just requires five multiplications in the ring  $R_q$  and six samplings from the error distribution  $\chi$ , the same as in the RLWE-based KE. Therefore, our scheme is very efficient in terms of computational complexity, requiring only these operations to obliviously transfer a  $\lambda$ -bit message.

Table 1: Number of rounds, communication complexity and computation complexity for obliviously transmitting a  $\lambda$ -bit message using  $\pi_{OT}$ , with security parameter  $\kappa$ . Computational complexity is expressed in “number of multiplications in the ring  $R_q$ ”+“number of samplings from the distribution  $\chi$ ”.

N. of rounds	Communication complexity	Computation complexity
4	$2\lambda \log q + 3\lambda + 10\kappa$	5 + 6

**Comparison.** The scheme of Peikert *et al.* [PVW08] (and the one in [BPRS17]) can be implemented using a dual-mode version of the LWE public-key encryption scheme [Reg05] and it is not known if it can be instantiated with a version of the RLWE encryption scheme. In fact, it is stated as an open problem in [LKHB17] to find a dual-mode RLWE encryption scheme. The dual-mode LWE public-key encryption scheme used in the OT requires a public key of size  $\mathcal{O}(n^2)$  and the encryption takes  $\mathcal{O}(n \log n)$  operations, for a single bit message [PVW08], where  $n$  depends on the security parameter. The framework requires a key generation, two encryptions and a decryption. To transfer a  $\lambda$ -bit message, this has to be repeated  $\lambda$  times. Let us assume that  $\lambda \approx n$ .<sup>2</sup>

In terms of the communication complexity, our scheme has two more rounds than [PVW08]. However, the communication complexity of our scheme is linear in  $\lambda$  and, thus, it requires less information to be exchanged asymptotically. Note that, in the scheme of [PVW08], a public key needs to be sent from the receiver to the sender requiring  $\mathcal{O}(\lambda^2)$  bits of information to be exchanged, just for a single bit to be transferred. For a  $\lambda$ -bit message to be transferred, the scheme of [PVW08] needs  $\mathcal{O}(\lambda^3)$  bits of information to be exchanged, just in the first round.

<sup>1</sup>Here, we just need a  $\kappa$ -bit secret key to use the one-time pad on a  $\kappa$ -bit message.

<sup>2</sup>Usually, the objective of OT is to exchange a key in order to perform multi-party computation [Yao86]. By [Alb17], we conclude that  $n$  should be around 1024, and, thus, let us suppose that the message being obliviously sent is of the same size. The same assumption was done while analyzing the efficiency of our scheme.



Regarding the computational cost, their scheme requires the generation of one pair of public and secret keys of the LWE public-key encryption scheme, the encryption of two messages and the decryption of one ciphertext. It is well known that primitives based on the RLWE assumption are faster and require less memory than the ones based on the LWE assumption. This fact is also reflected here.<sup>3</sup> The computational cost of the scheme of [PVW08] is  $\mathcal{O}(\lambda^3)$ , corresponding to the key generation, plus two encryptions, which cost  $\mathcal{O}(\lambda^2 \log^2 \lambda)$  operations. Whereas, our scheme just requires five multiplications in the ring  $R_q$ , which, using Fast Fourier Transform, takes  $\mathcal{O}(\lambda \log q)$  operations for polynomials of degree  $\lambda$ . Therefore, although our scheme has two more rounds than the one in [PVW08], the operations of our scheme are faster and the size of the messages exchanged are shorter, resulting in an overall asymptotically more efficient procedure.

We also would like to remark that the scheme in [PVW08] is proven to be secure in the Common Reference String (CRS) model. Of course, this raises the question on how to create the common reference string in practice. Either we delegate this operation to a (trusted) third party or we use some multi-party computation procedure to create the common reference string, which would be too inefficient. Our scheme relies on random oracles that can be instantiated using cryptographic hash functions in practice and, thus, it should be very easy and efficient to implement.

It seems that it is not possible to instantiate the scheme of Barreto *et al.* [BDD<sup>+</sup>17] using LWE or the RLWE public-key encryption scheme since a group structure is required in the set of public keys [BDD<sup>+</sup>17, Property 2.2]. However, the set of LWE and RLWE public keys does not have a group structure for any operation. For example, if we had two different samples of the RLWE distribution, the sum will not be a RLWE sample. Thus, the conditions presented in [BDD<sup>+</sup>17] discard the use of LWE or RLWE public-key encryption schemes in their framework.

**Possible optimizations.** Some steps and procedures of the protocol may be optimized in practice. For instance, the polynomial  $m$  can be the same in multiple iterations of the protocol.<sup>4</sup> The value  $p_5$  sent by the sender in the first round can be used in several iterations of the protocol, taking into account that security may be compromised, when used too many times [Flu16, DAS<sup>+</sup>17, DFR18].

Another possible optimization is to use a more practical symmetric-key encryption scheme than the one-time pad. Indeed, AES can be computed using specialized hardware which makes it extremely fast comparing to public-key encryption schemes. It also allows smaller keys, which removes the practical issues associated with the use of one-time pad.

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<sup>3</sup>An analysis of the number of operations of LWE encryption scheme and RLWE Ding's KE is presented in [DXL12]

<sup>4</sup>In fact, it is suggested in [DXL12] that  $m$  is chosen by some trusted institution (e.g. NIST) and used as a standard.

## 6 Conclusion

To the best of our knowledge, we present the first ever universally composable OT protocol whose security is based on the RLWE assumption. Its simplicity and the fact that it is based on the RLWE problem allows for very efficient computational and communication complexity. For these reasons, we believe that it is a solid candidate to be employed in post-quantum secure applications. We also proved UC-security for the proposed protocol, which means that it can be composed arbitrarily with the same or other protocols.

In real-life, the protocol should be quite efficient. We suggest to use the NIST standard SHA to model random oracles. Regarding encryption, one may use AES (which is also the current NIST standard) instead of the one-time pad, which leads to a much smaller key size. These standards are chosen considering the fact that they should be easily and efficiently implemented in hardware, leading to extremely fast executions. Moreover, attacks to break these protocols using a quantum computer are not known. The implementation in hardware of our protocol and comparison with other OT protocols are left as future work.

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