

OAEP++ : A Very Simple Way to Apply OAEP to Deterministic OW-CPA Primitives

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Abstract. We prove in the random oracle model that OAEP++, which was proposed by us at the rump session of Asiacrypt 2000, can generate IND-CCA2 ciphers using deterministic OW-CPA cryptographic primitives. Note that OAEP++ differs from OAEP⁺⁺ proposed by Jonsson in [4]. While OAEP⁺⁺ requires a non-malleable block cipher, OAEP++ does not require such additional functions. The security reduction of OAEP++ is as tight as that of OAEP⁺⁺.

Keywords random oracle model, provable security, OAEP, IND-CCA2, OW-CPA

1 Introduction

In [6], Shoup showed that OAEP [2] is not a sufficient conversion, even in the random oracle model [1], to generate an IND-CCA2 cipher from a deterministic cryptographic primitive satisfying OW-CPA. Currently, it is known that PDOW-CPA (Partial-Domain One-Wayness against CPA) is a sufficient condition for OAEP to generate an IND-CCA2 cipher from a deterministic cryptographic primitive in the random oracle model [3].

Since PDOW-CPA is a stronger assumption¹ than OW-CPA, it is worthwhile to loosen the assumption to OW-CPA with small costs. A couple of solutions have already obtained by modifying OAEP slightly. One is OAEP+ proposed by Shoup [6]. Another is OAEP⁺⁺ proposed by Jonsson [4]. The other is OAEP++ [5]. The advantages of OAEP++ are: (1) It does not require any additional functions such as non-malleable block ciphers. (2) It can encrypt any long message. (3) The security reduction is as tight as that of OAEP⁺⁺.

In this paper, we give a security proof that OAEP++ can generate IND-CCA2 ciphers in the random oracle model using deterministic OW-CPA primitives.

¹ In some primitives, such as RSA, the gap between PDOW-CPA and OW-CPA is small [3].

2 Notations

We use the following notations in this paper:

- $Prep(m)$: Preprocessing to a message m , such as data-compression, data-padding and so on. Its inverse is represented as $Prep^{-1}()$.
- $Hash(x)$: One-way hash function of an arbitrary length binary string x to a fixed length binary string.
- $Gen(x)$: Generator of a cryptographically secure pseudo random sequences of arbitrary length from a fixed length seed x .
- $Len(x)$: Bit-length of x .
- $Msb_{x_1}(x_2)$: The left x_1 bits of x_2 .
- $Lsb_{x_1}(x_2)$: The right x_1 bits of x_2 .
- $Const$: Predetermined public constant.
- $Rand$: Random source which generates a truly random (or computationally indistinguishable pseudo random) sequence.
- $\mathcal{E}(x)$: Encryption of x using a deterministic OW-CPA primitive function.
- $\mathcal{D}(x)$: Decryption of x using a deterministic OW-CPA primitive function.
- k_1 : Bit-length of the full domain input of $\mathcal{E}(x)$.
- k'_1 : Bit-length of the output of $\mathcal{D}(x)$.

3 OAEP++ Conversion

OAEP++ is a slightly extended version of OAEP, proposed in [5] to fix the bug in OAEP. The description of OAEP++ is given in Fig. 1. When $k_1 = Len(y_1||y_2)$, i.e. $Len(y_4) = 0$, it is equivalent to OAEP. Thus IND-CCA2 is satisfied in the random oracle model under the assumption of PDOW-CPA of $\mathcal{E}()$ [3]. Even when $Len(y_1||y_2) > k_1 > Len(y_1)$, IND-CCA2 is satisfied under the same assumption as PDOW-CPA since we can see that the underlying primitive function $\mathcal{E}'()$ takes $(y_3||y_4)$ as its input and then outputs $(\mathcal{E}(y_3)||y_4)$.

When $k_1 \leq Len(y_1)$, IND-CCA2 is satisfied under the assumption of OW-CPA. Note that one can always satisfy $k_1 \leq Len(y_1)$ by increasing $Len(y_1)$, i.e. by increasing either $Len(Const)$ or $Len(\bar{m})$. The corresponding security proof is given in the next section.

4 Security Proof

The following theorem holds on the OAEP++:

Theorem 1 *To break the indistinguishability of encryption of OAEP++ using CCA2 is polynomial equivalent, in the random oracle model, to break OW-CPA of the underlying deterministic function when $k_1 \leq Len(y_1)$ holds.*

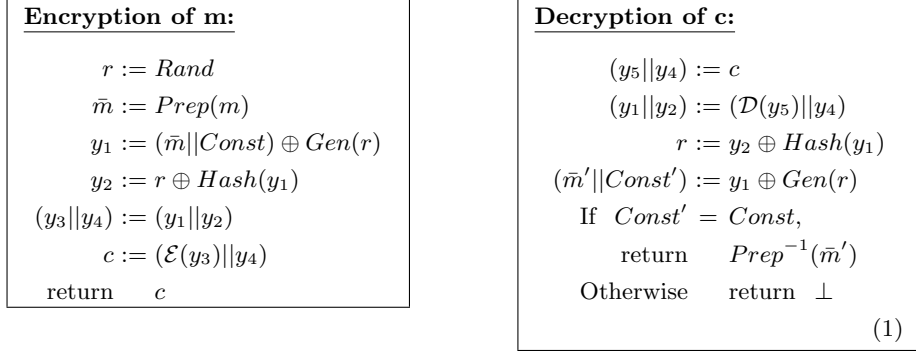


Fig. 1. OAEP++ with a deterministic encryption function where $Len(y_2) = Len(r)$, $Len(y_3) = k_1$, $Len(y_5) = k'_1$ and \perp means the given cipher is invalid.

This theorem can be proven by showing Lemma 3 is true. Before we show it, we describe the one-wayness, the indistinguishability of encryption, random oracles, and Lemma 2, respectively.

4.1 One-Wayness

In the notion of the one-wayness, an adversary \mathcal{A} is given a ciphertext of a random plaintext, and then tries to find the whole preimage of the ciphertext. If \mathcal{A} only has access to encryption oracles, experiment is in the adaptive-chosen-plaintext scenario.

4.2 Indistinguishability of Encryption

In the notion of the indistinguishability of encryption, an adversary \mathcal{A} selects two distinct plaintexts m_0 and m_1 of the same length in the find stage, and then, in the guess stage, \mathcal{A} is given c which is the encryption of m_b where b is either 0 or 1 with the probability of $1/2$. Then \mathcal{A} tries to guess b . The advantage of \mathcal{A} is defined by $2Pr(\text{Win}) - 1$ where $Pr(\text{Win})$ denotes the expected probability of \mathcal{A} guessing b correctly. If \mathcal{A} has a decryption oracle D (which rejects invalid ciphertexts or decrypts any other valid ones than the target one c), it is called that this experiment is in the adaptive-chosen-ciphertext scenario. Otherwise, if \mathcal{A} does not have it, it is called that this experiment is in the adaptive-chosen-plaintext scenario.

4.3 Random Oracle

A random oracle is an ideal hash or an ideal generator which returns truly random numbers distributed uniformly over the output region for a new query, but it returns the same value for the same query. On such random oracles, the following lemma is true.

Lemma 1 *Suppose that f is a random oracle. Then it is impossible to get any significant information on $f(x)$ without asking x to the oracle, even if one knows all the other input-output pairs of f except x .*

It is obvious that Lemma 1 is true since the output value of f is determined truly at random.

4.4 Adaptive-Chosen-Ciphertext Security

Lemma 2 (Adaptive-Chosen-Plaintext Security) *Suppose that there exists, for any $Hash$ and any Gen , an algorithm \mathcal{A} which accepts m_0, m_1 and c of conversion $OAEP++$ where c is the ciphertext of m_b and $b \in \{0, 1\}$, asks at most q_G queries to Gen , asks at most q_H queries to $Hash$, runs in at most τ steps and guesses b with advantage of ϵ . Then one can design an algorithm \mathcal{B} which accepts a ciphertext \bar{c} of the primitive PKC, runs in τ' steps and decrypts it with probability ϵ' where*

$$\begin{aligned}\epsilon' &\geq \epsilon - \frac{q_G}{2^{Len(r)}}, \\ \tau' &= \tau + Poly(n, q_G, q_H)\end{aligned}$$

and $Poly(n, q_G, q_H)$ denotes a polynomial of n, q_G and q_H .

Proof.

The algorithm \mathcal{B} can be constructed as follows. First the algorithm \mathcal{B} simulates both Gen and $Hash$ referred by the algorithm \mathcal{A} . From the assumption of \mathcal{A} in Lemma 2, \mathcal{A} must be able to distinguish b with the advantage of ϵ for any Gen and any $Hash$ as long as the algorithm \mathcal{B} simulates them correctly.

\mathcal{B} begins by initializing two lists, G-list and H-list, to empty. These G-list and H-list are the tables of inputs and the corresponding outputs for describing Gen and $Hash$, respectively. It runs \mathcal{A} as the find-stage mode simulating \mathcal{A} 's oracles as follows. When \mathcal{A} makes an oracle call h of $Hash$, \mathcal{B} provides it with a random string H , and adds h and H to the H-list. Similarly when \mathcal{A} makes an oracle call g of Gen , \mathcal{B} provides it with a random string G , and adds g and G to the G-list. Let (m_0, m_1) be the output of \mathcal{A} .

Let $y_4 = (y_5 || y_2)$, i.e. $(y_1 || y_2) = (y_3 || y_5 || y_2)$. \mathcal{B} chooses $b \in \{0, 1\}$, r and $(y_5 || y_2)$ at random, and then defines both $Hash$ and Gen so that the ciphertext of m_b should be $(\bar{c} || y_5 || y_2)$ where \bar{c} is a ciphertext of the primitive PKC which \mathcal{B} wants to decrypt. That is,

$$Gen(r) \stackrel{\text{def}}{=} (Prep(m_b) || Const) \oplus (y_3 || y_5) \quad (2)$$

$$Hash(y_3 || y_5) \stackrel{\text{def}}{=} y_2 \oplus r. \quad (3)$$

and \mathcal{B} adds r and $(Prep(m_b) || Const) \oplus (y_3 || y_5)$ to the G-list, and $(y_3 || y_5)$ and $y_2 \oplus r$ to the H-list. \mathcal{B} runs \mathcal{A} as the guess-stage mode. For these Gen and $Hash$,

\mathcal{A} must be able to distinguish b with the advantage of ϵ from the assumption in Lemma 2 as long as \mathcal{B} simulates them correctly.²

Can \mathcal{B} simulate them correctly for any queries? The answer is “no” since \mathcal{B} does not know y_3 , and thus \mathcal{B} cannot simulate Gen correctly when r is asked to it. We consider the following two events:

- **AskH** denotes the event that $(y_3||*)$ is asked to $Hash$ among the q_H queries to $Hash$ and that this query is performed before r is asked to Gen where $*$ denotes any string.
- **AskG** denotes the event that r is asked to Gen among the q_G queries to Gen and that this query is performed before $(y_3||*)$ is asked to $Hash$.

Since $Pr(\text{AskG} \wedge \text{AskH}) = 0$ in the above definition, the following holds

$$\begin{aligned} Pr(\text{AskG} \vee \text{AskH}) \\ = Pr(\text{AskG}) + Pr(\text{AskH}). \end{aligned} \quad (4)$$

Next, we estimate the upper-limit of $Pr(\text{Win})$, the probability of \mathcal{A} guessing b correctly. Since the mapping from $(r||Prep(m_b)||Const)$ to $(y_3||y_5||y_2)$ is bijective defined by Gen and $Hash$ where Lemma 1 holds, one cannot get any information on the connectivity between $(y_3||y_5||y_2)$ and $(r||Prep(m_b)||Const)$ without asking r to Gen or asking $(y_3||y_5)$ to $Hash$. That is, one cannot guess b with a significant probability after the event $(\neg\text{AskG} \wedge \neg\text{AskH})$. After the other event, i.e. after the event $(\text{AskG} \vee \text{AskH})$, \mathcal{A} might guess b with more significant probability. By assuming this probability to be 1, the upper-limit of $Pr(\text{Win})$ is obtained as follows:

$$\begin{aligned} Pr(\text{Win}) &\leq Pr(\text{AskG} \vee \text{AskH}) \\ &\quad + \frac{(1 - Pr(\text{AskG} \vee \text{AskH}))}{2} \\ &\leq \frac{Pr(\text{AskG} \vee \text{AskH}) + 1}{2}. \end{aligned} \quad (5)$$

From the definition of advantage, i.e. $Pr(\text{Win}) = (\epsilon + 1)/2$, the following relationship holds

$$Pr(\text{AskG} \vee \text{AskH}) \geq \epsilon. \quad (6)$$

Since r is chosen at random by \mathcal{B} , \mathcal{A} cannot know it (without asking $(y_3||y_5)$ to $Hash$). Thus the probability of one query to Gen accidentally being r is $1/2^{Len(r)}$, and then that of at most q_G queries is given by

$$\begin{aligned} Pr(\text{AskG}) \\ \leq 1 - \left(1 - \frac{1}{2^{Len(r)}}\right)^{q_G} \leq \frac{q_G}{2^{Len(r)}}. \end{aligned} \quad (7)$$

² If \mathcal{A} distinguishes b only for certain combinations of $Hash$ and Gen , then the fault must be in either Gen or $Hash$, or in both. This implies this fault can be easily removed just avoiding these combinations of Gen and $Hash$. Otherwise, i.e. if \mathcal{A} distinguishes b for any $Hash$ and any Gen , the fault must be in the conversion structure itself.

The algorithm \mathcal{B} can simulate both Gen and $Hash$ correctly unless the event $AskG$ happens. And then, after the event $AskH$, \mathcal{B} can recover the whole plaintext of the target ciphertext \bar{c} of the primitive PKC. From (4), (6) and (7), the lower-limit of this probability is given by

$$\begin{aligned}
& Pr(\neg AskG \wedge AskH) \\
&= Pr(AskH) \\
&= Pr(AskG \vee AskH) - Pr(AskG) \\
&\geq \epsilon - \frac{q_G}{2^{Len(r)}}. \tag{8}
\end{aligned}$$

The number of steps of \mathcal{B} is at most $\tau + (T_{Enc} + T_H) \cdot q_H + T_G \cdot q_G$ where T_G is both for checking whether a query to Gen is new or not and for returning the corresponding value, and then T_H is that of $Hash$. T_{Enc} is the number of steps for checking whether a new query h_j to $Hash$ satisfies the event $AskH$ by checking whether the Hamming weight of $z := \bar{c} \oplus Lsb_k(h_j)G'$ is τ or not. Since these parameters, T_{Enc} , T_G and T_H can be written in a polynomial of n , q_G and q_H , the total number of steps of \mathcal{B} is also written in a polynomial of them. \square

Lemma 3 (Adaptive-Chosen-Ciphertext Security) *Suppose that there exists, for any $Hash$ and Gen , an algorithm \mathcal{A} which accepts m_0 , m_1 and c of $OAEP++$, asks at most q_G queries to Gen , asks at most q_H queries to $Hash$, asks at most q_D queries to a decryption oracle D , runs in at most τ steps and guesses b with advantage of ϵ . Then one can design an algorithm \mathcal{B} which accepts a ciphertext \bar{c} of the primitive PKC, runs in τ' steps and decrypts it with probability ϵ' where*

$$\begin{aligned}
\epsilon' &\geq \epsilon - \frac{q_G}{2^{Len(r)}} - \frac{q_D(q_G + 1)}{2^{Len(r)}} - \frac{q_D}{2^{Len(Const)}}, \\
\tau' &= \tau + Poly(n, q_G, q_H, q_D)
\end{aligned}$$

and $Poly(n, q_G, q_H, q_D)$ denotes a polynomial of n , q_G , q_H and q_D .

Proof.

From the assumption of \mathcal{A} in Lemma 3, \mathcal{A} must be able to distinguish the given ciphertext with advantage of ϵ as long as \mathcal{B} simulates them correctly. How to simulate both Gen and $Hash$ is the same as in the proof of Lemma 2. The decryption oracle D can be simulated using the following plaintext-extractor. It accepts a ciphertext, say $(\bar{c}' || y'_3 || y'_2)$, and then either outputs the corresponding plaintext or rejects it as an invalid ciphertext.

It works as follows. Let g_i and G_i denote the i -th pair of query and its answer for Gen . And then let h_j and H_j denote the j -th pair of query and its answer for $Hash$. From the queries and the answers obtained while simulating Gen and $Hash$, the plaintext-extractor finds y'_3 satisfying below:

$$y'_3 = Msb_{k_1}(h_j) \tag{9}$$

$$\bar{c}' = \mathcal{E}(y'_3) \tag{10}$$

If found, it evaluates $H' := Hash(y'_3||y'_5)$, $G' := Gen(H' \oplus y'_2)$ and then checks whether $Lsb_{Len(Const)}(G' \oplus y'_2) \oplus (y'_3||y'_5) = Const$. If so it outputs $Msb_{Len(m')}(G' \oplus y'_2) \oplus (y'_3||y'_5)$. Otherwise, it rejects $(\bar{c}'||y'_5||y'_2)$.

If \mathcal{A} asks a valid ciphertext to D without asking $(y'_3||*)$ to $Hash$, it rejects the valid ciphertext, and therefore does not simulate D correctly. However it is a small chance for \mathcal{A} to generate it without asking it. Since the definition of “valid” is to satisfy

$$\begin{aligned} & Lsb_{Len(Const)}(Gen(Hash(y'_3||y'_5) \oplus y'_2)) \\ &= Const \oplus Lsb_{Len(Const)}(y'_3||y'_5) \end{aligned} \quad (11)$$

and, from Lemma 1, it is impossible for \mathcal{A} to know whether (11) is true or not without asking $(y'_3||y'_5)$ to $Hash$.

We evaluate the possibility that one ciphertext $c' = (\bar{c}'||y'_5||y'_2)$ can be valid without asking $(y'_3||y'_5)$ to $Hash$. We consider the following events

- **AskG'** denotes the event that $(Hash(y'_3||y'_5) \oplus y'_2)$ is asked to Gen among at most q_G queries from \mathcal{A} .
- **AskH'** denotes the event that $(y'_3||*)$ is asked to $Hash$ among at most q_H queries from \mathcal{A} .
- **ValidR1** denotes the event that the given ciphertext satisfies

$$\begin{aligned} & Hash(y'_3||y'_5) \oplus y'_2 \\ &= Hash(y_3||y_5) \oplus y_2, \end{aligned} \quad (12)$$

$$\begin{aligned} & Lsb_{Len(Const)}(y'_3||y'_5) \\ &= Lsb_{Len(Const)}(y_3||y_5), \end{aligned} \quad (13)$$

$$\begin{aligned} & (y'_2, Msb_{Len(m')}(y'_3||y'_5)) \\ &\neq (y_2, Msb_{Len(Prep(m_b))}(y_3||y_5)) \end{aligned} \quad (14)$$

and thus (11) where y_2 , y_3 and y_5 are variables of a valid challenge ciphertext satisfying (11).

- **ValidC1** denotes the event that the given ciphertext satisfies both (11) and

$$Hash(y'_3||y'_5) \oplus y'_2 \neq Hash(y_3||y_5) \oplus y_2. \quad (15)$$

- **Valid1** denotes the event that the given ciphertext satisfies (11). Note that

$$\begin{aligned} & Pr(\text{Valid1}) \\ &= Pr(\text{ValidR1} \vee \text{ValidC1}) \\ &= Pr(\text{ValidR1}) + Pr(\text{ValidC1}|\neg\text{ValidR1}) \\ &\quad \cdot Pr(\neg\text{ValidR1}). \end{aligned} \quad (16)$$

- **Fail1** denotes the event that the above plaintext-extractor outputs a wrong answer against one given ciphertext to D .

Since it does not return any plaintext from an invalid ciphertext, and also it returns the correct answer after the events AskH'. Thus

$$\begin{aligned}
Pr(\text{Fail1}) &= Pr(\text{Valid1}|\text{AskG}' \wedge \neg\text{AskH}') \\
&\quad \cdot Pr(\text{AskG}' \wedge \neg\text{AskH}') \\
&\quad + Pr(\text{Valid1}|\neg\text{AskG}' \wedge \neg\text{AskH}') \\
&\quad \cdot Pr(\neg\text{AskG}' \wedge \neg\text{AskH}')
\end{aligned} \tag{17}$$

where

$$Pr(\text{AskG}' \wedge \neg\text{AskH}') \leq \frac{q_G}{2^{\text{Len}(r')}} \tag{18}$$

$$Pr(\text{Valid1}|\text{AskG}' \wedge \neg\text{AskH}') \leq 1 \tag{19}$$

$$Pr(\neg\text{AskG}' \wedge \neg\text{AskH}') \leq 1 \tag{20}$$

and

$$\begin{aligned}
&Pr(\text{Valid1}|\neg\text{AskG}' \wedge \neg\text{AskH}') \\
&= Pr(\text{ValidR1}|\neg\text{AskG}' \wedge \neg\text{AskH}') \\
&\quad + Pr(\text{ValidC1}|\neg\text{ValidR1} \wedge \neg\text{AskG}' \wedge \neg\text{AskH}') \\
&\quad \cdot Pr(\neg\text{ValidR1}|\neg\text{AskG}' \wedge \neg\text{AskH}').
\end{aligned} \tag{21}$$

Since $Pr(\text{ValidR1}|\neg\text{AskG}' \wedge \neg\text{AskH}') = \frac{1}{2^{\text{Len}(r')}}$ and $Pr(\text{ValidC1}|\neg\text{ValidR1} \wedge \neg\text{AskG}' \wedge \neg\text{AskH}') = \frac{1}{2^{\text{Len}(Const)}}$, the upper-limit of $Pr(\text{Fail1})$ is given by

$$Pr(\text{Fail1}) \leq \frac{q_G + 1}{2^{\text{Len}(r')}} + \frac{1}{2^{\text{Len}(Const)}}. \tag{22}$$

Next, we consider the following event Fail where

- **Fail** denotes the event that the above plaintext-extractor outputs at least one wrong answer against at most q_D queries to D .

The upper-limit of $Pr(\text{Fail})$ is given by

$$\begin{aligned}
Pr(\text{Fail}) &\leq 1 - (1 - Pr(\text{Fail1}))^{q_D} \\
&\leq \frac{q_D(q_G + 1)}{2^{\text{Len}(r')}} + \frac{q_D}{2^{\text{Len}(Const)}}.
\end{aligned} \tag{23}$$

Unless either Fail or AskG happens, \mathcal{B} can correctly simulate the oracles referred by \mathcal{A} . In addition, when AskH happens, \mathcal{B} can recover the whole plaintext of \bar{c} , the ciphertext of the primitive PKC. The lower-limit of this probability $Pr(\text{AskH} \wedge \neg\text{AskG} \wedge \neg\text{Fail})$ is given by

$$\begin{aligned}
&Pr(\text{AskH} \wedge \neg\text{AskG} \wedge \neg\text{Fail}) \\
&= Pr(\text{AskH} \wedge \neg\text{AskG}) \\
&\quad - Pr(\text{AskH} \wedge \neg\text{AskG} \wedge \text{Fail}) \\
&\geq Pr(\text{AskH} \wedge \neg\text{AskG}) - Pr(\text{Fail}) \\
&\geq \epsilon - \frac{q_G}{2^{\text{Len}(r)}} - \frac{q_D(q_G + 1)}{2^{\text{Len}(r)}} - \frac{q_D}{2^{\text{Len}(Const)}}.
\end{aligned} \tag{24}$$

The number of steps of \mathcal{B} is at most $\tau + (T_{Enc} + T_H) \cdot q_H + T_G \cdot q_G + T_D \cdot q_D$ where T_{Enc} , T_G and T_H are the same as the parameters in the proof of Lemma 2. The number of steps T_D is that of the knowledge-extractor to verify whether (11) holds and then to return the result. Since these parameters, T_{Enc} , T_G , T_H and T_D can be written in a polynomial of n , q_G , q_H and q_D , the total number of steps of \mathcal{B} is also written in a polynomial of them. □

5 Conclusion

We proved in the random oracle model that a slightly extended version of OAEP, i.e. OAEP++, can generate IND-CCA2 ciphers using deterministic OW-CPA cryptographic primitives when $k_1 \leq Len(y_1)$ holds. OAEP++ has the following advantages: (1) It does not require any additional functions such as non-malleable block ciphers. (2) It can encrypt any long message. (3) The security reduction is as tight as that of OAEP++.

OAEP++ is also easily extensible to multiple encryption of any combination of both deterministic and probabilistic cryptosystems. Extension is performed as follows, first one enlarges the length of either $Const$ or \bar{m} so that y_1 can be divided into n pieces of full domains of all the n underlying cryptosystems. For the probabilistic cryptosystems, randomness is deterministically generated from $Hash(r||index)$ where $index$ is a unique description of each probabilistic cryptosystem, and then the integrity of the randomness is checked after recovering r in the decoding process.

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